

| | |
|------|--|
| 9.0 | <p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> |
| 9.1 | <p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> |
| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> |
| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> |

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.

a. HOPE VI or Mixed Finance Modernization or Development.

Springfield Housing Authority has submitted a Mixed-Finance Development Plan to HUD Washington, to build 41 units, known as Genesis Place, to replace the units that were demolished in 2006 with the demolition of the Major Byrd Hi-Rise. HUD Washington is reviewing this plan and Springfield Housing Authority is hoping to start building Genesis Place before the end of 2009.

b. Demolition and/or Disposition

Springfield Housing Authority is in the process of having Hurst Rosche Engineers review the options of renovating or demolishing the Sankey Hi-Rise Towers. If this recommendation comes back as a demolition, SHA will be submitting a demolition plan to SAC within the next year. Sankey Hi-Rise Towers include 216 units which are all one bedroom units, designated as near elderly. The timeline for this project is to be within the next 5 – 7 years, to demolish and rebuild units on the Sankey Hi-Rise land.

c. Conversion of Public Housing

d. Homeownership

Springfield Housing Authority has 5 homeownership homes that are in the Madison Park Place and North Park Place. Included in the 41 units that will be built at Genesis Place, there will be 5 units that will be ACC rental units and SHA will apply for homeownership status of the units through an approved Section 32 Homeownership program.

e. Project-based Vouchers

Springfield Housing Authority has 92 Project Based Vouchers through out the authority. There will be 5 Project Based Vouchers added to the count once the Genesis Place units are constructed in 2010.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2005 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| 3 | 1408 Management Improvements | 290,828.00 | 290,828.00 | 290,828.00 | 290,828.00 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 | |
| 7 | 1430 Fees and Costs | 45,000.00 | 45,000.00 | 45,000.00 | 45,000.00 | |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 | |
| 9 | 1450 Site Improvement | 22,000.00 | 24,276.50 | 24,276.50 | 24,276.50 | |
| 10 | 1460 Dwelling Structures | 637,786.00 | 603,043.12 | 603,043.12 | 603,043.12 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 8,000.00 | 12,521.00 | 12,521.00 | 12,521.00 | |
| 12 | 1470 Non-dwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 | |
| 13 | 1475 Non-dwelling Equipment | 7,200.00 | 35,145.38 | 35,145.38 | 35,145.38 | |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 | |
| 15 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 | |
| 16 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 | |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 150,000.00 | 150,000.00 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

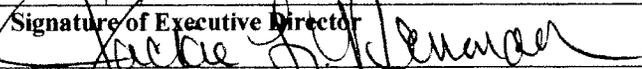
| | |
|---|--|
| Part I: Summary | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 Replacement Housing Factor Grant No: Date of CFFP: |
| FFY of Grant:2005 FFY of Grant Approval: | |

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-2009
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|--------------|
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,454,142.00 | 1,454,142.00 | 1,454,142.00 | 1,454,142.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

| | | | |
|--|------------------|--------------------------------------|------|
| Signature of Executive Director  | Date 10-14-09 | Signature of Public Housing Director | Date |
|--|------------------|--------------------------------------|------|

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2005 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| | TOTAL | 1406 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| | Marketing | 1408 | | 12,800.00 | 12,800.00 | 12,800.00 | 12,800.00 | |
| | Staff Training/Travel | 1408 | | 46,238.07 | 46,238.07 | 46,238.07 | 46,238.07 | |
| | Maintenance Agreements | 1408 | | 7,200.00 | 7,200.00 | 7,200.00 | 7,200.00 | |
| | Upgrade Computer Systems | 1408 | | 13,761.93 | 13,761.93 | 13,761.93 | 13,761.93 | |
| | Security Salaries/Benefits | 1408 | | 210,828.00 | 210,828.00 | 210,828.00 | 210,828.00 | |
| | TOTAL | 1408 | | 290,828.00 | 290,828.00 | 290,828.00 | 290,828.00 | |
| PHA Wide | FM&C Salaries/Benefits | 1410 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| | TOTAL | 1410 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| PHA Wide | Audit | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| | TOTAL | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| PHA Wide | Fees & Costs | 1430 | | 42,950.00 | 42,950.00 | 42,950.00 | 42,950.00 | |
| | Asbestos Study | 1430 | | 2,050.00 | 2,050.00 | 2,050.00 | 2,050.00 | |
| | TOTAL | 1430 | | 45,000.00 | 45,000.00 | 45,000.00 | 45,000.00 | |
| PHA Wide | Cycle Painting | 1460 | | 55,000.00 | 55,000.00 | 55,000.00 | 55,000.00 | |
| | Lobby/Conference Room | 1460 | | 0.00 | 8,040.93 | 8,040.93 | 8,040.93 | |
| | Vacant Unit Painting | 1460 | | 0.00 | 17,872.40 | 17,872.40 | 17,872.40 | |
| | TOTAL | 1460 | | 55,000.00 | 80,913.33 | 80,913.33 | 80,913.33 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|-----------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-05 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2005 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Appliances | 1465 | | 8,000.00 | 12,521.00 | 12,521.00 | 12,521.00 | |
| | TOTAL | 1465 | | 8,000.00 | 12,521.00 | 12,521.00 | 12,521.00 | |
| PHA Wide | Security Improvements | 1475 | | 3,000.00 | 8,375.96 | 8,375.96 | 8,375.96 | |
| | Vehicles | 1475 | | 0.00 | 26,769.42 | 26,769.42 | 26,769.42 | |
| | TOTAL | 1475 | | 3,000.00 | 35,145.38 | 35,145.38 | 35,145.38 | |
| Hi-Rises | A/C Installation Bonansinga | 1460 | | 225,886.47 | 225,886.47 | 225,886.47 | 225,886.47 | |
| | Upgrade Entry System | 1460 | | 15,533.86 | 535.00 | 535.00 | 535.00 | |
| | Floor Tile Hildebrandt | 1460 | | 52,319.00 | 52,319.00 | 52,319.00 | 52,319.00 | |
| | Hallway Painting Hildebrandt | 1460 | | 0.00 | 6,257.00 | 6,257.00 | 6,257.00 | |
| Brandon Drive | Electrical Upgrade | 1460 | | 29,772.35 | 9,023.05 | 9,023.05 | 9,023.05 | |
| Scattered Sites | HVAC Replacements | 1460 | | 188,966.14 | 192,801.14 | 192,801.14 | 192,801.14 | |
| | Furnace Replacements | 1460 | | 35,308.13 | 35,308.13 | 35,308.13 | 35,308.13 | |
| | TOTAL | 1460 | | 547,785.95 | 522,129.79 | 522,129.79 | 522,129.79 | |
| Scattered Sites | Repair/Replace Sidewalks/Drives | 1450 | | 22,000.00 | 24,276.50 | 24,276.50 | 24,276.50 | |
| | TOTAL | 1450 | | 22,000.00 | 24,276.50 | 24,276.50 | 24,276.50 | |
| | Redevelopment Activities | 1499 | | 150,000.00 | 150,000.00 | 150,000.00 | 150,000.00 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | |
|--|--|
| Part I: Summary | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP: |
| FFY of Grant: 2006 FFY of Grant Approval: | |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 6-30-09 Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|------------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 |
| 3 | 1408 Management Improvements | 290,828.00 | 290,828.00 | 290,828.00 | 286,828.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 45,000.00 | 45,000.00 | 45,000.00 | 38,334.84 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 22,000.00 | 22,000.00 | 22,000.00 | 17,379.50 |
| 10 | 1460 Dwelling Structures | 640,484.00 | 640,484.00 | 640,484.00 | 588,418.21 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 6,000.00 | 6,000.00 | 6,000.00 | 6,000.00 |
| 12 | 1470 Non-dwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 |
| 13 | 1475 Non-dwelling Equipment | 7,200.00 | 7,200.00 | 7,200.00 | 5,807.66 |
| 14 | 1485 Demolition | 20,000.00 | 20,000.00 | 20,000.00 | 0.00 |
| 15 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 150,000.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

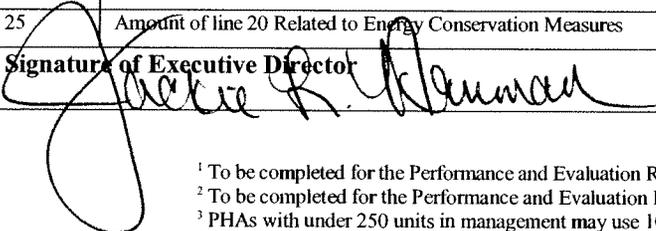
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|--------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2006 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,474,840.00 | 1,474,840.00 | 1,474,840.00 | 1,236,096.21 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director _____ | |
| | | | | Date _____ | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2006 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| | TOTAL 1406 | 1406 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| PHA Wide | Marketing | 1408 | | 15,000.00 | 14,901.22 | 14,901.22 | 14,901.22 | |
| | Preventive Maintenance | 1408 | | 5,000.00 | 5,000.00 | 5,000.00 | 5,000.00 | |
| | Upgrade Computer Systems | 1408 | | 6,000.00 | 6,102.79 | 6,102.79 | 6,102.79 | |
| | Staff Training | 1408 | | 50,000.00 | 49,995.99 | 49,995.99 | 49,995.99 | |
| | Energy Conservation | 1408 | | 4,000.00 | 4,000.00 | 4,000.00 | 0.00 | |
| | Security Salaries/Benefits | 1408 | | 210,828.00 | 210,828.00 | 210,828.00 | 210,828.00 | |
| | TOTAL 1408 | 1408 | | 290,828.00 | 290,828.00 | 290,828.00 | 286,828.00 | |
| PHA Wide | FM&C Salaries | 1410 | | 71,625.90 | 71,625.90 | 71,625.90 | 71,625.90 | |
| | FM&C Benefits | 1410 | | 73,788.10 | 73,788.10 | 73,788.10 | 73,788.10 | |
| | TOTAL 1410 | 1410 | | 145,414.00 | 145,414.00 | 145,414.00 | 145,414.00 | |
| PHA Wide | Audit | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| | TOTAL 1411 | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| PHA Wide | Fees and Costs | 1430 | | 40,000.00 | 40,000.00 | 40,000.00 | 38,334.84 | |
| | Asbestos Study | 1430 | | 5,000.00 | 5,000.00 | 5,000.00 | 0.00 | |
| PHA Wide | Re Key of Buildings | 1460 | | 42,322.00 | 42,322.00 | 42,322.00 | 37,717.36 | |
| | Cycle Painting | 1460 | | 17,909.00 | 17,909.00 | 17,909.00 | 17,909.00 | |
| | Window Washing | 1460 | | 2,821.00 | 2,821.00 | 2,821.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2006 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide (Cont.) | Power Washing | 1460 | | 4,270.00 | 4,270.00 | 4,270.00 | 4,270.00 | |
| | Total 1460 | 1460 | | 67,322.00 | 67,322.00 | 67,322.00 | 59,896.36 | |
| AMP 3 | Demolition | 1485 | | 20,000.00 | 20,000.00 | 20,000.00 | 0.00 | |
| | TOTAL 1485 | 1485 | | 20,000.00 | 20,000.00 | 20,000.00 | 0.00 | |
| PHA Wide | Appliances | 1465 | | 6,000.00 | 6,000.00 | 6,000.00 | 6,000.00 | |
| | TOTAL 1465 | 1465 | | 6,000.00 | 6,000.00 | 6,000.00 | 6,000.00 | |
| AMP 1 | Landscape/Parking Lots | 1450 | | 10,260.00 | 10,260.00 | 10,260.00 | 10,260.00 | |
| | TOTAL 1450 | 1450 | | 10,260.00 | 10,260.00 | 10,260.00 | 10,260.00 | |
| AMP 1 | HVAC Replacements | 1460 | | 66,339.41 | 66,339.41 | 66,339.41 | 64,426.44 | |
| | Roof Replacements | 1460 | | 133,839.41 | 227,468.60 | 227,468.60 | 227,468.60 | |
| | TOTAL 1460 | 1460 | | 200,178.82 | 293,808.01 | 293,808.01 | 291,895.04 | |
| AMP 1 & 2 | Vehicle | 1475 | | 7,200.00 | 7,200.00 | 7,200.00 | 7,200.00 | |
| | TOTAL 1475 | 1475 | | 7,200.00 | 7,200.00 | 7,200.00 | 7,200.00 | |
| AMP 2 | Landscape/Parking Lots | 1450 | | 6,740.00 | 6,740.00 | 6,740.00 | 2,001.00 | |
| | TOTAL 1450 | 1450 | | 6,740.00 | 6,740.00 | 6,740.00 | 2,001.00 | |
| AMP 2 | HVAC Replacements | 1460 | | 57,741.59 | 48,291.59 | 48,291.59 | 25,676.75 | |
| | Roof Replacements | 1460 | | 115,241.59 | 31,062.40 | 31,062.40 | 31,062.40 | |
| | TOTAL 1460 | 1460 | | 172,983.18 | 79,353.99 | 79,353.99 | 56,739.15 | |
| AMP 3 | Landscape/Parking Lots | 1450 | | 5,000.00 | 5,000.00 | 5,000.00 | 5,000.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

