



## PHA Plan Update

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:  
(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

1. Eligibility, Selection and Admissions Policies, including Deconcentration and Waiting List Procedures.

Public Housing and Section 8 Programs

Eligibility

- a. MCHA verifies eligibility for admission for all families being offered a unit.
- b. The non-income (screening) factors used to establish eligibility for admission are: Criminal or Drug-related activity, rental history, and housekeeping.
- c. The Housing Authority also request criminal records from the FBI through an NCIC authorized source and local and state law enforcement agencies.

Waiting List Organization

- a. The Housing Authority organizes its public housing waiting list by a project-based method.
- b. Interested persons may apply for admission at the Housing Authority's office.
- c. Applicants are given two (2) choices of vacant units before they fall to the bottom of the waiting list.
- d. To obtain information about the rules of occupancy of public housing, applicants and residents can use the resident lease.
- e. Residents must notify the housing authority of changes in family composition at an annual reexamination and lease renewal, any time family composition changes and at family request for revision.

Deconcentration and Income Mixing

- a. The Housing Authority analysis of its family (general occupancy) developments determined concentration of poverty indicate the need for measures to promote deconcentration of poverty or income mixing.
- b. The Housing Authority has not adopted any changes to its admission policies or any other policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing.

## MANAGEMENT AND MAINTENANCE POLICIES

Listed below is a list of MCHA public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation and the policies governing Section 8 management.

1. Public housing Maintenance and Management:
  - Work Order System
  - Pest Eradication Policy
  - Maintenance Plan
  - Uniform inspection System
  - Admissions and Occupancy Policy
  - Admissions and Occupancy Procedures Manual
  - Fair Housing Policy
  - Grievance Procedures
  - Tenant selection and Assignment Plan
  - Handicapped Policy
  - Termination and Eviction
  - Resident Initiative
  - Pet Policy for families
  - Pet Policy for Elderly
  - Procurement Policy and Procedures
  - Personnel Policy
2. Section 8 Management:
  - Administrative Plan
  - Section 8 Procedures Manual

	<b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i>
8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. SEE ATTACHMENTS
8.2	<b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>SEE ATTACHMENT</b>
8.3	<b>Capital Fund Financing Program (CFFP).</b> <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>MCHA has a Sect-8 waiting list total of 350 families, of those 280 or 80% are extremely low income and 70 or 20% are very low income. Families with children make up 86% of the waiting list, elderly families consist of 2% and families with disabilities comprise 2% of the waiting list. Thirty families on the Sect.-8 waiting list are white and 320 families are black.</p> <p>MCHA has a Public Housing waiting list total of 100 families, of those 90 or 90% are extremely low income; 9 or 9% are very low income and 1 or 1% are low income. Families with children make up 85% of the waiting list, elderly families consist of 15% and families with disabilities comprise 12% of the waiting list. Ninety-five families on the Public Housing waiting list are black, five are white.</p> <p>Characteristics by bedroom size:</p> <ul style="list-style-type: none"> <li>• 64 families are on 3 bedroom units</li> <li>• 32 families are waiting on 4 bedroom units</li> <li>• 4 families are waiting on 5 bedroom units</li> </ul>

<p>9.1</p>	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p><b>MCHA WILL MAXIMIZE THE NUMBER OF AFFORDABLE UNITS AVAILABLE WITHIN ITS CURRENT RESOURCES BY:</b></p> <ul style="list-style-type: none"> <li>• Minimising the number of public housing units off-line</li> <li>• Reducing turnover time for vacated public housing units</li> <li>• Reducing time to renovate public housing units</li> <li>• Increase Sect.-8 lease-up rates by marketing the program to owners outside of minority and proverty concentration</li> <li>• Increase Sect-8 lease-up rates by effectively screening Sect-8 applicants to increase owner acceptance of the program</li> <li>• Utilizing stimulus funds to rehabilitate units in the Northview Manor Community</li> </ul> <p>MCHA will target available assistance to families at or below 30% of AMI by adopting rent policies to support and encourage work.</p> <p>MCHA will target available assistance to families at or below 50% of AMI by employing admissions preferences aimedat families who are working and adopting rent policies to support and encourage work.</p> <p>MCHA will target available assistance to the elderly by seeking designation of public housing for the elderly and applying for special-purpose vouchers targeted to the elderly should they become available.</p> <p>MCHA will target available assistance to families with disabilities by seeking designation of public housing for families with disabilities, carrying out the modifications needed in public housing based of the section 504 needs assessment for public housing, applying for special-purpose vouchers targeted to families with disabilities should they become available and affirmatively market to local non-profit agencies that assist families with disabilities.</p> <p>MCHA will increase awareness of MCHA resources among families of races and ethnicities with disproportionate needs by affirmatively market to races/ethnicities shown to have disproportionate housing needs</p> <p>MCHA will conduct activities to affirmatively further fair housing by counseling Sect-8 tenants as to location of units outside of areas of poverty oor minority concentration and assist them to locate those units and market the Sect-8 program to owners outside of areas of poverty/minority concentrations.</p>
	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <ul style="list-style-type: none"> <li>• MCHA continues to strive to make significant progress in meeting its 5 Year Plan, Mission and Goals\ </li> <li>• MCHA has reduced the number of public housing vacancies in the Northview manor.</li> <li>• Customer relations at the MCHA has improved and continue to be upgraded.</li> <li>• Public housing units in the Northview Manor subdivision continues to upgraded.</li> </ul> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Substantial Deviation:</p> <ul style="list-style-type: none"> <li>• Any change to the Mission Statement</li> <li>• 50% deletion from or addition to the goals and objectives as a whole, and</li> <li>• 50% or more decrease in the quantifiable measurement of individual goal or objective</li> </ul> <p>Significant Amendment:</p> <ul style="list-style-type: none"> <li>• Additions or non-emergency work items in excess of \$10,000 (items not included in the current Capital Funds Annual Statement or Five Year Action Plan) or any changes in excess of \$10,000 in use of replacement reserve funds</li> <li>• Any change in policy or operation being submitted to HUD that requires a separate notification to residents, such as changes in the Public Housing Conversion, Demolition/Disposition, Designated Housing or Public Housing.</li> </ul>

