

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

1.0	PHA Information PHA Name: <u>Housing Authority of Paintsville</u> PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard PHA Fiscal Year Beginning: (MM/YYYY): <u>04/01/2010</u> PHA Code: <u>KY027</u> <input type="checkbox"/> HCV (Section 8)				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>274</u> Number of HCV units: <u>87</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Housing Authority of Paintsville is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. See Attachment A – Goals and Objectives for previous 5 Year Plan See Attachment B – Goals and objectives for current 5 Year Plan				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission; (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.				
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.				

9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.
9.1	Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. See Attachment A – Goals and Objectives for Previous 5 Year Plan</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" Substantial deviation/modification is a material change to a PHA [written] policy that requires approval by Paintsville Housing Authority's (PHA's) Board of Commissioners. It does not include a change in strategy, policy or procedure when the change is reasonably necessary to effectuate the intent, purpose or interpretation of PHA's Agency Plan or other policy. A significant amendment or modification to a PHA policy is one that would most likely result in a major effect upon the intent, purpose or interpretation of PHA's Agency Plan or other policy. Discretionary or administrative amendments consonant with the Authority's stated overall mission and basic objectives will not be considered substantial deviations or significant modifications.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

11.0 Required Submission for HUD Field Office Review

- (A) – Signed and Attached along with letter (HUD-50077)**
- (B) – Signed and Attached (HUD-50070)**
- (C) – Signed and Attached (HUD-50071)**
- (D) – Attached (HUD-SF-LLL)**
- (F) – Attached (RAB COMMENTS)**
- (G) – No one challenged any element of Agency Plan.**
- (H) – Signed and Attached (HUD-50075.1)**
- (I) – Signed and Attached (HUD-50075.2)**

Attachment A – Goals and Objectives for Previous 5 Year Plan

1) Reduce public housing vacancies.

SITE	UNITS	VACANT APARTMENTS 03/31/05	VACANT APARTMENTS 03/31/09
WESTVIEW MANOR	150	16	1
SOUTHLAND/WOODLAND	124	4	2

The Vacancy Rate has decreased to an overall average of 2%. Currently AMP 1 (Westview Manor) is at 1% and AMP 2 (Southland/Woodland Housing) is at 2%.

2) Increased PHAS score.

The overall average score had increased from a 92 in 2005 to a current score of 94. The Housing Authority of Paintsville has seen a significant increase in their PASS score from an average of 26 to an average of 29 out of 30 points. An increase in maintenance productive has contributed to the improvement of our score.

3) Renovate or Modernize public housing units.

The Housing Authority of Paintsville has continued their efforts and has used their CFP to improve their Federal Aided Public Housing Units. With the allocation of ARRA funds for 2009, the Housing Authority was able to begin more rehabilitation to their 150 unit high rise facility.

4) Section 8 (SEMAP)

The Section 8 program has continued to maintain the status of High Performer throughout the previous 5 years.

Attachment B – Goals and Objectives for Current 5 Year Plan

5.2 Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHA's may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHA's ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHA's should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Reduce public housing vacancies: **Overall vacancy rate is 2% average turnaround time is 26 days. The Authority will work towards maintaining or perhaps decreasing the overall vacancy rate. The Authority's goal for turnaround time will be to decrease turnaround days from 26 to 20 and under. Working closely with maintenance and more training for new maintenance staff should help in this area.**

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score), **Current score is 94, which has increased 2 points in the last 5 years. The Authority's goal is to maintain their high performer status for the next 5 years under the new PHAS scoring system.**
 - Improve voucher management: (SEMAP score) **Current score is 100%. Goal would be to maintain current score and high performer status.**
 - Increase customer satisfaction: **Continue to make sure that work orders are completed timely. Currently the PHA has no website. The PHA will have a website created to help aid applicants and current tenants.**
 - Renovate or modernize public housing units: **Finish the outside facade of our 150 apt building. Change siding on all of our family units. Improve the curb appeal for all projects, with landscaping changes and new signage for all properties.**

