

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>Housing Authority of the City of Dothan</u> PHA Code: <u>AL007</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/01/2010</u>																										
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>670</u> Number of HCV units: <u>715</u>																										
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																										
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																										
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:					
Participating PHAs	PHA Code					Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program																			
		PH	HCV																								
PHA 1:																											
PHA 2:																											
PHA 3:																											
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																										
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Housing Authority of the City of Dothan is to promote adequate and affordable housing, economic opportunity, and a suitable living environment free from discrimination for eligible low-income, very low-income, and extremely low-income families residing or desiring to reside in the City of Dothan.																										
5.2	<p>Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>Goal 1: Expand the supply of assisted housing: (a) Apply for additional housing vouchers if available; (b) maintain a vacancy rate in public housing of less than 3%; (c) maintain a vacancy rate of less than 3% in the voucher program provided funds are available.</p> <p>Goal 2: Improve the quality of assisted housing: (a) Strive to maintain a PHAS score of 90% or better in the public housing program; (b) Strive to maintain a SEMAP score of 90% or better in the voucher program; (c) obligate and expend Capital Funds within time frames set by HUD.</p> <p>Goal 3: Provide an improved living environment: (a) Implement security improvements by enforcing applicant screening measures and enforcement of lease provisions; (b) continue to work and cooperate with local law enforcement agencies to deter crime.</p> <p>Goal 4: Promote self sufficiency: (a) Provide new participants with information on available community services; (b) coordinate and provide community space and/or utilities for agencies who provide job skill training and adult education programs.</p> <p>Goal 5: Ensure equal opportunity and fair housing for participants: (a) Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority; (b) assist families in the completion and filing of any complaint alleging equal opportunity and/or fair housing violations.</p>																										
6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(1) Financial resources: Updated for FY 2010. (2) Rent determination: Updated for flat rent calculation.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. See Attachment AA for PHA Plan elements.</p> <p>(1) Central Office, 602 S. Lena Street, Dothan, AL 36301 (2) Henry Green Apts., Ussery Homes and Marvin Lewis Village, 602 S. Lena Street, Dothan, AL 36301 (3) McRae Homes, 705 N. Lena Street, Dothan, AL 36303 (4) Martin Homes, 109 Booker Street, Dothan, AL 36303 (5) Johnson Homes, 1121 Kinsey Road, Dothan, AL 36303</p>																										
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable. Not applicable.																										
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																										

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See the following attachments: al007a01- CF Annual Statement for FY 2010 al007b01- P&E for FY 2009 ARRA Grant al007c01- P&E for FY 2009 CF Grant al007d01- P&E for FY 2008 CF Grant al007e01- P&E for FY 2007 CF Grant al007f01- P&E for FY 2006 Grant (Final)</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See attachment: al007g01</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See attachment: al007h01</p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. See attachment: al007h01</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. See attachment: al007i01</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" See attachment: al007j01</p>

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See attachment: al007k01</p> <p>(g) Challenged Elements See attachment: al007l01</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750110 Replacement Housing Factor Grant No: Date of CFFP:
Attachment: al007a01	
FFY of Grant: 2010 FFY of Grant Approval:	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000			
4	1410 Administration (may not exceed 10% of line 21)	100,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	34,443			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	731,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	32,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2010 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,072,443			
21	Amount of line 20 Related to LBP Activities	36,000			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 09/30/2009	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan		Grant Type and Number Capital Fund Program Grant No: AL09P00750110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/Martin Homes	LBP abatement	1460	20	36,000				
	Interior & exterior doors	1460	20	115,000				
	Cabinetry	1460	20	97,000				
	Bathroom renovation	1460	20	36,000				
	Walls & ceilings	1460	20	120,000				
	Painting	1460	20	40,000				
	Floor tile	1460	20	29,000				
	Plumbing	1460	20	145,000				
	Electrical	1460	20	113,000				
	A&E	1430		34,443				
	Relocation	1495.1	20	32,000				
	SUBTOTAL			797,443				
HA-Wide	Security-partial reimb./8 police officers	1408		175,000				
	Administration/Fees	1410		100,000				
	SUBTOTAL			275,000				
	TOTALS			1,072,443				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary			
PHA Name: Housing Authority of the City of Dothan	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; vertical-align: top;"> Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP: </td> <td style="width:50%; vertical-align: top;"> Attachment: al007b01 </td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP:	Attachment: al007b01
Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP:	Attachment: al007b01		
FFY of Grant: 2009 FFY of Grant Approval: 2009			

