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| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires 4/30/2011 |
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| 1.0 | PHA Information PHA Name: The Housing Authority of the City of Aliceville, Alabama PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 07/2010 PHA Code: AL098 | | | | | |
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 173 Number of HCV units: _____ | | | | | |
| 3.0 | Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program | |
| | | | | | PH | HCV |
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| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. | | | | | |
| 5.1 | <p>Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: Our mission is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents. To achieve this mission, we will:</p> <ul style="list-style-type: none"> • Recognize residents as our ultimate customer; • Improve Public Housing Authority (HA) management, and service delivery efforts through effective and efficient management of HA staff; • Seek problem solving partnerships with residents, community and government leadership; • Apply HA resources to the effective and efficient management and operation of public housing programs, taking into account changes in Federal funding. • Comply with and support the Violence Against Women and Justice Reauthorization Act of 2005 (VAWA) <p>This Housing Authority strives to serve the needs of low-income, very low-income and extremely low-income persons in the PHA jurisdiction by promoting affordable housing in a suitable living environment free from discrimination.</p> | | | | | |
| 5.2 | <p>Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <ul style="list-style-type: none"> • Goal- Maintain Public Housing units in a decent, safe and sanitary condition. Progress – We continue to serve the current community needs with decent, safe and sanitary housing by maintaining the apartments, by renovating and remodeling units with more amenities and updated, energy efficient items, as funding is available through CFP programs. We continue to improve public housing management by maintaining 90% or above with a High Performer Status. This HA will continue maintain property and upgrading facilities for the betterment of the residents. • Goal-To improve community quality of life and economic vitality by providing an improved living environment. Progress – The HA has a Deconcentration Policy in effect and will continue to strive to bring higher income families into areas where lower income families are housed. The HA also has implemented security improvements with improved security lighting, neighborhood watch programs, extra police foot patrols, etc. This HA works closely with the local police department to monitor and track crime in all HA sites. We also work closely with police to keep our No Trespassing policy in place and up to date to keep criminals and trouble makers out of our complexes. • Goal-To promote self-sufficiency and asset development of families and individuals. Progress-The HA provides assistance to families with job training, computer training, financial management, child discipline, parenting skills, and health care programs to increase the number and percentage of employed, self sufficient families. We also provide services in increase independence for the elderly and disabled. We also work closely with all supportive service agencies in our County to better serve ALL of our families. • Goal – To ensure equal opportunity in housing and affirmatively further fair housing for all. Progress-The HA ensures access to assisted housing regardless of race, color, religion national origin, sex, familial status and disability. We provide suitable living environments for all families living in assisted housing, regardless of race, color, religion, national origin, sex, or disability. This HA continues to prohibit discrimination of any nature and follows the ACOP to ensure all applicants are housed on a non-discriminatory basis. | | | | | |