- PHA Goal: Increase assisted housing choices
Objectives:
 - Conduct outreach efforts to potential voucher landlords – **At this time a few new landlords have contacted our office by phone. The goal and projected plan for Landlord outreach is to advertise in the local newspapers and also conduct Landlord meetings to inform them about the Housing Choice Voucher Program.**

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: **The PHA tries to mix higher income with lower income projects. The PHA will run a report monthly for each development to better monitor deconcentration for each project.**

- Implement public housing security improvements: **Currently have cameras throughout project one. The goal will be to add more cameras to remote areas in our family units, especially the park and playground areas.**

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability: **Market with flyers and Ads to increase community awareness.**
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Other PHA Goals and Objectives: (list below)

-Alleviate the impact of domestic & sexual violence against women. (VAWA)

Objectives:

• Inform victims of their housing rights and options by providing appropriate information in a timely manner. **The goal is to partner with local agencies including the Department of Social Services, Sheriffs Department and City Police Department to promote their services and programs designed to enhance victim's safety. In elderly care abusive situation, the PHA will call adult protective services through the Department of Human Services. In a women & man abusive or domestic violence situations, the PHA will have the resident contact the local police department to file a report or to get an order of protection, if needed.**

-Continue Resident Service Program (Resident Initiative Coordinator and Elected Resident Councils)
The PHA goal is to continue to maintain the RIC and activities that they are involved in with the residents. The PHA has two elected Resident Councils who are active with fundraisers and other activities.

-Improve Resident Advisory Board: We meet once a year with the resident councils for roundtable discussions. Goal is to meet quarterly and encouraging more interaction among management and residents and programs designed to enhance victim's safety and well being. The PHA does attend monthly Resident Council meetings.

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the PHA 5-Year and Annual PHA Plan for the PHA fiscal year beginning 04/01/2010, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

PHA Name: Housing Authority of Paintsville

PHA Number/HA Code: KY027

X 5-Year PHA Plan for Fiscal Years 2010 - 2015

X Annual PHA Plan for Fiscal Years 2010 - 2011

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Paige Porter	Vice-Chairperson
Signature 	Date 1/15/10



HOUSING AUTHORITY OF PAINTSVILLE

700 Sixth Street
Paintsville, KY 41240
606-789-1782
TDD 1-800-648-6056
FAX 606-789-7271

January 5, 2010

The PHA will carry out the public housing program of the agency in conformity with the Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Section 504 of the Rehabilitation Act of 1973, and Title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Mike Spradlin
Executive Director
Housing Authority of Paintsville

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Housing Authority of Paintsville

Program/Activity Receiving Federal Grant Funding

Capital Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Miko Spradlin

Title

Executive Director

Signature

X *Miko Spradlin*

Date

1/5/10

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of Paintsville

Program/Activity Receiving Federal Grant Funding

Capital Fund

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Mike Spradlin

Title

Executive Director

Signature



Date (mm/dd/yyyy)

11/5/10

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

N/A

**HOUSING AUTHORITY OF PAINTSVILLE
700 SIXTH STREET
PAINTSVILLE, KY 41240**

**RESIDENT ADVISORY BOARD COMMENTS
HOUSING AUTHORITY OF PAINTSVILLE
2010 - KY027**

From our meetings with Resident Advisory Boards and Improvement Surveys given to all Residents, the following items were suggested to enhance the living conditions in our Housing Authority:

- 1. Increase in Security in all developments.**
 - A. Upgrade of all cameras throughout the building at Westview Manor is complete. The Housing Authority is in the process of installing cameras outside of their main office location, and also at the playground. The Authority also maintains two live-in police officers within the developments.**
- 2. Installing new kitchen cabinets and flooring at West View Manor.**
 - A. The upgrades of kitchen cabinets and flooring have been placed in the Five Year Plan. The change of tile from carpet is underway at Westview Manor.**
- 3. Residents of Southland Housing Requested storage buildings.**
 - A. Storage buildings are in the Five Year Plan.**

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007
PIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750107 Replacement Housing Factor Grant No: Date of CFFP:	

