

1.0	PHA Information PHA Name: <u>Fort Fairfield Housing Authority</u> PHA Code: <u>ME002</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2010</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>81</u> Number of HCV units: <u>132</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Fort Fairfield Housing Authority is dedicated to providing this community with quality; affordable housing that is decent and safe. To provide livable communities that are made up of a diverse range of economic incomes. To provide residents with as many opportunities as possible to assist them in becoming economically self-sufficient by creating and maintaining partnerships with its clients and appropriate community agencies. All of this will be accomplished while serving our residents and neighboring citizens with the highest degree of professional courtesy, empathy and respect.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.				
	<u>2010-2014 Goals and Objectives:</u>				
	Goal 1: Enhance the image of the Fort Fairfield Housing Authority. Ensure that there are at least six (6) positive media stories per year about the Fort Fairfield Housing Authority or one of our residents. Walk each property daily to improve curb appeal. Update the website monthly Hold monthly lunch and learn meetings to keep employees informed and current in Housing Authority and safety matters.				
	Goal 2: Continue the Fort Fairfield Housing Authority's efforts to encourage self-sufficiency among its public housing residents and Section 8 participants. Increase the number of tenants in the Home Ownership program to three (3) Advertise the FSS Program to tenants and increase the number in the program to Six (6) participants. Run a jobs advertising program by working with the Career Center and maintaining a jobs posting board at the Housing Authority. Use the newsletter to advertise Jobs to our tenants.				
	Goal 3: Increase the inventory of affordable elderly housing in Fort Fairfield by 20 units by June 30, 2014. Work with the Town of Fort Fairfield and FFRDC to develop the Community General Hospital site into Senior Housing.				
	Goal 4: Maintain High Performer status in both public housing and Section 8 every year. Make six (6) additional units handicap accessible by June 30, 2014. Maintain unit turnaround time below 10 days				
	Goal 5: Increase expenditure of funds on "Green" projects to reduce consumption of oil. Introduce the use of solar domestic hot water systems and solar passive heat gain systems to existing housing. Move to LED lighting as technologies become available for area and entry lighting Evaluate and introduce geothermal heating if feasible in heat plant replacement projects				
	<u>Report on 2005 – 2009 Goals and Objectives</u>				
	Goal 1: Maintain high performer status in both PHAS and SEMAP - The Fort Fairfield Housing Authority Achieved high performer status for the past 5 years.				
	Goal 2: Enhance communications with the public housing residents and Section 8 participants - To enhance communications the Housing Authority established a quarterly newsletter and established semi-annual tenant maintenance training classes that were well attended. We also have all new tenants view the Nan McKay DVD's on their respective program and housekeeping prior to entering their program. We have also developed and maintain a Housing Authority web site located at www.ffha.org				

