

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
-----------------------------------	---	--

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Springfield Housing Authority</u> PHA Code: <u>IL004</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01-01-2010</u>																										
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>868</u> Number of HCV units: <u>1823</u>																										
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="width:35%;">Participating PHAs</th> <th rowspan="2" style="width:10%;">PHA Code</th> <th rowspan="2" style="width:20%;">Program(s) Included in the Consortia</th> <th rowspan="2" style="width:20%;">Programs Not in the Consortia</th> <th colspan="2" style="width:15%;">No. of Units in Each Program</th> </tr> <tr> <th style="width:5%;">PH</th> <th style="width:10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
		PH	HCV																								
PHA 1:																											
PHA 2:																											
PHA 3:																											
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  Attachment aaa																										
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  Attachment aab																										
<b>6.0</b>	<b>PHA Plan Update</b>  (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:  The complete ACOP was revised as of July 2009. Attachment aae A Designation Plan was submitted to HUD Chicago in August and was denied. Submission of Disposal Application for 1111 S. 19th Street  (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.  Springfield Housing Authority, 200 N. 11th Street, Springfield, IL																										
<b>7.0</b>	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i>																										
<b>8.0</b>	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.																										
<b>8.1</b>	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.																										
<b>8.2</b>	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.																										
<b>8.3</b>	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.																										

9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Attachment aac</p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>Attachment aad</p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>Attachment at</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and **(2)** A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.**

**a. HOPE VI or Mixed Finance Modernization or Development.**

Springfield Housing Authority has submitted a Mixed-Finance Development Plan to HUD Washington, to build 41 units, known as Genesis Place, to replace the units that were demolished in 2006 with the demolition of the Major Byrd Hi-Rise. HUD Washington is reviewing this plan and Springfield Housing Authority is hoping to start building Genesis Place before the end of 2010.

**b. Demolition and/or Disposition**

Springfield Housing Authority contracted with Hurst Rosche Engineers to review the options of renovating or demolishing the Sankey Hi-Rise Towers. Results came back favoring demolition due to the cost comparisons of renovation vs. demolition. SHA will submit a demolition plan to SAC within the next year. Sankey Hi-Rise Towers include 216 one bedroom units. The timeline for demolition and redevelopment of this project is 5 – 7 years.

Springfield Housing Authority will submit to SAC an application for disposition of the 12 units at 1111 S. 19<sup>th</sup> Street that were being leased to M.E.R.C.Y Communities for transitional housing for homeless women and children, per HUD's letter dated February 11, 2009, instructing the SHA to dispose or return units to on-line status.

**c. Conversion of Public Housing**

**d. Homeownership**

SHA has previously developed homeownership units through Madison Park Place and North Park Place totaling 49 homes. Of these, 10 remain to be sold and are currently leased through an approved 5(h) Homeownership Program.

Through the development of Genesis Place, the SHA will develop 5 Public Housing units and apply to SAC to sell them through an approved Section 32 Homeownership Program.

**e. Project-based Vouchers**

Springfield Housing Authority has 105 Project Based Vouchers throughout. The breakdown is as follows:

Timberlake Estates	25	Seniors
Union Baptist Plaza	24	Seniors/Near Elderly
Cook Street	19	Individuals
M.E.R.C.Y. Comm.	2	Families
Nehemiah I	4	Families
Haven Apts.	8	Families
New Brook	12	Disabled
Genesis Place	5	Families
Nehemiah II	6	Families

**SECTION 5.1**  
**MISSION STATEMENT**

The Springfield Housing Authority is the primary leader in providing quality affordable housing to individuals and families while encouraging partnerships necessary for residents to develop self-sufficiency and to be productive members of the community.

## **SECTION 5.2**

### **GOALS AND OBJECTIVES**

Goals and Objectives for SHA: Expand the supply of assisted housing by applying for additional rental vouchers, reduce public housing vacancies, leverage private or other public funds to create additional housing opportunities and acquire or build units or developments to assist SHA in Neighborhood Revitalization and Redevelopment of older housing units. To improve the quality of assisted housing, SHA objectives are to become a high performer in both public housing management and voucher management. Also to increase customer satisfaction by quality of maintenance service responsiveness, by continuing to update and modernize units, up to and including exploring options to combat a declining occupancy rate and pursue the disposition/demolition of aged hi-rise buildings. In doing so provide replacement public housing and replacement vouchers. To increase assisted housing choices, SHA will conduct outreach efforts to

## **SECTION 9.0 HOUSING NEEDS**

With information provided by the Consolidated Plan of the Jurisdiction of the City of Springfield, and the U.S. Census data, the following information identifies the housing needs:

- Family Type with <+30% of AMI, the housing need is 4,289, with affordability, supply, location and quality as being the highest rate of impact for this group. Accessibility and size having little to no impact.
- Family Type with >30% but <=50% of AMI the housing need is 3,429 with affordability, supply, location and quality being the highest rate of impact for this group. Again accessibility and size having little to no impact.
- Elderly housing needs is as follows: Affordability being the highest rate for this group, with supply, quality, accessibility and size being the least impact.
- Families with Disabilities are slightly impacted by supply and location. Their highest rate of impact is affordability, quality, accessibility and size.

## **SECTION 9.1 STRATEGY FOR ADDRESSING HOUSING NEEDS**

Strategy for addressing housing needs is as follows:

- Springfield Housing Authority will employ effective maintenance and management policies to minimize the number of public housing units off-line, reduce turnover time for vacated public housing units and reduce time to renovate public housing units.
- SHA will seek replacement of public housing units lost to the inventory through mixed finance development and Section 8 Replacement Housing resources.
- SHA will maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required.
- SHA will market the Section 8 program to owners to help maintain and/or increase this program and participate in the Consolidated Plan development process to ensure coordination with broader community strategies.
- To Increase the number of affordable housing units, SHA will apply for additional Section 8 units should they become available; Leverage affordable housing resources in the community through the creation of mixed-finance housing; and to pursue housing resources other than public housing or Section 8 tenant-based assistance.
- To specific target families at or below 30% of the AMI, SHA will adopt rent policies to support and encourage work.
- To specific target families at or below 50% of the AMI, SHA will again adopt rent policies and encourage work.
- For the elderly, SHA will seek designation of public housing for the elderly, apply for special-purpose vouchers targeted to the elderly, should they be available, and develop and promote additional amenities in elderly developments, by creating additional elderly mixed income developments.
- For Families with Disabilities; seek designation of public housing for families with disabilities and continue to update designations in accordance with the CFRs.
- Carry out modifications to public housing based on the section 504 needs assessment for public housing.
- Apply for special-purpose vouchers target to families with disabilities, should they become available and implement the mainstream housing opportunities for persons and disabilities with grant awarded to Springfield Housing Authority.
- Also, further develop partnerships with agencies that work with disabled populations.
- For specific family types, SHA will affirmatively market to races/ ethnicities shown to have disproportionate housing needs.

## Attachment B

### Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

*Instructions:*

*For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the “changed” text in column 3.*

*For each Element below that **HAS NOT** changed since the last PHA Plan, enter “No Change” in column 3.*

Housing Authority #	Housing Authority Name	Fiscal Year Begin Date
IL004	Springfield Housing Authority	2010

	Plan Element	Column #3
1.	Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.	<b>Waiting List Change:</b> Six(6) separate site based waiting lists will be maintained by the Springfield Housing Authority. AMP 1 Waiting List, AMP 2 Waiting List, AMP 3 Waiting List, AMP 4 Waiting List (North Park Place), Amp 7 Waiting List (Genesis Place) and Homeownership Waiting List.
2.	Financial Resources.	No Change
3.	Rent Determination.	No Change
4.	Operation and Management.	No Change
5.	Grievance Procedures.	No Change
6.	Designated Housing for Elderly and Disabled Families.	No Change
7.	Community Service and Self-Sufficiency.	No Change
8.	Safety and Crime Prevention.	No Change
9.	Pets.	No Change
10.	Civil Rights Certification.	No Change
11.	Fiscal Year Audit.	<b>Change</b> – 2007 Audit replaced with 2008 Audit.
12.	Asset Management.	No Change

**Attachment B**

**Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)**

13.	Violence Against Women Act (VAWA).	No Change
-----	------------------------------------	-----------

<b>Part I: Summary</b>		
PHA Name: Springfield Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2005 FFY of Grant Approval:

Type of Grant  
 Original Annual Statement     Reserve for Disasters/Emergencies     Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:     Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	145,414.00	145,414.00	145,414.00	145,414.00
3	1408 Management Improvements	290,828.00	290,828.00	290,828.00	290,828.00
4	1410 Administration (may not exceed 10% of line 21)	145,414.00	145,414.00	145,414.00	145,414.00
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	45,000.00	45,000.00	45,000.00	45,000.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	22,000.00	24,276.50	24,276.50	24,276.50
10	1460 Dwelling Structures	637,786.00	603,043.12	603,043.12	603,043.12
11	1465.1 Dwelling Equipment—Nonexpendable	8,000.00	12,521.00	12,521.00	12,521.00
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	7,200.00	35,145.38	35,145.38	35,145.38
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities <sup>4</sup>	150,000.00	150,000.00	150,000.00	150,000.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> Spring	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-05 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:</b> 2005 <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no:      )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,454,142.00	1,454,142.00	1,454,142.00	1,454,142.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>Jackie L. Newman</i>	<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b>	<b>Date</b>
---	-----------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-05 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2005</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		145,414.00	145,414.00	145,414.00	145,414.00	
	TOTAL	1406		145,414.00	145,414.00	145,414.00	145,414.00	
	Marketing	1408		12,800.00	12,800.00	12,800.00	12,800.00	
	Staff Training/Travel	1408		46,238.07	46,238.07	46,238.07	46,238.07	
	Maintenance Agreements	1408		7,200.00	7,200.00	7,200.00	7,200.00	
	Upgrade Computer Systems	1408		13,761.93	13,761.93	13,761.93	13,761.93	
	Security Salaries/Benefits	1408		210,828.00	210,828.00	210,828.00	210,828.00	
	TOTAL	1408		290,828.00	290,828.00	290,828.00	290,828.00	
PHA Wide	FM&C Salaries/Benefits	1410		145,414.00	145,414.00	145,414.00	145,414.00	
	TOTAL	1410		145,414.00	145,414.00	145,414.00	145,414.00	
PHA Wide	Audit	1411		2,500.00	2,500.00	2,500.00	2,500.00	
	TOTAL	1411		2,500.00	2,500.00	2,500.00	2,500.00	
PHA Wide	Fees & Costs	1430		42,950.00	42,950.00	42,950.00	42,950.00	
	Asbestos Study	1430		2,050.00	2,050.00	2,050.00	2,050.00	
	TOTAL	1430		45,000.00	45,000.00	45,000.00	45,000.00	
PHA Wide	Cycle Painting	1460		55,000.00	55,000.00	55,000.00	55,000.00	
	Lobby/Conference Room	1460		0.00	8,040.93	8,040.93	8,040.93	
	Vacant Unit Painting	1460		0.00	17,872.40	17,872.40	17,872.40	
	TOTAL	1460		55,000.00	80,913.33	80,913.33	80,913.33	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2005			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Appliances	1465		8,000.00	12,521.00	12,521.00	12,521.00	
	TOTAL	1465		8,000.00	12,521.00	12,521.00	12,521.00	
PHA Wide	Security Improvements	1475		3,000.00	8,375.96	8,375.96	8,375.96	
	Vehicles	1475		0.00	26,769.42	26,769.42	26,769.42	
	TOTAL	1475		3,000.00	35,145.38	35,145.38	35,145.38	
Hi-Rises	A/C Installation Bonansinga	1460		225,886.47	225,886.47	225,886.47	225,886.47	
	Upgrade Entry System	1460		15,533.86	535.00	535.00	535.00	
	Floor Tile Hildebrandt	1460		52,319.00	52,319.00	52,319.00	52,319.00	
	Hallway Painting Hildebrandt	1460		0.00	6,257.00	6,257.00	6,257.00	
Brandon Drive	Electrical Upgrade	1460		29,772.35	9,023.05	9,023.05	9,023.05	
Scattered Sites	HVAC Replacements	1460		188,966.14	192,801.14	192,801.14	192,801.14	
	Furnace Replacements	1460		35,308.13	35,308.13	35,308.13	35,308.13	
	TOTAL	1460		547,785.95	522,129.79	522,129.79	522,129.79	
Scattered Sites	Repair/Replace Sidewalks/Drives	1450		22,000.00	24,276.50	24,276.50	24,276.50	
	TOTAL	1450		22,000.00	24,276.50	24,276.50	24,276.50	
	Redevelopment Activities	1499		150,000.00	150,000.00	150,000.00	150,000.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Springfield Housing Authority					<b>Federal FFY of Grant: 2005</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406 Operations	8-17-07	8-17-07	8-17-09		
1408 Management	8-17-07	8-17-07	8-17-09		
1410 Administration	8-17-07	8-17-07	8-17-09		
1411 Audit	8-17-07	8-17-07	8-17-09		
1430 Fees and Costs	8-17-07	8-17-07	8-17-09		
1450 Site Improvement	8-17-07	8-17-07	8-17-09		
1460 Dwelling Structure	8-17-07	8-17-07	8-17-09		
1465 Dwelling Equipment Nonexpandable	8-17-07	8-17-07	8-17-09		
1475 Non Dwelling Equipment	8-17-07	8-17-07	8-17-09		
1499 Development Activities	8-17-07	8-17-07	8-17-09		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name: Springfield Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2006</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3-31-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	145,414.00	145,414.00	145,414.00	145,414.00	
3	1408 Management Improvements	290,828.00	290,828.00	290,828.00	290,828.00	
4	1410 Administration (may not exceed 10% of line 21)	145,414.00	145,414.00	145,414.00	145,414.00	
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00	
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00	
7	1430 Fees and Costs	40,000.00	40,000.00	40,000.00	40,000.00	
8	1440 Site Acquisition	0.00	0.00	0.00	0.00	
9	1450 Site Improvement	28,068.50	28,068.50	28,068.50	28,068.50	
10	1460 Dwelling Structures	637,581.50	637,581.50	637,581.50	632,022.56	
11	1465.1 Dwelling Equipment—Nonexpendable	7,834.00	7,834.00	7,834.00	7,834.00	
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00	
13	1475 Non-dwelling Equipment	7,200.00	7,200.00	7,200.00	7,200.00	
14	1485 Demolition	20,000.00	20,000.00	20,000.00	20,000.00	
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00	
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00	
17	1499 Development Activities <sup>4</sup>	150,000.00	150,000.00	150,000.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> Springfield <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:</b> 2006 <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement                       Reserve for Disasters/Emergencies                       Revised Annual Statement (revision no:                      )  
 Performance and Evaluation Report for Period Ending: 3-31-2010                       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,474,840.00	1,474,840.00	1,474,840.00	1,319,281.06
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>Jackie R. Newman</i>	<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b>	<b>Date</b>
---	-----------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		145,414.00	145,414.00	145,414.00	145,414.00	
	TOTAL 1406	1406		145,414.00	145,414.00	145,414.00	145,414.00	
PHA Wide	Marketing	1408		15,000.00	14,901.22	14,901.22	14,901.22	
	Preventive Maintenance	1408		5,000.00	5,000.00	5,000.00	5,000.00	
	Upgrade Computer Systems	1408		6,000.00	6,102.79	6,102.79	6,102.79	
	Staff Training	1408		50,000.00	49,995.99	49,995.99	49,995.99	
	Energy Conservation	1408		4,000.00	4,000.00	4,000.00	4,000.00	
	Security Salaries/Benefits	1408		210,828.00	210,828.00	210,828.00	210,828.00	
	TOTAL 1408	1408		290,828.00	290,828.00	290,828.00	290,828.00	
PHA Wide	FM&C Salaries	1410		71,625.90	71,625.90	71,625.90	71,625.90	
	FM&C Benefits	1410		73,788.10	73,788.10	73,788.10	73,788.10	
	TOTAL 1410	1410		145,414.00	145,414.00	145,414.00	145,414.00	
PHA Wide	Audit	1411		2,500.00	2,500.00	2,500.00	2,500.00	
	TOTAL 1411	1411		2,500.00	2,500.00	2,500.00	2,500.00	
PHA Wide	Fees and Costs	1430		40,000.00	40,000.00	40,000.00	40,000.00	
	Asbestos Study	1430		5,000.00	0.00	0.00	0.00	
PHA Wide	Re Key of Buildings	1460		42,322.00	42,322.00	42,322.00	37,717.36	
	Cycle Painting	1460		17,909.00	17,909.00	17,909.00	17,909.00	
	Window Washing	1460		2,821.00	0.00	0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide (Cont.)	Power Washing	1460		4,270.00	4,270.00	4,270.00	4,270.00	
	Total 1460	1460		67,322.00	64,501.00	64,501.00	59,896.36	
AMP 3	Demolition	1485		20,000.00	20,000.00	20,000.00	20,000.00	
	TOTAL 1485	1485		20,000.00	20,000.00	20,000.00	20,000.00	
PHA Wide	Appliances	1465		6,000.00	7,834.00	7,834.00	7,834.00	
	TOTAL 1465	1465		6,000.00	7,834.00	7,834.00	7,834.00	
AMP 1	Landscape/Parking Lots	1450		10,260.00	11,210.00	11,210.00	10,260.00	
	TOTAL 1450	1450		10,260.00	11,210.00	11,210.00	10,260.00	
AMP 1	HVAC Replacements	1460		66,339.41	64,426.44	64,426.44	64,426.44	
	Roof Replacements	1460		133,839.41	227,468.60	227,468.60	227,468.60	
	Door Jam Reinforcement	1460		0.00	1,055.25	1,054.86	1,054.86	
AMP 1 & 2	Vehicle	1475		7,200.00	7,200.00	7,200.00	7,200.00	
	TOTAL 1475	1475		7,200.00	7,200.00	7,200.00	7,200.00	
AMP 2	Landscape/Parking Lots	1450		6,740.00	11,740.00	11,486.00	6,740.00	
	TOTAL 1450	1450		6,740.00	11,740.00	11,486.00	6,740.00	
AMP 2	HVAC Replacements	1460		57,741.59	48,291.59	48,291.59	25,676.75	
	Roof Replacements	1460		31,062.40	21,612.40	21,612.40	21,642.40	
	Asbestos Removal	1460		0.00	9,450.00	9,450.00	9,450.00	
AMP 3	Landscape/Parking Lots	1450		5,000.00	5,118.50	5,118.50	5,118.50	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Springfield Housing Authority					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406 Operations	7-17-2008		7-17-2010		
1408 Management	7-17-2008		7-17-2010		
1410 Administration	7-17-2008		7-17-2010		
1411 Audit	7-17-2008		7-17-2010		
1430 Fees and Costs	7-17-2008		7-17-2010		
1450 Site Improvement	7-17-2008		7-17-2010		
1460 Dwelling Structure	7-17-2008		7-17-2010		
1465.1 Dwelling Equipment Nonexpendable	7-17-2008		7-17-2010		
1475 Non Dwelling Equipment	7-17-2008		7-17-2010		
1485 Demolition	7-17-2008		7-17-2010		
1499 Development Activities	7-17-2008		7-17-2010		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