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	10,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	73,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	1,213,301			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	54,000			
17	1499 Development Activities ⁴	7,200			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09S00750109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval: 2009			
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,357,501		0	0
21	Amount of line 20 Related to LBP Activities	108,000			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 07/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09S00750109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 30/Martin Hom.	LBP Abatement							
	Interior doors	1460	36					
	Exterior doors	1460	36					
	Security screen doors	1460	36					
	Range hoods	1460	36					
	Floor tile	1460	36					
	Cabinetry	1460	36					
	Painting, wall & ceiling repairs	1460	36					
	Plumbing	1460	36					
	Electrical wiring	1460	36					
	Bathroom renovation	1460	36					
	Total Apt. Renovation	1460	36	1,213,301				
	A&E	1430		73,000				
	Relocation	1495.1	36	54,000				
	LBP Clearance Testing	1499	36	7,200				
	Subtotal			1,347,501				
	Administration	1410		10,000				
	TOTALS			1,357,501		0		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary	
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750109 Replacement Housing Factor Grant No: al007c01 Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000			
4	1410 Administration (may not exceed 10% of line 21)	100,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	711,181			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	28,000			
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval: 2009			
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,064,181			
21	Amount of line 20 Related to LBP Activities	57,000			
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000			
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 09/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL007000030/Martin Homes	LBP abatement	1460	19					
	Interior & exterior doors	1460	19					
	Cabinetry	1460	19					
	Bathroom renovation	1460	19					
	Walls & ceilings	1460	19					
	Painting	1460	19					
	Floor tile	1460	19					
	Plumbing	1460	19					
	Electrical	1460	19					
	Total Apt. Renovation			711,181				
	Relocation	1495.1	19	28,000				
	A&E	1430		50,000				
	SUBTOTAL			789,181				
HA-Wide	Security-partial reimb./8 police officers	1408		175,000				
	Administration/Fees	1410		100,000				
	SUBTOTAL			275,000				
	TOTALS			1,064,181				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Dothan				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL007000030/Martin H.	09-14-2011		09-14-2013		
HA-Wide	09-14-2011		09-14-2013		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750108 Replacement Housing Factor Grant No: Date of CFFP:
Attachment: al007d01	
FFY of Grant: 2008 FFY of Grant Approval: 2008	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds		232,340		
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	175,000	175,000		
4	1410 Administration (may not exceed 10% of line 21)	90,000	90,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,000	0		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	739,943	807,443	807,443	807,443
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	32,500	0		
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2008 FFY of Grant Approval: 2008			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,072,443	1,072,443	807,443	291,068
21	Amount of line 20 Related to LBP Activities	120,689	38,100		
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000	175,000		
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 07/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750108 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Amp 30/Martin Homes	LBP abatement	1460	20	120,689	38,100	38,100	17,145	Underway
	Exterior & interior doors	1460	20	132,600	127,242	127,242	45,307	Underway
	Cabinetry	1460	20	31,200	108,740	108,740	38,705	Underway
	Bathroom renovation	1460	20	78,000	38,061	38,061	13,559	Underway
	Walls & ceilings	1460	20	60,339	132,137	132,137	47,060	Underway
	Painting	1460	20	30,465	43,504	43,504	15,477	Underway
	Floor tile	1460	20	81,900	30,993	30,993	11,039	Underway
	Plumbing	1460	20	117,000	163,130	163,130	58,072	Underway
	Electrical	1460	20	87,750	125,536	125,536	44,704	Underway
	A&E	1430		35,000	0	0	0	
	Relocation	1495.1		32,500	0	0	0	
	SUBTOTAL			807,443	807,443	807,443	291,068	
HA-Wide	Security - partial reimb. for 8 officers	1408		175,000	175,000	0	0	
	Administration	1410		90,000	90,000	0	0	
	SUBTOTAL			265,000	265,000			