<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2009		<input checked="" type="checkbox"/> Revised Annual Statement (revision no:4) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	-0-	-0-	-0-	-0-
2	1406 Operations (may not exceed 20% of line 21) ³	71,842	71,842	71,842	71,842
3	1408 Management Improvements	7,500	-0-	-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)	30,000	30,000	30,000	16,376.19
5	1411 Audit	200	200	200	-0-
6	1415 Liquidated Damages	-0-	-0-	-0-	-0-
7	1430 Fees and Costs	20,000	20,000	20,000	8,500.00
8	1440 Site Acquisition	-0-	-0-	-0-	-0-
9	1450 Site Improvement	4,500	4,500	4,500	-0-
10	1460 Dwelling Structures	232,647	210,600.47	210,600.47	35,812.60
11	1465.1 Dwelling Equipment—Nonexpendable	21,500	41,900	41,900	5,592.30
12	1470 Non-dwelling Structures	-0-	9,146.53	9,146.53	9,146.53
13	1475 Non-dwelling Equipment	-0-	-0-	-0-	-0-
14	1485 Demolition				
15	1492 Moving to Work Demonstration	-0-	-0-	-0-	-0-
16	1495.1 Relocation Costs	-0-	-0-	-0-	-0-
17	1499 Development Activities ⁴	-0-	-0-	-0-	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007
PIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750107 Replacement Housing Factor Grant No: Date of CFPP:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 09-30-2009 Revised Annual Statement (revision no: 4)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁴	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	-0-	-0-	-0-	-0-
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	-0-	-0-	-0-	-0-
19	1502 Contingency (may not exceed 8% of line 20)	-0-	-0-	-0-	-0-
20	Amount of Annual Grant:: (sum of lines 2 - 19)	388,189	388,189	388,189	147,269.62
21	Amount of line 20 Related to LBP Activities	-0-	-0-	-0-	-0-
22	Amount of line 20 Related to Section 504 Activities	-0-	-0-	-0-	-0-
23	Amount of line 20 Related to Security - Soft Costs	-0-	-0-	-0+	-0-
24	Amount of line 20 Related to Security - Hard Costs	-0-	-0-	-0-	-0-
25	Amount of line 20 Related to Energy Conservation Measures	13,000	13,000	-0-	-0-

Signature of Executive Director <i>Mike Spadlin</i>	Date <i>1/15/10</i>	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFPP Grants for operations.
⁴ RHP funds shall be included here.

Part II: Supporting Pages		Grant Type and Number			Federal FFY of Grant: 2007				
PHA Name: HOUSING AUTHORITY OF PAINTSVILLE		Capital Fund Program Grant No: KY36PO2750107 CFFP (Yes/ No): Replacement Housing Factor Grant No:							
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
PHA-WIDE	OPERATIONS	1406		71,842	71,842	71,842	71,842	COMPLETE	
	MANAGEMENT IMPROVEMENT	1408		7,500	-0-	-0-	-0-		
	ADMINISTRATION	1410		30,000	30,000	30,000	16,376.19		
	AUDIT	1411		200	200	200	-0-		
	FEES & COST	1430		20,000	20,000	20,000	8,500.00		
KY027-01	REPLACE GAS METERS W/NEW PIPING	1460	16	19,200	-0-	-0-	-0-	MOVED	
	REPLACE KITCHEN CABINETS(44)	1460	44	128,747.00	178,490.47	178,490.47	3,702.60		
	REPLACE UTILITY RM DOORS	1460	VAR	13,000	-0-	-0-	-0-	MOVED	
	REPLACE DEAD BOLT LOCKS	1460	104	-0-	-0-	-0-	-0-	MOVED	
	REPLACE RANGES(4)	1465	40	16,500	16,500	16,500	-0-	ONGOING	
KY027-03	REPLACE GAS METERS W/NEW PIPING	1460	16	19,200	-0-	-0-	-0-	MOVED	
KY027-04	REPLACE ELECTRIC RANGES(40)	1465	40	20,400	20,400	20,400	5,376.00		
	REPLACE APT HVAC(20)	1460	20	24,000	32,110	32,110	32,110		
	EMG REPAIR BOILER & AIR HANDLER	1470	2	-0-	9,146.53	9,146.53	9,146.53	COMPLETE	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008 FFY of Grant Approval: 2008
PIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750108 Replacement Housing Factor Grant No: Date of CFFP:	

