

1.0	PHA Information PHA Name: <u>Housing Authority of Floyd County</u> PHA Code: <u>KY157</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>January 1, 2010</u>
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2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>172</u> Number of HCV units: <u>326</u>
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3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only
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4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)
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Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.
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5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <p style="margin-left: 40px;">The mission of the Housing Authority of Floyd County is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</p>
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5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <p style="margin-left: 40px;">Please see narrative statement on Page 5 & 6.</p>
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6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: Since the Annual Plan for 2009 was submitted the following policies have been revised by the PHA: Admissions and Continued Occupancy Plan and Procedures Investment Policy Crime and Safety Policy Administrative Plan of the Housing Choice Voucher Program (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <p style="margin-left: 40px;">Copies of the Annual and Five Year Plan of the Housing Authority of Floyd County may be obtained at the Central Office Warco Apartments 402 John M. Stumbo Drive, Langley, KY 41645 and on the website: www.floydcountyhousing.com.</p> <p style="margin-left: 40px;">Eligibility, Selection, and Admissions Policies, including Deconcentration and Wait List Procedures, and ALL other polices governing resident or tenant selection, eligibility, and unit assignment for both the public housing program and the housing choice voucher program are available at the management offices of the Housing Authority. Copies may be obtained at the Central Office at Warco Apartments 402 John M. Stumbo Drive Langley, KY 41645.</p> <p style="margin-left: 40px;">Please see attachments for PHA Plan elements.</p>
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7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> N/A
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8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. Please see Attachments.
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8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.
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8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>The Housing Authority of Floyd County has prepared this Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and HUD requirements. The Policies, plans, budgets, and statements of the Authority are designed to accomplish the agency’s goals and objectives. This Plan is consistent with the Consolidated Plan. The Authority has implemented programs and strategies to assist residents to reach economic self-sufficiency. Outreach is consistent and continuing. Advertisements via local press, agency newsletters, local agency interaction and individual outreach with residents and applicants ensure that the population we serve are made aware of the services and mission of the Housing Authority.</p> <p>Elderly and disabled families are being assisted thru the ROSS Coordinator Program to improve access to the services needed to improve their quality of life. Families whose income is primarily from SSI or Social Security income make up 41% of the families living in our developments. 30% of the families we serve have primarily general assistance income. Families who have wage income make up 35% of the residents of this Housing Authority. Area job opportunities have diminished with the recent economic recession. However, the Authority’s programs and services assist and encourage job search, training and job readiness activities. Transportation, education, and cultural barriers are obstacles faced by the extremely-low, very-low and low income families we serve. Finding new and non-traditional ways to surmount these barriers is addressed by the ROSS and FSS programs of the housing authority.</p> <p>The jurisdiction of the Housing Authority, Floyd County, has 1182 assisted housing units or rental assistance vouchers. Private developments have adopted rents that are excessive for the income range of a major portion of the population of the county. However, the waiting lists of this agency have decreased over the last few years. We have had several residents who became homeowners. Local housing programs that provide for low income families to become homeowners are referenced for applicants and residents alike as part of our outreach to enable families to improve their economic self sufficiency. Many residents moved into private housing or acquired homes through a local program that assists elderly and disabled families in the purchase or construction of a home.</p> <p>Please see the following tables for further information.</p>

Housing Needs of Families on the Waiting List

Public Housing Low Rent Program			
	# of	% of	Annual
	Families	Families	Turnover
Waiting List total	36		74
Extremely Low income <=30% AMI	26	73	
Very Low Income 30% but <=50% AMI	7	19	
Low income > 50% but, 80% AMI	3	8	
Families with children	25	69	
Elderly Families	1	3	
Families/Disabilities	10	28	
Race/Ethnicity			
Hispanic			
White	35	97	
Black	1	3	
Indian/Alaskan			
Asian			
Pacific Islander			
Mixed			

Section 8 Tenant-Based Assistance			
	# of	% of Total	Annual
	Families	Families	Turnover
Waiting List total	145		122
Extremely Low Income =30% AMI	113	78	
Very low income >30% but <50% AMI	32	22	
Low income >50% but <80% AMI	0	0	
Families with children	112	77	
Elderly Families	4	3	
Families/Disabilities	29	20	
Race/Ethnicity			
Hispanic			
White	143	98	
Black	1	1	
Indian/Alaskan	1	1	
Asian			
Pacific Islander			
Mixed			

