

1.0	PHA Information PHA Name: <u>Waltham Housing Authority</u> PHA Code: <u>MA013</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2010</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>265</u> Number of HCV units: <u>450</u> Number of DHP Vouchers:				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <u>N/A</u> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the WHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <p style="margin-left: 40px;">HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.</p> <p style="margin-left: 40px;">WHA Goal: Expand the supply of assisted housing</p> <p style="margin-left: 40px;">Objectives:</p> <ul style="list-style-type: none"> • Apply for additional rental vouchers. • Reduce public housing vacancies. • Leverage private or other public funds to create additional housing opportunities. • Work with the City on their local affordable housing initiatives. • Explore use of CPA funds on local affordable housing initiatives. 				

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

WHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score).
- Improve voucher management: (SEMAP score).
- Increase customer satisfaction.
- Concentrate on efforts to improve specific management functions: public housing finance; voucher unit inspections, computer management information systems, inventory systems, procurement.
- Renovate or modernize public housing units.
- Other: The Waltham Housing Authority has established a plan and goals to ensure the agency planning process and program operation comply with the requirements of the Violence Against Women Act (VAWA). The statement of goals objectives and policies is listed in another section of this plan.

WHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling.
- Conduct outreach efforts to potential voucher landlords.
- Increase voucher payment standards.

HUD Strategic Goal: Improve community quality of life and economic vitality

WHA Goal: Provide an improved living environment

Objectives:

- Implement public housing security improvements.
- Explore the feasibility of designating developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below) Continue with Community Policing Activities and Triad Program at Elderly/disabled Developments.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

WHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability.

