

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: West Carthage Housing Authority PHA Code: NY 414 PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 10/2010																				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 50 Number of HCV units:																				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input checked="" type="checkbox"/> 5-Year Plan Only																				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																				
	<table border="1"> <thead> <tr> <th data-bbox="261 772 659 835">Participating PHAs</th> <th data-bbox="659 772 1040 835">Participating PHAs</th> <th colspan="2" data-bbox="1040 772 1549 804">Participating PHAs</th> </tr> <tr> <td></td> <td></td> <th data-bbox="1040 804 1312 835">PH</th> <th data-bbox="1312 804 1549 835"></th> </tr> </thead> <tbody> <tr> <td data-bbox="261 835 659 867">PHA 1:</td> <td data-bbox="659 835 1040 867">PHA 1:</td> <td data-bbox="1040 835 1312 867">PHA 1:</td> <td data-bbox="1312 835 1549 867"></td> </tr> <tr> <td data-bbox="261 867 659 898">PHA 2:</td> <td data-bbox="659 867 1040 898">PHA 2:</td> <td data-bbox="1040 867 1312 898">PHA 2:</td> <td data-bbox="1312 867 1549 898"></td> </tr> <tr> <td data-bbox="261 898 659 915">PHA 3:</td> <td data-bbox="659 898 1040 915">PHA 3:</td> <td data-bbox="1040 898 1312 915">PHA 3:</td> <td data-bbox="1312 898 1549 915"></td> </tr> </tbody> </table>	Participating PHAs	Participating PHAs	Participating PHAs				PH		PHA 1:	PHA 1:	PHA 1:		PHA 2:	PHA 2:	PHA 2:		PHA 3:	PHA 3:	PHA 3:	
Participating PHAs	Participating PHAs	Participating PHAs																			
		PH																			
PHA 1:	PHA 1:	PHA 1:																			
PHA 2:	PHA 2:	PHA 2:																			
PHA 3:	PHA 3:	PHA 3:																			
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.																				

5.2	<p>Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>A. <u>GOALS & OBJECTIVES FOR FISCAL YEARS 2010 – 2014:</u></p> <p>GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING</p> <p>Objectives:</p> <p>Improve public housing management: (PHAS score – most recent score was 96, high performer status). Maintain score of no less than 95 under the current PHAS regulations through each fiscal year ending 9/30/2014</p> <p>Continue to renovate and upgrade dwelling units using available CFP funds.</p> <p>GOAL: PROVIDE AN IMPROVED LIVING ENVIRONMENT</p> <p>Objectives:</p> <p>Implement public housing security improvements. Pending funding availability, install security cameras in the parking lots and common areas.</p> <p>GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS</p> <p>Objectives:</p> <p>Continue to provide and expand upon the provision of on-site supportive services to increase independence for the elderly or families with disabilities. Network with local service agencies for referrals for services the Housing Authority cannot provide on site.</p> <p>GOAL: ENSURE EQUAL OPPORTUNITY AND AFFIRMATIVELY FURTHER FAIR HOUSING</p> <p>Objectives:</p> <p>Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability</p> <p>GOAL: CONTINUE COMPLIANCE WITH PROVISIONS OF THE VIOLENCE AGAINST WOMEN ACT (VAWA)</p> <p>Objectives:</p> <p>Continue to fully comply with the Violence Against Women Act (VAWA). Continue to work with others to prevent offenses covered by VAWA to the degree we can. Details are in Attachment C.</p>
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5.2	<p>B. <u>PROGRESS ON GOALS & OBJECTIVES FOR FISCAL YEARS 2005 – 2009:</u></p> <p>The following table reflects the progress we have made in achieving our goals and objectives established for Fiscal Years 2005 – 2009:</p> <table border="1" data-bbox="277 338 1554 716"> <thead> <tr> <th colspan="2" data-bbox="277 338 1554 390">GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING.</th> </tr> <tr> <th data-bbox="277 390 911 422">Objective</th> <th data-bbox="911 390 1554 422">Progress</th> </tr> </thead> <tbody> <tr> <td data-bbox="277 422 911 537">Continue to renovate units using available CFP funds</td> <td data-bbox="911 422 1554 537">Some of the renovations completed include: all windows in building have been replaced; total remodeled Community Room; installed ceiling fans in all units.</td> </tr> <tr> <td data-bbox="277 537 911 590">Maintain high performer status under PHAS</td> <td data-bbox="911 537 1554 590">Most recent PHAS score was 96, High Performer status</td> </tr> <tr> <td data-bbox="277 590 911 705">Maintain high levels of customer satisfaction</td> <td data-bbox="911 590 1554 705">This is evidenced in our most recent Resident Survey score which was 100 out of 100</td> </tr> </tbody> </table> <table border="1" data-bbox="277 789 1554 1020"> <thead> <tr> <th colspan="2" data-bbox="277 789 1554 842">GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS.</th> </tr> <tr> <th data-bbox="277 842 911 894">Objective</th> <th data-bbox="911 842 1554 894">Progress</th> </tr> </thead> <tbody> <tr> <td data-bbox="277 894 911 1020">Provide or attract supportive services to increase independence for the elderly or families with disabilities. Continue to provide and expand upon the provision of on-site supportive services</td> <td data-bbox="911 894 1554 1020">Host Blind Association meetings on a regular basis. Office for the Aging comes on site to assist tenants with HEAP applications and to make our residents aware of the programs their office offers. Provide bus transportation so tenants can attend Office for Aging picnic</td> </tr> </tbody> </table>	GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING.		Objective	Progress	Continue to renovate units using available CFP funds	Some of the renovations completed include: all windows in building have been replaced; total remodeled Community Room; installed ceiling fans in all units.	Maintain high performer status under PHAS	Most recent PHAS score was 96, High Performer status	Maintain high levels of customer satisfaction	This is evidenced in our most recent Resident Survey score which was 100 out of 100	GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS.		Objective	Progress	Provide or attract supportive services to increase independence for the elderly or families with disabilities. Continue to provide and expand upon the provision of on-site supportive services	Host Blind Association meetings on a regular basis. Office for the Aging comes on site to assist tenants with HEAP applications and to make our residents aware of the programs their office offers. Provide bus transportation so tenants can attend Office for Aging picnic
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p><i>This section not Applicable for small PHAs</i></p>																
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>This section not Applicable for small PHAs</i></p>																
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>																
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><i>See page 4</i></p>																
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><i>See page 4</i></p>																