<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

<b>Part I: Summary</b>	
<b>PHA Name:</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P202501-06 Replacement Housing Factor Grant No: Date of CFFP:
<b>FFY of Grant: 2006</b> <b>FFY of Grant Approval:</b>	

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	17,697	17,696	17,696	17,696
3	1408 Management Improvements	10,000	10,000	10,000	10,000
4	1410 Administration (may not exceed 10% of line 21)	9,125	15,605	15,605	15,605
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000	30,000	30,000	23,570
8	1440 Site Acquisition				
9	1450 Site Improvement	6,875	6,875	6,875	
10	1460 Dwelling Structures	40,250	13,770	13,770	3,374.78
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> MCHA	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P202501-06 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2006</b> <b>FFY of Grant Approval:</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 1 )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)		93,946	93,946	69,845.78
20	Amount of Annual Grant:: (sum of lines 2 - 19)	93,946			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Mobile County Housing Authority			Grant Type and Number Capital Fund Program Grant No: AL09P202501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AL202								
	operations	1406		17,696	17,696			
	commissioner training	1408		10,000	10,000			
	staff training	1410		9,125	15,605			
	fees & cost	1430		10,000	30,000			
	landscaping - fencing	1450		6,875	6,875			
	HVAC & interior up-grade	1460		40,250	13,770			
	TOTAL			93,946	93,946			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.









U. S. Department of Housing and Urban Development  
Birmingham Office  
Region IV  
Medical Forum Building, Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203-5301

JUL 14 2009

Mr. Frank Nelson  
Executive Director  
Mobile County Housing Authority  
P. O. Box 303  
Citronelle, AL 36522

SUBJECT: Capital Fund Program Revision  
AL09P20250106

Dear Mr. Nelson:

Enclosed is a copy of the approved CFP Budget Revision. The revision has been entered into LOCCS and should be reflected in all future budgets for this program. The original of these forms will be retained in our files.

Should you have any questions or need additional information, please contact David R. Griskey, Engineer at (205) 745-4431.

Sincerely,

A handwritten signature in black ink that reads "R. Edmond Sprayberry".

R. Edmond Sprayberry  
Director  
Office of Public Housing

Enclosure

*HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination.*

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing

OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary

PHA Name: Mobile County Grant Type and Number: AL09P202501-06  
 Capital Fund Program Grant No.: AL09P202501-06  
 Replacement Housing Factor Grant No.: 2006  
 Date of CTFP: \_\_\_\_\_

Type of Grant:  Original Annual Statement  Reserve for Disaster/Emergencies  
 Performance and Evaluation Report for Period Ending: \_\_\_\_\_  
 Final Performance and Evaluation Report

Line	Description	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Original	Revised
1	Total non-CTF Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>1</sup>	17696	17696		
3	1408 Management Improvements	101000	10000		
4	1410 Administration (may not exceed 10% of line 21)	9125	15605		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition	10000	30000		
9	1450 Site Improvement				
0	1460 Dwelling Structures	6875	6875		
1	1465.1 Dwelling Equipment - Nonseparable	40250	13770		
2	1470 Non-dwelling Structures				
3	1475 Non-dwelling Equipment				
4	1485 Demolition				
5	1492 Moving to Work Demonstration				
6	1495.1 Relocation Costs				
7	1499 Development Activities <sup>2</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> PHAs with under 250 units in management may use 100% of CTF Grants for operations.  
<sup>3</sup> RFE funds shall be excluded here.

\$ 93,946

LOCCS

ENTERED ON 07-14-2009

ENTERED BY John D. Galt  
Joseph S. Pappalardo  
Financial Analyst

NEHA GARDNER AND TERRY...  
 FOR APPROVAL AND SIGNATURE:  
 DATE: 7-14-09  
 SIGNATURE: [Signature]

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

*Revision I*

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

**Part II: Supporting Pages**

PHA Name:

Grant Type and Number

Development Number

General Description of Major Work Categories

Name/HA-Wide Activities

Dev. Acct No.

Quantity

Total Estimated Cost

Total Actual Cost

Status of Work

Federal FY of Grant: 2006

Funds Obligated      Funds Expended

Development Number	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<i>46202</i>	<i>Operations</i>	<i>1406</i>						
	<i>Oper. Training</i>	<i>1408</i>	<i>17,696</i>	<i>17,696</i>				
	<i>STATE TRAINING</i>	<i>1410</i>	<i>10,000</i>	<i>10,000</i>				
	<i>Fees &amp; Costs</i>	<i>1430</i>	<i>9,125</i>	<i>15,105</i>				
	<i>Landscape - Fencing</i>	<i>1450</i>	<i>10,000</i>	<i>30,000</i>				
	<i>HAHA initiative</i>	<i>1460</i>	<i>6,875</i>	<i>6,875</i>				
			<i>40,250</i>	<i>13,970</i>				
	<i>TOTAL</i>		<i>43,946</i>	<i>83,946</i>				





**U. S. Department of Housing and Urban Development**  
Birmingham Office  
Region IV  
Medical Forum Building, Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203-5301

**AUG 1 2006**

Mr. Frank Nelson  
Executive Director  
Mobile County Housing Authority  
P. O. Box 303  
Citronelle, AL 36522

**SUBJECT: Fiscal Year (FY) 2006 Capital Fund Grant**  
**Project Number: AL09P20250106**

Dear Mr. Nelson:

Enclosed are the following approved documents: (1) amendment to your Annual Contributions Contract (ACC), Form HUD-52840-A; and (2) Annual Statement/Performance and Evaluation Report (Budget), Form HUD-52825, Parts I, II, and III. The approved amount of your FY 2006CFP is \$91,250.00.