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures. Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. <p>During FY 2010, the WHA does not plan any modifications to either its Public Housing Admissions and Continued Occupancy Policy (ACOP) or its Section 8 Administrative Plan. These policies and plans are available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> 2. Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. <p>The WHA's FY 2010 Financial Resources Statement is available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> 3. Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units. <p>For FY 2010, the WHA is not revising any of its policies governing rents charged for public housing or HCV dwelling units. Rent determination will be in accordance with HUD requirements and will remain the same as in FY 2009. These policies are available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ol style="list-style-type: none"> 4. Operation and Management. A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA. <p>For FY 2010, the WHA is not revising any of its rules, standards, and policies governing maintenance and management of public or assisted housing agency. The rules, standards, and policies governing maintenance and management will be in accordance with HUD requirements and will remain the same as in FY 2009. These rules, standards, and policies are available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p> <p>In order to improve overall operations, the administrative aspects of maintenance are now located at the WHA main office location at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>5. Grievance Procedures. A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.</p> <p>For FY 2010, the WHA is not revising any of its grievance policies or procedures. Grievance policies or procedures will be in accordance with HUD requirements and will remain the same as in FY 2009. These policies are available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p> <p>During FY 2010, the WHA may consider altering the numerical composition of the Grievance Panel.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>6. Designated Housing for Elderly and Disabled Families. With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.</p> <p>N/A—The WHA does not have a Designated Housing Plan; however, it may explore, during FY 2010, the feasibility of securing such as designation.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>7. Community Service and Self-Sufficiency. A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).</p> <p>For FY 2010, the WHA is not revising any of its Community Service and Self-Sufficiency programs or services. These programs and services will remain the same as in FY 2009 and information regarding these programs and services are available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>8. Safety and Crime Prevention. For public housing only, describe the PHA’s plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.</p> <p>For safety and crime prevention, the WHA works very closely with the Waltham Police Department. The Police Department provides crime data to housing authority staff for analysis and action on a regular basis.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="padding-left: 40px;">9. Pets. A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.</p> <p>For FY 2010, the WHA is not revising its Pet Policy. The Pet Policy will be in accordance with HUD requirements and will remain the same as in FY 2009. This policy is available at the WHA main offices located at 110 Pond Street, Waltham, MA 02451.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="padding-left: 40px;">10. Civil Rights Certification. A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.</p> <p>The WHA's Civil Rights Certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations, which will be forwarded to HUD after submission of the FY 2010 Plan.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="padding-left: 40px;">11. Fiscal Year Audit. The results of the most recent fiscal year audit for the PHA.</p> <p>The results of the most recently completed Fiscal Year Audit, dated <u>September 30, 2008</u>, are on file and available for review.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="padding-left: 40px;">12. Asset Management. A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.</p> <p>The WHA has opted out of "asset management" due to the fact that it has only 265 public housing units. However, the WHA has two designated AMPS, which were established prior to "size exemption" for establishing these asset management entities. These AMP designations are used for Capital Fund Program and various other HUD submissions.</p>
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6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>13. Violence Against Women Act (VAWA). A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.</p> <p>The Violence Against Women Act (VAWA) of 2005, requires the WALTHAM HOUSING AUTHORITY to describe any goals, objectives, policies or programs that will enable the WALTHAM HOUSING AUTHORITY to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault or stalking. (Sec. 603).</p> <p>The WALTHAM HOUSING AUTHORITY supports the goals of the VAWA and will comply with its requirements.</p> <p>The WALTHAM HOUSING AUTHORITY will continue to administer its housing programs in ways that support and protect residents (including Section 8 Housing Choice Voucher program participants) and applicants who may be victims of domestic violence, dating violence, sexual assault or stalking.</p> <p>The WALTHAM HOUSING AUTHORITY will not take any adverse action against a resident/participant or applicant solely on the basis of her or his being a victim of such criminal activity, including threats of such activity. “Adverse action” in this context includes denial or termination of housing assistance.</p> <p>The WALTHAM HOUSING AUTHORITY will not subject a victim of domestic violence, dating violence, sexual assault or stalking to a more demanding standard for lease compliance than other residents.</p> <p>The WALTHAM HOUSING AUTHORITY has implemented policies and procedures to meet the requirements of the VAWA.</p>
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6.0	<p>PHA Plan Update</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(1) WHA Central Office, 110 Pond Street, Waltham, MA 02451 (2) On the WHA website at: http://home.tiac.net/~walhous/ (3) At the City Planning Office, 119 School St., Waltham, MA 02451</p>
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7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>N/A—The WHA has no plans for Hope VI, Mixed-Finance, Development, Demo-Dispo, Public Housing Conversions, or Homeownership during FY 2010. However, in order to support local affordable housing planning initiatives, it may explore the feasibility of establishing a Section Project-Based Voucher Program during FY 2010.</p>
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8.0 **Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.

8.1 **Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

The FY 2010 Capital Fund Program Annual Statement Form HUD-50075.1 is attached as Appendix 1 (Electronic File “MA013a01”).

8.2 **Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

The FY 2010-2014 Capital Fund Program Five-Year Action Plan HUD-50075.2 is attached as Appendix 2 (Electronic File “MA013a02”).

8.3 **Capital Fund Financing Program (CFFP).**
 Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

N/A—The WHA does not have a CFFP.

9.0

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Local Needs

Data from the 2010 Census will not be available for some time; therefore the information below is somewhat dated but believed to be generally representative of local housing needs.

The City of Waltham Consolidated Plan for 2001-2005 states that Census Data shows the poorest residents paid the most for housing (in terms of income devoted to housing costs) Renters comprise ~55% of the households in the City. Renters facing "housing cost burdens(i.e. paying more than 30% of income for housing) are not concentrated in low income areas, but are distributed across the City.

The City of Waltham as of April 2002 has 5.2% of its available housing units counted as affordable according to Mass General Law 40B. Although the city does not meet the affordable goal 10% of the units in the community , it does as of 2003 meet the maximum land area requirement of MGL 40 B. Therefore , zoning relief for affordable housing development under this law may not be available for future affordable housing projects.