	TOTALS			1,072,443	1,072,443	807,443	291,068	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:				Federal FFY of Grant:	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750107 Replacement Housing Factor Grant No: Date of CFFP:
Attachment: al007e01	
FFY of Grant: 2007 FFY of Grant Approval: 2007	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 06/30/2009 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	205,000	175,000	175,000	175,000
4	1410 Administration (may not exceed 10% of line 21)	90,000	71,944	71,944	71,944
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	61,354	20,520	20,520	20,520
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	622,343	738,766	738,766	738,766
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	67,500	39,967	39,967	39,967
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750107 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2007 FFY of Grant Approval: 2007			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/2009		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,046,197	1,046,197	1,046,197	1,046,197
21	Amount of line 20 Related to LBP Activities	138,500	34,956	34,956	34,956
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000	175,000	175,000	175,000
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 07/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
7-03/Martin Homes	LBP abatement	1460	19	138,500	34,956	34,956	34,956	Note 1
	Exterior & interior doors	1460	19	109,000	116,404	116,404	116,404	"
	Cabinetry	1460	19	23,000	99,477	99,477	99,477	"
	Bathroom renovation	1460	19	9,843	34,819	34,819	34,819	"
	Walls & ceilings	1460	19	117,000	120,881	120,881	120,881	"
	Painting	1460	19	45,000	39,798	39,798	39,798	"
	Floor tile	1460	19	90,000	28,353	28,353	28,353	"
	Plumbing	1460	19	90,000	149,235	149,235	149,235	"
	Electrical	1460	19	0	114,843	114,843	114,843	"
	Relocation	1495.1		67,500	39,967	39,967	39,967	Completed
	A&E	1430		61,354	20,520	20,520	20,520	Completed
	SUBTOTAL			751,197	799,253	799,253	799,253	
HA-Wide	Security-partial reimb. for 8 officers	1408		175,000	175,000	175,000	175,000	Completed
	Youth programs	1430		30,000	0	0	0	
	Administration	1410		90,000	71,944	71,944	71,944	Completed
	SUBTOTAL			295,000	246,944	246,944	246,944	
	TOTALS			1,046,197	1,046,197	1,046,197	1,046,197	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Dothan				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
	This page	intentionally	left blank		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary	
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750106 Replacement Housing Factor Grant No: Date of CFFP:
Attachment: al007f01	
FFY of Grant: 2006 FFY of Grant Approval: 2006	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	205,000	205,000	205,000	205,000
4	1410 Administration (may not exceed 10% of line 21)	90,000	90,000	90,000	90,000
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	62,000	62,000	62,000	62,000
8	1440 Site Acquisition				
9	1450 Site Improvement	379,932	0	0	0
10	1460 Dwelling Structures	330,040	709,972	709,972	709,972
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of Dothan	Grant Type and Number Capital Fund Program Grant No: AL09P00750106 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2006 FFY of Grant Approval: 2006			
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,066,972	1,066,972	1,066,972	1,066,972
21	Amount of line 20 Related to LBP Activities	130,340	36,645		
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	175,000	175,000		
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 07/10/2009		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750106 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
7-01/Henry Green	Condenser guards	1460	99	51,680	0	0	0	Note 1
	LBP abatement	1460	18	0	23,115	23,115	23,115	Completed
	Interior & Exterior Doors	1460	18	0	45,635	45,635	45,635	Completed
	Painting & wall repair	1460	18	0	31,097	31,097	31,097	Completed
	Floor tile	1460	18	0	36,855	36,855	36,855	Completed
	Cabinetry	1460	18	0	22,112	22,112	22,112	Completed
	Security screen doors	1460	18	0	12,898	12,898	12,898	Completed
	Range hoods/backsplash	1460	18	0	3,684	3,684	3,684	Completed
	SUBTOTAL			51,680	175,396	175,396	175,396	
7-02/McRae	Condenser Guards	1460	92	48,300	0	0	0	Note 1
7-03/Martin	Erosion control	1450		24,657	0	0	0	Note 2
	Condenser guards/installation only	1460	53	77,700	4,505	4,505	4,505	Completed
	LBP abatement	1460	7	0	13,530	13,530	13,530	Completed
	Exterior & interior doors	1460	7	0	45,233	45,233	45,233	Completed
	Cabinetry	1460	7	0	38,656	38,656	38,656	Completed
	Bathroom renovation	1460	7	0	13,530	13,530	13,530	Completed
	Walls & ceilings	1460	7	0	46,973	46,973	46,973	Completed
	Painting	1460	7	0	15,465	15,465	15,465	Completed
	Floor tile	1460	7	0	11,018	11,018	11,018	Completed