**Part I: Summary**

<b>PHA Name: Springfield Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2007</b> <b>FFY of Grant Approval:</b>
--	--	--

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 4-1-2010       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	158,103.10	158,103.10	158,103.10	158,103.10
3	1408 Management Improvements	316,206.20	316,206.20	316,206.20	306,206.20
4	1410 Administration (may not exceed 10% of line 21)	158,103.10	158,103.10	158,103.10	158,103.10
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	45,000.00	45,000.00	8,150.56	8,150.56
8	1440 Site Acquisition				
9	1450 Site Improvement	15,454.77	15,454.77	15,454.77	15,454.77
10	1460 Dwelling Structures	703,769.60	703,769.60	626,380.46	626,380.46
11	1465.1 Dwelling Equipment—Nonexpendable	8,204.00	8,204.00	8,204.00	8,204.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	23,690.23	23,690.23	23,690.23	23,690.23
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	150,000.00	150,000.00	150,000.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> Springfield Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:</b> 2007 <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement                       Reserve for Disasters/Emergencies                       Revised Annual Statement (revision no:                      )  
 Performance and Evaluation Report for Period Ending: 4-1-2010                       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	1,581,031.00	1,581,031.00	1,466,792.42	1,306,792.42
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>Jackie L. Newman</i>	<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b> <i>[Signature]</i>	<b>Date</b>
---	-----------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		158,103.10	158,103.10	158,103.10	158,103.10	
PHA Wide	Marketing	1408		30,000.00	30,000.00	9,794.90	9,794.90	
	Staff Training/Travel	1408		52,306.30	52,306.30	52,306.30	52,306.30	
	Upgrade Computers	1408		110,577.44	110,577.44	110,577.44	110,577.44	
	Preventive Maintenance	1408		109,947.46	109,947.43	109,947.46	109,947.46	
	Salary Comparability Study	1408		13,375.00	13,375.00	13,375.00	5,600.00	
	TOTAL	1408		316,206.20	316,206.20	295,720.22	287,945.22	
PHA Wide	FM&C Salaries	1410		79,051.55	79,051.55	79,051.55	79,051.55	
	FM&C Benefits	1410		79,051.55	79,051.55	79,051.55	79,051.55	
	TOTAL	1410		158,103.10	158,103.10	158,103.10	158,103.10	
PHA Wide	Audit	1411		2,500.00	2,500.00	2,500.00	2,500.00	
PHA Wide	Architectural Fees & Costs	1430		40,000.00	40,000.00	5,563.66	620.72	
	Asbestos Study	1430		5,000.00	5,000.00	4,950.00	4,950.00	
	TOTAL	1430		45,000.00	45,000.00	10,513.66	5,570.72	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Water Heaters	1460		12,249.40	12,249.40	12,249.40	12,249.40	
	Interior/Exterior Outlets	1460		2,513.96	2,513.96	2,513.96	2,513.96	
	Carbon Monoxide Detectors	1460		10,272.00	9,923.85	9,923.85	9,923.85	
	HVAC Phase 1	1460		51,040.20	51,040.20	51,040.20	51,040.20	
	Replace Siding & Soffits	1460		84,773.51	82,500.00	82,500.00	82,500.00	
	Cycle Painting	1460		12,578.61	12,578.61	12,578.61	12,578.61	
	Latches for Storm Doors	1460		1,064.00	775.66	760.00	760.00	
	TOTAL	1460		174,491.68	171,581.68	171,566.02	171,566.02	
	Reseal Parking Areas	1450		6,144.00	6,144.00	6,144.00	6,144.00	
	Stoves & Refrigerators	1465		1,600.00	4,510.00	4,510.00	4,510.00	
AMP 2	Water Heaters	1460		12,249.40	12,249.40	0.00	0.00	
	Replace HVAC	1460		50,000.00	50,000.00	8,902.21	8,902.21	
	Interior/Exterior Outlets	1460		2,000.00	2,000.00	0.00	0.00	
	Replace Siding/Soffits	1460		87,500.00	87,500.00	34,940.00	0.00	
	Carbon Monoxide Detectors	1460		6,379.67	6,085.67	5,871.78	5,871.78	
	Cycle Painting	1460		5,751.97	5,751.97	5,751.97	5,751.97	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2	Reseal Parking Lots	1450		3,072.00	3,072.00	3,072.00	3,072.00	
	Stove & Refrigerators	1465		3,400.00	3,694.00	3,694.00	3,694.00	
AMP 3	A/C Installation Bonansinga	1460		263,709.24	263,709.24	263,709.24	263,709.24	
	Replace Risers & Shut Off Valves	1460		9,990.41	9,990.41	5,163.00	5,163.00	
	Carbon Monoxide Detectors	1460		23,402.93	23,402.93	23,402.93	23,402.93	
	Cycle Painting	1460		12,741.17	12,741.17	12,741.17	12,741.17	
	Trash Chute Door	1460		960.00	960.00	960.00	960.00	
	Trash Bin Enclosure Bonansinga	1460		24,492.00	24,492.00	19,000.00	0.00	
	Electrical work 1111 S. 19 <sup>th</sup> Street	1460		1,675.00	1,675.00	1,675.00	1,675.00	
	Mini Blind Installation	1460		11,038.88	11,038.88	11,038.88	9,425.56	
	Clean Sanitize Trash Chutes	1460		5,100.00	5,100.00	5,100.00	0.00	
	Reseal Parking Areas	1450		4,075.00	4,075.00	4,075.00	0.00	
AMP 4	Carbon Monoxide Detectors	1460		1,172.00	1,172.00	1,172.00	1,172.00	
AMP 6	Carbon Monoxide Detectors	1460		720.00	720.00	720.00	720.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.



**Part III: Implementation Schedule for Capital Fund Financing Program**

PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9-12-2009	9-12-2009	9-12-2011		
1408	9-12-2009	9-12-2009	9-12-2011		
1410	9-12-2009	9-12-2009	9-12-2011		
1411	9-12-2009	9-12-2009	9-12-2011		
1430	9-12-2009	9-12-2009	9-12-2011		
1450	9-12-2009	9-12-2009	9-12-2011		
1460	9-12-2009	9-12-2009	9-12-2011		
1465	9-12-2009	9-12-2009	9-12-2011		
1475	9-12-2009	9-12-2009	9-12-2011		
1499	9-12-2009	9-12-2009	9-12-2011		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>						
<b>PHA Name: Springfield Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	148,932.00	148,932.00	148,932.00	148,932.00	
3	1408 Management Improvements	30,000.00	30,000.00	29,859.36	29,859.36	
4	1410 Administration (may not exceed 10% of line 21)	121,184.11	121,184.11	121,184.11	121,184.11	
5	1411 Audit	2,500.00	2,500.00	2,500.00	2,500.00	
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00	
7	1430 Fees and Costs	55,000.00	55,000.00	9,188.96	9,188.96	
8	1440 Site Acquisition	0.00	0.00	0.00	0.00	
9	1450 Site Improvement	85,000.00	85,000.00	80,411.15	80,411.15	
10	1460 Dwelling Structures	791,696.17	791,696.17	630,269.16	630,269.16	
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00	
12	1470 Non-dwelling Structures	30,000.00	30,000.00	25,634.00	25,634.00	
13	1475 Non-dwelling Equipment	75,013.72	75,013.72	74,931.04	74,931.04	
14	1485 Demolition	0.00	0.00	0.00	0.00	
15	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00	
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00	
17	1499 Development Activities <sup>4</sup>	150,000.00	150,000.00	150,000.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>		
<b>PHA Name:</b> Springfield <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:</b> 2008 <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement                       Reserve for Disasters/Emergencies                       Revised Annual Statement (revision no: .                      )  
 Performance and Evaluation Report for Period Ending:                       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	0.00	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00	0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,489,326.00	1,489,326.00	1,272,909.78	1,122,909.78
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>Jackie A. Newman</i>	<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b> <i>[Signature]</i>	<b>Date</b>
---	-----------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		148,932.00		148,932.00	148,932.00	
	TOTAL 1406	1406		148,932.00		148,932.00	148,932.00	
PHA Wide	Marketing	1408		10,000.00		1,120.24	1,120.24	
	Preventive Maintenance	1408		0.00		0.00	0.00	
	Upgrade Computer Systems	1408		20,000.00		20,000.00	20,000.00	
	TOTAL 1408	1408		30,000.00		21,120.24	21,120.24	
PHA Wide	FM&C Salaries	1410		70,000.00		70,000.00	70,000.00	
	FM&C Benefits	1410		51,184.11		51,184.11	51,184.11	
	TOTAL 1410	1410		121,184.11		121,184.11	121,184.11	
PHA Wide	Audit	1411		2,500.00		1,500.00	1,500.00	
	TOTAL 1411	1411		2,500.00		1,500.00	1,500.00	
PHA Wide	Fees and Costs	1430		40,000.00		560.68	560.68	
	Asbestos Study	1430		15,000.00		4,950.00	4,950.00	
	TOTAL 1430	1430		55,000.00		5,510.68	5,510.68	
PHA Wide	Computer Hardware	1475		20,000.00		0.00	0.00	
	Non Passenger Vehicle	1475		20,000.00		12,680.46	12,680.46	
	TOTAL 1475	1475		40,000.00		12,680.46	12,680.46	
AMP 1	Landscape	1450		10,000.00		8,835.00	8,835.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			<b>Federal FFY of Grant:</b> 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Playground Equipment	1475		0.00				
	Smoke Detectors	1460		36,600.00		9,550.00	9,550.00	
	Roof Replacements	1460		160,000.00		72,991.30	72,991.30	
	TOTAL 1460	1460		196,600.00		82,541.30	82,541.30	
AMP 2	Sidewalks/Landscape	1450		25,000.00		4,005.00	4,005.00	
	TOTAL 1450	1450		25,000.00		4,005.00	4,005.00	
	Smoke Detectors	1460		9,000.00		4,431.20	4,431.20	
	Siding/Stanton Ave/ Seven Pines	1460		100,000.00		0.00	0.00	
	TOTAL 1460	1460		109,000.00		4,431.20	4,431.20	
	Playground Equipment	1475		0.00				
AMP 3	Landscape/Parking Lots	1450		50,000.00		5,981.22	5,981.22	
	TOTAL 1450	1450		50,000.00		5,981.22	5,981.22	
	Smoke Detectors	1460		45,000.00		0.00	0.00	
	HVAC/Bonansinga	1460		421,096.17		3,895.00	3,895.00	
	Plumbing LInes	1460		20,000.00		0.00	0.00	
	TOTAL 1460	1460		421,109.89		3,895.00	3,895.00	
	Exit Signage All Hi Rises	1470		30,000.00		3,574.00	3,574.00	
	TOTAL 1470	1470		30,000.00		3,574.00	3,574.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Springfield Housing Authority					Federal FFY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406 Operations	6-13-2010		6-12-2012		
1408 Management	6-13-2010		6-12-2012		
1410 Administration	6-13-2010		6-12-2012		
1411 Audit	6-13-2010		6-12-2012		
1430 Fees & Costs	6-13-2010		6-12-2012		
1450 Site Improvement	6-13-2010		6-12-2012		
1460 Dwelling Structure	6-13-2010		6-12-2012		
1465.1 Dwelling Equipment Non Expendable	6-13-2010		6-12-2012		
1475 Non Dwelling Equipment	6-13-2010		6-12-2012		
1499 Development Activities	6-13-2010		6-12-2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name: Springfield Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval:</b>
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	200,000.00	200,000.00	200,000.00	200,000.00
3	1408 Management Improvements	75,000.00	75,000.00	62,634.70	62,634.70
4	1410 Administration (may not exceed 10% of line 21)	147,693.00	147,693.00	82,971.82	82,971.82
5	1411 Audit	3,000.00	3,000.00	0.00	0.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000.00	50,000.00	0.00	0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	20,000.00	20,000.00	5,603.80	5,603.80
10	1460 Dwelling Structures	394,452.50	394,452.50	164,068.15	164,068.15
11	1465.1 Dwelling Equipment—Nonexpendable	45,000.00	45,000.00	45,000.00	45,000.00
12	1470 Non-dwelling Structures	26,000.00	26,000.00	25,572.14	25,572.14
13	1475 Non-dwelling Equipment	80,000.00	80,000.00	75,975.00	75,975.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				<input type="checkbox"/> FORMTEXT
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	435,784.50	435,784.50	0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

**Part I: Summary**

<b>PHA Name:</b> Springfield Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2009</b> <b>FFY of Grant Approval:</b>
--	---	---

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 4-1-2010       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0.00	0.00		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,476,930.00	1,476,930.00	661,825.61	661,825.61
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	358.50			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	589,560.00			