To address the identified housing needs, WHA will 1) seek to maintain, improve and preserve our existing housing stock by using appropriate resources, and, 2) continue to be aggressive in applying for additional grants from federal as well as non-federal housing resources to help add to the affordable housing available in our community. . We also intend to continue our working relationship with government and non-profit agencies to try and meet our community's housing needs. The WHA has during 2006 and 2008 continued discussions with the City of Waltham regarding management , Housing Choice Voucher project based assistance, and development of affordable housing in City buildings that have or will become vacant. The WHA has also formulated plans to utilize local Community Preservation Act funds to preserve and increase affordable housing opportunities. We also will strive to improve housing management, and conduct outreach to increase the number of potential landlords ,and modernize federal and state public housing.

Public Housing Needs

General information on the WHA's Public Housing Waiting List is available at the WHA's Main Office, 110 Pond Street, Waltham, MA 02451.

9.0

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Section 8 Needs

The Waltham Housing Authority since January 2003 has participated in a centralized Section 8 Waiting List administered by the Mass. Chapter of NAHRO. Cooperatively, with 84 other Massachusetts PHA's, a single internet based waiting list has been created. Applicants may submit a standard preliminary application to any participating PHA and be placed on the list for all participating Authorities. Each agency selects families from the list in the manner outlined in their Section 8 Administrative Plan. PHA participation in the program represents 84 of the Mass. PHA's operating the Section 8 HCV program. Additional PHA's have expressed commitment to join the program in coming years. There are agencies which currently do not operate the Section 8 Voucher program which have agreed to accept applications to the centralized list, in order to provide local access to the waiting list for residents of their community. Rules and procedures for using the centralized list and participating in the program are formalized in a standard Memorandum of Understanding (MOU) between MassNAHRO and each PHA. Each PHA's Section 8 Administrative Plan reflects the content of the MOU. Updates were sent to all applicants on the list in February 2008 by MassNAHRO. Detailed information regarding this list is available at the following website: <http://section8listmass.org/>.

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Strategy 1. Maximize the number of affordable units available for all eligible populations by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Replace existing State MRVP vouchers with Section 8 HCV when MRVP participants' assistance becomes noncompetitive in the housing market due to state program funding limitations

Strategy 2: Increase the number of affordable housing units by:

- Apply for additional section 8 units should they become available
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

Strategy 3: Target available assistance to families at or below 30% of AMI

- Participate in City of Waltham affordable housing initiatives and advocate that approved programs have set asides for families below 30% AMI

Strategy 4: Target available assistance to families at or below 50% of AMI

- Participate in City of Waltham affordable housing initiatives and advocate that approved programs have set asides for families below 50% AMI

Strategy 5: Target available assistance to the elderly:

- Apply for special-purpose vouchers targeted to the elderly, should they become available

9.1

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.

Strategy 6: Target available assistance to Families with Disabilities by:

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

Strategy 7: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs by:

- Affirmatively market to races/ethnicities with housing needs.

Strategy 8: Conduct activities to affirmatively further fair housing by:

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units.
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Participate in the MassNAHRO Section 8 Centralized Waiting List.

10.0 Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

As a partial listing of the WHA's progress in meeting its mission and goals:

- 1. The Waltham Housing Authority has continuously monitored and assessed its physical facilities and programs to determine the quality and effectiveness of programs.**
- 2. The WHA has continued to revise and refine its' Public Housing and Section 8 Administrative Plans to meet changing regulatory and administrative needs.**
- 3. The WHA continues to explore programs which promote independence and self-sufficiency within its' public housing and tenant based programs.**
- 4. The WHA works with other Section 8 agencies within the State while utilizing a centralized waiting list for the Section 8 program to better serve program applicants and the Authority.**
- 5. The WHA works cooperatively with the City of Waltham on local affordable housing issues.**
- 6. The WHA works cooperatively with the City of Waltham's Police Department on initiatives to enhance the safety and security of its public housing development and neighborhoods.**
- 7. In order to improve overall operations, the administrative aspects of maintenance are now located at the WHA main office location at 110 Pond Street, Waltham, MA 02451.**
- 8. Returned previously used admin/Maintenance staff back for resident/community use.**
- 9. Continued to work on re-establishing tenant organizations.**
- 10. Achieved "High Performer" status on SEMAP.**
- 11. Improved PASS and maintained high FASS subscores in PHMAP.**