<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	-0-	-0-	-0-	-0-
2	1406 Operations (may not exceed 20% of line 21) ³	81,856	80,220	80,220	80,220
3	1408 Management Improvements	7,500	7,500	-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)	30,000	30,000	30,000	-0-
5	1411 Audit	200	200	-0-	-0-
6	1415 Liquidated Damages	-0-	-0-	-0-	-0-
7	1430 Fees and Costs	25,000	25,000	-0-	-0-
8	1440 Site Acquisition	-0-	-0-	-0-	-0-
9	1450 Site Improvement	5,000	5,000	-0-	-0-
10	1460 Dwelling Structures	200,251	253,761	115,596.20	5178.55
11	1465.1 Dwelling Equipment—Nonexpendable	7,600	7,600	-0-	-0-
12	1470 Non-dwelling Structures	51,874	-0-	-0-	-0-
13	1475 Non-dwelling Equipment	-0-	-0-	-0-	-0-
14	1485 Demolition				
15	1492 Moving to Work Demonstration	-0-	-0-	-0-	-0-
16	1495.1 Relocation Costs	-0-	-0-	-0-	-0-
17	1499 Development Activities ⁴	-0-	-0-	-0-	-0-

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008 FFY of Grant Approval: 2008			
PIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750108 Replacement Housing Factor Grant No: Date of CFFP:				
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2009		<input type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	-0-	-0-	-0-	-0-
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	-0-	-0-	-0-	-0-
19	1502 Contingency (may not exceed 8% of line 20)	-0-	-0-	-0-	-0-
20	Amount of Annual Grant:: (sum of lines 2 - 19)	409,281	409,281	225,816.20	85,398.55
21	Amount of line 20 Related to LBP Activities	-0-	-0-	-0-	-0-
22	Amount of line 20 Related to Section 504 Activities	-0-	-0-	-0-	-0-
23	Amount of line 20 Related to Security - Soft Costs	-0-	-0-	-0-	-0-
24	Amount of line 20 Related to Security - Hard Costs	-0-	-0-	-0-	-0-
25	Amount of line 20 Related to Energy Conservation Measures	-0-	-0-	-0-	-0-
Signature of Executive Director <i>Mike Gradlin</i>		Date <i>1/15/10</i>	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages		Grant Type and Number			Federal FFY of Grant: 2008				
PHA Name: HOUSING AUTHORITY OF PAINTSVILLE		Capital Fund Program Grant No: KY36PO2750108 CFPP (Yes/ No): Replacement Housing Factor Grant No:							
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
				81,856	80,220	80,220	80,220		
PHA-WIDE	OPERATIONS	1406							
	MANAGEMENT IMPROVEMENT	1408		7,500	7,500	-0-	-0-		
	ADMINISTRATION	1410		30,000	30,000	30,000	-0-		
	AUDIT	1411		200	200	-0-	-0-		
	FEES & COST	1430		25,000	25,000	-0-	-0-		
KY027-01	DWELLING STRUCTURES								
	REPLACE FLOORING	1460	VAR	15,500	15,500	-0-	-0-		
	REPLACE HWH17 UNITS	1460	17	10,000	6,074	-0-	-0-		
	REPLACE KITCHEN CABINETS	1460	VAR	-0-	115,597.00	115,596.20	5,178.55		
KY027-03	DWELLING STRUCTURES	1460	3 BLDG	15,167	-0-	-0-	-0-	MOVED 2010	
	CLEAN & REPAIR FOUNDATIONS								
	REPLACE HWH 15 UNITS	1465	15	13,000	-0-	-0-	-0-	MOVED 2010	
KY027-04	ADD ADD'L PHONE JACKS & TV CABLE	1460	VAR	21,630	-0-	-0-	-0-	MOVED 2010	
	REPLACE APT HVAC	1460	16	16,000	16,000	-0-	-0-		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	PIIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:
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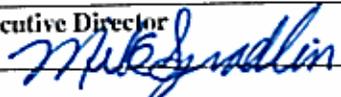
Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 09-30-2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ¹	Obligated	Expended
1	Total non-CFF Funds	-0-	-0-	-0-	-0-
2	1406 Operations (may not exceed 20% of line 21) ³	81,390	81,390	-0-	-0-
3	1408 Management Improvements	7,500	7,500	-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)	30,000	30,000	-0-	-0-
5	1411 Audit	200	200	-0-	-0-
6	1415 Liquidated Damages	-0-	-0-	-0-	-0-
7	1430 Fees and Costs	23,144	23,144	-0-	-0-
8	1440 Site Acquisition	-0-	-0-	-0-	-0-
9	1450 Site Improvement	25,780	25,780	-0-	-0-
10	1460 Dwelling Structures	135,340	135,340	-0-	-0-
11	1465.1 Dwelling Equipment—Nonependable	7,600	7,600	-0-	-0-
12	1470 Non-dwelling Structures	35,000	35,000	-0-	-0-
13	1475 Non-dwelling Equipment	61,000	61,000	-0-	-0-
14	1485 Demolition	-0-	-0-	-0-	-0-
15	1492 Moving to Work Demonstration	-0-	-0-	-0-	-0-
16	1495.1 Relocation Costs	-0-	-0-	-0-	-0-
17	1499 Development Activities ⁴	-0-	-0-	-0-	-0-

