

PHA 5-Year and Annual Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires 4/30/2011

1.0	PHA Information PHA Name: <u>Pleasanton Housing Authority</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>07/2010</u> PHA Code: <u>CA 081</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>50</u> Number of HCV units: <u>0</u>				
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <u>The mission of the PHA is the same as that of the Department of Housing and Urban Development. To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.</u>				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <u>HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.</u> PHA Goal: <u>Expand the supply of assisted housing</u> <ul style="list-style-type: none"> ▪ <u>Rehabilitation of existing housing to create affordable units.</u> ▪ <u>Enhancement of opportunities through the existing rental assistance programs. The Housing Authority will support the efforts of the Housing Authority of Alameda County (HACA) to secure commitments from HUD for new Section 8 allocations.</u> ▪ <u>Support the efforts of BRIDGE Housing to complete construction and rental of a 105 unit assisted living facility on Sunol Blvd. in Pleasanton.</u> PHA Goal: <u>Improve the quality of assisted housing</u> <ul style="list-style-type: none"> ▪ <u>Improve public housing management.</u> ▪ <u>Increase customer satisfaction.</u> PHA Goal: <u>Increase assisted housing choices</u> <ul style="list-style-type: none"> ▪ <u>Support the efforts of the Housing Authority of Alameda County (HACA) to conduct outreach efforts to potential landlords.</u> <p><u>Progress (2005-2009): During the past five years, the PHA has been working on a plan to redevelop Kottinger Place and its neighboring senior development, Pleasanton Gardens (a 40-unit Section 236 / Section 8 elderly rental development at 251 Kottinger Drive) into a new project that would have up to 150 units. The City has made significant progress although a development plan will likely not be approved until 2011-12 due to the complexity of issues surrounding the proposed project. The PHA supported the completion of the Parkview assisted living facility, a collaborative project by the City of Pleasanton and BRIDGE Housing, which opened in February 2007 and includes 31 assisted living units (including housing plus assisted living services) for very low and extremely low income seniors. This new project provides an opportunity for assisted living for elderly residents of Public Housing such as Kottinger Place. The PHA has made strides in improving management by implementing an asset management model at Kottinger Place through its management partnership with Barcelon Associates. The number of management positions / hours on site has been nearly doubled during the past five years with a strong emphasis on maintenance. These combined efforts have led to a decrease in resident complaints and higher resident satisfaction.</u></p> <u>HUD Strategic Goal: Improve community quality of life and economic vitality</u> PHA Goal: <u>Provide an improved living environment</u> <p><u>Progress (2005-2009): As noted above (and as detailed in Section 10), the PHA has made a number of physical and administrative improvements at Kottinger Place that have improved the living environment of the residents.</u></p> <u>HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals</u> PHA Goal: <u>Promote self-sufficiency and asset development of assisted households</u>				

