

# PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB No. 2577-0226  
Expires 4/30/2011

1.0	<b>PHA Information</b> PHA Name: <u>Housing Authority of the City of Richmond</u> PHA Code: <u>IN 009</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2010</u>				
2.0	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>303</u> Number of HCV units: <u>273</u>				
3.0	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <b>See attached Mission</b>				
5.2	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <b>See attached Goals and Objectives</b>				
6.0	<b>PHA Plan Update</b> (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <b>Due to ARRA</b> (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <b>Plan is available for public inspection at the main Administrative Office of HACR. 58 South 15th St., Richmond, IN.</b>				
7.0	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i> N/A				
8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.				
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. 2010 Annual Plan; 2009 Stimulus Performance and Evaluation Report; 2009 Performance and Evaluation Report; 2008 Performance and Evaluation Report; and 2007 Performance and Evaluation Report				
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. 2010-2014 Five-Year Action Plan				
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.				
9.0	<b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. <b>(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).</b> See attached Housing Needs				
9.1	<b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b> See attached Strategy for Addressing Housing Needs				

10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested. (Note: <b>Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan</b>).</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5- Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>See attached Additional Information</p>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

**11.0 (F) Resident Advisory Board (RAB) comments.**

The residents who serve on the Resident Advisory Council had no comments on the HACR Plan this year and are listed as follows:

- Miss Rita Taylor
- Miss Kate Deloney
- Miss Denise Harper
- Mr. Barry Tapp
- Miss Annabelle Oliver
- Miss Annie Ruth Sanders
- Mr. William McDaniel

**11.0 (g) Challenged Elements**

There were no elements within the HACR annual plan that were challenged by residents, staff, Board of Commissioners or the general public.

**See attached Violence Against Women Act (VAWA) Policy**

## **5.1 Mission.**

The mission of the HACR is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

## **5.2 Goals and Objectives.**

### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

HACR Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers
- Reduce public housing vacancies
- Leverage private or other public funds to create additional housing opportunities

HACR Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management
- Improve voucher management
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units

HACR Goal: Increase assisted housing choices

Objectives:

- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement public housing or other homeownership programs

HUD Strategic Goal: Improve community quality of life and economic vitality

HACR Goal: Provide an improved living environment

Objectives:

- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments
- Implement public housing security improvements

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

HACR Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families
- Provide or attract supportive services to improve assistance recipients' employability
- Provide or attract supportive services to increase independence for the elderly or families with disabilities

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

HACR Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Date of CFFP Program Grant No: <u>IN36P009501-10</u>		<b>FFY of Grant:</b> <u>2010</u> <b>FFY of Grant Approval:</b>	
Replacement Housing Factor Grant No:					
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	102,566.00			
3	1408 Management Improvements	44,528.00			
4	1410 Administration (may not exceed 10% of line 21)	49,157.58			
5	1411 Audit	892.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	274,500.00			
11	1465.1 Dwelling Equipment—Nonexpendable	5,250.00			
12	1470 Non-dwelling Structures	15,000.00			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	1.00			
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	10,939.42			
20	Amount of Annual Grant: (sum of lines 2-19)	512,834.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	30,000.00			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	285,750.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
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<b>Part I: Summary</b>					
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Date of CFFP Program Grant No: <u>IN36P009501-10</u>		Replacement Housing Factor Grant No:	
				FFY of Grant: <u>2010</u>	
				FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director <i>Derek E. White</i>		Date <u>4-26-10</u>		Signature of Public Housing Director	
				Date	

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<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-10 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2010</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
HA Wide	Housing operations	1406	20%	102,566.00				
Operations	<b>Sub total</b>			<b>102,566.00</b>				
HA Wide	A. Community Policing	1408	100%	31,428.00				
Mgt Improvement	B. Security improvements	1408	100%	900.00				
	C. Resident/staff development	1408	10%	11,000.00				
	D. Risk control	1408	100%	1,200.00				
	<b>Sub total</b>			<b>44,528.00</b>				
HA Wide	Partial salary of staff involved in CFP	1410	9%	49,157.58				
Admin Cost	<b>Sub total</b>			<b>49,157.58</b>				
HA Wide	CFP Audit fees	1411	100%	892.00				
Audit	<b>Sub total</b>			<b>892.00</b>				
HA Wide	A/E Services	1430	100%	10,000.00				
Fees & Cost	<b>Sub total</b>			<b>10,000.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-10 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2010</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
AMP # 1	A. Upgrade elevator controls	1460	1 Car	55,000.00				
	B. Replace central DHW heater	1460	1 EA	5,500.00				
	C. Emergencies	1460	LS	1,000.00				
	D. Vacancy reduction	1460	6 Units	6,000.00				
	E. Replace refrigerators	1465.1	3 EA	1,350.00				
	F. Relocation cost	1495.1	LS	1.00				
	<b>Sub total</b>			<b>68,851.00</b>				
AMP #2	A. Replace roof shingles	1460	13 Bldg	200,000.00				
	B. Replace DHW heaters	1460	3 EA	1,500.00				
	C. Emergencies	1460	LS	1,000.00				
	D. Vacancy reduction	1460	1 Unit	1000.00				
	E. Replace appliances	1465.1	3 Pair	1,950.00				
	F. Replace community bldg roofs	1470	2 Bldg	15,000.00				
	<b>Sub total</b>			<b>220,450.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-10 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2010</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
AMP # 3	A. Replace DHW heater	1460	5 EA	1,500.00				
	B. Emergencies	1460	LS	1,000.00				
	C. Vacancy reduction	1460	1 Unit	1,000.00				
	D. Replace appliances	1465.1	3 Pair	1,950.00				
	<b>Sub total</b>			<b>5,450.00</b>				
HA Wide	Contingency funding	1502	2%	10,939.42				
Contingency	<b>Sub total</b>			<b>10,939.42</b>				
	<b>Grand Total</b>			<b>512,834.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Richmond      IN36P009501-10					Federal FFY of Grant: 2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA Wide	6/30/2012		6/30/2014		
AMP #1	6/30/2012		6/30/2014		
AMP #2	6/30/2012		6/30/2014		
AMP #3	6/30/2012		6/30/2014		

<sup>1</sup>Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2012

<b>Part I: Summary</b>						
PHA Name/Number Housing Authority of the City of Richmond/IN 009		Locality (City/County & State) Richmond/Wayne County/Indiana			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	304,508	274,508	304,508	304,508
C.	Management Improvements		44,028	44,028	44,028	44,028
D.	PHA-Wide Non-dwelling Structures and Equipment			30,000		
E.	Administration		47,840	47,840	47,840	47,840
F.	Other		14,000	14,000	14,000	14,000
G.	Operations		102,458	102,458	102,458	102,458
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		512,834	512,834	512,834	512,834
L.	Total Non-CFP Funds					
M.	Grand Total		512,834	512,834	512,834	512,834