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval:			
PHA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750109 Replacement Housing Factor Grant No: Date of CFFP:				
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	-0-	-0-	-0-	-0-
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	-0-	-0-	-0-	-0-
19	1502 Contingency (may not exceed 8% of line 20)	-0-	-0-	-0-	-0-
20	Amount of Annual Grant:: (sum of lines 2 - 19)	406,954	406,954	-0-	-0-
21	Amount of line 20 Related to LBP Activities	-0-	-0-	-0-	-0-
22	Amount of line 20 Related to Section 504 Activities	-0-	-0-	-0-	-0-
23	Amount of line 20 Related to Security - Soft Costs	-0-	-0-	-0-	-0-
24	Amount of line 20 Related to Security - Hard Costs	25,000	25,000	-0-	-0-
25	Amount of line 20 Related to Energy Conservation Measures	-0-	-0-	-0-	-0-
Signature of Executive Director 		Date 1/15/10	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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⁴ RHP funds shall be included here.

Part II: Supporting Pages		Grant Type and Number		Federal FY of Grant: 2009				
PHA Name: HOUSING AUTHORITY OF PAINTSVILLE		Capital Fund Program Grant No: KY36PO2750109 CFPP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-WIDE	OPERATIONS	1406		81,390	81,390	-0-	-0-	
	MANAGEMENT IMPROVEMENTS	1408		7,500	7,500	-0-	-0-	
	ADMINISTRATION	1410		30,000	30,000	-0-	-0-	
	AUDIT	1411		200	200	-0-	-0-	
	FEES & COST	1430		23,144	23,144	-0-	-0-	
KY027000002	ADD SHELTERS PICNIC AREA	1450	2	5,000	5,000	-0-	-0-	
KY027000002	TREE TRIMMING & LANDSCAPE	1450	VAR	8,000	8,000	-0-	-0-	
KY027000001	HALLWAY SMOKE DETECTORS	1460	36	36,000	36,000	-0-	-0-	
	ADD ADD'S PHONE JACKS & TV CABLE	1460	VAR	18,750	18,750	-0-	-0-	
	REPLACE APT HVAC	1460	16	16,000	16,000	-0-	-0-	
	KEYLESS ENTRY SYSTEM ENTRANCE DOORS	1475	2	25,000	25,000	-0-	-0-	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: HOUSING AUTHORITY OF PAINTSVILLE DUNS NUMBER 136954893	Grant Type and Number Capital Fund Program Grant No: KY36S02750109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
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Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no: 1)
 Performance and Evaluation Report for Period Ending: 09-30-2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	-0-	-0-	-0-	-0-
2	1406 Operations (may not exceed 20% of line 21) ³	-0-	-0-	-0-	-0-
3	1408 Management Improvements	-0-	-0-	-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)	10,200	10,200	-0-	-0-
5	1411 Audit	-0-	-0-	-0-	-0-
6	1415 Liquidated Damages	-0-	-0-	-0-	-0-
7	1430 Fees and Costs	31,000	31,000	25,000.00	-0-
8	1440 Site Acquisition	-0-	-0-	-0-	-0-
9	1450 Site Improvement	54,508	29,444	21,443.46	20,388.23
10	1460 Dwelling Structures	393,860	414,424	-0-	-0-
11	1465.1 Dwelling Equipment—Nonexpendable	-0-	-0-	-0-	-0-
12	1470 Non-dwelling Structures	5,500	5,500	-0-	-0-
13	1475 Non-dwelling Equipment	23,000	27,500	-0-	-0-
14	1485 Demolition	-0-			
15	1492 Moving to Work Demonstration	-0-			
16	1495.1 Relocation Costs	-0-			
17	1499 Development Activities ⁴	-0-			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant:2009 FFY of Grant Approval: 2009			
PIA Name: HOUSING AURITTOIRVT OF PAINTSVILLE DUNS #136954893	Grant Type and Number Capital Fund Program Grant No: KY36S02750109 Replacement Housing Factor Grant No: Date of CFFP:				
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 09-30-2009		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	-0-	-0-	-0-	-0-
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	-0-	-0-	-0-	-0-
19	1502 Contingency (may not exceed 8% of line 20)	-0-	-0-	-0-	-0-
20	Amount of Annual Grant:: (sum of lines 2 - 19)	518,068	518,068	46,443.46	20,388.23
21	Amount of line 20 Related to LHP Activities	-0-	-0-	-0-	-0-
22	Amount of line 20 Related to Section 504 Activities	-0-	-0-	-0-	-0-
23	Amount of line 20 Related to Security - Soft Costs	-0-	-0-	-0-	-0-
24	Amount of line 20 Related to Security - Hard Costs	13,000	13,000	-0-	-0-
25	Amount of line 20 Related to Energy Conservation Measures	-0-	-0-	-0-	-0-
Signature of Executive Director <i>M. K. Spadlin</i>		Date <i>11/15/10</i>		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHP funds shall be included here.