<b>Signature of Executive Director</b> <i>Jackie K. Newman</i>	<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b>	<b>Date</b>
---	-----------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1	Appliances	1465.1	37	15,000.00	15,000.00	15,000.00	15,000.00	
	Landscape/Parking Areas/Fences	1450		5,000.00	5,000.00	0.00	0.00	
	Entry Doors	1460	60	30,000.00	30,000.00	0.00	0.00	
	Roof Replacements	1460	10	45,000.00	45,000.00	21,588.64	21,588.64	
	HVAC	1460	40	4,534.00	4,534.00	4,534.00	4,534.00	
	Flush Valves	1460	342	12,000.00	12,000.00	0.00	0.00	
	Safe T Elements for Electric Ranges	1460	142	10,000.00	10,000.00	0.00	0.00	
	Renovation -Walnut Valley/13 <sup>th</sup> St Units	1460	2	0.00	0.00	0.00	0.00	
	Installation of Playground Equipment	1470	1	18,000.00	18,000.00	18,000.00	18,000.00	
AMP 2	Appliances	1465.1	37	15,000.00	15,000.00	15,000.00	15,000.00	
	Landscape	1450		5,000.00	5,000.00	5,000.00	5,000.00	
	Roof Replacements	1460	11	60,000.00	60,000.00	56,150.22	56,150.22	
	Entry Doors	1460	40	20,000.00	20,000.00	0.00	0.00	
	Safe T Elements for Electric Ranges	1460	114	8,000.00	8,000.00	4,992.00	4,992.00	
	Flush Valves	1460	142	5,000.00	5,000.00	0.00	0.00	
	Installation of Playground Equipment	1470	1	8,000.00	8,000.00	8,000.00	8,000.00	
	Vehicle for AMP Manager	1475	1	18,871.50	18,871.50	18,871.50	18,871.50	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Part II: Supporting Pages**

PHA Name: Springfield Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	Federal FFY of Grant: 2009
---	--	----------------------------

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 3	Landscape/Parking Areas	1450		5,000.00	5,000.00	0.00	0.00	
	Outdoor Furniture	1450		5,000.00	5,000.00	0.00	0.00	
	HVAC	1460		150,000.00	150,000.00	86,776.79	86,776.79	
	Section 504 Compliance	1460		358.50	358.50	358.50	358.50	
	Safe T Elements for Electric Ranges	1460	372	26,040.00	26,040.00	0.00	0.00	
	Flush Valves	1460	372	13,020.00	13,020.00	0.00	0.00	
	Window Blinds	1460	12,000	10,500.00	10,500.00	0.00	0.00	
	Appliances	1465.1	15	15,000.00	15,000.00	15,000.00	15,000.00	
	Vehicle for AMP Manager	1475	1	18,871.50	18,871.50	18,616.20	18,616.20	
PHA WIDE	4 x 4 Snow Removal Vehicle/Const Mgr	1475	2	42,257.00	42,257.00	42,257.00	42,257.00	
	Operations	1406		200,000.00	200,000.00	200,000.00	200,000.00	
	Staff Training	1408		25,000.00	25,000.00	24,441.03	24,441.03	
	Marketing	1408		10,000.00	10,000.00	9,195.59	9,195.59	
	Preventive Maintenance	1408		15,000.00	15,000.00	197.71	197.71	
	Computer Improvements	1408		25,000.00	25,000.00	25,000.00	25,000.00	
	Administration	1410		147,693.00	147,693.00	147,693.00	147,693.00	
	Audit	1411		3,000.00	3,000.00	0.00	0.00	
	Fees and Costs	1430		25,000.00	25,000.00	0.00	0.00	
	Asbestos	1430		25,000.00	25,000.00	0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	9-14-2011		9-14-2013		
1408	9-14-2011		9-14-2013		
1410	9-14-2011		9-14-2013		
1411	9-14-2011		9-14-2013		
1430	9-14-2011		9-14-2013		
1450	9-14-2011		9-14-2013		
1460	9-14-2011		9-14-2013		
1465.1	9-14-2011		9-14-2013		
1470	9-14-2011		9-14-2013		
1475	9-14-2011		9-14-2013		
1495	9-14-2011		9-14-2013		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary						
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 ARRA FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	200,000.00	200,000.00	200,000.00	140,315.11	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	93,081.64	93,081.64	93,081.64	93,081.64	
8	1440 Site Acquisition					
9	1450 Site Improvement	66,693.00	66,693.00	66,693.00	0.00	
10	1460 Dwelling Structures	1,559,113.36	1,559,113.36	1,559,113.36	642,153.92	
11	1465.1 Dwelling Equipment—Nonexpendable	101,819.00	101,819.00	101,819.00	0.00	
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>	0.00	0.00	0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> 20Springfield <b>Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:</b> 2009 ARA <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement                       Reserve for Disasters/Emergencies                       Revised Annual Statement (revision no: 4 )  
 Performance and Evaluation Report for Period Ending:                       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	2,020,707.00	2,020,707.00	2,020,707.00	875,550.67
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>Jackie L. Newman</i>	<b>Date</b> 4-10	<b>Signature of Public Housing Director</b>	<b>Date</b>
---	---------------------	---	-------------

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009 ARRA			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Administration	1410		200,000.00	200,000.00	140,315.11	140,315.11	
	Physical Needs Assessment	1430		2,426.64	2,426.64	2,426.64	2,426.64	
AMP 1	HVAC Scattered Sites	1460	12	48,215.73	48,215.73	0.00	0.00	
	Electrical Upgrade-Brandon	1460	76	52,652.43	52,652.43	49,672.43	49,672.43	
	Security Screen Doors/Front and Back	1460	152	47,761.63	47,761.63	37,555.20	37,552.20	
	Flush Valves - All AMP 1	1460		6,585.00	6,585.00	6,585.00	6,585.00	
	Safe T Elements All AMP 1	1460		420.00	420.00	420.00	420.00	
	Vinyl Siding Brandon	1460	76	208,572.49	208,572.49	208,572.49	208,572.49	
	HVAC for Brandon	1460	76	326,191.76	326,191.76	296,191.76	0.00	
	Security Equipment Brandon	1465		40,000.00	40,000.00	40,000.00	0.00	
	Vinyl Siding/Windows/Doors	1460	22	276,000.00	276,000.00	242,900.00	0.00	
AMP 2	Electrical Upgrades Johnson Park	1460	56	56,436.00	56,436.00	56,436.00	53,436.00	
	HVAC	1460		230,135.96	230,135.96	230,135.96	230,135.96	
	Flush Valves All AMP 2	1460		4,390.00	4,390.00	4,390.00	4,390.00	
	Safe T Elements All AMP 2	1460		3,990.00	3,990.00	3,990.00	3,990.00	
	Parking Lot - Stanton Ave	1465		0.00	0.00	0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009 ARRA			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 3	ADA Bathrooms -Hi Rises	1460	3	110,000.00	110,000.00	72,800.00	72,800.00	
	Flush Valves All AMP 3	1460		10,975.00	10,975.00	10,975.00	10,975.00	
	Safe T Elements All AMP 3	1460		21,900.00	21,900.00	21,900.00	21,900.00	
	Electrical Upgrade Hilde/Bonansinga	1460		81,336.72	81,336.72	80,335.00	81,336.72	
	Generator for Bonansinga	1460		42,583.00	42,583.00	42,583.00	0.00	
	Mini Blinds High Rises	1460		10,500.00	10,500.00	10,500.00	10,500.00	
	Lock Changes Units Bonansinga	1460	100	20,467.64	20,467.64	20,467.64	20,467.64	
	Upgrade parking Lots Hilde/Bonansinga	1450		66,693.00	66,693.00	64,885.00	0.00	
	A&E Servies -Sankey	1430		90,655.00	90,655.00	90,655.00	90,655.00	
	Security Equipment Hilde/Bonansinga	1465		61,819.00	61,819.00	61,819.00	0.00	
Major Byrd Redevelopment	Noise Attenuation Genesis Place	1499		0.00	0.00	0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Springfield Housing Authority				Federal FFY of Grant: 2009 ARRA	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1408	3-19-2010	3-3-2010	3-19-2012		
1430	3-19-2010	3-3-2010	3-19-2012		
1450	3-19-2010	3-3-2010	3-19-2012		
1460	3-19-2010	3-3-2010	3-19-2012		
1465.1	3-19-2010	3-3-2010	3-19-2012		
1499	3-19-2010	3-3-2010	3-19-2012		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-10 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2010 ■ <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	200,000.00	■			
3	1408 Management Improvements	160,000.00	■			
4	1410 Administration (may not exceed 10% of line 21)	200,000.00	■			
5	1411 Audit	3,000.00	■			
6	1415 Liquidated Damages					
7	1430 Fees and Costs	425,000.00	■			
8	1440 Site Acquisition					
9	1450 Site Improvement	210,000.00	■			
10	1460 Dwelling Structures	1,397,200.00	■			
11	1465.1 Dwelling Equipment—Nonexpendable	41,200.00	■			
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	166,200.00	■			
14	1485 Demolition					
15	1492 Moving to Work Demonstration	300,000.00	■			
16	1495.1 Relocation Costs	30,000.00	■			
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA	100,000.00	■			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)	100,000.00	■			
20	Amount of Annual Grant: (sum of lines 2 – 19)	3,337,600.00	■			
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2010 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie R. Neuma</i>		Date 4/1/10	Signature of Public Housing Director <i>[Signature]</i>	
			Date	

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>			
Development Number Name/PHA- Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA Wide	Operations	1406		200,000.00				
	Total 1406	1406		200,000.00				
PHA Wide	Marketing/Advertisement	1408		35,000.00				
	Preventive Maintenance	1408		30,000.00				
	Upgrade Computer Systems	1408		50,000.00				
	Staff Training/Travel	1408		40,000.00				
	TOTAL	1408		165,000.00				
PHA Wide	F&MC Salaries/Benefits	1410		200,000.00				
	TOTAL	1410		200,000.00				
PHA Wide	Audit	1411		3,000.00				
	TOTAL	1411		3,000.00				
PHA Wide	Fees & Costs	1430		75,000.00				
	TOTAL	1430		75,000.00				
AMP 1	Parking Lots Repair/Replacement	1450	Multiple	30,000.00				
	TOTAL	1450	Multiple	30,000.00				
	HVAC Replacement	1460	76	300,000.00				
	Roof Replacement	1460	Multiple	50,000.00				
	New Closet Doors	1460	118	47,200.00				
	Windows/Screens	1460	Multiple	50,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577  
 Expires 4/30/

<b>Part II: Supporting Pages</b>								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 Continued	TOTAL	1460		447,200.00				
	Appliances	1465	37	15,000.00				
	TOTAL	1465		15,000.00				
	AMP Vehicle	1475	1	30,000.00				
	TOTAL	1475	1	30,000.00				
AMP 2	Landscape/Driveways	1450	Multiple	30,000.00				
	TOTAL	1450	Multiple	30,000.00				
	Screen Doors Front/Back	1460	114	50,000.00				
	Closet Doors	1460	98	50,000.00				
	Entry Doors	1460	24	20,000.00				
	TOTAL	1460		110,000.00				
	Appliances	1465	25	10,000.00				
	TOTAL	1465	25	10,000.00				
AMP 3	Landscape/Trees	1450	3 Hi Rises	20,000.00				
	Parking Lots	1450	3 Hi Rises	20,000.00				
	Paint/Caulk Exterior	1450	1 Hi Rise	100,000.00				
	TOTAL	1450		140,000.00				
	Roof Work	1460	1 Hi Rise	100,000.00				
	Upgrade Entry Way/Paint Interior	1460	1 Hi Rise	500,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577  
 Expires 4/30/

Part II: Supporting Pages								
PHA Name: Springfield Housing Authority			Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 3 Continued	Upgrade Kitchens	1460	200	100,000.00				
	Upgrade Bathrooms	1460	200	100,000.00				
	Section 504 Compliant	1460	20	50,000.00				
	TOTAL	1460		840,000.00				
	Appliances	1465	40	16,200.00				
	TOTAL	1465	40	16,200.00				
	Sankey Relocation	1495	120	30,000.00				
	TOTAL	1495		50,000.00				
	A&E Services-Sankey	1430	Hi Rise	300,000.00				
	TOTAL	1430		300,000.00				
PHA Wide	Asbestos Abatement	1430	Multiple	50,000.00				
	TOTAL	1430		50,000.00				
	Van Purchase	1475	2	60,000.00				
	TOTAL	1475		60,000.00				
	Demolition	1485		300,000.00				
	TOTAL	1485		500,000.00				
	Mod Use for Development	1499		100,000.00				
	TOTAL	1499		100,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450203 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2003 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment--Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	643,611.00	643,611.00	360,713.60	282,897.40
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	643,611.00	643,611.00	360,713.60	282,897.40
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450203 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2003 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie R. Newman</i>		Date 4-7-10	Signature of Public Housing Director <i>[Signature]</i>	
			Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450204 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2004 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	753,143.00	■ 753,143.00	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	753,143.00	■ 753,143.00	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

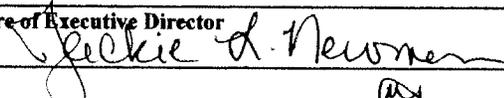
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450204 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		<b>FFY of Grant:</b> 2004 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated</b> <b>Expended</b>
Signature of Executive Director 		Date 4-1-10	Signature of Public Housing Director Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450205 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2005 ■ <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>	901,100.00	■ 901,100.00	■ 0.00	■ 0.00	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	901,100.00	■ 901,100.00	■ 0.00	■ 0.00	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450205 <input checked="" type="checkbox"/> Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2005 FFY of Grant Approval: _____
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
	Signature of Executive Director <i>Jackie R. Newman</i>	Date 4-1-10	Signature of Public Housing Director	Date



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450106 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2006 ■ <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	1,925.00	■ 1,925.00	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,925.00	■ 1,925.00	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450106 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2006 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie L. Newman</i>		Date 4-1-10		Signature of Public Housing Director <i>[Signature]</i> Date



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450206 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2006 ■ <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>	754,325.00	754,325.00	0.00	0.00	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	754,325.00	754,325.00	0.00	0.00	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450205 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		<b>FFY of Grant:</b> 2005 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated      Expended</b>
<b>Signature of Executive Director</b> <i>Jackie A. Newman</i>		<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b> <i>(Signature)</i>	
			<b>Date</b>	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450107 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	1,985.00	■ 1,985.00	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,985.00	■ 1,985.00	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450107 <input checked="" type="checkbox"/> Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie L. Newma</i>		Date 4-1-10	Signature of Public Housing Director Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450107 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2007 ■ <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	1,985.00	■ 1,985.00	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,985.00	■ 1,985.00	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450107 <input checked="" type="checkbox"/> Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie L. Newma</i>		Date 4-1-10	Signature of Public Housing Director Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450207 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2007 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	731,379.00	731,379.00	0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	731,379.00	731,379.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450207 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2007 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director <i>Jackie A. Newma</i>		Date 4-1-10	Signature of Public Housing Director Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450108 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2008 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	107,058.00	107,058.00	0.00	0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	107,058.00	107,058.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

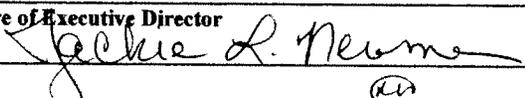
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450108 <input checked="" type="checkbox"/> Replacement Housing Factor Grant No: Date of CFFP: _____		FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated      Expended
Signature of Executive Director 		Date 4-1-10	Signature of Public Housing Director Date	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450109 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2009 ■ FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	114,260.00	■ 114,260.00	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	114,260.00	■ 114,260.00	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450109 <input checked="" type="checkbox"/> Replacement Housing Factor Grant No: Date of CFFP: _____		<b>FFY of Grant:</b> 2009 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 4-1-2010 <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated      Expended</b>
<b>Signature of Executive Director</b> <i>Jackee A. Newman</i>		<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b> <i>(initials)</i>	



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary					
PHA Name: Springfield Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06R00450110 ■ Replacement Housing Factor Grant No: Date of CFFP: _____			FFY of Grant: 2010 ■ FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	531,582.33	■ 531,582.33	■ 0.00	■ 0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	531,582.33	■ 531,582.33	■ 0.00	■ 0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>				
<b>PHA Name:</b> Springfield Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: IL06R00450110 ■ Replacement Housing Factor Grant No: Date of CFFP: _____		<b>FFY of Grant:</b> 2010 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated      Expended</b>
<b>Signature of Executive Director</b> <i>Jackie A. Newman</i>		<b>Date</b> 4-1-10	<b>Signature of Public Housing Director</b> <i>RA</i>	