Demographic of Families Being Assisted				
30% of AMI	65	43		
Very-Low Income	51	34		
Low Income	35	23		
Characteristics by BR Size				
(Public Housing Only)	1BR	2BR	3BR	4BR
# of Families	19	13	3	1
% of Total Families	53%	36%	8%	3%

Demographic of Families Being Assisted			
30% of AMI	130	50	
Low-Income	115	44	

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Housing Authority has established minimum rents of \$50 for the Housing Choice Voucher program. FMR's are utilized to determine appropriate Payment Standards for the program. Payment Standards are reviewed annually and adjusted between 90% and 110% as determined for benefit of program participants and landlords who participate in the program. Minimum rent is \$50 for the public housing program. We have established flat rents for all developments.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>We have promoted economic self-sufficiency thru the Family Self-Sufficiency Program of the Section 8 Housing Choice Voucher Program. Five graduates have successfully completed the program. One graduate became a homeowner.</p> <p>The Housing Authority has established minimum rents of \$50 for the Housing Choice Voucher Program. Published FMR's are utilized to determine appropriate Payment Standards for the program. Payment Standards are reviewed annually and adjusted between 90% and 110% as determined for benefit of program participants and landlords who participate in the program. We have set minimum rent of \$50 for the public housing program. We have established flat rents for all developments. To further promote self-sufficiency we have implemented tenant services programs to educate and offer coordination of services to help residents overcome obstacles in job readiness, transportation, education, and cultural barriers. We have obtained some required forms in Spanish. We have arranged the services of an interpreter in Spanish since the population of this ethnicity has increased dramatically in our jurisdiction in the last five years.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>We will define "Significant Amendment or Modification" of the Annual Plan as: 1. Changes to admissions policies or organization of the waiting list; 2. Changes to rent; 3. Changes or revision of use of Capital Funds in excess of 50% of the approved budget of the grant. 4. Changes in designation, homeownership programs, or conversion.</p> <p>We will define "Substantial Deviation from the five Year Plan" as a change in the Capital Budget and/or 5 Year Plan of 50% or more of non-emergency work items or any policy changes which would require revision of the Plan and submission of an updated Plan to the Department of Housing and Urban Development.</p> <p>HUD Required changes shall constitute an exception to the above mentioned definition and changes will not be considered significant amendments by the Housing Authority or HUD.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

5.2 Goals and Objectives.

We have reduced vacancies in the low rent program by 22% in the last year. We utilize stringent maintenance procedures for turnovers. We have improved communication between administrative staff and maintenance staff. The Executive Director, Property Managers, and Maintenance Supervisor communicate daily to ensure vacant units and work orders are addressed in a timely manner. Regular staff meetings ensure all staff are involved in the processes and solutions of problems or concerns arising in the course of operations. Turnover time has decreased from an average of 9 days in 2008 to 6 days in 2009. Work orders are completed within required parameters for each type. This reduction in turnover and completion time was accomplished in a variety of ways which include but are not limited to regular monthly maintenance of units, stringent screening processes, initial orientation of residents at lease up, lease enforcement, monthly newsletters, and resident education through tenant services activities that promote community involvement and community service, economic self-sufficiency, homeownership readiness and personal responsibility. We plan to continue our efforts to meet an average of 6 days turnover time for vacant units. And to improve our work order completion time by at least 20% in the next five years.

We have improved security at our sites by installing security cameras at two sites with a third in the process of installation. Upgrades of existing, older, security monitoring systems are planned within the next five years. Kentucky State Police officers are in residence at each of our four properties. The police officers assist in serving legal documents such as evictions. They testify in court if necessary. The police officers patrol the properties and assist in enforcing security at each site. Housing Authority properties are scattered throughout the county and in most cases it can take quite a while for police to arrive at the site. With an officer living on site the response time can be minimized and residents feel more secure. Incidents are reported to the Property Manager promptly and lease enforcement or legal action against non-residents is under taken immediately. Local crime hotline phone numbers are posted at all sites for residents' information and use. Tracking of crime is an ongoing procedure and will continue to be a priority for our low rent properties. Screening procedures assist in guaranteeing that convicted felons, sex offenders, and others with an undesirable drug or violent crime history are not placed in or allowed to reside in our units. Local, state and national crime records are utilized in screening all applicants. We have instituted the procedures required pursuant to PIH Notice 2009-35. All adult family members are required to certify whether any family member is subject to registration as a sex offender at application, lease up, and each recertification/reexamination. We continue to pursue any and all resources to ensure the safety of our residents and staff.