8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p><i>Not Applicable for West Carthage Housing Authority</i></p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p><i>This section not Applicable for small PHAs</i></p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p><i>This section not Applicable for small PHAs</i></p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p style="text-align: center;">Progress on the Housing Authority's goals was already included in Section 5.2</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the West Carthage Housing Authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p style="text-align: center;">See Attachment A</p> <p>(g) Challenged Elements – See Attachment B</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

OTHER ATTACHMENTS:

Attachment C – Violence Against Women Act (VAWA) Statement

Attachment D – Capital Fund Program Annual Statement – FY 2010

Attachment E – FY 2009 Capital Fund Program Performance & Evaluation Report

Attachment F – FY 2009 (ARRA Funds) Capital Fund Program Performance & Evaluation Report

Attachment G – Capital Fund Program Five-Year Action Plan

Attachment A

West Carthage Housing Authority

Five-Year Plan

Fiscal Years 10/01/2010 – 10/01/2014

Comments of the Resident Advisory Board

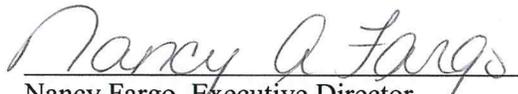
West Carthage Housing Authority met with the Resident Advisory Board (RAB) on June 11, 2010.

Elements of the PHA Plan Template and the Capital Fund Program grants were discussed. Following are comments made by the RAB members and the Housing Authority's responses:

Residents indicated they were happy to see items upgrades in apartments being done. They are very happy with the bedroom ceilings fans and new windows that were installed under the ARRA grant.

The Executive Director responded that the building is going on 27 years old and the PHA has plans on upgrading more in the future. She also advised the RAB board members that she has received numerous positive comments regarding fans and windows.

The RAB members agreed with the overall Plan as presented and no further suggestions or changes were offered by them.



Nancy Fargo, Executive Director
West Carthage Housing Authority
July 12, 2010

Attachment B

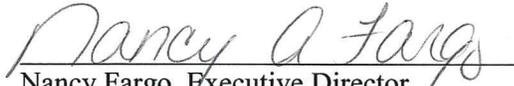
West Carthage Housing Authority

Five-Year Plan

Fiscal Years 10/01/2010 – 10/01/2014

Challenged Elements

There were no challenged elements to the Housing Authority's Five-year Plan.