**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

PHA Name/Number	Springfield Housing Authority	Locality (City/County & State)	City of Springfield, Sangamon, IL	<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
A.					
B.	Physical Improvements Subtotal	2,720,000.00	2,570,000.00	2,635,000.00	1,545,000.00
C.	Management Improvements	160,000.00	170,000.00	180,000.00	200,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment	110,000.00	115,000.00	120,000.00	120,000.00
E.	Administration	205,000.00	210,000.00	215,000.00	220,000.00
F.	Other	652,150.00	936,450.00	799,300.00	315,000.00
G.	Operations	200,000.00	210,000.00	220,000.00	230,000.00
H.	Demolition	750,000.00	750,000.00	500,000.00	500,000.00
I.	Development	150,000.00	200,000.00	200,000.00	200,000.00
J.	Capital Fund Financing – Debt Service				
K.	Total CFP Funds	4,947,150.00	5,161,450.00	4,869,300.00	4,255,000.00
L.	Total Non-CFP Funds				
M.	Grand Total				



**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/20011

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2010	Work Statement for Year 2011 FFY	Work Statement for Year: 2012 FFY
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
AMP 1		
Asbestos Services-1430	Multiple	50,000.00
Paving-1450	Multiple	50,000.00
Tree Maintenance-1450	Multiple	20,000.00
Kitchen Upgrade-1460	Multiple	500,000.00
Bathroom Upgrade-1460	Multiple	500,000.00
Roof Replacements-1460	Multiple	100,000.00
Front Entry Doors/Windows/Screens-1460	Multiple	100,000.00
Appliances-1465	25	10,000.00
AMP Vehicle-1475	1	20,000.00
AMP 2		
Tree Maintenance-1450	Multiple	20,000.00
Landscape-1450	Multiple	20,000.00
Security Screen Doors/Frt and 1460	Multiple	50,000.00
Re-Key Units-1460	Multiple	20,000.00
Closet Doors-1460	98	40,000.00
Appliances-1465	25	10,000.00
AMP 3		
Landscape-1450	3 Hi Rises	50,000.00
Parking Areas-1450	3 Hi Rises	50,000.00
Subtotal of Estimated Cost		\$ 1,560,000.00
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
AMP 1		
Upgrate Fencing-1450	Multiple Sites	50,000.00
Landscape/Tree work-1450	Multiple Sites	25,000.00
Outside Lighting-1450	Brandon Ct	100,000.00
HVAC-1460	Multiple Sites	100,000.00
Roof Replacements-1460	Multiple Sites	100,000.00
Kitchen Upgrades-1460	Multiple Sites	250,000.00
Bathroom Upgrades-1460	Multiple Sites	250,000.00
Security Upgrate-1465	Brandon Ct	100,000.00
Appliances-1465	30	12,150.00
AMP Vehicle-1475	1	25,000.00
AMP 2		
Upgrate Fencing-1450	Multiple Sites	50,000.00
Landscape/Tree work-1450	Multiple Sites	25,000.00
Outside Lighting-1450	57	100,000.00
Bathroom Upgrate-1460	57	250,000.00
Kitchen Upgrate-1460	57	250,000.00
Roof Replacement-1460	Multiple Sites	100,000.00
Siding/Windows-1460	Multiple Sites	300,000.00
Appliances-1465	30	12,150.00
Subtotal of Estimated Cost		\$ 2,099,300.00



**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/20011

**Part III: Supporting Pages – Management Needs Work Statement(s)**

Work Statement for Year 1 FFY 2010	Work Statement for Year 2013 FFY	Work Statement for Year: 2014 FFY
Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories
Estimated Cost	Estimated Cost	Estimated Cost
AMP 1	AMP 1	AMP 1
Asphalt Replacement-1450	Asphalt Replacement-1450	Tree/Landscape/Signage-1450
Tree/Landscape-1450	Tree/Landscape-1450	Asphalt Repair/Replacement-1450
Outside Lighting-1450	Outside Lighting-1450	HVAC-1460
HVAC-1460	HVAC-1460	Power Washing-1460
Roof Replacement-1460	Roof Replacement-1460	Roof Replacements-1460
Kitchen Upgrades-1460	Kitchen Upgrades-1460	Section 504 Compliant-1460
Bathroom Upgrades-1460	Bathroom Upgrades-1460	Electrical Upgrades-1460
Security Upgrade-1460-Brandon Court	Security Upgrade-1460-Brandon Court	New Windows-1460
Appliances-1465	Appliances-1465	Appliances-1465
AMP Vehicle-1475	AMP Vehicle-1475	AMP-Vehicle 1475
AMP 2	AMP 2	AMP 2
Asphalt Replacement-1450	Asphalt Replacement-1450	Tree/Landscape/Signage-1450
Tree/Landscape-1450	Tree/Landscape-1450	Asphalt Repair/Replacement-1450
Outside Lighting-1450	Outside Lighting-1450	HVAC-1460
Bathroom Upgrades-1460	Bathroom Upgrades-1460	Window Blinds 1460
Kitchen Upgrades-1460	Kitchen Upgrades-1460	Roof Replacements-1460
Roof Replacements-1460	Roof Replacements-1460	Siding/Windows-1460
Siding/Windows-1460	Siding/Windows-1460	Section 504 Compliant-1460
Appliances-1465	Appliances-1465	Power Washing-1460
Subtotal of Estimated Cost	Subtotal of Estimated Cost	Subtotal of Estimated Cost
\$ 2,056,200.00	\$ 8,100.00	\$ 20,000.00
		\$ 1,340,000.00



#### 10 (a) Progress in Meeting Mission and Goals:

The Springfield Housing Authority is working diligently to meet the mission and goals described in the 5-Year Plan by applying for additional Housing Choice Vouchers as available, reducing vacancies within Public Housing and constructing new affordable housing units for those most in need in the Springfield community. The SHA will improve the quality of low-rent public housing through modernization updates, by pursuing the disposition/demolition of aged hi-rise buildings and constructing new affordable housing units utilizing public and private funding sources. Specifically, the SHA is embarking on the construction of 41 new units named Genesis Place in place of the former Major Byrd Hi-Rise. Further, the SHA will seek public and private resources as available to address the distressed Sankey Hi-Rise and develop new affordable housing targeted for the aging baby boomer population within the Springfield community. The SHA will continue to conduct outreach efforts to potential voucher landlords to reduce the number of voucher holders in minority and poverty impacted areas while increasing housing options available to voucher holders. Additionally, the SHA will continue to expand its Section 8 Home Ownership Program.

Springfield Housing Authority will submit an application for disposition to SAC for 12 units at 1111 S. 19<sup>th</sup> Street, currently being leased by M.E.R.C.Y Communities for transitional housing for homeless women with children.

The Springfield Housing Authority continues to network and collaborate with the City of Springfield, the Heartland Continuum of Care and various social service providers to expand affordable housing within the community in accordance with the City's Consolidated Plan.

Public housing security improvements will be undertaken among all public housing developments. The SHA also plans to submit for HUD approval a designated housing plan to designate units to serve elderly only residents in accordance with community needs and the Consolidated Plan. To ensure equal opportunity in housing for all Americans, SHA continues to undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

## **Significant Amendment and Substantial Deviation/Modification to the PHA Annual Plan**

The Springfield Housing Authority defines the significant amendment and substantial deviation/modification to the PHA Annual Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Changes to the Public Housing Admissions and Continued Occupancy Policy;
- Changes to the Section 8 Housing Choice Voucher Program Administrative Plan;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; and
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

### **Requirements for Significant Amendments to the PHA Plan**

Any significant amendment or substantial deviation/modification to the PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). Following are the requirements:

- The PHA must consult with the Resident Advisory Board (RAB) (as defined in 24 CFR 903.13);
- The PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and
- The PHA must provide a review of the amendments/modifications by the public during a 45-day public review period (as defined in 24 CFR 903.17).
- The PHA may not adopt the amendment or modification until the PHA has duly called a meeting of its Board of Directors (or similar governing body). This meeting, at which the amendment or modification is adopted, must be open to the public.
- The PHA may not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures (as defined at 24 CFP 903.23).

CARBON MONOXIDE ALARM DETECTOR ACT  
SPRINGFIELD HOUSING AUTHORITY  
COMPLIANCE STATEMENT

The Illinois State Legislative Act 094-0741 became effective on January 1, 2007.

To comply with this Public Act, Springfield Housing Authority researched different carbon monoxide detectors and received three competitive prices on said detectors to locate the best detector for the safety of our residents and that was most cost effective.

Springfield Housing Authority Staff recommended purchase of the Pro Tech 7030-SL Lithium Battery Powered Carbon Monoxide Detector with Secure Lock, which has a 5 year warranty on either the battery or the detector. At the end of November, Springfield Housing Authority ordered and purchased 1008 of these detectors from Allied Sales. Allied Sales was the lowest most responsible bidder for this carbon monoxide detector.

The Springfield Housing Authority received the shipment of all 1008 detectors in the beginning of December, 2006. Residents were provided a notice the Springfield Housing Authority's Maintenance personnel would be entering dwelling units to install the Carbon Monoxide Detectors, (notice attached), and also a statement of responsibility for the detector, (statement attached). The residents were informed of their responsibility for any damage to the detector as well as replace the batteries as necessary.

Springfield Housing Authority is pleased to state that 100% of its dwelling units had the carbon monoxide detectors installed prior to the January 1, 2007 deadline. It was hard work and commitment of Springfield Housing Authority Staff with the residents' cooperation that made this possible.

## **RAB MEETING AND COMMENTS**

**Notice was sent out to the Residents Advisory Board for a meeting to be held on March 3, 2010, 5:00 PM at the Main Office of Springfield Housing Authority, 200 N. 11th Street, Springfield, IL 62703**

**No one attended this meeting so there were no comments received.**

**VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE  
REAUTHORIZATION ACT OF 2005, PUBLIC LAW 109-162, AND THE  
UNITED STATES HOUSING ACT OF 1937, AS AMENDED:  
SPRINGFIELD HOUSING AUTHORITY  
PLAN OF ACTION**

VAWA 2005, signed into law on January 5, 2006 provides for protection for victims of abuse in the Public Housing Program and is incorporated into the Lease Addendum:

- An incident or incidents of actual or threatened domestic violence, dating violence, or staling will not be construed as serious or repeated violations of the lease or other “good cause” for termination of the assistance, tenancy, or occupancy rights of a victim of abuse. (Section 8(o)(7)(C) of the U.S. Housing Act of 1937.)
- Criminal activity directly relating to abuse, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of that abuse. (Section 8(o)(7)(D)(i) of the U.S. Housing Act of 1937.)
- Notwithstanding the VAWA restrictions on admission, occupancy, or terminations of occupancy, or terminations of occupancy or assistance, or any Federal, State or local law to the contrary, a PHA may terminate assistance to or an owner or manager may “bifurcate” a lease, or otherwise remove a household member from the lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful family members or others. This action may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. Such eviction, removal, termination of occupancy rights or termination of assistance shall be effected in accordance with the procedures prescribed by Federal, State, and local law for the termination of leases or assistance under the housing choice voucher program. (Section 8(o)(7)(D)(ii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of a public housing agency, owner, or manager, when notified, to honor court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up. (Section 8(o)(7)(D)(iii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) limits any otherwise available authority of an owner or manager to evict or the public housing agency to terminate assistance to a tenant for any violation of lease not premised on the act or acts of violence in question against the tenant or a member of the tenant’s household, provided that the owner, manager, or public housing agency does not subject an individual who is or has been

a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate. (Section 8(o)(7)(D)(iv) of the U.S. Housing Act of 1937.)

- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of an owner or manager to evict, or the public housing agency to terminate assistance, to any tenant if the owner, manager, or public housing agency can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the tenant is not evicted or terminated from assistance. (Section 8(o)(7)(D)(v) of the U.S. Housing Act of 1937.)
- Nothing in Section 9(o)(7)(D)(i) shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than Section 8(o)(7)(D)(i) for victims of domestic violence, dating violence, or stalking. (Section 8(o)(7)(D)(vi) of the U.S. Housing Act of 1937.)

SPRINGFIELD HOUSING AUTHORITY  
SPRINGFIELD, ILLINOIS  
REPORT ON EXAMINATION  
OF  
FINANCIAL STATEMENTS AND SUPPLEMENTAL DATA  
YEAR ENDED DECEMBER 31, 2008

SPRINGFIELD HOUSING AUTHORITY  
SPRINGFIELD, ILLINOIS  
TABLE OF CONTENTS

	Page
Management's Discussion and Analysis (MD&A)	1 - 8
Independent Auditors' Report	9 - 14
FINANCIAL STATEMENTS:	
Statement of Net Assets	15 - 16
Statement of Revenues, Expenses and Changes in Net Assets	17
Statement of Cash Flows	18
Notes to Financial Statements	19 - 27
SUPPLEMENTARY DATA:	
Schedule of Assets, Liabilities and Net Assets by Program	28 - 31
Schedule of Revenues, Expenses and Changes in Net Assets by Program	32 - 33
Statement and Certification of Program Costs - CFP	34
Summary Schedule of Expenditures of Federal Awards	35
Summary of Findings and Questioned Costs	36 - 43

**SPRINGFIELD HOUSING AUTHORITY  
SPRINGFIELD, ILLINOIS  
MANAGEMENT'S DISCUSSION AND ANALYSIS (MD&A)  
FOR THE YEAR ENDED DECEMBER 31, 2008**

Springfield Housing Authority's ("the Authority") Management's Discussion and Analysis is designed to (a) assist the reader in focusing on significant financial issues, (b) provide an overview of the Authority's financial activity, (c) identify changes in the Authority's financial position (its ability to address the next and subsequent year challenges), and (d) identify individual program issues or concerns.

Since the Management's Discussion and Analysis (MD&A) is designed to focus on the current years activities, resulting changes and currently known facts, please read it in conjunction with the Authority's financial statements.

**FINANCIAL HIGHLIGHTS**

- The Authority's net assets decreased \$1.4 million in 2008. Net Assets were \$42.4 million and \$41.0 million for 2007 and 2008, respectively.
  
- Revenues increased \$.8 million during 2008, and were \$15.3 million and \$16.1 million for 2007 and 2008, respectively.
  
- The total expenses of all Authority programs increased \$2.4 million. Total expenses were \$15.1 million and \$17.5 million for 2007 and 2008, respectively.

## Authority-Wide Financial Statements

The Authority-wide financial statements are designed to be corporate-like in that all business type activities are consolidated into columns which add to a total for the entire Authority.

### **Statement of Net Assets**

These Statements include a Statement of Net Assets, which is similar to a Balance Sheet. The Statement of Net Assets reports all financial and capital resources for the Authority. The statement is presented in the format where assets, minus liabilities, equals "Net Assets", formerly known as equity. Assets and liabilities are presented in order of liquidity, and are classified as "Current" (convertible into cash within one year), and "Non-current".

The focus of the Statement of Net Assets (the "Unrestricted Net Assets") is designed to represent the net available liquid (non-capital) assets, net of liabilities, for the entire Authority. Net Assets (formerly equity) are reported in three broad categories:

Net Assets Invested in Capital Assets, Net of Related Debt: This component of Net Assets consists of all Capital Assets, reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted Net Assets: This component of Net Assets consists of restricted assets, when constraints are placed on the asset by creditors (such as debt covenants), grantors, contributors, laws, regulations, etc.

Unrestricted Net Assets: Consists of Net Assets that do not meet the definition of "Net Assets Invested in Capital Assets, Net of Related Debt", or "Restricted Net Assets".

### **Statement of Revenues, Expenses, and Changes in Net Assets**

The Authority-wide financial statements also include a Statement of Revenues, Expenses and Changes in Net Assets (similar to an Income Statement). This Statement includes Operating Revenues, such as rental income, Operating Expenses, such as administrative, utilities, maintenance, and depreciation, and Non-Operating Revenue and Expenses, such as grant revenue, investment income and interest expense.

The focus of the Statement of Revenues, Expenses and Changes in Net Assets is the "Change in Net Assets", which is similar to Net Income or Loss.

### **Statement of Cash Flows**

Finally, a Statement of Cash Flows is included, which discloses net cash provided by, or used for operating activities, non-capital financing activities, from capital and related financing activities and from investing activities.

## **The Authority's Main Programs**

Conventional Public Housing – Under the Conventional Public Housing Program, the Authority rents units that it owns to low-income households. The Conventional Public Housing Program is operated under an Annual Contributions Contract (ACC) with HUD, and HUD provides Operating Subsidy and Capital Grant funding to enable the PHA to provide the housing at a rent that is based upon 30% of household income. The Conventional Public Housing Program also includes the Capital Fund Program, which is the primary funding source for physical and management improvements to the Authority's properties.

