

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name WHITE PLAINS HOUSING AUTHORITY PHA Code: NY042 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 04/2010				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 712 Number of HCV units: 0				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: To promote adequate and affordable housing and to promote economic opportunity and self sufficiency as well as provide our residents with a suitable living environment free from discrimination while maintaining and upgrading existing housing facilities, dwelling units and grounds.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <u>Goals:</u> Increase the availability of decent, safe and affordable housing. Reduction in utility costs. Modernize and upgrade all buildings, structures and grounds. Improve resident services with a plan to increase self sufficiency. Apply for on-going ROSS grants. <u>Objectives:</u> Re-development and rehabilitation of all buildings and structures. Design new "Green Buildings". Install energy efficient appliances to reduce utility usage and rates. Rehab building infrastructure to reduce utility waste. Rehabilitate building entrances to enhance and modernize curb appeal.				

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) None</p> <p>(b) Administrative Office Winbrook 223 Martin Luther King Blvd. White Plain, NY 10601</p> <p>Lakeview Apartments 120 Lake Street White Plains, NY 10604</p> <p>Schylor/Dekalb Apartments 86 De Kalb Ave White Plains, NY 10605</p> <p>Also available information for the following items at the locations listed above:</p> <ol style="list-style-type: none"> 1. Eligibility, Selection and Admission Policies, including Deconcentration and Wait List Procedures. 2. Financial Resources 3. Rent Determinations 4. Operation and Management Procedures 5. Grievance Procedures 6. Designated Housing for Elderly and Disable Families (None are planned for the upcoming year) 7. Community Service and Self-Sufficiency 8. Safety and Crime Prevention 9. Pet Policy 10. Civil Rights Certifications 11. Fiscal Year Audit 12. Asset Management Plans 13. Violence Against Women Act (VAWA)
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>The White Plains Housing Authority has recently engaged the services of International Management and Consulting (IMC) of Woodstock, MD and Warshauer Mellusi and Warshauer, Architects of Hawthorn, NY to conduct an analysis of current WPHA facilities and develop a forward thinking 10 year strategic plan for the Authority. The study is anticipated to take 12 – 18 months and will, when completed, identify and articulate potential development or revitalization initiatives, if any, together with financing alternatives to be considered by the Authority.</p> <p>No timetable can be presented until the Strategic Plan has been completed and all approaches to future development or revitalization have been considered by the Board of Commissioners.</p> <p>Currently, and until the WPHA completes its 10 year Strategic Planning process, there are no units in the Authority’s housing stock inventory for which demolition or disposition is contemplated</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment NY042a02 – FY2010 Annual Statement Attachment NY042c02 – FY2009 Progress and Evaluation Report Attachment NY042d02 – FY2008 Progress and Evaluation Report Attachment NY042e02 – FY2007 Progress and Evaluation Report Attachment NY042f02 – FY 2009 ARRA Grant Attachment NY042g02 - NY04200000309R Annual Statement Attachment NY042h02 - NY04200000109E Annual Statement Attachment NY042i02 - RAB Comments</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment NY042b01</p>

8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>																																																																																																								
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="180 363 1357 804"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Affordability</th> <th>Supply</th> <th>Quality</th> <th>Access-ibility</th> <th>Size</th> <th>Loca-tion</th> </tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td> <td>2,052</td> <td>5</td> <td>5</td> <td>5</td> <td>5</td> <td>5</td> <td>5</td> </tr> <tr> <td>Income >30% but <=50% of AMI</td> <td>1,145</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Income >50% but <80% of AMI</td> <td>504</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Elderly</td> <td>760</td> <td>5</td> <td>5</td> <td>4</td> <td>5</td> <td>4</td> <td>4</td> </tr> <tr> <td>Families with Disabilities</td> <td>840</td> <td>5</td> <td>5</td> <td>4</td> <td>5</td> <td>4</td> <td>4</td> </tr> <tr> <td>Black NH</td> <td>866</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Hispanic</td> <td>1,808</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>White-Non-Hispanic</td> <td>1,773</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Asian NH</td> <td>214</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Pacific Island. NH</td> <td>0</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> <tr> <td>Native Amer. NH</td> <td>4</td> <td>5</td> <td>5</td> <td>4</td> <td>4</td> <td>4</td> <td>4</td> </tr> </tbody> </table> <p>* U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset</p>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Affordability	Supply	Quality	Access-ibility	Size	Loca-tion	Income <= 30% of AMI	2,052	5	5	5	5	5	5	Income >30% but <=50% of AMI	1,145	5	5	4	4	4	4	Income >50% but <80% of AMI	504	5	5	4	4	4	4	Elderly	760	5	5	4	5	4	4	Families with Disabilities	840	5	5	4	5	4	4	Black NH	866	5	5	4	4	4	4	Hispanic	1,808	5	5	4	4	4	4	White-Non-Hispanic	1,773	5	5	4	4	4	4	Asian NH	214	5	5	4	4	4	4	Pacific Island. NH	0	5	5	4	4	4	4	Native Amer. NH	4	5	5	4	4	4	4
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9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The White Plains Housing Authority maintains a 99% occupancy rate. This high occupancy rate ensures that as many families as possible are being served. The ten year strategic plan being devised will take into account the needs of the families in the jurisdiction.</p>																																																																																																								
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>A. Substantial Deviation from the 5-year Plan:</p> <ul style="list-style-type: none"> Any change to the Mission Statement; 50% deletion from or addition to the goals and objectives as a whole; and 50% or more decrease in the quantifiable measure of any individual goal or objective. <p>B. Significant Amendment or Modification to the Annual Plan:</p> <ul style="list-style-type: none"> Any increase or decrease over 50% in the funds projected in the Financial Resource Statement; Any change in the policy or procedure that requires a regulatory 30-day posting; Any submission to HUD that requires a separate notification to residents, such as Homeownership programs; and Any change inconsistent with the local, approved Consolidated Plan. 																																																																																																								
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>																																																																																																								

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.