5.2 Cont	<p>Goal 3: Stay current and compliant on or with HUD requirements – The Fort Fairfield Housing Authority has maintained compliance with all HUD requirements for the past 5 years.</p> <p>Goal 4: Goal 4: Resolve the HUD voucher problem with Sunrise Terrace – The reporting issue on the Section 23/8 project has been resolved and is now reporting through PIC correctly.</p> <p>Goal 5: Expand the number of affordable housing options offered by the Housing Authority by acquiring existing housing – The Housing Authority is continuing its planning and working with the Fort Fairfield Residential Development Corporation to develop additional affordable housing in Fort Fairfield. Our efforts are currently focused on reducing family public housing in Borderview and increasing Elderly Housing in conjunction with mixed income project being planned by Fort Fairfield Residential Development Corporation.</p> <p>Goal 6: Begin the process of replacing Border View Homes over a period of time – Only site planning has been completed for Borderview Redevelopment. No funding has been available during the past 5-years to initiate redevelopment efforts.</p> <p>Goal 7: Continue the Fort Fairfield Housing Authority’s efforts to encourage self-sufficiency among its public housing residents and Section 8 participants – During the past 5 years the Fort Fairfield Housing Authority participated in a collaboration with two other Housing Authorities in a ROSS grant which provided training and other opportunities to Public Housing participants to help achieve self sufficiency.</p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) No revisions have been made to the PHA Plan elements since the last PHA plan submission.</p> <p>(b) The Fort Fairfield Housing Authority plans to submit an application to HUD for development under the Section 202 or Hope VI during this five year period.</p> <p>(c) The 5-Year and the Annual Plan elements may be viewed at the Main Office of the Fort Fairfield Housing Authority, 18 Fields Lane, Fort Fairfield, ME 04742. Copies of the plan may be obtained at a cost of 20 cents a page at the Main Office or are available free of charge at our web site at www.fha.org as a PDF file.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>(a) The Fort Fairfield Housing Authority is currently working with the 501C(3) Fort Fairfield Residential Development Corporation on a plan to develop a mixed income, mixed finance development at the site of the old Community General Project. We expect to submit an application to HUD under the Section 202 program or Hope VI on this project. Our intent under this project is to demolish 8, Family Public Housing units and rebuild 8 ADA compliant, two bedroom public housing, elderly units on the site mentioned above with 12 – 17 ADA compliant, two bedroom, affordable housing units.</p> <p>(b) The Fort Fairfield Housing Authority will continue to support the Homeownership with up to 3 Section 8 vouchers and will reevaluate increased support if we have all 3 vouchers filled.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See Atch 1</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Atch 2</p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Our current waiting list includes:</p> <ol style="list-style-type: none"> 1. 12 families are on the 1 bedroom Elderly/Disabled list. 50% are extremely low income, and 42% are very low income families and 8% are low income. 2. 25 families on the 2 Bedroom list with 76% being extremely low income, 16% very low income and 8% low income. 3. 5 on the 3 Bedroom list with 50% being extremely low income, 17% very low income and 33% low income. 4. 2 on the 4 Bedroom list with 50% being extremely low income and 50% very low income. 5. 32 on the Section 8 list with 78% being extremely low income, 19% very low income and 3% low income. <p>Three families on the waiting lists have disabled family members in the household.</p> <p>Most families on our waiting lists are predominately white. We do have a few (less than 10) minorities on the list. (Black and Native American)</p> <p>None of our 1950's family units are ADA compliant as they have stairs to reach the bathroom. We have in the past installed a stair lift as an accommodation. 11% of our Elderly/Disabled units are ADA compliant.</p> <p>We are currently working with the Town of Fort Fairfield, Fort Fairfield Residential Development Corporation (a 501c (3)) and The Aroostook Medical Center on a plan to develop a Mixed finance Senior Housing complex in Fort Fairfield that has a clinic on the site. In conjunction with this effort, a market survey was conducted by BCM Planning LLC. The survey stated that, "Despite the existence of approximately 650 assisted rental units in the market area, there is still 263 to 326 renter households age 65+ spending 30 – 35 percent of their income, or more on gross rental costs. This represents between 27% – 33% of all senior renters. A 20 unit housing development targeted to senior renters alone would need to capture 6% to 7.5% of the senior renters in the market area who now face a high housing cost burden."</p>
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9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <ol style="list-style-type: none"> 1. In conjunction with the development effort in 9.0 above we are looking at the possibility of retargeting some of our public housing from Family to Elderly/Disabled. Our market Survey and census data identifies that in the next 5 years we expect a 14% increase in our elderly population as the baby boomers come of age. On the other hand our family population continues to fall as young people leave the area and move in search of jobs. We intend to submit a Section 202 Supportive Housing application and submit a demolition/rebuild application as well. 2. Purge the Section 8 waiting list each time we issue vouchers by eliminating non-responsive clients from list. This clears the waiting list of individuals already housed and moves individuals awaiting housing up the list quicker. 3. Continue the Fort Fairfield Housing Authority's efforts to encourage self-sufficiency among its public housing residents and Section 8 participants. This effort when successful moves people out of public housing programs making room for other families in need. 4. Increase inventory of ADA compliant apartments by adding ADA features to existing units. The Housing Authority has 12 units in Hillcrest Estates that needs only minor equipment and feature additions to become ADA compliant. CFP funds for 1st year of 5-Year plan are targeted to many of these items. 5. Occupancy Staff will coordinate with local resources to find additional opportunities for housing within our geographical area. This could include advertising these opportunities to our clients since many of these projects could have other subsidies built into them.
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10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>See item 5.2</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <ol style="list-style-type: none"> 1. Any change with regard to demolition or disposition, designation. 2. Any decrease in support of homeownership programs. 3. Any conversion activities. 4. Changes to rent or admissions policies. <p>An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.</p>
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11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