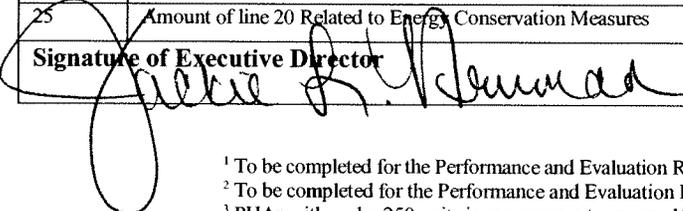
| Part I: Summary | | | | | | |
|--|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2007 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| 3 | 1408 Management Improvements | 316,206.20 | 316,206.20 | 300,057.65 | 254,848.60 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| 5 | 1411 Audit | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 | |
| 7 | 1430 Fees and Costs | 45,000.00 | 45,000.00 | 44,950.00 | 5,570.72 | |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 | |
| 9 | 1450 Site Improvement | 19,200.00 | 9,216.00 | 9,216.00 | 4,233.00 | |
| 10 | 1460 Dwelling Structures | 706,918.60 | 706,918.60 | 544,927.77 | 376,068.05 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 5,000.00 | 5,000.00 | 5,000.00 | 5,000.00 | |
| 12 | 1470 Non-dwelling Structures | | | | | |
| 13 | 1475 Non-dwelling Equipment | 20,000.00 | 20,000.00 | 20,000.00 | 20,000.00 | |
| 14 | 1485 Demolition | | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | | | | | |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 150,000.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2007 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,581,031.00 | 1,581,031.00 | 1,289,829.00 | 994,627.39 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2007 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| | TOTAL 1406 | 1406 | | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| PHA Wide | Marketing | 1408 | | 30,000.00 | 30,000.00 | 14,332.55 | 11,206.59 | |
| | Staff Training/Travel | 1408 | | 50,000.00 | 50,000.00 | 50,000.00 | 50,000.00 | |
| | Preventive Maintenance | 1408 | | 136,206.20 | 126,206.20 | 125,725.10 | 83,642.01 | |
| | Upgrade Computer Systems | 1408 | | 100,000.00 | 110,000.00 | 110,000.00 | 110,000.00 | |
| | TOTAL 1408 | 1408 | | 316,206.20 | 316,206.20 | 300,057.65 | 254,848.60 | |
| PHA Wide | FM&C Salaries | 1410 | | 79,051.55 | 79,051.55 | 79,051.55 | 79,051.55 | |
| | FM&C Salaries | 1410 | | 79,051.55 | 79,051.55 | 79,051.55 | 79,051.55 | |
| | TOTAL | 1410 | | 158,103.10 | 158,103.10 | 158,103.10 | 158,103.10 | |
| PHA Wide | Audit | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| | TOTAL 1411 | 1411 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| PHA Wide | Fees and Costs | 1430 | | 40,000.00 | 40,000.00 | 40,000.00 | 620.72 | |
| | Asbestos Study | 1430 | | 5,000.00 | 5,000.00 | 4,950.00 | 4,950.00 | |
| | TOTAL 1430 | 1430 | | 45,000.00 | 45,000.00 | 44,950.00 | 5,570.72 | |
| AMP 1 | Reseal parking Lots | 1450 | | 6,144.00 | 6,144.00 | 6,144.00 | 4,233.00 | |
| | TOTAL 1450 | 1450 | | 6,144.00 | 6,144.00 | 6,144.00 | 4,233.00 | |
| AMP 1 | Replace Water Heataers | 1460 | | 12,249.40 | 12,249.40 | 12,249.40 | 12,249.40 | |
| | Interior/Exterior Outlets | 1460 | | 2,000.00 | 2,000.00 | 2,000.00 | 2,000.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2007 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 Continued | Carbon Monoxide Detectors | 1460 | | 10,272.00 | 10,272.00 | 9,923.85 | 9,923.85 | |
| | HVAC Replacement | 1460 | | 50,000.00 | 50,000.00 | 50,000.00 | 50,000.00 | |
| | Siding/Soffits | 1460 | | 87,500.00 | 87,500.00 | 80,060.00 | 8,246.02 | |
| | Cycle Painting | 1460 | | 16,000.00 | 16,000.00 | 14,132.77 | 14,132.77 | |
| | TOTAL 1460 | 1460 | | 178,021.40 | 178,021.40 | 168,366.02 | 88,306.02 | |
| AMP 1 | Appliances | 1465 | | 1,600.00 | 1,600.00 | 1,600.00 | 1,600.00 | |
| | TOTAL 1465 | 1465 | | 1,600.00 | 1,600.00 | 1,600.00 | 1,600.00 | |
| AMP 2 | Reseal Parking Lots | 1450 | | 3,072.00 | 3,072.00 | 3,072.00 | 0.00 | |
| | TOTAL 1450 | 1450 | | 3,072.00 | 3,072.00 | 3,072.00 | 0.00 | |
| AMP 2 | Replace Water Heaters | 1460 | | 12,249.40 | 12,249.40 | 8,530.41 | 0.00 | |
| | HVAC Replacements | 1460 | | 50,000.00 | 50,000.00 | 0.00 | 0.00 | |
| | Interior Exterior Outlets | 1460 | | 2,000.00 | 2,000.00 | 0.00 | 0.00 | |
| | Carbon Monoxide Detectors | 1460 | | 6,488.00 | 6,488.00 | 5,871.78 | 5,871.78 | |
| | Siding/Soffits | 1460 | | 87,500.00 | 87,500.00 | 34,940.00 | 0.00 | |
| | Cycle Painting | 1460 | | 8,000.00 | 8,000.00 | 5,751.97 | 5,751.97 | |
| | TOTAL 1460 | 1460 | | 166,237.40 | 166,237.40 | 55,094.16 | 11,623.75 | |
| AMP 2 | Appliances | 1465 | | 800.00 | 800.00 | 800.00 | 800.00 | |
| | TOTAL 1465 | 1465 | | 800.00 | 800.00 | 800.00 | 800.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2007 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 3 | Reseal Parking Lots | 1450 | | 9,984.00 | 9,984.00 | 0.00 | 0.00 | |
| | TOTAL 1450 | 1450 | | 9,984.00 | 9,984.00 | 0.00 | 0.00 | |
| AMP 3 | Replace drain pipes in showers | 1460 | | 30,552.00 | 30,552.00 | 13,599.25 | 13,599.25 | |
| | Replace Risers/Shut off Valves | 1460 | | 10,774.56 | 10,774.56 | 5,163.00 | 5,163.00 | |
| | HVAC Install-Bonansinga | 1460 | | 263,709.24 | 263,709.24 | 263,709.24 | 218,379.93 | |
| | Carbon Monoxide Detectors | 1460 | | 29,732.00 | 29,732.00 | 23,402.93 | 23,402.93 | |
| | Trash Chute Door Bonansinga | 1460 | | 960.00 | 960.00 | 960.00 | 960.00 | |
| | Cycle Painting | 1460 | | 25,040.00 | 25,040.00 | 12,741.17 | 12,741.17 | |
| | TOTAL 1460 | 1460 | | 360,767.80 | 360,767.80 | 319,575.59 | 274,246.28 | |
| AMP 3 | Appliances | 1465 | | 2,600.00 | 2,600.00 | 0.00 | 0.00 | |
| | TOTAL 1465 | 1465 | | 2,600.00 | 2,600.00 | 0.00 | 0.00 | |
| AMP 4 | Carbon Monoxide Detectors | 1460 | | 1,172.00 | 1,172.00 | 1,172.00 | 1,172.00 | |
| | TOTAL 1460 | 1460 | | 1,172.00 | 1,172.00 | 1,172.00 | 1,172.00 | |
| AMP 6 | Carbon Monoxide Detectors | 1460 | | 720.00 | 720.00 | 720.00 | 720.00 | |
| | TOTAL 1460 | 1460 | | 720.00 | 720.00 | 720.00 | 720.00 | |
| PHA Wide | Vehicle Replacement | 1475 | | 20,000.00 | 20,000.00 | 20,000.00 | 20,000.00 | |
| | TOTAL 1475 | 1475 | | 20,000.00 | 20,000.00 | 20,000.00 | 20,000.00 | |
| PHA Wide | Development Activity | 1499 | | 150,000.00 | 150,000.00 | 0.00 | 0.00 | |
| | TOTAL 1499 | 1499 | | 150,000.00 | 150,000.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|--------------------------------|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2008 FFY of Grant Approval: |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 148,932.00 | 0.00 | 148,932.00 | 148,932.00 |
| 3 | 1408 Management Improvements | 30,000.00 | 0.00 | 21,120.24 | 21,120.24 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 121,184.11 | 0.00 | 121,184.11 | 121,184.11 |
| 5 | 1411 Audit | 2,500.00 | 0.00 | 1,500.00 | 1,500.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 55,000.00 | 0.00 | 5,510.68 | 5,510.68 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 85,000.00 | 0.00 | 18,821.22 | 18,821.22 |
| 10 | 1460 Dwelling Structures | 726,709.89 | 0.00 | 90,867.50 | 90,867.50 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | 30,000.00 | 0.00 | 3,574.00 | 3,574.00 |
| 13 | 1475 Non-dwelling Equipment | 40,000.00 | 0.00 | 12,680.46 | 12,680.46 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | 0.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

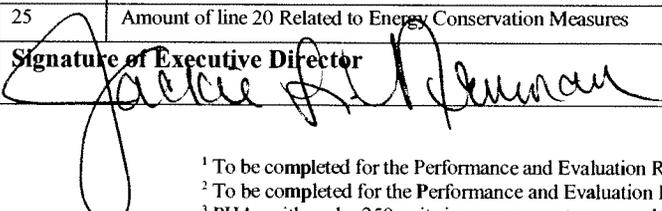
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|---|------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2008 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0.00 | 0.00 | 0.00 | 0.00 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 100,000.00 | 0.00 | 0.00 | 0.00 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,489,326.00 | 0.00 | 424,190.21 | 424,190.21 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2008 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 148,932.00 | | 148,932.00 | 148,932.00 | |
| | TOTAL 1406 | 1406 | | 148,932.00 | | 148,932.00 | 148,932.00 | |
| PHA Wide | Marketing | 1408 | | 10,000.00 | 10,000.00 | 1,120.24 | 1,120.24 | |
| | Preventive Maintenance | 1408 | | 10,000.00 | 0.00 | 0.00 | 0.00 | |
| | Upgrade Computer Systems | 1408 | | 10,000.00 | 20,000.00 | 20,000.00 | 20,000.00 | |
| | TOTAL 1408 | 1408 | | 30,000.00 | 30,000.00 | 21,120.24 | 21,120.24 | |
| PHA Wide | FM&C Salaries | 1410 | | 70,000.00 | | 70,000.00 | 70,000.00 | |
| | FM&C Benefits | 1410 | | 51,184.11 | | 51,184.11 | 51,184.11 | |
| | TOTAL 1410 | 1410 | | 121,184.11 | | 121,184.11 | 121,184.11 | |
| PHA Wide | Audit | 1411 | | 2,500.00 | | 1,500.00 | 1,500.00 | |
| | TOTAL 1411 | 1411 | | 2,500.00 | | 1,500.00 | 1,500.00 | |
| PHA Wide | Fees and Costs | 1430 | | 40,000.00 | | 560.68 | 560.68 | |
| | Asbestos Study | 1430 | | 15,000.00 | | 4,950.00 | 4,950.00 | |
| | TOTAL 1430 | 1430 | | 55,000.00 | | 5,510.68 | 5,510.68 | |
| PHA Wide | Computer Hardware | 1475 | | 20,000.00 | | 0.00 | 0.00 | |
| | Non Passenger Vehicle | 1475 | | 20,000.00 | | 12,680.46 | 12,680.46 | |
| | TOTAL 1475 | 1475 | | 40,000.00 | | 12,680.46 | 12,680.46 | |
| | | | | | | | | |
| | | | | | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|--|----------|----------------------|-----------------------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450108 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2008 | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 | Landscape | 1450 | | 10,000.00 | | 8,835.00 | 8,835.00 | |
| | TOTAL 1450 | 1450 | | 10,000.00 | | 8,835.00 | 8,835.00 | |
| AMP 1 | Smoke Detectors | 1460 | | 36,600.00 | | 9,550.00 | 9,550.00 | |
| | Roof Replacements | 1460 | | 160,000.00 | | 72,991.30 | 72,991.30 | |
| | TOTAL 1460 | 1460 | | 196,600.00 | | 82,541.30 | 82,541.30 | |
| AMP 2 | Sidewalks/Landscape | 1450 | | 25,000.00 | | 4,005.00 | 4,005.00 | |
| | TOTAL 1450 | 1450 | | 25,000.00 | | 4,005.00 | 4,005.00 | |
| AMP 2 | Smoke Detectors | 1460 | | 9,000.00 | | 4,431.20 | 4,431.20 | |
| | Siding/Stanton Ave/ Seven Pines | 1460 | | 100,000.00 | | 0.00 | 0.00 | |
| | TOTAL 1460 | 1460 | | 109,000.00 | | 4,431.20 | 4,431.20 | |
| AMP 3 | Landscape/Parking Lots | 1450 | | 50,000.00 | | 5,981.22 | 5,981.22 | |
| | TOTAL 1450 | 1450 | | 50,000.00 | | 5,981.22 | 5,981.22 | |
| AMP 3 | Smoke Detectors | 1460 | | 45,000.00 | | 0.00 | 0.00 | |
| | HVAC/Bonansinga | 1460 | | 356,109.89 | | 3,895.00 | 3,895.00 | |
| | Plumbing Lines | 1460 | | 20,000.00 | | 0.00 | | |
| | TOTAL 1460 | 1460 | | 421,109.89 | | 3,895.00 | 3,895.00 | |
| AMP 3 | Exit Signage All Hi Rises | 1470 | | 30,000.00 | | 3,574.00 | 3,574.00 | |
| | TOTAL 1470 | 1470 | | 30,000.00 | | 3,574.00 | 3,574.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|--------------------------------|---|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2009 ARRA FFY of Grant Approval: |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 200,000.00 | 200,000.00 | 200,000.00 | 0.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 130,000.00 | 130,000.00 | 77,705.00 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 38,000.00 | 30,000.00 | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 1,272,707.00 | 1,272,707.00 | 659,789.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 80,000.00 | 88,000.00 | 0.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 300,000.00 | 300,000.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

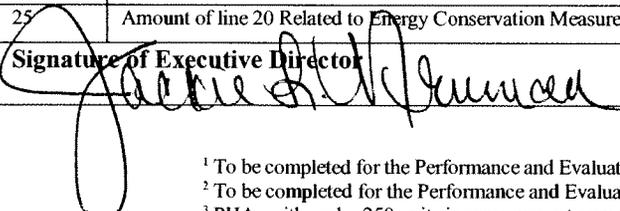
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|---|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2009 ARA FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 2,020,707.00 | 2,020,707.00 | 937,494.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director _____ | |
| | | | | Date _____ | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|--|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): NO Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2009 ARRA | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Administration | 1410 | | 200,000.00 | 200,000.00 | 200,000.00 | 0.00 | |
| | Physical Needs Assessment | 1430 | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| AMP 1 | Electrical Upgrade-Brandon | 1460 | | 230,000.00 | 230,000.00 | 49,661.23 | 0.00 | |
| | Security Screen Doors/Front and Back | 1460 | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| | Flush Valves - All AMP 1 | 1460 | | 12,000.00 | 12,000.00 | 0.00 | 0.00 | |
| | Safe T Elements All AMP 1 | 1460 | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Vinyl Siding Brandon | 1460 | | 310,147.00 | 310,147.00 | 195,540.45 | 0.00 | |
| | Security Equipment Brandon | 1465 | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| AMP 2 | Electrical Upgrades Johnson Park | 1460 | | 68,000.00 | 68,000.00 | 53,900.00 | 0.00 | |
| | HVAC-Johnson Park | 1460 | | 300,000.00 | 300,000.00 | 221,886.96 | 0.00 | |
| | Flush Valves All AMP 2 | 1460 | | 8,000.00 | 8,000.00 | 0.00 | 0.00 | |
| | Safe T Elements All AMP 2 | 1460 | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Parking Lot - Stanton Ave | 1465 | | 8,000.00 | 8,000.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|---|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06S00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2009 ARRA | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 3 | ADA Bathrooms -Hi Rises | 1460 | | 110,000.00 | 110,000.00 | 72,800.00 | 0.00 | |
| | Flush Valves All AMP 3 | 1460 | | 13,020.00 | 13,020.00 | 0.00 | 0.00 | |
| | Safe T Elements All AMP 3 | 1460 | | 26,040.00 | 26,040.00 | 0.00 | 0.00 | |
| | Electrical Upgrade Hilde/Bonansinga | 1460 | | 60,000.00 | 60,000.00 | 270.68 | 0.00 | |
| | Generator for Bonansinga | 1460 | | 50,000.00 | 50,000.00 | 37,185.00 | 0.00 | |
| | Mini Blinds High Rises | 1460 | | 10,500.00 | 10,500.00 | 10,500.00 | 0.00 | |
| | Lock Changes Units Bonansinga | 1460 | | 20,000.00 | 20,000.00 | 18,044.68 | 0.00 | |
| | Upgrade parking Lots Hilde/Bonansinga | 1450 | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | A&E Servies -Sankey | 1430 | | 100,000.00 | 100,000.00 | 77,705.00 | 0.00 | |
| | Security Equipment Hilde/Bonansinga | 1465 | | 50,000.00 | 50,000.00 | 0.00 | 0.00 | |
| Major Byrd Redevelopment | Noise Attenuation Genesis Place | 1499 | | 300,000.00 | 0.00 | 0.00 | 0.00 | |
| | TOTAL | | | 2,020,707.00 | 2,020,707.00 | 937,494.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2009 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 200,000.00 | | | | |
| 3 | 1408 Management Improvements | 75,000.00 | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 147,693.00 | | | | |
| 5 | 1411 Audit | 3,000.00 | | | | |
| 6 | 1415 Liquidated Damages | | | | | |
| 7 | 1430 Fees and Costs | 50,000.00 | | | | |
| 8 | 1440 Site Acquisition | | | | | |
| 9 | 1450 Site Improvement | 20,000.00 | | | | |
| 10 | 1460 Dwelling Structures | 630,237.00 | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 45,000.00 | | | | |
| 12 | 1470 Non-dwelling Structures | 26,000.00 | | | | |
| 13 | 1475 Non-dwelling Equipment | 80,000.00 | | | | |
| 14 | 1485 Demolition | | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | | | | | |
| 17 | 1499 Development Activities ⁴ | 150,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report.