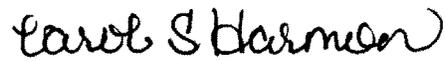
The capital and management activities identified in your budget shall be carried out in accordance with all HUD regulations and with other requirements applicable to the Capital Fund Program. You are responsible for adherence to all requirements of the ACC. The date shown on the ACC amendment, July 18, 2006, will be the effective date of your 24-month obligation period and 48-month expenditure period.

Please review Notice PIH 2006-18 (HA), Fiscal Year 2006 Capital Fund Grants Processing Notice, issued May 31, 2006, for additional guidance. A PHA cannot obligate its FY 2006 Capital Funds until it has complied with HUD's environmental requirements. PHAs must report on a monthly basis cumulative obligation and expenditures in LOCCS for each open grant or grants, that have not been audited. If a PHA is late reporting obligation and expenditure information, LOCCS will prevent drawdowns for all grants in those program areas.

***HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination.***

Should you have any questions or need additional information, please contact David R. Griskey, Engineer at (205) 731-2635 extension 1131.

Sincerely,



Carol S. Harmon  
Director  
Southern Division  
Office of Public Housing

Enclosures

**Capital Fund Program  
(CFP) Amendment**  
To The Consolidated Annual Contributions  
Contract (form HUD-53012)

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

**Whereas**, (Public Housing Authority) Mobile County Housing Authority (AL202) (herein called the "PHA")  
and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions  
Contract(s) ACC(s) Number(s) A-4268

dated: 11/14/1988

**Whereas**, HUD has agreed to provide CFP assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the  
purpose of assisting the PHA in carrying out capital and management activities at existing public housing developments in order to ensure that such  
developments continue to be available to serve low-income families:

\$ 91,250 for Fiscal Year 2006 to be referred to under Capital Fund Grant Number AL09P20250106

PHA Tax Identification Number (TIN). On File enter if changed since previous CFP Grant

**Whereas**, HUD and the PHA are entering into the CFP Amendment Number 10

**Now Therefore**, the ACC(s) is (are) amended as follows:

1. The ACC(s) is (are) amended to provide CFP assistance in the amount  
specified above for capital and management activities of PHA  
developments. This amendment is a part of the ACC(s).

2. The capital and management activities shall be carried out in  
accordance with all HUD regulations and other requirements applicable to  
the Capital Fund Program.

3. (Check one)

a. In accordance with the HUD regulations, the Annual PHA  
Plan has been adopted by the PHA and approved by HUD, and may be  
amended from time to time. The capital and management activities shall  
be carried out as described in the Annual PHA Plan Capital Fund Annual  
Statement.

OR

b. The Annual PHA Plan has not been adopted by the PHA  
and approved by HUD. The PHA may use its CFP assistance under this  
contract for work items contained in its 5-Year Plan, before the Annual  
PHA Plan is approved.

For cases where HUD has approved a Capital Fund Financing  
Amendment to the ACC (CFP Amendment attached), HUD will deduct the  
payment for amortization scheduled payments from the grant immediately  
on the effective date of this CFP Amendment. The payment of CFP funds  
due per the amortization scheduled will be made directly to a designated  
trustee (Trustee Agreement attached) within 3 days of the due date.

Whether 3.a or 3.b is selected above, the 24 month time period in  
which the PHA must obligate this CFP assistance pursuant to section  
9(j)(1) of the United States Housing Act of 1937, as amended, (the "Act")  
and 48 month time period in which the PHA must expend this CFP  
assistance pursuant to section 9(j)(5) of the Act starts with the effective  
date of this CFP amendment (the date on which CFP assistance becomes  
available to the PHA for obligation).

The parties have executed this Agreement, and it will be effective on 7/18/2006. This is the date on which  
CFP assistance becomes available to the PHA for obligation.

4. Subject to the provisions of the ACC(s) and paragraph 3. and to assist  
in the capital and management activities, HUD agrees to disburse to the  
PHA or the designated trustee from time to time as needed up to the  
amount of the funding assistance specified herein.

5. The PHA shall continue to operate each development as low-income  
housing in compliance with the ACC(s), as amended, the Act and all HUD  
regulations for a period of twenty years after the last disbursement of CFP  
assistance for modernization activities and for a period of forty years after  
the last distribution of CFP assistance for development activities.  
However, the provisions of Section 7 of the ACC shall remain in effect for  
so long as HUD determines there is any outstanding indebtedness of the  
PHA to HUD which arose in connection with any development(s) under  
the ACC(s) and which is not eligible for forgiveness, and provided further  
that, for a period of ten years following the last payment of assistance  
from the Operating Fund to the PHA, no disposition of any development  
covered by this amendment shall occur unless approved by HUD.

6. The PHA will apply for the entire CFP assistance amount for this FY. If  
the PHA does not comply with any of its obligations under this  
Amendment and does not have its Annual PHA Plan approved within the  
period specified by HUD, HUD shall impose such penalties or take such  
remedial action as provided by law. HUD may direct the PHA to terminate  
all work described in the Capital Fund Annual Statement of the Annual  
PHA Plan. In such case, the PHA shall only incur additional costs with  
HUD approval.

7. Implementation or use of funding assistance provided under this  
Amendment is subject to attached corrective action order(s).

(mark one) :  Yes  No

8. The PHA acknowledges its responsibility for adherence to this  
Amendment by subgrantees to which it makes funding assistance herein  
available.