**Capital Fund Program—Five-Year Action Plan**

<b>Part I: Summary (Continuation)</b>						
PHA Name/Number Housing Authority of the City of Richmond/IN 009		Locality (City/County & State) Richmond/Wayne County/Indiana			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
		<b>Annual Statement</b>				
	IN 09-1, Henderson AMP #1			148,000		146,808
	IN 09-2, Southview AMP #2		122,550	33,200	85,508	30,000
	IN 09-3 Geier AMP #2		181,958	33,200	219,000	30,000
	IN 09-4, Smith AMP #3			60,108		97,700
			<b>304,508</b>	<b>274,508</b>	<b>304,508</b>	<b>304,508</b>





<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011		Work Statement for Year: 3 FFY 2012	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
<b>See</b>	<b>HA Wide Operations</b>		<b>HA Wide Operations</b>	
<b>Annual</b>	Housing operations	102,458	Housing operations	102,458
<b>Statement</b>	<b>Subtotal</b>	<b>102,458</b>	<b>Subtotal</b>	<b>102,458</b>
	<b>HA Wide Management Improvements</b>		<b>HA Wide Management Improvements</b>	
	A. Community Policing	31,428	A. Community Policing	31,428
	B. Security improvements	900	B. Security improvements	900
	C. Staff training	5,000	C. Staff training	5,000
	D. Risk training	1,200	D. Risk training	1,200
	E. Resident Educational training	5,500	E. Resident Educational training	5,500
	<b>Subtotal</b>	<b>44,028</b>	<b>Subtotal</b>	<b>44,028</b>
	<b>HA Wide Admin Cost</b>		<b>HA Wide Admin Cost</b>	
	Partial salary of staff involved in CFP	47,840	Partial salary of staff involved in CFP	47,840
	<b>Subtotal</b>	<b>47,840</b>	<b>Subtotal</b>	<b>47,840</b>
	<b>HA Wide Audit</b>		<b>HA Wide Audit</b>	
	CFP Auditing fees	2,000	CFP Auditing fees	2,000
	<b>Subtotal</b>	<b>2,000</b>	<b>Subtotal</b>	<b>2,000</b>
	<b>HA Wide Fees &amp; Cost</b>		<b>HA Wide Fees &amp; Cost</b>	
	A/E Services	12,000	A/E Services	12,000
	<b>Subtotal</b>	<b>12,000</b>	<b>Subtotal</b>	<b>12,000</b>
			<b>HA Wide Non-dwelling Equipment</b>	
			Replace maintenance vehicle	30,000
			<b>Subtotal</b>	<b>30,000</b>
	Subtotal of Estimated Cost	\$208,326	Subtotal of Estimated Cost	\$208,326

<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY 2010	Work Statement for Year 4 FFY 2013		Work Statement for Year: 5 FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	<b>HA Wide Operations</b>		<b>HA Wide Operations</b>	
	Housing operations	102,458	Housing operations	102,458
	<b>Subtotal</b>	<b>102,458</b>	<b>Subtotal</b>	<b>102,458</b>
	<b>HA Wide Management Improvements</b>		<b>HA Wide Management Improvements</b>	
	A. Community Policing	31,428	A. Community Policing	31,428
	B. Security improvements	900	B. Security improvements	900
	C. Staff training	5,000	C. Staff training	5,000
	D. Risk training	1,200	D. Risk training	1,200
	E. Resident Educational training	5,500	E. Resident Educational training	5,500
	<b>Subtotal</b>	<b>44,028</b>	<b>Subtotal</b>	<b>44,028</b>
	<b>HA Wide Admin Cost</b>		<b>HA Wide Admin Cost</b>	
	Partial salary of staff involved in CFP	47,840	Partial salary of staff involved in CFP	47,840
	<b>Subtotal</b>	<b>47,840</b>	<b>Subtotal</b>	<b>47,840</b>
	<b>HA Wide Audit</b>		<b>HA Wide Audit</b>	
	CFP Auditing fees	2,000	CFP Auditing fees	2,000
	<b>Subtotal</b>	<b>2,000</b>	<b>Subtotal</b>	<b>2,000</b>
	<b>HA Wide Fees &amp; Cost</b>		<b>HA Wide Fees &amp; Cost</b>	
	A/E Services	12,000	A/E Services	12,000
	<b>Subtotal</b>	<b>12,000</b>	<b>Subtotal</b>	<b>12,000</b>
	Subtotal of Estimated Cost	\$208,326	Subtotal of Estimated Cost	\$208,326

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Date of CFFP Program Grant No: <u>IN36S009501-09</u>		<b>Replacement Housing Factor Grant No:</b>	
				<b>FFY of Grant:</b> <u>2009</u>	
				<b>FFY of Grant Approval:</b> <u>2009</u>	
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			0.00	0.00
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	9,739.77	9,516.18	4,218.91	4,218.91
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,100.00	55,299.00	19,560.00	19,560.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	604,478.23	439,174.96	289,456.24	12,120.24
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	145,327.86	0.00	0.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	649,318.00	649,318.00	313,235.15	35,899.15
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities		1,355.90	1,355.90	1,355.90
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	56,000.00	67,472.00	2,077.00	2,077.00
25	Amount of line 20 Related to Energy Conservation Measures	548,478.23	278,139.55	278,139.55	518.96

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>					
<b>FHA Name:</b> Housing Authority City of Richmond		<b>Grant Type and Number</b> Capital Fund Program Grant No: IN36S50109 Date of CFPP: _____		<b>Replacement Housing Factor Grant No:</b> _____	
				<b>FFY of Grant:</b> 2009-Stimulus <b>FFY of Grant Approval:</b> _____	
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no:2 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2009					
<input type="checkbox"/> Final Performance and Evaluation Report					
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost<sup>1</sup></b>	
		<b>Original</b>	<b>Revised<sup>2</sup></b>	<b>Obligated</b>	<b>Expended</b>
<b>Signature of Executive Director</b> <i>Derek E. White</i>		<b>Date</b> 3-5-10		<b>Signature of Public Housing Director</b> _____	
				<b>Date</b> _____	