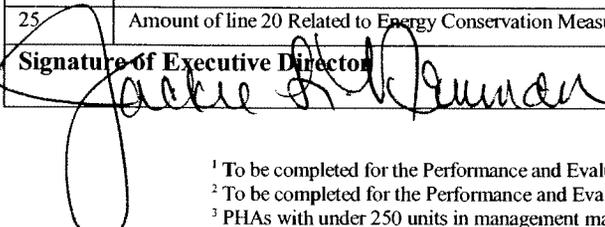
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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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| Part I: Summary | | | | | |
|---|--|---|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2009 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 50,000.00 | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,476,930.00 | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 40,677.00 | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 589,560.00 | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|--|----------|----------------------|----------------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2009 | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 | Appliances | 1465.1 | 37 | 15,000.00 | | | | |
| | Landscape/Parking Areas/Fences | 1450 | | 5,000.00 | | | | |
| | Entry Doors | 1460 | 60 | 30,000.00 | | | | |
| | Roof Replacements | 1460 | 10 | 45,000.00 | | | | |
| | HVAC | 1460 | 40 | 200,000.00 | | | | |
| | Flush Valves | 1460 | 342 | 12,000.00 | | | | |
| | Safe T Elements for Electric Ranges | 1460 | 142 | 10,000.00 | | | | |
| | Installation of Playground Equipment | 1470 | 1 | 18,000.00 | | | | |
| AMP 2 | Appliances | 1465.1 | 37 | 15,000.00 | | | | |
| | Landscape | 1450 | | 5,000.00 | | | | |
| | Roof Replacements | 1460 | 11 | 60,000.00 | | | | |
| | Entry Doors | 1460 | 40 | 20,000.00 | | | | |
| | Safe T Elements for Electric Ranges | 1460 | 114 | 8,000.00 | | | | |
| | Flush Valves | 1460 | 142 | 5,000.00 | | | | |
| | Installation of Playground Equipment | 1470 | 1 | 8,000.00 | | | | |
| | Vehicle for AMP Manager | 1475 | 1 | 20,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P00450109 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2009 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 3 | Landscape/Parking Areas | 1450 | | 5,000.00 | | | | |
| | Outdoor Furniture | 1450 | | 5,000.00 | | | | |
| | HVAC | 1460 | | 150,000.00 | | | | |
| | Section 504 Compliance | 1460 | | 40,677.00 | | | | |
| | Safe T Elements for Electric Ranges | 1460 | 372 | 26,040.00 | | | | |
| | Flush Valves | 1460 | 372 | 13,020.00 | | | | |
| | Window Blinds | 1460 | 12,000 | 10,500.00 | | | | |
| | Appliances | 1465.1 | 15 | 15,000.00 | | | | |
| | Vehicle for AMP Manager | 1475 | 1 | 20,000.00 | | | | |
| PHA WIDE | 4 x 4 Snow Removal Vehicle | 1475 | 1 | 40,000.00 | | | | |
| | Operations | 1406 | | 200,000.00 | | | | |
| | Staff Training | 1408 | | 25,000.00 | | | | |
| | Marketing | 1408 | | 10,000.00 | | | | |
| | Preventive Maintenance | 1408 | | 15,000.00 | | | | |
| | Computer Improvements | 1408 | | 25,000.00 | | | | |
| | Administration | 1410 | | 147,693.00 | | | | |
| | Audit | 1411 | | 3,000.00 | | | | |
| | Fees and Costs | 1430 | | 25,000.00 | | | | |
| | Asbestos | 1430 | | 25,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2010 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 200,000.00 | | | | |
| 3 | 1408 Management Improvements | 160,000.00 | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 205,000.00 | | | | |
| 5 | 1411 Audit | 3,000.00 | | | | |
| 6 | 1415 Liquidated Damages | | | | | |
| 7 | 1430 Fees and Costs | 425,000.00 | | | | |
| 8 | 1440 Site Acquisition | | | | | |
| 9 | 1450 Site Improvement | 210,000.00 | | | | |
| 10 | 1460 Dwelling Structures | 1,397,200.00 | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 41,200.00 | | | | |
| 12 | 1470 Non-dwelling Structures | | | | | |
| 13 | 1475 Non-dwelling Equipment | 166,200.00 | | | | |
| 14 | 1485 Demolition | 300,000.00 | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | 30,000.00 | | | | |
| 17 | 1499 Development Activities ⁴ | 100,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report.

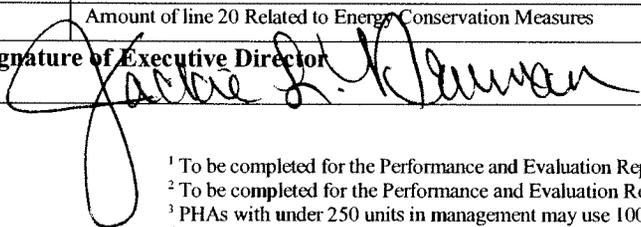
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--|---|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant:2010 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 3,237,600.00 | | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|-----------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2010 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA Wide | Operations | 1406 | | 200,000.00 | | | | |
| | Total 1406 | 1406 | | 200,000.00 | | | | |
| PHA Wide | Marketing/Advertisement | 1408 | | 35,000.00 | | | | |
| | Preventive Maintenance | 1408 | | 30,000.00 | | | | |
| | Upgrade Computer Systems | 1408 | | 50,000.00 | | | | |
| | Staff Training/Travel | 1408 | | 40,000.00 | | | | |
| | TOTAL | 1408 | | 165,000.00 | | | | |
| PHA Wide | F&MC Salaries/Benefits | 1410 | | 200,000.00 | | | | |
| | TOTAL | 1410 | | 200,000.00 | | | | |
| PHA Wide | Audit | 1411 | | 3,000.00 | | | | |
| | TOTAL | 1411 | | 3,000.00 | | | | |
| PHA Wide | Fees & Costs | 1430 | | 75,000.00 | | | | |
| | TOTAL | 1430 | | 75,000.00 | | | | |
| AMP 1 | Parking Lots Repair/Replacement | 1450 | Multiple | 30,000.00 | | | | |
| | TOTAL | 1450 | Multiple | 30,000.00 | | | | |
| | HVAC Replacement | 1460 | 76 | 300,000.00 | | | | |
| | Roof Replacement | 1460 | Multiple | 50,000.00 | | | | |
| | New Closet Doors | 1460 | 118 | 47,200.00 | | | | |
| | Windows/Screens | 1460 | Multiple | 50,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|-----------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2010 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 1 Continued | TOTAL | 1460 | | 447,200.00 | | | | |
| | Appliances | 1465 | 37 | 15,000.00 | | | | |
| | TOTAL | 1465 | | 15,000.00 | | | | |
| | AMP Vehicle | 1475 | 1 | 30,000.00 | | | | |
| | TOTAL | 1475 | 1 | 30,000.00 | | | | |
| AMP 2 | Landscape/Driveways | 1450 | Multiple | 30,000.00 | | | | |
| | TOTAL | 1450 | Multiple | 30,000.00 | | | | |
| | Screen Doors Front/Back | 1460 | 114 | 50,000.00 | | | | |
| | Closet Doors | 1460 | 98 | 50,000.00 | | | | |
| | Entry Doors | 1460 | 24 | 20,000.00 | | | | |
| | TOTAL | 1460 | | 110,000.00 | | | | |
| | Appliances | 1465 | 25 | 10,000.00 | | | | |
| | TOTAL | 1465 | 25 | 10,000.00 | | | | |
| AMP 3 | Landscape/Trees | 1450 | 3 Hi Rises | 20,000.00 | | | | |
| | Parking Lots | 1450 | 3 Hi Rises | 20,000.00 | | | | |
| | Paint/Caulk Exterior | 1450 | 1 Hi Rise | 100,000.00 | | | | |
| | TOTAL | 1450 | | 140,000.00 | | | | |
| | Roof Work | 1460 | 1 Hi Rise | 100,000.00 | | | | |
| | Upgrade Entry Way/Paint Interior | 1460 | 1 Hi Rise | 500,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|-----------------------------------|--------------------------------|----------------|
| PHA Name: Springfield Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: IL06P004501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2010 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| AMP 3 Continued | Upgrade Kitchens | 1460 | 200 | 100,000.00 | | | | |
| | Upgrade Bathrooms | 1460 | 200 | 100,000.00 | | | | |
| | Section 504 Compliant | 1460 | 20 | 50,000.00 | | | | |
| | TOTAL | 1460 | | 840,000.00 | | | | |
| | Appliances | 1465 | 40 | 16,200.00 | | | | |
| | TOTAL | 1465 | 40 | 16,200.00 | | | | |
| | Sankey Relocation | 1495 | 120 | 30,000.00 | | | | |
| | TOTAL | 1495 | | 50,000.00 | | | | |
| | A&E Services-Sankey | 1430 | Hi Rise | 300,000.00 | | | | |
| | TOTAL | 1430 | | 300,000.00 | | | | |
| PHA Wide | Asbestos Abatement | 1430 | Multiple | 50,000.00 | | | | |
| | TOTAL | 1430 | | 50,000.00 | | | | |
| | Van Purchase | 1475 | 2 | 60,000.00 | | | | |
| | TOTAL | 1475 | | 60,000.00 | | | | |
| | Demolition | 1485 | | 300,000.00 | | | | |
| | TOTAL | 1485 | | 500,000.00 | | | | |
| | Mod Use for Development | 1499 | | 100,000.00 | | | | |
| | TOTAL | 1499 | | 100,000.00 | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|---|----------------------|--------------------------------|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: 1L06R00450203 Date of CFFP: | | | FFY of Grant: 2003 FFY of Grant Approval: |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 643,611.00 | 643,611.00 | 429,000.19 | 256,614.65 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

| | | |
|--|---|--|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00450203 Date of CFFP: | FFY of Grant: 2003 FFY of Grant Approval: |
|--|---|--|

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-09 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|------------|
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 643,611.00 | 643,611.00 | 429,000.19 | 256,614.65 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

| | | | |
|---------------------------------|------------------|--------------------------------------|------|
| Signature of Executive Director | Date 10-14-09 | Signature of Public Housing Director | Date |
|---------------------------------|------------------|--------------------------------------|------|

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-04 Date of CFFP: | | | FFY of Grant: 2004 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | | |
| 3 | 1408 Management Improvements | | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | | |
| 5 | 1411 Audit | | | | | |
| 6 | 1415 Liquidated Damages | | | | | |
| 7 | 1430 Fees and Costs | | | | | |
| 8 | 1440 Site Acquisition | | | | | |
| 9 | 1450 Site Improvement | | | | | |
| 10 | 1460 Dwelling Structures | | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | | |
| 12 | 1470 Non-dwelling Structures | | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | | |
| 14 | 1485 Demolition | | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | | | | | |
| 17 | 1499 Development Activities ⁴ | 753,143.00 | 753,143.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06R004501-04 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2004 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 753,143.00 | 753,143.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director <i>Jackie S. Newman</i> | | Date <i>10-14-09</i> | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|--|----------------------|--------------------------------|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06R004502-05 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant: 2005 FFY of Grant Approval: |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 901,100.00 | 901,100.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

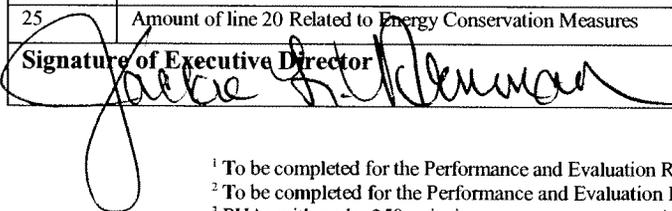
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL04R004502-05 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2005 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 901,100.00 | 901,100.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director _____ Date _____ | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP: | | | FFY of Grant: 2006 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | | |
| 3 | 1408 Management Improvements | | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | | |
| 5 | 1411 Audit | | | | | |
| 6 | 1415 Liquidated Damages | | | | | |
| 7 | 1430 Fees and Costs | | | | | |
| 8 | 1440 Site Acquisition | | | | | |
| 9 | 1450 Site Improvement | | | | | |
| 10 | 1460 Dwelling Structures | | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | | |
| 12 | 1470 Non-dwelling Structures | | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | | |
| 14 | 1485 Demolition | | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | | | | | |
| 17 | 1499 Development Activities ⁴ | 1,925.00 | 1,925.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report.

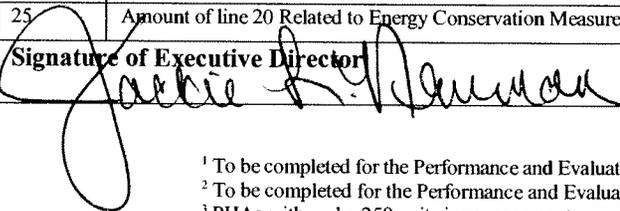
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|--|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-06 Date of CFFP: | | FFY of Grant:2006 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,925.00 | 1,925.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | |
|--|---|--|
| Part I: Summary | | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP: | FFY of Grant: 2006 FFY of Grant Approval: |

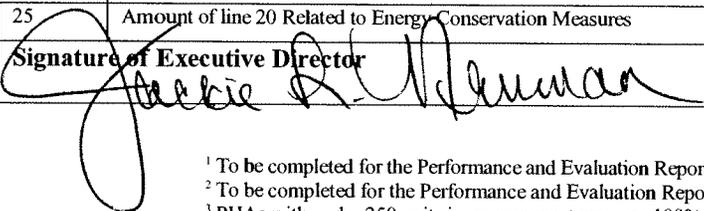
Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-2009 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 754,325.00 | 754,325.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|---|----------------------|--|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-06 Date of CFFP: | | FFY of Grant:2006 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-2009 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 754,325.00 | 754,325.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | |
|---|---|--|
| Part I: Summary | | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-07 Date of CFFP: | FFY of Grant: 2007 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 6-30-09 Final Performance and Evaluation Report

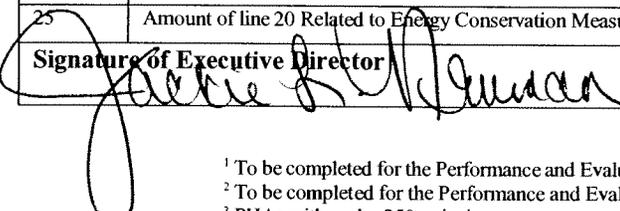
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 1,985.00 | 1,985.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: IL06R004501-07 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant:2007 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 1,985.00 | 1,985.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| | | |
|--|--|--|
| Part I: Summary | | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004502-07 Date of CFFP: | FFY of Grant: 2007 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: 6-30-09 Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 731,379.00 | 731,379.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

| | | |
|--|--|--|
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: IL06R004502-07 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant: 2007 FFY of Grant Approval: |
|--|--|--|

Type of Grant

Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: 6-30-09 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 731,379.00 | 731,379.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

| | | | |
|---|-------------------------|---|-------------|
| Signature of Executive Director  | Date 10-14-09 | Signature of Public Housing Director | Date |
|---|-------------------------|---|-------------|

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP: | | | FFY of Grant: 2008 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 0.00 | 0.00 | 0.00 | 0.00 | |
| 3 | 1408 Management Improvements | 0.00 | 0.00 | 0.00 | 0.00 | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 0.00 | 0.00 | 0.00 | 0.00 | |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 | |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 | |
| 7 | 1430 Fees and Costs | 0.00 | 0.00 | 0.00 | 0.00 | |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 | |
| 9 | 1450 Site Improvement | 0.00 | 0.00 | 0.00 | 0.00 | |
| 10 | 1460 Dwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 | |
| 12 | 1470 Non-dwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 | |
| 13 | 1475 Non-dwelling Equipment | 0.00 | 0.00 | 0.00 | 0.00 | |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 | |
| 15 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 | |
| 16 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 | |
| 17 | 1499 Development Activities ⁴ | 107,058.00 | 0.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report.

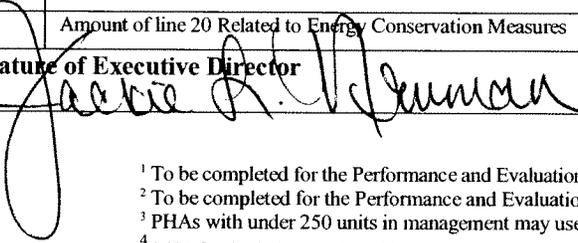
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | |
|--|--|--|----------------------|---|----------|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-08 Date of CFFP: | | FFY of Grant:2008 FFY of Grant Approval: | |
| Type of Grant | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | 0.00 | 0.00 | 0.00 | 0.00 |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | 0.00 | 0.00 | 0.00 | 0.00 |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 107,058.00 | 0.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0045
 Expires 4/30/2009

| | | |
|--|--|--|
| Part I: Summary | | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFFP: | FFY of Grant: 2009 FFY of Grant Approval: |

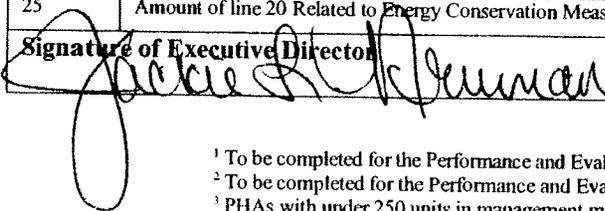
| | | |
|---|--|--|
| Type of Grant | | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | |

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | 114,260.00 | 0.00 | 0.00 | 0.00 |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0001
 Expires 4/30/2010

| Part I: Summary | | | | | | |
|--|--|--|----------------------|---|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-09 Date of CFFP: | | | FFY of Grant: 2009 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 114,260.00 | 0.00 | 0.00 | 0.00 | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | | |
| Signature of Executive Director  | | Date 10-14-09 | | Signature of Public Housing Director | | |
| | | | | Date | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-
 Expires 4/30/

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--------------------------------|--|--|
| PHA Name: Springfield Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R004501-10 Date of CFFP: | | | FFY of Grant: 2010 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 1 | Total non-CFP Funds | | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | | |
| 3 | 1408 Management Improvements | | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | | |
| 5 | 1411 Audit | | | | | |
| 6 | 1415 Liquidated Damages | | | | | |
| 7 | 1430 Fees and Costs | | | | | |
| 8 | 1440 Site Acquisition | | | | | |
| 9 | 1450 Site Improvement | | | | | |
| 10 | 1460 Dwelling Structures | | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | | |
| 12 | 1470 Non-dwelling Structures | | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | | |
| 14 | 1485 Demolition | | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | | |
| 16 | 1495.1 Relocation Costs | | | | | |
| 17 | 1499 Development Activities ⁴ | 531,582.33 | 0.00 | 0.00 | 0.00 | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

