

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Poughkeepsie Housing Authority</u> PHA Code: <u>NY062</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2010</u>
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<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>359</u> Number of HCV units: <u>87</u>
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<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input checked="" type="checkbox"/> 5-Year Plan Only
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<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)
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Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.
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<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <p style="text-align: center;"><b>The mission of the Poughkeepsie Housing Authority is to promote adequate, affordable housing, economic opportunity, and a suitable living environment for the families we serve, without discrimination.</b></p>
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<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <p style="text-align: center;"><b>Goals for 2010 through 2014</b></p> <p><b>Goal: Provide a safe and secure environment in the Poughkeepsie Housing Authority's public housing developments.</b></p> <p>Objective: Reduce crime rate 5% less than the surrounding neighborhoods from 2008 baseline.</p> <p>Maintain reduced incidence of violent criminal, gang activity and the sale of drugs.</p> <p>Increase availability of recreational activities, the availability of community rooms and laundry rooms.</p> <p><b>Goal: Provide training for the Resident Advisory Board.</b></p> <p>Objective: Provide support structure including outreach, training and programs to facilitate an active and engaged Resident Advisory Committee for the Poughkeepsie Housing Authority and if desired for each complex.</p> <p><b>Goal: Expand the range and quality of housing choices available to participants in the Poughkeepsie Housing Authority's Housing Choice Voucher Program.</b></p> <p>Objective: Conduct quarterly outreach efforts with current landlords.</p> <p>Conduct quarterly outreach efforts to potential landlords.</p>
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Offer annual meeting with City Section 8 program staff.

Conduct annual survey of Poughkeepsie Housing Authority Section 8 Voucher holders.

Implement VASH.

**Goal: Improve the quality of life and provide supportive services to promote self-sufficiency for both public housing Residents and section 8 Participants.**

Objective: Promote self-sufficiency and assist in the development of families and individuals.

Provide and/or partner to provide support services to improve resident's employability.

Provide and/or partner to provide afterschool, weekend and/or summer programs for school age youth.

Provide and/or partner to provide increased recreational activities for residents.

Provide and/or partner to provide transportation options for residents to make better use of Poughkeepsie Housing Authority and community resources.

**Goal: The Poughkeepsie Housing Authority will continue to maintain a good working relationship with the City of Poughkeepsie to implement goals that will further Fair Housing.**

Objective: The Poughkeepsie Housing Authority will continue to work with the City of Poughkeepsie to implement and meet the goals as outlined in the Impediments to Fair Housing Study.

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability.

Undertake affirmative measures to ensure accessible housing to persons with all types of disabilities regardless of unit size required.

**Goal: Deliver timely and high quality maintenance service to the residents of the Poughkeepsie Housing Authority.**

Objective: Increase the REAC score of each complex by 5% and Hudson Gardens by 20% from 2009 inspection scores by 2011 and maintain the improvements.

The Maintenance Department will continue to be scored as a high performer under the PHAS scoring system for the delivery of maintenance services to include response times to emergency work orders, routine work orders, unit turnover and maintain the grounds in each of our developments.

**Goal: Provide training for Poughkeepsie Housing Authority staff.**

Objective: Improve the professionalism and performance of Poughkeepsie Housing Authority staff to better serve and assist Residents.

Offer staff development training on-site and/or off-site.

Promote and fund professional association memberships.

**Goal: A goal of the Poughkeepsie Housing Authority is to fully comply with the Violence Against Women Act (VAWA).**

Objective: It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

## Statement of Progress in Meeting the Goals Set for 2005 – 2009

### HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score) to be a high performer (maintain high performer status)
  - Improve voucher management: (SEMAP score) to high performer status.

#### Progress in meeting objectives:

- The Poughkeepsie Housing Authority received a score of 89 for their Fiscal Year Ending of March 31, 2008 PHAS score. In August 2000 the Poughkeepsie Housing Authority sold 2 state developments (Eastman and Bixby) to the Sheldrake Corporation. This sale was due to the fact that the state did not have any money for the operation of these developments. Due to the way the sale was set up, the Poughkeepsie Housing Authority is being penalized under the financial component for carrying long-term debt. In actuality, if the sale of the state properties was not a part of the scoring, the Poughkeepsie Housing Authority would have received a score of 94 (high performing). Until the long-term debt is paid, the Poughkeepsie Housing Authority will not be able to be scored as a high performing housing authority.
- The Poughkeepsie Housing Authority received a designation of high performing under SEMAP for the Fiscal Year Ending March 31, 2009 fiscal year.