- 1. At the end of the program year; until the program is completed or all funds are expended;
- 2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
- 3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) **Challenged Elements. Include any element(s) of the PHA Plan that is challenged.**
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Appendix A

Statement relating to the Violence Against Women Act

The PHA offers the same activities, services and programs to child and adult victims of domestic violence, dating violence, sexual assault and stalking as it does to all other community members. The PHA does not offer any activities, services or programs that are specifically designed to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. Referrals to outside agencies are offered by program staff as appropriate. Confidentiality and safety are always priorities in the planning and implementation of policies and programs. The follow services are available to those in need:

1. Pamphlets from the supporting agencies are provided at the front desk.
2. Orientation sessions have been scheduled with supporting agencies
3. Work with the local Battered Women's Shelter to provide units to victims of domestic violence, dating violence, sexual assault, or stalking.

Appendix B

Resident Advisory Board Comments

There were the following comments presented by the RAB:

1. Cora Curtis – Looks good.
2. Phyllis Cyr – Nothing in the plan for my development. (Phyllis is in a Section 8 - New Construction, MSHA Project, I have invited her into the Budget Planning Committee for Fields Lane II.
3. Eugene Butler – Would like to see windows in the Fields Lane 8-Plex that go up and down (double-hung) vs. cranked (casement). (Current Windows were new in 2002.)
4. Carolyn Henderson – Would like to see us look at a way of adding more ventilation on the 1st Floor of Hillcrest Estates. (Will address with this summer's CFP project.)
5. Florence Farrell – Would like to see some more storage available for tenants at Hillcrest Estates & ceiling fans for the common areas. (Working on making room available in storage room in basement.)
6. Christopher Jalbert – Would like to see some reseeding done at Borderview Homes. (Will address with this summer's projects.)

Challenged Elements

There were no challenged Elements of the Plan.

APPENDIX C

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.
 - Located in the ACOP at PHA Main Office at 18 Fields Lane , Fort Fairfield, ME 04742
2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
 - The Housing Authority has available the following resources to run the Public Housing Program:
 - i. Public Housing Operating Funds (Prorated by HUD based on Funding) estimated at \$372,000 for CY2010
 - ii. Public Housing Capital Funds (Modernization) are used to maintain buildings and infrastructure of Public Housing belonging to the Housing Authority of Fort Fairfield. Approximately \$130,000 is expected to be allocated in CY 2010.
 - iii. Resident Opportunity and Self Sufficiency (ROSS) Grant – Elderly (2010-2011) Operated by the Family Investment Center. Contact is Joyce at 493-7823. This was a \$250,000 grant to cover 3 years for 3 Housing Authorities in our area..
 - iv. Tenant Rental Income paid by the tenants represents about 38% of our annual operating budget.
 - v. Local Programs Allocations – Funds generated by management fees for managing other properties flow into the Local Programs Account and are allocated back into Public Housing to augment funds provided by HUD and tenant rental revenue to run the Housing Authority and provide subsidized units..
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
 - Located in the ACOP at PHA Main Office at 18 Fields Lane , Fort Fairfield, ME 04742
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
 - Located in the ACOP and Maintenance Plan. Both are located in the Housing Authority main office at 18 Fields Lane.

