

FORT PAYNE HOUSING AUTHORITY

HUD-50075

PHA PLANS

**5 Year Plan for Fiscal Years 2010-2014
Annual Plan for Fiscal Year 2010**

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

<u>Table of Contents</u>	<u>Page #</u>
Annual Plan	1
Executive Summary	3
Policies on Eligibility, Selection and Admissions	3
Financial Resources	4
Rent Determination Policies	4
Operations and Management Policies	5
Grievance Procedures	5
Designated Housing for Elderly and Disabled Families	5
Community Service and Self-Sufficiency	5
Safety and Crime Preventions	5
Pet Policy	6
Certifications of Compliance with PHA Plans & Related Regulations	9
Fiscal Year Audit	11
Asset Management	12
Violence Against Women Act	13
Housing Needs of Families in the Jurisdiction	13
Housing Needs of Families on S8 Waiting List	14
Housing Needs of Families on Public Housing Waiting List	15
Progress in Meeting Mission and Goals	16
Resident Advisory Board or Boards	17
Resident Member on the PHA Board	17
Challenged Elements	17
PHA Management Organizational Chart	19
2010 Capital Fund Annual Statement	20
2009 ARRA Annual Statement	26
2009 Capital Fund Annual Statement	32
2010 Capital Fund Annual Statement	38
Capital Fund 5 Year Work Statements	44
Capital Fund 5 Year Physical Needs Work Statements	46
Capital Fund 5 Year Management Needs Work Statements	48
Certification for Drug-Free Workplace	50
Certification of Payments to Influence Federal Transactions	51
Civil Rights Certification	52
PHA Certifications of Compliance with PHA Plans and Related	53
Disclosure of Lobbying Activities	55
Certification by State of Alabama	56

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
-----------------------------------	---	--

1.0	PHA Information					
	PHA Name: <u>Fort Payne Housing Authority</u>		PHA Code: <u>AL011</u>			
	PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard		X HCV (Section 8)			
	PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2010</u>					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above)					
	Number of PH units: <u>295</u>		Number of HCV units: <u>111</u>			
3.0	Submission Type					
	X 5-Year and Annual Plan		<input type="checkbox"/> Annual Plan Only		<input type="checkbox"/> 5-Year Plan Only	
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
					PH	HCV
	PHA 1:					
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:					
	The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. To provide clean, safe and affordable housing for the low income.					
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.					
	The primary goal of the Housing Authority is to provide clean, safe, and affordable housing to its community. The PHA is striving to reduce public housing vacancies by modernizing public housing units and increasing customer satisfaction. The Housing Authority has increased its PHA scores and reduced its vacancies due to the 2008 & 2009 Capital Fund Grants. Our residents had inadequate parking which made it hard for them to leave and return to their apartments without having to park and walk a distance to their apartments. With the past Capital Fund Grant we were able to put in additional parking and replaced several sidewalks that were causing us a problem with tripping hazards. We were also able to modernize some four bedroom apartments which we were unable to rent, because of the previous abuse to these apartments. These apartments were modernized complete with new kitchens, bathrooms, HVAC units, etc...Our elderly section of town now has security fencing behind the apartments to keep outsiders from freely walking through the area. Lookout Courts is a 100 apartment complex where the children did not have any playground equipment to keep them entertained. Therefore, we are in the processing of putting new playground equipment in this area for the children to keep them happy and occupied. We will continue to improve our vacancy rates and focused on a more attractive curb appeal, safety and convenience for our residents. With the 2010 Capital Fund grant we are planning on converting 30 zero bedroom efficiency apartments into much need 2 bedroom apartments. We have several applicants on our waiting list for two bedroom apartments. Because of the small size of the 0 bedroom units it is becoming impossible to keep the apartments rented. Therefore we will be converting these units into apartments the PHA can utilize.					

PHA Plan Update

Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

(a) Since June 11, 2008, our Section 8 Housing Choice Voucher Program is still closed due to Applicants having to wait several years before getting assistance with their rents through this program. However, we are planning on opening this program back up within the next few months, since the list has decreased. A Legal Public Notice will be put in our local newspaper and posted at our office, to show when this action will be taken.

(b) There has been no revision of deviation in the prior years' Annual Plan

Definition of Substantial Deviation and Significant Amendment

It is the intent of the Fort Payne Housing Authority to adhere to the mission, goals and objectives outlined in the five-year strategic plan. The plan, however, will be modified and re-submitted to HUD should a substantial deviation from program goals and objectives occur. The Housing Authority defines substantial deviations as:

- Any change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Authority's strategic goals of increasing the availability of decent, safe and affordable housing for the citizens of the City of Fort Payne, Alabama.
- Any single or cumulative annual change in the planned or actual use of federal funds as identified in the five-year plan that exceeds 20% of the PHA's annual program budgets for Section 8 or Public Housing activities.
- A need to respond immediately to Acts of God beyond the control of the Housing Authority, such as earthquakes, civil unrest, or other unforeseen significant event.
- A mandate from local government officials, specifically the governing board of the Housing Authority, to modify, revise, or deletes the long-range goals and objectives of the program.

A substantial deviation does not include any changes in HUD rules and regulations, which require or prohibit changes to activities listed herein.

A Significant Amendment of Modification to the Annual Plan and five-year Plans is defined as:

- Changes of a significant nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements as to effect a change in the Section 8 Administrative Plan or the Public Housing Admissions and Continued Occupancy Policy (ACOP).
- A change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the PHA's annual budget.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Copies of the 5-Year Annual PHA Plan may be obtain at the Housing Authority's main administrative office, located at 203 13th Street N.W., Fort Payne, Alabama.

1. PHA POLICIES GOVERNING ELIGIBILITY, SELECTION, AND ADMISSIONS:

The PHA verifies eligibility for admission to public housing at the time of the initial application. In screening applicants, the PHA establishes eligibility for admission, by requesting criminal records from local law enforcement agencies and/or accessing FBI criminal records through a NCIC authorized agency. The PHA has former Federal preferences that apply to application screening. These preferences include Homelessness, Substandard Housing, Victims of Domestic Violence and Involuntary Displacement. In order to receive this type of preferences, the actual reason for the preference has to be verified and certified by another local agency. A qualified applicant is given one choice of a vacant unit before being put on the bottom of the waiting list. The waiting list for public housing is one community wide list. The PHA did not adopt any changes to its admissions policies due to the results of the required analysis of the need to promote deconcentration of poverty and/or to assure income mixing. No developments showed an average income above or below 85% to 115% difference, so therefore no changes were needed, however a Deconcentration Policy was adopted.

6.0

2. FINANCIAL RESOURCES:

*(These financial resources are anticipated to be available to the PHA)

FEDERAL GRANTS: Public Housing Operating Fund	\$708,744.
Public Housing Capital Fund - 2010	\$423,613.
HCV – Section 8 Fund	\$385,472.
Prior Year Federal Grants – Obligated: 2009 Capital Fund Program	\$ 423,613.
PUBLIC HOUSING INCOME:	
Dwelling Rents	\$286,267.
Investment Income	\$ 4,380.
Late Fees & Other Charges	\$ 5,067.
TOTAL RESOURCES	\$2,237,156.

3. RENT DETERMINATION:

The PHA employs discretionary policies for determining income based rent. The PHA has a base minimum rent of \$50.00. Rents are not charged for a percentage less than 30% of adjusted income. However, the PHA does have ceiling rents, which have been arrived and set at the fair market rents. The PHA used the section 8 rent reasonableness study of comparable housing to set the market-based flat rents. Between income reexaminations, a family who experiences and income increase or decrease must report the change of income or family composition to the PHA. At this time the PHA will make an adjustment to the rent.