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Program Grant No: IN36S009501-09 Replacement Housing Factor Grant No:				<b>Federal FFY of Grant:</b> <b>2009</b>		
		CFFP (Yes/ No): No						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
Admin Cost	AMP 1Admin salary	1410		3,246.59	3,172.06	1,406.31	1,406.31	44% Completed
	AMP 2Admin salary	1410		3,246.59	3,172.06	1,406.30	1,406.30	44% Completed
	AMP 3Admin salary	1410		3,246.59	3,172.06	1,406.30	1,406.30	44% Completed
	<b>Sub total</b>			<b>9,739.77</b>	<b>9,516.18</b>	<b>4,218.91</b>	<b>4,218.91</b>	
Fees &Cost	AMP 1 A/E Services	1430	100%	5,850.00	40,977.00	19,560.00	19,560.00	48% Completed
	AMP 1 A/E Services	1430	100%	19,500.00	7,161.00	0.00	0.00	0% Completed
	AMP 1 A/E Services	1430	100%	9,750.00	7,161.00	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>35,100.00</b>	<b>55,299.00</b>	<b>19,560.00</b>	<b>19,560.00</b>	
AMP # 1	A. Entry door upgrade	1460	104	35,000.00	0.00	0.00	0.00	Delete
	B. Freight elevator addition	1465	1	0.00	145,327.86	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>35,000.00</b>	<b>145,327.86</b>	<b>0.00</b>	<b>0.00</b>	
AMP #2	Entry & storm door replacement	1460	300	304,739.12	219,587.48	202,087.48	12,120.24	6% Completed
	<b>Sub total</b>			<b>304,739.12</b>	<b>219,587.48</b>	<b>202,087.48</b>	<b>12,120.24</b>	
AMP #3	Entry & storm door replacement	1460	300	264,739.11	219,587.48	87,368.76	0.00	0% Completed
	<b>Sub total</b>			<b>264,739.11</b>	<b>219,587.48</b>	<b>87,368.76</b>	<b>0.00</b>	
	<b>Grand Total</b>			<b>649,318.00</b>	<b>649,318.00</b>	<b>313,235.15</b>	<b>35,899.15</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Richmond      IN36S009501-09					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP #1	3/17/2010		3/17/2012		
AMP #2	3/17/2010		3/17/2012		
AMP #3	3/17/2010		3/17/2012		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Date of CFFP Program Grant No: <u>IN36P009501-09</u>		<b>FFY of Grant:</b> <u>2009</u> <b>FFY of Grant Approval:</b> <u>2009</u>	
Replacement Housing Factor Grant No:					
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	102,594.00	102,594.00	0.00	0.00
3	1408 Management Improvements	62,430.98	62,430.98	0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	47,839.71	47,839.71	0.00	0.00
5	1411 Audit	892.00	892.00	0.00	0.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	8,000.00	8,000.00	0.00	0.00
8	1440 Site Acquisition	2,500.00	2,500.00	0.00	0.00
9	1450 Site Improvement	42,800.00	42,800.00	0.00	0.00
10	1460 Dwelling Structures	236,162.31	236,162.31	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	5,250.00	5,250.00	0.00	0.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	1.00	1.00	0.00	0.00
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	4,364.00	4,364.00	0.00	0.00
20	Amount of Annual Grant: (sum of lines 2-19)	512,834.00	512,834.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	31,428.00			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	185,250.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part E: Summary</b>					
PHA Name: Housing Authority City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P00950109      Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director <i>Nerak E. White</i>		Date 3-8-10		Signature of Public Housing Director Date	

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Program Grant No: IN36P009501-09 Replacement Housing Factor Grant No:			CFPP (Yes/ No): No		<b>Federal FFY of Grant:</b> <b>2009</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
HA Wide Operations	Housing operations <b>Sub total</b>	1406	20%	102,594.00	102,594.00	0.00	0.00	0% Completed
				<b>102,594.00</b>	<b>102,594.00</b>	<b>0.00</b>	<b>0.00</b>	
HA Wide Mgt Improvement	A. Community Policing	1408	100%	31,428.00	31,428.00	0.00	0.00	0% Completed
	B. Security improvements	1408	100%	900.00	900.00	0.00	0.00	0% Completed
	C. Resident/staff development	1408	10%	11,000.00	11,000.00	0.00	0.00	0% Completed
	D. Risk control	1408	100%	19,102.98	19,102.98	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>62,430.98</b>	<b>62,430.98</b>	<b>0.00</b>	<b>0.00</b>	
HA Wide Admin Cost	Partial salary of staff involved in CFP <b>Sub total</b>	1410	9%	47,839.71	47,839.71	0.00	0.00	0% Completed
				<b>47,839.71</b>	<b>47,839.71</b>	<b>0.00</b>	<b>0.00</b>	
HA Wide Audit	CFP Audit fees <b>Sub total</b>	1411	100%	892.00	892.00	0.00	0.00	0% Completed
				<b>892.00</b>	<b>892.00</b>	<b>0.00</b>	<b>0.00</b>	
HA Wide Fees & Cost	A/E Services <b>Sub total</b>	1430	100%	8,000.00	8,000.00	0.00	0.00	0% Completed
				<b>8,000.00</b>	<b>8,000.00</b>	<b>0.00</b>	<b>0.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-09 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2009</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP # 1	A. Replace HVAC units	1460	104 Units	170,500.00	168,500.00	0.00	0.00	0% Completed
Henderson	B. Install kitchen pantry inserts	1460	104 Units	48,162.31	0.00	0.00	0.00	Delete
	C. Replace hallway lighting	1460	1 Bldg	8,500.00	8,500.00	0.00	0.00	0% Completed
	D. Replace refrigerators	1465.1	3 EA	1,350.00	1,350.00	0.00	0.00	0% Completed
	E. Install low-flow toilets	1460	104 EA	0.00	16,720.77	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>228,512.31</b>	<b>195,070.77</b>	<b>0.00</b>	<b>0.00</b>	
AMP #2	A. Replace retaining wall	1450	1000 LF	20,000.00	20,000.00	0.00	0.00	0% Completed
Southview/Geier	B. Replace sidewalks	1450	1000 SF	10,000.00	10,000.00	0.00	0.00	0% Completed
	C. Construct bus stop	1450	1	6,800.00	6,800.00	0.00	0.00	0% Completed
	D. Emergencies	1460	LS	1,000.00	1,000.00	0.00	0.00	0% Completed
	E. Vacancy reduction	1460	1 Unit	2,000.00	2,000.00	0.00	0.00	0% Completed
	F. Replace DHW heaters	1460	3 EA	1,500.00	1,500.00	0.00	0.00	0% Completed
	G. Replace appliances	1465.1	3 Pair	1,950.00	1,950.00	0.00	0.00	0% Completed
	H. Install low-flow toilets	1460	104 EA	0.00	16,720.77	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>43,250.00</b>	<b>59,970.77</b>	<b>0.00</b>	<b>0.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-09 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2009</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
AMP # 3	A. Purchase property	1440	LS	2,500.00	2,500.00	0.00	0.00	0% Completed
Smith	B. Tree removal	1450	10 EA	6,000.00	6,000.00	0.00	0.00	0% Completed
	C. Emergencies	1460	LS	1,000.00	1,000.00	0.00	0.00	0% Completed
	D. Vacancy reduction	1460	1 Unit	2,000.00	2,000.00	0.00	0.00	0% Completed
	E. Replace DHW heater	1460	4 EA	1,500.00	1,500.00	0.00	0.00	0% Completed
	F. Replace appliances	1465.1	3 Pair	1,950.00	1,950.00	0.00	0.00	0% Completed
	G. Resident relocation	1495	LS	1.00	1.00	0.00	0.00	0% Completed
	H. Install low-flow toilets	1460	104 EA	0.00	16,720.77	0.00	0.00	0% Completed
	<b>Sub total</b>			<b>14,951.00</b>	<b>31,671.77</b>	<b>0.00</b>	<b>0.00</b>	
HA Wide	Contingency funding	1502	.9%	4,364.00	4,364.00	0.00	0.00	0% Completed
Contingency	<b>Sub total</b>			<b>4,364.00</b>	<b>4,364.00</b>	<b>0.00</b>	<b>0.00</b>	
	<b>Grand Total</b>			<b>512,834.00</b>	<b>512,834.00</b>	<b>0.00</b>	<b>0.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Richmond      IN36P009501-09					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA Wide	6/30/2011		6/30/2013		
AMP #1 Henderson	6/30/2011		6/30/2013		
AMP #2 Southview/Geier	6/30/2011		6/30/2013		
AMP #3 Smith	6/30/2011		6/30/2013		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Date of CFFP Program Grant No: <u>IN36P009501-08</u>		<b>FFY of Grant:</b> <u>2008</u> <b>FFY of Grant Approval:</b> <u>2008</u>	
Replacement Housing Factor Grant No:					
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009 <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	102,581.00	102,581.00	102,581.00	102,581.00
3	1408 Management Improvements	44,028.00	44,028.00	17,440.10	17,440.10
4	1410 Administration (may not exceed 10% of line 21)	47,804.12	47,785.09	2,216.67	2,216.67
5	1411 Audit	892.00	892.00	892.00	892.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	12,000.00	9,317.50	9,317.50	9,317.50
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	88,999.98	308,365.41	33,662.00	33,662.00
11	1465.1 Dwelling Equipment—Nonexpendable	213,065.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	1.00	1.00	0.00	0.00
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	3,598.90	0.00	0.00	0.00
20	Amount of Annual Grant: (sum of lines 2-19)	512,970.00	512,970.00	166,109.27	166,109.27
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