The Housing Authority has been a High Performer for several years due to the prudent management of finances, property, and opportunities presented to residents to improve living standards. The Family Self-Sufficiency Program of the Housing Choice Voucher Program has been successful in aiding participants to improve their lives and their economic self-sufficiency. At present there are 31 participants in the program with 8 who have escrow balances. There has been one graduate in 2009. There have been seven (7) graduates in the past five years. One became a homeowner. The local economy is such that employment is difficult to find. However, we plan is to have a minimum of five (5) graduates in the next five years.

The public housing program has developed a ROSS Program and received a grant to fund the Coordinator for the program. We are developing a Family Self-Sufficiency Program for the public housing program as well. We distribute a newsletter each month to all residents of public housing. We promote the accomplishments of the residents and the Authority in the local press and advertise regularly to promote fair housing and equal opportunity. The ROSS program has just started, however, one resident received a GED thru the efforts of the program. The ROSS program is in process of assisting low rent residents find the services necessary to meet their needs. Aging in place is one concern of the pha for elderly and disabled residents. Area agencies who provide these necessary services have been contacted and we plan to utilize the services of the ROSS Coordinator to find partner agencies to meet our residents needs as they are identified. Self-sufficiency activities and educational programs are presented on site to utilize maximum attendance. The ROSS Program goal is to have 3 persons receive GED diplomas, 5 person with improved credit scores, and at least one family become self-sufficient homeowners in the next five years.

HVAC improvements, window replacement, and appliance replacement have been accomplished to improve energy efficiency. Plans to continue to improve energy efficiency are ongoing. Improvement of accessibility continues. Assistance for residents to receive needed services, to become economically self-sufficient, to remain in their homes in cases of aging, disability, family dysfunction and crisis will continue to meet the many needs of the population we serve. We continue to renovate and modernize the public housing units utilizing the capital funds program. We plan to improve the laundromat at the Warco site to improve accessibility. This involves front loading washers for persons who have difficulty using top loading machines. Accessible doorways, countertop, improved heating, exhaust, and lighting features. Warco is the only site which does not have in unit laundry hook ups. More accessibility ramps in sidewalks will be added to walks around mailboxes, in common areas, etc. to create more accessibility for residents and their guests. Additional updates and improvements to units are planned. This includes but is not limited to, upgrades of mechanical systems, addition of lighting in rooms where none exists at three properties which effects 157 apartments. Improved closet and bath space where possible at two properties and 45 apartments. Aesthetic improvements, landscaping improvements, and all improvements necessary to promote and improve standard of living and promote harmony at each property for the population we serve will be pursued as reflected in the Five Year PHA Plan.

In the last five years, as per the PHA Plan, kitchen and bath renovations were completed at two sites. Water saving features were installed at all sites. HVAC improvements, window replacement, and appliance replacement have been accomplished to improve energy efficiency. Plans to continue to improve energy efficiency are ongoing.

We have attracted several new landlords to the Section 8 Housing Choice Voucher Program in the last five years. We plan to add 10 new landlords to the program in the next five years using the same processes which consist of advertising and public relations with local government agencies and civic organizations such as local realtor associations and the Chamber of Commerce. This is in addition to advertising via local newspapers, local cable television, newsletters, etc. We plan to add five new landlords in the fiscal year 2010 by utilizing these methods that have proven successful in the past.

We will continue to promote economic self-sufficiency for participants in the voucher program and to public housing residents utilizing all methods at our disposal.

ATTACHMENTS

Eligibility, Selection and Admissions Policies Including Deconcentration and Wait List Procedures:

Objective: The objective of the Deconcentration Rule is to bring higher income tenants into lower income developments and lower income tenants into higher income developments to prevent a concentration of poverty families and/or a concentration of higher income families in any one development. The specific objective of the housing authority is to house no less than 40% of its public housing inventory with families that have income at or below 30% of the area median income by public housing development. The goal of the Housing Authority is to not house more than 60% of its units in any one development with families whose income exceeds 30% of the area median income.