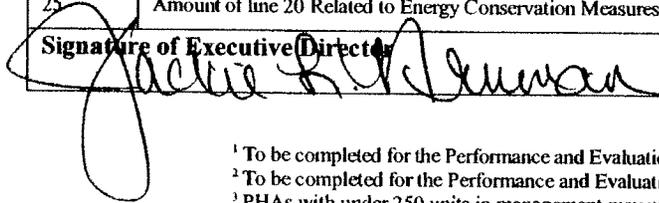
Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-
Expires 4/30/2

| | | |
|--|---|---|
| Part I: Summary | | |
| PHA Name: Springfield Housing Authority | Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: ILL06R004501-10 Date of CFFP: | FFY of Grant:2010 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 531,582.33 | 0.00 | 0.00 | 0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |

| | | | |
|--|-------------------------|---|-------------|
| Signature of Executive Director  | Date 10-14-09 | Signature of Public Housing Director | Date |
|--|-------------------------|---|-------------|

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/20011

| Part I: Summary | | | | | | | |
|------------------------|--|--|--|--|--|---|---------------------------------------|
| PHA Name/Number | | Locality (City/County & State) | | | | <input type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
| A. | Development Number and Name | Work Statement for Year 1 FFY _____ | Work Statement for Year 2 FFY _____ | Work Statement for Year 3 FFY _____ | Work Statement for Year 4 FFY _____ | Work Statement for Year 5 FFY _____ | |
| B. | Physical Improvements Subtotal | Annual Statement | | | | | |
| C. | Management Improvements | | | | | | |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | | | | | |
| E. | Administration | | | | | | |
| F. | Other | | | | | | |
| G. | Operations | | | | | | |
| H. | Demolition | | | | | | |
| I. | Development | | | | | | |
| J. | Capital Fund Financing – Debt Service | | | | | | |
| K. | Total CFP Funds | | | | | | |
| L. | Total Non-CFP Funds | | | | | | |
| M. | Grand Total | | | | | | |

| Part III: Supporting Pages – Management Needs Work Statement(s) | | | | |
|--|---|----------------|---|----------------|
| Work Statement for Year 1 FFY _____ | Work Statement for Year _____ FFY _____ | | Work Statement for Year: _____ FFY _____ | |
| | Development Number/Name General Description of Major Work Categories | Estimated Cost | Development Number/Name General Description of Major Work Categories | Estimated Cost |
| See | | | | |
| Annual Statement | | | | |
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| | Subtotal of Estimated Cost | \$ | Subtotal of Estimated Cost | \$ |

| Part III: Supporting Pages – Management Needs Work Statement(s) | | | | |
|--|---|----------------|---|----------------|
| Work Statement for Year 1 FFY _____ | Work Statement for Year _____ FFY _____ | | Work Statement for Year: _____ FFY _____ | |
| | Development Number/Name General Description of Major Work Categories | Estimated Cost | Development Number/Name General Description of Major Work Categories | Estimated Cost |
| See | | | | |
| Annual Statement | | | | |
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| | Subtotal of Estimated Cost | \$ | Subtotal of Estimated Cost | \$ |

10 (a) Progress in Meeting Mission and Goals:

The Springfield Housing Authority is working diligently to meet the mission and goals described in the 5-Year Plan by applying for additional Housing Choice Vouchers as available, reducing vacancies within Public Housing and constructing new affordable housing units for those most in need in the Springfield community. The SHA will improve the quality of low-rent public housing through modernization updates, by pursuing the disposition/demolition of aged hi-rise buildings and constructing new affordable housing units utilizing public and private funding sources. Specifically, the SHA is embarking on the construction of 41 new units named Genesis Place in place of the former Major Byrd Hi-Rise. Further, the SHA will seek public and private resources as available to address the distressed Sankey Hi-Rise and develop new affordable housing targeted for the aging baby boomer population within the Springfield community. The SHA will continue to conduct outreach efforts to potential voucher landlords to reduce the number of voucher holders in minority and poverty impacted areas while increasing housing options available to voucher holders. Additionally, the SHA will continue to expand its Section 8 Home Ownership Program.

The Springfield Housing Authority continues to network and collaborate with the City of Springfield, the Heartland Continuum of Care and various social service providers to expand affordable housing within the community in accordance with the City's Consolidated Plan.

Public housing security improvements will be undertaken among all public housing developments. The SHA also plans to submit for HUD approval a designated housing plan to designate units to serve elderly only residents in accordance with community needs and the Consolidated Plan. To ensure equal opportunity in housing for all Americans, SHA continues to undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

Significant Amendment and Substantial Deviation/Modification to the PHA Annual Plan

The Springfield Housing Authority defines the significant amendment and substantial deviation/modification to the PHA Annual Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Changes to the Public Housing Admissions and Continued Occupancy Policy;
- Changes to the Section 8 Housing Choice Voucher Program Administrative Plan;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) or change in the use of replacement reserve funds under the Capital Fund; and
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Requirements for Significant Amendments to the PHA Plan

Any significant amendment or substantial deviation/modification to the PHA Plan is subject to the same requirements as the original PHA Plan (including time frames). Following are the requirements:

- The PHA must consult with the Resident Advisory Board (RAB) (as defined in 24 CFR 903.13);
- The PHA must ensure consistency with the Consolidated Plan of the jurisdiction(s) (as defined in 24 CFR 903.15); and
- The PHA must provide a review of the amendments/modifications by the public during a 45-day public review period (as defined in 24 CFR 903.17).
- The PHA may not adopt the amendment or modification until the PHA has duly called a meeting of its Board of Directors (or similar governing body). This meeting, at which the amendment or modification is adopted, must be open to the public.
- The PHA may not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures (as defined at 24 CFP 903.23).

CARBON MONOXIDE ALARM DETECTOR ACT
SPRINGFIELD HOUSING AUTHORITY
COMPLIANCE STATEMENT

The Illinois State Legislative Act 094-0741 became effective on January 1, 2007.

To comply with this Public Act, Springfield Housing Authority researched different carbon monoxide detectors and received three competitive prices on said detectors to locate the best detector for the safety of our residents and that was most cost effective.

Springfield Housing Authority Staff recommended purchase of the Pro Tech 7030-SL Lithium Battery Powered Carbon Monoxide Detector with Secure Lock, which has a 5 year warranty on either the battery or the detector. At the end of November, Springfield Housing Authority ordered and purchased 1008 of these detectors from Allied Sales. Allied Sales was the lowest most responsible bidder for this carbon monoxide detector.

The Springfield Housing Authority received the shipment of all 1008 detectors in the beginning of December, 2006. Residents were provided a notice the Springfield Housing Authority's Maintenance personnel would be entering dwelling units to install the Carbon Monoxide Detectors, (notice attached), and also a statement of responsibility for the detector, (statement attached). The residents were informed of their responsibility for any damage to the detector as well as replace the batteries as necessary.

Springfield Housing Authority is pleased to state that 100% of its dwelling units had the carbon monoxide detectors installed prior to the January 1, 2007 deadline. It was hard work and commitment of Springfield Housing Authority Staff with the residents' cooperation that made this possible.

RAB MEETING AND COMMENTS

Notice was sent out to the Residents Advisory Board for a meeting to be held on September 14, 2009, 5:00 PM at the Main Office of Springfield Housing Authority, 200 N. 11th Street, Springfield, IL 62703

No one attended this meeting so there were no comments received.

**VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE
REAUTHORIZATION ACT OF 2005, PUBLIC LAW 109-162, AND THE
UNITED STATES HOUSING ACT OF 1937, AS AMENDED:
SPRINGFIELD HOUSING AUTHORITY
PLAN OF ACTION**

VAWA 2005, signed into law on January 5, 2006 provides for protection for victims of abuse in the Public Housing Program and is incorporated into the Lease Addendum:

- An incident or incidents of actual or threatened domestic violence, dating violence, or staling will not be construed as serious or repeated violations of the lease or other “good cause” for termination of the assistance, tenancy, or occupancy rights of a victim of abuse. (Section 8(o)(7)(C) of the U.S. Housing Act of 1937.)
- Criminal activity directly relating to abuse, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of that abuse. (Section 8(o)(7)(D)(i) of the U.S. Housing Act of 1937.)
- Notwithstanding the VAWA restrictions on admission, occupancy, or terminations of occupancy, or terminations of occupancy or assistance, or any Federal, State or local law to the contrary, a PHA may terminate assistance to or an owner or manager may “bifurcate” a lease, or otherwise remove a household member from the lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful family members or others. This action may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. Such eviction, removal, termination of occupancy rights or termination of assistance shall be effected in accordance with the procedures prescribed by Federal, State, and local law for the termination of leases or assistance under the housing choice voucher program. (Section 8(o)(7)(D)(ii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of a public housing agency, owner, or manager, when notified, to honor court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up. (Section 8(o)(7)(D)(iii) of the U.S. Housing Act of 1937.)
- Nothing in Section 8(o)(7)(D)(i) limits any otherwise available authority of an owner or manager to evict or the public housing agency to terminate assistance to a tenant for any violation of lease not premised on the act or acts of violence in question against the tenant or a member of the tenant’s household, provided that the owner, manager, or public housing agency does not subject an individual who is or has been

a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate. (Section 8(o)(7)(D)(iv) of the U.S. Housing Act of 1937.)

- Nothing in Section 8(o)(7)(D)(i) may be construed to limit the authority of an owner or manager to evict, or the public housing agency to terminate assistance, to any tenant if the owner, manager, or public housing agency can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the tenant is not evicted or terminated from assistance. (Section 8(o)(7)(D)(v) of the U.S. Housing Act of 1937.)
- Nothing in Section 9(o)(7)(D)(i) shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than Section 8(o)(7)(D)(i) for victims of domestic violence, dating violence, or stalking. (Section 8(o)(7)(D)(vi) of the U.S. Housing Act of 1937.)

**SPRINGFIELD HOUSING AUTHORITY
2010 PHA PLAN
ADDENDUM**

Corrective Action for FYE 12/31/08 Audit Findings

Finding 08-01 – Inadequate Accounting Control over Financial Reporting

“The Authority failed to enter adjustments resulting from their year ended December 31, 2007 independent audit, resulting in over-stated beginning capital assets balances. The Authority capitalized current year operating expenses resulting in over-stated ending capital asset balances.”

Recommendation

“We recommend the execution of established financial internal control procedures to ensure significant general ledger accounts are reconciled to accounting records such as subsidiary ledgers, to assure Authority assets and financial operations are accounted-for and reported in accordance with generally accepted accounting principles. A review of significant general ledger accounts should be performed periodically to assure reasonableness and accuracy.”

SHA Corrective Action / Response

Policies and procedures have been approved by the Board of Commissioners to ensure the appropriate procedures are in place to reconcile accounting records. Additionally, the Director of Finance conducts quality control reviews monthly to ensure reasonableness and accuracy within the financial statements and ledgers. The 2008 audit entries have been booked in December 2009 so as not to incur this same finding upon completion of the FYE 12/31/09 independent audit.

Finding 08-02 – Section 8 Housing Choice Voucher Tenant File Deficiencies

“This deficiency was caused by a failure to execute adequate internal control within the Authority’s operating department.”

Recommendation

“We recommend the Authority conduct periodic quality control reviews of their Section 8 Housing Choice Voucher program tenant files to assure compliance with federal guidelines and Authority policy.”

SHA Corrective Action / Response

While there has been significant turnover in the Section 8 Division, the Springfield Housing Authority has provided internal and external training to existing staff to ensure that HUD rules and regulations are followed accordingly. The Director of Housing Operations and Section 8 Manager are conducting file audits on 100% of participant files to ensure that files are in good order and all calculations are being done accurately.

Finding 08-03 – Office of Inspector General (OIG) Audit Report

“The Office of the Inspector General conducted an audit of the Authority’s Section 8 Housing Choice Voucher Program for the period of January 2007 through August 2008. The OIG’s report issued April 28, 2009, contained the following findings:

- 1) Controls over Housing Assistance and Utility Allowance payments were inadequate;**
- 2) The Authority’s zero-income households had unreported income.”**

Recommendation

“We recommend the Authority implement the OIG’s recommendations and to continue to work with HUD to clear these findings and strengthen controls over operations in their Section 8 Housing Choice Voucher program.”

SHA Corrective Action / Response

The SHA has entered into a Repayment Agreement with HUD in response to these findings. The SHA continues to work with HUD to clear the findings as evidenced by the most recent correspondence dated January 8, 2010 detailing supporting documentation of SHA’s efforts to clear said findings.

Further, as it relates to zero income reviews, in January 2009, the SHA implemented quarterly zero-income briefings for all families in the Voucher program reporting zero income.

Finally, as stated previously, while there has been significant turnover in the Section 8 Division, the Springfield Housing Authority has provided internal and external training to existing staff to ensure that HUD rules and regulations are followed accordingly. The Director of Housing Operations and Section 8 Manager are conducting file audits on 100% of participant files to ensure that files are in good order and all calculations are being done accurately.

SPRINGFIELD HOUSING AUTHORITY
SPRINGFIELD, ILLINOIS
REPORT ON EXAMINATION
OF
FINANCIAL STATEMENTS AND SUPPLEMENTAL DATA
YEAR ENDED DECEMBER 31, 2008

SPRINGFIELD HOUSING AUTHORITY
SPRINGFIELD, ILLINOIS
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**SPRINGFIELD HOUSING AUTHORITY
SPRINGFIELD, ILLINOIS
MANAGEMENT'S DISCUSSION AND ANALYSIS (MD&A)
FOR THE YEAR ENDED DECEMBER 31, 2008**

Springfield Housing Authority's ("the Authority") Management's Discussion and Analysis is designed to (a) assist the reader in focusing on significant financial issues, (b) provide an overview of the Authority's financial activity, (c) identify changes in the Authority's financial position (its ability to address the next and subsequent year challenges), and (d) identify individual program issues or concerns.

Since the Management's Discussion and Analysis (MD&A) is designed to focus on the current years activities, resulting changes and currently known facts, please read it in conjunction with the Authority's financial statements.

FINANCIAL HIGHLIGHTS

- The Authority's net assets decreased \$1.4 million in 2008. Net Assets were \$42.4 million and \$41.0 million for 2007 and 2008, respectively.

- Revenues increased \$.8 million during 2008, and were \$15.3 million and \$16.1 million for 2007 and 2008, respectively.

- The total expenses of all Authority programs increased \$2.4 million. Total expenses were \$15.1 million and \$17.5 million for 2007 and 2008, respectively.

Authority-Wide Financial Statements

The Authority-wide financial statements are designed to be corporate-like in that all business type activities are consolidated into columns which add to a total for the entire Authority.

Statement of Net Assets

These Statements include a Statement of Net Assets, which is similar to a Balance Sheet. The Statement of Net Assets reports all financial and capital resources for the Authority. The statement is presented in the format where assets, minus liabilities, equals "Net Assets", formerly known as equity. Assets and liabilities are presented in order of liquidity, and are classified as "Current" (convertible into cash within one year), and "Non-current".

The focus of the Statement of Net Assets (the "Unrestricted Net Assets") is designed to represent the net available liquid (non-capital) assets, net of liabilities, for the entire Authority. Net Assets (formerly equity) are reported in three broad categories:

Net Assets Invested in Capital Assets, Net of Related Debt: This component of Net Assets consists of all Capital Assets, reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted Net Assets: This component of Net Assets consists of restricted assets, when constraints are placed on the asset by creditors (such as debt covenants), grantors, contributors, laws, regulations, etc.

Unrestricted Net Assets: Consists of Net Assets that do not meet the definition of "Net Assets Invested in Capital Assets, Net of Related Debt", or "Restricted Net Assets".

Statement of Revenues, Expenses, and Changes in Net Assets

The Authority-wide financial statements also include a Statement of Revenues, Expenses and Changes in Net Assets (similar to an Income Statement). This Statement includes Operating Revenues, such as rental income, Operating Expenses, such as administrative, utilities, maintenance, and depreciation, and Non-Operating Revenue and Expenses, such as grant revenue, investment income and interest expense.

The focus of the Statement of Revenues, Expenses and Changes in Net Assets is the "Change in Net Assets", which is similar to Net Income or Loss.

Statement of Cash Flows

Finally, a Statement of Cash Flows is included, which discloses net cash provided by, or used for operating activities, non-capital financing activities, from capital and related financing activities and from investing activities.

The Authority's Main Programs

Conventional Public Housing – Under the Conventional Public Housing Program, the Authority rents units that it owns to low-income households. The Conventional Public Housing Program is operated under an Annual Contributions Contract (ACC) with HUD, and HUD provides Operating Subsidy and Capital Grant funding to enable the PHA to provide the housing at a rent that is based upon 30% of household income. The Conventional Public Housing Program also includes the Capital Fund Program, which is the primary funding source for physical and management improvements to the Authority's properties.

Housing Choice Voucher Program – Under the Housing Choice Voucher Program, the Authority administers contracts with independent landlords that own the property. The Authority subsidizes the family's rent through a Housing Assistance Payment made to the landlord. The program is administered under an Annual Contributions Contract (ACC) with HUD. HUD provides Annual Contributions Funding to enable the Authority to structure a lease that sets the participants' rent at 30% of household income.