10.0

Additional Information. Describe the following, as well as any additional information HUD has requested.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

"SUBSTANTIAL DEVIATION" OR "SIGNIFICANT AMENDMENT/MODIFICATION"

A. 24 CFR 903.7(r)(2) permits PHAs to define what would constitute a "substantial deviation" or "significant amendment/modification" in Section 18(D) of its Annual Plan. The WHA has defined what constitutes a "substantial deviation" or "significant amendment/modification", as follows:

- 1. Changes to rent or admissions policies.**
- 2. Organization of the waiting list, in so far as reorganization results in applicants being removed from the list who otherwise would be eligible for program participation.**
- 3. Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan or change in the use of replacement reserve funds under the Capital Fund.**
- 4. Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. The Authority does not currently have any of these programs or activities.**

B. If there is a "substantial deviation" or "significant amendment/modification" to the WHA's Agency Plan, the following procedures outlined in 24 CFR 903.21 will be followed:

- 1. Seeking the input of the Resident Advisory Board;**
- 2. If required, ensuring the modification is consistent with the Consolidated Plan by obtaining a certification of consistency for the appropriate local or state official;**
- 3. Conducting a public hearing regarding the modification after 45 days' notice;**
- 4. Adopting of the modification by the PHA's Board of Directors at a public meeting;**
and
- 5. Submitting the modification to HUD.**

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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FY 2010 AGENCY PLAN ATTACHMENTS		
Appendix Number	Description of File	Electronic File Name
1	FY 2010 Capital Fund Program Annual Statement Form HUD-50075.1 for Regular CFP Grant	MA013a01
2	FY 2010-2014 Capital Fund Program Five-Year Action Plan Form HUD-50075.2	MA013a02
3	FY 2009 Capital Fund Program Annual Statement/Performance and Evaluation Report for Regular CFP Grant	MA013a03
4	FY 2009 Capital Fund Program Annual Statement/Performance and Evaluation Report for ARRA CFP Grant	MA013a04
5	FY 2009-2013 Capital Fund Program Five-Year Action Plan Form HUD-50075.2	MA013a05
6	FY 2008 Capital Fund Program Performance and Evaluation Report-Revision #1	MA013a06
7	FY 2008 Capital Fund Program Five Year Action Plan-Revision #1	MA013a07
8	FY 2007 Capital Fund Program Performance and Evaluation Report-Revision #3	MA013a08
9	FY 2007 Capital Fund Program Five Year Action Plan-Revision #3	MA013a09
10	FY 2006 Capital Fund Program Performance and Evaluation Report-Revision #2	MA013a10
11	FY 2006 Capital Fund Program Five Year Action Plan-Revision #2	MA013a11
12	FY 2009 RAB and Public Hearing Comments	MA013a12

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-10 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	91,484			
3	1408 Management Improvements	20,000			
4	1410 Administration (may not exceed 10% of line 21)	45,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	283,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: MA06PO13501-10 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:			
Waltham Housing Authority					
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	459,484			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>Walter O'Shea</i>		Date 4/7/2010		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Waltham Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
100 Cedar	9-30-2011		9-30-2012		
46-48 Dale 100 Cedar	9-30-2011		9-30-2012		
48 Pine	9-30-2011		9-30-2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
			10,000	221,000	211,000	221,000
			63,000	10,000	10,000	10,000
			20,000		20,000	20,000
			100,000			
			72,000			
B.	Physical Improvements Subtotal	Annual Statement	265,000	231,000	231,000	231,000
C.	Management Improvements		30,000	30,000	20,000	20,000
D.	PHA-Wide Non-dwelling Structures and Equipment			44,000	34,000	44,000
E.	Administration		46,000	46,000	46,000	46,000
F.	Other fees and costs		41,587	41,587	41,587	41,587
G.	Operations		76,897	66,897	86,897	86,897
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		459,484	459,484	459,484	459,484
L.	Total Non-CFP Funds					
M.	Grand Total					