04/26/2010 10:20 17659621143 04/26/2010 09:48 FAA 1/0000000390 RICHMOND H A DATA MAINTENANCE PAGE 09/11

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary					
PHA Name:		Grant Type and Number		FFY of Grant:	
Housing Authority City of Richmond		Capital Fund Program Grant No: IN36P00850108 Date of CFFP: _____		Replacement Housing Factor Grant No: FFY of Grant Approval: 2008	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2009			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>4</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>Debra E. ...</i>		3-8-10			

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-08 Replacement Housing Factor Grant No:				Federal FFY of Grant: <b>2008</b>		
		CFFP (Yes/ No): No						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
HA Wide Operations	Housing operations	1406	20%	102,581.00	102,581.00	102,581.00	102,581.00	Completed
	<b>Sub total</b>			<b>102,581.00</b>	<b>102,581.00</b>	<b>102,581.00</b>	<b>102,581.00</b>	
HA Wide Mgt Improvement	A. Community policing	1408	100%	31,428.00	31,428.00	6,378.00	6,378.00	20% Completed
	B. Staff training	1408	100%	10,500.00	10,500.00	9,950.74	9,950.74	95% Completed
	C. Risk training	1408	100%	1,200.00	1,200.00	1,111.36	1,111.36	93% Completed
	D. Resident Education/Training	1408	100%	0.00	0.00	0.00	0.00	Delete
	E. Security Improvement	1408	100%	900.00	900.00	0.00	0.00	0% Completed
	<b>Subtotal</b>			<b>44,028.00</b>	<b>44,028.00</b>	<b>17,440.10</b>	<b>17,440.10</b>	
HA Wide Admin Cost	MOD Coord Salary, Benefits & supplies	1410	9%	47,804.12	47,785.09	2,216.67	2,216.67	5% Completed
	<b>Subtotal</b>			<b>47,804.12</b>	<b>47,785.09</b>	<b>2,216.67</b>	<b>2,216.67</b>	
HA Wide Audit	CFP Audit services	1411	LS	892.00	892.00	892.00	892.00	Completed
	<b>Subtotal</b>			<b>892.00</b>	<b>892.00</b>	<b>892.00</b>	<b>892.00</b>	
HA Wide Fees & Cost	A/E Services	1430	100%	12,000.00	9,317.50	9,317.50	9,317.50	Completed
	<b>Sub total</b>			<b>12,000.00</b>	<b>9,317.50</b>	<b>9,317.50</b>	<b>9,317.50</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-08 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2008</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
IN 9-1, Henderson (AMP 1)	A. Replace hall carpeting	1460	1400 SY	28,000.00	30,662.00	30,662.00	30,662.00	Completed
	B. Replace toilets	1460	104 EA	16,666.66	0.00	0.00	0.00	Delete
	C. Vacancy reduction	1460	2 Units	4,000.00	4,000.00	0.00	0.00	0% Completed
	D. Emergencies	1460	LS	1,000.00	2,075.00	0.00	0.00	0% Completed
	E. Replace freight elevators	1460	1 Sys	160,000.00	263,703.41	0.00	0.00	0% Completed
	F. Replace passenger elevator controllers	1460	1 Car	50,000.00	0.00	0.00	0.00	Delete
	<b>Subtotal</b>			<b>259,666.66</b>	<b>300,440.41</b>	<b>30,662.00</b>	<b>30,662.00</b>	
IN 9-2/3	A. Replace toilets	1460	104 EA	16,666.66	0.00	0.00	0.00	Delete
Southview/Geier (AMP 2)	B. Vacancy reduction	1460	1 Unit	2,000.00	2,000.00	0.00	0.00	0% Completed
	C. Emergencies	1460	LS	1,064.00	0.00	0.00	0.00	Delete
	D. Replace DHW heaters	1460	5	1,500.00	1,500.00	1,500.00	1,500.00	Completed
	<b>Subtotal</b>			<b>21,230.66</b>	<b>3,500.00</b>	<b>1,500.00</b>	<b>1,500.00</b>	
IN 9-4, Smith (AMP 3)	A. Replace toilets	1460	114 EA	16,667.66	0.00	0.00	0.00	Delete
	B. Replace DHW heaters	1460	5 EA	1,500.00	1,500.00	1,500.00	1,500.00	Completed
	C. Vacancy reduction	1460	1 Unit	2,000.00	2,000.00	0.00	0.00	0% Completed
	D. Emergencies	1460	LS	1,000.00	925.00	0.00	0.00	0% Completed
	E. Relocation	1495.1	LS	1.00	1.00	0.00	0.00	0% Completed
	<b>Subtotal</b>			<b>21,168.66</b>	<b>4,426.00</b>	<b>1,500.00</b>	<b>1,500.00</b>	
HA Wide Contingency	Contingency funding	1502	1%	3,598.90	0.00	0.00	0.00	Delete
	<b>Sub total</b>			<b>3,598.90</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
	<b>Grand Total</b>			<b>512,970.00</b>	<b>512,970.00</b>	<b>166,109.27</b>	<b>166,109.27</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Richmond      IN36P009501-08					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IN 9-1, AMP # 1	6/30/2010		6/30/2012		
IN 9-2/3, AMP # 2	6/30/2010		6/30/2012		
IN 9-4, AMP # 3	6/30/2010		6/30/2012		
HA Wide	6/30/2010		6/30/2012		

