

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
-----------------------------------	---	--

<b>1.0</b>	<b>PHA Information</b> PHA Name: Housing Authority of Beaver Dam PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 10/2010 PHA Code: KY122					
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 64 Number of HCV units: -0-					
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.					
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  The Housing Authority of Beaver Dam's mission is to provide safe, decent and sanitary housing conditions for very low-income families and to manage resources efficiently. The Housing Authority of Beaver Dam is to promote personal, economic and social upward mobility to provide families the opportunity to make the transition from subsidized to non-subsidized housing.					
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <ul style="list-style-type: none"><li>• Work with local agencies to implement the Board adopted VAWA policy. This policy provided for easier access to housing for victims of domestic violence.</li><li>• Use Capital Funds to provide better lighting in parking lots and common areas. Additional lighting will assist in promoting a safe environment.</li></ul>					
<b>6.0</b>	<b>PHA Plan Update</b>  (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: A new Admissions and Continued Occupancy Policy has been adopted by the Board. Areas of major change can be found in Chapters 3 and 13 addressing VAWA and Chapter 7 addressing the use of EIV for income verifications. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. The Annual & 5-Year plan can be viewed at the main office located at 3030 James Court, Beaver Dam, Kentucky.					
<b>7.0</b>	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> Include statements related to these programs as applicable. <i>Does not apply</i>					
<b>8.0</b>	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.					

8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <b>The following CFP reports are provided with this submission. 501-07, 501-08, 501-09 and 501-10.</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>The 5-Year Action Plan is included in this submission.</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. <b>Elderly and unemployed adults are the population most needing the services provided by this agency.</b></p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p>

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

- Insuring a social and economic mix of residents within each public housing neighborhood in order to foster social stability and upward mobility. This was accomplished through a working family incentive and flat rents.
- Insuring the fiscal stability of the PHA. This was accomplished through the housing of working families.
- Lawfully denying admission or continued occupancy to applicants or residents whose presence in the PHA neighborhood are likely to adversely affect the health, safety, comfort or welfare of other residents or the physical environment or the neighborhood or create danger to the PHA employees. This was accomplished through aggressive tenant screening and collaboration with the local law enforcement agency.

10.0

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

"Substantial deviation" from the Agency's Five Year Plan will include: Any change to a goal or objective that is included in the Housing Authority of Beaver Dam's Five Year Plan that would have an effect on the public housing residents.

"Significant Amendment or Modification" to the Agency's Five Year or Annual Plan is defined as follows:

Changes to rent, admissions policies and to the organization of the waiting list;

Additions of new activities under a component (e.g., plan to dispose of public housing units not previously included in the Annual Plan or conversion activities);

Changes to the current Grievance or Informal Hearing Procedures;

Any regulatory changes will be made to any Public Housing Authority policies or procedures as a matter of ongoing administration and will not be considered to constitute a significant amendment or modifications for purposes of the Housing Authority of Beaver Dam's Agency Plan

11.0

**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

## CHALLENGED ELEMENTS TO THE ANNUAL & 5-YEAR PLAN 2010-2014

No challenge was made to any part of the plan.

There were no resident comments.

PUBLIC COMMENT ON ANNUAL & 5-YEAR PLAN 2010-2014

One meeting was held for public comment. No one from the public or housing attended.

**Certification for  
a Drug-Free Workplace**

U.S. Department of Housing  
and Urban Development

**Applicant:**

**HOUSING AUTHORITY OF BEAVER DAM**

Beaver Dam, Kentucky 40004

**CAPITAL FUND**

Acting on behalf of the above named Applicant as its authorized official, I make the following declarations and agreements on the Department of Housing and Urban Development (HUD) regarding the rules listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the use, sale, distribution, distribution, possession, or use of a controlled substance as prohibited in the Applicant's workplace and notifying the process that will be taken against employees for violation of such prohibition.

2. Instituting an on-going drug-free awareness program to inform employees --

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

3. Making it a requirement that each employee be informed of the performance of the actual duties of the statement required by paragraph 2.