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of Dothan			Grant Type and Number Capital Fund Program Grant No: AL09P00750106 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
7-03/ Martin (cont)	Plumbing	1460	7	0	58,078	58,078	58,078	Completed
	Electrical	1460	7	0	44,627	44,627	44,627	Completed
	Roofing	1460	28	0	242,961	242,961	242,961	Completed
	A&E Fees	1430		62,000	62,000	62,000	62,000	Completed
	SUBTOTAL			164,357	596,576	596,576	596,576	
7-04/Johnson	Resurface parking areas	1450		213,335	0	0	0	Note 2
	Erosion control	1450		30,000	0	0	0	Note 2
	Postal areas	1450	3	46,940	0	0	0	Note 1
	Painting	1460	50	50,000	0	0	0	Note 2
	Condenser guards	1460	214	72,360	0	0	0	Note 1
	SUBTOTAL			412,635	0	0	0	
7-04/Ussery	Resurface parking areas	1450		65,000	0	0	0	Note 2
	Painting	1460	30	30,000	0	0	0	Note 2
	SUBTOTAL			95,000	0	0	0	
HA Wide	Security - partial reimb. for 8 officers	1408		175,000	175,000	175,000	175,000	Completed
	Youth programs	1408		30,000	30,000	30,000	30,000	Completed
	Administration	1410		90,000	90,000	90,000	90,000	Completed
	SUBTOTAL			295,000	295,000	295,000	295,000	
	TOTALS			1,066,972	1,066,972	1,066,972	1,066,972	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the City of Dothan					Federal FFY of Grant:
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
7-01/Henry Green	07/18/08	07/17/08	07/17/10	03/31/09	
7-02/McRae Homes	07/18/08	N/A	07/17/10	N/A	Work items completed in prior CF project
7-03/Martin Homes	07/17/08	07/17/08	07/17/10	03/31/09	
7-04/Johnson Homes	07/17/08	N/A	07/17/10	N/A	Work items referred to future CF project
7-04/Ussery Homes	07/17/08	N/A	07/17/10	N/A	Work items referred to future CF project
HA Wide	07/17/08	07/17/08	07/17/10	03/31/09	
Note 1 Work accomplished	in prior year	CF project			
Note 2 Work item referred	to future	CF project			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:				Federal FFY of Grant:	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary						
PHA Name/Number H.A. of the City of Dothan/AL007		Locality (City/County & State) Dothan, Alabama			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
B.	Physical Improvements Subtotal	Annual Statement	765,443	797,443	797,443	797,443
C.	Management Improvements		175,000	175,000	175,000	175,000
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		100,000	100,000	100,000	100,000
F.	Other		32,000			
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		1,072,443	1,072,443	1,072,443	1,072,443
L.	Total Non-CFP Funds					
M.	Grand Total		1,072,443	1,072,443	1,072,443	1,072,443