Section 8 New Construction Program – The Section 8 New Construction Program is a grant program funded by the Department of Housing and Urban Development. The Authority subsidizes the family's rent through a Housing Assistance Payment made to the landlord.

Other Programs – In addition to the significant programs above, the Board also maintains the following programs:

- Central Office Cost Center & a Blended Component Unit
- Resident Opportunity and Supportive Services Program
- Shelter Plus Care Program
- State/ Local program

AUTHORITY-WIDE STATEMENTS

Statement of Net Assets

The following table reflects the condensed Statement of Net Assets compared to the prior year. The Authority is engaged only in Business-Type Activities.

TABLE 1
STATEMENT OF NET ASSETS

| | <u>2008</u> | <u>2007</u> | <u>Variance</u> |
|-----------------------------|----------------------|----------------------|-----------------------|
| Assets: | | | |
| Current & Restricted Assets | \$ 8,551,548 | \$ 9,471,836 | \$ (920,288) |
| Capital Assets | 29,286,953 | 29,257,075 | 29,878 |
| Other Assets | 5,101,194 | 5,907,957 | (806,763) |
| Total Assets | \$ 42,939,695 | \$ 44,636,868 | \$ (1,697,173) |
| Liabilities: | | | |
| Current Liabilities | \$ 556,399 | \$ 687,822 | \$ (131,423) |
| Non Current Liabilities | 1,393,023 | 1,529,750 | (136,727) |
| Total Liabilities | \$ 1,949,422 | \$ 2,217,572 | \$ (268,150) |
| Net Assets: | | | |
| Invested in Capital Assets | \$ 28,245,396 | \$ 28,124,765 | \$ 120,631 |
| Restricted Net Assets | 7,306,377 | 8,638,445 | (1,332,068) |
| Unrestricted Net Assets | 5,438,500 | 5,656,086 | (217,586) |
| Total Net Assets | \$ 40,990,273 | \$ 42,419,296 | \$ (1,429,023) |

Major Factors Affecting the Statement of Net Assets

During 2008, current and restricted assets decreased by \$.9 million. Current and restricted assets decreased primarily due to decreases in Restricted Cash used for housing assistance payments.

Other assets decreased due to a decrease in the realizable value of notes and interest receivable.

The decrease in current liabilities was due primarily to decreases in deferred revenues and vendors payable. Non-current liabilities decreased due to decreases in long-term capital debt and other non-current liabilities.

Table 2 presents details on the change in Unrestricted Net Assets

TABLE 2
CHANGE OF UNRESTRICTED NET ASSETS

| | |
|--|---------------------|
| Unrestricted Net Assets, January 1, 2008 | \$ 5,656,086 |
| Results of Operations | (2,505,321) |
| Capital Expenditures from Operations | (10,108) |
| Proceeds (Cash & Notes) from Disposition of Capital Assets | 84,762 |
| Interest and Principal on Capital Debt | (144,417) |
| Bad debt expense on Notes and Mortgages Receivable | (830,139) |
| Investment Income | 269,720 |
| Depreciation Expense | 1,585,849 |
| Transfer from Restricted Net Assets | <u>1,332,068</u> |
| Unrestricted Net Assets, December 31, 2008 | <u>\$ 5,438,500</u> |

While the results of operations are a significant measure of the Authority's activities, the analysis of the changes in Unrestricted Net Assets provides a clearer change in financial well-being.

TABLE 3

STATEMENT OF REVENUES, EXPENSES

The following schedule compares the revenues and expenses for the current and previous fiscal year. The Authority is engaged only in Business-Type Activities.

| | <u>2008</u> | <u>2007</u> | <u>Variance</u> |
|---|------------------------------|-----------------------------|------------------------------|
| Revenue: | | | |
| Tenant Rental Revenue | \$ 1,405,308 | \$ 1,298,407 | \$ 106,901 |
| Operating Grants | 12,363,672 | 12,144,645 | 219,027 |
| Capital Grants | 1,738,388 | 1,215,207 | 523,181 |
| Investment Income | 269,720 | 418,468 | (148,748) |
| Loss on Disposition of Assets | (48,007) | (164,438) | 116,431 |
| Other Revenue | 352,923 | 410,664 | (57,741) |
| Total Revenue | <u>\$ 16,082,004</u> | <u>\$ 15,322,953</u> | <u>\$ 759,051</u> |
| Expenses: | | | |
| Administrative Expenses | \$ 2,617,538 | \$ 2,433,332 | \$ 184,206 |
| Tenant Services | 20,700 | 18,226 | 2,474 |
| Utilities | 724,340 | 761,013 | (36,673) |
| Maintenance & Operations | 1,578,770 | 1,610,962 | (32,192) |
| Protective Services | 177,569 | 130,157 | 47,412 |
| General Expense | 545,130 | 391,418 | 153,712 |
| HAP Payments | 9,377,328 | 8,215,292 | 1,162,036 |
| Interest Expense | 53,664 | 75,626 | (21,962) |
| Bad Debt on Notes & Mortgages | 830,139 | - | 830,139 |
| Depreciation | 1,585,849 | 1,521,732 | 64,117 |
| Total Expenses | <u>\$ 17,511,027</u> | <u>\$ 15,157,758</u> | <u>\$ 2,353,269</u> |
| Excess (Deficiency) Revenue Over Expense | <u>\$ (1,429,023)</u> | <u>\$ 165,195</u> | <u>\$ (1,594,218)</u> |

MAJOR FACTORS AFFECTING THE STATEMENT OF REVENUE AND EXPENSES

Tenant Rental Revenue increased due to increased units leased. The increase in operating grants was primarily due to increased operating subsidies received in the Public Housing and Housing Choice Voucher programs. Capital Grants increased during the current year largely due to increased modernization activity in the Capital Fund program. Investment income decreased due to decreasing cash balances and interest rates during the year. Decreased capital asset disposition activity within the Public Housing program, resulted in the decrease in loss on disposition.

There was an overall increase in expenses. Administrative expense increased due to increased personnel and training expenses during the year, and a computer hardware upgrade. General expense increased due primarily to increased insurance expenses. Housing assistance payments increased due to increased voucher utilization and market rents within the Housing Choice Voucher Program. Bad debt expense on notes and mortgages increased due to management's assessment of the realizable value of notes and interest receivable during the year.

CAPITAL ASSETS AND DEBT ADMINISTRATION

Capital Assets

As of year end, the Authority had \$29.3 million invested in a variety of capital assets as reflected in the following schedule, which represents a net increase (additions, deductions and depreciation) of \$.03 million or 0.1% from the end of last year.

**TABLE 4
CAPITAL ASSETS AT YEAR-END
(NET OF DEPRECIATION)**

| | 2008 | 2007 | Variance | % Change |
|---------------------------|----------------------------|----------------------------|-------------------------|------------------|
| Land | \$ 5,046,717 | \$ 5,174,378 | \$ (127,661) | -2% |
| Buildings & Improvements | 49,576,115 | 44,690,096 | 4,886,019 | 11% |
| Furniture & Equipment | 499,416 | 675,355 | (175,939) | -26% |
| Construction in Process | 1,963,581 | 5,132,911 | (3,169,330) | -62% |
| Accumulated Depreciation | (27,798,876) | (26,415,665) | (1,383,211) | 5% |
| Net Capital Assets | <u>\$29,286,953</u> | <u>\$29,257,075</u> | <u>\$ 29,878</u> | <u>0%</u> |

**TABLE 5
CHANGE IN CAPITAL ASSETS**

The following reconciliation summarizes the change in Capital Assets.

| | Business Type Activities |
|------------------------------------|-----------------------------|
| Beginning Balance, January 1, 2008 | \$ 29,257,075 |
| Additions and Transfers | |
| Land | (100,661) |
| Buildings & Improvements | 5,018,487 |
| Construction in Process | (3,169,330) |
| Equipment | - |
| Dispositions, Net of Depreciation | (132,769) |
| Depreciation Expense | <u>(1,585,849)</u> |
| Ending Balance, December 31, 2008 | <u>\$ 29,286,953</u> |

CAPITAL ASSETS AND DEBT ADMINISTRATION

As of year-end, the Authority had \$1.0 million in debt (bonds, notes, etc.) outstanding, compared to a \$1.1 million balance last year. For more detailed information on debt outstanding, please refer to the Notes to the Financial Statements.

TABLE 6

OUTSTANDING DEBT, AT YEAR-END

Outstanding Debt, at Year End

| Business Type | 2008 | 2007 |
|---------------|---------------------|---------------------|
| Bonds Payable | \$ 845,000 | \$ 870,000 |
| Notes Payable | 196,557 | 262,310 |
| Total | <u>\$ 1,041,557</u> | <u>\$ 1,132,310</u> |

ECONOMIC FACTORS

Significant economic factors affecting the Authority are as follows:

- Federal funding provided by Congress to the Department of Housing and Urban Development
- Local labor supply and demand, which can affect salary and wage rates
- Local inflationary, recessionary and employment trends, which can affect resident incomes and therefore the amount of rental income
- Inflationary pressure on supplies and other costs

FINANCIAL CONTACT

This financial report is designed to provide our residents, the citizens of Springfield, federal and state regulatory bodies with a general overview of the Springfield Housing Authority's finances as of December 31, 2007. The individual to be contacted regarding this report is Jackie L. Newman, Executive Director, at the Springfield Housing Authority, 200 North 11th Street, Springfield, Illinois 62703.

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Board of Commissioners
Springfield Housing Authority
Springfield, Illinois

INDEPENDENT AUDITORS' REPORT

We have audited the accompanying financial statements of the business-type activities which comprise the major fund of the Springfield Housing Authority as of and for the year ended December 31, 2008, which collectively comprise the Authority's basic financial statements as listed in the table of contents. These financial statements are the responsibility of the Authority's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the business-type activities which comprise the major fund of the Authority as of December 31, 2008, and changes in financial position and cash flows, for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued our report dated September 25, 2009 on our consideration of the Authority's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

The accompanying Management's Discussion and Analysis is not a required part of the basic financial statements but is supplementary information required by accounting principles generally accepted in the United States of America. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was performed for the purpose of forming an opinion on the financial statements that collectively comprise the Authority's basic financial statements. The accompanying schedule of expenditures of Federal Awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations", and is not a required part of the financial statements. Further, other supplementary data as listed in the table of contents is presented for Department of Housing and Urban Development information and is not a required part of the financial statements. Such supplementary data, including the schedule of expenditures of federal awards, has been subjected to the auditing procedures applied in the audit of the financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the financial statements taken as a whole.

Birmingham, Alabama
September 25, 2009

Yeager & Boyd
Yeager & Boyd

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Board of Commissioners
Springfield Housing Authority
Springfield, Illinois

We have audited the financial statements of the business-type activities of the Authority as of and for the year ended December 31, 2008, which collectively comprise the Authority's basic financial statements and have issued our report thereon dated September 25, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Authority's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified a deficiency in internal control over financial reporting that we consider to be a significant deficiency.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the Authority's ability to initiate, authorize, record, process or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the Authority's financial statements that is more than inconsequential will not be prevented or detected by the Authority's internal control. We consider the deficiency described in the accompanying schedule of findings and questioned costs as Finding 08-01 to be a significant deficiency in internal control over financial reporting.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the Authority's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies, and, accordingly, would not disclose all significant deficiencies that are also considered to be material weaknesses. However, we consider the significant deficiency described above, as Finding 08-01 to be a material weakness.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Authority's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

The Authority's response to the finding identified in our audit is described in the accompanying schedule of findings and questioned costs. We did not audit the Authority's response and, accordingly we express no opinion on it.

This report is intended solely for the information and use of the Board of Commissioners, management, others within the entity, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama
September 25, 2009

Yeager & Boyd

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR PROGRAM AND INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

Board of Commissioners
Springfield Housing Authority
Springfield, Illinois

Compliance

We have audited the compliance of the Authority with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended December 31, 2008. The Authority's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Authority's management. Our responsibility is to express an opinion on the Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Authority's compliance with those requirements.

In our opinion, the Authority complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended December 31, 2008. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03.

Internal Control Over Compliance

The management of the Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the Authority's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

Our Consideration of the internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses as defined below. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies and material weaknesses.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control over compliance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03 to be significant deficiencies.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. We consider the significant deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as Findings 08-01 through 08-03 to be material weaknesses.

The Authority's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the Authority's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of management, the Board of Commissioners, and federal awarding agencies and pass-through entities, and is not intended to be and should not be used by anyone other than these specified parties.

Birmingham, Alabama
September 25, 2009

Yeager & Boyd

**SPRINGFIELD HOUSING AUTHORITY
STATEMENT OF NET ASSETS
DECEMBER 31, 2008**

ASSETS

| | Enterprise Fund |
|----------------------------------|----------------------------|
| <u>Current Assets</u> | |
| Cash and Cash Equivalents | \$ 5,418,350 |
| Accounts Receivable - Grants | 325,114 |
| Accounts Receivable - Other | 26,174 |
| Tenants Accounts Receivable | 122,523 |
| Allowance for Doubtful Accounts | (37,500) |
| Prepaid Costs | 32,126 |
| Inventory | 37,781 |
| Assets Held for Resale | 145,168 |
| Total Current Assets | <u>6,069,736</u> |
| <u>Restricted Assets</u> | |
| Cash and Cash Equivalents | <u>2,481,812</u> |
| Total Restricted Assets | <u>2,481,812</u> |
| <u>Capital Assets</u> | |
| Land | 5,046,717 |
| Buildings and Improvements | 49,576,115 |
| Furniture and Equipment | 499,416 |
| Construction in Progress | 1,963,581 |
| | <u>57,085,829</u> |
| (Less): Accumulated Depreciation | <u>(27,798,876)</u> |
| Net Capital Assets | <u>29,286,953</u> |
| <u>Other Assets</u> | |
| Notes Receivable | 5,048,373 |
| Debt Issuance Costs | 52,821 |
| Total Other Assets | <u>5,101,194</u> |
| Total Assets | <u>\$ 42,939,695</u> |

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY
STATEMENT OF NET ASSETS
DECEMBER 31, 2008**

LIABILITIES AND NET ASSETS

| | Enterprise Fund |
|--|----------------------------|
| <u>Current Liabilities</u> | |
| Accounts Payable | \$ 26,809 |
| Accrued Wages and Payroll Taxes | 195 |
| Accrued Compensated Absences | 83,318 |
| Accrued Interest Payable | 7,216 |
| Tenant Security Deposits | 220,454 |
| Deferred Revenues | 106,538 |
| Other Current Liabilities | 67,335 |
| Current Portion of Capital Debt | 44,534 |
| Total Current Liabilities | <u>556,399</u> |
| <u>Long Term Liabilities</u> | |
| Long Term Capital Debt | 997,023 |
| Accrued Compensated Absences | 193,208 |
| Noncurrent Liabilities - Other | 202,792 |
| Total Long Term Liabilities | <u>1,393,023</u> |
| Total Liabilities | <u>1,949,422</u> |
| <u>Net Assets</u> | |
| Investment in Capital Assets Net of Related Debt | 28,245,396 |
| Restricted Net Assets | 7,306,377 |
| Unrestricted Net Assets | 5,438,500 |
| Total Net Assets | <u>40,990,273</u> |
| Total Liabilities and Net Assets | <u>\$ 42,939,695</u> |

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE YEAR ENDED DECEMBER 31, 2008**

| | Enterprise Fund |
|--|----------------------------|
| <u>Operating Revenues</u> | |
| Dwelling Rent | \$ 1,405,308 |
| Operating Grants | 12,363,672 |
| Other Revenue | 352,923 |
| Total Operating Revenues | <u>14,121,903</u> |
| <u>Operating Expenses</u> | |
| Administrative | 2,617,538 |
| Tenant Services | 20,700 |
| Utilities | 724,340 |
| Maintenance and Operations | 1,578,770 |
| Protective Services | 177,569 |
| General Expense | 545,130 |
| Housing Assistance Payments | 9,377,328 |
| Depreciation | 1,585,849 |
| Total Operating Expenses | <u>16,627,224</u> |
| Operating Income (Loss) | <u>(2,505,321)</u> |
| <u>Non-Operating Revenues (Expenses)</u> | |
| Investment Income | 269,720 |
| Interest Expense on Capital Debt | (53,664) |
| Bad Debts - Notes and Mortgages Receivable | (830,139) |
| Loss on Disposition of Capital Assets | (48,007) |
| Total Non-Operating Rev/(Exp) | <u>(662,090)</u> |
| Increase (Decrease) before Capital Contributions | <u>(3,167,411)</u> |
| Capital Contributions | <u>1,738,388</u> |
| Increase (Decrease) in Net Assets | (1,429,023) |
| Net Assets, Beginning | 42,419,296 |
| Net Assets, Ending | <u>\$ 40,990,273</u> |

See the accompanying notes to financial statements.