U.S. Department of Housing and Urban Development  
By R. Edward Spivey DATE: 18 2006  
Title DIRECTOR, OFFICE OF PUBLIC HOUSING

PHA Executive Director  
By Frank C. Nelson Date: 6/12/06  
Title Executive Director

### 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

#### Annual Statement/Performance and Evaluation Report

#### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PIA Name: Mobile County Housing Authority  
 Grant Type and Number: AL09P20250106  
 Capital Fund Program Grant No.:  
 Replacement Housing Factor Grant No.:

Original Annual Statement  Reserve for Disasters/Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Federal FY of Grant: 2006

Line No.	Summary by Development Account	Original	Revised	Obligated	Expended
1	Total non-CFF Funds	15,000			
2	1406 Operations	15,000			
3	1408 Management Improvements	10,000			
4	1410 Administration	10,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	6,000			
10	1460 Dwelling Structures	40,250			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	91,250			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security - Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

LOCCS  
 ENTERED ON 7-31-06  
 ENTERED BY [Signature]

7-05-06 [Signature]  
 7/17/06 ESTHER MORAN







U. S. Department of Housing and Urban Development  
Birmingham Office  
Region IV  
Medical Forum Building, Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203-5301

Mr. Frank Nelson  
Executive Director  
Mobile County Housing Authority  
Post Office Box 303  
Citronelle, AL 36522-0303

OCT 24 2007

Rec'd 10/26/07

Subject: **Fiscal Year 2007 Capital Fund Program**  
Grant Number: **AL09 P202 501 07**

Dear Mr. Nelson:

Enclosed are the following approved documents: (1) amendment to your Annual Contributions Contract (ACC), Form HUD-52840-A; and (2) Annual Statement/Performance and Evaluation Report (Budget), Form HUD-52825, Parts I, II, and III. The approved amount of your FY 2007 Capital Fund grant is **\$96,163.00**.

The capital and management activities identified in your budget shall be carried out in accordance with all HUD regulations and with other requirements applicable to the Capital Fund Program. You are responsible for adherence to all requirements of the ACC. The date shown on the ACC amendment, September 13, 2007, will be the effective date of your 24-month obligation period and 48-month expenditure period.

A PHA cannot obligate its FY 2007 Capital Funds until it has complied with HUD's environmental requirements. PHAs must report on a monthly basis cumulative obligation and expenditures in LOCCS for each open grant or grants that have not been audited. If a PHA is late reporting obligation and expenditure information, LOCCS will prevent drawdowns for all grants in those program areas.

Should you have any questions or need additional information, please contact David Griskey at (205) 731-2635, extension 1131.

Sincerely,

A handwritten signature in cursive script that reads "Carol S. Harmon".

Carol S. Harmon  
Director, Southern Division  
Office of Public Housing

Enclosures

*HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination.*

**Capital Fund Program (CFP) Amendment**

To The Consolidated Annual Contributions Contract (form HUD-53012)

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing

**Whereas,** (Public Housing Authority) Mobile County Housing Authority (AL202) (herein called the "PHA") and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions

Contract(s) ACC(s) Number(s) A-4268

dated: 11/14/1988

**Whereas,** HUD has agreed to provide CFP assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the purpose of assisting the PHA in carrying out capital and management activities at existing public housing developments in order to ensure that such developments continue to be available to serve low-income families:

\$ 96,163 for Fiscal Year 2007 to be referred to under Capital Fund Grant Number AL09P20250107

PHA Tax Identification Number (TIN), On File

**Whereas,** HUD and the PHA are entering into the CFP Amendment Number 11

**Now Therefore,** the ACC(s) is (are) amended as follows:

1. The ACC(s) is (are) amended to provide CFP assistance in the amount specified above for capital and management activities of PHA developments. This amendment is a part of the ACC(s).

2. The capital and management activities shall be carried out in accordance with all HUD regulations and other requirements applicable to the Capital Fund Program.

3. (Check one)

a. In accordance with the HUD regulations, the Annual PHA Plan has been adopted by the PHA and approved by HUD, and may be amended from time to time. The capital and management activities shall be carried out as described in the Annual PHA Plan Capital Fund Annual Statement.

OR

b. The Annual PHA Plan has not been adopted by the PHA and approved by HUD. The PHA may use its CFP assistance under this contract for work items contained in its 5-Year Plan, before the Annual PHA Plan is approved.

For cases where HUD has approved a Capital Fund Financing Amendment to the ACC (CFF Amendment attached), HUD will deduct the payment for amortization scheduled payments from the grant immediately on the effective date of this CFP Amendment. The payment of CFP funds due per the amortization scheduled will be made directly to a designated trustee (Trustee Agreement attached) within 3 days of the due date.

Whether 3.a or 3.b is selected above, the 24 month time period in which the PHA must obligate this CFP assistance pursuant to section 9(j)(1) of the United States Housing Act of 1937, as amended, (the "Act") and 48 month time period in which the PHA must expend this CFP assistance pursuant to section 9(j)(5) of the Act starts with the effective date of this CFP amendment (the date on which CFP assistance becomes available to the PHA for obligation).

4. Subject to the provisions of the ACC(s) and paragraph 3. and to assist in the capital and management activities, HUD agrees to disburse to the PHA or the designated trustee from time to time as needed up to the amount of the funding assistance specified herein.

5. The PHA shall continue to operate each development as low-income housing in compliance with the ACC(s), as amended, the Act and all HUD regulations for a period of twenty years after the last disbursement of CFP assistance for modernization activities and for a period of forty years after the last distribution of CFP assistance for development activities. However, the provisions of Section 7 of the ACC shall remain in effect for so long as HUD determines there is any outstanding indebtedness of the PHA to HUD which arose in connection with any development(s) under the ACC(s) and which is not eligible for forgiveness, and provided further that, for a period of ten years following the last payment of assistance from the Operating Fund to the PHA, no disposition of any development covered by this amendment shall occur unless approved by HUD.