- PHA Goal: Increase assisted housing choices  
Objectives:
- Conduct outreach efforts to potential voucher landlords

#### Progress in meeting objectives:

- The Poughkeepsie Housing Authority conducts outreach efforts for potential landlords by written correspondence to possible landlords.

### HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment  
Objectives:
- Implement public housing security improvements:
    - Install digital video in all family developments by July 2006.
    - Provide better security at laundry facilities at all developments by July 2006.
    - Develop partnerships with the local law enforcement agencies to improve the quality of life for our residents.
    - Lower the incidence of violent criminal activity, gang activity and decrease the use and sale of drugs in our developments. This will be measured by a baseline to be set during the 2005 fiscal year.

#### Progress in meeting objectives:

- The Poughkeepsie Housing Authority has installed digital video in all family developments.
- The Poughkeepsie Housing Authority has installed digital video and smoke detectors in all laundry facilities.
- The Safe Haven – Safe Haven is a multi-service center where a variety of youth and adult services are provided in a highly visible, accessible facility that is secure against crime and illegal drug activity. It is a place where youth and other residents can access needed services, develop partnerships, and find opportunities to be productive and successful, and enhance skills. Many supportive services and programs are held at The Safe Haven, e.g., cultural recreational, health and

justice-related with emphasis on coordination of services.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

Provide or attract supportive services to improve assistance recipients' employability:

Other: (list below)

Provide or attract supportive services to improve the self-esteem of children by providing after school programs and activities as an alternative to drugs.

**Progress in meeting objectives:**

- Through The Safe Haven, the Poughkeepsie Housing Authority has increased supportive services and provided after school programs such as:

Summer Camp Program

After School Program

New Hope Food Pantry

Basketball and Mentoring (mentoring, basketball and tutoring program)

Martin Luther King Day Care Center

LEAP preschool education during the day and afterschool programming in the late afternoon

These programs are helping to provide activities as an alternative to drugs.

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

**Progress in meeting objectives:**

The Poughkeepsie Housing Authority follows all Fair Housing Law as provided in both the Admissions and Continued Occupancy Policy and the Section 8 Administrative Plan.

**Violence Against Women Act Report**

**A goal of the Poughkeepsie Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.**

The Poughkeepsie Housing Authority has provided notices in compliance with HUD guidance to each resident and Section 8 participant and has attached proper notice to all applicants advising them of the new law that President Bush signed in January 2006 known as the Violence Against Women and Department of Justice Reauthorization Act of 2005.

The Poughkeepsie Housing Authority has updated the Admissions and Continued Occupancy Policy and the Section 8 Administrative Plan to reflect this new law.

**The Poughkeepsie Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.**

The Poughkeepsie Housing Authority currently does not offer any services or programs to child or adult victims of domestic violence, dating violence, sexual assault or stalking. The Poughkeepsie Housing Authority does refer victims to the appropriate agencies for assistance.

	<p><b>The Poughkeepsie Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.</b></p> <p>The Poughkeepsie Housing Authority currently does not offer any services or programs to child or adult victims of domestic violence, dating violence, sexual assault or stalking. The Poughkeepsie Housing Authority does refer victims to the appropriate agencies for assistance.</p> <p><b>The Poughkeepsie Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.</b></p> <p>The Poughkeepsie Housing Authority currently does not offer any services or programs to child or adult victims of domestic violence, dating violence, sexual assault or stalking. The Poughkeepsie Housing Authority does refer victims to the appropriate agencies for assistance.</p>
6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>2007 Capital Fund Performance and Evaluation Report (ny062a02)  2008 Capital Fund Performance and Evaluation Report (ny062b02)  2009 Capital Fund Performance and Evaluation Report (ny062c02)  2009 Capital Fund (ARRA) Performance and Evaluation Report (ny062d02)  2010 Capital Fund Annual Statement (ny062e02)</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>5 Year Capital Fund Action Plan (ny062f02)</p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p>

<b>10.0</b>	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. <b>See 5.2 above.</b></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.</b></p>
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<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

#### 7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>

(b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)

**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

(c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

(d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 **Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