Actions: To accomplish the Deconcentration goals, the Housing Authority will take the following actions:

- A. At the beginning of each Housing Authority fiscal year, the Housing Authority will establish a goal of housing 40% of its new admissions with families whose incomes are at or below the area median income. The annual goal will be calculated by taking 40% of the total number of move-ins from the previous Housing Authority year.
- B. To accomplish the goals of :
 - (1) Housing not less than 40% of its public housing inventory on an annual basis with families that have incomes at or below 30% of the area median income and
 - (2) Not housing families with income that exceed 30% of the area median income in developments that have 60% or more of the total households living in the development with incomes that exceed 30% of the area median income, the Housing Authority's Admissions Policy which is a part of this policy, provides for skipping families on the waiting list to accomplish these goals

Financial Resources:

The Housing Authority of Floyd County 's sources of income are rental income, Capital Funds Grants, Income from investment of program reserves, HAP subsidy and Administrative Fee income, Public Housing Operating Subsidy, and funds from the Family Self-Sufficiency Coordinator (FSS) Grant and Resident Opportunity and Self -Sufficiency Program Coordinator (ROSS) grant.

Rent Determinations:

- * The Housing Authority has established minimum rents of \$50 for the Housing Choice Voucher Program.
- * FMR's are utilized to determine appropriate Payment Standards for the program. Payment Standards are reviewed annually and adjusted between 90% and 110% as determined for benefit of program participants and landlords who participate in the program.
- * We have set minimum rent of \$50 for the public housing program.
- * We have established flat rents for all developments.

Operation and Management:

The housing authority has established professional standards, an ethics policy and rules of behavior for all employees to ensure proper management of the programs, properties and maintenance of pha owned properties. The staff work as a team with procedures in place to ensure communication between the different departments and programs. Established Maintenance Plan, Preventive Maintenance Plan, Safety Plan, and polices and procedures of the Housing Authority ensure the public housing properties are kept in excellent condition. We have repeatedly received high scores for REAC Physical Inspections. Monthly Equipment & Smoke Alarm inspections ensure abuse of the apartments by residents is kept to a minimum. Pest Control is performed by a contracted professional company. Monthly treatment of all units ensures that pests, especially cockroaches, are controlled. We have established checks and balances to ensure compliance with requirements of the operation and management of the programs and properties.

Grievance Procedures:

We have established a Grievance Policy and procedures for the public housing program and Hearing process for the section 8 Housing Choice Voucher Program. Section 8 Voucher Program hearings are conducted by an independent, impartial hearing

officer. The Public Housing Program has established a Grievance panel made up of residents of the authority's properties. All program participants and tenants are informed of the policies and procedures upon entering the programs. At each event of an adverse action, including but not limited to denial of an application for the program, when rent is adjusted, or assistance is terminated, the participant or tenant is advised of the right to request a Grievance Hearing.

Designated Housing for Elderly and Disabled Families:

The Housing Authority of Floyd County has not designated any public housing for elderly or disabled families. There are no plans to designate public housing units in the coming year, nor the next five years. The Housing Authority is the managing entity of a 202 PRAC elderly development, Hope Homes & Friends Apartments, which was developed by the Housing Authority through the nonprofit corporation, Floyd County Housing, Inc, founded by the housing authority for such housing purposes.

Community Service and Self-Sufficiency:

We enforce the Resident Community Service requirements to all adult family members who are subject to the requirement. All adult family members are required to perform (8) hours of community service per month or to participate in an economic self-sufficiency program. There are a variety of exemptions. We monitor compliance at the annual recertification. Non-compliant residents are given an opportunity to cure if they have not been compliant. All residents are notified of the requirements when admitted to the public housing program. The housing authority has made application for funding for the Family Self-Sufficiency Coordinator program grant. We have established a program through tenant services to assist residents to participate in economic self-sufficiency programs. Tenants are linked with services to help them meet their goals and receive services necessary to aid their ambitions. The housing authority was awarded a Resident Opportunity and Self-Sufficiency Coordinator (ROSS) Program grant in 2009. We utilize the services of the ROSS Coordinator to assist residents in their efforts to become self-sufficient.