6. The PHA will apply for the entire CFP assistance amount for this FY. If the PHA does not comply with any of its obligations under this Amendment and does not have its Annual PHA Plan approved within the period specified by HUD, HUD shall impose such penalties or take such remedial action as provided by law. HUD may direct the PHA to terminate all work described in the Capital Fund Annual Statement of the Annual PHA Plan. In such case, the PHA shall only incur additional costs with HUD approval.

7. Implementation or use of funding assistance provided under this Amendment is subject to attached corrective action order(s).

(mark one) :  Yes  No

8. The PHA acknowledges its responsibility for adherence to this Amendment by subgrantees to which it makes funding assistance herein available.

The parties have executed this Agreement, and it will be effective on 9/13/2007. This is the date on which CFP assistance becomes available to the PHA for obligation.

U.S. Department of Housing and Urban Development  
By R. Edmund Sprayberry Date: 9-13-07  
Title DIRECTOR, OFFICE OF PUBLIC HOUSING

PHA Executive Director  
By Frank C. Nelson Date: 09/06/2007  
Title Executive Director

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRRIF) Part I: Summary**

PIA Name: Mobile County Housing Authority

Grant Type and Number: AL09P20250107  
 Capital Fund Program Grant No:  
 Replacement Housing Factor Grant No:

Federal FY of Grant: 2007

Original Annual Statement  Reserve for Disasters/Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	18,250		-0-	
3	1408 Management Improvements	10,000		-0-	
4	1410 Administration	10,000		-0-	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	6,000		-0-	
8	1440 Site Acquisition				
9	1450 Site Improvement	5,000		-0-	
10	1460 Dwelling Structures	30,000		-0-	
11	1465.1 Dwelling Equipment - Nonexpendable	16,913		-0-	
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	96,163		-0-	
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security - Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

ENTERED ON 7-26-07  
 ENTERED BY [Signature]

LOCCS

09-10-07  
[Signature]  
SK







**U. S. Department of Housing and Urban Development**  
Birmingham Office  
Region IV  
Medical Forum Building, Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203-5301

REF : 0006

Mr. Frank Nelson  
Executive Director  
Mobile County Housing Authority  
PO Box 303  
Citronelle, AL 36522-0303

**Subject: Fiscal Year 2008 Capital Fund Program**  
**Grant Number: AL09 P202 501 08**

Dear Mr. Nelson:

Enclosed are the following approved documents: (1) amendment to your Annual Contributions Contract (ACC), Form HUD-52840-A; and (2) Annual Statement/Performance and Evaluation Report (Budget), Form HUD-52825, Parts I, II, and III. The approved amount of your FY 2008 Capital Fund grant is **\$94,148.00**.

The capital and management activities identified in your budget shall be carried out in accordance with all HUD regulations and with other requirements applicable to the Capital Fund Program. You are responsible for adherence to all requirements of the ACC. The date shown on the ACC amendment, June 13, 2008, will be the effective date of your 24-month obligation period and 48-month expenditure period.

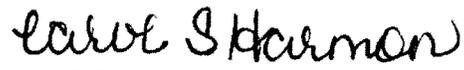
The FY 2008 Capital Funds will not be spread in LOCCS until the PHA has complied with HUD's environmental requirements. The PHA shall have the Responsible Entity (RE) for its locality perform the environmental review. If the RE will not perform the environmental review, the PHA will forward a letter from the RE stating it will not perform the environmental review and requesting HUD to do so. If the PHA requests HUD perform the review, it must supply HUD with a copy of the local flood maps indicating the location of all PHA units. For units older than 50 years a letter from the State Historical Commission is required indicating that the units have no historical significance. If there are any known environmental or noise hazards, they should be explained or located on the site maps. These requirements represent an arduous task and each PHA should begin to collect the data as quickly as possible.

PHAs must report on a monthly basis cumulative obligation and expenditures in LOCCS for each open grant or grants that have not been audited. If a PHA is late reporting obligation and expenditure information, LOCCS will prevent drawdowns for all grants in those program areas.

***HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination.***

Should you have any questions or need additional information, please contact David Griskey at (205) 731-2635 extension 1131.

Sincerely,



Carol S. Harmon  
Director, Southern Division  
Office of Public Housing

Enclosures

**Capital Fund Program (CFP) Amendment**  
To The Consolidated Annual Contributions Contract (form HUD-53012)

**U.S. Department of Housing and Urban Development**  
Office of Public and Indian Housing

**Whereas**, (Public Housing Authority) Mobile County Housing Authority (AL202) (herein called the "PHA") and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions Contract(s) ACC(s) Number(s) A-4268 dated: 11/14/1988

**Whereas**, HUD has agreed to provide CFP assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the purpose of assisting the PHA in carrying out capital and management activities at existing public housing developments in order to ensure that such developments continue to be available to serve low-income families:

\$ 94,148 for Fiscal Year 2008 to be referred to under Capital Fund Grant Number AL09P20250108

PHA Tax Identification Number (TIN) On File

**Whereas**, HUD and the PHA are entering into the CFP Amendment Number 12

**Now Therefore**, the ACC(s) is (are) amended as follows:

1. The ACC(s) is (are) amended to provide CFP assistance in the amount specified above for capital and management activities of PHA developments. This amendment is a part of the ACC(s).

2. The capital and management activities shall be carried out in accordance with all HUD regulations and other requirements applicable to the Capital Fund Program.

3. (Check one)

a. In accordance with the HUD regulations, the Annual PHA Plan has been adopted by the PHA and approved by HUD, and may be amended from time to time. The capital and management activities shall be carried out as described in the Annual PHA Plan Capital Fund Annual Statement.