#### 8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 **Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.



<b>Part II: Supporting Pages</b>								
PHA Name: Poughkeepsie Housing Authority		Grant Type and Number Capital Fund Program Grant No: <u>NY36P06250107</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Total Operations</b>	<b>1406</b>	<b>Total</b>	<b>\$ 140,362.00</b>	<b>\$ 140,362.00</b>	<b>\$ 140,362.00</b>	<b>\$ 140,362.00</b>	
<b>PHA Wide</b>	<b>Management Improvements Total</b>	<b>1408</b>	<b>Total</b>	<b>\$ 140,362.00</b>	<b>\$ -</b>	<b>\$ 140,362.00</b>	<b>\$ 138,561.25</b>	
	Admin. and Maintenance Training	1408	Lump Sum	\$ 12,338.20		\$ 12,338.20	\$ 12,338.20	
	Resident Training and Contracts	1408	Lump Sum	\$ 30,200.00		\$ 30,200.00	\$ 30,200.00	
	Computer Software Upgrades	1408	Lump Sum	\$ 9,072.48		\$ 9,072.48	\$ 7,271.73	
	Resident Contracts	1408	Lump Sum	\$ 64,277.23		\$ 64,277.23	\$ 64,277.23	
	Consult PHA Plan Upgrade	1408	Lump Sum	\$ 3,751.66		\$ 3,751.66	\$ 3,751.66	
	Consultant REAC Inspections	1408	Lump Sum	\$ 3,320.75		\$ 3,320.75	\$ 3,320.75	
	Renewal Initiative Coordinator (FSS)	1408	Lump Sum	\$ 17,401.68		\$ 17,401.68	\$ 17,401.68	
<b>PHA Wide</b>	<b>Administration Total</b>	<b>1410</b>	<b>Total</b>	<b>\$ 70,181.00</b>	<b>\$ -</b>	<b>\$ 70,181.00</b>	<b>\$ 70,181.00</b>	
	Mod. Coordinator Salary & Benefits	1410	Lump Sum	\$ 16,736.00		\$ 16,736.00	\$ 16,736.00	
	Sundry	1410	Lump Sum	\$ 1,000.00		\$ 1,000.00	\$ 1,000.00	
	Admin Salaries Assoc. W/ CGP	1410	Lump Sum	\$ 52,445.00		\$ 52,445.00	\$ 52,445.00	
<b>PHA Wide</b>	<b>Audit Total</b>	<b>1411</b>	<b>Total</b>	<b>\$ 2,000.00</b>	<b>\$ 2,000.00</b>	<b>\$ 2,000.00</b>	<b>\$ -</b>	
<b>PHA Wide</b>	<b>Fees and Costs Total</b>	<b>1430</b>	<b>Total</b>	<b>\$ 24,704.00</b>	<b>\$ -</b>	<b>\$ 24,704.00</b>	<b>\$ 24,704.00</b>	
	A/E Fees	1430	Lump Sum	\$ 1,433.80		\$ 1,433.80	\$ 1,433.80	
	In House A/E Services	1430	Lump Sum	\$ 23,270.20		\$ 23,270.20	\$ 23,270.20	
<b>PHA Wide</b>	<b>Non Dwelling Equipment Total</b>	<b>1475</b>	<b>Total</b>	<b>\$ 2,548.92</b>	<b>\$ -</b>	<b>\$ 2,548.92</b>	<b>\$ 2,548.92</b>	
	Truck/ Van Maintenance	1475	1	\$ -		\$ -	\$ -	
	Hand and Power Tools	1475	Lump Sum	\$ 700.00		\$ 700.00	\$ 700.00	
	Air Conditioning New Hope Class Rms.	1475	Lump Sum	\$ -		\$ -	\$ -	
	Commercial Tractor/ Lawnmower	1475	1	\$ 1,848.92		\$ 1,848.92	\$ 1,848.92	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>							
PHA Name: <u>Poughkeepsie Housing Authority</u>		Grant Type and Number Capital Fund Program Grant No: <u>NY36P06250107</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FY of Grant: <u>2007</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	

Page 2 of 4 form HUD-50075.1 (4/2008)

PHA Wide	Site Improvements	1450	Total	\$ 36,250.00	\$ -	\$ 36,250.00	\$ 21,264.60
NY62-1 MLK	Landscaping	1450	Lump Sum	\$ 4,968.60		\$ 4,968.60	\$ 4,968.60
NY62-3 PAS	Landscaping	1450	Lump Sum	\$ 2,000.00		\$ 2,000.00	\$ -
NY62-4 TMT	Landscaping	1450	Lump Sum	\$ 4,610.00		\$ 4,610.00	\$ 4,110.00
NY62-5 Blvd	Landscaping	1450	Lump Sum	\$ 18,801.40		\$ 18,801.40	\$ 6,814.80
NY62-7 HG	Landscaping	1450	Lump Sum	\$ 2,000.00		\$ 2,000.00	\$ 1,501.20
Admin. Bldg.	Landscaping	1450	Lump Sum	\$ 3,870.00		\$ 3,870.00	\$ 3,870.00
<b>PHA Wide</b>	<b>Dwelling Structures</b>	<b>1460</b>	<b>Total</b>	<b>\$ 285,405.08</b>	<b>\$ -</b>	<b>\$ 285,405.08</b>	<b>\$ 285,405.08</b>
62-7 HG	Additional Bathroom Rehab.	1460	20 Apts.	\$ -		\$ -	\$ -
PHA Wide	Emergencies	1460	Lump Sum	\$ 19,590.00		\$ 19,590.00	\$ 19,590.00
62-3 PAS	Additional Monies Elevator Rehab.	1460	Lump Sum	\$ 20,902.00		\$ 20,902.00	\$ 20,902.00
62-7 HG	Vestibule Scraping and Painting	1460	Lump Sum	\$ -		\$ -	\$ -
62-7 HG	Emergency Grinding Walks & Curbs REAC	1460	Lump Sum	\$ 36,451.08		\$ 36,451.08	\$ 36,451.08
62-7 HG	Renovations to Park/ Site Retaining Walls	1460	Lump Sum	\$ 208,462.00		\$ 208,462.00	\$ 208,462.00