The Section 8 tenant payment standard is set at above 100% but at or below 110% of Fair Market Rents. The payment standards are reevaluated for adequacy annually. The Housing Choice Voucher Program has a set \$50.00 minimum rent. The PHA has adopted a minimum rent hardship exemption policy.

4. OPERATION AND MANAGEMENT:

The PHA has several manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of the public housing agency. All policies and procedures are followed by each employee of the agency. Agency policies and handbooks are listed below:

Personnel Policy, Procurement Policy, Admissions & Continued Occupancy Policy, Lease & Grievance Policy, Pet Policy, Pest Control Policy, Trash & Litter Policy, Accounts Payable Policy, Rent Collection Policy, Section 8 Administrative Plan.

5. GRIEVANCE PROCEDURES:

The PHA has not established any written grievance procedure in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing. A resident or applicant must contact the PHA main office, at 203 13th Street N.W., Fort Payne, Alabama to initiate any PHA grievance process. Upon filing of a written request, a Tenant shall be entitled to a hearing before a hearing officer. For additional information, See PHA's Grievance Procedures Policy.

6. DESIGNATED HOUSING FOR ELDERLY AND DISABLED FAMILIES:

The PHA owns and operates a fifty unit apartment complex, (ALA 11-3) which is considered to be strictly for our elderly residents. In most all our complexes there are Handicap Accessible units. In the next fiscal year the PHA has applied for five Handicap Accessible units to be added in our (11-4) Lookout Courts area. The PHA will be using the 2009 Stimulus Grant funds to accomplish this action. As approved by our State office on May 21, 2009, the PHA will be converting nine zero/efficiency units into six Handicap Accessible units. The completion of these Handicap Accessible units should be before the end of fiscal year 2010.

7. COMMUNITY SERVICE AND SELF-SUFFICIENCY:

The PHA at this time does not provide any programs to enhance the economic and social self-sufficiency of residents. However, the PHA does furnish a building and utilities which are paid for the Council On Ageing. This service provides meals to the elderly that are delivered to their homes and apartments. The PHA has also, entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services, as contemplated by section 12 (d) (7) of the Housing Act of 1937. With this agreement, the PHA complies with the requirements of community service and treatment of income changes resulting from welfare program. The agreement between the PHA and TANF was signed on October 30, 2000. Other coordination efforts between the PHA and TANF agency is to provide information sharing mutual clients, for rent determinations and family size of residents.

8. SAFETY AND CRIME PREVENTIONS:

The PHA takes extra precautions to insure the public housing residents are safe. We work very closely with our local police department exchanging information that could affect any of our residents. Our local police department has been informed and is aware of the rules and regulations of the Housing Authority. They have been very cooperative in working with the Housing Authority in providing police reports on a regular basis. If the reports show any drug and/or criminal activity on a resident, the resident is evicted. The Housing Authority has entered into an agreement with the local Police Department, for extra patrolling around our communities. In the past, the Housing Authority did have one area with a high crime status. By adding security fencing around these apartments, the crime has decreased drastically. We are in the process of adding security fencing behind our elderly units to exclude any neighboring residents with homes located closely behind these apartments. There has been no crime committed in this area; however, it will stop neighboring residents from walking through the apartment complexes at night and disturbing the residents. We also work closely with the Improvement Authority to insure that all street and security lighting is working properly.

9. **PETS:**

PET POLICY
Fort Payne Housing Authority (HA)

Section I.

- A. Pet ownership: A tenant may own one or more common household pets or have one or more common household pets present in the dwelling unit of such tenant, subject to the following conditions:
1. Each Head of Household may own up to two pets. If one of the pets is a dog or cat, (or other four legged animal), the second pet must be contained in a cage or an aquarium for fish. Each bird or other animals, other than fish, shall be counted as one pet.
 2. If the pet is a dog or cat, it must be neutered/spayed by the age of six (6) months, and cats must be de-clawed by the age of three (3) months. The evidence can be provided by a statement/bill from a veterinarian and/or staff of the humane society. The evidence must be provided prior to the execution of this agreement and/or within 10 days of the pet becoming of the age to be neutered/spayed or de-clawed. Tenant must provide waterproof and leak proof letter boxes for cat waste, which must be kept inside the dwelling unit. Cardboard boxes are not acceptable and will not be approved. The Tenant shall not permit refuse from letter boxes to accumulate nor to become unsightly or unsanitary. Also, the weight of a cat cannot exceed 10 pounds (fully grown) and a dog may not exceed 20 pounds in weight (fully grown). All other four legged animals are limited to 10 pounds (fully grown).
 3. If the pet is a bird, it shall be housed in a birdcage and cannot be let out of the cage at any time.
 4. If the pet is a fish, the aquarium must be twenty gallons or less, and the container must be placed in a safe location in the unit. The Tenant is limited to one container for the fish; however, there is no limit on the number of fish that can be maintained in the container as long as the container is maintained in a safe and non-hazardous manner.
 5. If the pet is a cat or dog, it must have received rabies and distemper inoculations or boosters, as applicable. Evidence of inoculations can be provided by a statement/bill from veterinarian or staff of the humane society and must be provided before the execution of this agreement.
 6. All pets must be housed within the unit and no facilities can be constructed outside of the unit for any pet. No animal shall be permitted to be loose and if the pet is taken outside it must be taken outside on a leash and kept off other Tenant's lawns. Also, all pets must wear collars with identification at all times. Pets without a collar will be picked-up immediately and transported to the Humane Society or other appropriate facility.
 7. All authorized pet(s) must be under the control of an adult. An unleashed pet tied to a fixed object, is not considered to be under the control of an adult. Pets which are unleashed, or leashed and unattended, on HA property may be impounded and taken to the local Humane Society. It shall be the responsibility of the Tenant to reclaim the pet at the expense of the Tenant. Also, if a member of the HA staff has to take a pet to the Humane Society the Tenant will be charged \$50 to cover the expense of taking the pet(s) to the Humane Society.
 8. Pet(s) may not be left unattended for more than twenty-four consecutive hours. If it is reported to HA staff that a pet(s) has been left unattended for more than Twenty-four (24) consecutive hour period, HA staff may enter the unit and remove the pet and transfer the pet to

the Humane Society. Any expense to remove and reclaim the pet from any facility will be the responsibility of the tenant. In the case of an emergency, the HA will work with the resident to allow more than 24 hours for the resident to make accommodations for the pet.

9. Pet(s), as applicable, must be weighed by a veterinarian or staff of the Humane Society. A statement containing the weight of the pet must be provided to the HA prior to the execution of this agreement and upon request by the HA.

NOTE:

Any pet that is not fully grown will be weighed every six months. Also, any pet that exceeds the weight limit at any time during occupancy till **not** be an

Eligible pet and must be removed from HA property.