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| | <p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Can be obtained at Aliceville Housing Authority, 851 Franconia Village, Aliceville, AL 35442</p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.0 | <p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 8.0 | <p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 8.1 | <p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 8.2 | <p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 8.3 | <p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> | | | | | | | | | | | | | | | | | | | | | | | | |
| 9.0 | <p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. The following information is data based on a survey taken in our jurisdiction of current housing needs:</p> <table> <tr> <td>Total number on waiting list in this jurisdiction</td> <td>- 43</td> <td></td> <td></td> </tr> <tr> <td>Total number of families less than 30% AMI –Extremely Low</td> <td>- 25 (58%)</td> <td>Elderly Families</td> <td>- 5 (12%)</td> </tr> <tr> <td>Total number of families less than 50% AMI – Very Low</td> <td>- 18 (42%)</td> <td>Disabled Families</td> <td>- 1 (2%)</td> </tr> <tr> <td>Total number of families less than 80 % AMI - Low</td> <td>- 00 (0%)</td> <td>Race/Ethnicity -</td> <td></td> </tr> <tr> <td></td> <td></td> <td>Black</td> <td>-43 (100%)</td> </tr> <tr> <td></td> <td></td> <td>White</td> <td>-00 (0%)</td> </tr> </table> | Total number on waiting list in this jurisdiction | - 43 | | | Total number of families less than 30% AMI –Extremely Low | - 25 (58%) | Elderly Families | - 5 (12%) | Total number of families less than 50% AMI – Very Low | - 18 (42%) | Disabled Families | - 1 (2%) | Total number of families less than 80 % AMI - Low | - 00 (0%) | Race/Ethnicity - | | | | Black | -43 (100%) | | | White | -00 (0%) |
| Total number on waiting list in this jurisdiction | - 43 | | | | | | | | | | | | | | | | | | | | | | | | |
| Total number of families less than 30% AMI –Extremely Low | - 25 (58%) | Elderly Families | - 5 (12%) | | | | | | | | | | | | | | | | | | | | | | |
| Total number of families less than 50% AMI – Very Low | - 18 (42%) | Disabled Families | - 1 (2%) | | | | | | | | | | | | | | | | | | | | | | |
| Total number of families less than 80 % AMI - Low | - 00 (0%) | Race/Ethnicity - | | | | | | | | | | | | | | | | | | | | | | | |
| | | Black | -43 (100%) | | | | | | | | | | | | | | | | | | | | | | |
| | | White | -00 (0%) | | | | | | | | | | | | | | | | | | | | | | |
| 9.1 | <p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <ul style="list-style-type: none"> • This Authority will use effective maintenance and management policies to minimize the number of public housing units off-line by having units ready and available for occupancy by qualified applicants. • This Authority strives to reduce turnover time for vacated housing units by striving to keep less than 20 days. • This Authority will participate in the Consolidated Plan development process to ensure coordination with broader community strategies if requested by the appropriate State Agency or other Governmental Agency. • This Authority will continue deconcentration efforts in maintaining a mix of extremely low, very low and low income residents. • This Authority will adopt rent policies to support and encourage work and community service. • This Authority will follow rules and regulations issued by HUD and continue to work within HUD funding. | | | | | | | | | | | | | | | | | | | | | | | | |

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| 10.0 | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <ul style="list-style-type: none"> • This Authority is serving the community with decent, safe and sanitary housing. • This Authority has maintained a High Performer status for several years and a PHAS score above 90%. • This Authority has been able to offer more amenities to residents based on HUD funding, such as central heat and air, energy efficient commodes and fixtures, renovated community centers, renovated bathrooms, etc. • This Authority continues to maintain and update our facilities for the betterment of all residents. • This Authority has supplied extra security lights for all complexes to ensure safety and peace of mind of all residents. • This Authority continues deconcentration efforts to provide an improved living environment for all residents. • This Authority continues to undertake affirmative measures to ensure access to all units regardless of race, color, religion, national origin, sex, family status or disability. • This Authority continues to work effectively with supportive service agencies to provide special programs for residents. And continues to work under a Memorandum of Agreement with the Department of Human Resources. <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <ul style="list-style-type: none"> • Significant Amendment or Modification to the Annual Plan- A significant amendment or modification to the Annual Plan would be a change to rent or admissions policies or organization of the waiting list; change in the use of replacement reserve funds under the Capital Fund; any policy change related to demolition, disposition, designation, homeownership programs or conversion activities. • Substantial Deviation from the 5-Year Plan-Substantial Deviation would be a change in the following: mission statement of PHA; methods of increasing availability of decent, safe and affordable housing; methods of improving community quality of life and economic vitality objectives; methods of promoting self-sufficiency; methods of ensuring equal opportunity in housing. |
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| 11.0 | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> |
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RESIDENT MEETING:

A RESIDENT MEETING WAS HELD ON MARCH 9, 2010. THERE WAS 27 RESIDIENTS IN ATTENDANCE (ALL RESIDENTS RECEIVED NOTICE OF THE MEETING AND A PROPOSED AGENDA). THE PHA DISCUSSED THE 2010 ANNUAL PLAN IN DETAIL, ALONG WITH THE FIVE YEAR PLAN AND THE CFP FIVE YEAR ACTION PLAN. WE DISCUSSED THE WORK NOW IN PROGRESS AND WENT OVER WORK TO BE DONE IN THE FUTURE AS PER FIVE YEAR PLAN:

CORRECTING DRAINAGE AND WATER PROBLEMS IN ALL COMPLEXES, FINISH INSTALLING NEW EXTERIOR DOOR LOCKS IN ALL UNITS, EXPLAINED THAT COMPLEX 98-5 (FRANCONIA VILLAGE) WOULD BE GETTING NEW SEWER LINES DUE TO CONTINUED PROBLEMS WITH WATER BACKING UP. EXPLAINED THAT WE WOULD LANDSCAPE ALL COMPLEXES, REMODEL KITCHENS IN TILLEY HAMLET AND MCCRORY COURT COMPLEXES WITHIN THE NEXT TWO YEARS OF FUNDING, INTERIOR PAINT NEW INTERIOR SOLID DOORS AS NEEDED, NEW HVACS AS NEEDED, ETC.

RESIDENTS WERE GIVEN A FORM FOR ANY SUGGESTIONS OR COMMENTS TO ANY OF THE CFP PLANS. WE RECEIVED ONE COMMENT FORM BACK WHICH STATED THAT THEY WOULD LIKE TO SEE BETTER LIGHTS BEHIND THEIR APARTMENT NEAR THE WOODS AND ALSO WOULD LIKE A PLAYGROUND FOR THE CHILDREN.

THE RESIDENTS WERE HAPPY WITH THE WORK BEING DONE AND VERY PROUD OF THEIR NEWLY REMODELED, LARGER COMMUNITY CENTER.

VAWA:

THE AHA COMPLIES WITH AND SUPPORTS THE VIOLENCE AGAINST WOMEN AND JUSTICE DEPARTMENT REAUTHORIZATION ACT OF 2005. THE VAWA PROHIBITS THE EVICTION OF, AND REMOVAL OF ASISTANCE FROM CERTAIN PERSONS LIVING IN PUBLIC HOUSING OR SECTION 8 ASSISTED HOUSING IF THE ASSERTED GROUNDS FOR SUCH ACTION IS AN INSTANCE OF DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT, OR STALKING AS THOSE TERMS ARE DEFINED IN SECTION 3 OF THE U.S. HOUSING ACT OF 1937 AS AMENDED BY VAWA. THE HA DISTRIBUTED NOTICES TO ALL RESIDENTS INFORMING THEM THAT THE HA WOULD NOT EVICT OR TERMINATE VICTIMS OF CRIMINAL DOMESTIC VIOLENCE, DATING VIOLENCE, SEXUAL ASSAULT OR STALKING, AS WELL AS MEMBERS OF THE VICTIMS' FAMILY LISTED ON THE DWELLING LEASE. THE HA WOULD ONLY TAKE ACTION TO HAVE THE PERSON COMMITTING THE VIOLENCE REMOVED FROM THE DWELLING LEASE FOR THE PROTECTION OF THE FAMILY. BASED ON REQUIREMENTS CONTAINED IN HUD NOTICE 2006-23, THE HA DEVELOPED CERTIFICATION FORMS FOR VICTIMS TO CERTIFY THAT THE ALLEGED

INCIDENTS OF ABUSE ARE BONA FIDE AND AGREE TO HAVE THE ALLEGED REMOVED FROM THE DWELLING LEASE. THE HA WILL ALSO ALLOW THE VICTIM AND REMAINING FAMILY MEMBERS TO RELOCATE FOR SAFETY REASONS TO ANOTHER PUBLIC HOUSING DEVELOPMENT MANAGED BY THE HA. THE HA DEVELOPED A NOTICE TO INFORM ALL RESIDENTS (NEW AND EXISTING) OF THE REQUIREMENTS OF VAWA. THIS IS ALSO GONE OVER AT NEW MOVE IN SESSIONS.

IT IS THE GOAL OF THE HOUSING AUTHORITY OF THE CITY OF ALICEVILLE, ALABAMA NOT TO EVICT ANY PUBLIC HOUSING RESIDENT WHO IS A VICTIM OF VIOLENCE COVERED UNDER VAWA AND THAT CERTIFIES TO THE ALLEGED ABUSE AND COMPLIES WITH THE TERMS OF THE CERTIFICATION.