Housing Choice Voucher Program – Under the Housing Choice Voucher Program, the Authority administers contracts with independent landlords that own the property. The Authority subsidizes the family's rent through a Housing Assistance Payment made to the landlord. The program is administered under an Annual Contributions Contract (ACC) with HUD. HUD provides Annual Contributions Funding to enable the Authority to structure a lease that sets the participants' rent at 30% of household income.

Section 8 New Construction Program – The Section 8 New Construction Program is a grant program funded by the Department of Housing and Urban Development. The Authority subsidizes the family's rent through a Housing Assistance Payment made to the landlord.

Other Programs – In addition to the significant programs above, the Board also maintains the following programs:

- Central Office Cost Center & a Blended Component Unit
- Resident Opportunity and Supportive Services Program
- Shelter Plus Care Program
- State/ Local program

## AUTHORITY-WIDE STATEMENTS

### Statement of Net Assets

The following table reflects the condensed Statement of Net Assets compared to the prior year. The Authority is engaged only in Business-Type Activities.

**TABLE 1**  
**STATEMENT OF NET ASSETS**

	<u>2008</u>	<u>2007</u>	<u>Variance</u>
Assets:			
Current & Restricted Assets	\$ 8,551,548	\$ 9,471,836	\$ (920,288)
Capital Assets	29,286,953	29,257,075	29,878
Other Assets	5,101,194	5,907,957	(806,763)
<b>Total Assets</b>	<b>\$ 42,939,695</b>	<b>\$ 44,636,868</b>	<b>\$ (1,697,173)</b>
Liabilities:			
Current Liabilities	\$ 556,399	\$ 687,822	\$ (131,423)
Non Current Liabilities	1,393,023	1,529,750	(136,727)
<b>Total Liabilities</b>	<b>\$ 1,949,422</b>	<b>\$ 2,217,572</b>	<b>\$ (268,150)</b>
Net Assets:			
Invested in Capital Assets	\$ 28,245,396	\$ 28,124,765	\$ 120,631
Restricted Net Assets	7,306,377	8,638,445	(1,332,068)
Unrestricted Net Assets	5,438,500	5,656,086	(217,586)
<b>Total Net Assets</b>	<b>\$ 40,990,273</b>	<b>\$ 42,419,296</b>	<b>\$ (1,429,023)</b>

### Major Factors Affecting the Statement of Net Assets

During 2008, current and restricted assets decreased by \$.9 million. Current and restricted assets decreased primarily due to decreases in Restricted Cash used for housing assistance payments.

Other assets decreased due to a decrease in the realizable value of notes and interest receivable.

The decrease in current liabilities was due primarily to decreases in deferred revenues and vendors payable. Non-current liabilities decreased due to decreases in long-term capital debt and other non-current liabilities.

Table 2 presents details on the change in Unrestricted Net Assets

**TABLE 2**  
**CHANGE OF UNRESTRICTED NET ASSETS**

Unrestricted Net Assets, January 1, 2008	\$ 5,656,086
Results of Operations	(2,505,321)
Capital Expenditures from Operations	(10,108)
Proceeds (Cash & Notes) from Disposition of Capital Assets	84,762
Interest and Principal on Capital Debt	(144,417)
Bad debt expense on Notes and Mortgages Receivable	(830,139)
Investment Income	269,720
Depreciation Expense	1,585,849
Transfer from Restricted Net Assets	<u>1,332,068</u>
Unrestricted Net Assets, December 31, 2008	<u><u>\$ 5,438,500</u></u>

While the results of operations are a significant measure of the Authority's activities, the analysis of the changes in Unrestricted Net Assets provides a clearer change in financial well-being.

**TABLE 3**

**STATEMENT OF REVENUES, EXPENSES**

The following schedule compares the revenues and expenses for the current and previous fiscal year. The Authority is engaged only in Business-Type Activities.

	<u>2008</u>	<u>2007</u>	<u>Variance</u>
Revenue:			
Tenant Rental Revenue	\$ 1,405,308	\$ 1,298,407	\$ 106,901
Operating Grants	12,363,672	12,144,645	219,027
Capital Grants	1,738,388	1,215,207	523,181
Investment Income	269,720	418,468	(148,748)
Loss on Disposition of Assets	(48,007)	(164,438)	116,431
Other Revenue	352,923	410,664	(57,741)
<b>Total Revenue</b>	<b><u>\$ 16,082,004</u></b>	<b><u>\$ 15,322,953</u></b>	<b><u>\$ 759,051</u></b>
Expenses:			
Administrative Expenses	\$ 2,617,538	\$ 2,433,332	\$ 184,206
Tenant Services	20,700	18,226	2,474
Utilities	724,340	761,013	(36,673)
Maintenance & Operations	1,578,770	1,610,962	(32,192)
Protective Services	177,569	130,157	47,412
General Expense	545,130	391,418	153,712
HAP Payments	9,377,328	8,215,292	1,162,036
Interest Expense	53,664	75,626	(21,962)
Bad Debt on Notes & Mortgages	830,139	-	830,139
Depreciation	1,585,849	1,521,732	64,117
<b>Total Expenses</b>	<b><u>\$ 17,511,027</u></b>	<b><u>\$ 15,157,758</u></b>	<b><u>\$ 2,353,269</u></b>
<b>Excess (Deficiency) Revenue Over Expense</b>	<b><u>\$ (1,429,023)</u></b>	<b><u>\$ 165,195</u></b>	<b><u>\$ (1,594,218)</u></b>

**MAJOR FACTORS AFFECTING THE STATEMENT OF REVENUE AND EXPENSES**

Tenant Rental Revenue increased due to increased units leased. The increase in operating grants was primarily due to increased operating subsidies received in the Public Housing and Housing Choice Voucher programs. Capital Grants increased during the current year largely due to increased modernization activity in the Capital Fund program. Investment income decreased due to decreasing cash balances and interest rates during the year. Decreased capital asset disposition activity within the Public Housing program, resulted in the decrease in loss on disposition.

There was an overall increase in expenses. Administrative expense increased due to increased personnel and training expenses during the year, and a computer hardware upgrade. General expense increased due primarily to increased insurance expenses. Housing assistance payments increased due to increased voucher utilization and market rents within the Housing Choice Voucher Program. Bad debt expense on notes and mortgages increased due to management's assessment of the realizable value of notes and interest receivable during the year.

## CAPITAL ASSETS AND DEBT ADMINISTRATION

### Capital Assets

As of year end, the Authority had \$29.3 million invested in a variety of capital assets as reflected in the following schedule, which represents a net increase (additions, deductions and depreciation) of \$.03 million or 0.1% from the end of last year.

**TABLE 4  
CAPITAL ASSETS AT YEAR-END  
(NET OF DEPRECIATION)**

	2008	2007	Variance	% Change
Land	\$ 5,046,717	\$ 5,174,378	\$ (127,661)	-2%
Buildings & Improvements	49,576,115	44,690,096	4,886,019	11%
Furniture & Equipment	499,416	675,355	(175,939)	-26%
Construction in Process	1,963,581	5,132,911	(3,169,330)	-62%
Accumulated Depreciation	(27,798,876)	(26,415,665)	(1,383,211)	5%
<b>Net Capital Assets</b>	<b><u>\$29,286,953</u></b>	<b><u>\$29,257,075</u></b>	<b><u>\$ 29,878</u></b>	<b><u>0%</u></b>

**TABLE 5  
CHANGE IN CAPITAL ASSETS**

The following reconciliation summarizes the change in Capital Assets.

	Business Type Activities
Beginning Balance, January 1, 2008	\$ 29,257,075
Additions and Transfers	
Land	(100,661)
Buildings & Improvements	5,018,487
Construction in Process	(3,169,330)
Equipment	-
Dispostions, Net of Depreciation	(132,769)
Depreciation Expense	<u>(1,585,849)</u>
Ending Balance, December 31, 2008	<b><u>\$ 29,286,953</u></b>

## CAPITAL ASSETS AND DEBT ADMINISTRATION

As of year-end, the Authority had \$1.0 million in debt (bonds, notes, etc.) outstanding, compared to a \$1.1 million balance last year. For more detailed information on debt outstanding, please refer to the Notes to the Financial Statements.

TABLE 6

### OUTSTANDING DEBT, AT YEAR-END

#### Outstanding Debt, at Year End

Business Type	2008	2007
Bonds Payable	\$ 845,000	\$ 870,000
Notes Payable	196,557	262,310
Total	<u>\$ 1,041,557</u>	<u>\$ 1,132,310</u>

## ECONOMIC FACTORS

Significant economic factors affecting the Authority are as follows:

- Federal funding provided by Congress to the Department of Housing and Urban Development
- Local labor supply and demand, which can affect salary and wage rates
- Local inflationary, recessionary and employment trends, which can affect resident incomes and therefore the amount of rental income
- Inflationary pressure on supplies and other costs

## FINANCIAL CONTACT

This financial report is designed to provide our residents, the citizens of Springfield, federal and state regulatory bodies with a general overview of the Springfield Housing Authority's finances as of December 31, 2007. The individual to be contacted regarding this report is Jackie L. Newman, Executive Director, at the Springfield Housing Authority, 200 North 11<sup>th</sup> Street, Springfield, Illinois 62703.

YEAGER & BOYD, L.L.C.  
CERTIFIED PUBLIC ACCOUNTANTS  
5501 HIGHWAY 280  
BIRMINGHAM, ALABAMA 35242  
(205) 991-5506  
(800) 284-1338  
FAX (205) 991-5450

Board of Commissioners  
Springfield Housing Authority  
Springfield, Illinois

INDEPENDENT AUDITORS' REPORT

We have audited the accompanying financial statements of the business-type activities which comprise the major fund of the Springfield Housing Authority as of and for the year ended December 31, 2008, which collectively comprise the Authority's basic financial statements as listed in the table of contents. These financial statements are the responsibility of the Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the business-type activities which comprise the major fund of the Authority as of December 31, 2008, and changes in financial position and cash flows, for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued our report dated September 25, 2009 on our consideration of the Authority's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

The accompanying Management's Discussion and Analysis is not a required part of the basic financial statements but is supplementary information required by accounting principles generally accepted in the United States of America. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was performed for the purpose of forming an opinion on the financial statements that collectively comprise the Authority's basic financial statements. The accompanying schedule of expenditures of Federal Awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations", and is not a required part of the financial statements. Further, other supplementary data as listed in the table of contents is presented for Department of Housing and Urban Development information and is not a required part of the financial statements. Such supplementary data, including the schedule of expenditures of federal awards, has been subjected to the auditing procedures applied in the audit of the financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the financial statements taken as a whole.

Birmingham, Alabama  
September 25, 2009

*Yeager & Boyd*  
Yeager & Boyd

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE  
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Board of Commissioners  
Springfield Housing Authority  
Springfield, Illinois

We have audited the financial statements of the business-type activities of the Authority as of and for the year ended December 31, 2008, which collectively comprise the Authority's basic financial statements and have issued our report thereon dated September 25, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Authority's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified a deficiency in internal control over financial reporting that we consider to be a significant deficiency.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the Authority's ability to initiate, authorize, record, process or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the Authority's financial statements that is more than inconsequential will not be prevented or detected by the Authority's internal control. We consider the deficiency described in the accompanying schedule of findings and questioned costs as Finding 08-01 to be a significant deficiency in internal control over financial reporting.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the Authority's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies, and, accordingly, would not disclose all significant deficiencies that are also considered to be material weaknesses. However, we consider the significant deficiency described above, as Finding 08-01 to be a material weakness.

#### Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

The Authority's response to the finding identified in our audit is described in the accompanying schedule of findings and questioned costs. We did not audit the Authority's response and, accordingly we express no opinion on it.

This report is intended solely for the information and use of the Board of Commissioners, management, others within the entity, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama  
September 25, 2009

Yeager & Boyd

## SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

### REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR PROGRAM AND INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

Board of Commissioners  
Springfield Housing Authority  
Springfield, Illinois

#### Compliance

We have audited the compliance of the Authority with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended December 31, 2008. The Authority's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Authority's management. Our responsibility is to express an opinion on the Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Authority's compliance with those requirements.

In our opinion, the Authority complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended December 31, 2008. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03.

#### Internal Control Over Compliance

The management of the Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Authority's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

Our Consideration of the internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses as defined below. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies and material weaknesses.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control over compliance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03 to be significant deficiencies.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. We consider the significant deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03 to be material weaknesses.

The Authority's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the Authority's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of management, the Board of Commissioners, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama  
September 25, 2009

*Yeager & Boyd*

**SPRINGFIELD HOUSING AUTHORITY  
STATEMENT OF NET ASSETS  
DECEMBER 31, 2008**

**ASSETS**

**Enterprise  
Fund**

**Current Assets**

Cash and Cash Equivalents	\$ 5,418,350
Accounts Receivable - Grants	325,114
Accounts Receivable - Other	26,174
Tenants Accounts Receivable	122,523
Allowance for Doubtful Accounts	(37,500)
Prepaid Costs	32,126
Inventory	37,781
Assets Held for Resale	145,168
Total Current Assets	<u>6,069,736</u>

**Restricted Assets**

Cash and Cash Equivalents	<u>2,481,812</u>
Total Restricted Assets	<u>2,481,812</u>

**Capital Assets**

Land	5,046,717
Buildings and Improvements	49,576,115
Furniture and Equipment	499,416
Construction in Progress	1,963,581
	<u>57,085,829</u>
(Less): Accumulated Depreciation	<u>(27,798,876)</u>
Net Capital Assets	<u>29,286,953</u>

**Other Assets**

Notes Receivable	5,048,373
Debt Issuance Costs	52,821
Total Other Assets	<u>5,101,194</u>

Total Assets	<u>\$ 42,939,695</u>
--------------	----------------------

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY  
STATEMENT OF NET ASSETS  
DECEMBER 31, 2008**

**LIABILITIES AND NET ASSETS**

	<b>Enterprise Fund</b>
<u>Current Liabilities</u>	
Accounts Payable	\$ 26,809
Accrued Wages and Payroll Taxes	195
Accrued Compensated Absences	83,318
Accrued Interest Payable	7,216
Tenant Security Deposits	220,454
Deferred Revenues	106,538
Other Current Liabilities	67,335
Current Portion of Capital Debt	44,534
Total Current Liabilities	<u>556,399</u>
<u>Long Term Liabilities</u>	
Long Term Capital Debt	997,023
Accrued Compensated Absences	193,208
Noncurrent Liabilities - Other	202,792
Total Long Term Liabilities	<u>1,393,023</u>
Total Liabilities	<u>1,949,422</u>
<u>Net Assets</u>	
Investment in Capital Assets Net of Related Debt	28,245,396
Restricted Net Assets	7,306,377
Unrestricted Net Assets	5,438,500
Total Net Assets	<u>40,990,273</u>
Total Liabilities and Net Assets	<u>\$ 42,939,695</u>

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY  
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS  
FOR THE YEAR ENDED DECEMBER 31, 2008**

	<b>Enterprise Fund</b>
<u>Operating Revenues</u>	
Dwelling Rent	\$ 1,405,308
Operating Grants	12,363,672
Other Revenue	352,923
Total Operating Revenues	<u>14,121,903</u>
<u>Operating Expenses</u>	
Administrative	2,617,538
Tenant Services	20,700
Utilities	724,340
Maintenance and Operations	1,578,770
Protective Services	177,569
General Expense	545,130
Housing Assistance Payments	9,377,328
Depreciation	1,585,849
Total Operating Expenses	<u>16,627,224</u>
Operating Income (Loss)	<u>(2,505,321)</u>
<u>Non-Operating Revenues (Expenses)</u>	
Investment Income	269,720
Interest Expense on Capital Debt	(53,664)
Bad Debts - Notes and Mortgages Receivable	(830,139)
Loss on Disposition of Capital Assets	(48,007)
Total Non-Operating Rev/(Exp)	<u>(662,090)</u>
Increase (Decrease) before Capital Contributions	<u>(3,167,411)</u>
Capital Contributions	<u>1,738,388</u>
Increase (Decrease) in Net Assets	(1,429,023)
Net Assets, Beginning	42,419,296
Net Assets, Ending	<u>\$ 40,990,273</u>