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <u>Poughkeepsie Housing Authority</u>					Federal FFY of Grant: <u>2007</u>
Development Number Name/ PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expanded (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9/12/09	9/30/2007	9/12/2011	9/30/2008	
1408	9/12/2009	9/30/2007	9/12/2011		
1410	9/12/2009	9/30/2007	9/12/2011		
1411	9/12/2009	9/30/2009	9/12/2011		
1430	9/12/2009	9/30/2009	9/12/2011	9/30/2009	
1450	9/12/2009	9/30/2009	9/12/2011	9/30/2009	
1460	9/12/2009	9/30/2008	9/12/2011	9/30/2009	
1470	9/12/2009	9/30/2009	9/12/2011		
1475	9/12/2009	9/30/2008	9/12/2011	9/30/2008	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			Grant Type and Number Capital Fund Program Grant No: <u>NY36P06250108</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FY of Grant: <u>2008</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Total Operations</b>	<b>1406</b>	<b>Total</b>	<b>\$ 133,190.00</b>	<b>\$ 133,190.00</b>	<b>\$ 133,190.00</b>	<b>\$ 133,190.00</b>	
<b>PHA Wide</b>	<b>Management Improvements Total</b>	<b>1408</b>	<b>Total</b>	<b>\$ 102,420.00</b>	<b>\$ 102,420.00</b>	<b>\$ 96,653.36</b>	<b>\$ 94,018.70</b>	
	Admin. & Maintenance Training	1408	Lump Sum	\$ 11,174.00	\$ 11,174.00	\$ 11,174.00	\$ 10,980.09	
	Resident Training & Contracts	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Computer Software Upgrades	1408	Lump Sum	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 2,559.25	
	Resident Contracts	1408	Lump Sum	\$ 46,280.00	\$ 62,959.25	\$ 62,959.25	\$ 62,959.25	
	Consult PHA Plan Update	1408	Lump Sum	\$ 4,000.00	\$ 4,199.36	\$ 4,199.36	\$ 4,199.36	
	Consultant REAC Inspections	1408	Lump Sum	\$ 5,000.00	\$ 3,320.75	\$ 3,320.75	\$ 3,320.75	
	Resident Initiative Coordinator (FSS)	1408	Lump Sum	\$ 30,966.00	\$ 15,766.64	\$ 10,000.00	\$ -	
<b>PHA Wide</b>	<b>Administration Total</b>	<b>1410</b>	<b>Total</b>	<b>\$ 69,100.00</b>	<b>\$ 69,100.00</b>	<b>\$ 68,600.00</b>	<b>\$ 68,563.48</b>	
	Modernization Coordinator Salary	1410	Lump Sum	\$ 35,000.00	\$ 33,743.75	\$ 33,743.75	\$ 33,743.75	
	Sundry	1410	Lump Sum	\$ 1,000.00	\$ 1,000.00	\$ 500.00	\$ 463.48	
	Admin Salaries Assoc./ Capital Fund	1410	Lump Sum	\$ 33,100.00	\$ 34,356.25	\$ 34,356.25	\$ 34,356.25	
<b>PHA Wide</b>	<b>Audit Total</b>	<b>1411</b>	<b>Total</b>	<b>\$ 2,000.00</b>	<b>\$ 2,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	
<b>PHA Wide</b>	<b>Fees and Costs Total</b>	<b>1430</b>	<b>Total</b>	<b>\$ 59,704.00</b>	<b>\$ 59,704.00</b>	<b>\$ 59,704.00</b>	<b>\$ 22,295.82</b>	
	A/E Fees	1430	Lump Sum	\$ 35,000.00	\$ 34,704.00	\$ 34,704.00	\$ 22,295.82	
	In House A/E Fees (Salary)	1430	Lump Sum	\$ 24,704.00	\$ -	\$ -	\$ -	
	Weatherization Audit	1430	Lump Sum	\$ -	\$ 25,000.00	\$ 25,000.00		
<b>PHA Wide</b>	<b>Non Dwelling Equipment Total</b>	<b>1475</b>	<b>Total</b>	<b>\$ 39,000.00</b>	<b>\$ 40,571.00</b>	<b>\$ 39,000.00</b>	<b>\$ 39,000.00</b>	
	Truck/ Van Maintenance	1475	Lump Sum	\$ 33,000.00	\$ 34,587.00	\$ 34,587.00	\$ 34,587.00	
	Hand and Power Tools	1475	Lump Sum	\$ 6,000.00	\$ 5,124.00	\$ 4,413.00	\$ 4,413.00	
	Dumpster	1475	Lump Sum	\$ -	\$ 860.00	\$ 860.00		