4. Notifying the employee in the statement required by paragraph 2 that a condition of employment under the grant the employee will --

- (1) Abide by the terms of the statement and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace within five calendar days after such conviction;
- 5. Notify the agency in writing within ten calendar days after receiving notice under subparagraph (1) that an employee has been so notified of such conviction. Such notification by an affected employee must include notice of such conviction, the date of such employee's most recent notice, and filing position title, or every year if that employee does not work full-time. If the conviction of an employee was occurring, unless the Federal agency has designated a contact point for the receipt of such notices, the notice shall include the identification number of each affected agency.

6. Taking one of the following actions within 30 calendar days of receiving notice under subparagraph (1) with respect to any employee who is so notified --

- (1) Taking appropriate personnel or disciplinary action against the employee, and if including a suspension, consistent with the requirements of the Government Acquisition Regulation;
- (2) Referring such employee to participate in substance abuse treatment program or rehabilitation program approved for such purposes by Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through the initiation of paragraph 2, item 6.

**8. Annual Work Performance:** The Applicant shall not be eligible to apply for the payment of work under this contract for a full year during the preparatory steps above. Place of Performance shall include the street address, city, county, State, and zip code. Identify each street with the Applicant name and address and the preparatory steps of the grant funding.  
KY127-01 James Street, Beaver Dam, Ohio County, Kentucky 40320  
KY122-01 Walker Street, Beaver Dam, Ohio County, Kentucky 40320  
KY122-02 Barnard Street, Beaver Dam, Ohio County, Kentucky 40320

I declare that I am an authorized official of the Housing Authority of Beaver Dam.

I hereby certify that this is a true and correct copy, as well as any other copies provided, of the acknowledgment, agreement, terms and conditions, Warning, HUD's program, its administration, and other information may be available on HUD's website at [www.hud.gov](http://www.hud.gov).

K. JAMES PARSONS  
Cheryl Walker

HUD  
Executive Director

Signature: *Cheryl Walker*

Date: *6/23/2010*

**Certification of Payments  
to Influence Federal Transactions**

 U.S. Department of Housing  
and Urban Development  
Office of Public and Community Housing

 To: **Director**

 From: **BUSINESS AFFAIRS DIVISION OF BEAVER DAM**

 Title: **Payments have been requested from funding  
CAPITAL FUND**

This certifies that, to the best of my or her knowledge and belief, that:

(1) No fees or appropriated funds have been paid or will be paid to or on behalf of the undersigned, or any person for influencing or attempting to influence an official or employee of an agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with any business having Federal funds, the awarding of Federal grants, the making of any Federal loan, the creating and setting of any cooperative agreement, any extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an official or employee of this agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this business, a true and correct copy of contract for agreement, the amount paid, the recipient, and a limit shall be provided to the agency, in accordance with its instructions.

(3) The undersigned shall require that the recipient of this certification include in the grant agreement for all sub-agents at all sites (including sub-contractors, sub-agents, and contracts under grants, loans, and cooperative agreements) that all sub-agents shall verify and disseminate accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is prerequisite for making or entering into this transaction, imposed by Section 1772, Title 18, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each violation.

I certify the truth of all the information stated herein, and I am duly qualified to provide such information under the provisions of 18 U.S.C. 1772. I declare under penalty of perjury that the foregoing is true and correct. I declare under penalty of perjury that the foregoing is true and correct.

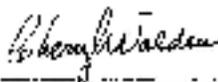
Signature of:

CELINE WALKER

EXECUTIVE DIRECTOR

Title:

Date: 6/23/2010



6/23/2010

Printed Name: Celine Walker

FOIA(b) (7) - (C) - (D) - (E) - (F) - (G) - (H) - (I) - (J) - (K) - (L) - (M) - (N) - (O) - (P) - (Q) - (R) - (S) - (T) - (U) - (V) - (W) - (X) - (Y) - (Z)