- B.** Responsible Pet Ownership: Each pet must be maintained responsibly and in accordance with this pet ownership lease addendum and in accordance with all applicable ordinances, state and local public health, animal control, and animal anti-cruelty laws and regulations governing pet ownership. Any waste generated by a pet must be properly and promptly disposed of by the tenant to avoid any unpleasant and unsanitary odor from being in the unit.
- C.** Prohibited Animals: Animals or breeds if animals that are considered by the HA to be vicious and/or intimidating will not be allowed. Some examples of animals that have a Reputation of a vicious nature are: reptiles, rottweiler, Doberman pinscher, chows, pit bulldog, and/or any animal that displays vicious behavior. This determination will be made by a HA representative prior to the execution of this lease addendum.
- D.** Pet(s) shall not disturb, interfere or diminish the peaceful enjoyment of other tenants. The terms, “disturb, interfere or diminish” shall include but not be Limited to barking, howling, chirping, biting, scratching and other like activities. This includes any pets who make noise continuously and/or incessantly for a period of 10 minutes or intermittently for one-half hour or more and therefore disturbs any person at any time of the day or night. The Housing Manager will terminate this authorization if a pet disturbs other tenants under this section of the lease addendum. The Tenant will be given one week to make other arrangements for the care of the pet or the dwelling lease will be terminated.
- E.** If the animal should become destructive, create a nuisance, represent a threat to the safety and security of other persons, or create a problem in the area of cleanliness and sanitation, the Housing Manager will notify the tenant, in writing, that the animal must be removed from the Public Housing Development, within 10 days of the date of the notice from the HA. The Tenant may request a hearing, which will be handled according to the HA’s established grievance procedure. The pet may remain with the tenant during the hearing process unless the HA has determined that the pet may be a danger or threat to the safety and security of other persons. If this determination has been made by the HA, the pet must be immediately removed from the unit upon receipt of the notice from the HA.
- F.** The Tenant is solely responsible for cleaning up the waste of the pet within the dwelling and on the premises of the public housing development. If the pet is taken outside it must be on a leash at all times. If there is any visible waste by the pet it must be disposed of in a plastic bag, securely tied and placed in the garbage receptacle for their unit. If the HA staff is required to clean any waste left by a pet, the Tenant will be charged \$25 for the removal of the waste.
- G.** The Tenant shall have pets restrained so that maintenance can be performed in the apartment. The Tenant shall, whenever an inspection or maintenance can be scheduled, either be a home or shall have all animals restrained or caged. If a maintenance person enters an apartment where an animal is not

restrained, maintenance shall not be performed, and the Tenant shall be charged a fee of \$25.00. If this same situation again occurs, the pet shall be removed from the premises. Pets that are not caged or properly restrained may be impounded by animal control officers or by HA staff and taken to the local Humane Society. It shall be the responsibility of the Tenant to reclaim the pet at the expense of the Tenant. Also, if a member of the HA staff takes a pet to the Humane Society the Tenant will be charged an additional \$50 to cover the expense of taking the pet(s) to the Humane Society. The Housing Authority shall not be responsible if any animal escapes from the residence due to maintenance, inspections or other activities of the landlord.

F. Pets may not be bred or used for any commercial purposes.

**Section II. SCHEDULE OF ANNUAL FEES AND INITIAL DEPOSIT
FEE AND DEPOSIT SCHEDULE**

(An Annual Fee and Deposit is required for each pet)

Type of Pet	Fee	Deposit
Dog	\$150	\$250
Cat	\$100	\$150
Fish Aquarium	\$ 50	\$100
Fish Bowl (Requires no power and no larger than two gallon)	\$ 0	\$ 25
Caged Pets	\$100	\$150

Note: Under 24 CFR 5.63-380 (subpart C) Elderly Residents DO NOT Have to pay the non-refundable annual fees.

Note: The above schedule is applicable for each pet; therefore, if a tenant has more than one pet he or she must pay the applicable annual fee and deposit for each pet.

The entire annual fee and deposit (subject to the exception listed below) must be paid prior to the execution of the lease addendum. No pet shall be allowed in the unit prior to the completion of the terms of this pet policy. The annual fee shall be paid at the time of reexamination each year and all proof of inoculations and other requirements shall be made available to the HA at such time. The Annual Fee is not reimbursable. The deposit made shall be utilized to offset damages caused by the pet and/or tenant. Any balance, if any, from the deposit will be refunded to the tenant. THERE SHALL BE NO REFUND OF THE ANNUAL FEE.

It shall be a serious violation of the lease for any tenant to have a pet without proper approval and without having complied with the terms of this policy. Such violation shall be considered to be a violation of Paragraph IV (L) of the lease (a serious violation) and the HA will issue a termination notice. The tenant will be entitled to a grievance hearing in accordance with the provisions of Paragraph 5 of this Pet Policy or the Grievance Procedure, as applicable.

10. CIVIL RIGHTS CERTIFICATION:

<p>PHA Certifications of Compliance with PHA Plans and Related Regulations</p>	<p>U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0026 Reginal 4302011</p>
--	---

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the - 5-Year and/or - Annual PHA Plan for the PHA fiscal year beginning 12/31/2010 hereinafter referred to as "the Plan", which PHA document is a part and made the including, certification, and agreement. With the Department of Housing and Urban Development (HUD) in accordance with the submission of the Plan and implementation thereof

- I. The PHA is consistent with the applicable comprehensive housing affordability strategy for any plan incorporating such strategy for the jurisdiction in which the PHA is located.
2. The PHA contains a certification by the appropriate state or local officials that the PHA is consistent with the applicable Comprehensive Plan, which includes a certification that requires the preparation of an Analysis of Impacts to Fair Housing Choice in the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Comprehensive Plan.
3. The PHA certifies that there has been no change significant or otherwise to the Capital Fund Program and Capital Fund Program/Replacement Housing Fund's Annual Statements, since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Board, the membership of which represents the residents served by the PHA, consulted with this Board or Board in developing the Plan, and considered the recommendations of the Board or Board (24 L.H.C. 908.12). The PHA has included in the PHA submission a copy of the recommendations made by the Resident Advisory Board or Board and a description of the manner in which the PHA addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public housing available for public inspection at least 45 days before the hearing published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will comply with Title VI of the Civil Rights Act of 1964, the Fair Housing Act under 504 of the Rehabilitation Act of 1973 and with Public Law 94-142, the Education All Children Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs as proposed programs identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the economic conditions and work with local jurisdictions to implement any of the jurisdiction's activities to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting list:
 - The PHA regularly submits required data to HUD's 50858 PHO/DOS Module in an accurate, complete and timely manner (as specified in PHA Notice 1006-04)
 - The system of site-based waiting list provides for all citizens to each applicant in the selection of the development in which to reside, including basic information about available sites, and an estimate of the period of time the applicant would likely have to wait to be admitted to units of customer name and type at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to ensure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site based waiting list policy to determine if it is consistent with civil rights laws and regulations, as specified in 24 CFR part 905.10(c).
9. The PHA will comply with the prohibition against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Performance of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 150.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

11. FISCAL YEAR AUDIT:

The most recent audit of the Housing Authority was for fiscal year ending September 30, 2009. Yeager & Boyd, L.L.C. of Birmingham, Alabama performed the audit in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. In the opinion of Yeager & Boyd, L.L.C., the Authority complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended September 30, 2009. During this audit, the Financial Analyst admitted to taking approximately \$8,000 from Housing Authority funds. The State office, and Office of Inspector General was notified. All other monies were accounted for. Since this time the PHA has received a form of payment toward this amount.

12. ASSET MANAGEMENT:

The PHA does not plan on engaging in any activities that will contribute to the long-term asset management of its public housing stock. The Agency will not engage in any plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan.

13. VIOLENCE AGAINST WOMEN ACT (VAWA)

The PHA works with several local agencies to help provide housing for individuals who are victims of VAWA Act. These agencies consist of temporary shelters, Department of Human Resources, and our local police department. Clients, who are victims of domestic violence, dating violence, sexual assault, or stalking, are being referred by these agencies to the Housing Authority for their housing needs. Once the client meets all necessary screening requirements for admission, they are considered a high preference on our waiting list. The PHA takes measures to protect other residents, who become victims of VAWA Act, while living in our apartments. Any individual showing proof of this act, such as a police report and/or other certification; The PHA will take action to help prevent any other violence which may occur. If the individual, who performed the act, is living in the household, that individual will be evicted. If the individual was an outsider, and the crime was on Housing Authority property, the individual will be "Banned" from Housing Authority property. Any persons banned from the property is reported to the local police department, and charged, if on or near the property for Trespassing.