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2009				
PHA Name: HOUSING AUTHORITY OF PAIANTSVILLE DUNS NUMBER 136954893		Capital Fund Program Grant No: KY36S02750109 CFFP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA-WIDE	ADMINISTRATION	1410	.	\$10,200	10,200	-0-	-0-	
PHA-WIDE	AUDIT	1411		\$ -0-	-0-	-0-	-0-	
PHA-WIDE	FEES AND COST	1430		\$31,000	31,000	25,000	-0-	
KY027000001	REPAIR, CAULK, RESTORATION OF EXISTING EXTERIOR SYNTHETIC CEMENT PLASTER SYSTEM APPLICATION OF TWO (2) COATS WEATHERLASTIC COATING FRONT ELEVATION	1460	62,310 SQ FT.	\$393,860	414,424	-0-	-0-	
KY027000002	REPLACEMENT OF PROJECT SIGNAGE	1450	2	\$8,000	8,000	-0-	-0-	
KY027000002	UPGRADE PLAYGROUND EQUIPMENT	1450	2	\$46,508	21,444	21,443.46	20,388.23	
KY027000002	UPGRADE SECURITY SYSTEM MAIN OFFICE	1470	1	\$5,500	5,500	-0-	-0-	
KY027000002	ADD 22 KW GENERATOR MAIN OFFICE	1475	1	\$15,500	20,000	-0-	-0-	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval:
PIHA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO27S0110 Replacement Housing Factor Grant No: Date of CFFP:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ¹	Obligated	Expended
1	Total non-CFF Funds	-0-			
2	1406 Operations (may not exceed 20% of line 21) ³	81,390			
3	1408 Management Improvements	-0-			
4	1410 Administration (may not exceed 10% of line 21)	30,000			
5	1411 Audit	200			
6	1415 Liquidated Damages	-0-			
7	1430 Fees and Costs	20,000			
8	1440 Site Acquisition	-0-			
9	1450 Site Improvement	19,750			
10	1460 Dwelling Structures	187,364			
11	1465.1 Dwelling Equipment—Nonexpendable	-0-			
12	1470 Non-dwelling Structures	-0-			
13	1475 Non-dwelling Equipment	68,250			
14	1485 Demolition	-0-			
15	1492 Moving to Work Demonstration	-0-			
16	1495.1 Relocation Costs	-0-			
17	1499 Development Activities ⁴	-0-			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PIHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010			
PIA Name: HOUSING AUTHORITY OF PAINTSVILLE	Grant Type and Number Capital Fund Program Grant No: KY36PO2750110 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁴	
		Original	Revised ¹	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	-0-			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	-0-			
19	1502 Contingency (may not exceed 8% of line 20)	-0-			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	406,954			