OR

b. The Annual PHA Plan has not been adopted by the PHA and approved by HUD. The PHA may use its CFP assistance under this contract for work items contained in its 5-Year Plan, before the Annual PHA Plan is approved.

For cases where HUD has approved a Capital Fund Financing Amendment to the ACC (CFP Amendment attached), HUD will deduct the payment for amortization scheduled payments from the grant immediately on the effective date of this CFP Amendment. The payment of CFP funds due per the amortization scheduled will be made directly to a designated trustee (Trustee Agreement attached) within 3 days of the due date.

Whether 3.a or 3.b is selected above, the 24 month time period in which the PHA must obligate this CFP assistance pursuant to section 9(j)(1) of the United States Housing Act of 1937, as amended, (the "Act") and 48 month time period in which the PHA must expend this CFP assistance pursuant to section 9(j)(5) of the Act starts with the effective date of this CFP amendment (the date on which CFP assistance becomes available to the PHA for obligation).

4. Subject to the provisions of the ACC(s) and paragraph 3. and to assist in the capital and management activities, HUD agrees to disburse to the PHA or the designated trustee from time to time as needed up to the amount of the funding assistance specified herein.

5. The PHA shall continue to operate each development as low-income housing in compliance with the ACC(s), as amended, the Act and all HUD regulations for a period of twenty years after the last disbursement of CFP assistance for modernization activities and for a period of forty years after the last distribution of CFP assistance for development activities. However, the provisions of Section 7 of the ACC shall remain in effect for so long as HUD determines there is any outstanding indebtedness of the PHA to HUD which arose in connection with any development(s) under the ACC(s) and which is not eligible for forgiveness, and provided further that, for a period of ten years following the last payment of assistance from the Operating Fund to the PHA, no disposition of any development covered by this amendment shall occur unless approved by HUD.

6. The PHA will apply for the entire CFP assistance amount for this FY. If the PHA does not comply with any of its obligations under this Amendment and does not have its Annual PHA Plan approved within the period specified by HUD, HUD shall impose such penalties or take such remedial action as provided by law. HUD may direct the PHA to terminate all work described in the Capital Fund Annual Statement of the Annual PHA Plan. In such case, the PHA shall only incur additional costs with HUD approval.

7. Implementation or use of funding assistance provided under this Amendment is subject to attached corrective action order(s).

(mark one) :  Yes  No

8. The PHA acknowledges its responsibility for adherence to this Amendment by subgrantees to which it makes funding assistance herein available.

The parties have executed this Agreement, and it will be effective on 6/13/2008. This is the date on which CFP assistance becomes available to the PHA for obligation.

U.S. Department of Housing and Urban Development	PHA Executive Director
By <u>A. Conrad Smyth</u> Date: <u>6/13/08</u>	By <u>Frank C. Nelson</u> Date: <u>05/16/2008</u>
Title <u>DIRECTOR, OFFICE OF PUBLIC HOUSING</u>	Title <u>Executive Director</u>

### 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

#### Annual Statement/Performance and Evaluation Report

#### Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRRF) Part I: Summary

PIA Name: Mobile County Housing Authority  
 Grant Type and Number: Capital Fund Program Grant No.: AL09P20250108  
 Replacement Housing Factor Grant No.: 1

Federal FY of Grant: 2008

Original Annual Statement  Reserve for Disasters/Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	18,000			
3	1408 Management Improvements	10,000			
4	1410 Administration	9,415			
5	1411 Audit	13,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs		6,000		
8	1440 Site Acquisition				
9	1450 Site Improvement		5,000		
10	1460 Dwelling Structures		32,733		
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 - 20)	94,148			
22	Amount of line 21 Related to LBJ Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security - Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

05-24-08 [Signature]

10/16/08 BSK







**U. S. Department of Housing and Urban Development**  
Birmingham Office  
Region IV  
Medical Forum Building, Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203-5301

**OCT 27 2009**

Mr. Frank Nelson  
Executive Director  
Mobile County Housing Authority  
PO Box 303  
Citronelle, AL 36522-0303

**Subject: Fiscal Year 2009 Capital Fund Program**  
**Grant Number: AL09P20250109**

Dear Mr. Nelson:

Enclosed are the following documents: (1) amendment to your Annual Contributions Contract (ACC), Form HUD-52840-A; and (2) Annual Statement/Performance and Evaluation Report (Budget), Form HUD-50075.1, Parts I, and II. The approved amount of your FY 2009 Capital Fund grant is \$93,656.

The capital and management activities identified in your budget shall be carried out in accordance with all HUD regulations and with other requirements applicable to the Capital Fund Program. You are responsible for adherence to all requirements of the ACC. The date shown on the ACC amendment, September 15, 2009, will be the effective date of your 24-month obligation period and 48-month expenditure period.

Until the PHA has met the Environmental Review requirements of 24 CFR Part 50 or 24 CFR Part 58, the Capital Fund formula grant will remain in the LOCCS's initial budget. The PHA must have the Responsible Entity (RE) for its locality perform the Environmental Review. If the RE will not perform the Environmental Review, the PHA will forward a letter from the RE stating it will not perform the Environmental Review and requesting HUD to do so. If the PHA requests HUD perform the review, it must supply HUD with a copy of the local flood maps indicating the location of all PHA units. For units older than 50 years, a letter from the State Historical Commission is required indicating that the units have no historical significance. If there are any known environmental or noise hazards, they should be explained or located on the site maps. These requirements represent an arduous task and each PHA should begin to collect the data as quickly as possible.