	<ul style="list-style-type: none"> ▪ <u>Provide or attract supportive services to increase independence for the elderly or families with disabilities.</u> <p><u>Progress (2005-2009): During the past five-year period, the PHA introduced and gradually increased the hours of an on-site social services coordinator who has had success in providing supportive services to the primarily elderly population at Kottinger Place. The social services coordinator works in conjunction with Pleasanton Gardens (a 40-unit senior complex across the street) to achieve greater management efficiencies and maximum effectiveness.</u></p> <p>HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans</p> <p>PHA Goal: <u>Ensure equal opportunity and affirmatively further fair housing</u></p> <ul style="list-style-type: none"> ▪ <u>Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.</u> ▪ <u>Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability.</u> ▪ <u>Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.</u> <p><u>Progress (2005-2009): The PHA benefits from the City of Pleasanton's contractual relationship with ECHO Housing, a nonprofit housing counseling agency, to provide housing counseling, tenant-landlord mediation, discrimination investigation, and related fair housing support to residents of Kottinger Place and greater Pleasanton. The City of Pleasanton completed an updated Analysis of Impediments to Fair Housing in collaboration with the Alameda County HOME Consortium in 2009.</u></p> <p>Other PHA Goals and Objectives</p> <ul style="list-style-type: none"> ▪ <u>In accordance with the views expressed by residents, including the Resident Advisory Council, during the development of this Plan, the most important goal of the Plan is to preserve the high quality of physical and social amenities provided at Kottinger Place. To accomplish this, our primary objective will be to ensure that adequate provision is made for future maintenance and replacement.</u> <p><u>Progress (2005-2009): As noted above (and as detailed in Section 10), the PHA has made a number of physical and administrative improvements at Kottinger Place that have improved the living environment of the residents. This strong emphasis is reflected in the expenditures that have been submitted under the CFP and OFND grants.</u></p>
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ul style="list-style-type: none"> ▪ <u>An updated Procurement Policy was adopted in February 2010 primarily to incorporate revisions to meet the requirements of the American Recovery and Reinvestment Act (ARRA) of 2009.</u> ▪ <u>The PHA had previously received approval from HUD Headquarters for a Designated Housing Plan (DHP) in December 2003 to designate all 50 units at Kottinger Place as housing exclusively for elderly aged 62 and older consistent with the original intent of the property when it was first opened in 1972. The DHP expired in December 2008. The PHA sought approval of a new DHP in 2009 but was advised by HUD Headquarters that it would not be approved again due to fair housing concerns. Consequently, Kottinger Place no longer has a DHP; however, the waiting list policy will continue to include a priority for elderly applicants aged 62 and older as agreed by HUD Headquarters.</u> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <ul style="list-style-type: none"> ▪ <u>Main administrative office of the PHA (123 Main St., P.O. Box 520, Pleasanton, CA 94566)</u> ▪ <u>PHA development management offices (Kottinger Place, 240 Kottinger Dr., Pleasanton, CA 94566)</u> ▪ <u>Pleasanton Library (400 Old Bernal Ave., Pleasanton, CA 94566)</u> ▪ <u>Copies will be made available to PHA (Kottinger Place) residents upon request.</u>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>[n/a]</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><u>A copy of form HUD-50075.1 is attached for the current CFP grant.</u></p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><u>A copy of form HUD-50075.2 is attached for the Five-Year Action Plan.</u></p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <ul style="list-style-type: none"> ▪ The waiting list type is Public Housing and is solely for persons seeking residency at Kottinger Place (the only property owned by the PHA) ▪ There are a total of 139 families on the waiting list as of April 2010. ▪ The annual turnover rate is approximately 6 families per year. ▪ The waiting list does not include information on the income levels of prospective applicants ▪ There are no (0) families with children on the waiting list. ▪ There are 139 (100%) elderly families on the waiting list. ▪ There are no (0) non-elderly disabled families on the waiting list. ▪ The waiting list does not include information on the race/ethnicity of prospective applicants. ▪ There are 117 families on the waiting list for studio units and 134 on the waiting list for one-bedroom units (most families are on the list for both units types) ▪ The waiting list is currently closed as of April 2010. It was last opened in April 2007 (36 months ago). The PHA does not expect to reopen the list in the coming PHA Plan year (2010). ▪ The waiting list includes preferences for elderly persons aged 62 and older, Pleasanton residents and relatives of Pleasanton residents, applicants who meet disabled criteria, and certified veterans of the U.S. Armed Forces.
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p><u>Need: Shortage of affordable housing for all eligible populations</u></p> <p><u>Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:</u></p> <ul style="list-style-type: none"> ▪ <u>Employ effective maintenance and management policies to minimize the number of public housing units off-line</u> ▪ <u>Reduce turnover time for vacated public housing units</u> ▪ <u>Reduce time to renovate public housing units</u> ▪ <u>Participate in the Consolidated Plan development process to ensure coordination with broader community strategies</u> <p><u>Strategy 2: Increase the number of affordable housing units by:</u></p> <ul style="list-style-type: none"> ▪ <u>Support the efforts of the Housing Authority of Alameda County and the City of Pleasanton to increase Section 8 allocations and to construct new affordable housing units consistent with the Consolidated Plan</u> <p><u>Need: Specific Family Types: Families at or below 30% of median</u></p> <p><u>Strategy 1: Target available assistance to families at or below 30% of AMI</u></p> <p><u>Need: Specific Family Types: Families at or below 50% of median</u></p> <p><u>Strategy 1: Target available assistance to families at or below 50% of AMI</u></p> <p><u>Need: Specific Family Types: The Elderly</u></p> <p><u>Strategy 1: Target available assistance to the elderly:</u></p> <ul style="list-style-type: none"> ▪ <u>Continue to include a priority on the Kottinger Place waiting list for elderly persons aged 62 and older.</u> <p><u>Need: Specific Family Types: Families with Disabilities</u></p> <p><u>Strategy 1: Target available assistance to Families with Disabilities:</u></p> <ul style="list-style-type: none"> ▪ <u>Support the proposed development of housing for persons with disabilities in Pleasanton.</u> <p><u>Need: Specific Family Types: Races or ethnicities with disproportionate housing needs</u></p> <p><u>Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:</u></p> <ul style="list-style-type: none"> ▪ <u>Affirmatively market to races/ethnicities shown to have disproportionate housing needs</u> ▪ <u>Support the efforts of the ECHO fair housing program to ensure that private housing is available without barriers.</u> <p><u>Strategy 2: Conduct activities to affirmatively further fair housing</u></p> <p><u>Other Housing Needs & Strategies:</u></p> <p><u>The following factors listed below influenced the PHA's selection of the strategies it will pursue:</u></p> <ul style="list-style-type: none"> ▪ <u>Funding constraints</u> ▪ <u>Staffing constraints</u> ▪ <u>Limited availability of sites for assisted housing</u> ▪ <u>Extent to which particular housing needs are met by other organizations in the community</u> ▪ <u>Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA</u> ▪ <u>Influence of the housing market on PHA programs</u> ▪ <u>Community priorities regarding housing assistance</u> ▪ <u>Results of consultation with local or state government</u> ▪ <u>Results of consultation with residents and the Resident Advisory Board</u>