**PHA Certifications of Compliance  
with PHA Plans and Related  
Regulations**

U. S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
PHIIS No. 2575-0228  
REVISED 4/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Adopted on behalf of the Board of Commissioners of the Public Housing Agency (PHA) from the Board of Commissioners on the following certified PHA matters (there is no intent of "without prejudice" the submission of the 5-Year and/or Annual PHA Plan for the PHA for the year beginning October 1, 2012). As required, reference to "the Plan" is defined as the Annual PHA Plan and the following certification and agreement with the U.S. Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and annual reports thereon:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (city plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification by the appropriate jurisdiction of its impact on the Housing Choice, for the PHA's jurisdiction, and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program or Capital Fund Program/Repayment Housing Fund (Annual Statements), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement Performance and Evaluative Report must be submitted annually until it is no longer in effect.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which necessarily includes residents of the PHA, consistent with the Board of Board's development of the Plan, and considered the recommendations of the Resident Board(s) (24 CFR 981.13). The PHA has included in the Plan a submission copy of the resident recommendations by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information received at the public hearing available to the public inspection at least 7 days before the hearing, published a notice that a hearing would be held and conducted a hearing on the proposed Plan and material information.
6. The PHA certifies that it will comply with the provisions of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title III of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposal programs, including any impediments to fair housing choice within those programs, address these impediments in a reasonable fashion in view of the resources available, and work with local jurisdictions to implement any other fair housing related issues affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. The PHA Plan will include a policy for site-based waiting lists:
  - The PHA regularly admits applicants to HUD's 50058 PRIORITYS Module in an accurate, complete and timely manner (as specified in PHA Notice 2010-20).
  - The system of site-based waiting lists provides for full disclosure to each applicant, in the selection of the development in which to reside, including basic information about available sites, and an evaluation of the period of time the applicant could likely have to wait to be selected in terms of different sites and types at each site.
  - Adaptive of site-based waiting lists would not violate any anti-discrimination or federal, state or local laws, consistent with a pending complaint brought by HUD.
  - The PHA shall take reasonable measures to assure that each waiting list is consistent with affirmatively furthering fair housing.
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with fair housing laws and regulations, as specified in 24 CFR part 980.36(c)(1).
9. The PHA will comply with the prohibitions against discrimination in the federal age provisions under the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 27, Fairness and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 2 of the Housing and Urban Development Act of 1968, Title IV grant opportunities for Lower Very Low Income Persons, and with its implementing regulation at 24 CFR Part 145.
12. The PHA will comply with acquisition and election requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and its implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.109(a).
14. The PHA will provide the responsible entity or the responsible entity's HUD-licensed entity, or its representatives, the National Bureau of Fire Arson and all related authorities in accordance with 24 CFR Part 58 or 24 CFR 59, respectively.
15. With respect to public housing the PHA will comply with Dept. Based HUD determined wage rate requirements under Section 17 of the United States Housing Act of 1937 and the General Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 98.20 and contractors or officers shall be deemed compliant with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1991, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of 24 CFR Part 94.45 (Cost Principles for State, Local and Indian Tribal Governments), 24 CFR Part 225, and 24 CFR Part 25 (Administrative Requirements for Grants and Cooperative Agreements to State, Local, and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the EIR in a manner consistent with its Plan and will utilize environmental friendly methods for an appropriate number of employees and related facilities.
20. All attachments to the EIR have been and will continue to be available, all files and all facilities that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection, they will be filed and additional requirements, to the primary business office of the PHA and all other times can be identified by the PHA. It is PHA Plan and will continue to be made available at least to the primary business office of the PHA.
21. The PHA provides assurance as per of this certification:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors or their governing body; and
  - (iii) The revised policies and programs are available for review and inspection at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutes and regulatory requirements.

Housing Authority of Beaver Dam

KY 22

PHA Name

PHA Number/CA Code

- 5-Year PHA Plan for Fiscal Years 20<sup>13</sup> - 20<sup>14</sup>
- Annual PHA Plan for Fiscal Years 20<sup>10</sup> - 20<sup>11</sup>

The primary goal of this document is to provide information to the public regarding the PHA's policies and programs. The PHA will provide this information to the public in a timely and accessible manner. The PHA will provide this information to the public in a timely and accessible manner. The PHA will provide this information to the public in a timely and accessible manner.