7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>The PHA has not received a HOPE VI revitalization grant and it does not plan to conduct any demolition or disposition activities in the plan Fiscal Year. No PHA developments or portions of developments have been identified by HUD or the PHA for Conversion of Public Housing to Tenant-Based Assistance. The PHA does not administer any homeownership programs, nor does it have any Project-based Vouchers.</p>
-----	--

8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> <p>2010 Capital Fund Budget is attached.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>List of Capital Fund Annual Statement/Performance and Evaluation Reports Attached:</p> <p>2008 Capital Fund Program 2009 Capital Fund Program 2009 ARRA Grant</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Five Year Action Plan for 2010-2014 is attached.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>N/A</p>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**Housing Needs of Families in the Jurisdiction
By Family Type**

Family Type	Overall	Afford-ability	Supply	Quality	Accessi-bility	Size	Location
Income <= 30% of AMI	679						
Income >30% but <=50% of AMI	1095						
Income >50% but <80% of AMI	915						
Elderly	8882						
Families with Disabilities	821						
Race/Ethnicity							

9.0

The PHA' source of information was taken from the 2000 U.S. Census Bureau for its Jurisdiction.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Housing Needs of Families on the Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List			
	# of families	% of total families	Annual Turnover
Waiting list total	29		21
Extremely low income <=30% AMI	22	75.86%	
Very low income (>30% but <=50% AMI)	7	24.14%	
Low income (>50% but <80% AMI)	0	0	
Families with children	15	52%	
Elderly families	5	17%	
Families with Disabilities	6	21%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	12		
2 BR	10		
3 BR	6		
4 BR	1		
5 BR	0		
5+ BR	0		
<p>Is the waiting list closed (select one)? No <input type="checkbox"/> X Yes</p> <p>If yes:</p> <p>How long has it been closed: Since July 2008</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No X Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? X No <input type="checkbox"/> Yes</p>			

9.1

**Housing Needs of Families on the
Public Housing Waiting List**

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	47		112
Extremely low income <=30% AMI	31	65.96%	
Very low income (>30% but <=50% AMI)	14	29.79%	
Low income (>50% but <80% AMI)	2	4.26%	
Families with children	22	47%	
Elderly families	3	6%	
Families with Disabilities	10	21%	
Race/ethnicity			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	21		
2 BR	15		
3 BR	3		
4 BR	8		
5 BR	0		
5+ BR			

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)? N/A

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5- Year Plan.</p> <p>The primary goal of the Fort Payne Housing Authority in its five year plan is to modernize our apartments to be more comparable and competitive with other apartment complexes located in the surrounding areas. In working toward this goal we have been able to modernize all of our units with new security screens and windows and HVAC systems. We have repaired sidewalks and added additional parking bays for our residents to have better access to their apartments. We are in the process of updating our elderly bathrooms with walk-in tub/shower converters, and add playground equipment to an area that has 100 units with no equipment on site. We will continue to decrease public housing vacancies by increasing customer satisfaction. Our Authority is striving to please our residents with more sites and dwelling unit improvements. We have focused on a more attractive curb appeal, safety and convenience for our residents.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p>

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
------	---

11. Other Required Submissions

Resident Advisory Board or Boards

The following is a list of Resident Advisory Board Members:

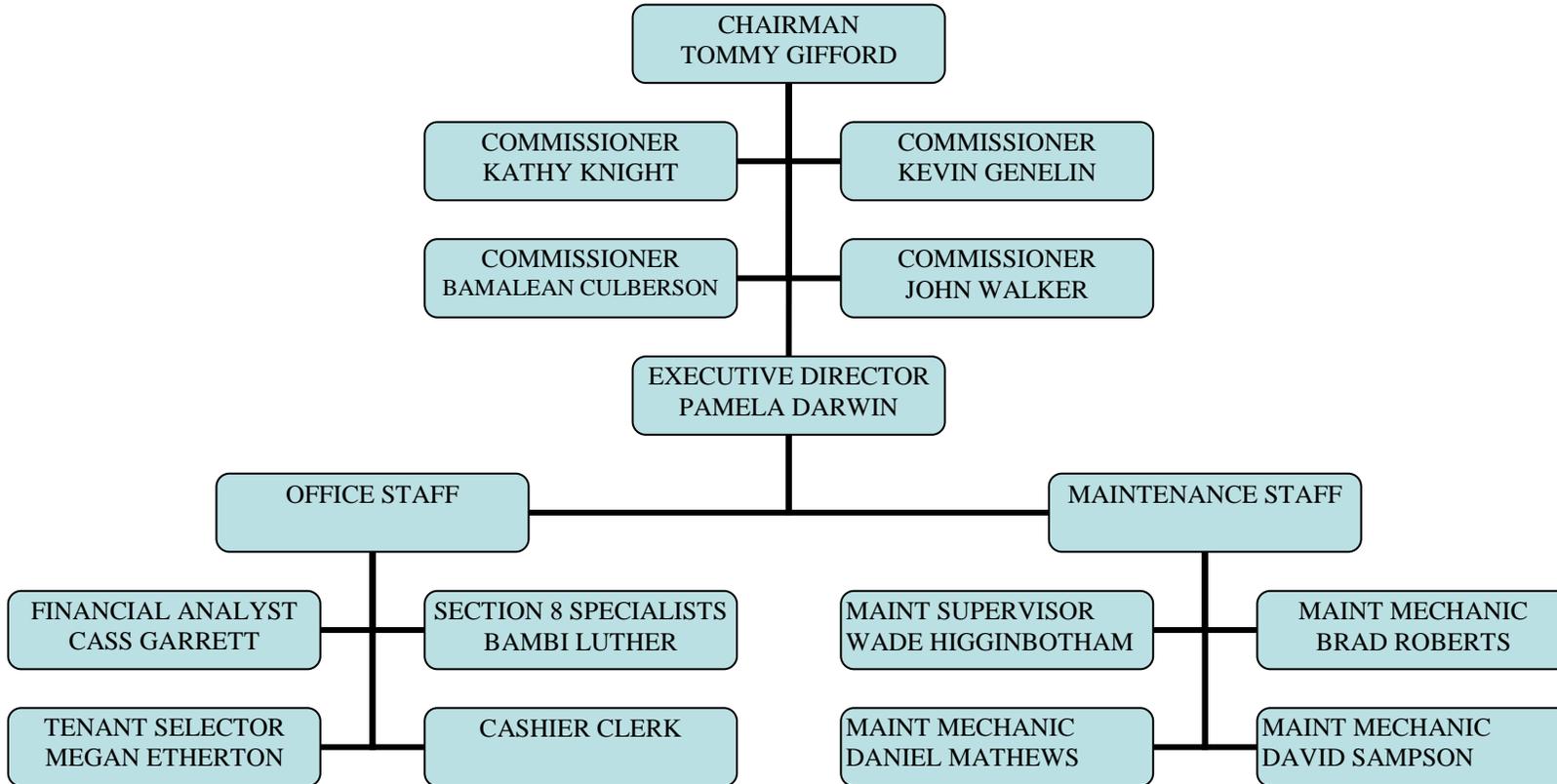
Loretta McElrath
Gernard McCollum
James Haney
David Lee Smith
Beverly Strange

Resident Member on the PHA Board

The PHA governing board does include one member who is directly assisted by the PHA this year. The name of the member is Bamalean Culberson. The appointing official for the governing board is the Mayor of Fort Payne, Bill Jordan. Ms. Culberson was appointed by the mayor on 8-06/2007.

(G) Challenged Elements

There was no element of the PHA Plan that was challenged. We also received no other comments to the plan by the residents or resident Advisory Board.



ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226
 EXPIRES 4/30/2011

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150110 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:			FFY OF GRANT: 2010 FFY OF GRANT APPROVAL: 2010
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input checked="" type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO: #1) <input type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
1	TOTAL NON-CFP FUNDS				
2	1406 OPERATIONS (MAY NOT EXCEED 20% OF LINE 21) ³	50,000.	50,000.	0.00	0.00
3	1408 MANAGEMENT IMPROVEMENTS	12,500.	12,500.	0.00	0.00
4	1410 ADMINISTRATION (MAY NOT EXCEED 10% OF LINE 21)				
5	1411 AUDIT				
6	1415 LIQUIDATED DAMAGES				
7	1430 FEES AND COSTS	31,750.	31,750.	0.00	0.00
8	1440 SITE ACQUISITION				
9	1450 SITE IMPROVEMENT	45,000.	45,000.	0.00	0.00
10	1460 DWELLING STRUCTURES	281,983.	281,983.	0.00	0.00
11	1465.1 DWELLING EQUIPMENT—NONEXPENDABLE				
12	1470 NON-DWELLING STRUCTURES				
13	1475 NON-DWELLING EQUIPMENT				
14	1485 DEMOLITION				
15	1492 MOVING TO WORK DEMONSTRATION				
16	1495.1 RELOCATION COSTS	1,600.	1,600.	0.00	0.00
17	1499 DEVELOPMENT ACTIVITIES ⁴				

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226
 EXPIRES 4/30/2011

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150110 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:		FFY OF GRANT:2010 FFY OF GRANT APPROVAL: 2010	
TYPE OF GRANT <input checked="" type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO:) <input type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
18A	1501 COLLATERALIZATION OR DEBT SERVICE PAID BY THE PHA				
18BA	9000 COLLATERALIZATION OR DEBT SERVICE PAID VIA SYSTEM OF DIRECT PAYMENT				
19	1502 CONTINGENCY (MAY NOT EXCEED 8% OF LINE 20)				
20	AMOUNT OF ANNUAL GRANT:: (SUM OF LINES 2 - 19)	422,833.	422,833.	0.00	0.00
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES				
22	AMOUNT OF LINE 20 RELATED TO SECTION 504 ACTIVITIES				
23	AMOUNT OF LINE 20 RELATED TO SECURITY - SOFT COSTS				
24	AMOUNT OF LINE 20 RELATED TO SECURITY - HARD COSTS				
25	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION MEASURES				
SIGNATURE OF EXECUTIVE DIRECTOR			DATE	SIGNATURE OF PUBLIC HOUSING DIRECTOR	
				DATE 6-17-2010	

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09S01150109 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:			FFY OF GRANT: 2009 FFY OF GRANT APPROVAL: ARRA - 2009
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO:) <input checked="" type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 05-30-2010 <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
1	TOTAL NON-CFP FUNDS				
2	1406 OPERATIONS (MAY NOT EXCEED 20% OF LINE 21) ³				
3	1408 MANAGEMENT IMPROVEMENTS				
4	1410 ADMINISTRATION (MAY NOT EXCEED 10% OF LINE 21)	3,000.00	3,000.	3,000.00	3,000.00
5	1411 AUDIT				
6	1415 LIQUIDATED DAMAGES				
7	1430 FEES AND COSTS	38,000.	38,000.	38,000.00	33,288.00
8	1440 SITE ACQUISITION				
9	1450 SITE IMPROVEMENT				
10	1460 DWELLING STRUCTURES	494,829.	494,829.	494,829.00	476,470.77
11	1465.1 DWELLING EQUIPMENT—NONEXPENDABLE	4,242.	4,242.	4,242.00	4,242.00
12	1470 NON-DWELLING STRUCTURES				
13	1475 NON-DWELLING EQUIPMENT				
14	1485 DEMOLITION				
15	1492 MOVING TO WORK DEMONSTRATION				
16	1495.1 RELOCATION COSTS				
17	1499 DEVELOPMENT ACTIVITIES ⁴				

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09S01150109 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:		FFY OF GRANT:2009 FFY OF GRANT APPROVAL: 2009-ARRA	
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input checked="" type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 5-30-2010 <input type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO:) <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
18A	1501 COLLATERALIZATION OR DEBT SERVICE PAID BY THE PHA				
18BA	9000 COLLATERALIZATION OR DEBT SERVICE PAID VIA SYSTEM OF DIRECT PAYMENT				
19	1502 CONTINGENCY (MAY NOT EXCEED 8% OF LINE 20)				
20	AMOUNT OF ANNUAL GRANT:: (SUM OF LINES 2 - 19)	540,071.	540,071.	540,071.	517,000.77
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES				
22	AMOUNT OF LINE 20 RELATED TO SECTION 504 ACTIVITIES				
23	AMOUNT OF LINE 20 RELATED TO SECURITY - SOFT COSTS				
24	AMOUNT OF LINE 20 RELATED TO SECURITY - HARD COSTS				
25	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION MEASURES				
SIGNATURE OF EXECUTIVE DIRECTOR		6-01-2010	SIGNATURE OF PUBLIC HOUSING DIRECTOR		DATE

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.
² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.
³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.
⁴ RHF FUNDS SHALL BE INCLUDED HERE.

Summary	Grant Type and Number Capital Fund Program Grant No: AL09P01150109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
----------------	---	---

Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no: 1)
 Final Performance and Evaluation Report

Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
	Original	Revised ²	Obligated	Expended
Total non-CFP Funds				
1406 Operations (may not exceed 20% of line 21) ³	85,000.	85,000.	85,000.	0.00
1408 Management Improvements	12,500.	12,500.	12,500.	12,500.00
1410 Administration (may not exceed 10% of line 21)	2,000.	2,000.	2,000.	551.60
1411 Audit				
1415 Liquidated Damages				
1430 Fees and Costs	31,750.	31,750.	31,750.	23,800.00
1440 Site Acquisition				
1450 Site Improvement	41,400.	22,123.	20,086.	0.00
1460 Dwelling Structures	215,963.	234,240.	234,238.	0.00
1465.1 Dwelling Equipment—Nonexpendable				
1470 Non-dwelling Structures				
1475 Non-dwelling Equipment	35,000.	36,000.	36,000.	36,000.00
1485 Demolition				
1492 Moving to Work Demonstration				
1495.1 Relocation Costs				
1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150109 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:		FFY OF GRANT:2009 FFY OF GRANT APPROVAL: 2009	
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input checked="" type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO: 1) <input checked="" type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 05-30-10 <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
18A	1501 COLLATERALIZATION OR DEBT SERVICE PAID BY THE PHA				
18BA	9000 COLLATERALIZATION OR DEBT SERVICE PAID VIA SYSTEM OF DIRECT PAYMENT				
19	1502 CONTINGENCY (MAY NOT EXCEED 8% OF LINE 20)				
20	AMOUNT OF ANNUAL GRANT:: (SUM OF LINES 2 - 19)	423,613.	423,613.	421,574.00	72,851.60
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES				
22	AMOUNT OF LINE 20 RELATED TO SECTION 504 ACTIVITIES				
23	AMOUNT OF LINE 20 RELATED TO SECURITY - SOFT COSTS	12,500.	12,500.	12,500.00	12,500.00
24	AMOUNT OF LINE 20 RELATED TO SECURITY - HARD COSTS				
25	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION MEASURES	39,990.	39,990..	39,988.00	0.00
SIGNATURE OF EXECUTIVE DIRECTOR		DATE 5-30-10	SIGNATURE OF PUBLIC HOUSING DIRECTOR DATE		