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			<b>Grant Type and Number</b> Capital Fund Program Grant No: <u>NY36P06250108</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <u>2008</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Site Improvements</b>	<b>1450</b>	<b>Total</b>	<b>\$ 128,819.00</b>	<b>\$ 127,230.00</b>	<b>\$ 127,230.00</b>	<b>\$ 127,230.00</b>	
62-1 MLK	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-3 PAS	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-4 TMT	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-5 Blvd	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-7 HG	Additional funding Park & Basketball Area	1450	Lump Sum	\$ 127,230.00	\$ 127,230.00	\$ 127,230.00	\$ 127,230.00	
Admin. Bldg.	Landscaping	1450	Lump Sum	\$ 1,589.00	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Dwelling Structures</b>	<b>1460</b>	<b>Total</b>	<b>\$ 147,870.00</b>	<b>\$ 147,870.00</b>	<b>\$ 130,006.00</b>	<b>\$ 7,822.15</b>	
62-7 HG	Additional Bathroom Rehab	1460	10 Apts	\$ 100,006.00	\$ 100,006.00	\$ 100,006.00	\$ -	
62-7 HG	Sprinkler head replacement	1460	168	\$ -	\$ 25,041.85	\$ 22,177.85	\$ -	
PHA Wide	Emergency Repairs	1460	Lump Sum	\$ 24,102.85	\$ 16,925.00	\$ 1,925.00	\$ 1,925.00	
62-4 TMT	Replace Siding, Fascia, & Soffet	1460	Lump Sum	\$ 17,864.00	\$ -	\$ -	\$ -	
62-3 PAS	Elevator Retainage for Rehab	1460	lump sum	\$ 5,897.15	\$ 5,897.15	\$ 5,897.15	\$ 5,897.15	
				\$ -				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <u>Poughkeepsie Housing Authority</u>					Federal FFY of Grant: <u>2008</u>
Development Number Name/ PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9/30/2009	9/30/2008	8/30/2010	9/30/2008	
1408	9/30/2009		8/30/2010		
1410	9/30/2009		8/30/2010		
1411	9/30/2009		8/30/2010		
1430	9/30/2009		8/30/2010		
1450	9/30/2009		8/30/2010		
1460	9/30/2009		8/30/2010		
1470	9/30/2009		8/30/2010		
1475	9/30/2009		8/30/2010		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			<b>Grant Type and Number</b> Capital Fund Program Grant No: <u>NY36P06250109</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <u>2009</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Total Operations</b>	<b>1406</b>	<b>Total</b>	<b>\$ 136,703.00</b>	<b>\$ 136,703.00</b>	<b>\$ 136,703.00</b>	<b>\$ 136,703.00</b>	
<b>PHA Wide</b>	<b>Management Improvements Total</b>	<b>1408</b>	<b>Total</b>	<b>\$ 136,703.00</b>	<b>\$ 136,703.00</b>	<b>\$ 87,743.00</b>	<b>\$ 12,143.18</b>	
	Admin. and Maintenance Training	1408	Lump Sum	\$ 11,174.00	\$ 11,174.00	\$ 11,174.00	\$ -	
	Resident Training Positions	1408	Lump Sum	\$ 27,058.00	\$ 27,058.00	\$ 27,058.00	\$ 200.00	
	Computers/ Printers Upgrades	1408	Lump Sum	\$ 10,000.00	\$ 10,000.00	\$ -	\$ -	
	Resident Contracts	1408	Lump Sum	\$ 46,280.00	\$ 48,049.00	\$ 45,280.00	\$ 8,699.85	
	Consultant PHA Plan Upgrade	1408	Lump Sum	\$ 4,000.00	\$ 4,000.00	\$ -	\$ -	
	Consultant REAC Inspections	1408	Lump Sum	\$ 5,000.00	\$ 3,231.00	\$ 323.00	\$ 3,231.00	
	Renewal Initiative Coordinator (FSS)	1408	Lump Sum	\$ 33,191.00	\$ 33,191.00	\$ 1,000.00	\$ 12.33	
<b>PHA Wide</b>	<b>Administration Total</b>	<b>1410</b>	<b>Total</b>	<b>\$ 68,351.00</b>	<b>\$ 42,445.00</b>	<b>\$ 42,445.00</b>	<b>\$ 25,571.73</b>	
	Modernization Coordinator Position	1410	Lump Sum	\$ 24,906.00	\$ -	\$ -	\$ -	
	Sundry	1410	Lump Sum	\$ 1,000.00	\$ -	\$ -	\$ -	
	Admin Salaries Assoc. W/ CFP	1410	Lump Sum	\$ 42,445.00	\$ 42,445.00	\$ 42,445.00	\$ 25,571.73	
<b>PHA Wide</b>	<b>Audit Total</b>	<b>1411</b>	<b>Total</b>	<b>\$ 2,000.00</b>	<b>\$ 2,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	
<b>PHA Wide</b>	<b>Fees and Costs Total</b>	<b>1430</b>	<b>Total</b>	<b>\$ 57,909.00</b>	<b>\$ 35,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	
	A/E Fees	1430	Lump Sum	\$ 35,000.00	\$ 35,000.00	\$ -	\$ -	
	In House A/E Services	1430	Lump Sum	\$ 22,909.00	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Non Dwelling Equipment Total</b>	<b>1475</b>	<b>Total</b>	<b>\$ 39,000.00</b>	<b>\$ 107,763.00</b>	<b>\$ 3,000.00</b>	<b>\$ 2,368.72</b>	
	Truck/ Van Maintenance	1475	1	\$ 33,000.00	\$ 33,000.00		\$ -	
	Power Tools and Lawn Edquipment	1475	Lump Sum	\$ 6,000.00	\$ 3,000.00	\$ 3,000.00	\$ 2,368.72	
	Bus	1475	Lump Sum	\$ -	\$ 45,000.00			
<b>62-4 TMT</b>	Recreation Equipment	1475	Lump Sum	\$ -	\$ 26,763.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			<b>Grant Type and Number</b> Capital Fund Program Grant No: <u>NY36P06250109</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <u>2009</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Site Improvements</b>	<b>1450</b>	<b>Total</b>	<b>\$ 12,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	
NY62-1 MLK	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
NY62-3 PAS	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
NY62-4 TMT	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
NY62-5 Blvd	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
NY62-7 HG	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
Admin. Bldg.	Landscaping	1450	Lump Sum	\$ 2,000.00	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Dwelling Structures</b>	<b>1460</b>	<b>Total</b>	<b>\$ 230,849.00</b>	<b>\$ 222,901.00</b>	<b>\$ 7,896.00</b>	<b>\$ 7,294.05</b>	
62-7 HG	Bathroom Additional Renovations	1460	1 Bldg 20 Apts	\$ -	\$ -	\$ -	\$ -	
62-7 HG	Vestibule Pait Scraping & Painting	1460	Lump Sum	\$ 16,813.00	\$ -	\$ -	\$ -	
62-4 TMT	Additional Funding Siding & Soffets	1460	4 Bldgs.	\$ 184,036.00	\$ -	\$ -	\$ -	
PHA Wide	Emergency Repairs	1460	Lump Sum	\$ 30,000.00	\$ 7,901.00	\$ 7,896.00	\$ 7,294.05	
62-5 Blvd	Roof, siding, windows	1460		\$ -	\$ 215,000.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <u>Poughkeepsie Housing Authority</u>					Federal FFY of Grant: <u>2009</u>