	<ul style="list-style-type: none"> ▪ <u>Results of consultation with advocacy groups</u>
<p>10.0</p>	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><u>The PHA has made satisfactory progress toward the goals and objectives established in the 5-Year Plan for FY 2005-2009. As noted in the prior plan, the primary emphasis of the Plan is to preserve the high quality of physical and social amenities provided Kottinger Place. To accomplish this, our primary objective has been to ensure that adequate provision is made for future maintenance and replacement.</u></p> <p><u>The following specific accomplishments were made in relation to this overall objective during the previous 5-year Plan period:</u></p> <ul style="list-style-type: none"> ▪ All 50 units received energy efficient refrigerators. ▪ All 50 units received energy efficient air conditioners. ▪ All 50 units received new weather stripping to improve energy efficiency. ▪ All 50 units received energy efficient light fixtures and accompanying light bulbs. ▪ The energy efficiency projects listed above resulted in a savings of approximately 40% to the utility costs for the master-metered complex. ▪ Wood rot repairs were made to the north side carport and approximately six residential buildings (about 15 units). ▪ A major project to replace the prior antiquated irrigation system was completed. ▪ The exterior of the 3.59 acre property was completely re-landscaped following completion of the irrigation project. ▪ A new "meditation garden" was designed with resident input and installed in the center of the common area. <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>a. Substantial Deviation from the 5-Year Plan</p> <p><u>A "substantial deviation" from the PHA's 5-Year Plan is defined as:</u></p> <ul style="list-style-type: none"> ▪ <u>A change to the PHA's overall mission, or</u> ▪ <u>A complete change or abandonment of the PHA's identified goals that significantly affect services to residents.</u> <p><u>A "substantial deviation" does not relate to meeting identified objectives or delays in implementation of an objective due to the PHA's business needs, emergencies, or circumstances beyond the PHA's control.</u></p> <p>b. Significant Amendment or Modification to the Annual Plan</p> <p><u>A "significant amendment or modification" to the PHA's 5-Year Plan and Annual Plan is defined as:</u></p> <ul style="list-style-type: none"> ▪ <u>A significant change to the PHA's strategies for meeting the housing needs of families in its jurisdictions, or</u> ▪ <u>A significant change in the planned use of financial resources.</u> <p><u>A "significant amendment or modification" does not relate to identified goals/objectives that are later refined through coordination with the local jurisdictions, city/county planning departments, or local jurisdictions' Consolidated Plan proposals/programs.</u></p>
<p>11.0</p>	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PIIA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY)

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type, 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers (or addresses)), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP,
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP, and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan)

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan)

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD.

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2

Exhibit A:
Form HUD-50077
PHA Certifications of Compliance
with the PHA Plans and Related Regulations
(including all certifications relating to Civil Rights)

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 07/2010 _____, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Pleasanton Housing Authority

CA-081

PHA Name

PHA Number/HA Code

X
 _____ 5-Year PHA Plan for Fiscal Years 20¹⁰ - 20¹⁴ _____

X
 _____ Annual PHA Plan for Fiscal Years 20¹⁰ - 20 _____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

Name of Authorized Official Steven Bocian	Title Executive Director
Signature 	Date 4/15/2010

Exhibit B:
Form HUD-50070
Certification for a Drug-Free Workplace
(PHAs receiving CFP grants only)

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Pleasanton, CA

Program/Activity Receiving Federal Grant Funding

Kottinger Place

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Kottinger Place
240 Kottinger Drive
Pleasanton, CA 94566

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

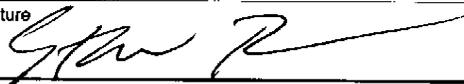
Steven Bocian

Title

Executive Director

Signature

X



Date

4/15/2010

Exhibit C:
Form HUD-50071
Certification of Payments to Influence Federal Transactions
(PHAs receiving CFP grants only)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Pleasanton, CA

Program/Activity Receiving Federal Grant Funding

5-Year / Annual Agency Plan / Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

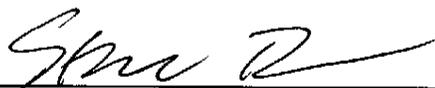
Name of Authorized Official

Steven Bocian

Title

Executive Director

Signature



Date (mm/dd/yyyy)

4/15/2010

Exhibit D:
Form SF-LLL
Disclosure of Lobbying Activities
(PHAs receiving CFP grants only)