7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (**Note: applies to only public housing.**)

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert;

2) An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-

year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Part I: Summary					
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY36P04250110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: CFP2010 FFY of Grant Approval: CFP2010	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for period Ending <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) ³	\$269,089.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$20,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$134,892.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$109,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$820,000.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpandable	\$85,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$10,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$1,447,981.00	\$0.00	\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here

Part I: Summary				
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1
		Original	Revised 2	Obligated Expended
Signature of Executive Director & Date: <i>Mark Carter, 2/9/2010</i>		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: Date of CFFP:			Federal FFY Grant : 2010		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Operations	1406						
	Operations			\$269,089.00				
	Total 1406			\$269,089.00				
	Management Improvements	1408						
	Central Security, Training, Uniforms, Travel			\$20,000.00				
	Total 1406			\$20,000.00				
	Administration	1410						
	Central Admin Salaries			\$29,732.00				
	Central Modernization Coordinator			\$101,160.00				
	Central Per Dium Accountant			\$4,000.00				
	Total 1410			\$134,892.00				
	Fees and Costs	1430						
NY042-00001	A/E Fees			\$55,000.00				
NY042-00003	A/E Fees			\$15,000.00				
NY042-00006	A/E Fees			\$20,000.00				
	Central Management Consultant			\$18,000.00				
	Central Sundry			\$1,000.00				
	Total 1430			\$109,000.00				
	Page Subtotal			\$532,981.00				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: WHITE PLAINS HOUSING AUTHORITY				Federal FFY of Grant: NY36P04250110	
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates (1)
1406	9/14/2012		9/14/2014		
1408	9/14/2012		9/14/2014		
1410	9/14/2012		9/14/2014		
1430	9/14/2012		9/14/2014		
1460	9/14/2012		9/14/2014		
1465	9/14/2012		9/14/2014		
1475	9/14/2012		9/14/2014		

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name/Number White Plains HA, NY042		Locality (City/County & State) White Plains, Westchester, NY		<input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. _____		
A.	Development Number and Name White Plains Housing Authority NY042	Year 1 2010	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
B.	NY042-0001	Annual	566,988.00	205,000.00	205,000.00	330,000.00
	NY042-0003	Statement	10,000.00	215,000.00	506,320.00	217,781.00
	NY042-0006		20,000.00	146,310.00	15,000.00	230,000.00
	Total Physical Improvements:		\$596,988.00	\$566,310.00	\$726,320.00	\$777,781.00
C.	Management Improvements		25,000.00	25,000.00	20,000.00	20,000.00
D.	PHA-Wide Non-Dwelling Structures and Equipment		60,935.00	190,000.00	71,661.00	0.00
E.	Administration		134,892.00	135,746.00	137,000.00	137,000.00
F.	Other		355,661.00	255,661.00	234,000.00	254,200.00
G.	Operations		274,505.00	275,264.00	259,000.00	259,000.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		1,447,981.00	1,447,981.00	1,447,981.00	1,447,981.00
L.	Total Non-CFP Funds					
M.	Grand Total		1,447,981.00	1,447,981.00	1,447,981.00	1,447,981.00

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

OMB No. 2577-0226

Expires 4/30/2011

Part I: Summary

PHA Name/Number White Plains HA, NY042		Locality (City/County & State) White Plains, Westchester, NY		<input checked="" type="checkbox"/> Original		<input type="checkbox"/> Revision No. _____	
A.	Development Number and Name	Year 1	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5	
	White Plains Housing Authority NY042	2010	FFY <u>2011</u>	FFY <u>2012</u>	FFY <u>2013</u>	FFY <u>2014</u>	
B.	NY042-0001	Annual					
	NY042-0003	Statement					
	NY042-0006						
	Total Physical Improvements:		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
C.	Management Improvements						
D.	PHA-Wide Non-Dwelling Structures and Equipment						
E.	Administration						
F.	Other						
G.	Operations						
H.	Demolition						
I.	Development						
J.	Capital Fund Financing - Debt Service						
K.	Total CFP Funds		0.00	0.00	0.00	0.00	0.00
L.	Total Non-CFP Funds						
M.	Grand Total		0.00	0.00	0.00	0.00	0.00

