

5.2	<p>Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>A. GOALS & OBJECTIVES FOR FISCAL YEARS 2010 – 2014:</p> <p>GOAL: EXPAND THE SUPPLY OF ASSISTED HOUSING</p> <p>Objectives:</p> <p>Maintain high occupancy level for each fiscal year through 12/31/2014. Current occupancy rate is 93%. Achieve occupancy rate of 96% by 2014.</p> <p>GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING</p> <p>Objectives:</p> <p>Maintain quality maintenance services that will continue to result in quality housing for residents and a high PASS score under the PHAS for each fiscal year through 12/31/2014. Current PASS score is 29 out of 30. Goal is to achieve 30 out of 30 by 2014.</p> <p>The Housing Authority will continue to modernize its developments using available CFP funds.</p> <p>GOAL: MANAGE THE AUTHORITY’S PUBLIC HOUSING PROGRAM IN AN EFFICIENT AND EFFECTIVE MANNER</p> <p>Objectives:</p> <p>Continue participation in available training on various management issues. The Housing Authority shall try to ensure that each staff member participates in one training per year, between a combination of on-site and off-site training</p> <p>When undertaking unit modernizations and unit turnovers, the Housing Authority shall strive to make the public housing properties as energy efficient as possible</p> <p>GOAL: CONTINUE COMPLIANCE WITH PROVISIONS OF THE VIOLENCE AGAINST WOMEN ACT (VAWA)</p> <p>Objectives:</p> <p>A goal of the Barbourville Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can. Details are in Attachment C.</p>
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5.2	<p>Continued</p> <p>B. PROGRESS ON GOALS & OBJECTIVES ESTABLISHED FOR FISCAL YEARS 2005 – 2009:</p> <table border="1" data-bbox="277 317 1528 422"> <tr> <th colspan="2">GOAL: EXPAND THE SUPPLY OF ASSISTED HOUSING.</th> </tr> <tr> <th>Objective</th> <th>Progress</th> </tr> <tr> <td>Maintain high occupancy level</td> <td>BHA's current occupancy level is 100%</td> </tr> </table> <table border="1" data-bbox="277 474 1528 674"> <tr> <th colspan="2">GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING.</th> </tr> <tr> <th>Objective</th> <th>Progress</th> </tr> <tr> <td>Renovate or modernize public housing units:</td> <td>Several major mod projects have been implemented over the past 5 years. Roofs, windows, security screen doors have been completed at all 3 developments. Current projects include furnace replacement and floor tiles at all developments. Starting with the 2010, renovation of kitchens will be started.</td> </tr> </table> <table border="1" data-bbox="277 737 1528 894"> <tr> <th colspan="2">GOAL: INCREASE ASSISTED HOUSING CHOICES</th> </tr> <tr> <th>Objective</th> <th>Progress</th> </tr> <tr> <td>Implement public housing or other homeownership programs</td> <td>Working with the local Community Action Program, Rural Housing Program, and local banks, BHA has assisted 7 residents to purchase their own homes in the community.</td> </tr> </table> <table border="1" data-bbox="277 926 1528 1052"> <tr> <th colspan="2">GOAL: PROVIDE AN IMPROVED LIVING ENVIRONMENT</th> </tr> <tr> <th>Objective</th> <th>Progress</th> </tr> <tr> <td>Implement public housing security improvements</td> <td>BHA has installed security screen windows and doors. New entrance door locks have been installed on all units.</td> </tr> </table> <table border="1" data-bbox="277 1104 1528 1251"> <tr> <th colspan="2">GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS</th> </tr> <tr> <th>Objective</th> <th>Progress</th> </tr> <tr> <td>Provide or attract supportive services to improve assistance recipients' employability</td> <td>BHA has worked with Union College (next door neighbor) and local Community Action Agency on improving educational skills</td> </tr> </table>	GOAL: EXPAND THE SUPPLY OF ASSISTED HOUSING.		Objective	Progress	Maintain high occupancy level	BHA's current occupancy level is 100%	GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING.		Objective	Progress	Renovate or modernize public housing units:	Several major mod projects have been implemented over the past 5 years. Roofs, windows, security screen doors have been completed at all 3 developments. Current projects include furnace replacement and floor tiles at all developments. Starting with the 2010, renovation of kitchens will be started.	GOAL: INCREASE ASSISTED HOUSING CHOICES		Objective	Progress	Implement public housing or other homeownership programs	Working with the local Community Action Program, Rural Housing Program, and local banks, BHA has assisted 7 residents to purchase their own homes in the community.	GOAL: PROVIDE AN IMPROVED LIVING ENVIRONMENT		Objective	Progress	Implement public housing security improvements	BHA has installed security screen windows and doors. New entrance door locks have been installed on all units.	GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS		Objective	Progress	Provide or attract supportive services to improve assistance recipients' employability	BHA has worked with Union College (next door neighbor) and local Community Action Agency on improving educational skills
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Objective	Progress																														
Provide or attract supportive services to improve assistance recipients' employability	BHA has worked with Union College (next door neighbor) and local Community Action Agency on improving educational skills																														
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p>																														
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p>																														
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>																														
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>																														
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>																														
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>																														

