

8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p style="text-align: center;">SEE ATTACHMENT 8.3 (pages 100-103)</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p style="text-align: center;">SEE ATTACHMENT 9.0 (pages 23-25)</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p style="text-align: center;">SEE ATTACHMENT 9.1 (page 26)</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p style="text-align: center;">SEE ATTACHMENT 10.0 (a) (pages 27-28)</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p><input type="checkbox"/> Major changes to rent, admissions policies as required by HUD.</p> <p><input type="checkbox"/> Addition of non-emergency large capital work items exceeding \$500,000 (not included in the current Annual Statement of Five Year Action Plan) or changes in the use of replacement reserve funds under the Capital Fund</p> <p><input type="checkbox"/> Reallocation of funds that exceed 7% of the annual funding to a development that is not included in the Annual Statement or Five Year Action Plan, and</p> <p><input type="checkbox"/> Changes that would affect 10% or more than 25 units of the total units initially involved, whichever is higher, for demolition, disposition, housing designation, homeownership or conversion</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p style="text-align: center;">The required forms below, as applicable, have been submitted to the local HUD Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p style="text-align: center;">HABD CPAB comments were quite positive. The group provided the Authority a letter indicating the group's full support and endorsement of HABD's 2010-2014 5-Year Plan and 2010 Annual Plan update. (A copy of the letter has been transmitted to the HUD FO with other required documents)</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>



HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

5-YEAR PLAN (2010-2014) AND 2010 PHA ANNUAL PLAN UPDATE

TABLE OF CONTENTS

<u>ATTACHMENTS</u>	<u>PAGES</u>
<i>Attachment 5.0-5.2 (Five-Year PHA Plan).....</i>	<i>1-4</i>
<i>Attachment 6 (PHA Plan Update: 6.0 (a)).....</i>	<i>5-13</i>
<i>Attachment 7 (Hope VI, Mixed Finance, Modernization, Demo/Dispo).....</i>	<i>14-22</i>
<i>Attachment 8.1 (Capital Fund Program(s) form HUD 50075.1)</i>	<i>29-94</i>
<i>Attachment 8.2 (Capital Fund Five Year Plan form HUD 50075.2).....</i>	<i>95-99</i>
<i>Attachment 8.3 (Capital Fund Financing Program - Bond Pool)</i>	<i>100-103</i>
<i>Attachment 9.0 (Housing Need).....</i>	<i>23-25</i>
<i>Attachment 9.1 (Strategy for Addressing Housing Needs).....</i>	<i>26</i>
<i>Attachment 10 (Progress in Meeting Missions and Goals: 10 (a)).....</i>	<i>27-28</i>



HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

FIVE-YEAR PLAN

Section 5.0: PHA 5-Year Plan for FYs 2010-2014

5.1: Mission

The mission of the Housing Authority of the Birmingham District is to be the leader in making available excellent, affordable housing for low and moderate income persons through effective management and the wise stewardship of public funds. We will also partner with our residents and others to enhance the quality of life in our communities.

5.2: Goals and Objectives

The HABD has chosen to retain the strategic goals and objectives endorsed by the Department of Housing and Urban Development

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- ◆ Apply for additional rental vouchers:
- ◆ Reduce public housing vacancies:
- ◆ Leverage private or other public funds to create additional housing opportunities:
- ◆ Acquire or build units or developments
- ◆ Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all appropriate market/income segments.

PHA Goal: Improve the quality of assisted housing

Objectives:

- ◆ Improve public housing management: (PHAS score)

- ◆ Improve voucher management: (SEMAP score)
- ◆ Increase customer satisfaction:
- ◆ Concentrate on efforts to improve specific management functions:
 - Increase the occupancy rate for Public Housing units
 - Successfully complete conversion to Asset Management
 - Maintain high reporting rate for 50058s for Section 8 and Public Housing
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:

PHA Goal: Increase assisted housing choices

Objectives:

- ◆ Provide voucher mobility counseling:
- ◆ Conduct outreach efforts to potential voucher landlords
- ◆ Increase voucher payment standards
- ◆ Implement voucher homeownership program:
- ◆ Implement public housing or other homeownership programs:
- ◆ Implement public housing site-based waiting lists:
- ◆ Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city.

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- ◆ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ◆ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ◆ Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ◆ HABD will begin adding amenities to improve the quality of life for residents (air conditioning, increase parking....)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ◆ Increase the number and percentage of employed persons in assisted families:
- ◆ Provide or attract supportive services to improve assisted recipients' employability:
- ◆ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ◆ Expand current HABD programs and support other programs which foster home ownership opportunities through education on home ownership responsibilities, household financial management, credit counseling and related subjects.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ◆ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ◆ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ◆ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ◆ Undertake affirmative measures to ensure access to assisted housing in a suitable living environment regardless of age.

Other PHA Goals and Objectives: (list below)

PHA Goal: Take a leadership role in the creation of significant additional organizational capacity to provide and maintain quality affordable housing in Birmingham and the region.

Objectives:

- ◆ Conduct and participate in workshops and information sessions with the leadership of community-based Community Development Corporations and other non-profit organizations dealing with affordable housing and community revitalization.
- ◆ Partner with community based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city as part of an overall, coordinated strategy for community reinvestment and revitalization.

PHA Goal: Implement the provisions of the Violence Against Women Act.

Objectives:

- ◆ Provide or offer by an agency, activities, services or programs, directly or indirectly, or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault or stalking.
- ◆ Offer activities, services or programs that help child or adult victims of domestic violence, dating violence, sexual assault or stalking to obtain or maintain housing.
- ◆ Provide or offer activities, services or programs that help child or adult victims of domestic violence, dating violence, sexual assault or stalking or to enhance victim safety in assisted housing.
- ◆ Put in place procedures to notify residents of the rights under VAWA.