Part I: Summary (Continuation)						
PHA Name/Number H.A. of the City of Dothan/AL007		Locality (City/county & State) Dothan, AL			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year 2 FFY <u>2011</u>	Work Statement for Year 3 FFY <u>2012</u>	Work Statement for Year 4 FFY <u>2013</u>	Work Statement for Year 5 FFY <u>2014</u>
	AL007000010/Henry Green	Annual Statement			40,000	30,000
	AL007000020/McRae			250,000	40,000	30,000
	AL007000030/Martin		797,443	150,000	310,000	
	AL007000040/Johnson			280,443	285,000	550,000
	AL007000050/Ussery & MLV			65,000	70,443	135,443
	HA-Wide:					
	A&E			52,000	52,000	52,000
	Management Imp.		175,000	175,000	175,000	175,000
	Administration		100,000	100,000	100,000	100,000
	TOTALS		1,072,443	1,072,443	1,072,443	1,072,443

Attachment: al007h01

**HOUSING NEEDS
(Section 9.0 of Annual Plan)**

I. The current Consolidated Plan for the City of Dothan, Alabama reports the following data concerning housing needs:

A. Renters Reporting Housing Problems

Median Income Level	Percent Reporting Problems	
	Caucasian	African-American
0% to less than 30%	60.66	74.63
31% to less than 50%	42.50	56.45
51% to 80%	27.81	30.09

B. Renters with Rent Burdens Greater than 30%/Greater than 50% of Income *

Median Income Level	Percent Greater than 30%	Percent Greater than 50%
0% to less than 30%	65.63	47.30
31% to less than 50%	51.98	10.89
51% to 80%	23.42	0.85

* No data reported by racial/ethnicity categories.

C. Affordability – Of the 8,459 occupied rental units in the City of Dothan, 16.34% are affordable at 30% to 50% of median income.

II. Information on the current Waiting List of the Housing Authority for the Public Housing and Section 8 Voucher Programs:

A. Public Housing – Total applicants - 159

Section 8 Voucher – Total applicants – 199

Median Income Level	Public Housing	Section 8 Voucher
0% to less than 30%	85.53%	74.75%
31% to less than 50%	14.47%	23.74%
51% to 80%	0	1.51%

Racial Characteristics	Public Housing	Section 8 Voucher
Caucasian	16.98%	8.54%
African-American	81.76%	91.46%
Other	1.26%	0

Family Characteristics	Public Housing	Section 8 Voucher
Elderly	3.14%	1.51%
Disabled	16.35%	14.57%
With Children	51.57%	76.38%

III. Summary

Based on the above data, there continues to be a need for affordable housing units in the City of Dothan with special emphasis on families who have incomes from 0% to 50% of median income. In all demographic groups, African-American families are more likely to have housing problems and have a greater need for subsidized housing.

HOUSING STRATEGY FOR ADDRESSING HOUSING NEEDS (Section 9.1 of the Annual Plan)

1. Strive to reduce vacancy turnaround time of public housing units to assist families as quickly as possible.
2. Apply for additional funding for the Section 8 program if available.
3. Cooperate with other non-profit or for profit entities desiring to build affordable housing units in the City through the Low Income Tax Credit, HOME, and/or other available programs.
4. Consult with the City of Dothan in the preparation of their Consolidated Plan.

HOUSING AUTHORITY OF THE CITY OF DOTHAN

STATEMENT OF PROGRESS IN MEETING 5 YEAR GOALS

PHA Goal: Expand the supply of assisted housing.

1. Apply for 25 additional rental Section 8 Vouchers. Housing Authority applied for and received 100 vouchers due to owner opt out of HUD contract for Charter House Apts. Any vouchers not used by Charter House residents will be used for regular Section 8 recipients.
2. Reduce public housing vacancies. Reduce and maintain vacancy rate less than or equal to 3%. Presently goal is being met with a year to date average (Jan.-June, 2009) of 1.18% excluding the vacant units at Martin Homes which are vacant due to modernization. Breakdown by Asset Management Groups (AMP) is as follows:

AMP 10 – Henry Green Apts.	1.18%
AMP 20 – McRae Homes	1.09%
AMP 30 – Martin Homes	1.67%
AMP 40 – Johnson Homes	1.32%
AMP 50 – Ussery Homes & MLV	0.57%

3. Improve and maintain Section 8 lease-up rate to 97%. Average lease up rate during last 6 months (Jan.-June, 2009) is 98.53% based on units available. However, due to funding constraints this will drop significantly over the next several months. The Housing Authority is not currently receiving sufficient funds from HUD to maintain a lease-up rate above 97% for the remainder of the year.

PHA Goal: Improve the quality of assisted housing.