Safety and Crime Prevention:

The housing authority has a Kentucky State Police officer in residence at each public housing development as a security measure. Two developments have security camera systems with a third in process of being installed. Additional exterior lighting has been installed at all developments. Local law enforcement agencies are very cooperative in aiding the authority in security matters. Vacant apartments are turned over as quickly as possible and are checked daily while vacant. Abandoned cars are removed promptly from the premises. Residents are given lists of emergency phone numbers and numbers of area first responders at move in and encouraged to call 911 for emergencies. Lists are updated as needed. The housing authority purchased refrigerator magnets for all units with numbers for essential staff for emergencies. Whenever feasible, local fire departments hold fire safety classes on site for residents. Properties are inspected daily for vandalism, graffiti, etc. Any such discoveries are immediately addressed.

Pets:

All residents are allowed to have a domesticated pet that is less than 25 pounds in size. A Security Deposit of \$175 plus an annual fee of \$25 is required. Pets must be neutered.

Civil Rights Certification:

Civil Rights Certifications are included with PHA Plan Certifications.

Fiscal Year Audit:

The most recent fiscal year audit had no findings. A copy of the audit is on file at the office of the authority.

Asset Management:

The Housing Authority of Floyd County elected not to convert to Asset Management. It was determined that as a small agency with four (4) small developments located throughout the county, asset management would not be fiscally advantageous. As in the past we basically operate as one (1) AMP with a centralized maintenance department. Costs are monitored closely and consideration given to all means of generating revenue.

Violence Against Women Act (VAWA):

The Housing Authority of Floyd County revised pertinent plans and policies to include the requirements of the VAWA.

The lease has been revised to accommodate the requirements. A preference was adopted to give persons made homeless due to actual or threatened violence preference for placement. Property Managers and the ROSS Coordinator assist residents and applicants in contacting the appropriate service provider to assist them. Local service agency information is available at our offices for all visitors. Housing Authority space is made available for use of Local Service providers to render services to the people we serve.

Part I: Summary		
PHA Name: Housing Authority of Floyd County	Grant Type and Number Capital Fund Program Grant No: KY36P15750107 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FY 07 FFY of Grant Approval: FY 07