Name of Authorized Official

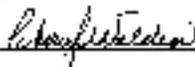
Title

Cheryl Waldan

Facilities Director

Signature

Title



SECRET

Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Richard L. Maloney, Gov. Sec of Connecticut hereby certify that the Five Year and  
Annual PHA Plan of the Urban Agency of Greater Conn. is consistent with the Consolidated Plan of  
Connecticut Secretary prepared pursuant to 24 CFR Part 91.

*Richard L. Maloney* 6-18-2010

Signed / Dated by Appropriate State or Local Official

**DISCLOSURE OF LOBBYING ACTIVITIES**

Complaint if it is true to disclose lobbying activities pursuant to 21 U.S.C. 1055

Approved by OMB  
07-60049

(See reverse for public domain disclaimer.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. other financial	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. re-award	<b>3. Report Type:</b> <input type="checkbox"/> a. file filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Non-Federal <input type="checkbox"/> Federal (If Federal, include Agency)	<b>5. If Reporting Entity in No. 4 is a Subsidiary, Enter Name and Address of Prime:</b>	
Congressional District, if known: <u>4</u>	Congressional District, if known: _____	
<b>6. Federal Department/Agency:</b> <u>U.S. DEPT. OF HOUSING &amp; URBAN DEVELOPMENT</u>	<b>7. Federal Program Name/Description:</b> CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> <u>\$ 51,658</u>	
<b>10. a. Name and Address of Lobbying Registrant</b> (If omitted, see reverse, Section 10)	<b>b. Individuals Performing Services (Including address if different from a. 10a)</b> <u>Washington, D.C. 20541</u>	
<small>11. This form is required to be filed by any person who is required to file a report under 21 U.S.C. 1055. It is not to be used for the purpose of reporting lobbying activities that are not required to be reported under 21 U.S.C. 1055. It is not to be used for the purpose of reporting lobbying activities that are not required to be reported under 21 U.S.C. 1055. It is not to be used for the purpose of reporting lobbying activities that are not required to be reported under 21 U.S.C. 1055.</small>	Signature: <u><i>Gregory Falson</i></u> Print Name: <u>GREGORY FALSON</u> Title: <u>EXECUTIVE DIRECTOR</u> Telephone No: <u>202-295-2907</u>	Date: <u>6-28-2010</u>

<b>Federal Use Only:</b>	Full Form for Total Population Standard Form 278-100
--------------------------	---

<b>Annual Statement/Performance and Evaluation Report</b>					
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: <b>HOUSING AUTHORITY OF BEAVER DAM</b>			Grant Type and Number Capital Fund Program Grant No: 501-07 Final Replacement Housing Factor Grant No:		Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	17,945	17,945	17,945	17,945
3	1408 Management Improvements	18,500	9,128.52	9,128.52	9,128.52
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,000	16,601.50	16,601.50	16,601.50
8	1440 Site Acquisition				
9	1450 Site Improvement	-0-	18,806.34	18,806.34	18,806.34
10	1460 Dwelling Structures	48,500	13,858.46	18,129.73	18,129.73
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	-0-	4,271.27		
13	1475 Nondwelling Equipment	-0-	7,333.91	7,333.91	7,333.91
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	87,945	87,945	87,945	87,945
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				





## 8. Capital Fund Program Five-Year Action Plan

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: <b>HOUSING AUTHORITY OF BEAVER DAM</b>			Grant Type and Number Capital Fund Program Grant No: 501-08 rev2 Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2008</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: June 30, 2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	-0-	40,000	40,000	30,000
3	1408 Management Improvements	5,500	18,500	18,500	5,000
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,000	3,000	3,000	-0-
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	81,900	31,324	31,324	-0-
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	2,424	-0-	-0-	-0-
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	92,824	92,824	92,824	35,000
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				





<b>Part I: Summary</b>		
<b>PHA Name:</b> HOUSING AUTHORITY OF BEAVER DAM	<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P122501-09 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval: 2009</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:      )  
 Performance and Evaluation Report for Period Ending: June 30, 2010       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	4,500		-0-	
8	1440 Site Acquisition				
9	1450 Site Improvement	88,263		-0-	
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>						
<b>PHA Name:</b> HOUSING AUTHORITY OF BEAVER DAM		<b>Grant Type and Number</b> Capital Fund Program Grant No: KY36P122501-09 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:2009</b> <b>FFY of Grant Approval: 2009</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: June 30, 2010		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	92,763		-0-		
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b>			<b>Date</b>		<b>Signature of Public Housing Director</b>	
					<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.