1. Improve public housing management. Maintain PHAS overall score of 90%. During FY 2008 HUD mandated transition to Asset Management. Because of this transition period, HUD did not issue a PHAS score for FY 2008. Future PHAS scores will be by Asset Management Groups. PHAS score for FY 2007 was 89 with the Housing Authority being designated as a “Standard Performer”.
2. Improve voucher management. Maintain SEMAP overall score of 90%. SEMAP score for FY 08 was 99% with Housing Authority being designated as a “High Performer”. SEMAP score in FY 2007 was 95%.
3. Renovate or modernize public housing units. Obligate Capital Funds within 18 months of receipt. As of 06/30/2009, FY07 Capital Funds are 100% obligated, FY08 Capital Funds are 75% obligated. FY 09 Capital Funds have not been released. FY 09 American Recovery and Reinvestment Act funds have been released with 0% obligated as of 06/30/2009.

PHA Goal: Increase assisted housing choices.

1. Conduct outreach efforts to potential voucher landlords. Hold annual workshop for potential and current landlords. The annual workshop has not been accomplished for this year but will be prior to end of year.

PHA Goal: Provide an improved living environment.

1. Implement public housing security improvement. Seek PHDEP funding. Enforce applicant screening measures. Provide strict enforcement of lease provisions. All goals accomplished. Housing Authority is using Capital Funds to provide for 8 police officers through the City of Dothan to patrol public housing units. Criminal background checks are done on all applicants.
2. Implement measures to promote income mixing in public housing through deconcentration provisions of the ACOP. Measures have been implemented but higher income families have been difficult to attract to public housing.
3. Implement programs for 50 youth annually. Goal has been met through youth sports, 252 Club and girl scout and boy scout programs. Beginning in the Fall of 2008 the Housing Authority will make community space available to an outside agency for a mentoring/tutoring program for students in an effort to improve educational success and graduation rates from secondary schools.

PHA Goal: Promote self-sufficiency and asset development of assisted households.

1. Provide all new participants with information on available community services. Goal met as all new admissions are given this information.
2. Coordinate and provide community space for annual workshops on available job skills and adult education programs. Families are referred to the Alfred Saliba Family Services Center for information on job skills and adult education programs. In addition, Housing Authority provides utilities for the Alfred Saliba Family Services Center to provide on site programs through their TLC program.
3. Improve participation in Section 8 Family Self Sufficiency Program through additional outreach to families. Outreach is an ongoing task with information being provided to new participants in the Section 8 program. Currently 12 families are enrolled in the FSS program out of 20 spots available.

PHA Goal: Ensure equal opportunity and affirmatively further fair housing.

1. Provide strict enforcement of equal opportunity and fair housing policies of the Housing Authority. Assist families in the completion and filing of any complaint concerning equal opportunity and fair housing. Housing Authority enforces all equal opportunity and fair housing policies. No family has requested help in filing a complaint.

Attachment: al007j01

Significant Amendment and Substantial Deviation/Modification

1. Definition of Substantial Deviation from the 5 Year Plan
 - a. Any change to the Mission Statement:
 - (1) Changes to the Stated Goals which are not a result of decreased HUD funding.
 - (2) Changes required by HUD for approval of the 5 Year Plan will not be considered a substantial deviation.

2. Definition of Significant Amendment or Modification
 - a. Any change to the following sections of the Annual Plan:
 - (1) Section 8.2 - Capital Fund Five-Year Action Plan (deletions or additions)
 - (2) Section 7.0 – Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership, and Project-based Vouchers
 - (3) Any decrease in Financial Resources significantly affecting resident services
 - (4) Any deletion of a stated Objective in the 5 Year Plan
 - (5) Amendments or modifications to facilitate HUD approval of the Annual Plan will not be considered a significant amendment or modification and/or amendments or modifications required due to failure to issue timely publication of Final Rules governing the Annual Plan.
 - (6) Amendments, modifications or changes to Housing Authority policies will not be considered a significant amendment or modification of the Annual Plan.

al007k01

**HOUSING AUTHORITY OF THE CITY OF DOTHAN
FY 2010**

Resident Advisory Board Comments

No comments were received from the Resident Advisory Board.

al007101

**HOUSING AUTHORITY OF THE CITY OF DOTHAN
FY 2010**

Challenged Elements

No elements of the PHA Plan were challenged.