<sup>1</sup>Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Date of CFFP Program Grant No: <u>IN36P009501-07</u>		<b>FFY of Grant:</b> <u>2007</u> <b>FFY of Grant Approval:</b> <u>2007</u>	
Replacement Housing Factor Grant No:					
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2009 <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	102,581.00	102,581.00	102,581.00	102,581.00
3	1408 Management Improvements	44,460.26	45,278.26	44,278.26	44,278.26
4	1410 Administration (may not exceed 10% of line 21)	44,740.32	43,834.71	43,834.71	27,730.54
5	1411 Audit	892.00	892.00	892.00	892.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000.00	10,000.00	10,000.00	10,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	37,500.00	37,500.00	3,052.00	3,052.00
10	1460 Dwelling Structures	196,231.64	190,260.29	185,637.79	185,637.79
11	1465.1 Dwelling Equipment—Nonexpendable	2,999.85	2,999.85	2,999.85	2,999.85
12	1470 Non-dwelling Structures	59,565.31	62,734.82	62,734.82	62,734.82
13	1475 Non-dwelling Equipment	13,934.62	14,792.10	14,792.10	14,792.10
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0.00	2,031.97	0.00	0.00
20	Amount of Annual Grant: (sum of lines 2-19)	512,905.00	512,905.00	470,802.53	454,698.36
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	9,200.00	9,200.00	9,200.00	9,200.00
23	Amount of line 20 Related to Security - Soft Costs	31,428.00	30,818.00	30,818.00	30,818.00
24	Amount of line 20 Related to Security - Hard Costs	16,000			
25	Amount of line 20 Related to Energy Conservation Measures	140,215.01	140,304.98	140,304.98	140,304.98

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: Housing Authority City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P50107 Date of CFFP: _____		Replacement Housing Factor Grant No: FFY of Grant: 2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3 ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2009 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
Signature of Executive Director <i>Derek E. Caldwell</i>		Date 3-8-10		Signature of Public Housing Director Date	

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-07 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2007</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sup>2</sup>	
HA Wide Operations	Housing operations <b>Sub total</b>	1406	20%	102,581.00	102,581.00	102,581.00	102,581.00	Completed
				<b>102,581.00</b>	<b>102,581.00</b>	<b>102,581.00</b>	<b>102,581.00</b>	
HA Wide Mgt Improvement	A. Community policing	1408	100%	31,428.00	32,246.00	32,246.00	32,246.00	Completed
	B. Security Improvement	1408	LS	1,000.00	1,000.00	0.00	0.00	0% Completed
	C. Staff training	1408	5	6,000.00	6,000.00	6,000.00	6,000.00	Completed
	D. Risk control	1408	LS	1,032.26	1,032.26	1,032.26	1,032.26	Completed
	E. Resident Education/Training	1408	100%	5,000.00	5,000.00	5,000.00	5,000.00	Completed
	<b>Subtotal</b>			<b>44,460.26</b>	<b>45,278.26</b>	<b>44,278.26</b>	<b>44,278.26</b>	
HA-Wide Administration Cost	A. MOD Coord Salary & Benefits	1410	8%	42,513.00	42,513.00	42,513.00	26,408.83	62% Completed
	B. Sundry	1410	100%	2,227.32	1,321.71	1,321.71	1,321.71	Completed
	<b>Subtotal</b>			<b>44,740.32</b>	<b>43,834.71</b>	<b>43,834.71</b>	<b>27,730.54</b>	
HA-Wide Audit	CFP Audit services	1411	LS	892.00	892.00	892.00	892.00	Completed
	<b>Subtotal</b>			<b>892.00</b>	<b>892.00</b>	<b>892.00</b>	<b>892.00</b>	
HA-Wide Fees & Cost	A/E Services	1430	100%	10,000.00	10,000.00	10,000.00	10,000.00	Completed
				<b>10,000.00</b>	<b>10,000.00</b>	<b>10,000.00</b>	<b>10,000.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		<b>Grant Type and Number</b> Capital Fund Program Grant No: IN36P009501-07 Replacement Housing Factor Grant No:				CFPP (Yes/ No): No <b>Federal FFY of Grant:</b> <b>2007</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
IN 9-1, Henderson	A. Emergencies	1460	LS	1,050.00	4,050.00	4,050.00	4,050.00	Completed
	B. Replace laundry room	1475	100%	13,934.62	14,792.10	14,792.10	14,792.10	Completed
	C. Replace hallway handrails	1460	1 Bldg	7,245.24	5,504.52	5,504.52	5,504.52	Completed
	D. Paint metal roof & parapet cap	1460	LS	11,952.64	7,632.04	7,632.04	7,632.04	Completed
	<b>Subtotal</b>			<b>34,182.50</b>	<b>31,978.66</b>	<b>31,978.66</b>	<b>31,978.66</b>	
IN 9-2, Southview	A. Replace dumpster fencing	1450	55 LF	5,500.00	5,500.00	0.00	0.00	0% Completed
	B. Replace roofing & exterior siding	1460	4 Bldg	59,808.60	59,808.60	59,808.60	59,808.60	Completed
	C. Emergencies	1460	LS	1,000.00	0.00	0.00	0.00	Deleted
	<b>Subtotal</b>			<b>66,308.60</b>	<b>65,308.60</b>	<b>59,808.60</b>	<b>59,808.60</b>	
IN 9-3, Geier	A. Emergencies	1460	LS	1,000.00	0.00	0.00	0.00	Deleted
	B. Install sidewalk lighting	1450	LS	16,000.00	16,948.00	0.00	0.00	0% Completed
	<b>Subtotal</b>			<b>17,000.00</b>	<b>16,948.00</b>	<b>0.00</b>	<b>0.00</b>	
IN 9-4, Smith	A. Replace roof and exterior siding	1460	10 Bldg	103,175.16	103,265.13	103,265.13	103,265.13	Completed
	B. Emergencies	1460	LS	1,000.00	0.00	0.00	0.00	0% Completed
	C. Replace dumpster fencing	1450	55 LF	12,000.00	12,000.00	0.00	0.00	0% Completed
	<b>Subtotal</b>			<b>116,175.16</b>	<b>115,265.13</b>	<b>103,265.13</b>	<b>103,265.13</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Housing Authority of the City of Richmond		Grant Type and Number Capital Fund Program Grant No: IN36P009501-07 Replacement Housing Factor Grant No:			CFFP (Yes/ No): No		Federal FFY of Grant: <b>2007</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sub>2</sub>	Funds Expended <sub>2</sub>	
HA Wide Nondwelling Structures	A. Replace roofing on Administrative Bldg.	1470	1 Bldg	3,499.00	3,499.00	3,499.00	3,499.00	Completed
	B Replace roofing on Maint. Bldg.	1470	1 Bldg	56,066.31	59,235.82	59,235.82	59,235.82	Completed
	<b>Subtotal</b>			<b>59,565.31</b>	<b>62,734.82</b>	<b>62,734.82</b>	<b>62,734.82</b>	
HA Wide	A. Foundation deterioration	1450	LS	4,000.00	3,052.00	3,052.00	3,052.00	Completed
	B. Vacancy reduction	1460	LS	10,000.00	10,000.00	5,377.50	5,377.50	54% Completed
	C. Replace DHW heaters	1465.1	6 EA	2,999.85	2,999.85	2,999.85	2,999.85	Completed
	<b>Subtotal</b>			<b>16,999.85</b>	<b>16,051.85</b>	<b>11,429.35</b>	<b>11,429.35</b>	
HA Wide	Contingency funding	1502	.4%	0.00	2,031.97	0.00	0.00	0% Completed
Contingency	<b>Sub total</b>			<b>0.00</b>	<b>2,031.97</b>	<b>0.00</b>	<b>0.00</b>	
	<b>Grand Total</b>			<b>512,905.00</b>	<b>512,905.00</b>	<b>470,802.53</b>	<b>454,698.36</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Richmond      IN36P009501-07					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IN 9-1, Henderson	6/30/2009		6/30/2011		
IN 9-2, Southview	6/30/2009		6/30/2011		
IN 9-3, Geier	6/30/2009		6/30/2011		
IN 9-4, Smith	6/30/2009		6/30/2011		
HA Wide	6/30/2009		6/30/2011		