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 URBAN DEVELOPMENT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART II: SUPPORTING PAGES								
PHA NAME: FORT PAYNE HOUSING AUTHORITY			GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150109 CFFP (YES/ NO): REPLACEMENT HOUSING FACTOR GRANT NO:			FEDERAL FFY OF GRANT: 2009		
DEVELOPMENT NUMBER NAME/PHA-WIDE ACTIVITIES	GENERAL DESCRIPTION OF MAJOR WORK CATEGORIES	DEVELOPMENT ACCOUNT NO.	QUANTITY	TOTAL ESTIMATED COST		TOTAL ACTUAL COST		STATUS OF WORK
				ORIGINAL	REVISED ¹	FUNDS OBLIGATED ²	FUNDS EXPENDED ²	
HA WIDE	OPERATIONS - INSURANCE, ETC.	1406		85,000.	85,000.	85,000.00	0.00	0%
"	POLICE SECURITY	1408		12,500.	12,500.	12,500.00	12,500.00	100%
"	ADMINSTRATIVE EXPENSE	1410		2,000.	2,000.	2,000.00	551.60	28%
"	A & E FEES	1430		31,750.	31,750.	31,750.00	23,800.00	75%
"	LANDSCAPING-ERODED AREAS, TREES, ETC...	1450.		10,000.	2,037.	0.00	0.00	0%
ALA 11-4	ADDING PLAYGROUND EQUIPMENT (HAS NONE)	1450		31,400.	20,086.	20,086.00	0.00	0%
HA-WIDE	HVAC REPLACEMENT	1460		10,000.	0.	0.00	0.00	0%
ALA 11-3	ELDERLY RENOVATION BATHROOMS	1460	34 UNITS	170,000.	136,000.	136,000.00	0.00	0%
ALA 11-4	REPLACE ROOFS	1460	30 UNITS	32,963.	39,990.	39,988.00	0.00	0%
ALA 11-8	OUTSIDE FAUCET REPLACEMENT	1460	30 UNITS	3,000.	0.	0.00	0.00	0%
HA-WIDE	TRACTOR W/ EXCAVATOR (WATER & SEWER LINE REPAIR)	1475	1 EA	35,000.	36,000.	36,000.00	36,000.00	100%
ALA 11-1	REPLACE INTERIOR DOORS	1460	50 UNITS	0.	58,250.	58,250.00	0.00	0%

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150108 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP: 06/13/2008			FFY OF GRANT: 2008 FFY OF GRANT APPROVAL:
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input checked="" type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO: 1) <input checked="" type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 5/30/2010 <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
1	TOTAL NON-CFP FUNDS				
2	1406 OPERATIONS (MAY NOT EXCEED 20% OF LINE 21) ³	85,332.	85,332.	85,332.	85,332.00
3	1408 MANAGEMENT IMPROVEMENTS	19,000.	19,000.	18,398.	18,397.73
4	1410 ADMINISTRATION (MAY NOT EXCEED 10% OF LINE 21)	3,800.	3,800.	3,800.	3,800.00
5	1411 AUDIT				
6	1415 LIQUIDATED DAMAGES				
7	1430 FEES AND COSTS	31,750.	31,750.	31,750.	31,750.00
8	1440 SITE ACQUISITION				
9	1450 SITE IMPROVEMENT	149,224.	150,324.	149,126.	75,273.44
10	1460 DWELLING STRUCTURES	137,557.	136,457.	138,257.	138,256.47
11	1465.1 DWELLING EQUIPMENT—NONEXPENDABLE				
12	1470 NON-DWELLING STRUCTURES				
13	1475 NON-DWELLING EQUIPMENT				
14	1485 DEMOLITION				
15	1492 MOVING TO WORK DEMONSTRATION				
16	1495.1 RELOCATION COSTS				
17	1499 DEVELOPMENT ACTIVITIES ⁴				

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART I: SUMMARY					
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150108 REPLACEMENT HOUSING FACTOR GRANT NO: DATE OF CFFP:		FFY OF GRANT: 2008 FFY OF GRANT APPROVAL:	
TYPE OF GRANT <input type="checkbox"/> ORIGINAL ANNUAL STATEMENT <input type="checkbox"/> RESERVE FOR DISASTERS/EMERGENCIES <input checked="" type="checkbox"/> REVISED ANNUAL STATEMENT (REVISION NO: 1) <input checked="" type="checkbox"/> PERFORMANCE AND EVALUATION REPORT FOR PERIOD ENDING: 05/30/2010 <input type="checkbox"/> FINAL PERFORMANCE AND EVALUATION REPORT					
LINE	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED COST		TOTAL ACTUAL COST ¹	
		ORIGINAL	REVISED ²	OBLIGATED	EXPENDED
18A	1501 COLLATERALIZATION OR DEBT SERVICE PAID BY THE PHA				
18BA	9000 COLLATERALIZATION OR DEBT SERVICE PAID VIA SYSTEM OF DIRECT PAYMENT				
19	1502 CONTINGENCY (MAY NOT EXCEED 8% OF LINE 20)				
20	AMOUNT OF ANNUAL GRANT:: (SUM OF LINES 2 - 19)	426,663.	426,663.	426,663.	352,809.64
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES				
22	AMOUNT OF LINE 20 RELATED TO SECTION 504 ACTIVITIES				
23	AMOUNT OF LINE 20 RELATED TO SECURITY - SOFT COSTS	12,500.	12,500.	12,500.	12,500.00
24	AMOUNT OF LINE 20 RELATED TO SECURITY - HARD COSTS	4,500.	3,800.	3,796.	3,795.70
25	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION MEASURES	12,600.	12,600.	12,600.	12,600.00
SIGNATURE OF EXECUTIVE DIRECTOR		DATE 05-30-10	SIGNATURE OF PUBLIC HOUSING DIRECTOR		DATE

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

³ PHAS WITH UNDER 250 UNITS IN MANAGEMENT MAY USE 100% OF CFP GRANTS FOR OPERATIONS.

⁴ RHF FUNDS SHALL BE INCLUDED HERE.

ANNUAL STATEMENT/PERFORMANCE AND EVALUATION REPORT
 URBAN DEVELOPMENT
 CAPITAL FUND PROGRAM, CAPITAL FUND PROGRAM REPLACEMENT HOUSING FACTOR AND
 CAPITAL FUND FINANCING PROGRAM
 EXPIRES 4/30/2011