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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY 2013		Work Statement for Year: _____ FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN consultant	10,000	Computer software and monitors	10,000
			PHA PLAN consultant / Training	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
			10,000	221,000	211,000	221,000
			63,000	10,000	10,000	10,000
			20,000		20,000	20,000
			100,000			
			72,000			
B.	Physical Improvements Subtotal	Annual Statement	265,000	231,000	231,000	231,000
C.	Management Improvements		30,000	30,000	20,000	20,000
D.	PHA-Wide Non-dwelling Structures and Equipment			44,000	34,000	44,000
E.	Administration		46,000	46,000	46,000	46,000
F.	Other fees and costs		41,587	41,587	41,587	41,587
G.	Operations		76,897	66,897	86,897	86,897
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		459,484	459,484	459,484	459,484
L.	Total Non-CFP Funds					
M.	Grand Total					

Wan

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY 2013		Work Statement for Year: _____ FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN consultant	10,000	Computer software and monitors	10,000
			PHA PLAN consultant / Training	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-09 Replacement Housing Factor Grant No: NA Date of CFFP: NA	FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 3-31-10 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	90,897		90,897	
3	1408 Management Improvements	10,000			
4	1410 Administration (may not exceed 10% of line 21)	46,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	10,000			
10	1460 Dwelling Structures	212,587			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	40,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	10,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

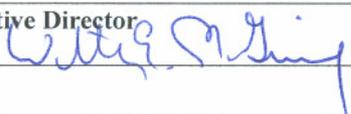
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451y		Grant Type and Number Capital Fund Program Grant No: MA06PO13501-09 Replacement Housing Factor Grant No: NA Date of CFFP: NA		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-10 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	459,484			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 4/7/2010		Signature of Public Housing Director 	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval:
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA06S01350109 Replacement Housing Factor Grant No: N/A Date of CFFP: N/A	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: 3-31-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	48,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	10,162			
10	1460 Dwelling Structures	526,508			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA06S01350109 Replacement Housing Factor Grant No: NA Date of CFFP: NA	FFY of Grant: 2009 FFY of Grant Approval:			
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-10		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	584,670			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director Walter E. McGuire		Date		Signature of Public Housing Director	
		4/7/2010			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2009</u>	Work Statement for Year 2 FFY <u>2010</u>	Work Statement for Year 3 FFY <u>2011</u>	Work Statement for Year 4 FFY <u>2012</u>	Work Statement for Year 5 FFY <u>2013</u>
			255,000 10,000	221,000	231,000	231,000
B.	Physical Improvements Subtotal	Annual Statement	265,000	221,000	231,000	231,000
C.	Management Improvements		20,000	20,000	20,000	20,000
D.	PHA-Wide Non-dwelling Structures and Equipment			44,000	34,000	44,000
E.	Administration		46,000	46,000	46,000	46,000
F.	Other fees and costs		41,587	41,587	41,587	41,587
G.	Operations		86,897	86,897	86,897	86,897
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		459,484	459,484	459,484	459,484
L.	Total Non-CFP Funds					
M.	Grand Total					