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="checked" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="checked" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="checked" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="checked" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 10	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Pleasanton Housing Authority P.O. Box 520, Pleasanton, CA 94566 Congressional District, if known: 10	
6. Federal Department/Agency: Housing and Urban Development (HUD)	7. Federal Program Name/Description: Capital Fund Program CFDA Number, if applicable: 14.872	
8. Federal Action Number, if known: (not known)	9. Award Amount, if known: \$ (not known)	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: Steven Bocian Title: Executive Director Telephone No.: 925-931-5002 Date: 4/15/2010	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Exhibit E:
Form SF-LLL-A
Disclosure of Lobbying Activities Continuation Sheet
(PHAs receiving CFP grants only)

[not applicable]

Exhibit F:
Resident Advisory Board (RAB) Comments

The Resident Advisory Board and other residents met on several occasions prior to the public hearing to discuss issues raised by the proposed 5-Year and Annual Plans. Throughout these discussions, there was broad consensus on the following points:

- Kottinger Place is well-managed and generally in very good condition. The Housing Authority's primary goal should be to continue to operate the development as it has in the past, giving particular attention to avoiding deferred maintenance and implementing the recently developed investment program assisted through the CFP and OFND.
- Residents have mixed opinions regarding the need to replace Kottinger Place with a newer development (as is the subject of a collaborative effort by the City of Pleasanton, the PHA, and the Pleasanton Gardens Board of Directors). Residents see some benefits but would like to retain certain aspects of Kottinger Place which provides a unique opportunity for Pleasanton seniors.
- Kottinger Place should be retained primarily as housing for seniors aged 62 and older consistent with its history and original intended purpose when it was first opened in 1972.
- Security is not a significant problem. Minor vandalism and other problems are similar to those found in any middle-class neighborhood in this area. Police are very responsive and provide fairly frequent bicycle patrols through the development.
- The HUD-mandated planning process continued to place a disproportionate burden on staff and residents since many of the substantive requirements do not apply to Kottinger Place. However, minor improvements have been made during the past five years.
- More affordable housing with rents equivalent to Public Housing levels (as opposed to other affordable housing) is needed in Pleasanton.

The PHA determined that no changes to the PHA Plan were necessary in order to address these comments.

Exhibit G:
Challenged Elements

[No elements of the PHA Plan have been challenged at this time.]

Exhibit H:

Form HUD-50075.1

Capital Fund Program Annual Statement/Performance and Evaluation Report
(PHAs receiving CFP grants only)

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		Grant Type and Number	
PHA Name: Pleasanton Housing Authority		Capital Fund Program Grant No: CA39P08150110	Replacement Housing Factor Grant No:
Date of CFFP:		FFY of Grant: 2010	FFY of Grant Approval: 2009
<input checked="" type="checkbox"/> Original Annual Statement and Evaluation Report for Period Ending: <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated Expended
1	Total non-CFF Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement	\$50,000.00	
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment--Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴		
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$50,000.00	
21	Amount of line 20 Related to LBP Activities	\$0	
22	Amount of line 20 Related to Section 504 Activities	n/a	
23	Amount of line 20 Related to Security - Soft Costs	n/a	
24	Amount of line 20 Related to Security - Hard Costs	n/a	
25	Amount of line 20 Related to Energy Conservation Measures	n/a	

¹ To be completed for the Performance and Evaluation Report
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary	
PHA Name: Pleasanton Housing Authority	Grant Type and Number Capital Fund Program Grant No: CA39P08150110 Date of CFFP: _____ Replacement Housing Factor Grant No: _____
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Summary by Development Account <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Final Performance and Evaluation Report	FFY of Grant: 2010 FFY of Grant Approval: 2009
Signature of Executive Director Steven Bocian	Signature of Public Housing Director
Date April 15, 2010	Date
Total Estimated Cost	Total Actual Cost ¹
Original	Revised ²
Obligated	Expended

Exhibit I:
Form HUD-50075.2
Capital Fund Program Five-Year Action Plan
(PHAs receiving CFP grants only)

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/20011

Part I: Summary							
PHA Name/Number	Locality (City/County & State)	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014	Revision No:
A. Development Number and Name Kottlinger Place CA-081-002	Pleasanton, CA						
B. Physical Improvements Subtotal		Annual Statement	\$50,000 (est'd)	\$50,000 (est'd)	\$50,000 (est'd)	\$50,000 (est'd)	
C. Management Improvements							
D. PHA-Wide Non-dwelling Structures and Equipment							
E. Administration							
F. Other							
G. Operations							
H. Demolition							
I. Development							
J. Capital Fund Financing – Debt Service							
K. Total CFP Funds			\$50,000 (est'd)	\$50,000 (est'd)	\$50,000 (est'd)	\$50,000 (est'd)	
L. Total Non-CFP Funds							
M. Grand Total							