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)							
Work Statement for Year 1 FFY 2010	Work Statement for Year <u>2011</u>			Work Statement for Year <u>2012</u>			
	FFY		Quantity	Estimated Cost	FFY		Quantity
	Development Number/Name General Description of Major Work Categories			Development Number/Name General Description of Major Work Categories			
See	Central 1406 Operations		\$274,505.00	Central 1406 Operations		\$275,264.00	
Annual	1408 Management Improvements			1408 Management Improvements			
Statement	Central Security/Clerk/Training/Travel		\$25,000.00	Central Security/Clerk/Training/Travel		\$25,000.00	
	1410 Administration			1410 Administration			
	Central Admin Salaries		\$29,732.00	Central Admin Salaries		\$18,701.00	
	Central Mod. Coordinator		\$101,160.00	Central Mod. Coordinator		\$113,045.00	
	Central Per Diem Accountant		\$4,000.00	Central Per Diem Accountant		\$4,000.00	
	1430 Fees & Costs			1430 Fees & Costs			
	NY042-0006 A/E Services		\$73,887.00	NY042-0006 A/E Services		\$61,850.00	
	NY042-0001 A/E Services		\$73,887.00	NY042-0001 A/E Services		\$43,295.00	
	NY042-0003 A/E Services		\$73,887.00	NY042-0003 A/E Services		\$44,855.00	
	Central Management Consultant Fee		\$18,000.00	NY042-0006 Development Consultant Fees		\$71,661.00	
	Central Sundry		\$1,000.00	Central Management Consultant Fee		\$18,000.00	
	NY042-0006 Development Consultant Fees		\$100,000.00	Central Sundry		\$1,000.00	
	1450 Site Improvement			1450 Site Improvement			
	NY042-0001 Landscaping/Sidewalks/Paving		\$20,000.00	NY042-0001 Site Improvements		\$10,000.00	
	NY042-0003 Landscaping/Sidewalks/Paving		\$10,000.00	NY042-0003 Site Improvements		\$20,000.00	
	NY042-0006 Landscaping/Sidewalks/Paving		\$20,000.00	NY042-0006 Site Improvements		\$30,000.00	
	1460 Dwelling Structures			1460 Dwelling Structures			
	NY042-0001 Bathroom/Kit. Renovations		\$216,988.00	NY042-0001 Roofs		\$100,000.00	
	NY042-0001 New Windows		\$330,000.00	NY042-0003 Roofs		\$100,000.00	
	Subtotal of Estimated Cost		\$ See Page 4	Subtotal of Estimated Cost		\$ See Pg 4	

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year <u>2011</u>			Work Statement for Year <u>2012</u>		
	FFY					
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Continued from page 3			Continued from page 3		
Annual Statement	1465 Dwelling Equipment			1460 Dwelling Structures (con't)		
	NY042-0001 Stoves / Refrigerators		\$5,000.00	NY042-0006 Roofs		\$50,000.00
	NY042-0003 Stoves / Refrigerators		\$5,000.00	NY042-0001 Exterior pointing (lintels, sills)		\$25,000.00
	NY042-0006 Stoves / Refrigerators		\$5,000.00	NY042-0003 Exterior pointing (lintels, sills)		\$25,000.00
	1475 Nondwelling Equipment			NY042-0006 Exterior pointing (lintels, sills)		\$15,000.00
	Central Equip./Vehicles/Bldg System Equip		\$60,935.00	NY042-0001 Boilers		\$50,000.00
				NY042-0003 Boilers		\$50,000.00
				NY042-0006 Boilers		\$51,310.00
				NY042-0001 Replace Windows		\$20,000.00
				NY042-0003 Replace Windows		\$20,000.00
				1465 Dwelling Equipment		
				NY042-0001 Stoves / Refrigerators		\$5,000.00
				NY042-0003 Stoves / Refrigerators		\$5,000.00
				NY042-0006 Stoves / Refrigerators		\$5,000.00
				1475 Nondwelling Equipment		
				Central Equip./Vehicles		\$90,000.00
				Central Office upgrade heating system		\$100,000.00
	Subtotal of Estimated Cost		\$1,447,981.00	Subtotal of Estimated Cost		\$1,447,981.00

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year <u>2013</u>			Work Statement for Year <u>2014</u>		
	FFY					
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Central 1406 Operations		\$259,000.00	Central 1406 Operations		\$259,000.00
Annual	1408 Management Improvements			1408 Management Improvements		
Statement	Central Security/Clerk/Training/Travel		\$20,000.00	Central Security/Clerk/Training/Travel		\$20,000.00
	1410 Administration			1410 Administration		
	Central Admin Salaries		\$20,000.00	Central Admin Salaries		\$20,000.00
	Central Mod. Coordinator		\$113,000.00	Central Mod. Coordinator		\$113,000.00
	Central Per Diem Accountant		\$4,000.00	Central Per Diem Accountant		\$4,000.00
	1430 Fees & Costs			1430 Fees & Costs		
	NY042-001 A/E Services		\$27,354.00	NY042-001 A/E Services		\$39,000.00
	NY042-003 A/E Services		\$72,646.00	NY042-003 A/E Services		\$26,200.00
	NY042-006 A/E Services		\$0.00	NY042-006 A/E Services		\$25,000.00
	NY042-0006 Development Design Consultant		\$100,000.00	NY042-006 Development Design Consultant		\$100,000.00
	Central Management Consultant Fee		\$18,000.00	Central Management Consultant Fee		\$18,000.00
	Central Sundry		\$1,000.00	Central Sundry		\$1,000.00
	1450 Site Improvement			1450 Site Improvement		
	NY042-0001 Landscaping/Sidewalks/Paving		\$20,000.00	NY042-0001 Landscaping/Sidewalks/Paving		\$10,000.00
	NY042-0003 Landscaping/Sidewalks/Paving		\$15,000.00	NY042-0003 Landscaping/Sidewalks/Paving		\$10,000.00
	NY042-0006 Landscaping/Sidewalks/Paving		\$15,000.00	1460 Dwelling Structures		
				NY042-0001 Painting		\$15,000.00
				NY042-0003 Painting		\$15,000.00
				NY042-0006 Painting		\$30,000.00
	Subtotal of Estimated Cost		\$ See Pg 6	Subtotal of Estimated Cost		\$ See Pg 6