**SPRINGFIELD HOUSING AUTHORITY
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED DECEMBER 31, 2008**

| | Enterprise Fund |
|--|----------------------------|
| <u>Cash flows from operating activities:</u> | |
| Cash Received from Dwelling Rent | \$ 1,341,040 |
| Cash Received from Operating Grants | 12,488,993 |
| Cash Received from Other Sources | 469,442 |
| Cash Payments for Salaries & Benefits | (3,092,151) |
| Cash Payments to Vendors & Landlords | <u>(11,915,465)</u> |
| Net cash provided (used) by operating activities | <u>(708,141)</u> |
| <u>Cash flows from capital and related financing activities:</u> | |
| Capital Grants Received | 1,277,273 |
| Capital Outlay | (1,748,496) |
| Proceeds from Dispositions | 84,762 |
| Principal and Interest Payments on Capital Debt | <u>(148,245)</u> |
| Net cash provided (used) by capital and related financing activities | <u>(534,706)</u> |
| <u>Cash flows from investing activities:</u> | |
| Disbursements on Notes Receivable to affiliates | (21,016) |
| Net receipts on Mortgage Notes Receivable | 7,640 |
| Transfer (to) from investments | 311,007 |
| Interest earned from cash and cash equivalents | <u>300,682</u> |
| Net cash provided (used) by investing activities | <u>598,313</u> |
| Net increase (decrease) in cash and cash equivalents | <u>(644,534)</u> |
| Total cash and cash equivalents, beginning of year | <u>8,544,696</u> |
| Total cash and cash equivalents, end of year | <u>\$ 7,900,162</u> |
| <u>Reconciliation of operating income (loss) to net cash provided (used) by operating activities:</u> | |
| Operating Income (Loss) | \$ (2,505,321) |
| Adjustment to reconcile operating income (loss) to net cash provided (used) by operating activities: | |
| Depreciation | 1,585,849 |
| Bad Debt Expense - Tenants | 51,881 |
| Change in Tenants Accounts Receivable | (49,656) |
| Change in Operating Grants Receivable | 125,321 |
| Change in Other Accounts Receivable | 34,939 |
| Change in Prepaid Costs | 57,605 |
| Change in Inventory | (5,169) |
| Change in Accounts Payable | (38,510) |
| Change in Accrued Expenses | 44,448 |
| Change in Deferred Revenue | (14,612) |
| Change in Tenant Security Deposits | 81,580 |
| Change in Other Liabilities | <u>(76,496)</u> |
| Net cash provided (used) by operating activities | <u>\$ (708,141)</u> |

See the accompanying notes to financial statements.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2008

NOTE A - SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the Housing Authority have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The Authority is a Special Purpose Government engaged only in business-type activities and therefore, presents only the financial statements required for the enterprise fund, in accordance with GASB Statement 34 paragraph 138.

The Authority has multiple programs which are accounted for in one enterprise fund, which is presented as the "enterprise fund" in the basic financial statements as follows:

Enterprise Fund – In accordance with the Enterprise Fund Method, activity is recorded using the accrual basis of accounting and the measurement focus is on the flow of economic resources. Under the accrual basis of accounting revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. This required the Housing Authority to account for operations in a manner similar to private business or where the Board has decided that the determination of revenues earned, costs incurred and/or net income is necessary for management accountability.

Governmental Accounting Standards – The Housing Authority has applied all applicable Governmental Accounting Standards Board pronouncements as well as pronouncements issued by the Financial Accounting Standards Board on or before November 30, 1989, and those issued after November 30, 1989 except for those that conflict with or contradict Governmental Accounting Standards Board pronouncements.

Cash

The Housing Authority considers cash on hand and cash in checking to be cash equivalents. Cash on hand is not included in calculation of collateral required.

Accounts Receivable

Tenant accounts receivables are carried at the amount considered by management to be collectible. Tenant accounts receivable are immaterial for further disclosures. Other accounts receivable consists of amounts due affiliates and from HUD for Grant Income.

Prepaid Items

Prepaid Items consists of payments made to vendors for services that will benefit future periods.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE A - SIGNIFICANT ACCOUNTING POLICIES- CONTINUED

Inventory

Inventories consist of materials and supplies that have not been used or consumed. Inventory is valued at cost and recorded as an expense when it is consumed.

Deferred Revenue

The Authority recognizes revenues as earned. The amount received in advance of the period in which it is earned is recorded as a liability under Deferred Revenue.

Revenue Accounting Policies

Dwelling rent income, HUD Grants received for operations, other operating fund grants and operating miscellaneous income are shown as operating income. HUD grants received for capital assets and all other revenue is shown as non-operating revenue.

These financial statements do not contain material inter-fund revenues and expenses for internal activity. The policy is to eliminate any material inter-fund revenues and expenses for these financial statements.

Capital Assets

Property and equipment are recorded at cost. Depreciation is computed using the straight-line method over the estimated useful lives of the assets. The costs of maintenance and repairs are expensed, while significant renewals and betterments are capitalized. Small dollar value minor equipment items are expensed. Depreciation on assets has been expensed in the statement of income and expenses. Estimated useful lives are as follows:

| | |
|----------------------------------|---------------|
| Buildings and Improvements | 20 - 30 years |
| Furniture fixtures and equipment | 5 years |

Cost Allocation Plan

In accordance with OMB Circular A-87, the Authority utilizes a Cost Allocation Plan. The Authority allocates indirect costs to programs on the basis of one of the following methods: direct salaries and wages, percentage of office square footage, number of vouchers and/or units, estimated/actual time spent, number of checks processed or the allotment stipulated in contractual agreements.

Intangible Assets

In accordance with SFAS 142 intangible assets with finite useful lives will be amortized over their estimated useful life. Bond issuance costs consist primarily of unamortized revenue bond issuance costs. Expenses relating to the issuance of the capital program bonds are capitalized and amortized on a straight-line basis over the term of the bond's maturity.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE B - REPORTING ENTITY DEFINITION

The Housing Authority is a separate non-profit corporation with a Board of Commissioners. The applicable jurisdictions appoint the Board of Commissioners. However, the Housing Authority has complete legislative and administrative authority and it recruits and employs personnel. The Authority adopts a budget that is approved by the Board of Commissioners. Subsidies for operations are received primarily from HUD. The Authority has substantial legal authority to control its affairs without local government approval; therefore, all operations of the Authority are a separate reporting entity as reflected in this report. The Authority is responsible for its debts and is entitled to surpluses.

In determining how to define the reporting entity, management has considered all potential component units. The decision to include a component unit in the reporting entity was made by applying the criteria set forth in *Section 2100 and 2600 of the Codification of Governmental Accounting and Financial Reporting Standards and Statement No. 14 (amended), of the Governmental Accounting Standards Board: The Financial Reporting Entity and Statement No. 39 "Determining Whether Certain Organizations are Component Units*. These criteria include manifestation of oversight responsibility including financial accountability, appointment of a voting majority, imposition of will, financial benefit to or burden on a primary organization, financial accountability as a result of fiscal dependency, potential for dual inclusion, and organizations included in the reporting entity although the primary organization is not financially accountable. Based upon the application of these criteria, the reporting entity includes the following blended component units:

Capital City Coalition, Incorporated is a related not-for-profit Illinois Corporation, which was created as an instrumentality of the Authority for the purpose of acquiring and developing affordable housing. The corporation's year end is December 31, and is reported in the accompanying schedules in the Component Unit column. A copy of the financial statements of Capital City Coalition, Incorporated for the year ended December 31, 2008 may be obtained by writing to the Springfield Housing Authority at 200 North 11th Street, Springfield, Illinois 62703.

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE C - CASH AND INVESTMENT DEPOSITS

Custodial Credit Risk – The Housing Authority policy is to limit credit risk by adherence to the list of HUD permitted investments, which are backed by the full faith and credit of or a guarantee of principal and interest by the U.S. Government.

Interest Rate Risk – The Housing Authority does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from interest rate volatility.

The Authority's cash and cash equivalents consist of cash held in interest bearing checking accounts and money market accounts totaling \$7,900,162.

Deposits with financial institutions are secured as follows:

| | |
|---|---------------------|
| Insured by FDIC | \$ 231,730 |
| Investments held in U.S. Treasury Obligations | <u>7,667,882</u> |
| | <u>\$ 7,899,612</u> |

The remaining \$550 is held in a petty cash fund. All investments are carried at cost plus accrued interest, which approximates market. The Authority had no realized gains or losses on the sale of investments. The calculation of realized gains or losses is independent of a calculation of the net change in the fair value of investments.

NOTE D - CONTRACTUAL COMMITMENTS

The Authority had Outstanding Contractual Commitments as of the Balance Sheet Date as follows:

| <u>Type Commitment</u> | <u>Amount</u> |
|-------------------------------|---------------|
| Modernization and Development | \$808,426 |

NOTE E - SIGNIFICANT ESTIMATES

These financial statements are prepared in accordance with generally accepted accounting principles. The financial statements include some amounts that are based on management's best estimates and judgments. The most significant estimates relate to depreciation and useful lives, and Accounts Receivable valuations. These estimates may be adjusted as more current information becomes available, and any adjustment could be significant.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE F – RISK MANAGEMENT

The Housing Authority is exposed to various risks of losses related to torts; thefts of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. The Housing Authority carries commercial insurance for all risks of loss, including workman's compensation and employee health and accident insurance. The Housing Authority has not had any significant reductions in insurance coverage or any claims not reimbursed.

NOTE G – CONCENTRATION OF RISK

The Housing Authority receives most of its funding from HUD. These funds and grants are subject to modification by HUD depending on the availability of funding.

NOTE H – NOTES RECEIVABLE

The Authority has two Promissory Notes with Madison Park Place Limited Partnership, a related party of the Authority, for the development of a low income housing project, in the amounts of \$1,263,105 and \$3,764,252. The first note, issued October 30, 1998, is unsecured and bears no interest. The second note, issued August 9, 1999, bears interest at 6.23% and is secured by an investment in real property. The principal amounts and any unpaid accrued interest will be payable from net available cash flow, net proceeds, or condemnation proceeds, as defined in the Promissory Notes. Both notes mature 40 years from their respective issue dates. Accrued interest receivable on Note II as of December 31, 2008 was \$2,202,467, which has been fully reserved. Any future collections of the accrued interest will be recognized as revenue upon receipt.

The Authority issues mortgage loans to assist qualified residents in the purchase of homes. These notes are collateralized by the single-family residences, and are forgivable pending home buyer compliance with loan provisions. The balance of the notes as of December 31, 2008, was \$481,500, which has been fully reserved. Any future collections received on these notes will be recognized as revenue upon receipt.

A summary of Notes Receivable as of December 31, 2008 is as follows:

| | |
|---|---------------------|
| Note I due from Madison Park Place Limited Partnership | \$ 1,263,105 |
| Less: Allowance for Doubtful Accounts | - |
| Note II due from Madison Park Place Limited Partnership | 3,764,252 |
| Less: Allowance for Doubtful Accounts | - |
| Accrued Interest Receivable on Note II | 2,202,467 |
| Less: Allowance for Doubtful Accounts | (2,202,467) |
| Home Buyer Notes Receivable | 481,500 |
| Less: Allowance for Doubtful Accounts | (481,500) |
| Miscellaneous Notes Receivable, Net of Allowance | 21,016 |
| | <u>\$ 5,048,373</u> |

NOTES TO FINANCIAL STATEMENTS - CONTINUED

NOTE I – INTERPROGRAM ACTIVITY

The Housing Authority manages several programs. Many charges, i.e., payroll, benefits, insurance, etc. are paid by the Housing Authority's various funds and subsequently reimbursed. Balances due for such charges are reflected in the Interprogram Due to/Due from account balances. Inter-program balances at December 31, 2008 consisted of the following:

| | | |
|------------------------------|----|-----------|
| Supportive Housing | \$ | 162,748 |
| Section 8 - New Construction | | (7,995) |
| Shelter Plus Care | | (2,419) |
| Public Housing | | 443,657 |
| Resident Opportunity | | (5,858) |
| Housing Choice Voucher | | (38,217) |
| Capital Fund Program | | (272,751) |
| State/ Local | | 81,365 |
| Central Office | | (360,530) |
| | \$ | <u>-</u> |

NOTE J - PENSION PLAN

The Authority provides pension benefits for all of its full-time employees through a defined contribution plan. The plan is administered by *The Equitable Defined Contribution Services*. In a defined contribution plan, benefits depend solely on amounts contributed to the plan plus investment earnings. The Authority contributes approximately 11.5% of the employee's covered compensation. During the year ended December 31, 2008, the Authority made the required contributions in the amount of \$259,847.

NOTE K – COMPENSATED ABSENCES

It is the Authority's policy to grant full time permanent employees vacation benefits in varying amounts to specified maximums depending on tenure with the Authority. Sick leave also accrues to full time employees to specified maximums. The employees are entitled to vacation leave balances at termination. Leave accrued but not yet paid as of December 31, 2008, is shown as a liability allocated between current and non-current.

NOTE L – RESTRICTED CASH

The Authority's restricted cash consists of the following as of the December 31, 2008:

| | | |
|--|----|------------------|
| Held for Housing Assistance Payments | \$ | 1,727,020 |
| Held for Debt Service Requirements | | 552,000 |
| Held for FSS Escrows | | 202,792 |
| Total Restricted Cash and Cash Equivalents | \$ | <u>2,481,812</u> |

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE M – RESTRICTED NET ASSETS

Restricted net assets consist of the following:

| | |
|--|---------------------|
| Restricted for Housing Assistance Payments | \$ 1,727,020 |
| Restricted for Debt Service Requirements | 552,000 |
| Madison Park Place, LP Notes Receivable | 5,027,357 |
| Total Restricted Net Assets | <u>\$ 7,306,377</u> |

Housing Assistance Payment (HAP) funds are available to the Authority under the Section 8 Housing Choice Vouchers program. These funds are to be used only for HAP expenditures of the program. Future collections made on the Madison Park Place Limited Partnership notes receivable (see Note H) are restricted for the purpose of housing Public Housing program residents. There is no offsetting liability for these restricted assets. Therefore, \$7,306,377 is shown as Restricted Net Assets in the net assets section of the Statement of Net Assets.

NOTE N – CAPITAL DEBT

- In October of 2004, the Authority issued a Tax Increment Financing Revenue Bond payable in the amount of \$1,171,000 to construct 27 low income public housing units. The note bears interest at a variable rate per annum equal to 1.73% plus 65% of the prevailing Three Month LIBOR. Principal payments are made once per year, while interest payments are made 4 times per year. The note is secured by an interest in the property. The amount of interest paid and charged to expense during the year was \$42,637. The principal balance at December 31, 2008 was \$845,000 and the applicable interest rate was 2.919%. Debt service requirements are as follows:

| | <u>Principal</u> | <u>Interest</u> | <u>Balance Due</u> |
|------|-------------------|-------------------|--------------------|
| 2009 | \$ 25,000 | \$ 24,666 | \$ 820,000 |
| 2010 | 25,000 | 23,936 | 795,000 |
| 2011 | 25,000 | 23,206 | 770,000 |
| 2012 | 25,000 | 22,476 | 745,000 |
| 2013 | 25,000 | 21,747 | 720,000 |
| 2014 | 720,000 | 21,017 | - |
| | <u>\$ 845,000</u> | <u>\$ 137,048</u> | <u>\$ -</u> |

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE N – CAPITAL DEBT - CONTINUED

2. In April of 2003, the Authority, through its blended component unit, Capital City Coalition, issued a \$265,000 note payable to the National City Bank of Illinois for the purpose of developing affordable housing. The note bears interest at a variable rate per annum based upon the LIBOR. The loan is secured by an interest in the real property financed by the loan. Principal and interest payments of \$2,000 are made monthly. The amount of interest paid and expensed during the year was \$11,027. The outstanding balance as of December 31, 2008 was \$196,557. Debt service requirements are as follows:

| | <u>Principal</u> | <u>Interest</u> | <u>Balance Due</u> |
|-------------|-------------------|------------------|--------------------|
| 2009 | \$ 19,534 | \$ 4,466 | \$ 177,023 |
| 2010 | 20,004 | 3,996 | 157,019 |
| 2011 | 20,485 | 3,515 | 136,534 |
| 2012 | 20,978 | 3,022 | 115,556 |
| 2013 | 21,483 | 2,517 | 94,073 |
| 2014 - 2018 | 94,073 | 4,780 | - |
| | <u>\$ 196,557</u> | <u>\$ 22,296</u> | <u>\$ -</u> |

Long-term liability activity for the year ended December 31, 2008, was as follows:

| | <u>Balance</u> <u>1/1/2008</u> | <u>Increase</u> | <u>Decrease</u> | <u>Balance</u> <u>12/31/2008</u> |
|------------------------------|-----------------------------------|-----------------|-----------------|-------------------------------------|
| Capital Debt | \$ 1,132,310 | \$ - | \$ 90,753 | \$ 1,041,557 |
| Accrued Compensated Absences | 232,273 | 44,253 | - | 276,526 |
| Other Noncurrent Liabilities | 279,288 | - | 76,496 | 202,792 |
| Less: Current portion | <u>(114,121)</u> | | | <u>(127,852)</u> |
| Long Term Debt Liabilities | <u>\$ 1,529,750</u> | | | <u>\$ 1,393,023</u> |

NOTE O – DEFERRED FINANCING COSTS

Costs relating to obtaining financing are capitalized and amortized over the term of the related debt using the straight line method. Unamortized loan costs at December 31, 2008 were \$52,821. When a loan is paid in full, any unamortized financing costs are removed from the related accounts and charged to operations.