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U. S. Housing Act of 1937, as amended.

## **9.0 Housing Needs**

### **Housing Needs of Families on the Public Housing Waiting List**

The waiting list is open.

	Number of families	Percent of total families	Annual Turnover
Waiting list total	487		84
Extremely low income (<=30% AMI)	436	89.4	
Very low income (>30% but <=50% AMI)	48	10	
Low income (>50% but <80% AMI)	3	.6	
Families with children	374	76.8	
Elderly families	82	16.8	
Families with Disabilities	31	6.4	
Race/ethnicity Black	156	32	
Race/ethnicity Hispanic	7	1.5	
Race/ethnicity White	324	66.5	
<b>Characteristics by Bedroom Size</b>			
1 Bedroom	64	13	6
2 Bedroom	223	46	51
3 Bedroom	192	39.4	25
4 Bedroom	5	1	2
5 Bedroom	3	.6	-

### **Housing Needs of Families on the Section 8 tenant-based assistance Waiting List**

The waiting list is open.

	Number of families	Percent of total families	Annual Turnover
Waiting list total	289		27
Extremely low income (<=30% AMI)	263	91	
Very low income (>30% but <=50% AMI)	20	7	
Low income (>50% but <80% AMI)	3	1	
Families with children	223	77.2	
Elderly families	59	20.4	
Families with Disabilities	7	2.4	
Race/ethnicity Black	119	41.2	
Race/ethnicity Hispanic	5	1.7	
Race/ethnicity White	165	57.1	

## **9.1 Strategy for Addressing Housing Needs**

### **Need: Shortage of affordable housing for all eligible populations**

#### **Strategy 1. Maximize the number of affordable units available to the HACR within its current resources by:**

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the HACR, regardless of unit size required
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

#### **Strategy 2: Increase the number of affordable housing units by:**

- Apply for additional section 8 units should they become available
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

### **Need: Specific Family Types: Families at or below 30% of median**

#### **Strategy: Target available assistance to families at or below 30 % of AMI**

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Adopt rent policies to support and encourage work

### **Need: Specific Family Types: Families at or below 50% of median**

#### **Strategy: Target available assistance to families at or below 50% of AMI**

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work

### **Need: Specific Family Types: The Elderly**

#### **Strategy: Target available assistance to the elderly:**

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available

### **Need: Specific Family Types: Families with Disabilities**

#### **Strategy: Target available assistance to Families with Disabilities:**

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

### **Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

#### **Strategy 1: Increase awareness of HACR resources among families of races and ethnicities with disproportionate needs:**

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs

**Strategy 2: Conduct activities to affirmatively further fair housing**

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

**Reasons for Selecting Strategies**

The factors listed below influenced the HACR's selection of the strategies it will pursue:

- Funding and staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the HACR
- Influence of the housing market on HACR programs
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

## 10.0 Additional Information.

### (a) Progress in Meeting Mission and Goals.

The PHA has been able to maintain its mission to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination through the utilization of previous Capital funds and the proper application of our public housing policies.

We are continuing to address public housing vacancies very aggressively and our PHAS scores indicate that other operational issues are being positively addressed.

Capital funds have been utilized to provide modernization of our property and our FY 2010 application will continue that effort.

PHA has implemented local preferences to improve the living environment in addition to our modernization efforts

The implementation of a family pet policy has provided the opportunity for residents to enjoy pets within a regulated environment. In addition, PHA did reinstate its Community Service program beginning October 2003 and this action has been discussed with residents and each adult member of every household explaining that the status of community service on their recertification.

We are confident that the PHA will be able to continue to meet and accommodate all our goals and objectives for FY 2010.

### (b) Significant Amendment and Substantial Deviation/Modification.

#### **A. Substantial Deviation from the 5-year Plan:**

The Housing Authority of the City of Richmond's (HACR) Definition of Substantial Deviation and Significant Amendment or Modification are as follows:

- changes to rent or admissions policies or organization of the waiting list;
- additions of non-emergency work items (items not intended in the current 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund; and
- any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

#### **B. Significant Amendment or Modification to the Annual Plan:**

The Housing Authority of the City of Richmond's (HACR) Definition of Substantial Deviation and Significant Amendment or Modification are as follows:

- changes to rent or admissions policies or organization of the waiting list;
- additions of non-emergency work items (items not intended in the current Annual Statement) or change in use of replacement reserve funds under the Capital Fund; and
- any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

## Violence Against Women Act (VAWA) Policy

Title VI of the VAWA adds a new housing provision that establishes several categories of protected individuals. Under the law victims of domestic violence, dating violence, sexual assault, and stalking are granted protections and cannot be denied or terminated from housing or housing assistance because of activity that is directly related to domestic violence. 2005 VAWA Pub. L. 109-162; Stat. 2960 signed into law on January 5, 2006 and codified at 42 U.S.C. §1437d(l) and 1435f(d), (0) & 1 and (u)

### 1.0 Purpose

The purpose of this Policy is to reduce domestic violence, dating violence, and stalking and to prevent homelessness by:

- (a) protecting the safety of victims;
- (b) creating long-term housing solutions for victims;
- (c) building collaborations among victim service providers; and
- (d) assisting HACR to respond appropriately to the violence while maintaining a safe environment for HACR, employees, tenants, applicants, Section 8 participants, program participants and others.

The policy will assist the Housing Authority of the City of Richmond (HACR) in providing rights under the Violence Against Women Act to its applicants, public housing residents, Section 8 participants and other program participants.

This Policy is incorporated into HACR's "Admission and Continued Occupancy Policy" and "Section 8 Administration Plan" and applies to all HACR housing programs.