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year <u>2013</u>			Work Statement for Year <u>2014</u>		
	FFY					
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Continued from page 5			Continued from page 5		
Annual Statement	1460 Dwelling Structures			1460 Dwelling Structures (Con't)		
	NY042-0001 Paint/Stucco Building Façade		\$60,000.00	NY042-0001 Windows		\$50,000.00
	NY042-0003 Paint/Stucco Building Façade		\$65,000.00	NY042-0003 Windows Screens		\$30,000.00
	NY042-0001 Repl. Oil Tank (120 Lake)		\$125,000.00	NY042-0006 Windows		\$50,000.00
	NY042-0003 New Windows		\$426,320.00	NY042-0001 Kitchen & Bath Renovations		\$95,000.00
				NY042-0003 Kitchen & Bath Renovations		\$107,781.00
	1465 Dwelling Equipment			NY042-0006 Stair Treads		\$50,000.00
	NY042-001 Stoves / Refrigerators		\$5,000.00	NY042-0003 Ext. Common Area Doors		\$20,000.00
	NY042-003 Stoves / Refrigerators		\$5,000.00	NY042-0006 Ext. Common Area Doors		\$20,000.00
	NY042-006 Stoves / Refrigerators		\$5,000.00	NY042-0001 Screen Door (Terraces)		\$10,000.00
				NY042-0001 Apt. Metering		\$70,000.00
	1475 Non- Dwelling Equipment			NY042-0001 Apt. Flooring		\$80,000.00
	Vehicles		\$71,661.00	NY042-0003 Lighting (energy savings)		\$10,000.00
				NY042-0003 Compactor Chute Doors		\$25,000.00
				NY042-0006 Bldg. Mech. Equipment		\$40,000.00
				NY042-0006 Oil Tanks - Rem. & Replace		\$40,000.00
				1465 Dwelling Equipment		
				NY042-001 Stoves / Refrigerators		\$15,000.00
				NY042-003 Stoves / Refrigerators		\$15,000.00
				NY042-006 Stoves / Refrigerators		\$15,000.00
	Subtotal of Estimated Cost		\$1,447,981.00	Subtotal of Estimated Cost		\$1,447,981.00

Part I: Summary				
PHA Name: WHITE PLAINS HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	NY36P004201-07	FFY of Grant: 2007 FFY of Grant Approval: 2007	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1
		Original	Revised 2	Obligated
Signature of Executive Director & Date: <i>Mark Carter</i> 2/9/2010		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		NY36P004201-07 CFFP (Yes/No):		Federal FFY Grant : 2007		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Operations	1406		\$275,264.00	\$275,264.00	\$275,264.00	\$275,264.00	100% Completed
	Total 1406			\$275,264.00	\$275,264.00	\$275,264.00	\$275,264.00	
	Management Improvements:	1408						
	Security, Training, Uniforms, Travel			\$15,000.00	\$17,474.24	\$16,494.24	\$16,494.24	94% Completed
	Total 1408			\$15,000.00	\$17,474.24	\$16,494.24	\$16,494.24	
	Administration:	1410						
	Staff Time			\$43,364.00	\$43,364.00	\$43,364.00	\$43,364.00	100% Completed
	Modernization Coordinator			\$94,268.00	\$94,268.00	\$94,268.00	\$94,268.00	100% Completed
	Total 1410			\$137,632.00	\$137,632.00	\$137,632.00	\$137,632.00	
	Fees and Costs:	1430						
	A/E Fees			\$131,000.00	\$89,370.40	\$89,370.40	\$89,370.40	100% Completed
	Sundry			\$1,000.00	\$2,570.70	\$2,570.70	\$2,570.70	100% Completed
	Management Consultant			\$18,000.00	\$21,789.60	\$17,289.60	\$17,289.60	79% Completed
	Hope VI Consultant			\$0.00	\$44,626.66	\$44,626.66	\$44,626.66	100% Completed
	Total 1430			\$150,000.00	\$158,357.36	\$153,857.36	\$153,857.36	
	Site Improvements	1450						
	Plantings / Side Walk Repairs			\$36,256.00	\$20,322.62	\$20,322.62	\$11,044.25	54% Completed
	Total 1450			\$36,256.00	\$20,322.62	\$20,322.62	\$11,044.25	
	Subtotal			\$614,152.00	\$609,050.22	\$603,570.22	\$594,291.85	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:			NY36P004201-07 CFFP (Yes/No):		Federal FFY Grant : 2007	
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Dwelling Structures	1460						
	Boiler Room Floor Drains & Painting			\$21,201.00	\$0.00	\$0.00	\$0.00	No Activity
	Bathroom Renovations			\$200,000.00	\$0.00	\$0.00	\$0.00	No Activity
	Apartment Painting			\$53,000.00	\$67,680.00	\$67,680.00	\$67,680.00	100% Completed
	New Apt. Doors - Intercom Upgrade			\$115,000.00	\$0.00	\$0.00	\$0.00	No Activity
	Fire Alarm			\$0.00	\$48,877.38	\$48,877.38	\$48,877.38	100% Completed
	Doors Hardware			\$0.00	\$46,367.65	\$46,367.65	\$46,367.65	100% Completed
	Manager's Office			\$0.00	\$174,744.26	\$174,744.26	\$174,744.26	100% Completed
	Reinstall Hot Water Tanks			\$105,000.00	\$0.00	\$0.00	\$0.00	No Activity
	New Windows			\$135,000.00	\$0.00	\$0.00	\$0.00	No Activity
	Elevator Rehab			\$92,967.00	\$0.00	\$0.00	\$0.00	No Activity
	Pointing			\$0.00	\$381,691.36	\$381,691.36	\$381,691.36	100% Completed
	Total 1460			\$722,168.00	\$719,360.65	\$719,360.65	\$719,360.65	
	Dwelling Equipment	1465						
	Stoves / Refrigerators			\$20,000.00	\$23,970.00	\$23,374.27	\$23,970.00	100% Completed
	Total 1465			\$20,000.00	\$23,970.00	\$23,374.27	\$23,970.00	
	Non Dwelling Equipment	1475						
	Tools & Equipment			\$20,000.00	\$23,939.13	\$23,939.13	\$14,170.63	59% Completed
	Total 1475			\$20,000.00	\$23,939.13	\$23,939.13	\$14,170.63	
	Total Capital Funds for 2007			\$1,376,320.00	\$1,376,320.00	\$1,370,244.27	\$1,351,793.13	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **WHITE PLAINS HOUSING AUTHORITY** Federal FFY of Grant: **NY36P004201-07**

Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Reason for Revised Target Dates (1)
1406	9/13/2007	9/12/2011	
1408	9/13/2007	9/12/2011	
1410	9/13/2007	9/12/2011	
1430	9/13/2007	9/12/2011	
1450	9/13/2007	9/12/2011	
1460	9/13/2007	9/12/2011	
1465	9/13/2007	9/12/2011	
1475	9/13/2007	9/12/2011	

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary				
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY36P04250108 Replacement Housing Factor Grant No: Date of CFFP:		
		FFY of Grant: 2008 FFY of Grant Approval: 2008		
Type of Grant				
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost
		Original	Revised 2	Obligated Expended
Signature of Executive Director & Date: <i>Mark Carter</i> 2/9/2010		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number NY36P04250108			Federal FFY Grant : 2008			
		Capital Fund Program Grant No:		CFFP (Yes/No):				
		Date of CFFP:						
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Operations	1406		\$269,494.00	\$269,494.00	\$269,494.00	\$269,494.00	100% Completed
	Total 1406			\$269,494.00	\$269,494.00	\$269,494.00	\$269,494.00	
	Management Improvements:	1408						
	Security, Training, Uniforms, Travel			\$15,000.00	\$15,000.00	\$0.00	\$0.00	No Activity
	Total 1408			\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Administration:	1410						
	Admin Salaries			\$29,587.00	\$29,587.00	\$29,587.00	\$29,587.00	100% Completed
	Modernization Coordinator			\$101,160.00	\$101,160.00	\$101,160.00	\$77,209.86	76% Completed
	Per Diem Accountant			\$4,000.00	\$4,000.00	\$4,000.00	\$4,000.00	100% Completed
	Total 1410			\$134,747.00	\$134,747.00	\$134,747.00	\$110,796.86	
	Fees and Costs:	1430						
	A/E Fees			\$85,000.00	\$85,000.00	\$0.00	\$0.00	No Activity
	Sundry			\$1,000.00	\$1,000.00	\$0.00	\$0.00	No Activity
	Management Consultant			\$18,000.00	\$18,000.00	\$18,000.00	\$0.00	0% Completed
	Hope VI Consultant			\$75,000.00	\$75,000.00	\$75,000.00	\$0.00	0% Completed
	Total 1430			\$179,000.00	\$179,000.00	\$93,000.00	\$0.00	
	Subtotal			\$598,241.00	\$598,241.00	\$497,241.00	\$380,290.86	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:			NY36P04250108 Federal FFY Grant : CFFP (Yes/No): 2008			
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Site Improvements	1450						
NY042-0006	Plantings / Side Walk Repairs			\$55,000.00	\$48,734.32	\$0.00	\$0.00	No Activity
	Steam liine replacement			\$35,000.00	\$41,265.68	\$41,265.68	\$41,265.68	100% Completed
	Total 1450			\$90,000.00	\$90,000.00	\$41,265.68	\$41,265.68	
	Dwelling Structures	1460						
NY042-0006	Brick Pointing			\$145,000.00	\$62,125.66	\$62,125.66	\$62,125.66	100% Completed
NY042-0003	Painting			\$30,000.00	\$30,000.00	\$27,870.00	\$27,870.00	93% Completed
NY042-0001	Apartment doors and locks			\$50,000.00	\$0.00	\$0.00	\$0.00	No Activity
NY042-0006	Elevator Rehabilitation			\$175,000.00	\$272,300.00	\$272,300.00	\$272,300.00	100% Completed
NY042-0001	Terrace doors, screens and locks			\$25,000.00	\$25,000.00	\$0.00	\$0.00	No Activity
NY042-0006	New windows			\$153,273.00	\$64,962.92	\$0.00	\$0.00	No Activity
NY042-0006	Electric key system			\$50,959.00	\$25,343.42	\$0.00	\$0.00	No Activity
DeKalb	HW Heaters			\$0.00	\$149,500.00	\$149,500.00	\$149,500.00	100% Completed
	Total 1460			\$629,232.00	\$629,232.00	\$511,795.66	\$511,795.66	
	Non Dwelling Structures	1470						
	Storage area for maintenance			\$30,000.00	\$30,000.00	\$0.00	\$0.00	No Activity
	Total 1470			\$30,000.00	\$30,000.00	\$0.00	\$0.00	
	Total Capital Funds for 2008			\$1,347,473.00	\$1,347,473.00	\$1,050,302.34	\$933,352.20	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: WHITE PLAINS HOUSING AUTHORITY				Federal FFY of Grant: NY36P04250108	
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates (1)
1406	6/12/2010		6/12/2012		
1408	6/12/2010		6/12/2012		
1410	6/12/2010		6/12/2012		
1430	6/12/2010		6/12/2012		
1450	6/12/2010		6/12/2012		
1460	6/12/2010		6/12/2012		
1470	6/12/2010		6/12/2012		