21	Amount of line 20 Related to LBP Activities	-0-			
22	Amount of line 20 Related to Section 504 Activities	-0-			
23	Amount of line 20 Related to Security - Soft Costs	-0-			
24	Amount of line 20 Related to Security - Hard Costs	-0-			
25	Amount of line 20 Related to Energy Conservation Measures	-0-			
Signature of Executive Director <i>Mike Smullen</i>		Date <i>11/5/10</i>	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages		Grant Type and Number		Federal FFY of Grant: 2010				
PHA Name: HOUSING AUTHORITY OF PAINTSVILLE		Capital Fund Program Grant No: KY36PO2750110						
		CFFP (Yes/No):						
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PIIA-WIDE	OPERATIONS	1406		81,390				
	ADMINISTRATION	1410		30,000				
	AUDIT	1411		200				
	FEES & COST	1430		20,000				
KY027000001	RESURFACE/SEAL PARKING LOT	1450		19,750				
	REPLACE CARPET	1460	40 UNITS	52,500				
	REPLACE BACK ENTRANCE DOORS	1460	2	5,600				
	REFURBISH COMMON AREA	1460		10,000				
	REPLACE DOMESTIC WATER, SEWER ADD CLEAN OUTS	1460	1 RISER	76,000				
	UPGRADE ELEVATORS	1460	2 CARS	25,500				
	REPLACE APT HVAC	1460	16 UNITS	17,764				
	REPLACEMENT 150 KW EMERGENCY GENERATOR	1475	1	68,250				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary		Locality (City/County & State) PAINTSVILLE JOHNSON KENTUCKY			<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 2	
PHA Name/Number I.I.A. OF PAINTSVILLE KY027		Work Statement for Year 1 FFY _____ 2010 _____	Work Statement for Year 2 FFY 2011 _____	Work Statement for Year 3 FFY _____ 2012 _____	Work Statement for Year 4 FFY 2013 _____	Work Statement for Year 5 FFY 2014 _____
A.	Development Number and Name KY027000001 PAINTSVILLE					
B.	Physical Improvements Subtotal	Annual Statement	134,100	160,746	224,600	216,000
C.	Management Improvements		-0-	-0-	3,000	-0-
D.	PHA-Wide Non-dwelling Structures and Equipment		33,000	39,500	8,000	18,750
E.	Administration		15,000	15,000	15,000	15,000
F.	Other		-0-	-0-	-0-	-0-
G.	Operations		39,500	39,500	39,500	39,500
H.	Demolition		-0-	-0-	-0-	-0-
I.	Development		-0-	-0-	-0-	-0-
J.	Capital Fund Financing – Debt Service		-0-	-0-	-0-	-0-
K.	Total CFP Funds		221,600	254,746	290,100	289,250
L.	Total Non-CFP Funds		-0-	-0-	-0-	-0-
M.	Grand Total		221,600	254,746	290,100	289,250