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | |
|---|---|--|
| Part I: Summary | | |
| PHA Name: Aliceville Housing Authority | Grant Type and Number Capital Fund Program Grant No: AL09P09850110 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant: 2010 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 21,700.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 153,000.00 | | | |
| 10 | 1460 Dwelling Structures | 34,000.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | 60,000.00 | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|---|-----------------------|--|---|--|
| PHA Name: Aliceville Housing Authority | | Grant Type and Number Capital Fund Program Grant No: AL09P09850110 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant:2010 FFY of Grant Approval: | |
| Type of Grant | | | | | | |
| <input checked="" type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 268,700.00 | | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | 20,000.00 | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | | |
| Signature of Executive Director <i>Jinada Blakney</i> | | | Date 4-9-10 | | Signature of Public Housing Director | |
| | | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

(2)

| Part II: Supporting Pages | | | | | | | | |
|---|---|--|----------|----------------------|----------------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Aliceville Housing Authority | | Grant Type and Number Capital Fund Program Grant No: AL09P09850110 CFFP (Yes/ No): Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2010 | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| PHA WIDE | A & E FEES | 1430 | 173 | 21,700 | | | | |
| AL098001 | INTERIOR DOORS | 1460 | 15 | 8,000 | | | | |
| AL098002 | INTERIOR DOORS, DOOR LOCKS, | 1460 | 28 | 20,000 | | | | |
| AL098002 | CORRECT DRAINAGE PROBLEMS, LANDSCAPE | 1450 | 28 | 16,000 | | | | |
| AL098003 | EXTERIOR DOOR LOCKS, | 1460 | 32 | 4,000 | | | | |
| AL098003 | CORRECT DRAINAGE PROBLEMS | 1450 | 32 | 4,000 | | | | |
| AL098004 | EXTERIOR DOOR LOCKS | 1460 | 49 | 7,000 | | | | |
| AL098004 | CORRECT DRAINAGE PROBLEMS | 1450 | 49 | 8,000 | | | | |
| AL098005 | NEW SEWER LINES, LANDSCAPE, ADDITIONAL PARKING BAYS | 1450 | 50 | 120,000 | | | | |
| PHA WIDE | FINISH REMOD-RESOURCE CENTER, RE-MOD OLD MAINT SHOP | 1470 | 173 | 60,000 | | | | |
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: Aliceville Housing Authority | | | | | Federal FFY of Grant: 2010 |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| PHA WIDE | 9/29/2011 | | 9/29/2013 | | |
| AL098001 | 9/29/2011 | | 9/29/2013 | | |
| AL098002 | 9/29/2011 | | 9/29/2013 | | |
| AL098003 | 9/29/2011 | | 9/29/2013 | | |
| AL098004 | 9/29/2011 | | 9/29/2013 | | |
| AL098005 | 9/29/2011 | | 9/29/2013 | | |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | |
|---|--|
| Part I: Summary | |
| PHA Name: Aliceville Housing Authority | Grant Type and Number Capital Fund Program Grant No: AL09PS09850109 Replacement Housing Factor Grant No: Date of CFFP: |
| | FFY of Grant: 2009 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/09 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|-----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 30,391 | 30,391 | 30,391 | 16,715.05 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 307,285 | 307,285 | 307,285 | 00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|--|--|--|----------------------|--|---|--|
| PHA Name: Aliceville Housing Authority | | Grant Type and Number Capital Fund Program Grant No: AL09PS09850109 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant:2009 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/09 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 337,676 | 337,676 | 337,676 | 16,715.05 | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | 10,000 | 10,000 | 10,000 | 00 | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | 100,000 | 100,000 | 100,000 | 00 | |
| Signature of Executive Director <i>Tricia Blakney</i> | | Date 4/9/10 | | Signature of Public Housing Director _____ | | |
| | | | | Date _____ | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