1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary			
PHA Name: WHITE PLAINS HOUSING AUTHORITY	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Grant Type and Number Capital Fund Program Grant No: Date of CFFP:</td> <td style="width:50%;">NY36P04250109 Replacement Housing Factor Grant No:</td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: Date of CFFP:	NY36P04250109 Replacement Housing Factor Grant No:
Grant Type and Number Capital Fund Program Grant No: Date of CFFP:	NY36P04250109 Replacement Housing Factor Grant No:		
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>FFY of Grant: CFP2009</td> </tr> <tr> <td>FFY of Grant Approval: CFP2009</td> </tr> </table>	FFY of Grant: CFP2009	FFY of Grant Approval: CFP2009
FFY of Grant: CFP2009			
FFY of Grant Approval: CFP2009			

Type of Grant

Original Annual Statement Reserved for Disasters/Emergencies
 Performance and Evaluation Report for period Ending 9/30/09 Revised Annual Statement (revision no: 1)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) ³	\$247,198.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$20,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$84,747.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$259,157.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$34,400.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$802,479.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpandable		\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$1,447,981.00	\$0.00	\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations
⁴ RHF funds shall be included here

Part I: Summary				
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY36P04250109 Date of CFFP: Replacement Housing Factor Grant No:		FFY of Grant: 2009 FFY of Grant Approval: 2009
Type of Grant				
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending: 9/30/09 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1
		Original	Revised 2	Obligated Expended
Signature of Executive Director & Date: <i>Mark Carter</i> 2/9/2010		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		NY36P04250109 CFFP (Yes/No):		Federal FFY Grant : 2009		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Operations	1406						
	Operations			\$247,198.00				
	Total 1406			\$247,198.00				
	Management Improvements	1408						
	Security, Training, Uniforms, Travel			\$20,000.00				
	Total 1406			\$20,000.00				
	Administration	1410						
	Admin Salaries			\$29,587.00				
	Modernization Coordinator			\$51,160.00				
	Per Dium Accountant			\$4,000.00				
	Total 1410			\$84,747.00				
	Fees and Costs	1430						
NY042-00001	A/E Fees			\$65,125.00				
NY042-00006	Management Consultant			\$18,000.00				
NY042-00006	Master Development Funding			\$75,000.00				
NY042-00006	Development Consultant			\$100,000.00				
	Sundry			\$1,032.00				
	Total 1430			\$259,157.00				
	Page Subtotal			\$611,102.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:			NY36P04250109 Federal FFY Grant : CFFP (Yes/No): 2009			
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Site Improvements	1450						
NY042-00001	Site Work Improvements			\$10,800.00				
NY042-00003	Site Work Improvements			\$10,800.00				
NY042-00006	Site Work Improvements			\$12,800.00				
	Total 1450			\$34,400.00				
	Dwelling Structures	1460						
NY042-00001	Apt. Doors & Hardware			\$200,000.00				
NY042-00001	Apt. & Common Area Painting			\$50,000.00				
NY042-00001	A/C Sleeves			\$60,000.00				
NY042-00001	Master Antenna			\$15,000.00				
	Subtotal 120 Lake Street			\$325,000.00				
	Dwelling Structures	1460						
NY042-00003	Stair Treads			\$25,319.00				
NY042-00003	A/C Sleeves			\$70,000.00				
NY042-00003	Apt. & Common Area Painting			\$50,000.00				
NY042-00003	Kitchen Improvements			\$267,160.00				
NY042-00003	Master Antenna			\$15,000.00				
	Subtotal 86 DeKalb Ave.			\$427,479.00				
	Page Subtotal			\$786,879.00				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 2 To be completed for the Performance and Evaluation Report.

Part I: Summary					
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY36S04250109 Date of CFFP: Replacement Housing Factor Grant No:		FFY of Grant: 2009 Stimulus FFY of Grant Approval: 2009 Stimulus	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) ³	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$0.00	\$170,563.00	\$14,581.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$80,000.00	\$100,000.00	\$100,000.00	\$81,910.13
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$50,000.00	\$8,700.00	\$8,700.00	\$0.00
10	1460 Dwelling Structures	\$1,292,634.00	\$1,266,219.00	\$1,189,032.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpandable	\$188,000.00	\$70,000.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$30,000.00	\$90,152.00	\$90,152.00	\$0.00
13	1475 Nondwelling Equipment	\$65,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities ⁴	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	\$1,705,634.00	\$1,705,634.00	\$1,402,465.00	\$81,910.13
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