U.S. DEPARTMENT OF HOUSING AND
 OFFICE OF PUBLIC AND INDIAN HOUSING
 OMB NO. 2577-0226

PART II: SUPPORTING PAGES								
PHA NAME: FORT PAYNE HOUSING AUTHORITY		GRANT TYPE AND NUMBER CAPITAL FUND PROGRAM GRANT NO: AL09P01150108 CFFP (YES/ NO): REPLACEMENT HOUSING FACTOR GRANT NO:			FEDERAL FFY OF GRANT: 2008			
DEVELOPMENT NUMBER NAME/PHA-WIDE ACTIVITIES	GENERAL DESCRIPTION OF MAJOR WORK CATEGORIES	DEVELOPMENT ACCOUNT NO.	QUANTITY	TOTAL ESTIMATED COST		TOTAL ACTUAL COST		STATUS OF WORK
				ORIGINAL	REVISED ¹	FUNDS OBLIGATED ²	FUNDS EXPENDED ²	
HA-WIDE	OPERATIONS, INSURANCE, LAWN CARE, ETC	1406		85,332	85,332	85,332	85,332.00	100%
HA WIDE	POLICE SECURITY	1408		12,500	12,500	12,500	12,500.00	100%
HA WIDE	PHM & PHS CERTIFICATIONS, NEW EMPLOYEE TRAINING	1408	3 EACH	6,500.	6,500	5,898.	5,897.73	100%
HA WIDE	ADMINISTRATIVE EXPENSE	1410		3,800.	3,800	3,800.	3,800.00	100%
HA WIDE	A & E FEES	1430		31,750	31,750	31,750	31,750.00	100%
HA WIDE	SIDEWALKS & PARKING BAYS	1450		117,694.	66,603.	66,603.	48,317.74	73%
HA WIDE	TREE TRIMMING, REMOVAL & LANDSCAPING	1450		27,030.	23,160.	23,160.	23,160.00	100%
ALA 11-3	SECURITY FENCING FOR ELDERLY	1450		4,500.	3,800.	3,796.	3,795.70	100%
ALA 11-1 & 11-4	PLAYGROUND EQUIPMENT	1450		0.	56,761.	55,567.	0.00	0%
ALA 11-5	MODERNIZATION OF 4 BEDROOMS	1460	3 EACH	119,725.	119,725	119,725	119,724.46	100%
ALA 11-4	NUTRITION CENTER WINDOWS	1460		5,000.	5,000	6,800.	6,800.00	100%
HA WIDE	HVAC REPLACEMENT	1460		3,900.	5,800.	5,800.	5,800.00	100%
HA WIDE	GFI REPLACEMENT	1460		5,932.	5,932	5,932	5,932.01	100%
ALA 11-8	OUTSIDE WATER FAUCET REPLACEMENT	1460	30 UNITS	3,000.	0	0	0	0%

¹ TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT OR A REVISED ANNUAL STATEMENT.

² TO BE COMPLETED FOR THE PERFORMANCE AND EVALUATION REPORT.

PART I: SUMMARY

PHA NAME/NUMBER (AL011) FORT PAYNE HOUSING AUTHORITY		LOCALITY (CITY/COUNTY & STATE)		X ORIGINAL 5-YEAR PLAN <input type="checkbox"/> REVISION NO:		
A.	DEVELOPMENT NUMBER AND NAME	WORK STATEMENT FOR YEAR 1 FFY <u>2010</u>	WORK STATEMENT FOR YEAR 2 FFY <u>2011</u>	WORK STATEMENT FOR YEAR 3 FFY <u>2012</u>	WORK STATEMENT FOR YEAR 4 FFY <u>2013</u>	WORK STATEMENT FOR YEAR 5 FFY <u>2014</u>
	PHYSICAL IMPROVEMENTS SUBTOTAL	ANNUAL STATEMENT	337,563.	337,563.	337,563.	337,563.
C.	MANAGEMENT IMPROVEMENTS		12,500.	12,500.	12,500.	12,500.
D.	PHA-WIDE NON-DWELLING STRUCTURES AND EQUIPMENT					
	ADMINISTRATION		1,800.	1,800.	1,800.	1,800.
F.	OTHER (A&E FEES)		31,750.	31,750.	31,750.	31,750.
G.	OPERATIONS		40,000.	40,000.	40,000.	40,000..
H.	DEMOLITION					
I.	DEVELOPMENT					
J.	CAPITAL FUND FINANCING – DEBT SERVICE					
K.	TOTAL CFP FUNDS					
L.	TOTAL NON-CFP FUNDS					
M.	GRAND TOTAL		423,613.	423,613.	423,613.	423,613.

PART I: SUMMARY (CONTINUATION)

PHA NAME/NUMBER FORT PAYNE HOUSING AUTHORITY		LOCALITY (CITY/COUNTY & STATE) FORT PAYNE/DEKALB COUNTY, ALABAMA		X ORIGINAL 5-YEAR PLAN <input type="checkbox"/> REVISION NO:		
A.	DEVELOPMENT NUMBER AND NAME	WORK STATEMENT FOR YEAR 1 FFY <u>2010</u>	WORK STATEMENT FOR YEAR 2 FFY <u>2011</u>	WORK STATEMENT FOR YEAR 3 FFY <u>2012</u>	WORK STATEMENT FOR YEAR 4 FFY <u>2013</u>	WORK STATEMENT FOR YEAR 5 FFY <u>2014</u>
		ANNUAL STATEMENT				
	11-1 MANITOU COURTS		66,400.			
	11-2 MANITOU TERRACE					240,000.
	DERLY					
	11-4 LOOKOUT COURTS		198,213.	295,613.	295,613.	
	11-5 STEWART COURTS					
	11-8 LAKESITE					40,000.
	HA-WIDE		162,050.	131,050.	131,050.	146,663.
			423,613	423,613.	423,613.	423,613.

**Certification for
a Drug-Free Workplace**

U.S. Department of Housing
and Urban Development

Instructions

PORT PAYNE HOUSING AUTHORITY

Program Activity: Non-Job Related Grant Funding

CAPITAL FUND PROGRAM

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

1. I certify that the above named Applicant and its will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees:

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a;

d. Notifying the employee in the statement required by paragraph a that, as a condition of employment under the grant, the employee will:

- (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than the relevant days after such conviction.
- e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d(2) from an employee or otherwise receiving actual notice of such conviction, employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant.

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse awareness or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a thru f.

2. Sites for Work Performance: The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above. Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.

- ALA 11-1 Nagnize Courts - 1200 Canal Avenue North, Fort Payne, AL 35957
- ALA 11-2 Nagnize Terrace - 1008 Fennell Avenue North, Fort Payne, AL 35957
- ALA 11-3 Elderly - 1206 Williams Avenue North, Fort Payne, AL 35957
- ALA 11-4 Lockout Courts - 110 Benson Gap Road, Fort Payne, AL 35957
- ALA 11-5 Shavers Courts - 11 Stewart Court Circle S.E., Fort Payne, AL 35957
- ALA 11-6 Lakeside Courts - 50 Lakeside Drive, Sylvania, AL 35968

Check here if there are work performance site photographs attached to this sheet.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (45 U.S.C. 1001, 3010, 3012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Pamela E. Darwin

Title

Executive Director

Signature

Pamela E. Darwin

Date

June 1, 2010

Form HUD-10078-08
10/1/08 HHS Reg. G-171 7475 13,748516 9

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Public Hearing

APPROPRIATE

FOUR WAYNE HOUSING AUTHORITY

Program/Activity/Marketing Federal Grant Funding
ZURU CAPITAL FUND PROGRAM

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person (or influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-278, Disclosure Form to Report Lobbying, as prescribed with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award document for all subcontracts of all loans (including sub-loans), subgrants, and contracts (such as joint loans and cooperative agreements) and let all subrecipients shall certify and disclose accordingly.

This certification is a material representation upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into the transaction imposed by Section 1012, 1996 44, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompanying documents, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 51 U.S.C. 3725, 3802)

Authorized Signer

Patricia E. Dennis

Title

Executive Director

NY 10713/05

DEC 1 2010

Printed name of signer

Form HUD 50071 (2-99)
and Handbook 7417-1, 7475-1, 7402.1, & 7402.3

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Regulation 4360201

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, or its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Plan for the PHA annual certification document as a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Fort Payne Housing Authority

AL011

PHA Name:

PHA Number/IA Code:

I hereby certify that all the information stated herein, as well as any information provided in the accompanying materials, is true and accurate. Misleading or false information and statements concerning any matter in connection with this certification are prohibited under 42 U.S.C. 8101, 8102, 8103, 8104, 8105, 8106, 8107, 8108, 8109, 8110, 8111, 8112, 8113, 8114, 8115, 8116, 8117, 8118, 8119, 8120, 8121, 8122, 8123, 8124, 8125, 8126, 8127, 8128, 8129, 8130, 8131, 8132, 8133, 8134, 8135, 8136, 8137, 8138, 8139, 8140, 8141, 8142, 8143, 8144, 8145, 8146, 8147, 8148, 8149, 8150, 8151, 8152, 8153, 8154, 8155, 8156, 8157, 8158, 8159, 8160, 8161, 8162, 8163, 8164, 8165, 8166, 8167, 8168, 8169, 8170, 8171, 8172, 8173, 8174, 8175, 8176, 8177, 8178, 8179, 8180, 8181, 8182, 8183, 8184, 8185, 8186, 8187, 8188, 8189, 8190, 8191, 8192, 8193, 8194, 8195, 8196, 8197, 8198, 8199, 8200.