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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY <u>2012</u>		Work Statement for Year: _____ FFY <u>2013</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN	10,000	Computer software and monitors Pha Plan	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-08 Replacement Housing Factor Grant No: NO Date of CFFP: 0	FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: 3-31-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	80,000			
3	1408 Management Improvements	15,000			
4	1410 Administration (may not exceed 10% of line 21)	46,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	270,897			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-08 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant:2008 FFY of Grant Approval:			
Waltham Housing Authority					
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	461,897			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	10,000			
23	Amount of line 20 Related to Security - Soft Costs	20,000			
24	Amount of line 20 Related to Security - Hard Costs	10,000			
25	Amount of line 20 Related to Energy Conservation Measures	70,897			
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: 1
A.	Development Number and Name	Work Statement for Year 1 FFY 2008	Work Statement for Year 2 FFY Grant: 2009	Work Statement for Year 3 FFY Grant 2010	Work Statement for Year 4 FFY _____ 2011 _____	Work Statement for Year 5 FFY __2012__
	13-1			122,000	50,000	
	13-2		124,383	123,589	70,113	
	13-4		135,288	13,374	78,325	
	13-6				41,795	
	13-7			20,000		277,908
B.	Physical Improvements Subtotal	Annual Statement	259,671	279,373	240,233	277,908
C.	Management Improvements		15,000	15,000	15,000	15,000
D.	PHA-Wide Non-dwelling Structures and Equipment		33,226	23,226	47,664	27,226
E.	Administration		24,000	24,000	24,000	24,000
F.	Other fees and costs		50,000	40,438	55,000	37,763
G.	Operations		80,000	80,000	80,000	80,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		461,897	461,897	461,897	461,897
L.	Total Non-CFP Funds					
M.	Grand Total					

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY	Work Statement for Year 2009 FFY			Work Statement for Year: 2010 FFY		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	PHA Wide					
	MA 13-7	Elevator renovation	150,000	MA 13-7	Fire Alarm upgrade	122,000
	MA 13-4	Landscaping	37,666	MA 13-4	Landscaping	50,000
	MA 13-6	Flooring	47,005	MA 13-2	Oil Tank removal, Site benches, landscaping	80,222
				MA 13-1,2,6,7	Landscaping	27,151
	PHA Wide	Surveillance/Security equipment	25,000			
	Subtotal of Estimated Cost	\$ 259,671	Subtotal of Estimated Cost	279,373		

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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY 2013		Work Statement for Year: _____ FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN consultant	10,000	Computer software and monitors	10,000
			PHA PLAN consultant / Training	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007
PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-07 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 3-31-10 Revised Annual Statement (revision no:3)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	80,000		80,000	80,000
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	44,000		44,000	29,640
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,000		30,000	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	327,784		327,784	109,640
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Waltham Housing Authority		Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-07 Replacement Housing Factor Grant No: NO Date of CFFP:		FFY of Grant:2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	471,784		471,784	109,640
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	10,000			
23	Amount of line 20 Related to Security - Soft Costs	20,000			
24	Amount of line 20 Related to Security - Hard Costs	20,000			
25	Amount of line 20 Related to Energy Conservation Measures	50,000			
Signature of Executive Director <i>Walter M. King</i>			Date 6/7/2010		Signature of Public Housing Director
					Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan	<input checked="" type="checkbox"/> Revision No: 3
A.	Development Number and Name	Work Statement for Year 1 FFY 2007	Work Statement for Year 2 FFY 2008	Work Statement for Year 3 FFY 2009	Work Statement for Year 4 FFY 2010	Work Statement for Year 5 FFY 2011
	13-1			172,000	70,000	346,241
	13-2			133,746	110,000	
	13-4		155,813	20,000	73,000	
	13-6		168,420		53,746	
	13-7			20,000		
B.	Physical Improvements Subtotal	Annual Statement	324,233	345,746	306,746	346,241
C.	Management Improvements		5,000	5,000	5,000	5,000
D.	PHA-Wide Non-dwelling Structures and Equipment		33,226	23,226	47,664	27,226
E.	Administration		44,000	44,000	44,000	44,000
F.	Other fees and costs		65,325	53,812	68,374	49,317
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		471,784	471,784	471,784	471,784
L.	Total Non-CFP Funds					
M.	Grand Total					