<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: HOUSING AUTHORITY OF BEAVER DAM				<b>Federal FFY of Grant: 2009</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA WIDE	06/30/2010		06/30/2011		
KY12200001	06/30/2010		06/30/2011		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement Performance and Union Support  
 General Fund Program, Capital Fund Program Replacement Divided, Forest and  
 Capital Fund Program, Program

U.S. Department of Housing and Urban Development  
 Office of Technical Assistance  
 One B Run, 2000  
 Building 4200/2011

PERIOD: 12/31/2010  
 BY: [Name]

Full Name: [Name]  
 Job Title and Number: [Title]  
 MTHURID: [ID]  
 HRV: [ID]

Report for:  General Fund Statement  Report for Union Support

Performance and Expense Report for Budget Period: 10/1/10

Line	Description	Type	Amount	Account	Total Actual Cost	Period
41	Program Administration - Union Support	Direct	22,769	41		
42	Program Administration - Union Support	Indirect				
43	Program Administration - Union Support	Indirect				
44	Program Administration - Union Support	Indirect				
45	Program Administration - Union Support	Indirect				
46	Program Administration - Union Support	Indirect				
47	Program Administration - Union Support	Indirect				
48	Program Administration - Union Support	Indirect				
49	Program Administration - Union Support	Indirect				
50	Program Administration - Union Support	Indirect				

Signature of Director: *[Signature]* Date: 6/18/2010  
 Signature of Profile Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

<b>Part I: Summary</b>	
<b>PHA Name: Housing Authority of Beaver Dam</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-10 Replacement Housing Factor Grant No: Date of CFFP:
<b>FFY of Grant: 2010</b> <b>FFY of Grant Approval: 2010</b>	

**Type of Grant**  
 **Original Annual Statement**       **Reserve for Disasters/Emergencies**       **Revised Annual Statement (revision no: \_\_\_\_\_)**  
 **Performance and Evaluation Report for Period Ending:**       **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	18,300			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	9,160			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	3,100			
8	1440 Site Acquisition				
9	1450 Site Improvement	61,098			
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> <b>Housing Authority</b> <b>of Beaver Dam</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-10 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2010</b> <b>FFY of Grant Approval: 2010</b>			
<b>Type of Grant</b>					
<input checked="" type="checkbox"/> <b>Original Annual Statement</b>		<input type="checkbox"/> <b>Reserve for Disasters/Emergencies</b>		<input type="checkbox"/> <b>Revised Annual Statement (revision no:     )</b>	
<input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b>		<input type="checkbox"/> <b>Final Performance and Evaluation Report</b>			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	91,658			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of Beaver Dam			<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-10 CFFP (Yes/ No): no Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
HA WIDE	Operating Budget	1406		18,300				
HA WIDE	Administration	1410		9,160				
HA WIDE	Fees & Costs	1430		3,100				
KY12200001	Underground Electrical System	1450		61,098				
	<b>TOTAL</b>			91,658				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Housin g Authority of Beaver Dam				<b>Federal FFY of Grant: 2010</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA WIDE	09/30/2011		06/30/2012		
ky1120001	09/30/2011		06/30/2013		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.





**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name Housing Authority of Beaver Dam	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	61,098	61,098	61,098	61,098
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		9,160	9,160	9,160	9,160
F.	Other		3,100	3,100	3,100	3,100
G.	Operations		18,300	18,300	18,300	18,300
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		91,658	91,658	91,658	91,658
L.	Total Non-CFP Funds					
M.	Grand Total		91,658	91,658	91,658	91,658

<b>Part I: Summary (Continuation)</b>						
PHA Name/Number			Locality (City/county & State)		<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b>	<input type="checkbox"/> <b>Revision No:</b>
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY2014
		Annual Statement				