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| Part III: Implementation Schedule for Capital Fund Financing Program | | | | | |
|---|---|-------------------------------|---|--------------------------------|---|
| PHA Name: Aliceville Housing Authority | | | | Federal FFY of Grant: 2009 | |
| Development Number Name/PHA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | All Funds Expended (Quarter Ending Date) | | Reasons for Revised Target Dates ¹ |
| | Original Obligation End Date | Actual Obligation End Date | Original Expenditure End Date | Actual Expenditure End Date | |
| AL098001 | 3/2010 | 7/14/2009 | 3/2012 | | |
| AL098002 | 3/2010 | 7/14/2009 | 3/2012 | | |
| AL098003 | 3/2010 | 7/14/2009 | 3/2012 | | |
| AL098004 | 3/2010 | 7/14/2009 | 3/2012 | | |
| AL098005 | 3/2010 | 7/14/2009 | 3/2012 | | |
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | |
|---|---|
| Part I: Summary | |
| PHA Name: Aliceville Housing Authority | Grant Type and Number Capital Fund Program Grant No: AL09P09850109 Replacement Housing Factor Grant No: Date of CFFP: |
| | FFY of Grant: 2009 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/2009 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|----------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 21,700 | 21,700 | 21,700 | 00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | 241,446 | 241,446 | 241,446 | 00 |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|---|---|--|
| PHA Name: Aliceville Housing Authority | | Grant Type and Number Capital Fund Program Grant No: AL09P09850109 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant:2009 FFY of Grant Approval: | |
| Type of Grant | | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 263,146 | 263,146 | 263,146 | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | | |
| Signature of Executive Director <i>Juanita Blakney</i> | | Date 4/9/10 | | Signature of Public Housing Director Date | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| | | |
|---|---|--|
| Part I: Summary | | |
| PHA Name: Aliceville Housing Authority | Grant Type and Number Capital Fund Program Grant No: AL09P09850108 Replacement Housing Factor Grant No: Date of CFFP: | FFY of Grant: 2008 FFY of Grant Approval: |

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/09 Final Performance and Evaluation Report

| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
|------|--|----------------------|----------------------|--------------------------------|------------|
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | | | | |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration (may not exceed 10% of line 21) | | | | |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 18,000 | 18,000 | 18,000 | 18,000 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Non-dwelling Structures | 248,769 | 248,769 | 248,769 | 209,743.20 |
| 13 | 1475 Non-dwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|---|--|---|----------------------|---|---|--|
| PHA Name: Aliceville Housing Authority | | Grant Type and Number Capital Fund Program Grant No: AL09P09850108 Replacement Housing Factor Grant No: Date of CFFP: | | | FFY of Grant:2008 FFY of Grant Approval: | |
| Type of Grant | | | | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (revision no:) | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/09 | | <input type="checkbox"/> Final Performance and Evaluation Report | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | | |
| | | Original | Revised ² | Obligated | Expended | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 266,769 | 266,769 | 266,769 | 227,743.20 | |
| 21 | Amount of line 20 Related to LBP Activities | | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | | |
| Signature of Executive Director <i>Frieder Blakney</i> | | Date 4/9/10 | | Signature of Public Housing Director | | |
| | | | | Date | | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

| Part I: Summary | | | | | | |
|------------------------|--|---------------------------------------|---------------------------------------|---------------------------------------|--|---------------------------------------|
| PHA Name/Number | | Locality (City/County & State) | | | <input checked="" type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
| A. | Development Number and Name | Work Statement for Year 1 FFY 2010 | Work Statement for Year 2 FFY 2011 | Work Statement for Year 3 FFY 2012 | Work Statement for Year 4 FFY 2013 | Work Statement for Year 5 FFY 2014 |
| B. | Physical Improvements Subtotal | Annual Statement | 250,000 | 250,000 | 240,000 | 280,000 |
| C. | Management Improvements | | 00 | 00 | 00 | 00 |
| D. | PHA-Wide Non-dwelling Structures and Equipment | | 00 | 00 | 30,000. | 00 |
| E. | Administration | | 00 | 00 | 00 | 00 |
| F. | Other A & E Fees | | 18,000 | 18,000 | 19,000 | 19,000 |
| G. | Operations | | 00 | 00 | 00 | 00 |
| H. | Demolition | | 00 | 00 | 00 | 00 |
| I. | Development | | 00 | 00 | 00 | 00 |
| J. | Capital Fund Financing – Debt Service | | 00 | 00 | 00 | 00 |
| K. | Total CFP Funds | | 268,000 | 268,000 | 289,000 | 299,000 |
| L. | Total Non-CFP Funds | | 00 | 00 | 00 | 00 |
| M. | Grand Total | | 268,000 | 268,000 | 289,000 | 299,000 |