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY  
STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED DECEMBER 31, 2008**

	<b>Enterprise Fund</b>
<u>Cash flows from operating activities:</u>	
Cash Received from Dwelling Rent	\$ 1,341,040
Cash Received from Operating Grants	12,488,993
Cash Received from Other Sources	469,442
Cash Payments for Salaries & Benefits	(3,092,151)
Cash Payments to Vendors & Landlords	<u>(11,915,465)</u>
Net cash provided (used) by operating activities	<u>(708,141)</u>
<u>Cash flows from capital and related financing activities:</u>	
Capital Grants Received	1,277,273
Capital Outlay	(1,748,496)
Proceeds from Dispositions	84,762
Principal and Interest Payments on Capital Debt	<u>(148,245)</u>
Net cash provided (used) by capital and related financing activities	<u>(534,706)</u>
<u>Cash flows from investing activities:</u>	
Disbursements on Notes Receivable to affiliates	(21,016)
Net receipts on Mortgage Notes Receivable	7,640
Transfer (to) from investments	311,007
Interest earned from cash and cash equivalents	<u>300,682</u>
Net cash provided (used) by investing activities	<u>598,313</u>
Net increase (decrease) in cash and cash equivalents	<u>(644,534)</u>
Total cash and cash equivalents, beginning of year	<u>8,544,696</u>
Total cash and cash equivalents, end of year	<u>\$ 7,900,162</u>
<b><u>Reconciliation of operating income (loss) to net cash provided (used) by operating activities:</u></b>	
Operating Income (Loss)	\$ (2,505,321)
Adjustment to reconcile operating income (loss) to net cash provided (used) by operating activities:	
Depreciation	1,585,849
Bad Debt Expense - Tenants	51,881
Change in Tenants Accounts Receivable	(49,656)
Change in Operating Grants Receivable	125,321
Change in Other Accounts Receivable	34,939
Change in Prepaid Costs	57,605
Change in Inventory	(5,169)
Change in Accounts Payable	(38,510)
Change in Accrued Expenses	44,448
Change in Deferred Revenue	(14,612)
Change in Tenant Security Deposits	81,580
Change in Other Liabilities	<u>(76,496)</u>
Net cash provided (used) by operating activities	<u>\$ (708,141)</u>

See the accompanying notes to financial statements.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2008

NOTE A - SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the Housing Authority have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The Authority is a Special Purpose Government engaged only in business-type activities and therefore, presents only the financial statements required for the enterprise fund, in accordance with GASB Statement 34 paragraph 138.

The Authority has multiple programs which are accounted for in one enterprise fund, which is presented as the "enterprise fund" in the basic financial statements as follows:

Enterprise Fund – In accordance with the Enterprise Fund Method, activity is recorded using the accrual basis of accounting and the measurement focus is on the flow of economic resources. Under the accrual basis of accounting revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. This required the Housing Authority to account for operations in a manner similar to private business or where the Board has decided that the determination of revenues earned, costs incurred and/or net income is necessary for management accountability.

Governmental Accounting Standards – The Housing Authority has applied all applicable Governmental Accounting Standards Board pronouncements as well as pronouncements issued by the Financial Accounting Standards Board on or before November 30, 1989, and those issued after November 30, 1989 except for those that conflict with or contradict Governmental Accounting Standards Board pronouncements.

Cash

The Housing Authority considers cash on hand and cash in checking to be cash equivalents. Cash on hand is not included in calculation of collateral required.

Accounts Receivable

Tenant accounts receivables are carried at the amount considered by management to be collectible. Tenant accounts receivable are immaterial for further disclosures. Other accounts receivable consists of amounts due affiliates and from HUD for Grant Income.

Prepaid Items

Prepaid Items consists of payments made to vendors for services that will benefit future periods.

## NOTES TO FINANCIAL STATEMENTS - CONTINUED

### NOTE A - SIGNIFICANT ACCOUNTING POLICIES- CONTINUED

#### Inventory

Inventories consist of materials and supplies that have not been used or consumed. Inventory is valued at cost and recorded as an expense when it is consumed.

#### Deferred Revenue

The Authority recognizes revenues as earned. The amount received in advance of the period in which it is earned is recorded as a liability under Deferred Revenue.

#### Revenue Accounting Policies

Dwelling rent income, HUD Grants received for operations, other operating fund grants and operating miscellaneous income are shown as operating income. HUD grants received for capital assets and all other revenue is shown as non-operating revenue.

These financial statements do not contain material inter-fund revenues and expenses for internal activity. The policy is to eliminate any material inter-fund revenues and expenses for these financial statements.

#### Capital Assets

Property and equipment are recorded at cost. Depreciation is computed using the straight-line method over the estimated useful lives of the assets. The costs of maintenance and repairs are expensed, while significant renewals and betterments are capitalized. Small dollar value minor equipment items are expensed. Depreciation on assets has been expensed in the statement of income and expenses. Estimated useful lives are as follows:

Buildings and Improvements	20 - 30 years
Furniture fixtures and equipment	5 years

#### Cost Allocation Plan

In accordance with OMB Circular A-87, the Authority utilizes a Cost Allocation Plan. The Authority allocates indirect costs to programs on the basis of one of the following methods: direct salaries and wages, percentage of office square footage, number of vouchers and/or units, estimated/actual time spent, number of checks processed or the allotment stipulated in contractual agreements.

#### Intangible Assets

In accordance with SFAS 142 intangible assets with finite useful lives will be amortized over their estimated useful life. Bond issuance costs consist primarily of unamortized revenue bond issuance costs. Expenses relating to the issuance of the capital program bonds are capitalized and amortized on a straight-line basis over the term of the bond's maturity.

## NOTES TO FINANCIAL STATEMENTS - CONTINUED

### NOTE B - REPORTING ENTITY DEFINITION

The Housing Authority is a separate non-profit corporation with a Board of Commissioners. The applicable jurisdictions appoint the Board of Commissioners. However, the Housing Authority has complete legislative and administrative authority and it recruits and employs personnel. The Authority adopts a budget that is approved by the Board of Commissioners. Subsidies for operations are received primarily from HUD. The Authority has substantial legal authority to control its affairs without local government approval; therefore, all operations of the Authority are a separate reporting entity as reflected in this report. The Authority is responsible for its debts and is entitled to surpluses.

In determining how to define the reporting entity, management has considered all potential component units. The decision to include a component unit in the reporting entity was made by applying the criteria set forth in *Section 2100 and 2600 of the Codification of Governmental Accounting and Financial Reporting Standards and Statement No. 14 (amended), of the Governmental Accounting Standards Board: The Financial Reporting Entity and Statement No. 39 "Determining Whether Certain Organizations are Component Units*. These criteria include manifestation of oversight responsibility including financial accountability, appointment of a voting majority, imposition of will, financial benefit to or burden on a primary organization, financial accountability as a result of fiscal dependency, potential for dual inclusion, and organizations included in the reporting entity although the primary organization is not financially accountable. Based upon the application of these criteria, the reporting entity includes the following blended component units:

**Capital City Coalition, Incorporated** is a related not-for-profit Illinois Corporation, which was created as an instrumentality of the Authority for the purpose of acquiring and developing affordable housing. The corporation's year end is December 31, and is reported in the accompanying schedules in the Component Unit column. A copy of the financial statements of Capital City Coalition, Incorporated for the year ended December 31, 2008 may be obtained by writing to the Springfield Housing Authority at 200 North 11<sup>th</sup> Street, Springfield, Illinois 62703.

## NOTES TO FINANCIAL STATEMENTS - CONTINUED

### NOTE C - CASH AND INVESTMENT DEPOSITS

*Custodial Credit Risk* – The Housing Authority policy is to limit credit risk by adherence to the list of HUD permitted investments, which are backed by the full faith and credit of or a guarantee of principal and interest by the U.S. Government.

*Interest Rate Risk* – The Housing Authority does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from interest rate volatility.

The Authority's cash and cash equivalents consist of cash held in interest bearing checking accounts and money market accounts totaling \$7,900,162.

Deposits with financial institutions are secured as follows:

Insured by FDIC	\$ 231,730
Investments held in U.S. Treasury Obligations	<u>7,667,882</u>
	<u>\$ 7,899,612</u>

The remaining \$550 is held in a petty cash fund. All investments are carried at cost plus accrued interest, which approximates market. The Authority had no realized gains or losses on the sale of investments. The calculation of realized gains or losses is independent of a calculation of the net change in the fair value of investments.

### NOTE D - CONTRACTUAL COMMITMENTS

The Authority had Outstanding Contractual Commitments as of the Balance Sheet Date as follows:

<u>Type Commitment</u>	<u>Amount</u>
Modernization and Development	\$808,426

### NOTE E - SIGNIFICANT ESTIMATES

These financial statements are prepared in accordance with generally accepted accounting principles. The financial statements include some amounts that are based on management's best estimates and judgments. The most significant estimates relate to depreciation and useful lives, and Accounts Receivable valuations. These estimates may be adjusted as more current information becomes available, and any adjustment could be significant.

## NOTES TO FINANCIAL STATEMENTS – CONTINUED

### NOTE F – RISK MANAGEMENT

The Housing Authority is exposed to various risks of losses related to torts; thefts of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Housing Authority carries commercial insurance for all risks of loss, including workman's compensation and employee health and accident insurance. The Housing Authority has not had any significant reductions in insurance coverage or any claims not reimbursed.

### NOTE G – CONCENTRATION OF RISK

The Housing Authority receives most of its funding from HUD. These funds and grants are subject to modification by HUD depending on the availability of funding.

### NOTE H – NOTES RECEIVABLE

The Authority has two Promissory Notes with Madison Park Place Limited Partnership, a related party of the Authority, for the development of a low income housing project, in the amounts of \$1,263,105 and \$3,764,252. The first note, issued October 30, 1998, is unsecured and bears no interest. The second note, issued August 9, 1999, bears interest at 6.23% and is secured by an investment in real property. The principal amounts and any unpaid accrued interest will be payable from net available cash flow, net proceeds, or condemnation proceeds, as defined in the Promissory Notes. Both notes mature 40 years from their respective issue dates. Accrued interest receivable on Note II as of December 31, 2008 was \$2,202,467, which has been fully reserved. Any future collections of the accrued interest will be recognized as revenue upon receipt.

The Authority issues mortgage loans to assist qualified residents in the purchase of homes. These notes are collateralized by the single-family residences, and are forgivable pending home buyer compliance with loan provisions. The balance of the notes as of December 31, 2008, was \$481,500, which has been fully reserved. Any future collections received on these notes will be recognized as revenue upon receipt.

A summary of Notes Receivable as of December 31, 2008 is as follows:

Note I due from Madison Park Place Limited Partnership	\$ 1,263,105
Less: Allowance for Doubtful Accounts	-
Note II due from Madison Park Place Limited Partnership	3,764,252
Less: Allowance for Doubtful Accounts	-
Accrued Interest Receivable on Note II	2,202,467
Less: Allowance for Doubtful Accounts	(2,202,467)
Home Buyer Notes Receivable	481,500
Less: Allowance for Doubtful Accounts	(481,500)
Miscellaneous Notes Receivable, Net of Allowance	21,016
	<u>\$ 5,048,373</u>

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE I – INTERPROGRAM ACTIVITY

The Housing Authority manages several programs. Many charges, i.e., payroll, benefits, insurance, etc. are paid by the Housing Authority's various funds and subsequently reimbursed. Balances due for such charges are reflected in the Interprogram Due to/Due from account balances. Inter-program balances at December 31, 2008 consisted of the following:

Supportive Housing	\$ 162,748
Section 8 - New Construction	(7,995)
Shelter Plus Care	(2,419)
Public Housing	443,657
Resident Opportunity	(5,858)
Housing Choice Voucher	(38,217)
Capital Fund Program	(272,751)
State/ Local	81,365
Central Office	(360,530)
	<u>\$ -</u>

NOTE J - PENSION PLAN

The Authority provides pension benefits for all of its full-time employees through a defined contribution plan. The plan is administered by *The Equitable Defined Contribution Services*. In a defined contribution plan, benefits depend solely on amounts contributed to the plan plus investment earnings. The Authority contributes approximately 11.5% of the employee's covered compensation. During the year ended December 31, 2008, the Authority made the required contributions in the amount of \$259,847.

NOTE K – COMPENSATED ABSENCES

It is the Authority's policy to grant full time permanent employees vacation benefits in varying amounts to specified maximums depending on tenure with the Authority. Sick leave also accrues to full time employees to specified maximums. The employees are entitled to vacation leave balances at termination. Leave accrued but not yet paid as of December 31, 2008, is shown as a liability allocated between current and non-current.

NOTE L – RESTRICTED CASH

The Authority's restricted cash consists of the following as of the December 31, 2008:

Held for Housing Assistance Payments	\$ 1,727,020
Held for Debt Service Requirements	552,000
Held for FSS Escrows	202,792
Total Restricted Cash and Cash Equivalents	<u>\$ 2,481,812</u>

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE M – RESTRICTED NET ASSETS

Restricted net assets consist of the following:

Restricted for Housing Assistance Payments	\$ 1,727,020
Restricted for Debt Service Requirements	552,000
Madison Park Place, LP Notes Receivable	5,027,357
Total Restricted Net Assets	<u>\$ 7,306,377</u>

Housing Assistance Payment (HAP) funds are available to the Authority under the Section 8 Housing Choice Vouchers program. These funds are to be used only for HAP expenditures of the program. Future collections made on the Madison Park Place Limited Partnership notes receivable (see Note H) are restricted for the purpose of housing Public Housing program residents. There is no offsetting liability for these restricted assets. Therefore, \$7,306,377 is shown as Restricted Net Assets in the net assets section of the Statement of Net Assets.

NOTE N – CAPITAL DEBT

- In October of 2004, the Authority issued a Tax Increment Financing Revenue Bond payable in the amount of \$1,171,000 to construct 27 low income public housing units. The note bears interest at a variable rate per annum equal to 1.73% plus 65% of the prevailing Three Month LIBOR. Principal payments are made once per year, while interest payments are made 4 times per year. The note is secured by an interest in the property. The amount of interest paid and charged to expense during the year was \$42,637. The principal balance at December 31, 2008 was \$845,000 and the applicable interest rate was 2.919%. Debt service requirements are as follows:

	<u>Principal</u>	<u>Interest</u>	<u>Balance Due</u>
2009	\$ 25,000	\$ 24,666	\$ 820,000
2010	25,000	23,936	795,000
2011	25,000	23,206	770,000
2012	25,000	22,476	745,000
2013	25,000	21,747	720,000
2014	720,000	21,017	-
	<u>\$ 845,000</u>	<u>\$ 137,048</u>	<u>\$ -</u>

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE N – CAPITAL DEBT - CONTINUED

2. In April of 2003, the Authority, through its blended component unit, Capital City Coalition, issued a \$265,000 note payable to the National City Bank of Illinois for the purpose of developing affordable housing. The note bears interest at a variable rate per annum based upon the LIBOR. The loan is secured by an interest in the real property financed by the loan. Principal and interest payments of \$2,000 are made monthly. The amount of interest paid and expensed during the year was \$11,027. The outstanding balance as of December 31, 2008 was \$196,557. Debt service requirements are as follows:

	<u>Principal</u>	<u>Interest</u>	<u>Balance Due</u>
2009	\$ 19,534	\$ 4,466	\$ 177,023
2010	20,004	3,996	157,019
2011	20,485	3,515	136,534
2012	20,978	3,022	115,556
2013	21,483	2,517	94,073
2014 - 2018	94,073	4,780	-
	<u>\$ 196,557</u>	<u>\$ 22,296</u>	<u>\$ -</u>

Long-term liability activity for the year ended December 31, 2008, was as follows:

	<u>Balance</u> <u>1/1/2008</u>	<u>Increase</u>	<u>Decrease</u>	<u>Balance</u> <u>12/31/2008</u>
Capital Debt	\$ 1,132,310	\$ -	\$ 90,753	\$ 1,041,557
Accrued Compensated Absences	232,273	44,253	-	276,526
Other Noncurrent Liabilities	279,288	-	76,496	202,792
Less: Current portion	<u>(114,121)</u>			<u>(127,852)</u>
Long Term Debt Liabilities	<u>\$ 1,529,750</u>			<u>\$ 1,393,023</u>

NOTE O – DEFERRED FINANCING COSTS

Costs relating to obtaining financing are capitalized and amortized over the term of the related debt using the straight line method. Unamortized loan costs at December 31, 2008 were \$52,821. When a loan is paid in full, any unamortized financing costs are removed from the related accounts and charged to operations.