Nancy Fargo, Executive Director
West Carthage Housing Authority
July 12, 2010

Attachment C

West Carthage Housing Authority

Five-Year Plan

Fiscal Years 10/01/2010 – 10/01/2014

Violence Against Women Act Report

A goal of the West Carthage Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

West Carthage Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

Through cooperation with the local domestic violence agencies and West Carthage Police Department, any cases of violence as described are referred for assistance. The local domestic violence agency is Jefferson County Victims Assistance Center, located in Watertown, 15 miles from West Carthage.

West Carthage Housing Authority provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.

Jefferson County Victims Assistance Center's program staff is aware of our housing program and makes client referrals to our office. Apparently eligible clients are placed on our waiting list.

For persons already living in a Housing Authority unit who become victims as described, these are referred to police and the local domestic violence agency for assistance. If the management becomes aware of any violator who may be restricted through an order of protection, that person is prohibited from the premises and is considered a trespasser subject to arrest and removal. The Police Department is cooperative and supportive in cases such as this, and willingly responds and enforces the protective orders.

West Carthage Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

The same methods as described herein are used, making referrals to Jefferson County Victims Assistance Center for counseling and support services, and attempting to enforce orders of protection with the cooperation of Police Department personnel.

West Carthage Housing Authority has the following procedure in place to assure applicants and residents are aware of their rights and responsibilities under the Violence Against Women Act:

All residents have been notified of their rights and responsibilities under the Violence Against Women Act.

The orientation for new residents includes information on their rights and responsibilities under the Violence Against Women Act.

The Admissions & Continued Occupancy Policy (ACOP) and the Public Housing Dwelling Lease have been updated to include screening and termination language related to the Violence Against Women Act

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: West Carthage Housing Authority	Grant Type and Number Capital Fund Program Grant No: NY06P414 501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010
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Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³		8,311			
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement		5,625			
10	1460 Dwelling Structures		15,000			
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures		44,585			
13	1475 Non-dwelling Equipment		3,425			
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)		\$76,946			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		PHA Name: West Carthage Housing Authority	Grant Type and Number Capital Fund Program Grant No: NY06P414 501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

Signature of Executive Director: Nancy A. Jango Date: 7/6/10 Signature of Public Housing Director: Date:

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: West Carthage Housing Authority	Grant Type and Number Capital Fund Program Grant No: NY06P414 50109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Line	Type of Grant	Performance and Evaluation Report for Period Ending: 3/31/2010	Total Estimated Cost		Total Actual Cost ¹	
			Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³		4,069		4,069.00	4,069.00
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment		73,500		72,509.37	61,809.37
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)		\$77,569		\$76,578.37	\$65,878.37

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009	
PHA Name: West Carthage Housing Authority		FFY of Grant Approval: 2009	
Grant Type and Number Capital Fund Program Grant No: NY06P414 50109 Replacement Housing Factor Grant No: Date of CFPF:			

Line	Type of Grant	Original Annual Statement	Reserve for Disasters/Emergencies	Revised Annual Statement (revision no:1)	Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
						Summary by Development Account	Original	Revised ²	Obligated
21		Amount of line 20 Related to LBP Activities							
22		Amount of line 20 Related to Section 504 Activities							
23		Amount of line 20 Related to Security - Soft Costs							
24		Amount of line 20 Related to Security - Hard Costs							
25		Amount of line 20 Related to Energy Conservation Measures							
		Signature of Executive Director	Date			Signature of Public Housing Director		Date	
		<i>Nancy A. Fargo</i>	<i>7/6/10</i>						

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⁴ RHF funds shall be included here.

Enter applicable data in the highlighted fields. After entering the data go to Page 1, the tab is at the bottom, you will see that the data you entered here has been prefilled. Also notice that some of the fields have a red mark in the right hand corner, these are comments pertaining to data entry. This is a protected document to ensure that the formula and cell references are not modified.