¹ To be completed for the Performance and Evaluation Report

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations

⁴ RHF funds shall be included here

Part I: Summary				
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY36S04250109 Date of CFFP: Replacement Housing Factor Grant No:		FFY of Grant: 2009 Stimulus FFY of Grant Approval: 2009 Stimulus
Type of Grant				
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1
		Original	Revised 2	Obligated Expended
Signature of Executive Director & Date: <i>Mark Carter</i> 2/9/2010		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number NY36S04250109 Capital Fund Program Grant No: Date of CFFP:			Federal FFY Grant : CFFP (Yes/No): 2009 Stimulus			
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Administration	1410						
	Admin Cost.			\$0.00	\$170,563.00	\$14,581.00	\$0.00	
	Total 1410			\$0.00	\$170,563.00	\$14,581.00	\$0.00	
	Fees and Costs	1430						
NY042-00001	A/E Fees			\$35,200.00	\$35,200.00	\$35,200.00	\$35,200.00	
NY042-00003	A/E Fees			\$20,800.00	\$40,800.00	\$40,800.00	\$40,800.00	
NY042-00006	A/E Fees			\$24,000.00	\$24,000.00	\$24,000.00	\$5,910.13	
	Total 1430			\$80,000.00	\$100,000.00	\$100,000.00	\$81,910.13	
	Site Improvements	1450						
NY042-00006	Site Work Improvements			\$25,000.00	\$8,700.00	\$8,700.00	\$0.00	
NY042-00003	Site Work Improvements			\$12,500.00	\$0.00	\$0.00	\$0.00	
NY042-00001	Site Work Improvements			\$12,500.00	\$0.00	\$0.00	\$0.00	
	Total 1450			\$50,000.00	\$8,700.00	\$8,700.00	\$0.00	
	Dwelling Structures	1460						
NY042-00001	Elevator Rehab			\$221,534.00	\$309,200.00	\$284,119.00	\$0.00	
NY042-00001	Boiler Room Equip. Modernization			\$10,500.00	\$0.00	\$0.00	\$0.00	
NY042-00001	Stair Treatment			\$5,000.00	\$0.00	\$0.00	\$0.00	
NY042-00001	Oil Tank Replacement			\$150,000.00	\$174,200.00	\$174,200.00	\$0.00	
NY042-00001	Bath/Kitchen Renovations			\$40,000.00	\$162,737.00	\$162,737.00	\$0.00	
NY042-00001	Painting, Stucco, Closet Doors			\$142,500.00	\$173,476.00	\$173,476.00	\$0.00	
	Subtotal 120 Lake Street			\$569,534.00	\$819,613.00	\$794,532.00	\$0.00	
	Page Subtotal			\$699,534.00	\$1,098,876.00	\$917,813.00	\$81,910.13	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: WHITE PLAINS HOUSING AUTHORITY		Grant Type and Number		NY36S04250109 Federal FFY Grant :				
		Capital Fund Program Grant No:		CFFP (Yes/No):		2009 Stimulus		
		Date of CFFP:						
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	Dwelling Structures	1460						
NY042-00003	Boiler Room Equip. Modernization			\$10,500.00	\$10,500.00	\$10,500.00	\$0.00	
NY042-00003	Stair Treatment			\$5,000.00	\$0.00	\$0.00	\$0.00	
NY042-00003	Painting, Stucco			\$52,500.00	\$287,000.00	\$287,000.00	\$0.00	
NY042-00003	Doors, Hardware			\$90,000.00	\$0.00	\$0.00	\$0.00	
NY042-00003	Doors			\$125,000.00	\$0.00	\$0.00	\$0.00	
NY042-00003	Bath/Kitchen Renovations			\$58,000.00	\$0.00	\$0.00	\$0.00	
	Subtotal 86 DeKalb Ave.			\$341,000.00	\$297,500.00	\$297,500.00	\$0.00	
	Dwelling Structures	1460						
NY042-00006	Boiler Room - Water Heaters			\$60,000.00	\$0.00	\$0.00	\$0.00	
NY042-00006	Stair Treatment			\$60,000.00	\$97,000.00	\$97,000.00	\$0.00	
NY042-00006	Painting			\$209,600.00	\$52,106.00	\$0.00	\$0.00	
NY042-00006	Boiler Room Equip., Modernization			\$52,500.00	\$0.00	\$0.00	\$0.00	
	Subtotal Winbrook Apts.			\$382,100.00	\$149,106.00	\$97,000.00	\$0.00	
	Total 1460			\$1,292,634.00	\$1,266,219.00	\$1,189,032.00	\$0.00	
	Dwelling Equipment	1465						
NY042-00001	Stoves & Refrigerators			\$88,000.00	\$35,000.00	\$0.00	\$0.00	
NY042-00003	Stoves & Refrigerators			\$100,000.00	\$35,000.00	\$0.00	\$0.00	
	Total 1465			\$188,000.00	\$70,000.00	\$0.00	\$0.00	
	Non Dwelling Structures	1470						
NY042-00006	Windows, Maintenance Area Upgrade			\$30,000.00	\$90,152.00	\$90,152.00	\$0.00	
	Total 1470			\$30,000.00	\$90,152.00	\$90,152.00	\$0.00	
	Page Subtotal			\$941,100.00	\$606,758.00	\$484,652.00	\$0.00	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

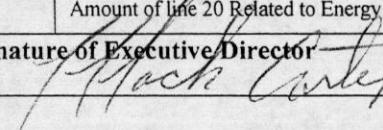
U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	Grant Type and Number Capital Fund Program Grant No: NY04200000309R Replacement Housing Factor Grant No: Date of CFRC: 09/28/2009 Creation of an Energy Efficient Green Community – Option 2 Moderate Rehabilitation
FFY of Grant: 2009 FFY of Grant Approval: 9/28/2009	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 9/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds			0.00	0.00
2	1406 Operations (may not exceed 20% of line 21) ³		0.00	0.00	0.00
3	1408 Management Improvements		0.00	0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)		\$178,000.00	0.00	0.00
5	1411 Audit		0.00	0.00	0.00
6	1415 Liquidated Damages		0.00	0.00	0.00
7	1430 Fees and Costs		\$178,000.00	0.00	0.00
8	1440 Site Acquisition		0.00	0.00	0.00
9	1450 Site Improvement		0.00	0.00	0.00
10	1460 Dwelling Structures		\$1,424,000.00	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable		0.00	0.00	0.00
12	1470 Non-dwelling Structures		0.00	0.00	0.00
13	1475 Non-dwelling Equipment		0.00	0.00	0.00
14	1485 Demolition		0.00	0.00	0.00
15	1492 Moving to Work Demonstration		0.00	0.00	0.00
16	1495.1 Relocation Costs		0.00	0.00	0.00
17	1499 Development Activities ⁴		0.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	Grant Type and Number Capital Fund Program Grant No: NY04200000309R Replacement Housing Factor Grant No: Date of CFRC: 9/28/2009 Creation of an Energy Efficient Green Community – Option 2 Moderate Rehabilitation	FFY of Grant:2009 FFY of Grant Approval: 09/28/2009			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00		0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00		0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00		0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,780,000.00		0.00	0.00
21	Amount of line 20 Related to LBP Activities	0.00		0.00	0.00
22	Amount of line 20 Related to Section 504 Activities	0.00		0.00	0.00
23	Amount of line 20 Related to Security - Soft Costs	0.00		0.00	0.00
24	Amount of line 20 Related to Security - Hard Costs	0.00		0.00	0.00
25	Amount of line 20 Related to Energy Conservation Measures	0.00		0.00	0.00
Signature of Executive Director 		Date 2/9/2010	Signature of Public Housing Director		Date