NOTE P – COMMITMENTS AND CONTINGENCIES

Amounts received or receivable from HUD are subject to audit and adjustment by grantor agencies. If expenses are disallowed as a result of these audits, the claims for reimbursement to the grantor agency would become a liability of the Authority. In the opinion of management, any such adjustments would not be significant.

NOTES TO FINANCIAL STATEMENTS – CONTINUED

NOTE Q – CAPITAL ASSETS

A summary of capital assets at December 31, 2008 is as follows:

| | <u>PUBLIC HOUSING</u> | <u>HOUSING CHOICE VOUCHERS</u> | <u>CAPITAL FUND</u> |
|-------------------------------|---------------------------|--|-------------------------|
| Land | \$ 4,970,117 | \$ - | \$ - |
| Building and Improvements | 45,860,259 | - | - |
| Furniture and Equipment | 337,181 | 105,293 | - |
| Construction in Process | | - | 1,963,581 |
| Less Accumulated Depreciation | <u>(24,925,763)</u> | <u>(84,712)</u> | <u>-</u> |
| Total Capital Assets | <u>\$ 26,241,794</u> | <u>\$ 20,581</u> | <u>\$ 1,963,581</u> |

| | <u>COMPONENT UNIT</u> | <u>COCC</u> | <u>TOTAL</u> |
|-------------------------------|---------------------------|--------------------|----------------------|
| Land | \$ 76,600 | \$ - | \$ 5,046,717 |
| Building and Improvements | 335,353 | 3,380,503 | 49,576,115 |
| Furniture and Equipment | - | 56,942 | 499,416 |
| Construction in Process | | - | 1,963,581 |
| Less Accumulated Depreciation | <u>(71,252)</u> | <u>(2,717,149)</u> | <u>(27,798,876)</u> |
| Total Capital Assets | <u>\$ 340,701</u> | <u>\$ 720,296</u> | <u>\$ 29,286,953</u> |

| | January 1, 2008 | | Transfers & | December 31, 2008 |
|------------------------------------|----------------------|--------------------|---------------------|----------------------|
| | <u>Balance</u> | <u>Additions</u> | <u>Deletions</u> | <u>Balance</u> |
| Land | \$ 5,174,378 | \$ 600 | \$ (128,261) | \$ 5,046,717 |
| Construction in Process | <u>5,132,911</u> | <u>1,738,388</u> | <u>(4,907,718)</u> | <u>1,963,581</u> |
| Total Assets not being Depreciated | 10,307,289 | 1,738,988 | (5,035,979) | 7,010,298 |
| Buildings and Improvements | 44,690,096 | 9,508 | 4,876,511 | 49,576,115 |
| Furniture and Equipment | <u>675,355</u> | <u>-</u> | <u>(175,939)</u> | <u>499,416</u> |
| Total Capital Assets | 55,672,740 | 1,748,496 | (335,407) | 57,085,829 |
| Less Accumulated Depreciation | <u>(26,415,665)</u> | <u>(1,585,849)</u> | <u>202,638</u> | <u>(27,798,876)</u> |
| Net Book Value | <u>\$ 29,257,075</u> | <u>\$ 162,647</u> | <u>\$ (132,769)</u> | <u>\$ 29,286,953</u> |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM
DECEMBER 31, 2008**

ASSETS

| | Supportive Housing | Section 8 New Construction | Shelter Plus Care | Public Housing | Resident Opportunity | Housing Choice Voucher |
|----------------------------------|-------------------------------|---|------------------------------|---------------------------|---------------------------------|---------------------------------------|
| <u>Current Assets</u> | | | | | | |
| Cash and Cash Equivalents | \$ - | \$ 7,174 | \$ 62,654 | \$ 4,514,251 | \$ - | \$ 321,287 |
| Accounts Receivable - Grants | - | 46,505 | - | - | 5,858 | - |
| Accounts Receivable - Other | - | - | - | 12,655 | - | 1,234 |
| Tenants Accounts Receivable | - | - | - | 122,523 | - | - |
| Allowance for Doubtful Accounts | - | - | - | (37,500) | - | - |
| Prepaid Costs | - | - | - | - | - | - |
| Inventory | - | - | - | 37,781 | - | - |
| Assets Held for Resale | - | - | - | 145,168 | - | - |
| Interprogram | 162,748 | - | - | 443,657 | - | - |
| Total Current Assets | 162,748 | 53,679 | 62,654 | 5,238,535 | 5,858 | 322,521 |
| <u>Non-current Assets</u> | | | | | | |
| <u>Restricted Assets</u> | | | | | | |
| Cash and Cash Equivalents | - | - | - | 552,000 | - | 1,929,812 |
| Total Restricted Assets | - | - | - | 552,000 | - | 1,929,812 |
| <u>Capital Assets</u> | | | | | | |
| Land | - | - | - | 4,970,117 | - | - |
| Buildings and Improvements | - | - | - | 45,860,259 | - | - |
| Furniture and Equipment | - | - | - | 337,181 | - | 105,293 |
| Construction in Progress | - | - | - | - | - | - |
| (Less): Accumulated Depreciation | - | - | - | 51,167,557 | - | 105,293 |
| Net Capital Assets | - | - | - | (24,925,763) | - | (84,712) |
| Other Assets | | | | | | |
| Notes Receivable | - | - | - | 5,048,373 | - | - |
| Debt Issuance Costs | - | - | - | 52,821 | - | - |
| Total Other Assets | - | - | - | 5,101,194 | - | - |
| Total Assets | \$ 162,748 | \$ 53,679 | \$ 62,654 | \$ 37,133,523 | \$ 5,858 | \$ 2,272,914 |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM
DECEMBER 31, 2008**

ASSETS

| | <u>Capital Fund</u> | <u>State/ Local</u> | <u>Component Unit</u> | <u>Central Office</u> | <u>Elimination</u> | <u>Total</u> |
|----------------------------------|-------------------------|-------------------------|---------------------------|---------------------------|--------------------|---------------|
| <u>Current Assets</u> | | | | | | |
| Cash and Cash Equivalents | \$ - | \$ - | \$ 11,651 | \$ 501,333 | \$ - | \$ 5,418,350 |
| Accounts Receivable - Grants | 272,751 | - | - | - | - | 325,114 |
| Accounts Receivable - Other | - | - | - | 12,285 | - | 26,174 |
| Tenants Accounts Receivable | - | - | - | - | - | 122,523 |
| Allowance for Doubtful Accounts | - | - | - | - | - | (37,500) |
| Prepaid Costs | - | - | 1,267 | 30,859 | - | 32,126 |
| Inventory | - | - | - | - | - | 37,781 |
| Assets Held for Resale | - | - | - | - | - | 145,168 |
| Interprogram | - | 81,365 | - | - | (687,770) | - |
| Total Current Assets | 272,751 | 81,365 | 12,918 | 544,477 | (687,770) | 6,069,736 |
| <u>Non-current Assets</u> | | | | | | |
| <u>Restricted Assets</u> | | | | | | |
| Cash and Cash Equivalents | - | - | - | - | - | 2,481,812 |
| Total Restricted Assets | - | - | - | - | - | 2,481,812 |
| <u>Capital Assets</u> | | | | | | |
| Land | - | - | 76,600 | - | - | 5,046,717 |
| Buildings and Improvements | - | - | 335,353 | 3,380,503 | - | 49,576,115 |
| Furniture and Equipment | - | - | - | 56,942 | - | 499,416 |
| Construction in Progress | 1,963,581 | - | - | - | - | 1,963,581 |
| (Less): Accumulated Depreciation | 1,963,581 | - | 411,953 | 3,437,445 | - | 57,085,829 |
| Net Fixed Assets | - | - | (71,252) | (2,717,149) | - | (27,798,876) |
| Total Capital Assets | 1,963,581 | - | 340,701 | 720,296 | - | 29,286,953 |
| <u>Other Assets</u> | | | | | | |
| Notes Receivable | - | - | - | - | - | 5,048,373 |
| Debt Issuance Costs | - | - | - | - | - | 52,821 |
| Total Other Assets | - | - | - | - | - | 5,101,194 |
| Total Assets | \$ 2,236,332 | \$ 81,365 | \$ 353,619 | \$ 1,264,773 | \$ (687,770) | \$ 42,939,695 |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM
DECEMBER 31, 2008**

LIABILITIES AND NET ASSETS

| | Supportive <u>Housing</u> | Section 8 | | | Public <u>Housing</u> | Resident <u>Opportunity</u> | Housing <u>Choice</u> <u>Voucher</u> |
|-----------------------------------|------------------------------|---------------------|------------------------------|---------------|--------------------------|--------------------------------|--|
| | | <u>Construction</u> | <u>Shelter Plus Care</u> | <u>New</u> | | | |
| <u>Current Liabilities</u> | | | | | | | |
| Accounts Payable | \$ 3,552 | \$ 2,954 | \$ - | \$ 9,364 | \$ - | \$ - | |
| Accrued Wages and Payroll Taxes | - | - | - | - | - | - | |
| Accrued Compensated Absences | - | 1,198 | - | 42,180 | - | 13,448 | |
| Accrued Interest Payable | - | - | - | 5,660 | - | - | |
| Tenant Security Deposits | - | - | - | 220,454 | - | - | |
| Deferred Revenues | - | - | 74,123 | - | - | - | |
| Other Current Liabilities | - | - | - | 67,335 | - | - | |
| Current Portion of Long Term Debt | - | - | - | 25,000 | - | - | |
| Interprogram Payable | - | 7,995 | 2,419 | - | 5,858 | 38,217 | |
| Total Current Liabilities | 3,552 | 12,147 | 76,542 | 369,993 | 5,858 | 51,665 | |
| <u>Long Term Liabilities</u> | | | | | | | |
| Long Term Capital Debt | - | - | - | 820,000 | - | - | |
| Accrued Compensated Absences | - | 2,795 | - | 98,418 | - | 31,378 | |
| Noncurrent Liabilities - Other | - | - | - | - | - | 202,792 | |
| Total Long Term Liabilities | - | 2,795 | - | 918,418 | - | 234,170 | |
| Total Liabilities | 3,552 | 14,942 | 76,542 | 1,288,411 | 5,858 | 285,835 | |
| <u>Net Assets</u> | | | | | | | |
| Investment in Capital Assets | - | - | - | 25,396,794 | - | 20,581 | |
| Net of Related Debt | - | - | - | 5,579,357 | - | 1,727,020 | |
| Restricted Net Assets | 159,196 | 38,737 | (13,888) | 4,868,961 | - | 239,478 | |
| Unrestricted Net Assets | 159,196 | 38,737 | (13,888) | 35,845,112 | - | 1,987,079 | |
| Total Liabilities and Net Assets | \$ 162,748 | \$ 53,679 | \$ 62,654 | \$ 37,133,523 | \$ 5,858 | \$ 2,272,914 | |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF ASSETS, LIABILITIES AND NET ASSETS BY PROGRAM
DECEMBER 31, 2008**

LIABILITIES AND NET ASSETS

| | Capital Fund | State/ Local | Component Unit | Central Office | Elimination | Total |
|----------------------------------|-----------------|-----------------|-------------------|-------------------|--------------|---------------|
| <u>Current Liabilities</u> | | | | | | |
| Accounts Payable | \$ - | \$ 310 | \$ - | \$ 10,629 | \$ - | \$ 26,809 |
| Accrued Wages and Payroll Taxes | - | - | - | 195 | - | 195 |
| Accrued Compensated Absences | - | - | - | 26,492 | - | 83,318 |
| Accrued Interest Payable | - | - | 1,556 | - | - | 7,216 |
| Tenant Security Deposits | - | - | - | - | - | 220,454 |
| Deferred Revenues | - | 26,415 | 6,000 | - | - | 106,538 |
| Other Current Liabilities | - | - | - | - | - | 67,335 |
| Current Portion of Capital Debt | - | - | 19,534 | - | - | 44,534 |
| Interprogram Payable | 272,751 | - | - | 360,530 | (687,770) | - |
| Total Current Liabilities | 272,751 | 26,725 | 27,090 | 397,846 | (687,770) | 556,399 |
| <u>Long Term Liabilities</u> | | | | | | |
| Long Term Capital Debt | - | - | 177,023 | - | - | 997,023 |
| Accrued Compensated Absences | - | - | - | 60,617 | - | 193,208 |
| Noncurrent Liabilities - Other | - | - | - | - | - | 202,792 |
| Total Long Term Liabilities | - | - | 177,023 | 60,617 | - | 1,393,023 |
| Total Liabilities | 272,751 | 26,725 | 204,113 | 458,463 | (687,770) | 1,949,422 |
| <u>Net Assets</u> | | | | | | |
| Investment in Capital Assets | | | | | | |
| Net of Related Debt | 1,963,581 | - | 144,144 | 720,296 | - | 28,245,396 |
| Restricted Net Assets | - | - | - | - | - | 7,306,377 |
| Unrestricted Net Assets | - | 54,640 | 5,362 | 86,014 | - | 5,438,500 |
| Total Net Assets | 1,963,581 | 54,640 | 149,506 | 806,310 | - | 40,990,273 |
| Total Liabilities and Net Assets | \$ 2,236,332 | \$ 81,365 | \$ 353,619 | \$ 1,264,773 | \$ (687,770) | \$ 42,939,695 |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF INCOME, EXPENSES AND CHANGES IN NET ASSETS BY PROGRAM
FOR THE YEAR ENDED DECEMBER 31, 2008**

| | Supportive Housing | Section 8 New Construction | Shelter Plus Care | Public Housing | Resident Opportunity | Housing Choice Voucher |
|--|--------------------|----------------------------|-------------------|----------------|----------------------|------------------------|
| <u>Operating Revenues</u> | | | | | | |
| Dwelling Rent | \$ - | \$ - | \$ - | \$ 1,359,708 | \$ - | \$ - |
| Operating Grants | 344,991 | 417,319 | 6,573 | 2,759,108 | 22,429 | 8,343,314 |
| Other Revenue | - | - | - | 285,343 | - | 3,020 |
| Total Operating Revenues | 344,991 | 417,319 | 6,573 | 4,404,159 | 22,429 | 8,346,334 |
| <u>Operating Expenses</u> | | | | | | |
| Administrative | 33,953 | 41,382 | (694) | 1,297,145 | 22,429 | 963,893 |
| Tenant Services | - | - | - | 12,740 | - | - |
| Utilities | - | - | - | 681,842 | - | - |
| Maintenance and Operations | - | - | - | 1,489,422 | - | 2,424 |
| Protective Services | - | - | - | 177,569 | - | - |
| General Expense | - | - | - | 386,924 | - | 53,869 |
| Housing Assistance Payments | 233,410 | 386,213 | 3,747 | - | - | 8,753,648 |
| Depreciation | - | - | - | 1,524,724 | - | 15,566 |
| Total Operating Expenses | 267,363 | 427,595 | 3,053 | 5,570,366 | 22,429 | 9,789,400 |
| Operating Income (Loss) | 77,628 | (10,276) | 3,520 | (1,166,207) | - | (1,443,066) |
| <u>Non-Operating Revenues (Expenses)</u> | | | | | | |
| Investment Income | - | 288 | - | 172,410 | - | 85,357 |
| Interest Expense on Capital Debt | - | - | - | (42,637) | - | - |
| Bad Debts - Notes and Mortgages Receivable | - | - | - | (830,139) | - | - |
| Loss on Disposition of Capital Assets | - | - | - | (48,007) | - | - |
| Total Non-Operating Rev/(Exp) | - | 288 | - | (748,373) | - | 85,357 |
| Increase (decrease) before Capital Contributions and Transfers | 77,628 | (9,988) | 3,520 | (1,914,580) | - | (1,357,709) |
| Capital Contributions | - | - | - | - | - | - |
| Operating Transfers In (Out) | - | - | - | 148,932 | - | - |
| Increase (Decrease) in Net Assets | 77,628 | (9,988) | 3,520 | (1,765,648) | - | (1,357,709) |
| Net Assets, Beginning | 81,568 | 48,725 | (17,408) | 32,933,821 | - | 3,344,788 |
| Net Asset Transfers | - | - | - | 4,676,939 | - | - |
| Net Assets, Ending | \$ 159,196 | \$ 38,737 | \$ (13,888) | \$ 35,845,112 | \$ - | \$ 1,987,079 |