### 2.0 Definitions

The definitions in this Section apply only to this Policy.

- 2.1 **Confidentiality:** Means that HACR will not enter information provided to HACR by a victim alleging domestic violence into a shared database or provide this information to any related entity except as stated in 3.4
- 2.2 **Dating Violence:** Violence committed by a person (a) who is or has been in a social relationship of a romantic or intimate nature with the victim; and (b) where the existence of such relationship shall be determined based on a consideration of the following factors: (i) the length of the relationship; (ii) the type of relationship; (iii) the frequency of interaction between the persons involved in the relationship. 42 U.S.C. §1437d (u)(3)(A), § 13925.

- 2.3 Domestic Violence:** Felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, committed by a person with whom the victim shares a child in common, committed by a person who is cohabitating with or has cohabitated with the victim as a spouse, committed by a person similarly situated to a spouse of the victim under the domestic or family violence laws of Indiana, or committed by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of Indiana. 42 U.S.C. §1437d(u)(3)(B), § 13925.
- 2.4 Immediate Family Member:** A spouse, parent, brother or sister, or child of a victim or an individual to whom the victim stands *in loco parentis*; or any other person living in the household of the victim and related to the victim by blood or marriage. 42 U.S.C. § 1437d(u)(3)(D), § 13925.
- 2.5 Perpetrator:** A person who commits an act of domestic violence, dating domestic violence or stalking against a victim.
- 2.6 Stalking:** (a) to follow, pursue or repeatedly commit acts with the intent to kill, injure, harass or intimidate the victim; (b) to place under surveillance with the intent to kill, injure, harass or intimidate the victim; (c) in the course of, or as a result of such following, pursuit, surveillance, or repeatedly committed acts, to place the victim in reasonable fear of the death of, or serious bodily injury to the victim; or (d) to cause substantial emotional harm to the victim, a member of the immediate family of the victim or the spouse or intimate partner of the victim. 42 U.S.C. §1437d(u)(3)(C), § 13925.
- 2.7 Bona Fide Claim:** A *bonafide* claim of domestic violence, dating violence or stalking must include incidents that meet the terms and conditions in the above definitions.
- 2.8 Victim:** Is a person who is the victim of domestic violence, dating violence, or stalking under this Policy and who has timely and completely completed the certification under 3.2 and 3.3 or as requested by HACR.

### **3.0 Certification and Confidentiality**

#### **3.1 Failure to Provide Certification Under 3.2 and 3.3**

The person claiming protection under VAWA shall provide complete and accurate certifications to HACR, owner or manager within 14 business days after the party requests in writing that the person completes the certifications. If the person does not provide a complete and accurate certification within the 14 business days, HACR, owner or manager may take action to deny or terminate participation or tenancy. 42 U.S.C. §14371 (5) & (6); 42 U.S.C. § 1437F(c)(9); 42 U.S.C. §1437f(d)(l)(B)(ii)&(iii); 42 U.S.C. §1437f(o)(7)(C)&(D); or 42 U.S.C. §1437f(o)(20) or for other good cause.

### **3.2 HUD Approved Certification**

For each incident that a person is claiming as abuse, the person shall certify to HACR, owner or manager their victim status by completing a HUD approved certification form. The person shall certify the date, time and description of the incidents, that the incidents are *bonafide* incidents of actual or threatened abuses and meet the requirements of VAWA and this Policy. The person shall provide information to identify the perpetrator including but not limited to the name and, if known, all alias names, date of birth, address, contact information such as postal, e-mail or internet address, telephone or facsimile number or other identification.

### **3.3 Confirmation of Certification**

A person who is claiming victim status shall provide to HACR, an owner or manager: (a) documentation signed by the victim and an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence or stalking or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. §1746) the professional's belief that the incident(s) in question are *bonafide* incidents of abuse; or (b) a federal, state, tribal, territorial, local police or court record.

### **3.4 Confidentiality**

HACR, the owner and managers shall keep all information provided to HACR under this Section confidential. HACR, owner and manager shall not enter the information into a shared database or provide to any related entity except to the extent that:

- (a) the victim request or consents to the disclosure in writing;
- (b) the disclosure is required for:
  - (i) eviction from public housing under 42 U.S.C. §1437 l(5)&(6)(See Section 4 in this Policy)
  - (ii) termination of Section 8 assistance under 42 U.S.C. §1437f(c)(9); 42 U.S.C. §1437f(d)(l)(B)(ii)&(iii); 42 U.S.C. §1437f (o)(7)(C)&(D); or 42 U.S.C. §1437f(o)(20)(See Section 4 in this Policy); or (c) the disclosure is required by applicable law.

### **4.0 Appropriate Basis for Denial of Admission, Assistance or Tenancy**

- 4.1 HACR shall not deny participation or admission to a program on the basis of a person's abuse status, if the person otherwise qualifies for admission of assistance.
- 4.2 An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be a serious or repeated violation of the lease by the victim and shall not be good cause for denying to a victim admission to a program, terminating Section 8 assistance or occupancy rights, or evicting a tenant.

- 4.3 Criminal activity directly related to domestic violence, dating violence, or stalking engaged in by a member of a tenant's household or any guest or other person under the tenant's control shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant's family is the victim of that domestic violence, dating violence or stalking.
- 4.4 Notwithstanding Sections 4.1, 4.2, and 4.2, HACR, an owner or manager may bifurcate a lease to evict, remove or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others without evicting, removing, terminating assistance to or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. 42 U.S.C. §1437d(l)(6)(B)
- 4.5 Nothing in Sections 4.1, 4.2, and 4.3 shall limit the authority of HACR, an owner or manager, when notified, to honor court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members when the family breaks up.
- 4.6 Nothing in Sections 4.1, 4.2, and 4.3 limits HACR, an owner or manager's authority to evict or terminate assistance to any tenant for any violation of lease not premised on the act or acts of violence against the tenant or a member of the tenant's household. However HACR, owner or manager may not hold a victim to a more demanding standard.
- 4.7 Nothing in Sections 4.1, 4.2, and 4.3 limits HACR, an owner or manager's authority to evict or terminate assistance, or deny admission to a program if the HACR, owner or manager can show an actual and imminent threat to other tenants, neighbors, guests, their employees, persons providing service to the property or others if the tenant family is not evicted or terminated from assistance or denied admission.
- 4.8 Nothing in Sections 4.1, 4.2, or 4.3 limits HACR, an owner or manager's authority to deny admission, terminate assistance or evict a person who engages in criminal acts including but not limited to acts of physical violence or stalking against family members or others.
- 4.9 A Section 8 recipient who moves out of an assisted dwelling unit to protect their health or safety and who: (a) is a victim under this Policy; (b) reasonably believes he or she was imminently threatened by harm from further violence if he or she remains in the unit; and (c) has complied with all other obligations of the Section 8 program may receive a voucher and move to another Section 8 jurisdiction.