Development Number Name/ PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9/14/2011	10/31/2009	9/14/2013		
1408	9/14/2011		9/14/2013		
1410	9/14/2011		9/14/2013		
1411	9/14/2011		9/14/2013		
1430	9/14/2011		9/14/2013		
1450	9/14/2011		9/14/2013		
1460	9/14/2011		9/14/2013		
1470	9/14/2011		9/14/2013		
1475	9/14/2011		9/14/2013		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			Grant Type and Number Capital Fund Program Grant No: <u>NY36S06250109</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FY of Grant: <u>ARRA2009</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Total Operations</b>	<b>1406</b>	<b>Total</b>	\$ -	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Management Improvements Total</b>	<b>1408</b>	<b>Total</b>	\$ -	\$ -	\$ -	\$ -	
	Admin. & Maintenance Training	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Resident Training & Contracts	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Computer Software Upgrades	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Resident Contracts	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Consult PHA Plan Update	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Consultant REAC Inspections	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Resident Initiative Coordinator (FSS)	1408	Lump Sum	\$ -	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Administration Total</b>	<b>1410</b>	<b>Total</b>	\$ 49,736.00	\$ 49,736.00	\$ 49,736.00	\$ 33,874.49	
	Clerk/Construction Manager Temp	1410	Lump Sum	\$ 49,736.00	\$ 49,736.00	\$ 49,736.00	\$ 33,874.49	
	Sundry	1410	Lump Sum	\$ -	\$ -	\$ -	\$ -	
	Admin Salaries Assoc./ Capital Fund	1410	Lump Sum	\$ -	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Audit Total</b>	<b>1411</b>	<b>Total</b>		\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Fees and Costs Total</b>	<b>1430</b>	<b>Total</b>	\$ 30,000.00	\$ 10,231.25	\$ 10,231.25	\$ 10,131.25	
	A/E Fees	1430	Lump Sum	\$ 30,000.00	\$ 10,231.25	\$ 10,231.25	\$ 10,131.25	
	In House A/E Fees (Salary)	1430	Lump Sum	\$ -	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Non Dwelling Equipment Total</b>	<b>1475</b>	<b>Total</b>	\$ 30,000.00	\$ 22,955.48	\$ 22,955.48	\$ 22,955.48	
	Truck/ Van Maintenance	1475	Lump Sum	\$ 30,000.00	\$ 22,704.38	\$ 22,704.38	\$ 22,704.38	
	Power Toos and Lawn equipment	1475	Lump Sum	\$ -	\$ 251.10	\$ 251.10	\$ 251.10	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: <u>Poughkeepsie Housing Authority</u>			<b>Grant Type and Number</b> Capital Fund Program Grant No: <u>NY36S06250109</u> CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <u>ARRA2009</u>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expanded <sup>2</sup>	
<b>PHA Wide</b>	<b>Site Improvements</b>	<b>1450</b>	<b>Total</b>	\$ -	\$ -	\$ -	\$ -	
62-1 MLK	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-3 PAS	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-4 TMT	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-5 Blvd	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62-7 HG	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
Admin. Bldg.	Landscaping	1450	Lump Sum	\$ -	\$ -	\$ -	\$ -	
<b>PHA Wide</b>	<b>Dwelling Structures</b>	<b>1460</b>	<b>Total</b>	\$ <b>786,813.27</b>	\$ <b>786,813.27</b>	\$ <b>786,813.27</b>	\$ <b>774,324.63</b>	
62-7 HG	Bathroom Additional Renovations	1460	1 Bldg 20 Apts	\$ 760,000.00	\$ 786,813.27	\$ 786,813.27	\$ 774,324.63	
62-7 HG	Vestibule Scraping & Painting	1460	Lump Sum	\$ -	\$ -	\$ -	\$ -	
62.4 TMT	Additional Funding siding & Soffets	1460	4 Bldgs.					
PHA Wide	Emergency Repairs	1460	Lump Sum	\$ -	\$ -	\$ -	\$ -	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <u>Poughkeepsie Housing Authority</u>					Federal FFY of Grant: <u>2009 ARRA</u>
Development Number Name/ PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	3/17/2010		9/30/2012		
1408	3/17/2010		9/30/2012		
1410	3/17/2010		9/30/2012		
1411	3/17/2010		9/30/2012		
1430	3/17/2010		9/30/2012		
1450	3/17/2010		9/30/2012		
1460	3/17/2010		9/30/2012		
1470	3/17/2010		9/30/2012		
1475	3/17/2010		9/30/2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>					
<b>PHA Name:</b>  Poughkeepsie Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY062 Date of CFFP:  Replacement Housing Factor Grant No:			<b>FFY of Grant:</b> 2010  <b>FFY of Grant Approval:</b>
<b>Type of Grant</b>					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 0 )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	136,703.00			
3	1408 Management Improvements	136,703.00			
4	1410 Administration (may not exceed 10% of line 21)	68,351.00			
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	4,758.00			
10	1460 Dwelling Structures	300,000.00			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	683,515.00	0.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>				
<b>PHA Name:</b>  Poughkeepsie Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: NY062 Date of CFFP:	Replacement Housing Factor Grant No:	<b>FFY of Grant:</b> 2010  <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>				
<input checked="" type="checkbox"/> <b>Original Annual Statement</b>				
<input type="checkbox"/> <b>Reserve for Disasters/Emergencies</b>				
<input type="checkbox"/> <b>Revised Annual Statement (revision no: 0 )</b>				
<input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b>				
<input type="checkbox"/> <b>Final Performance and Evaluation Report</b>				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated</b>
				<b>Expended</b>
<b>Signature of Executive Director</b>		<b>Signature of Public Housing Director</b>		<b>Date</b>
<b>Date</b>		<b>Date</b>		