Type of Grant		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report		

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	6,000.00	6,000.00	6,000.00	
4	1410 Administration (may not exceed 10% of line 21)	28,049.00	28,049.00	28,049.00	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		9,900.00	9,900.00	
8	1440 Site Acquisition				
9	1450 Site Improvement	57,670.00	73,608.08	73,608.08	
10	1460 Dwelling Structures	110,000.00	123,491.92	123,491.92	
11	1465.1 Dwelling Equipment—Nonexpendable	39,330.00	0		
12	1470 Non-dwelling Structures	12,442.00	12,442.00	12,442.00	
13	1475 Non-dwelling Equipment	27,000.00	27,000.00	27,000.00	
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of Floyd County	Grant Type and Number Capital Fund Program Grant No: KY36P157501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FY 07 FFY of Grant Approval: FY 07			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost¹	
		Original	Revised²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0	0		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	280,491.00	280,491.00	280,491.00	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs		9,900.00		
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	85,000.00	49,495.69		
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P157501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 07		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA WIDE	Computer Updates/Network Servers Upgrade	1475		2,000.00	2,000.00	2,000.00	2,000.00	100%
	CGP Coordinator Salary & Benefits	1410		18,324.00	16,502.88	16,502.88	16,502.88	100%
	ED Salary & Benefits	1410		8,825.00	9,912.02	9,912.02	9,912.02	100%
	Postage, Telephone, Printing, Advertising	1410		900.00	1634.10	1,634.10	1,450.67	
	Physical Needs Assessment	1430		0	4,500.00	4,500.00	2,250.00	50%
	Management Office Updates	1408		6,000.00	6,000.00	6,000.00		
KY157-06 Ivy Creek Townhouses	A/E Electrical Assessment-Change Meter Bases and Exterior Main Breakers	1430		0	5,400.00	5,400.00		
	Begin Replacement of Electric Meter Bases and Main Breakers	1460	11 Buildings	0	23,672.00	23,672.00		
	Repair, Seal and Stripe Streets & Parking Lots	1450		5,000.00	6,700.00	6,700.00		
	Security Camera's	1475		25,000.00	25,000.00	25,000.00		
	Replace Outside Lights on all units	1460	82	0	8,300.00	8,300.00		
	Replace House Numbers	1460	42	0	1,100.00	1,100.00		
	Replace Mail Boxes w/ Accessible Boxes	1460	42	0	1,200.00	1,200.00		
	Playground/Tot Lot Updates	1450		0	10,460.08	10,460.08		
	Complete Window Replacement	1460		77,000.00	49,495.69	49,495.69	49,495.69	100%
	Clean & Seal Cedar Siding	1460		20,000.00	20,000.00	20,000.00	20,000.00	100%
	Replace Refrigerators w/Energy Star Appliances	1465	20	16,780.00	0			
	Replace Electric Ranges	1465	41	22,550.00	0			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P157501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 07		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KY157-08 Warco Apartments	Clean Vinyl Siding	1460		3,000.00	3,000.00	3,000.00	3,000.00	100%
	Update Laundromat	1470		12,442.00	12,442.00	12,442.00		
	Replace Damaged Concrete in Streets, Walks, Curbs, and Add Accessible Features	1450		29,000.00	0			
KY157-012 Left Beaver Creek Townhouses	Clean Vinyl Siding	1460		5,000.00	5,624.23	5,624.23	5,624.23	100%
	Repair, Pave, & Seal Parking Lots & Streets	1450		23,670.00	55,248.00	55,248.00		
	Complete Replacement of Water Heaters	1460		5,000.00	0			
	Stablization & Leveling of Building #3	1460		0	12,300.00	12,300.00		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of Floyd County					Federal FFY of Grant: FY 07
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA WIDE	09/13/2009	9/2/2009	09/12/2011		
KY157-06 IVY CREEK TOWNHOUSES	09/13/2009	9/2/2009	09/12/2011		