## **5.0 Actions Against a Perpetrator**

The HACR may evict, terminate assistance, deny admission to a program or trespass a perpetrator from its property under this Policy. The victim shall take action to control or prevent the domestic violence, dating violence, or stalking. The action may include but is not limited to: (a) obtaining and enforcing a restraining or no contact order or order for protection against the perpetrator; (b) obtaining and enforcing a trespass against the perpetrator; (c) enforcing HACR or law enforcement's trespass of the perpetrator; (d) preventing the delivery of the perpetrator's mail to the victim's unit; (e) providing identifying information listed in 3.2; and (f) other reasonable measures.

## **6.0 HACR Right to Terminate Housing and Housing Assistance Under this Policy**

6.1 Nothing in this Policy will restrict the HACR, owner or manager's right to terminate tenancy for lease violations by a resident who claims VAWA as a defense if it is determined by the HACR, owner or manager that such a claim is false.

6.2 Nothing in this Policy will restrict the HACR right to terminate tenancy if the victim tenant (a) allows a perpetrator to violate a court order relating to the act or acts of violence; or (b) if the victim tenant allows a perpetrator who has been barred from HACR property to come onto HACR property including but not limited to the victim's unit or any other area under their control; or (c) if the victim tenant fails to cooperate with an established safety strategy as designed by a local victim support service provider (see 7.2).

6.3 Nothing in this Policy will restrict the HACR right to terminate housing and housing assistance if the victim tenant who claims as a defense to an eviction or termination action relating to domestic violence has engaged in fraud and abuse against a federal housing program; especially where such fraud and abuse can be shown to have existed before the claim of domestic violence was made. Such fraud and abuse includes but is not limited to unreported income and ongoing boarders and lodgers violations, or damage to property.

## **7.0 Statements of Responsibility of Tenant Victim, the HACR to the Victim, and to the Larger Community.**

7.1 A tenant victim has no less duty and responsibility under the lease to meet and comply with the terms of the lease than any other tenant not making such a claim. Ultimately all tenants must be able to take personal responsibility for themselves and exercise control over their households in order to continue their housing and housing assistance. The HACR will continue to issue lease violation notices to all residents who violate the lease including those who claim a defense of domestic violence.

7.2 HACR recognizes the pathologic dynamic and cycle of domestic violence and a victim of domestic violence will be referred to local victim support service providers to help victims break the cycle of domestic violence through counseling, referral and development of a safety strategy.

7.3 A tenant victim must take personal responsibility for exercising control over their household by accepting assistance and complying with the safety strategy or plan to best of victim's ability and reason under the circumstances. Failure to do this may be seen as other good cause.

7.4 All damages including lock changes will be the responsibility of the tenant victim. This is in keeping with other agency policies governing tenant caused damages.

### **8.0 Notice to Applicants, Participants, Tenants and Section 8 Managers and Owners.**

HACR shall provide notice to applicants, participants, tenants, managers and owners of their rights and obligations under Section 3.4 Confidentiality and Section 4.0 Appropriate Basis for Denial of Admission, Assistance or Tenancy.

8.1 If the HACR, owner or manager knows that an applicant to or participant in a HACR housing program is the victim of dating violence, domestic violence or stalking, the HACR, owner or manager shall inform that person of this Policy and the person's rights under it.

### **9.0 Reporting Requirements**

HACR shall include in its 5-year plan a statement of goals, objectives, policies or programs that will serve the needs of victims. HACR shall also include a description of activities, services or programs provided or offered either directly or in partnership with other service providers to victims, to help victims obtain or maintain housing or to prevent the abuse or to enhance the safety of victims.

### **10.0 Conflict and Scope**

This Policy does not enlarge HACR's duty under any law, regulation or ordinance. If this Policy conflicts with the applicable law, regulation or ordinance, the law, regulation or ordinance shall control. If this Policy conflicts with another HACR policy such as its Statement of Policies or Section 8 Administration Plan, this Policy will control.

### **11.0 Amendment**

The Executive Director may amend this policy when it is reasonably necessary to effectuate the Policy's intent, purpose or interpretation. The proposed amendment along with the rationale for the amendment shall be submitted to the Executive Director for consideration. Where reasonably necessary, the Executive Director may approve the amendment. The amendment shall be effective and incorporated on the date that the Executive Director signs the amendment.

## RESOLUTION #446

<b>PHA Certifications of Compliance with PHA Plans and Related Regulations</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011</b>
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  5-Year and or  Annual PHA Plan for the PHA fiscal year beginning 07/01/2010, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the City of Richmond

IN 009

PHA Name

PHA Number/HA Code

x \_\_\_\_\_ 5-Year PHA Plan for Fiscal Years 20<sup>10</sup> - 20<sup>14</sup>

\_\_\_\_\_ Annual PHA Plan for Fiscal Years 20\_\_\_\_ - 20\_\_\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Title

Mary-Anne Thompson

Chair, Board of Commissioners

Signature

Date

*Mary-Anne Thompson*

04/19/2010

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the City of Richmond

IN 009

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Mary-Anne Thompson

Title

Chair, Board of Commissioners

Signature

*Mary-Anne Thompson*

Date

04/19/2010

**DISCLOSURE OF LOBBYING ACTIVITIES**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Housing Authority of the City of Richmond 58 S. 15th St. Richmond, IN 47374  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   Congressional District, if known:	
<b>6. Federal Department/Agency:</b> U.S. Department of HUD	<b>7. Federal Program Name/Description:</b> Capital Fund Program CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b> IN36P009501-10	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i> None	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> N/A	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>Derek E. White</u> Print Name: <u>Derek E. White</u> Title: <u>Executive Director</u> Telephone No.: <u>765-966-2687</u> Date: <u>04/19/2010</u>	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

**Applicant Name**

Housing Authority of the City of Richmond

**Program/Activity Receiving Federal Grant Funding**

Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

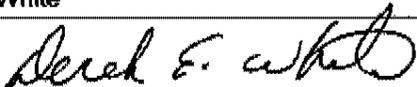
g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

- AMP # 1  
IN 9-1, Merle Henderson Apts., 58 South Fifteenth St., Richmond, Wayne County, Indiana, 47374, Capital Fund Program
- AMP # 2  
IN 9-2, Southview Heights, 58 South Fifteenth St., Richmond, Wayne County, Indiana, 47374, Capital Fund Program
- IN 9-3, Jerry Geier Apts., 58 South Fifteenth St., Richmond, Wayne County, Indiana, 47374, Capital Fund Program
- AMP # 3  
IN 9-4, Robert F. "Bobby" Smith Apts., 58 South Fifteenth St., Richmond, Wayne County, Indiana, 47374, Capital Fund Program

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Derek E. White	Title Executive Director
Signature X 	Date 04/19/2010

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Richmond

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-L.L.L., Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

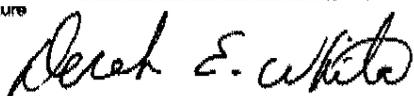
Name of Authorized Official

Derek E. White

Title

Executive Director

Signature



Date (mm/dd/yyyy)

04/19/2010