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Poughkeepsie Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY062 CFFP (Yes/No): No Replacement Housing Factor Grant No:					<b>Federal FFY of Grant:</b> 2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>PHA Wide</b>	<b>Operations</b>	<b>1406</b>	Total	136,703				
<b>PHA Wide</b>	<b>Management Improvements</b>	1408	Total	136,703				
	Admin & Maintenance training	1408	Lump Sum	11,714				
	Resident Training Positions	1408	Lump Sum	27,000				
	Computer/Printer Upgrades	1408	Lump Sum	5,000				
	Resident Contracts	1408	Lump Sum	47,276				
	Consultant PHA Plan Update	1408	Lump Sum	4,000				
	Consultant REAC Inspection	1408	Lump Sum	5,000				
	Resident Initiatives Coordinator	1408	Lump Sum	33,200				
<b>PHA Wide</b>	<b>Administration</b>	<b>1410</b>	<b>Total</b>	<b>68,351</b>				
	Modernization Coordinator	1410	Lump Sum	24,906				
	Sundry	1410	Lump Sum	1,000				
	Admin Salaries Assoc w/ CFP	1410	Lump Sum	42,445				
<b>PHA Wide</b>	<b>Audit</b>	<b>1411</b>	<b>Total</b>	<b>2,000</b>				
<b>PHA Wide</b>	<b>Fees and Costs</b>	<b>1430</b>	<b>Total</b>	<b>35,000</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Poughkeepsie Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY062 CFFP (Yes/No): No Replacement Housing Factor Grant No:					Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	A/E Fees	1430	Lump Sum	35,000				
<b>PHA Wide</b>	<b>No Dwelling Equipment</b>	<b>1475</b>	<b>Total</b>	<b>0</b>				
<b>PHA Wide</b>	<b>Site Improvement</b>	<b>1450</b>	<b>Total</b>	<b>4,758</b>				
62-1 MLK	Landscaping	1450	Lump Sum	758				
62-3 PAS	Landscaping	1450	Lump Sum	0				
62-4 TMT	Landscaping	1450	Lump Sum	2,000				
62-5 BLVD	Landscaping	1450	Lump Sum	0				
62-7 HG	Landscaping	1450	Lump Sum	2,000				
Admin Bldg	Landscaping	1450	Lump Sum	0				
<b>62-4 TMT</b>	<b>Dwelling Structures</b>	<b>1460</b>	<b>Total</b>	<b>300,000</b>				
62-4 TMT	Siding and Windows	1460	4 Buildings	300,000				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Poughkeepsie Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9/30/2011		9/30/2012		
1408	9/30/2011		9/30/2012		
1411	9/30/2011		9/30/2012		
1430	9/30/2011		9/30/2012		
1450	9/30/2011		9/30/2012		
1460	9/30/2011		9/30/2012		
1470	9/30/2011		9/30/2012		
1475	9/30/2011		9/30/2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