NOTE P – COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from HUD are subject to audit and adjustment by grantor agencies. If expenses are disallowed as a result of these audits, the claims for reimbursement to the grantor agency would become a liability of the Authority. In the opinion of management, any such adjustments would not be significant.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE Q – CAPITAL ASSETS

A summary of capital assets at December 31, 2008 is as follows:

	<u>PUBLIC HOUSING</u>	<u>HOUSING CHOICE VOUCHERS</u>	<u>CAPITAL FUND</u>
Land	\$ 4,970,117	\$ -	\$ -
Building and Improvements	45,860,259	-	-
Furniture and Equipment	337,181	105,293	-
Construction in Process		-	1,963,581
Less Accumulated Depreciation	<u>(24,925,763)</u>	<u>(84,712)</u>	<u>-</u>
Total Capital Assets	<u>\$ 26,241,794</u>	<u>\$ 20,581</u>	<u>\$ 1,963,581</u>

	<u>COMPONENT UNIT</u>	<u>COCC</u>	<u>TOTAL</u>
Land	\$ 76,600	\$ -	\$ 5,046,717
Building and Improvements	335,353	3,380,503	49,576,115
Furniture and Equipment	-	56,942	499,416
Construction in Process		-	1,963,581
Less Accumulated Depreciation	<u>(71,252)</u>	<u>(2,717,149)</u>	<u>(27,798,876)</u>
Total Capital Assets	<u>\$ 340,701</u>	<u>\$ 720,296</u>	<u>\$ 29,286,953</u>

	January 1, 2008		Transfers &	December 31, 2008
	<u>Balance</u>	<u>Additions</u>	<u>Deletions</u>	<u>Balance</u>
Land	\$ 5,174,378	\$ 600	\$ (128,261)	\$ 5,046,717
Construction in Process	<u>5,132,911</u>	<u>1,738,388</u>	<u>(4,907,718)</u>	<u>1,963,581</u>
Total Assets not being Depreciated	10,307,289	1,738,988	(5,035,979)	7,010,298
Buildings and Improvements	44,690,096	9,508	4,876,511	49,576,115
Furniture and Equipment	<u>675,355</u>	<u>-</u>	<u>(175,939)</u>	<u>499,416</u>
Total Capital Assets	55,672,740	1,748,496	(335,407)	57,085,829
Less Accumulated Depreciation	<u>(26,415,665)</u>	<u>(1,585,849)</u>	<u>202,638</u>	<u>(27,798,876)</u>
Net Book Value	<u>\$ 29,257,075</u>	<u>\$ 162,647</u>	<u>\$ (132,769)</u>	<u>\$ 29,286,953</u>

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
DECEMBER 31, 2008**

**ASSETS**

		<b>Section 8</b>					
	<b>Supportive</b>	<b>New</b>	<b>Shelter</b>	<b>Public</b>	<b>Resident</b>	<b>Housing</b>	<b>Housing</b>
	<b>Housing</b>	<b>Construction</b>	<b>Plus Care</b>	<b>Housing</b>	<b>Opportunity</b>	<b>Choice</b>	<b>Voucher</b>
<u>Current Assets</u>							
Cash and Cash Equivalents	\$ -	\$ 7,174	\$ 62,654	\$ 4,514,251	\$ -	\$ -	\$ 321,287
Accounts Receivable - Grants	-	46,505	-	-	5,858	-	-
Accounts Receivable - Other	-	-	-	12,655	-	-	1,234
Tenants Accounts Receivable	-	-	-	122,523	-	-	-
Allowance for Doubtful Accounts	-	-	-	(37,500)	-	-	-
Prepaid Costs	-	-	-	-	-	-	-
Inventory	-	-	-	37,781	-	-	-
Assets Held for Resale	-	-	-	145,168	-	-	-
Interprogram	162,748	-	-	443,657	-	-	-
<b>Total Current Assets</b>	<b>162,748</b>	<b>53,679</b>	<b>62,654</b>	<b>5,238,535</b>	<b>5,858</b>	<b>-</b>	<b>322,521</b>
<u>Non-current Assets</u>							
<u>Restricted Assets</u>							
Cash and Cash Equivalents	-	-	-	552,000	-	-	1,929,812
<b>Total Restricted Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>552,000</b>	<b>-</b>	<b>-</b>	<b>1,929,812</b>
<u>Capital Assets</u>							
Land	-	-	-	4,970,117	-	-	-
Buildings and Improvements	-	-	-	45,860,259	-	-	-
Furniture and Equipment	-	-	-	337,181	-	-	105,293
Construction in Progress	-	-	-	-	-	-	-
(Less): Accumulated Depreciation	-	-	-	51,167,557	-	-	105,293
<b>Net Capital Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(24,925,763)</b>	<b>-</b>	<b>-</b>	<b>(84,712)</b>
<b>Total Other Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>26,241,794</b>	<b>-</b>	<b>-</b>	<b>20,581</b>
<u>Other Assets</u>							
Notes Receivable	-	-	-	5,048,373	-	-	-
Debt Issuance Costs	-	-	-	52,821	-	-	-
<b>Total Other Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,101,194</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Assets</b>	<b>\$ 162,748</b>	<b>\$ 53,679</b>	<b>\$ 62,654</b>	<b>\$ 37,133,523</b>	<b>\$ 5,858</b>	<b>\$ -</b>	<b>\$ 2,272,914</b>

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
DECEMBER 31, 2008**

**ASSETS**

	<u>Capital Fund</u>	<u>State/ Local</u>	<u>Component Unit</u>	<u>Central Office</u>	<u>Elimination</u>	<u>Total</u>
<u>Current Assets</u>						
Cash and Cash Equivalents	\$ -	\$ -	\$ 11,651	\$ 501,333	\$ -	\$ 5,418,350
Accounts Receivable - Grants	272,751	-	-	-	-	325,114
Accounts Receivable - Other	-	-	-	12,285	-	26,174
Tenants Accounts Receivable	-	-	-	-	-	122,523
Allowance for Doubtful Accounts	-	-	-	-	-	(37,500)
Prepaid Costs	-	-	1,267	30,859	-	32,126
Inventory	-	-	-	-	-	37,781
Assets Held for Resale	-	-	-	-	-	145,168
Interprogram	-	81,365	-	-	(687,770)	-
Total Current Assets	272,751	81,365	12,918	544,477	(687,770)	6,069,736
<u>Non-current Assets</u>						
<u>Restricted Assets</u>						
Cash and Cash Equivalents	-	-	-	-	-	2,481,812
Total Restricted Assets	-	-	-	-	-	2,481,812
<u>Capital Assets</u>						
Land	-	-	76,600	-	-	5,046,717
Buildings and Improvements	-	-	335,353	3,380,503	-	49,576,115
Furniture and Equipment	-	-	-	56,942	-	499,416
Construction in Progress	1,963,581	-	-	-	-	1,963,581
(Less): Accumulated Depreciation	1,963,581	-	411,953	3,437,445	-	57,085,829
Net Fixed Assets	-	-	(71,252)	(2,717,149)	-	(27,798,876)
Total Capital Assets	1,963,581	-	340,701	720,296	-	29,286,953
<u>Other Assets</u>						
Notes Receivable	-	-	-	-	-	5,048,373
Debt Issuance Costs	-	-	-	-	-	52,821
Total Other Assets	-	-	-	-	-	5,101,194
Total Assets	\$ 2,236,332	\$ 81,365	\$ 353,619	\$ 1,264,773	\$ (687,770)	\$ 42,939,695

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
DECEMBER 31, 2008**

**LIABILITIES AND NET ASSETS**

	Supportive Housing	Section 8			Public Housing	Resident Opportunity	Housing Choice Voucher
		Construction	Shelter Plus Care	New			
<u>Current Liabilities</u>							
Accounts Payable	\$ 3,552	\$ 2,954	\$ -	\$ 9,364	\$ -	\$ -	
Accrued Wages and Payroll Taxes	-	-	-	-	-	-	
Accrued Compensated Absences	-	1,198	-	42,180	-	13,448	
Accrued Interest Payable	-	-	-	5,660	-	-	
Tenant Security Deposits	-	-	-	220,454	-	-	
Deferred Revenues	-	-	74,123	-	-	-	
Other Current Liabilities	-	-	-	67,335	-	-	
Current Portion of Long Term Debt	-	-	-	25,000	-	-	
Interprogram Payable	-	7,995	2,419	-	5,858	38,217	
Total Current Liabilities	3,552	12,147	76,542	369,993	5,858	51,665	
<u>Long Term Liabilities</u>							
Long Term Capital Debt	-	-	-	820,000	-	-	
Accrued Compensated Absences	-	2,795	-	98,418	-	31,378	
Noncurrent Liabilities - Other	-	-	-	-	-	202,792	
Total Long Term Liabilities	-	2,795	-	918,418	-	234,170	
Total Liabilities	3,552	14,942	76,542	1,288,411	5,858	285,835	
<u>Net Assets</u>							
Investment in Capital Assets	-	-	-	25,396,794	-	20,581	
Net of Related Debt	-	-	-	5,579,357	-	1,727,020	
Restricted Net Assets	159,196	38,737	(13,888)	4,868,961	-	239,478	
Unrestricted Net Assets	159,196	38,737	(13,888)	35,845,112	-	1,987,079	
Total Liabilities and Net Assets	\$ 162,748	\$ 53,679	\$ 62,654	\$ 37,133,523	\$ 5,858	\$ 2,272,914	

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM  
DECEMBER 31, 2008**

**LIABILITIES AND NET ASSETS**

	Capital Fund	State/ Local	Component Unit	Central Office	Elimination	Total
<u>Current Liabilities</u>						
Accounts Payable	\$ -	\$ 310	\$ -	\$ 10,629	\$ -	\$ 26,809
Accrued Wages and Payroll Taxes	-	-	-	195	-	195
Accrued Compensated Absences	-	-	-	26,492	-	83,318
Accrued Interest Payable	-	-	1,556	-	-	7,216
Tenant Security Deposits	-	-	-	-	-	220,454
Deferred Revenues	-	26,415	-	-	-	106,538
Other Current Liabilities	-	-	-	-	-	67,335
Current Portion of Capital Debt	-	-	19,534	-	-	44,534
Interprogram Payable	272,751	-	-	360,530	(687,770)	-
Total Current Liabilities	272,751	26,725	27,090	397,846	(687,770)	556,399
<u>Long Term Liabilities</u>						
Long Term Capital Debt	-	-	177,023	-	-	997,023
Accrued Compensated Absences	-	-	-	60,617	-	193,208
Noncurrent Liabilities - Other	-	-	-	-	-	202,792
Total Long Term Liabilities	-	-	177,023	60,617	-	1,393,023
Total Liabilities	272,751	26,725	204,113	458,463	(687,770)	1,949,422
<u>Net Assets</u>						
Investment in Capital Assets						
Net of Related Debt	1,963,581	-	144,144	720,296	-	28,245,396
Restricted Net Assets	-	-	-	-	-	7,306,377
Unrestricted Net Assets	-	54,640	5,362	86,014	-	5,438,500
Total Net Assets	1,963,581	54,640	149,506	806,310	-	40,990,273
Total Liabilities and Net Assets	\$ 2,236,332	\$ 81,365	\$ 353,619	\$ 1,264,773	\$ (687,770)	\$ 42,939,695

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF INCOME, EXPENSES AND CHANGES IN NET ASSETS BY PROGRAM  
FOR THE YEAR ENDED DECEMBER 31, 2008**

	Supportive Housing	Section 8 New Construction	Shelter Plus Care	Public Housing	Resident Opportunity	Housing Choice Voucher
<u>Operating Revenues</u>						
Dwelling Rent	\$ -	\$ -	\$ -	\$ 1,359,708	\$ -	\$ -
Operating Grants	344,991	417,319	6,573	2,759,108	22,429	8,343,314
Other Revenue	-	-	-	285,343	-	3,020
Total Operating Revenues	344,991	417,319	6,573	4,404,159	22,429	8,346,334
<u>Operating Expenses</u>						
Administrative	33,953	41,382	(694)	1,297,145	22,429	963,893
Tenant Services	-	-	-	12,740	-	-
Utilities	-	-	-	681,842	-	-
Maintenance and Operations	-	-	-	1,489,422	-	2,424
Protective Services	-	-	-	177,569	-	-
General Expense	-	-	-	386,924	-	53,869
Housing Assistance Payments	233,410	386,213	3,747	-	-	8,753,648
Depreciation	-	-	-	1,524,724	-	15,566
Total Operating Expenses	267,363	427,595	3,053	5,570,366	22,429	9,789,400
Operating Income (Loss)	77,628	(10,276)	3,520	(1,166,207)	-	(1,443,066)
<u>Non-Operating Revenues (Expenses)</u>						
Investment Income	-	288	-	172,410	-	85,357
Interest Expense on Capital Debt	-	-	-	(42,637)	-	-
Bad Debts - Notes and Mortgages Receivable	-	-	-	(830,139)	-	-
Loss on Disposition of Capital Assets	-	-	-	(48,007)	-	-
Total Non-Operating Rev/(Exp)	-	288	-	(748,373)	-	85,357
Increase (decrease) before Capital Contributions and Transfers	77,628	(9,988)	3,520	(1,914,580)	-	(1,357,709)
Capital Contributions	-	-	-	-	-	-
Operating Transfers In (Out)	-	-	-	148,932	-	-
Increase (Decrease) in Net Assets	77,628	(9,988)	3,520	(1,765,648)	-	(1,357,709)
Net Assets, Beginning	81,568	48,725	(17,408)	32,933,821	-	3,344,788
Net Asset Transfers	-	-	-	4,676,939	-	-
Net Assets, Ending	\$ 159,196	\$ 38,737	\$ (13,888)	\$ 35,845,112	\$ -	\$ 1,987,079

**SPRINGFIELD HOUSING AUTHORITY  
SCHEDULE OF INCOME, EXPENSES AND CHANGES IN NET ASSETS BY PROGRAM  
FOR THE YEAR ENDED DECEMBER 31, 2008**

	Capital Fund	State/Local	Component Unit	Central Office	Elimination	Total
<u>Operating Revenues</u>						
Dwelling Rent	\$ -	\$ -	\$ 45,600	\$ -	\$ -	\$ 1,405,308
Operating Grants	419,699	50,239	-	-	-	12,363,672
Other Revenue	-	-	-	1,121,577	(1,057,017)	352,923
Total Operating Revenue	419,699	50,239	45,600	1,121,577	(1,057,017)	14,121,903
<u>Operating Expenses</u>						
Administrative	247,933	-	(3,356)	1,071,870	(1,057,017)	2,617,538
Tenant Services	-	7,960	-	-	-	20,700
Utilities	-	-	-	42,498	-	724,340
Maintenance and Operations	22,834	7,301	110	56,679	-	1,578,770
Protective Services	-	-	-	-	-	177,569
General Expense	-	-	13,320	91,017	-	545,130
Housing Assistance Payments	-	-	-	-	-	9,377,328
Depreciation	-	310	-	-	-	1,585,849
Total Operating Expenses	270,767	15,571	22,729	1,294,968	(1,057,017)	16,627,224
Operating Income (Loss)	148,932	34,668	22,871	(173,391)	-	(2,505,321)
<u>Non-Operating Revenue (Expenses)</u>						
Investment Income	-	-	55	11,610	-	269,720
Interest Expense on Capital Debt	-	-	(11,027)	-	-	(53,664)
Bad Debts - Notes and Mortgages Receivable	-	-	-	-	-	(830,139)
Loss on Disposition of Capital Assets	-	-	-	-	-	(48,007)
Total Non-Operating Rev/(Exp)	-	-	(10,972)	11,610	-	(662,090)
Increase (decrease) before Capital Contributions and Transfers	148,932	34,668	11,899	(161,781)	-	(3,167,411)
Capital Contributions	1,738,388	-	-	-	-	1,738,388
Operating Transfers In (Out)	(148,932)	-	-	-	-	-
Increase (Decrease) in Net Assets	1,738,388	34,668	11,899	(161,781)	-	(1,429,023)
Net Assets, Beginning	5,132,911	19,972	137,607	737,312	-	42,419,296
Net Asset Transfers	(4,907,718)	-	-	230,779	-	-
Net Assets, Ending	\$ 1,963,581	\$ 54,640	\$ 149,506	\$ 806,310	\$ -	\$ 40,990,273