9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p style="padding-left: 40px;">Progress on the Housing Authority’s goals was already included in Section 5.2 on page 3</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p style="padding-left: 40px;"><i>The following is the Housing Authority of Barboursville’s Definition of Substantial Deviation and Significant Amendment or Modification:</i></p> <p style="padding-left: 80px;">a. Substantial Deviation from the 5-Year Plan</p> <p style="padding-left: 120px;">A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.</p> <p style="padding-left: 80px;">b. Significant Amendment or Modification to the Annual Plan</p> <p style="padding-left: 120px;">Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See Attachment A</p> <p>(g) Challenged Elements – See Attachment B</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

- Attachment C** – Violence Against Women Act (VAWA) Statement)
- Attachment D** – Capital Fund Program Annual Statement – FY 2010
- Attachment E** – Capital Fund Program P & E Report – FY 2009
- Attachment F** – Capital Fund Program P & E Report – FY 2009 (ARRA)
- Attachment G** – Capital Fund Program P & E Report – FY 2008
- Attachment H** – Capital Fund Program P & E Report – FY 2007
- Attachment I** – Capital Fund Program Five-Year Action Plan

Please note: Barboursville Housing Authority does not have a scanner. Documents needing the Executive Director’s signature will be sent by mail along with the appropriate Certifications.

Attachment A

Barbourville Housing Authority

**Five-Year and Annual Plans
Fiscal Years 04/01/2010 – 04/01/2014**

Comments of the Resident Advisory Board

Barbourville Housing Authority met with the Resident Advisory Board (RAB) on December 4, 2009.

Elements of the PHA Plan Template and the Capital Fund Program grants were discussed. The RAB members agreed with the Plan as presented and no suggestions or changes were offered by them.

Loretta Gray, Executive Director
Barbourville Housing Authority

December 7, 2009

Please note: Barbourville Housing Authority does not have a scanner. Documents needing the Executive Director's signature will be sent by mail along with the appropriate Certifications.

Attachment B

Barbourville Housing Authority

**Five-Year and Annual Plans
Fiscal Years 04/01/2010 – 04/01/2014**

Challenged Elements

There were no challenged elements to the Housing Authority's Five-year and Annual Plans

Loretta Gray, Executive Director
Barbourville Housing Authority

December 7, 2009

Please note: Barbourville Housing Authority does not have a scanner. Documents needing the Executive Director's signature will be sent by mail along with the appropriate Certifications.

Attachment C

Barbourville Housing Authority

Five-Year and Annual Plans

Fiscal Years 04/01/2010 – 04/01/2014

Violence Against Women Act Report

A goal of the Barbourville Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The Barbourville Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

Through cooperation with the local domestic violence agency and Barbourville Police Department, any cases of violence as described are referred for assistance. The local domestic violence agency is Knox County Domestic Violence Council, located in Barbourville, KY.

The Barbourville Housing Authority provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.

The Knox County Domestic Violence Council's program staff is aware of our housing program and makes client referrals to our office. Apparently eligible clients are placed on our waiting list.

For persons already living in a Housing Authority unit who become victims as described, these are referred to police and the Domestic Violence Council's program for assistance.

If the management becomes aware of any violator who may be restricted through an order of protection, that person is prohibited from the premises and is considered a trespasser subject to arrest and removal. The Police Department is cooperative and supportive in cases such as this, and willingly responds and enforces the protective orders.

The Barbourville Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

The same methods as described herein are used, making referrals to Knox County Domestic Violence Council for counseling and support services, and attempting to enforce orders of protection with the cooperation of Police Department personnel.

The Barbourville Housing Authority has the following procedure in place to assure applicants and residents are aware of their rights under the Violence Against Women Act:

All residents have been notified of their rights and responsibilities under the Violence Against Women Act.

The orientation for new residents includes information on their rights and responsibilities under the Violence Against Women Act.

The Admissions & Continued Occupancy Policy (ACOP) and the Public Housing Dwelling Lease have been revised to include screening and termination language related to the Violence Against Women Act

Part I: Summary		
PHA Name: BARBOURVILLE HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36P028 501 10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: _____ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	40,000.00			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	20,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	14,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	127,124.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$201,124.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P028 501 10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Signature of Public Housing Director		
Date			Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: BARBOURVILLE HOUSING AUTHORITY			Grant Type and Number Capital Fund Program Grant No: KY36P028 501 10 CFPP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP-Wide	Operations	1406	LS	40,000.00				
	Subtotal 1406			40,000.00				
AMP-Wide	Administration	1410	LS	20,000.00				
	Subtotal 1410			20,000.00				
KY 028-1, Paul Buchanan Court	A & E Fees	1430	LS	14,000.00				
	Subtotal 1430			14,000.00				
KY 028-1, Paul Buchanan Court	Kitchen Cabinets	1460	56 units	127,124.00				
	Subtotal 1460			127,124.00				
	TOTAL			\$201,124.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary		
PHA Name: BARBOURVILLE HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36P028 50 109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 9/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	30,000.00		-0-	-0-
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	20,000.00		-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	151,124.00		-0-	-0-
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$201,124.00		-0-	-0-