5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
 - Posted in the main lobby of the Housing Authority and is provided to the tenant along with the lease when they sign for their unit.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
 - Apartments in Fields Lane and Hillcrest Estates have been designated as Elderly/Disabled units.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
 - Located in the ACOP located in the Housing Authority main office at 18 Fields Lane, Fort Fairfield, ME 04742.
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
 - Located in the ACOP at PHA Main Office at 18 Fields Lane , Fort Fairfield, ME 04742
9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
 - A copy of the pet policy of the Housing Authority is issued to all tenants at the time of lease up and is available at the Main Office, 18 Fields Lane, Fort Fairfield, ME 04742
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
 - The audit is available for viewing at the Main Office, 18 Fields Lane, Fort Fairfield, ME 04742

12. Asset Management. A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory. (EXEMPT)

13. Violence Against Women Act (VAWA). A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

- Pamphlets from the supporting agencies are provided at the front desk.
- Orientation sessions have been scheduled with supporting agencies
- Work with the local Battered Women's Shelter to provide units to victims of domestic violence, dating violence, sexual assault, or stalking.

APPENDIX D

Use of Force Account Labor

The Fort Fairfield Housing Authority is a high performer and intends to use Force Account Labor on our Capital Fund Program projects where our existing workforce has the skills and time available to perform the work required for the CFP projects scheduled for FY 2011 – 2014. Wages for these employees will comply with the Davis Bacon prevailing wage determinations when working on CFP projects.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Fort Fairfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) ³	130000		130000	130000
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	0			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	0			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	0			
10	1460 Dwelling Structures	0			
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	0			
17	1499 Development Activities ⁴	0			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	130000			
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hard Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	100000			
Signature of Executive Director		Date 12/31/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Note: The Fort Fairfield Housing Authority is a small Housing Authority with < 250 units and is opting to use its full 2010 Capital Fund Program in Operations.

Part II: Supporting Pages								
PHA Name: Fort Fairfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406		130000				
			Total	130000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

The Fort Fairfield Housing Authority is a small Housing Authority with < 250 units and is opting to use its full Capital Fund Program in Operations.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Fort Fairfield Housing Authority					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
PHA Wide	09/14/2012		09/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary	
PHA Name: Fort Fairfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-09 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) ³	41966		48421	48421
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	0			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	0			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	0			
10	1460 Dwelling Structures	0			
11	1465.1 Dwelling Equipment—Nonexpendable	100000		93545	93545
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	0			
17	1499 Development Activities ⁴	0			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary						
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	141966		141966	141966	
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	100000			93544.50	
Signature of Executive Director			Date 12/31/2009	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Note: \$6455.50 from Line item 1465.1 Dwelling Equipment was expended in 1406 Operations instead. This represents 4.55% of the total grant.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Fort Fairfield Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME 2-1	09/14/2011	09/15/2009	09/14/2013	11/25/2009	
PHA Wide	09/14/2011	09/15/2009	09/14/2013	11/25/2009	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary		
PHA Name: Fort Fairfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36 S002 501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) ³	0			
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	0			
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	8000		7700	7700
8	1440 Site Acquisition	0			0
9	1450 Site Improvement	15000		15000	15000
10	1460 Dwelling Structures	120987		132837	132837
11	1465.1 Dwelling Equipment—Nonexpendable	22000		10450	10450
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	0			
17	1499 Development Activities ⁴	0			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary						
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 S002 501-09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009		<input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	165987		165987	165987	
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	150987		150987	150987	
Signature of Executive Director		Date 12/31/2009		Signature of Public Housing Director		
				Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Note: \$11550 from Line item 1465.1 Dwelling Equipment, and \$300 from Line Item 1430, Fees and Costs was expended in 1460 Dwelling Structures instead. We were able to do two more building for the Window, Insulation and Siding Project since the other projects came in under budget.