**SPRINGFIELD HOUSING AUTHORITY  
STATEMENT AND CERTIFICATION OF PROGRAM COSTS - CAPITAL FUND PROGRAM  
FOR THE YEAR ENDED DECEMBER 31, 2008**

	<b>Public Housing Capital Fund Program IL06P00450103</b>	<b>Public Housing Capital Fund Program IL06P00450203</b>	<b>Public Housing Capital Fund Program IL06P00450104</b>
Funds Approved	\$ 1,281,684	\$ 406,641	\$ 1,499,802
Funds Expended	1,281,684	406,641	1,499,802
Excess of Funds Approved	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

Funds Advanced	\$ 1,281,684	\$ 406,641	\$ 1,499,802
Funds Expended	1,281,684	406,641	1,499,802
Excess of Funds Advanced	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

	<b>Public Housing Capital Fund Program IL06R00450101</b>	<b>Public Housing Capital Fund Program IL06R00450102</b>
Funds Approved	\$ 754,611	\$ 717,239
Funds Expended	754,611	2,125
Excess of Funds Approved	<u>\$ -</u>	<u>\$ 715,114</u>

Funds Advanced	\$ 754,611	\$ 2,125
Funds Expended	754,611	2,125
Excess of Funds Advanced	<u>\$ -</u>	<u>\$ -</u>

1. The distribution of costs as shown on the Actual Modernization Cost Certificates submitted to HUD for approval are in agreement with the Housing Authority's records.
2. All Modernization costs have been paid and all related liabilities have been discharged through payment.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SUMMARY SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

YEAR ENDED DECEMBER 31, 2008

EXPENDITURES

Supportive Housing for Persons with Disabilities Total CFDA Number 14.181	<u>\$ 344,991</u>
Section 8 New Construction Program Total CFDA Number 14.182	<u>417,319</u>
Shelter Plus Care Total CFDA Number 14.238	<u>6,573</u>
Public Housing Program Total CFDA Number 14.850a	<u>2,759,108</u>
Resident Opportunity and Supportive Services Total CFDA Number 14.870	<u>22,429</u>
Section 8 Housing Choice Vouchers Total CFDA Number 14.871	<u>8,343,314</u>
Public Housing Capital Fund Program Total CFDA Number 14.872	<u>2,158,087</u>
Total HUD Expenditures	<u><u>\$ 14,051,821</u></u>

Accounting Policies:

The information on this schedule is prepared in accordance with accounting principles as described in the footnotes to this report.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

DECEMBER 31, 2008

**Section I: Summary of Auditor's Results:**

**FINANCIAL STATEMENTS**

Type of auditor's report issued:	Unqualified	
Internal Control over financial reporting:		
Are material weaknesses identified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Are significant deficiencies that are not considered to be material weaknesses identified?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is noncompliance that could have a material effect on the financial statements identified?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**FEDERAL AWARDS**

Internal control over major programs:		
Are material weaknesses identified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Are significant deficiencies that are not considered to be material weaknesses identified?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Type of report issued on compliance with requirements applicable to each major program:	Unqualified	
Are there any audit findings that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Identification of major programs:

<u>Name of Federal Program</u>	<u>CFDA No.</u>
Public Housing Program	14.850a
Section 8 Housing Choice Vouchers Program	14.871
Public Housing Capital Fund Program	14.872

Dollar threshold used to distinguish between type A and type B programs: \$421,555

Is the auditee identified as a low-risk auditee?  Yes  No

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

DECEMBER 31, 2008

**Section II: Financial Statement Findings:**

**Summary Schedule of Prior Year Findings:**

**Finding 07 - 01 – Procurement Deficiencies**

Public Housing – CFDA No. 14.850a and Public Housing Capital Fund Program – CFDA No. 14.872;  
Grant period – year ended December 31, 2007

Condition and Cause:

The Authority failed to comply with the PHA's procurement policy, CFR 25 and HUD Handbook 7460.8. Prior to awarding the contract, the PHA failed to document that the contractor was not on the debarred list for three of the contracts examined. Two were ultimately located, but were printed out after the contract was already in place. One contract lacked documentation which verified a bid process was performed. Another contract lacked documentation of both the bid process and the contract itself.

Current Year Status

The Authority appears to have corrected the deficiencies which led to this finding. This finding is not restated in the current year.

**Finding 07 - 02 – Tenant File Deficiencies**

Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2007

Condition and Cause:

A review of Section 8 Housing Choice Voucher tenant files revealed the following deficiencies out of 129 files reviewed:

- 1) The Authority could not produce the proper file for one tenant file selected
- 2) 12 files had income calculated improperly, resulting in overpayment of HAP
- 3) 20 files were missing proper third party income verifications
- 4) 3 files had HAP computations which did not agree with the HAP register
- 5) 7 files did not contain up-to-date 50058 reports
- 6) 1 file was missing the Lead Based Paint notice

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

DECEMBER 31, 2008

**Section II: Financial Statement Findings - Continued:**

**Current Year Findings and Questioned Costs:**

**Finding 07 - 02 – Tenant File Deficiencies - Continued**

Current Year Status

The Authority appears not to have corrected the deficiencies which led to this finding. This finding is restated in the current year as Finding 08-02 in Section III of this Schedule.

**Finding 07 - 03 – Deficiencies in the preparation of the year end Financial Data Schedule to HUD/REAC**

Public Housing Program – CFDA No. 14.850a; Grant period – year ended December 31, 2007

Condition and Cause:

The Financial Data Schedule for the year ended December 31, 2007 was not submitted until late August, 2008, almost six months after the due date.

Current Year Status

The Authority did submit its Financial Data Schedule to REAC by the HUD imposed deadline. However, the submission contained inaccuracies which were material to the Public Housing and Capital Fund federal programs. This finding is restated in the current year as Finding 08-01.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

DECEMBER 31, 2008

**Section II: Financial Statement Findings - Continued:**

**Current Year Findings and Questioned Costs:**

**Finding 08 - 01 – Inadequate Accounting Control over Financial Reporting**

Public Housing – CFDA No. 14.850a, Capital Fund Program – CFDA No. 14.872 and Central Office Cost Center; Grant period – year ended December 31, 2008

Criteria:

Financial statements should be prepared in accordance with generally accepted accounting principles (GAAP). Periodic reviews should be performed to ensure all transactions relating to the fiscal year have been recorded in the correct account and entered in the correct amount.

Condition:

A review of the accounting records revealed an overstatement of total entity capital assets in the amount of \$1,080,548 reported on the Authority's Financial Data Schedule submission. Capital assets reported in the Public Housing program, Capital Fund Program, and the Central Office Cost Center contributed to the total entity overstatement.

Cause:

The Authority failed to enter adjustments resulting from their year ended December 31, 2007 independent audit, resulting in over-stated beginning capital asset balances. The Authority capitalized current year operating expenses resulting in over-stated ending capital asset balances.

Recommendation:

We recommend the execution of established financial internal control procedures to ensure significant general ledger accounts are reconciled to accounting records such as subsidiary ledgers, to assure Authority assets and financial operations are accounted-for and reported in accordance with generally accepted accounting principles. A review of significant general ledger accounts should be performed periodically to assure reasonableness and accuracy.

Reply and Corrective Action Plan:

Michelle Sergent, Director of Finance, has assumed responsibility of strengthening controls over financial reporting and expects this situation to be resolved in the current fiscal year.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS

DECEMBER 31, 2008

**Section III: Federal Awards Findings & Questioned Costs:**

**Summary Schedule of Prior Year Findings:**

**Finding 07 - 01 – Procurement Deficiencies**

See Finding 07 – 01 under Section II of this Schedule

**Finding 07 - 02 – Tenant File Deficiencies**

See Finding 07 – 02 under Section II of this Schedule

**Finding 07 - 03 – Deficiencies in the preparation of the year end Financial Data Schedule to HUD/REAC**

See Finding 07 – 03 under Section II of this Schedule

**Current Year Findings and Questioned Costs:**

**Finding 08 - 01 – Inadequate Accounting Control over Financial Reporting**

See Finding 08 – 01 under Section II of this Schedule

**Finding 08 - 02 – Section 8 Housing Choice Voucher Tenant File Deficiencies**

**Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2008**

**Criteria:**

The Code of Federal Regulations and HUD guidelines give the requirements for maintaining tenant files for the Section 8 Housing Choice Voucher program. Also, Housing Authority policy and procedures dictate full compliance with these regulations as well as guidelines to be followed in maintaining these files.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS  
CONTINUED

DECEMBER 31, 2008

**Section III: Federal Awards Findings & Questioned Costs - Continued:**

**Current Year Findings and Questioned Costs - Continued:**

**Finding 08 - 02 – Section 8 Housing Choice Voucher Tenant File Deficiencies - Continued**

Condition:

The Authority failed to meet HUD regulations for maintenance of Housing Choice Voucher program tenant files. The following deficiencies were noted during a review of 42 files:

- 1) 4 of the requested files were provided for audit 2 weeks after initial request
- 2) 1 of the requested files was not provided for audit
- 3) 3 files did not contain an applicable HUD 50058 report
- 4) 7 files did not contain an Earned Income Verification
- 5) 3 files did not contain a Social Security Card for applicable family members
- 6) 2 files did not contain a birth certificate for each family member
- 7) 1 file did not contain a HAP contract
- 8) 5 files did not contain the required community service documentation
- 9) 1 file did not contain a lead-based paint warning

Cause:

This deficiency was caused by a failure to execute adequate internal control within the Authority's operating department.

Questioned Costs – None identified

Recommendation:

We recommend the Authority conduct periodic quality control reviews of their Section 8 Housing Choice Voucher program tenant files to assure compliance with federal guidelines and Authority policy.

Reply and Corrective Action Plan:

Jackie Newman, Executive Director, has assumed responsibility for implementing the Corrective Action Plan by the end of the current year.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS  
CONTINUED

DECEMBER 31, 2008

**Section III: Federal Awards Findings & Questioned Costs - Continued:**

**Current Year Findings and Questioned Costs - Continued:**

**Finding 08 - 03 – Office of Inspector General (OIG) Audit Report**

Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2008

Criteria:

The Code of Federal Regulations and HUD guidelines give the requirements for calculating HAP and Utility Allowance Payments, and for verifying household income for the Section 8 Housing Choice Voucher Program. Also, Housing Authority policy and procedures dictate full compliance with these regulations as well as guidelines to be followed in the administration of federal assistance to households and examining eligibility.

Condition & Cause

The Office of Inspector General conducted an audit of the Authority's Section 8 Housing Choice Voucher Program for the period of January 2007 through August 2008. The OIG's report issued April 28, 2009, contained the following findings:

- 1) Controls over Housing Assistance and Utility Allowance Payments were inadequate
- 2) The Authority's zero-income households had unreported income

Questioned Costs

The OIG's report identified approximately \$57,000 in HAP and Utility Allowance overpayments, \$21,000 in unsupported payments, \$22,000 in underpayments, and \$19,000 improperly received program administrative fees.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS  
AND QUESTIONED COSTS  
CONTINUED

DECEMBER 31, 2008

**Section III: Federal Awards Findings & Questioned Costs - Continued:**

**Summary Schedule of Current Year Findings – Continued:**

**Finding 08 - 03 – Office of Inspector General (OIG) Audit Report - Continued**

Recommendation

The OIG made the following recommendations relative to Finding #1:

- a) Pursue collections or reimburse its program from non-federal funds for the overpayments of housing assistance payments
- b) Reimburse its program from non-federal funds for improper administrative fees received from HUD related to households for which HAP were calculated incorrectly
- c) Ensure that its staff responsible for performing quality control reviews are knowledgeable of HUD's policies and procedures
- d) Implement a system of supervisory reviews within its quality control process and implement adequate procedures for obtaining and documenting approval for adjustment to its housing assistance payments
- e) Implement adequate procedures and controls to ensure that repayment agreements are created to recover overpaid housing assistance when unreported income is discovered during the examination process

The OIG made the following recommendations relative to Finding #2:

- a) Pursue collections or reimburse its program from non-federal funds for the overpayments of housing assistance payments
- b) Implement quality control procedures to include supervisory reviews of the household files with reported zero income
- c) Review the remaining households claiming zero income to determine whether the households had unreported income

We recommend the Authority implement the OIG's recommendations and to continue to work with HUD to clear these findings and strengthen controls over operations in their Section 8 Housing Choice Voucher program.

Reply & Corrective Action Plan

The Authority has entered into a Memorandum of Agreement with HUD in response to these findings. Jackie Newman, Executive Director, has assumed responsibility for strengthening controls over program operations by the end of the current year.

**SPRINGFIELD HOUSING AUTHORITY  
2010 PHA PLAN  
ADDENDUM**

**Corrective Action for FYE 12/31/08 Audit Findings**

**Finding 08-01 – Inadequate Accounting Control over Financial Reporting**

**“The Authority failed to enter adjustments resulting from their year ended December 31, 2007 independent audit, resulting in over-stated beginning capital assets balances. The Authority capitalized current year operating expenses resulting in over-stated ending capital asset balances.”**

**Recommendation**

**“We recommend the execution of established financial internal control procedures to ensure significant general ledger accounts are reconciled to accounting records such as subsidiary ledgers, to assure Authority assets and financial operations are accounted-for and reported in accordance with generally accepted accounting principles. A review of significant general ledger accounts should be performed periodically to assure reasonableness and accuracy.”**

**SHA Corrective Action / Response**

**Policies and procedures have been approved by the Board of Commissioners to ensure the appropriate procedures are in place to reconcile accounting records. Additionally, the Director of Finance conducts quality control reviews monthly to ensure reasonableness and accuracy within the financial statements and ledgers. The 2008 audit entries have been booked in December 2009 so as not to incur this same finding upon completion of the FYE 12/31/09 independent audit.**

**Finding 08-02 – Section 8 Housing Choice Voucher Tenant File Deficiencies**

**“This deficiency was caused by a failure to execute adequate internal control within the Authority’s operating department.”**

**Recommendation**

**“We recommend the Authority conduct periodic quality control reviews of their Section 8 Housing Choice Voucher program tenant files to assure compliance with federal guidelines and Authority policy.”**

## **SHA Corrective Action / Response**

**While there has been significant turnover in the Section 8 Division, the Springfield Housing Authority has provided internal and external training to existing staff to ensure that HUD rules and regulations are followed accordingly. The Director of Housing Operations and Section 8 Manager are conducting file audits on 100% of participant files to ensure that files are in good order and all calculations are being done accurately.**

## **Finding 08-03 – Office of Inspector General (OIG) Audit Report**

**“The Office of the Inspector General conducted an audit of the Authority’s Section 8 Housing Choice Voucher Program for the period of January 2007 through August 2008. The OIG’s report issued April 28, 2009, contained the following findings:**

- 1) Controls over Housing Assistance and Utility Allowance payments were inadequate;**
- 2) The Authority’s zero-income households had unreported income.”**

## **Recommendation**

**“We recommend the Authority implement the OIG’s recommendations and to continue to work with HUD to clear these findings and strengthen controls over operations in their Section 8 Housing Choice Voucher program.”**

## **SHA Corrective Action / Response**

**The SHA has entered into a Repayment Agreement with HUD in response to these findings. The SHA continues to work with HUD to clear the findings as evidenced by the most recent correspondence dated January 8, 2010 detailing supporting documentation of SHA’s efforts to clear said findings.**

**Further, as it relates to zero income reviews, in January 2009, the SHA implemented quarterly zero-income briefings for all families in the Voucher program reporting zero income.**

**Finally, as stated previously, while there has been significant turnover in the Section 8 Division, the Springfield Housing Authority has provided internal and external training to existing staff to ensure that HUD rules and regulations are followed accordingly. The Director of Housing Operations and Section 8 Manager are conducting file audits on 100% of participant files to ensure that files are in good order and all calculations are being done accurately.**