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P028 50 109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>9/30/2009</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Signature of Public Housing Director		
Date			Date		

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary		
PHA Name: BARBOURVILLE HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36S028 50 109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: 9/30/2009 **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	25,646.00		-0-	-0-
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	15,388.00		15,080.00	10,665.02
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	81,434.00		120,030.50	401.00
11	1465.1 Dwelling Equipment—Nonexpendable	134,000.00		64,889.50	401.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$256,468.00		\$200,000.00	\$11,467.02

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36S028 50 109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>9/30/2009</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Signature of Public Housing Director		
Date			Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary		
PHA Name: BARBOURVILLE HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36P028 50 108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2008 FFY of Grant Approval:

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: 9/30/2009 **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	30,000.00		30,000.00	30,000.00
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	20,000.00		20,000.00	12,212.10
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	152,613.00		152,613.00	91,645.11
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$202,613.00		\$202,613.00	\$133,857.21

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P028 50 108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>9/30/2009</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Signature of Public Housing Director		
Date			Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary		
PHA Name: BARBOURVILLE HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: KY36P028 50 107 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 2)
 Performance and Evaluation Report for Period Ending: 9/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	30,000.00	30,000.00	30,000.00	30,000.00
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	20,000.00	20,000.00	20,000.00	14,532.18
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	156,509.00	102,608.71	102,608.71	82,992.23
11	1465.1 Dwelling Equipment—Nonexpendable	-0-	16,634.68	16,634.68	-0-
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	-0-	37,265.61	37,265.61	37,265.61
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$206,509.00	\$206,509.00	\$206,509.00	\$164,790.02

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⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P028 50 107 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>9/30/2009</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director			Signature of Public Housing Director		
Date			Date		

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: BARBOURVILLE HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: KY36P028 50 107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-Wide	Operations	1406	LS	30,000.00	30,000.00	30,000.00	30,000.00	100%
	Subtotal 1406			30,000.00	30,000.00	30,000.00	30,000.00	
PHA-Wide	Administration	1410	LS	20,000.00	20,000.00	20,000.00	14,532.18	73%
	Subtotal 1410			20,000.00	20,000.00	20,000.00	14,532.18	
KY 028-1, Paul Buchanan Court	Kitchen Faucets	1460	37 units	10,000.00	10,559.72	10,559.72	10,559.72	100%
KY 028-2, Mackey Village	Interior Lighting	1460	15 buildings (28 units)	30,552.00	15,391.55	15,391.55	15,391.55	100%
KY 028-3, Churchill Court	Bathroom Floors	1460	56	75,957.00	31,657.44	31,657.44	31,657.44	100%
KY 028-3	Kitchen Faucets	1460	35	-0-	5,000.00	5,000.00	1,630.53	33%
PHA-Wide	Door Locks	1460	140 units	40,000.00	40,000.00	40,000.00	23,752.99	59%
	Subtotal 1460			156,509.00	102,608.71	102,608.71	82,992.23	
KY 028-3	Refrigerators	1465.1	35	-0-	16,634.68	16,634.68	-0-	0%
	Subtotal 1465.1			-0-	16,634.68	16,634.68	-0-	
PHA-Wide	Replacement of Lawn Mower	1475	1	-0-	14,981.61	14,981.61	14,981.61	100%
PHA-Wide	Replacement of Maintenance Truck	1475	1	-0-	22,284.00	22,284.00	22,284.00	100%
	Subtotal 1475			-0-	37,265.61	37,265.61	37,265.61	
	TOTAL			\$206,509.00	\$206,509.00	\$206,509.00	\$164,790.02	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number Barbourville Housing Authority - KY 028		Locality (City/County & State) Barbourville, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	127,124	141,124	141,124	141,124
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		20,000	20,000	20,000	20,000
F.	Other		14,000			
G.	Operations		40,000	40,000	40,000	40,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		\$201,124	\$201,124	\$201,124	\$201,124
L.	Total Non-CFP Funds					
M.	Grand Total					

Part I: Summary (Continuation)						
PHA Name/Number Barbourville Housing Authority - KY 028		Locality (City/county & State) Barbourville, KY			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY Grant: 2011 PHA FY: 04/01/2011 – 3/31/2012	Work Statement for Year 3 FFY Grant: 2012 PHA FY: 04/01/2012 – 3/31/2013	Work Statement for Year 4 FFY Grant: 2013 PHA FY: 04/01/2013 – 3/31/2014	Work Statement for Year 5 FFY Grant: 2014 PHA FY: 04/01/2014 – 3/31/2015
		Annual Statement				
	AMP-Wide		74,000	60,000	60,000	60,000
	KY 028-1, Paul Buchanan Court					
	KY 028-2, Mackey Village		99,638			141,124
	KY 028-3, Churchill Court		27,486	141,124	141,124	
	CFP Funds Listed for 5-year planning		\$201,124	\$201,124	\$201,124	\$201,124