Part II: Supporting Pages								
PHA Name: Fort Fairfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36 S002 501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME 2-1	Replace Boiler Fields Lane III	1465.1		22000		10450	10450	100%
ME 2-1	Siding, Insulation, window replacement, 2 nd floor Borderview Family Project (5-6 bldg)	1460		120987		132837	132837	100%
ME 2-1	Lighting Replacement FLI & Hillcrest Estates	1450		15000		15000	15000	100%
HA Wide	A&E Services	1430		8000		7700	7700	100%
			Total	165987		165987	165987	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Fort Fairfield Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME002000001	03/18/2010	06/30/2009	03/18/2012	12/30/2009	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-08 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2008 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009 <input checked="" type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds	0				
2	1406 Operations (may not exceed 20% of line 21) ³	32000		34100	34100	
3	1408 Management Improvements	0				
4	1410 Administration (may not exceed 10% of line 21)	5000		5000	5000	
5	1411 Audit	500		0	0	
6	1415 Liquidated Damages	0				
7	1430 Fees and Costs	10000		10000	16855	
8	1440 Site Acquisition	0				
9	1450 Site Improvement	0				
10	1460 Dwelling Structures	83632		75177	75177	
11	1465.1 Dwelling Equipment—Nonexpendable	0				
12	1470 Non-dwelling Structures	0				
13	1475 Non-dwelling Equipment	0				
14	1485 Demolition	0				
15	1492 Moving to Work Demonstration	0				
16	1495.1 Relocation Costs	0				
17	1499 Development Activities ⁴	0				

Part I: Summary						
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-08 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009		<input checked="" type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	131132		131132	131132	
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security - Soft Costs	0				
24	Amount of line 20 Related to Security - Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	88000		85582	85582	
Signature of Executive Director		Date 12/31/2009		Signature of Public Housing Director		
				Date		

Notes:

- \$6355 from Line Item 1460, Dwelling Structures and \$500 from Line Item 1411, Audit was expended in Line Item 1430, Fees and Costs instead.
- \$2100 from Line Item 1460, Dwelling Structures, was expended on A&E Services under Line Item 1430.Fees & Costs. The items were correctly identified on the GL.

Part II: Supporting Pages								
PHA Name: Fort Fairfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36 P002 501-08 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME 2-1	Borderview Homes Siding, windows, doors and Insulation	1460		12,032		12032	12032	100%
ME 2-3	Replace shingles at Fields Lane 1 & 3	1460		68,500		60095	60095	100%
ME 2-3	Replace Chimney FLI&III	1460		3100		3050	3050	100%
HA Wide	Admin. Salaries and Annual Planning	1410		5,000		5000	5000	100%
HA Wide	Preventive Maintenance	1406		32,000		34100	34100	100%
HA Wide	A&E Services	1430		6000		16855	16855	100%
	Need Assessment Survey	1430		4000		0	0	
HA Wide	Audit	1411		500		0	0	
			Total	131,132		131132	131132	

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Fort Fairfield Housing Authority					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME 2-1	09/14/2011	09/15/2009	09/14/2013	11/25/2009	
ME 2-3	09/14/2011	09/15/2009	09/14/2013	11/25/2009	
PHA Wide	09/14/2011	09/15/2009	09/14/2013	12/17/2009	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

PART I: SUMMARY						
PHA Name/Number Fort Fairfield Housing Authority, ME002			Locality (City/County & State) Fort Fairfield, Aroostook, ME		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name ME002000001 Borderview Homes	Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
B.	Physical Improvements Subtotal	Annual Statement	130000	130000	130000	130000
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration					
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		130000	130000	130000	130000
L.	Total Non-CFP Funds					
M.	Grand Total					

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year <u>4</u> FFY <u>2013</u>			Work Statement for Year: <u>5</u> FFY <u>2014</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
SEE	<i>ME00200001</i> Replace FLI Boilers & Solar Domestic Hot Water Systems		60000	<i>ME00200001</i> Hillcrest Entryway Roofing Replacement		10000
ANNUAL	Borderview 2 nd Floor, Window, Insulation & Siding Project		70000	<i>ME00200001</i> Solar Heating Systems Heating and Domestic Water		120000
Statement						
	Subtotal of Estimated Cost		\$130,000	Subtotal of Estimated Cost		\$130,000