KY157-08 WARCO APARTMENTS	09/13/2009	9/2/2009	09/12/2011		
KY157-12 LEFT BEAVER CREEK TOWNHOUSES	09/13/2009	9/2/2009	09/12/2011		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary						
PHA Name: Housing Authority of Floyd County		Grant Type and Number Capital Fund Program Grant No: KY36P157501-08 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: FY 08 FFY of Grant Approval: FY 08	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	29,605.00	29,605.00	29,605.00		
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	5,000.00	4,500.00	4,500.00		
8	1440 Site Acquisition					
9	1450 Site Improvement	83,942.00	15,136.70	10,786.92		
10	1460 Dwelling Structures	167,500.00	236,805.30	105,000.00		
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	10,000.00	10,000.00	10,000.00		
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of Floyd County		Grant Type and Number Capital Fund Program Grant No: KY36P15750108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: FY 08 FFY of Grant Approval: FY 08	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	296,047.00	296,047.00		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	5,000.00	4,000.00		
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	113,500.00	60,940.00		
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P15750108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 08		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA WIDE	Purchase 2 Computers, Software Updates & Office equipment	1475		3,000.00	3,000.00	3,000.00		
	Coordinator Salary & Benefits	1410		18,775.00	18,775.00	18,775.00		
	ED Salary & Benefits	1410		9,180.00	9,180.00	9,180.00		
	Printing, Telephone, Advertising, Postage	1410		1,650.00	1,650.00	1,650.00		
	Lawn Tractor & Lawn Care Equipment	1475		7,000.00	7,000.00	7,000.00	6,236.95	
	Landscaping & Site Improvements	1450		17,442.00	0			
	A&E Fee	1430		5,000.00	4,500.00	4,500.00		
KY157-06	Begin Replacement of Atrium Doors w/Energy Efficient Doors& Storm Doors	1460	41	42,025.00	60,940.00	60,940.00		
	Update of Utility Closets	1460	20	38,000.00	44,060.00	44,060.00		
	Replacement of Bi-fold Closet Doors for Utility Closets	1460		0	11,786.00			
	Begin Replacement of Meter Bases & Breaker Boxes	1460	42	0	115,019.30			
	Playground Improvements	1450		0	8,046.92	8,046.92		
KY157-08	Concrete Repair & Addition of Accessible Features	1450		20,000.00	4,000.00			
	Wooded Dumpster Fence Replacement w/ Block & Brick Structures	1450	3	10,000.00	0			
	Drainage, Gutter & Downspout Repair & Replacement	1450		7,000.00	0			
KY157-12								
	Complete Pave & Seal of Streets & Parking lots	1450		20,000.00	0			
	Begin Replacement of Bath Cabinets	1460	50	0	5,000.00			
	Wooden Dumpster Fence Replacement w/ Block & Brick Structures	1450	3	10,000.00	0			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of Floyd County					Federal FFY of Grant: FY 08
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA WIDE	09/30/2010		09/30/2012		
KY157-06	09/30/2010		09/30/2012		
KY157-08	09/30/2010		09/30/2012		
KY157-12	09/30/2010		09/30/2012		
KY157-14	09/30/2010		09/30/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Housing Authority of Floyd County	Grant Type and Number Capital Fund Program Grant No: KY36P157501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FY 09 FFY of Grant Approval: FY 09
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 09/14/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	31,286.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	55,000.00			
10	1460 Dwelling Structures	182,575.00			
11	1465.1 Dwelling Equipment—Nonexpendable	22,000.00			
12	1470 Non-dwelling Structures	7,000.00			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 **Expires 4/30/2011**