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Part II: Supporting Pages								
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601			Grant Type and Number Capital Fund Program Grant No: NY04200000309R CFFP (Yes/ No): No - CFRC Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY042000003	Administration	1410						
	WPHA Administration			\$178,000.00	0.00	0.00	0.00	
	Total 1410			\$178,000.00	0.00	0.00	0.00	
NY042000003	Fees and Costs	1430						
	Consulting Services			178,000.00	0.00	0.00	0.00	
	Total 1430			\$178,000.00	0.00	0.00	0.00	
NY042000003	Dwelling Structures	1460						
	Demolition	1460		\$45,000.00	0.00	0.00	0.00	
	HVAC	1460		\$779,000.00	0.00	0.00	0.00	
	Plumbing	1460		\$85,000.00	0.00	0.00	0.00	
	Roof Restoration	1460		\$160,000.00	0.00	0.00	0.00	
	Electric	1460		\$95,000.00	0.00	0.00	0.00	
	Photovoltaic System	1460		\$260,000.00	0.00	0.00	0.00	
	Total 1460			\$1,424,000.00	0.00	0.00	0.00	

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	Grant Type and Number Capital Fund Program Grant No: NY04200000109E Replacement Housing Factor Grant No: Date of CFRC: 09/28/2009 Capital Funds Elderly & Persons with Disabilities Recovery Competitive Grant
FFY of Grant: 2009 FFY of Grant Approval: 09/28/2009	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 9/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds		\$0.00		0.00
2	1406 Operations (may not exceed 20% of line 21) ³		\$0.00		0.00
3	1408 Management Improvements		\$0.00		0.00
4	1410 Administration (may not exceed 10% of line 21)		\$178,000.00		0.00
5	1411 Audit		\$0.00		0.00
6	1415 Liquidated Damages		\$0.00		0.00
7	1430 Fees and Costs		\$178,000.00		0.00
8	1440 Site Acquisition		\$0.00		0.00
9	1450 Site Improvement		\$50,000.00		0.00
10	1460 Dwelling Structures		\$1,299,000.00		0.00
11	1465.1 Dwelling Equipment—Nonexpendable		\$0.00		0.00
12	1470 Non-dwelling Structures		\$0.00		0.00
13	1475 Non-dwelling Equipment		\$0.00		0.00
14	1485 Demolition		\$0.00		0.00
15	1492 Moving to Work Demonstration		\$0.00		0.00
16	1495.1 Relocation Costs		\$75,000.00		0.00
17	1499 Development Activities ⁴		\$0.00		0.00

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
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Part I: Summary	
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	Grant Type and Number Capital Fund Program Grant No: NY04200000109E Replacement Housing Factor Grant No: Date of CFRC: 09/28/2009 Capital Funds Elderly & Persons with Disabilities Recovery Competitive Grant
FFY of Grant: 2009 FFY of Grant Approval: 09/28/2009	

Type of Grant

Original Annual Statement
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Performance and Evaluation Report for Period Ending: 9/30/2009
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	0.00	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	0.00	0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,780,000.00	1,780,000.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of line 20 Related to Section 504 Activities	0.00	0.00	0.00	0.00
23	Amount of line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Signature of Executive Director <i>[Signature]</i>	Date 2/9/2010	Signature of Public Housing Director	Date
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Part II: Supporting Pages								
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601			Grant Type and Number Capital Fund Program Grant No: NY04200000109E CFFP (Yes/ No): No - CFRC Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
NY042000001	Administration	1410						
	WPHA Administration			178,000.00	0.00	0.00	0.00	
	Total 1410			\$178,000.00	0.00	0.00	0.00	
NY042000001	Fees and Costs	1430						
	Consulting Services			178,000.00	0.00	0.00	0.00	
	Total 1430			\$178,000.00	0.00	0.00	0.00	
NY042000001	Site Improvements	1450		50,000.00	0.00	0.00	0.00	
	Total 1450			\$50,000.00	0.00	0.00	0.00	
NY042000001	Dwelling Structures	1460			0.00	0.00	0.00	
	Demolition	1460		\$55,000.00	0.00	0.00	0.00	
	Woods and Plastics	1460		\$143,929.00	0.00	0.00	0.00	
	Door Frames and Hardware	1460		\$66,725.00	0.00	0.00	0.00	
	Finishes	1460		\$307,342.00	0.00	0.00	0.00	
	Specialties	1460		\$5,564.00	0.00	0.00	0.00	
	Plumbing	1460		\$107,675.00	0.00	0.00	0.00	
	Fire Protection	1460		\$47,673.00	0.00	0.00	0.00	
	HVAC	1460		\$215,968.00	0.00	0.00	0.00	
	Electric	1460		\$199,124.00	0.00	0.00	0.00	
	Equipment	1460		\$150,000.00	0.00	0.00	0.00	
	Total 1460			\$1,299,000.00	0.00	0.00	0.00	

Attachment NY042i02

Resident Advisory Board Comments

The White Plains Housing Authority held a public hearing on January 12, 2010 @ 4:30PM to discuss the program work activities. There were no comments from the RAB Resident Advisory Board during the public hearing session.