Board Chairman:

Thomas A. Gifford

Board Chairman

Signature:



Date: 06/07/2010

(a) or HUD-50177-01 (1/2009)
CMS Approval No. 2577-0226

PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or Annual PHA Plan for the PHA fiscal year beginning 12/31/2010 hereinafter referred to as the Plan, which PHA document is a part and made the including certification and agreement. With the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof

- I. The Plan is consistent with the applicable comprehensive housing affordability strategy for any plan incorporating such strategy for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Comprehensive Plan, which includes a certification that requires the preparation of an Analysis of Impacts to Fair Housing Choice in the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Comprehensive Plan.
3. The PHA certifies that there has been no change, significant or otherwise, in the Capital Fund Program and Capital Fund Program/Replacement Housing Fund's Annual Statements since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents served by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 L.H.C. 908.12). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses those recommendations.
5. The PHA made the proposed Plan and all information relevant to the public housing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will comply with Title VI of the Civil Rights Act of 1964, the Fair Housing Act under 504 of the Rehabilitation Act of 1973 and with Public Law 94-142, the Education All Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the economic conditions and work with local jurisdictions to implement any of the jurisdiction's activities to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting list:
 - The PHA regularly submits required data to HUD's 50058 PHC/DOS Module in an accurate, complete and timely manner (as specified in PHM Notice 1006-04)
 - The system of site-based waiting list provides for all citizens to each applicant in the selection of the development in which to reside, including basic information about available sites, and an estimate of the period of time the applicant would likely have to wait to be admitted to units at various sites and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to ensure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site based waiting list policy to determine if it is consistent with civil rights laws and regulations, as specified in 24 CFR part 905.10(c).
9. The PHA will comply with the prohibition against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Performance of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low- or Very-Low Income Persons, and with its implementing regulations at 24 CFR Part 153.
12. The PHA will comply with applicable and relevant requirements of the Uniform Education Assistance and Real Property Acquisition Policies Act of 1976 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to avoid conflicts of interests and women's business enterprises under 24 CFR 24.102(a).
14. The PHA will provide the responsible party or HUD any documentation for the responsible party or HUD needs to carry out its duties under the National Environmental Policy Act and other related activities in accordance with 24 CFR Part 58 or Part 59, respectively.
15. With respect to public housing the PHA will comply with Debarment or HUD debarment wage rate requirements under Section 17 of the United States Housing Act of 1947 and the Contract Work Hours and Salary Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.23 and Institute an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Renovation, Repaint, and Removal Act, the Restricted Lead-Based Paint Hazard Reduction Act of 1991, and 24 CFR Part 35.
18. The PHA will comply with the policies, regulations, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 201, and 24 CFR Part 93 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All activities in the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance to HUD office certification that:
 - (i) The Housing Advisory Board has an opportunity to review and comment on the changes in the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

FIRST PAINE HOUSING AUTHORITY

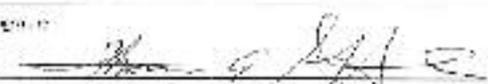
ALC11

PHA Name

PHA Number/EA Code

X	5-Year PHA Plan for Fiscal Years 20	10	- 14
X	Annual PHA Plan for Fiscal Years 20	10	- 20

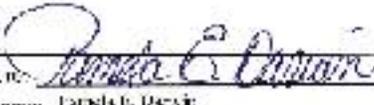
I hereby certify that all the information stated herein, as well as any information provided in the accompanying documents, is true and correct. Witness: HUD and appropriate State officials and notaries. Civil penalties may result in criminal and/or civil penalties. (11 U.S.C. 2004, 1218, 1812, 21 U.S.C. 2720, 2802)

APPROVED BY PHA: <div style="text-align: center;">Thomas A. Gifford</div>	Title: <div style="text-align: center;">Board Chairman</div>
SIGNED: 	Date: <div style="text-align: center;">June 7, 2010</div>

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0818-0048

Complete this form to disclose lobbying activities pursuant to 28 U.S.C. 1036a
(See reverse for public burden disclosure.)

<p>1. Type of Federal Action:</p> <ul style="list-style-type: none"> a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance 	<p>2. Status of Federal Action:</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> initial award b. <input type="checkbox"/> modification c. <input type="checkbox"/> post-award 	<p>3. Report Type:</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> initial filing b. <input type="checkbox"/> material change <p>For Material Change Only: year _____ quarter _____ date of last report _____</p>
<p>4. Name and Address of Reporting Entity</p> <p>US EPA <input type="checkbox"/> contractor Tel _____, Fax _____</p> <p>Congressional District, if known: 4c</p>		<p>5. If Reporting Entity in No. 4 is a Subsidiary, Firm Name and Address of Prime:</p> <p>Est. Paper Billing Authority, 200 13th Street N.W., Est. Paper Alabama 35907</p> <p>Congressional District, if known: 4</p>
<p>6. Federal Department/Agency:</p> <p>HOUSING & URBAN DEVELOPMENT</p>	<p>7. Federal Program Name/Description:</p> <p>CHLV Number (applicable): 11873</p>	
<p>8. Federal Action Number, if known:</p> <p>ALUMINUM</p>	<p>9. Award Amount, if known:</p> <p>\$ 129,613.</p>	
<p>10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):</p>	<p>b. Individual Performing Services (including address if different from No. 10a) (last name, first name, MI):</p>	
<p>11. Information recorded through this form is authorized by 28 U.S.C. section 1036a. This disclosure of lobbying activities is a material representation of fact and any false or misleading information or omission may constitute a criminal offense. This document is prepared pursuant to 28 U.S.C. 1036a. This information will be available to public agencies. Any person who fails to file or copies, publishes, disseminates, or in any way makes available to the public any information required to be disclosed by this form is subject to criminal sanctions under 28 U.S.C. 1036a and any other laws that may apply.</p>	<p>Signature: </p> <p>Print Name: Pamela E. Davis</p> <p>Title: Executive Director</p> <p>Telephone No.: 202-454-7-8434 Date: 6/11/2010</p>	
<p>Federal Use Only:</p>		<p>Authorized for Local Reproduction Standard Form 288 (Rev. 7/97)</p>

Office of the Governor

Bar R. Lee
Governor



State of Alabama

ALABAMA DEPARTMENT OF ECONOMIC
AND COMMUNITY AFFAIRS

DONI M. INGRAM
DIRECTOR

**CERTIFICATION BY STATE OF PHA PLAN'S CONSISTENCY
WITH STATE CONSOLIDATED PLAN**

I, Doni M. Ingram, Director of the Alabama Department of Economic and Community Affairs, certify that the Five Year Plan for Fiscal Years 2010 – 2014 and the Annual Plan for Fiscal Year 2010 of Carl Payne Housing Authority is consistent with the Consolidated Plan of the State of Alabama prepared pursuant to 24 CFR Part 91.

Certifying Official of State:

Name: Doni M. Ingram

Title: Director
Alabama Department of Economic and Community Affairs

Signature: *Doni M. Ingram*

Date: June 10, 2010