**SPRINGFIELD HOUSING AUTHORITY
SCHEDULE OF INCOME, EXPENSES AND CHANGES IN NET ASSETS BY PROGRAM
FOR THE YEAR ENDED DECEMBER 31, 2008**

| | Capital Fund | State/Local | Component Unit | Central Office | Elimination | Total |
|--|--------------|-------------|----------------|----------------|-------------|---------------|
| <u>Operating Revenues</u> | | | | | | |
| Dwelling Rent | \$ - | \$ - | \$ 45,600 | \$ - | \$ - | \$ 1,405,308 |
| Operating Grants | 419,699 | 50,239 | - | - | - | 12,363,672 |
| Other Revenue | - | - | - | 1,121,577 | (1,057,017) | 352,923 |
| Total Operating Revenue | 419,699 | 50,239 | 45,600 | 1,121,577 | (1,057,017) | 14,121,903 |
| <u>Operating Expenses</u> | | | | | | |
| Administrative | 247,933 | - | (3,356) | 1,071,870 | (1,057,017) | 2,617,538 |
| Tenant Services | - | 7,960 | - | - | - | 20,700 |
| Utilities | - | - | - | 42,498 | - | 724,340 |
| Maintenance and Operations | 22,834 | 7,301 | 110 | 56,679 | - | 1,578,770 |
| Protective Services | - | - | - | - | - | 177,569 |
| General Expense | - | - | 13,320 | 91,017 | - | 545,130 |
| Housing Assistance Payments | - | - | - | - | - | 9,377,328 |
| Depreciation | - | 310 | - | - | - | 1,585,849 |
| Total Operating Expenses | 270,767 | 15,571 | 22,729 | 1,294,968 | (1,057,017) | 16,627,224 |
| Operating Income (Loss) | 148,932 | 34,668 | 22,871 | (173,391) | - | (2,505,321) |
| <u>Non-Operating Revenue (Expenses)</u> | | | | | | |
| Investment Income | - | - | 55 | 11,610 | - | 269,720 |
| Interest Expense on Capital Debt | - | - | (11,027) | - | - | (53,664) |
| Bad Debts - Notes and Mortgages Receivable | - | - | - | - | - | (830,139) |
| Loss on Disposition of Capital Assets | - | - | - | - | - | (48,007) |
| Total Non-Operating Rev/(Exp) | - | - | (10,972) | 11,610 | - | (662,090) |
| Increase (decrease) before Capital Contributions and Transfers | 148,932 | 34,668 | 11,899 | (161,781) | - | (3,167,411) |
| Capital Contributions | 1,738,388 | - | - | - | - | 1,738,388 |
| Operating Transfers In (Out) | (148,932) | - | - | - | - | - |
| Increase (Decrease) in Net Assets | 1,738,388 | 34,668 | 11,899 | (161,781) | - | (1,429,023) |
| Net Assets, Beginning | 5,132,911 | 19,972 | 137,607 | 737,312 | - | 42,419,296 |
| Net Asset Transfers | (4,907,718) | - | - | 230,779 | - | - |
| Net Assets, Ending | \$ 1,963,581 | \$ 54,640 | \$ 149,506 | \$ 806,310 | \$ - | \$ 40,990,273 |

**SPRINGFIELD HOUSING AUTHORITY
STATEMENT AND CERTIFICATION OF PROGRAM COSTS - CAPITAL FUND PROGRAM
FOR THE YEAR ENDED DECEMBER 31, 2008**

| | Public Housing Capital Fund Program IL06P00450103 | Public Housing Capital Fund Program IL06P00450203 | Public Housing Capital Fund Program IL06P00450104 |
|--------------------------|--|--|--|
| Funds Approved | \$ 1,281,684 | \$ 406,641 | \$ 1,499,802 |
| Funds Expended | <u>1,281,684</u> | <u>406,641</u> | <u>1,499,802</u> |
| Excess of Funds Approved | <u><u>\$ -</u></u> | <u><u>\$ -</u></u> | <u><u>\$ -</u></u> |

| | | | |
|--------------------------|--------------------|--------------------|--------------------|
| Funds Advanced | \$ 1,281,684 | \$ 406,641 | \$ 1,499,802 |
| Funds Expended | <u>1,281,684</u> | <u>406,641</u> | <u>1,499,802</u> |
| Excess of Funds Advanced | <u><u>\$ -</u></u> | <u><u>\$ -</u></u> | <u><u>\$ -</u></u> |

| | Public Housing Capital Fund Program IL06R00450101 | Public Housing Capital Fund Program IL06R00450102 |
|--------------------------|--|--|
| Funds Approved | \$ 754,611 | \$ 717,239 |
| Funds Expended | <u>754,611</u> | <u>2,125</u> |
| Excess of Funds Approved | <u><u>\$ -</u></u> | <u><u>\$ 715,114</u></u> |

| | | |
|--------------------------|--------------------|--------------------|
| Funds Advanced | \$ 754,611 | \$ 2,125 |
| Funds Expended | <u>754,611</u> | <u>2,125</u> |
| Excess of Funds Advanced | <u><u>\$ -</u></u> | <u><u>\$ -</u></u> |

1. The distribution of costs as shown on the Actual Modernization Cost Certificates submitted to HUD for approval are in agreement with the Housing Authority's records.
2. All Modernization costs have been paid and all related liabilities have been discharged through payment.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SUMMARY SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

YEAR ENDED DECEMBER 31, 2008

EXPENDITURES

| | |
|--|-----------------------------|
| Supportive Housing for Persons with Disabilities Total CFDA Number 14.181 | <u>\$ 344,991</u> |
| Section 8 New Construction Program Total CFDA Number 14.182 | <u>417,319</u> |
| Shelter Plus Care Total CFDA Number 14.238 | <u>6,573</u> |
| Public Housing Program Total CFDA Number 14.850a | <u>2,759,108</u> |
| Resident Opportunity and Supportive Services Total CFDA Number 14.870 | <u>22,429</u> |
| Section 8 Housing Choice Vouchers Total CFDA Number 14.871 | <u>8,343,314</u> |
| Public Housing Capital Fund Program Total CFDA Number 14.872 | <u>2,158,087</u> |
| Total HUD Expenditures | <u><u>\$ 14,051,821</u></u> |

Accounting Policies:

The information on this schedule is prepared in accordance with accounting principles as described in the footnotes to this report.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS

DECEMBER 31, 2008

Section I: Summary of Auditor's Results:

FINANCIAL STATEMENTS

| | | |
|--|---|--|
| Type of auditor's report issued: | Unqualified | |
| Internal Control over financial reporting: | | |
| Are material weaknesses identified? | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| Are significant deficiencies that are not considered to be material weaknesses identified? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Is noncompliance that could have a material effect on the financial statements identified? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

FEDERAL AWARDS

| | | |
|--|---|--|
| Internal control over major programs: | | |
| Are material weaknesses identified? | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| Are significant deficiencies that are not considered to be material weaknesses identified? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Type of report issued on compliance with requirements applicable to each major program: | Unqualified | |
| Are there any audit findings that are required to be reported in accordance with Section 510(a) of OMB Circular A-133? | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |

Identification of major programs:

| <u>Name of Federal Program</u> | <u>CFDA No.</u> |
|---|-----------------|
| Public Housing Program | 14.850a |
| Section 8 Housing Choice Vouchers Program | 14.871 |
| Public Housing Capital Fund Program | 14.872 |

Dollar threshold used to distinguish between type A and type B programs: \$421,555

Is the auditee identified as a low-risk auditee? Yes No

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS

DECEMBER 31, 2008

Section II: Financial Statement Findings:

Summary Schedule of Prior Year Findings:

Finding 07 - 01 – Procurement Deficiencies

Public Housing – CFDA No. 14.850a and Public Housing Capital Fund Program – CFDA No. 14.872;
Grant period – year ended December 31, 2007

Condition and Cause:

The Authority failed to comply with the PHA's procurement policy, CFR 25 and HUD Handbook 7460.8. Prior to awarding the contract, the PHA failed to document that the contractor was not on the debarred list for three of the contracts examined. Two were ultimately located, but were printed out after the contract was already in place. One contract lacked documentation which verified a bid process was performed. Another contract lacked documentation of both the bid process and the contract itself.

Current Year Status

The Authority appears to have corrected the deficiencies which led to this finding. This finding is not restated in the current year.

Finding 07 - 02 – Tenant File Deficiencies

Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2007

Condition and Cause:

A review of Section 8 Housing Choice Voucher tenant files revealed the following deficiencies out of 129 files reviewed:

- 1) The Authority could not produce the proper file for one tenant file selected
- 2) 12 files had income calculated improperly, resulting in overpayment of HAP
- 3) 20 files were missing proper third party income verifications
- 4) 3 files had HAP computations which did not agree with the HAP register
- 5) 7 files did not contain up-to-date 50058 reports
- 6) 1 file was missing the Lead Based Paint notice

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS

DECEMBER 31, 2008

Section II: Financial Statement Findings - Continued:

Current Year Findings and Questioned Costs:

Finding 07 - 02 – Tenant File Deficiencies - Continued

Current Year Status

The Authority appears not to have corrected the deficiencies which led to this finding. This finding is restated in the current year as Finding 08-02 in Section III of this Schedule.

Finding 07 - 03 – Deficiencies in the preparation of the year end Financial Data Schedule to HUD/REAC

Public Housing Program – CFDA No. 14.850a; Grant period – year ended December 31, 2007

Condition and Cause:

The Financial Data Schedule for the year ended December 31, 2007 was not submitted until late August, 2008, almost six months after the due date.

Current Year Status

The Authority did submit its Financial Data Schedule to REAC by the HUD imposed deadline. However, the submission contained inaccuracies which were material to the Public Housing and Capital Fund federal programs. This finding is restated in the current year as Finding 08-01.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS

DECEMBER 31, 2008

Section II: Financial Statement Findings - Continued:

Current Year Findings and Questioned Costs:

Finding 08 - 01 – Inadequate Accounting Control over Financial Reporting

Public Housing – CFDA No. 14.850a, Capital Fund Program – CFDA No. 14.872 and Central Office Cost Center; Grant period – year ended December 31, 2008

Criteria:

Financial statements should be prepared in accordance with generally accepted accounting principles (GAAP). Periodic reviews should be performed to ensure all transactions relating to the fiscal year have been recorded in the correct account and entered in the correct amount.

Condition:

A review of the accounting records revealed an overstatement of total entity capital assets in the amount of \$1,080,548 reported on the Authority's Financial Data Schedule submission. Capital assets reported in the Public Housing program, Capital Fund Program, and the Central Office Cost Center contributed to the total entity overstatement.

Cause:

The Authority failed to enter adjustments resulting from their year ended December 31, 2007 independent audit, resulting in over-stated beginning capital asset balances. The Authority capitalized current year operating expenses resulting in over-stated ending capital asset balances.

Recommendation:

We recommend the execution of established financial internal control procedures to ensure significant general ledger accounts are reconciled to accounting records such as subsidiary ledgers, to assure Authority assets and financial operations are accounted-for and reported in accordance with generally accepted accounting principles. A review of significant general ledger accounts should be performed periodically to assure reasonableness and accuracy.

Reply and Corrective Action Plan:

Michelle Sergent, Director of Finance, has assumed responsibility of strengthening controls over financial reporting and expects this situation to be resolved in the current fiscal year.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS

DECEMBER 31, 2008

Section III: Federal Awards Findings & Questioned Costs:

Summary Schedule of Prior Year Findings:

Finding 07 - 01 – Procurement Deficiencies

See Finding 07 – 01 under Section II of this Schedule

Finding 07 - 02 – Tenant File Deficiencies

See Finding 07 – 02 under Section II of this Schedule

Finding 07 - 03 – Deficiencies in the preparation of the year end Financial Data Schedule to HUD/REAC

See Finding 07 – 03 under Section II of this Schedule

Current Year Findings and Questioned Costs:

Finding 08 - 01 – Inadequate Accounting Control over Financial Reporting

See Finding 08 – 01 under Section II of this Schedule

Finding 08 - 02 – Section 8 Housing Choice Voucher Tenant File Deficiencies

Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2008

Criteria:

The Code of Federal Regulations and HUD guidelines give the requirements for maintaining tenant files for the Section 8 Housing Choice Voucher program. Also, Housing Authority policy and procedures dictate full compliance with these regulations as well as guidelines to be followed in maintaining these files.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS
CONTINUED

DECEMBER 31, 2008

Section III: Federal Awards Findings & Questioned Costs - Continued:

Current Year Findings and Questioned Costs - Continued:

Finding 08 - 02 – Section 8 Housing Choice Voucher Tenant File Deficiencies - Continued

Condition:

The Authority failed to meet HUD regulations for maintenance of Housing Choice Voucher program tenant files. The following deficiencies were noted during a review of 42 files:

- 1) 4 of the requested files were provided for audit 2 weeks after initial request
- 2) 1 of the requested files was not provided for audit
- 3) 3 files did not contain an applicable HUD 50058 report
- 4) 7 files did not contain an Earned Income Verification
- 5) 3 files did not contain a Social Security Card for applicable family members
- 6) 2 files did not contain a birth certificate for each family member
- 7) 1 file did not contain a HAP contract
- 8) 5 files did not contain the required community service documentation
- 9) 1 file did not contain a lead-based paint warning

Cause:

This deficiency was caused by a failure to execute adequate internal control within the Authority's operating department.

Questioned Costs – None identified

Recommendation:

We recommend the Authority conduct periodic quality control reviews of their Section 8 Housing Choice Voucher program tenant files to assure compliance with federal guidelines and Authority policy.

Reply and Corrective Action Plan:

Jackie Newman, Executive Director, has assumed responsibility for implementing the Corrective Action Plan by the end of the current year.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS
CONTINUED

DECEMBER 31, 2008

Section III: Federal Awards Findings & Questioned Costs - Continued:

Current Year Findings and Questioned Costs - Continued:

Finding 08 - 03 – Office of Inspector General (OIG) Audit Report

Section 8 Housing Choice Voucher Program – CFDA No. 14.871; Grant period – year ended December 31, 2008

Criteria:

The Code of Federal Regulations and HUD guidelines give the requirements for calculating HAP and Utility Allowance Payments, and for verifying household income for the Section 8 Housing Choice Voucher Program. Also, Housing Authority policy and procedures dictate full compliance with these regulations as well as guidelines to be followed in the administration of federal assistance to households and examining eligibility.

Condition & Cause

The Office of Inspector General conducted an audit of the Authority's Section 8 Housing Choice Voucher Program for the period of January 2007 through August 2008. The OIG's report issued April 28, 2009, contained the following findings:

- 1) Controls over Housing Assistance and Utility Allowance Payments were inadequate
- 2) The Authority's zero-income households had unreported income

Questioned Costs

The OIG's report identified approximately \$57,000 in HAP and Utility Allowance overpayments, \$21,000 in unsupported payments, \$22,000 in underpayments, and \$19,000 improperly received program administrative fees.

SPRINGFIELD HOUSING AUTHORITY

SPRINGFIELD, ILLINOIS

SCHEDULE OF FINDINGS
AND QUESTIONED COSTS
CONTINUED

DECEMBER 31, 2008

Section III: Federal Awards Findings & Questioned Costs - Continued:

Summary Schedule of Current Year Findings – Continued:

Finding 08 - 03 – Office of Inspector General (OIG) Audit Report - Continued

Recommendation

The OIG made the following recommendations relative to Finding #1:

- a) Pursue collections or reimburse its program from non-federal funds for the overpayments of housing assistance payments
- b) Reimburse its program from non-federal funds for improper administrative fees received from HUD related to households for which HAP were calculated incorrectly
- c) Ensure that its staff responsible for performing quality control reviews are knowledgeable of HUD's policies and procedures
- d) Implement a system of supervisory reviews within its quality control process and implement adequate procedures for obtaining and documenting approval for adjustment to its housing assistance payments
- e) Implement adequate procedures and controls to ensure that repayment agreements are created to recover overpaid housing assistance when unreported income is discovered during the examination process

The OIG made the following recommendations relative to Finding #2:

- a) Pursue collections or reimburse its program from non-federal funds for the overpayments of housing assistance payments
- b) Implement quality control procedures to include supervisory reviews of the household files with reported zero income
- c) Review the remaining households claiming zero income to determine whether the households had unreported income

We recommend the Authority implement the OIG's recommendations and to continue to work with HUD to clear these findings and strengthen controls over operations in their Section 8 Housing Choice Voucher program.

Reply & Corrective Action Plan

The Authority has entered into a Memorandum of Agreement with HUD in response to these findings. Jackie Newman, Executive Director, has assumed responsibility for strengthening controls over program operations by the end of the current year.