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary		Locality (City/County & State) PAINTSVILLE JOHINSON KENTUCKY				<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No:2	
PIIA Name/Number H. A. of Paintsville KY027		Work Statement for Year 1 FFY _____ 2010_____	Work Statement for Year 2 FFY _____ 2011_____	Work Statement for Year 3 FFY _____ 2012_____	Work Statement for Year 4 FFY _____ 2013_____	Work Statement for Year 5 FFY _____ 2014_____	
A.	Development Number and Name KY027000002 PAINTSVILLE						
B.	Physical Improvements Subtotal	Annual Statement	218,050	147,200	100,800	110,940	
C.	Management Improvements		12,500	5,000	5,000	5,000	
D.	PHA-Wide Non-dwelling Structures and Equipment		50,800	30,000	67,250	38,000	
E.	Administration		15,000	15,000	15,000	15,000	
F.	Other		-0-	-0-	-0-	-0-	
G.	Operations		39,500	39,500	39,500	39,500	
H.	Demolition		-0-	-0-	-0-	-0-	
I.	Development		-0-	-0-	-0-	-0-	
J.	Capital Fund Financing – Debt Service		-0-	-0-	-0-	-0-	
K.	Total CFP Funds		335,850	236,700	227,550	208,440	
L.	Total Non-CFP Funds		-0-	-0-	-0-	-0-	
M.	Grand Total		335,850	236,700	227,550	208,440	

Capital Fund Program—Five-Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year 2011			Work Statement for Year: 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	KY027000002 PAINTSVILLE			KY027000002 PAINTSVILLE		
Annual	REPLACE DRAINS UNDER CONCRETE PADS	4 BLDGS	115,000	REPLACE INTERIOR DOORS 52 UNIT	360	94,600
Statement	CLEAN/RPR FOUNDATIONS	4 BLDGS	16,000	REPLACE LIGHTS FIXTURES I.R, BR 52 UNITS		31,200
	RPL/ HWH	15 UNITS	13,000	REPAIR/PRELACE FASCIA SOFFITT	VAR	8,800
	ADD ADDITIONAL PHONE JACKS TV-CA	40	15,400	REPLACE SIDING	VAR	12,600
	REPLACE GAS METERS	24	28,800	PHA-WIDE		
				UPGRADE SECURITY SYSTEM MAIN OFC	1	6,500
	REPLACE UTILITIES RM DOORS	80	11,000	MAINT VEHICLE 4-WH DRIVE	1	15,500
	REPLACE DEAD BOLT LOCKS	32	8,400	TREE TRIMMING	VAR	8,000
	ADD STORAGE BLDG 0-1 BD	20	12,300			
	GUTTER GUARDS	32 BLDGS	2,500			
	PHA-WIDE					
	REPLACE SIDEWALKS, ADD FENCE 911-925	VAR	5,500			
	RANGES & REF	15	7,950			
	ENTRANCE PROPERTY SIGNS	2	5,000			

Capital Fund Program—Five-Year Action Plan

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	UPGRADE 2-WAY RADIO	1	5,500			
	ON-DUTY POLICE SERVICE		10,000			
	MAINT VEHICLE	1	12,500			
	Subtotal of Estimated Cost		\$ 268,850	Subtotal of Estimated Cost		\$ 177,200

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year 2013 FFY			Work Statement for Year: 2014 FFY		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	KY027000002 PAINTSVILLE			KY027000002 PAINTSVILLE		
	CREATE POSITION SLOPE MINI-FRENCH DRAINS 709-807		20,500	REPLACE ROOFS	13 BLDGS	76,500
	REPLACE ROOFS	14 BLDGS	85,700	INSTALL INSULATION	VARIOUS	16,000
	CREATE POSITIVE SLOPE MINI FRENCH DRAINS 909-931		10,000	UPGRADE SECURITY SYS PLAYGROUND	1	15,000
	TREE TRIMMING & REMOVAL	VAR	6,000	INSTALL CARBON MONOXIDE DETECTORS	124	7,440
	GUTTER GUARDS 909-931		1,500	UPGRADE MAINT BLDG	1	15,000
	CREATE POSITIVE SLOPE MINI DRAIN 809-841		20,500	PHA-WIDE		
	GUTTER GUARDS 809-841		1,500	REPLACE RANGE & REFRIGERATORS	20	11,000
	PHA-WIDE			SIDEWALKS	VAR	8,000
	REPLACE RANGES & REFRIGERATORS	22	12,100			
	REPLACE DUMPSTERS	3	3,000			

