

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Herkimer Housing Authority</u> PHA Code: <u>NY019</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>7/2010</u>
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<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>175</u> Number of HCV units: <u>52</u>
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<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input checked="" type="checkbox"/> 5-Year Plan Only
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<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)
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Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.
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<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <p style="text-align: center;"><b>THE MISSION OF THE HERKIMER HOUSING AUTHORITY IS TO BE THE AREA'S AFFORDABLE HOUSING OF CHOICE. WE PROVIDE AND MAINTAIN SAFE, QUALITY HOUSING IN A COST-EFFECTIVE MANNER. BY PARTNERING WITH OTHERS, WE OFFER RENTAL ASSISTANCE AND OTHER RELATED SERVICES TO OUR COMMUNITY IN A NON-DISCRIMINATORY MANNER.</b></p>
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<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <p style="text-align: center;"><b>Goals for 2010 – 2014</b></p> <p><b>Goal:</b> A goal of the Herkimer Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.</p> <p><b>Objective:</b> The Herkimer Housing Authority will continue to refer victims of domestic violence to the appropriate agency.</p> <p>The Herkimer Housing Authority will continue to provide brochures about the rights of tenants in regard to the Violence Against Women Act.</p> <p>The Herkimer Housing Authority will continue to attach the appropriate VAWA language to all applications.</p> <p>The Herkimer Housing Authority will continue to include the Violence Against Women Act language in 100% of our leases.</p> <p><b>Goal:</b> Manage the Herkimer Housing Authority's Tenant-Based Assistance Program in an Efficient and Effective Manner.</p> <p><b>Objective:</b> HUD will recognize the Herkimer Housing Authority as a High Performer under SEMAP.</p>
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**Goal:** Manage the Herkimer Housing Authority's Public Housing Program in an efficient and effective manner.

**Objective:** HUD shall recognize the Herkimer Housing Authority as a high performer under PHAS.

**Goal:** Maintain the Herkimer Housing Authority's property in a decent condition.

**Objective:** The Herkimer Housing Authority will continue to deliver timely and high quality maintenance service to the residents.

### **Progress on the Goals Set For 2005 - 2009**

**Goal:** Manage the Herkimer Housing Authority's Tenant-Based Assistance Program in an Efficient and Effective Manner.

**Objective:** HUD will recognize the Herkimer Housing Authority as a High Performer under SEMAP.

**Progress:** The Herkimer Housing Authority has accomplished this objective by receiving High Performer Status, with a score of 100%, under SEMAP in 2007 and 2009. (As a small HA, we are scored every two years.)

**Goal:** Manage the Herkimer Housing Authority's Public Housing Program in an efficient and effective manner.

**Objective:** HUD shall recognize the Herkimer Housing Authority as a high performer under PHAS.

**Progress:** The Herkimer HA has achieved this objective and continues to work in an efficient and effective manner.

**Progress:** The Herkimer Housing Authority received High Performer Status under PHAS in 2007. As a small PHA, Herkimer is scored every two years. The 2009 PHAS scores are not released yet. But after having the physical inspection and reviewing our financial, MASS and RASS we anticipate another high performer score.

**Goal:** Maintain the Herkimer Housing Authority's property in a decent condition.

**Objective:** The Herkimer Housing Authority will continue to deliver timely and high quality maintenance service to the residents.

**Progress:** Our MASS report shows work orders and apartment turnarounds are delivered in a timely manner. The Herkimer Housing Authority has a new work order software system, tracking all work orders. The Herkimer Housing Authority received a physical inspection report scored of a 93% for 2009. The Herkimer Housing Authority has hired two additional members of the maintenance dept. to supply more quality and efficient maintenance services to our residents.

### **Violence Against Women Act Report**

**A goal of the Herkimer Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.**

The Herkimer Housing Authority has provided notices in compliance with HUD guidance to each resident and Section 8 participant and has attached proper notice to all applicants advising them of the new law that President Bush signed in January 2006 known as the Violence Against Women Act and Department of Justice Reauthorization Act of 2005. Additionally, we have brochures available to all residents, visitors and any applicants who visit the development. We have included the required language in our lease as follows:

**VIOLENCE AGAINST WOMEN ACT PROTECTIONS:** The Violence Against Women Act provides the following protections to public housing residents.

	<p>a. The Landlord will not terminate or refuse to renew the Lease and will not evict the Resident or a member of Resident’s household from the dwelling unit if the Resident or household member is a victim of actual or threatened domestic violence, dating violence, or stalking as those terms are defined by the Admission and Continued Occupancy Policy (ACOP).</p> <p>b. Under the Violence Against Women Act, the Landlord may bifurcate this Lease in order to evict, remove, or terminate assistance to any person who is a Resident or a lawful occupant under this lease when such person engages in criminal acts of physical violence against family members or others, on or off the premises. Landlord may take such action without evicting, removing, terminating assistance to, or otherwise penalizing a victim of such violence who is the Resident or is a lawful occupant under this Lease.</p> <p>c. Notwithstanding anything to the contrary contained in paragraphs a and b above, the Landlord may terminate the Lease and evict the Resident if the Landlord can demonstrate an actual and imminent threat to other residents or to those employed at or providing goods or services to the site in which the unit is located, if the resident’s tenancy is not terminated.</p> <p>d. <b>Nothing in this section</b> shall prohibit the Landlord from terminating the Lease and evicting the Resident based on any violation of this lease not involving domestic violence, dating violence, or stalking against the Resident or household member.</p> <p><b>The Herkimer Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.</b></p> <p>The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by-case basis.</p> <p><b>The Herkimer Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.</b></p> <p>The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by-case basis.</p> <p><b>The Herkimer Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.</b></p> <p>The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by-case basis.</p>
6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>

8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	<b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

9.1	<b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. <b>See 5.2 above</b></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b>Substantial deviation and significant amendments or modifications are defined as discretionary changes in the plans or policies of the Herkimer Housing Authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.</b></p>

11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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