Part I: Summary					
PHA Name: Housing Authority of Floyd County		Grant Type and Number Capital Fund Program Grant No: KY36P157501-09 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: FY 09 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09/14/2009		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	312,861.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	10,000.00			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	20,900.00			
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P157501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 09		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA WIDE	Management Improvements	1408		5,000.00				
	Administration	1410		31,286.00				
	A&E	1430		10,000.00				
KY157-06 Ivy Creek	Begin Replacement of Bifold Closet Doors	1460		23,440.00				
	Closet Doors for Utility Closets	1460	41	6,160.00				
	Bathroom Medicine Cabinets	1460	82	12,000.00				
	Lighting for Bathrooms	1460		10,000.00				
	Property Sign & Entrance Landscaping	1450		2,000.00				
KY157-08 Warco Apts	Playground Equipment	1450		21,000.00				
	Bus Stop Improvements	1450		8,000.00				
	Replace Bifold Closet Doors	1460		55,260.00				
	Electric Ranges Replaced	1465		22,000.00				
	Replace Dumpster Fences	1450	3	9,000.00				
	Landscaping	1450		2,000.00				
	Install Lighting in Living Rooms	1460.		20,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P157501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 09		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Maintenance Shop Improvements-HVAC, Lighting, Storage Shelves.	1470		5,000.00				
KY157-08 Warco Apts.	Replace Gutters	1460		18,815.00				
KY157-12 Left Beaver Creek Townhouses								
	Replace Bathrom Medicine Cabinets	1460		14,000.00				
	Ceiling Fans & Living Room Light Fixtures Installed	1460	100	20,900.00				
	Dumpster Fence Replacement	1450	3	9,000.00				
	Managers Office Improvements	1470		2,000.00				
KY157-14 Creekside Village	Dumpster Fence Replacement	1450		4,000.00				
	Clean Siding	1460	4 Bldgs.	2,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of Floyd County				Federal FFY of Grant: FY 09	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA Wide	09/15/2011		09/15/2013		
KY157-06	09/15/2011		09/15/2013		
KY157-08	09/15/2011		09/15/2013		
KY157-12	09/15/2011		09/15/2013		
KY157-14	09/15/2011		09/15/2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Housing Authority of Floyd County	Grant Type and Number Capital Fund Program Grant No: KY36S15750109 Replacement Housing Factor Grant No: Date of CFFP: 03/18/2009	FFY of Grant: FY 09 FFY of Grant Approval: 2009
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 07/31/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	37,474.00		37,474.00	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	30,872.00		30,872.00	
10	1460 Dwelling Structures	255,416.00		255,416.00	
11	1465.1 Dwelling Equipment—Nonexpendable	50,975.00		50,975.00	
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of Floyd County		Grant Type and Number Capital Fund Program Grant No: KY36S15750109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:FY 09 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 07/31/2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	374,737.00		374,737.00	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	337,263.00		337,263.00	
25	Amount of line 20 Related to Energy Conservation Measures	269,991.00		269,991.00	
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36S15750109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 09		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KY157000001 HA WIDE	CGP Coordinator Salary	1410		24,725.00				
	CGP Coordinator Benefits	1410		1,894.72				
	ED Salary	1410		6,056.64				
	ED Benefits	1410		2,947.64				
	Telephone, Printing, Advertising, Postage	1410		1,850.00				
Ivy Creek Townhouses (KY157-06)	Replace Refrigerators with Energy Star Appliances	1465	20	11,875.00				
	Replace Electric Ranges	1465	41	16,000.00				
	Playgrounds Updates	1450		30,872.00				
Left Beaver Creek Townhouses (KY157-12)	Replace Windows with Energy Efficient Thermal Windows	1460	446	255,416.00				
	Replace Gas Ranges	1465	47	20,400.00				
	Replace Range Hoods	1465	41	2,700.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of Floyd County					Federal FFY of Grant: FY 09
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
KY157000001 HA WIDE	03/18/2010	07/16/2009	03/18/2012		
IVY CREEK TOWNHOUSES KY157-06	03/18/2010	07/16/2009	03/18/2012		
WARCO APARTMENTS KY157-08	03/18/2010	07/16/2009	03/18/2012		
LEFT BEAVER CREEK TOWNHOUSES KY157-12	03/18/2010	07/16/2009	03/18/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Housing Authority of Floyd County	Grant Type and Number Capital Fund Program Grant No: KY36P157501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: FY 10 FFY of Grant Approval:
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Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)	30,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	5,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	14,000.00			
10	1460 Dwelling Structures	238,000.00			
11	1465.1 Dwelling Equipment—Nonexpendable	3,000.00			
12	1470 Non-dwelling Structures	5,000.00			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of Floyd Coutny		Grant Type and Number Capital Fund Program Grant No: KY36P157501-10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: FY 10 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	300,000.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	5,000.00			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	134,100.00			
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Floyd County			Grant Type and Number Capital Fund Program Grant No: KY36P157501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: FY 10		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA Wide	A&E Fee	1430		5,000.00				
	Management Improvements	1408		5,000.00				
	Administration	1410		30,000.00				
KY157-06 Ivy Creek	Entrance Doors Replaced w/Insulated Doors	1460		19,800.00				
	Sidewalk repair & Accessibility Features added	1450		4,000.00				
	Light Fixtures	1460		10,400.00				
	Ceiling Fans for Living rooms and 2 nd Floor Hallways	1460		11,300.00				
KY157-08 Warco Apartments	Update exterior stairs and railings	1460		20,000.00				
	Concrete Repair & Addition of Accessible Features	1450		10,000.00				
	Add light fixtures, ceiling fans to units	1460		20,000.00				
Ky157-12 Left Beaver Creek Townhouses	Blinds for Windows	1465	10 Buildings	3,000.00				
	Gutter Repair	1460		5,000.00				
KY157-14	Gutter Replacement	1460		2,000.00				
	Roof Replacement	1460	4 Bldgs	69,500.00				
	Energy Efficient Windows Installed	1460		80,000.00				
	Roof Over OCM/Maintenance Shop Doorway	1470		5,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of Floyd County					Federal FFY of Grant: FY 10
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA Wide	09/30/2012		09/29/2014		
KY157-06	09/30/2012		09/29/2014		
KY157-08	09/30/2012		09/29/2014		
KY157-12	09/30/2012		09/29/2014		
KY157-14	09/30/2012		09/29/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

PART I: SUMMARY

Housing Authority of Floyd County-KY157		Floyd County, KY			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
	Housing Authority of Floyd County					
I. B	Physical Improvements Subtotal	Annual Statement	265,000.00	265,000.00	283,500.00	215,000.00
C.	Management Improvements		5,000.00	5,000.00		
D.	PHA-Wide Non-dwelling Structures and Equipment					100,000.00
I. E	ADMINISTRATION		30,000.00	30,000.00	31,500.00	35,000.00
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		300,000.00	300,000.00	315,000.00	350,000.00
L.	Total Non-CFP Funds					
M.	Grand Total		300,000.00	300,000.00	315,000.00	350,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year <u>4</u> FFY <u>2013</u>		Work Statement for Year: <u>5</u> FFY <u>2014</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
SEE				
ANNUAL				
Statement				
	Subtotal of Estimated Cost	\$ 0	Subtotal of Estimated Cost	\$ 0