PHA Name	West Carthage Housing Authority	
CFP Grant No	NY 06 S0 414 501-09	
Date of CFFP		
RHF Grant No		
FFY of Grant	2009 - ARRA	
FFY of Grant Approval	2009	
Original Annual Statement		
P & E Report		
P & E Report Period Ending		
Reserve for Disasters/Emergencies		
Revised Annual Statement		Revision No
Final P & E Report	X	

If you are in need of an additional pages of a particular page, be on the page that you need a copy of, go to Edit - Move or Copy Sheet - Select the Page you want the new page to be inserted before - check Create Copy

Part I: Summary

PHA Name: **West Carthage Housing Authority** Grant Type and Number: NY 06 SO 414 501-09
 Capital Fund Program Grant No: 0 Replacement Housing Factor Grant No: 0
 Date of CFFP: 0 FFY of Grant: 2009 - ARRA
 FFY of Grant Approval: 2009

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³						
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4	1410 Administration (may not exceed 10% of line 21)						
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures		71,057.00			71,057.00	
11	1465.1 Dwelling Equipment - Nonexpendable						
12	1470 Non-dwelling Structures		22,431.00			22,431.00	
13	1475 Non-dwelling Equipment		369.00			369.00	
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of line 2 - 19)		93,857.00	0.00	93,857.00	93,857.00	93,857.00
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hart Costs						
25	Amount of line 20 Related to Energy Conservation Measures						

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Part I: Summary

PHA Name: **West Carthage Housing Authority** Grant Type and Number: **NY 06 S0 414 501-09** FFY of Grant: **2009 - ARRA**
 Capital Fund Program Grant No: **0** Replacement Housing Factor Grant No: **0** FFY of Grant Approval: **2009**
 Date of CFPP: **0**

Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹	Expended
	Signature of Executive Director				Signature of Public Housing Director		Date
	<i>Raney A. Fays</i>						<i>7/6/10</i>

Part II: Supporting Pages

PHA Name: West Carthage Housing Authority	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	NY 06 S0 414 501-09 0	CFPP (Yes/No): No	Federal FFY of Grant: 2009 - ARRA
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY 414000001	1460 Dwelling Structures	1460						
	Replace all windows in the building		107 windows	45,557		45,557	45,557	Complete
	Automatic transfer switch w/ panel for generator		1	5,500		5,500	5,500	Complete
	Bedroom ceiling fans with lights		50 units	20,000		20,000	20,000	Complete
	Total 1460			71,057		71,057	71,057	
NY 414000001	1470 Non-Dwelling Structures	1470						
	Remodel Community kitchen (including accessibility) - Cabinets (23 Sq. ft.); new countertops (50 sq. ft.); new flooring (48 sq. ft)		As stated	12,631		12,631	12,631	Complete
	New vinyl flooring in Common Areas: community room, storeroom, utility rooms, public restrooms, front door entryway		1,215 sq. ft.	8,000		8,000	8,000	Complete
	Painting Community Room, Storeroom, kitchen, trashrooms		900 sq. ft.	1,500		1,500	1,500	Complete
	Shelving for Community Room Storeroom			300		300	300	Complete
	Total 1470			22,431		22,431	22,431	
NY 414000001	1475 Non-Dwelling Equipment	1475						
	Energy Saving Dishwasher for Community Kitch		1	369		369	369	Complete
	Total 1475			369		369	369	
	Total Grant			93,857		93,857	93,857	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number West Carthage Housing Authority/NY 414		Locality (City/County & State) West Carthage, NY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 10/01/2011 – 9/30/2012	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 10/01/2012 – 9/30/2013	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 10/01/2013 – 9/30/2014	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 10/01/2014 – 9/30/2015
B.	Physical Improvements Subtotal	Annual Statement	17,500	35,000	76,946	65,000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment		45,000	10,500		
E.	Administration					
F.	Other					
G.	Operations		12,446	31,446		11,946
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		\$76,946	\$76,946	\$76,946	\$76,946
L.	Total Non-CFP Funds					
M.	Grand Total					

Part I: Summary (Continuation)

PHA Name/Number West Carthage Housing Authority/NY 414		Locality (City/county & State) West Carthage, NY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 10/01/2011 – 9/30/2012	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 10/01/2012 – 9/30/2013	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 10/01/2013 – 9/30/2014	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 10/01/2014 – 9/30/2015
		Annual Statement				
	<u>NY 414000001</u>		\$76,946	\$76,946	\$76,946	\$76,946