***HUD's mission is to increase homeownership, support community development and increase access to affordable housing free from discrimination.***

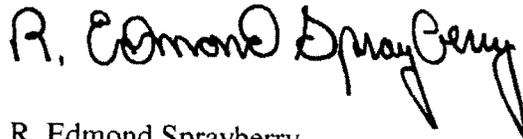
Your LOCCS initial budget is as follows and will remain as such until the Environmental Review is completed and the 2009 PHA Plan is approved:

Budget Line Item	Percentage Obligated	Disbursable or Not	PHA/FO Actions
0100 Reserved Budget	70% of the grant authorized amount	Un-disbursable	PHA must contact Field Office
1408 Management Improvement	20% of the grant authorized amount	Disbursable	Auto review if greater than 20%
1410 Administration	10% of the grant authorized amount	Disbursable	Auto review if greater than 10%

PHAs must report on a monthly basis cumulative obligation and expenditures in LOCCS for each open grant or grants that have not been audited. If a PHA is late reporting obligation and expenditure information, LOCCS will prevent drawdowns for all grants in those program areas.

Should you have any questions or need additional information, please contact your Engineer/Construction Analyst.

Sincerely,

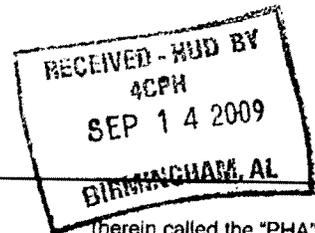


R. Edmond Sprayberry  
Director  
Office of Public Housing

Enclosures

**Capital Fund Program  
(CFP) Amendment**  
To The Consolidated Annual Contributions  
Contract (form HUD-53012)

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing



**Whereas**, (Public Housing Authority) Mobile County Housing Authority (AL202) and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions Contract(s) (ACC) Number(s) A-4268 dated 11/14/1988

**Whereas**, HUD has agreed to provide CFP assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the purpose of assisting the PHA in carrying out capital and management activities at existing public housing developments in order to ensure that such developments continue to be available to serve low-income families:

\$ 93,656.00 for Fiscal Year 20 09 to be referred to under Capital Fund Grant Number AL0920250109

PHA Tax Identification Number (TIN) ON FILE

**Whereas**, HUD and the PHA are entering into this CFP Amendment Number 14

**Now Therefore**, the ACC(s) is (are) amended as follows:

1. The ACC(s) is (are) amended to provide CFP assistance in the amount specified above for capital and management activities of PHA developments. This amendment is a part of the ACC(s).

2. The capital and management activities shall be carried out in accordance with all HUD regulations and other requirements applicable to the Capital Fund Program.

3. (Check one)

a. In accordance with the HUD regulations, the Annual PHA Plan has been adopted by the PHA and approved by HUD, and may be amended from time to time. The capital and management activities shall be carried out as described in the Annual PHA Plan Capital Fund Annual Statement.

OR

b. The Annual PHA Plan has not been adopted by the PHA and approved by HUD. The PHA may use its CFP assistance under this contract for work items contained in its 5-Year Plan, before the Annual PHA Plan is approved.

For cases where HUD has approved a Capital Fund Financing Amendment to the ACC (CFP Amendment attached), HUD will deduct the payment for amortization scheduled payments from the grant immediately on the effective date of this CFP Amendment. The payment of CFP funds due per the amortization scheduled will be made directly to a designated trustee (Trustee Agreement attached) within 3 days of the due date.

Whether 3.a or 3.b is selected above, the 24 month time period in which the PHA must obligate this CFP assistance pursuant to section 9(j)(1) of the United States Housing Act of 1937, as amended, (the "Act") and 48 month time period in which the PHA must expend this CFP assistance pursuant to section 9(j)(5) of the Act starts with the effective date of this CFP amendment (the date on which CFP assistance becomes available to the PHA for obligation).

4. Subject to the provisions of the ACC(s) and paragraph 3, and to assist in the capital and management activities, HUD agrees to disburse to the PHA or the designated trustee from time to time as needed up to the amount of the funding assistance specified herein.

5. The PHA shall continue to operate each development as low-income housing in compliance with the ACC(s), as amended, the Act and all HUD regulations for a period of twenty years after the last disbursement of CFP assistance for modernization activities and for a period of forty years after the last distribution of CFP assistance for development activities. However, the provisions of Section 7 of the ACC shall remain in effect for so long as HUD determines there is any outstanding indebtedness of the PHA to HUD which arose in connection with any development(s) under the ACC(s) and which is not eligible for forgiveness, and provided further that, for a period of ten years following the last payment of assistance from the Operating Fund to the PHA, no disposition of any development covered by this amendment shall occur unless approved by HUD.

6. The PHA will apply for the entire CFP assistance amount for this FY. If the PHA does not comply with any of its obligations under this Amendment and does not have its Annual PHA Plan approved within the period specified by HUD, HUD shall impose such penalties or take such remedial action as provided by law. HUD may direct the PHA to terminate all work described in the Capital Fund Annual Statement of the Annual PHA Plan. In such case, the PHA shall only incur additional costs with HUD approval.

7. Implementation or use of funding assistance provided under this Amendment is subject to attached corrective action order(s).

(mark one):  Yes  No

8. The PHA acknowledges its responsibility for adherence to this Amendment by subcontractors to which it makes funding assistance hereunder available.

The parties have executed this Agreement, and it will be effective on 9-15-2009. This is the date on which CFP assistance becomes available to the PHA for obligation.