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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year <u>2007</u> FFY <u>2013</u>		Work Statement for Year: <u>2007</u> FFY <u>2014</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN consultant	10,000	Computer software and monitors	10,000
			PHA PLAN consultant / Training	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Waltham Housing Authority 110 Pond Street Waltham MA 02451	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-06 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:2)
 Performance and Evaluation Report for Period Ending: 3-31-2010 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	89,000		89,000	89,000
3	1408 Management Improvements	20,000		20,000	
4	1410 Administration (may not exceed 10% of line 21)	44,000		44,000	44,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	52,000		52,000	52,000
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	242,679		242,679	65,300.11
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name:	Grant Type and Number Capital Fund Program Grant No: MA 06PO13501-06 Replacement Housing Factor Grant No: NO Date of CFFP:	FFY of Grant:2006 FFY of Grant Approval:			
Waltham Housing Authority					
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-2010		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	447,679		427,679	250,300.11
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	10,000			
23	Amount of line 20 Related to Security - Soft Costs	20,000			
24	Amount of line 20 Related to Security - Hard Costs	20,000			
25	Amount of line 20 Related to Energy Conservation Measures	75,000			
Signature of Executive Director <i>Walter M. King</i>		Date 4/7/2010		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

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Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

Part I: Summary						
WALTHAM MA 13		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: 2
A.	Development Number and Name	Work Statement for Year 1 FFY 2006	Work Statement for Year 2 FFY 2007	Work Statement for Year 3 FFY 2008	Work Statement for Year 4 FFY 2009	Work Statement for Year 5 FFY 2010
	13-1			172,000	70,000	
	13-2			133,746	110,000	
	13-4		155,813	20,000	73,000	
	13-6		168,420		53,746	
	13-7			20,000		
B.	Physical Improvements Subtotal	Annual Statement	324,233	345,746	306,746	346,241
C.	Management Improvements		5,000	5,000	5,000	5,000
D.	PHA-Wide Non-dwelling Structures and Equipment		20,000	10,000	34,438	14,000
E.	Administration		44,000	44,000	44,000	44,000
F.	Other fees and costs		54,446	42,933	57,495	38,438
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		447,679	447,679	447,679	447,679
L.	Total Non-CFP Funds					
M.	Grand Total					

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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year _____ FFY 2013		Work Statement for Year: _____ FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	PHA PLAN consultant	10,000	Computer software and monitors	10,000
			PHA PLAN consultant / Training	10,000
		Subtotal of Estimated Cost	\$ 10,000	Subtotal of Estimated Cost

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Waltham Housing Authority FY 2009 and 2010 Agency Plans RAB and Public Hearing Comments

Overview

Joint meetings were held on both the FY 2009 and FY 2010 Agency Plans. RAB meetings were held on April 22, 2010 and May 6, 2010. The Public Hearing was held on June 1, 2010.

The **April 22, 2010 RAB Meeting** was attended by nine (9) residents: 4 public housing residents and 5 Section 8 voucher holders.

The **May 6, 2010 RAB Meeting** was attended by eight (8) residents: 4 public housing residents and 4 Section 8 voucher holders.

The **June 1, 2010 Public Hearing** was attended by ten (10) residents: 7 public housing residents and 3 Section 8 voucher holders.

Comments and Responses

Comment 1: Consider a Designated Housing Plan (DHP) for developments serving the elderly and disabled.

Response 1: The WHA will look at the feasibility of a DHP during the next 1-2 years.

Comment 2: Need for a Preventative Pest Control System for Bed Bugs.

Response 2: The WHA will arrange a meeting with tenants, maintenance staff, and the pest control company to look at appropriate measures.

Comment 3: Elevator upgrades are needed at 48 Pine Street.

Response 3: The WHA has budgeted \$193,000 over the next 2 years in CFP funding for this purpose.

Comment 4: Consider sound insulation in units.

Response 4: Given other more important work items and the cost of sound insulation, the WHA does not think this to be feasible in the near term.

Comment 5: Consider more exterior security cameras.

Response 5: The WHA has a security consultant looking at this and has tentatively budgeted some CFP funding in the Five-Year plans.

Comment 6: Consider increasing laundry size at 110 Pond Street.

Response 6: The WHA asked for more information on this and several residents were going to get together and make a more formal request/presentation to the WHA.