**Capital Fund Program - Five Year Action Plan**

<b>Part I: Summary</b>						
PHA Name/Number <b>Poughkeepsie Housing Authority</b>		Locality (City/County & State) <b>Poughkeepsie, NY</b>			<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
	0	Annual Statement				
B.	PHA WIDE Operations		136,703	136,703	136,703	136,703
C.	PHA Wide Managemtnt		136,703	136,703	136,703	136,703
D.	PHA Wide Administration		68,351	68,351	68,351	68,351
E.	PHA Wide Audit		2,500	2,500	2,500	2,500
F.	PHA Wide Fees		35,000	35,000	35,000	35,000
G.	PHA Wide ND Equip		50,000	50,000	50,000	50,000
H.	NY 62-1 MLK		202,000	217,000	202,000	172,000
I.	NY 62-3 PAS		1,500	1,500	1,500	1,500
J.	NY 62-4 TMT		2,000	2,000	2,000	2,000
K.	NY 65-BLVD		2,000	2,000	2,000	16,258
L.	NY 62-7 HG		31,758	16,758	31,758	62,500
M.	PHA Wide		15,000	15,000	15,000	0
N	Admin Bldg		0	0	0	0
K.	Total CFP Funds		683,515	683,515	683,515	683,515
L.	Total Non-CFP Funds	0	0	0	0	0
M.	Grand Total	0	683,515	683,515	683,515	683,515