U. S. Department of Housing and Urban Development  
By: R. Edmund Sprafkin Date: 9-15-09  
Title: DIRECTOR, OFFICE OF PUBLIC HOUSING

PHA Executive Director  
By: Frank C. Nelson Date: 9/8/09  
Title: Executive Director

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

Part I: Summary  
 PMA Number: Mobile County Housing  
 Grant Type and Number: Capital Fund Program Grant No: AL0920250109  
 Replacement Housing Factor Grant No:  
 Date of CTFP:  
 FY of Grant: 2009  
 FY of Grant Approval:

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Discretionary/Unawarded <input type="checkbox"/> Reserve for Discretionary/Unawarded <input type="checkbox"/> Period Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
			Original	Revised <sup>2</sup>	Original	Expended
1	Total non-CTF Funds:					
2	1406 Operations (may not exceed 20% of line 21) <sup>1</sup>			18,731		
3	1408 Management Improvements			15,000		
4	1410 Administration (may not exceed 10% of line 21)			9,366		
5	1411 Audit			15,000		
6	1415 Liquidated Damages					
7	1430 Fees and Costs			12,000		
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures			12,634		
11	1465.1 Dwelling Equipment—Nonseparable			10,925		
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1482 Moving to Work Demonstration					
16	1495.1 Reduction Costs					
17	1499 Development Activities <sup>4</sup>					

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PMA's with under 250 units in management may use 100% of CTF Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

Part I: Summary

PIA Name: Mobile County  
Grant Type and Number: Capital Fund Program Grant No: AI0920250109  
Replacement/Housing Factor Grant No:  
Date of CFP:

FY of Grant: 2009  
FY of Grant Approval:

Type of Grant:  Original Annual Statement  Reserve for Disaster/Emergency

Performance and Evaluation Report for Period Ending:  Partial Annual Statement (version no: )  
Submitted by Designated Account:  Final Performance and Evaluation Report

Line	Description	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Outgoing	Expended
18a	1501 Collaboration of Debt Service paid by the PIA				
18aa	9000 Collaboration of Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)		93,656		
21	Amount of line 20 Related to LRP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Section 508 Cases				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director: *Frank C. Miller* Date: 9/15/09  
Signature of Public Housing Director: *Frank C. Miller* Date: 9-15-2009

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units to encourage may use 100% of CFP Grants for operations.  
<sup>4</sup> RHP funds shall be included here.





<b>Part I: Summary</b>		
<b>PHA Name:</b> Mobile County Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P20250108 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2008</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	18,000			
3	1408 Management Improvements	10,000			
4	1410 Administration (may not exceed 10% of line 21)	9,145			
5	1411 Audit	13,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	6,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	5,000			
10	1460 Dwelling Structures	32,733			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:		<b>FFY of Grant:</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:        ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	94,148			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Mobile County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P20250108 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2008</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	operations	1406		18,000				
	commissioner training	1408		10,000				
	staff training	1410		9,415				
	audit cost	1411		13,000				
	fees & cost	1430		6,000				
	landscaping	1450		5,000				
	int. & ext. up-grades	1460		32,733				
	TOTAL			94,148				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







<b>Part I: Summary</b>		
<b>PHA Name:</b> Mobile County Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09S202501-09 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval:</b>

<b>Type of Grant</b> <input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:                    )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>		
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	6,000		6,000	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	6,000		6,000	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	107,172		107,172	
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>						
<b>PHA Name:</b> MCHA		<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09S202501-09 Replacement Housing Factor Grant No: Date of CFFP: 03/18/2009			<b>FFY of Grant:2009</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	119,172		119,172		
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
<b>Signature of Executive Director</b>			<b>Date</b>		<b>Signature of Public Housing Director</b>	
					<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.









<b>Part I: Summary</b>		
<b>PHA Name:</b> Mobile County Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2010</b> <b>FFY of Grant Approval:</b> <b>PROJECTION</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	18,830			
3	1408 Management Improvements	13,000			
4	1410 Administration (may not exceed 10% of line 21)	15,000			
5	1411 Audit	15,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	22,318			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part I: Summary</b>					
<b>PHA Name:</b> MCHA	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2010</b> <b>FFY of Grant Approval: PROJECTION</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	94,148			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Mobile County Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010 PROJECTION</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	operations	1406		18,830				
	commisioner training	1408		10,000				
	staff training	1410		15,000				
	audit cost	1411		15,000				
	fees & cost	1430		10,000				
	kitchen up-grades	1460		22,318				
	TOTAL			94,148				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







**Mobile County Housing Authority**

<b>Part I: Summary</b>						
PHA Name/Number		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY _____ 2010_____	Work Statement for Year 2 FFY ____2011_____	Work Statement for Year 3 FFY ____2012_____	Work Statement for Year 4 FFY _____ 2013_____	Work Statement for Year 5 FFY __2014_____
B.	Physical Improvements Subtotal	Annual Statement	operations	operations	operations	operations
C.	Management Improvements		Commissioner training	Commissioner training	Commissioner training	Commissioner training
D.	PHA-Wide Non-dwelling Structures and Equipment		Fees & costs	Fees & cost	Fees & cost	Fees & cost
E.	Administration		Staff training	Staff training	Staff training	Staff training
F.	Other		audit cost	Audit cost	Audit cost	Audit cost
G.	Operations					
H.	Demolition					
I.	Development		Rehab. units	Rehab bath rooms	landscaping	Replace appliances
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total					











<b>Part I: Summary</b>		
<b>PHA Name:</b> Mobile County Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P202501-07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2007</b> <b>FFY of Grant Approval:</b>

<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report		
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	18,250		18,250	18,250
3	1408 Management Improvements	10,000		10,000	10,000
4	1410 Administration (may not exceed 10% of line 21)	10,000		10,000	10,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	6,000		6,000	6,000
8	1440 Site Acquisition				
9	1450 Site Improvement	5,000		5,000	-0-
10	1460 Dwelling Structures	30,000		30,000	-0-
11	1465.1 Dwelling Equipment—Nonexpendable	16,913		16,913	2,196.52
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>					
<b>PHA Name:</b> MCHA	<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09P202501-07 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2007</b> <b>FFY of Grant Approval:</b>			
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	96,163		96,163	46,446.52
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

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