SECTION 6.0 (A): PHA ANNUAL PLAN UPDATE

PHA PLAN ELEMENTS UPDATED:

1. HABD Policies Governing Eligibility, Selection, and Admission, including Deconcentration and Wait List Procedures
2. Statement of Financial Resources
3. Rent Determination Policies
4. Operations and Management
7. PHA Community Service and Self-sufficiency Programs
11. Fiscal Year Audit
13. Violence Against Women Act

1. HABD Policies Governing Eligibility, Selection, and Admission, including Deconcentration and Wait List Procedures

A. Public Housing

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

14 (fourteen)

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?

If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?

If yes, how many lists? Two (2)

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

◆ All PHA development management offices

◆ Other (list below)

**Park Place
Glenbrook at Oxmoor
Tuxedo Terrace**

(6) Deconcentration and Income Mixing

a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no this section is complete. If yes, continue to the next question.

b. Yes No: Did any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no this section is complete.

If yes, list these developments as follows:

Deconcentration Policy For Covered Developments			
Development Name	No. of Units	Explanation	Deconcentration Policy
*Collegeville	394	Consistent with	Section 25 of
*North Bham Homes	292	HABD Annual	Admission and
*Southtown	455	Plan Goals for	Continued
**Harris Homes	188	Deconcentration	Occupancy
** Cooper Green	222	And Income	Policy
		Mixing	

Average Income: **\$9,818.00**

* Average income is below 85%: **\$8,345.30**

** Average income is above 115%: **\$11,291.07**

DECONCENTRATION AND INCOME MIXING

The Housing Authority of the Birmingham District has the following plans and/or policies that will impact the deconcentration of income and income mixing:

Central Air Conditioning

In an effort to become competitive with the private sector, the authority has installed central air conditioning in five of its modernized developments. Those sites are Southtown (1-4R), Smithfield (1-9), Tom Brown (1-10), Harris Homes (1-14) and Collegeville (1-13) . These efforts will enable the authority to not only compete with the private sector but will serve as an incentive for retaining those working families that are currently in occupancy.

B. Section 8

(4) Admissions Preferences

Former Federal preferences

- ◆ Hurricanes Katrina/Rita displaced families
- ◆ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ◆ Homelessness

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

- ◆ Date and Time

Former Federal preferences

1	Hurricane Katrina/Rita Displaced Families
2	Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Homelessness)
3	Homelessness

- 1 – 15 points preference ranking on waiting list**
- 2 – 10 points preference ranking on waiting list**
- 3 - 5 points preference ranking on waiting list**

4. Among applicants on the waiting list with equal preference status, how are applicants selected?

- ◆ Date and Time

2. Statement of Financial Resources:

Financial Resources:		
Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2009 grants)		
a) Public Housing Operating Fund	21,840,162	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
b) Public Housing Capital Fund	8,651,852	
c) HOPE VI Revitalization	- 0 -	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	25,494,084	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	2,207,499	Operations
4. Other income (list below)		
Income on Investments	540,422	Operations
Sales and Services	172,097	Operations

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
Other Income	37,000	
Total resources	58,943,116	

3. Rent Determination Policies

B. Section 8 Tenant-Based Assistance

◆ **Payment Standards:** 100% of Fair Market Rent

4. Operations and Management

B. HUD Programs Under PHA Management

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2544	280
Section 8 Vouchers	4,659	420
Section 8 Certificates	N/A	
Section 8 Mod Rehab	48	12
Special Purpose Section 8 Certificates/Vouchers (list individually)	35 – Tenant Under Protection 71 – HOPE VI 257 – Katrina/Rita	
Other Federal Programs(list individually)	N/A	

Sub. Rehab	0	0
Sec. 8 New Cont.	0	0

7. PHA Community Service and Self-sufficiency Programs

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
FSS Section 8 Participant	50	Mail In	Section 8 Office	
		Electronic Database		
		Waiting List		
FSS Public Housing Participant	100	Mail In	Site Offices	
		Electronic Database		
		Waiting List		

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2010 Estimate)	Actual Number of Participants (As of: 12/31/09)
Public Housing	0	68
Section 8	0	41

3

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

11. Fiscal Year Audit

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
2. Yes No: Was the most recent fiscal audit submitted to HUD?
FY2009 Audit Completion scheduled to be submitted by **3/31/2010**.
3. Yes No: Were there any findings as the result of that audit?

13. VIOLENCE AGAINST WOMEN ACT***

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT STATEMENT TO ASSIST AND SUPPORT FAMILIES UNDER VAWA

The Housing Authority of the Birmingham District is committed to implementing the provisions of VAWA. This provision has been incorporated into the PHA Section 8 Administrative Plan; the PHA lease and the Admission and Continued Occupancy Policy. Residents may not be evicted in violation of the Act. Property managers are educated to counsel with persons experiencing domestic violence and follow up with action appropriate to the particular circumstances of the affected family. The PHA offers the following to assist and support victims of domestic violence, dating violence, sexual assault or stalking:

- **Activities, services or programs provided or offered by an agency, directly or indirectly, or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault or stalking**

Seminars and counseling education through the HABD Family Self Sufficiency Program

Counseling and social assistance programs through community referral resources, such as the Family Guidance Center; YWCA domestic violence programs; Birmingham Police Department Domestic Violence Program; and the state Department of Human Resources. One HABD property has an on site crisis center to which referrals are made. HABD is considering establishing formal partnerships with some of these agencies for this purpose.

Staff and public housing/Section 8 resident participation in the National Coalition Against Domestic Violence programs

- **Activities, services or programs provided or offered that helps child or adult victims of domestic violence, dating violence, sexual assault or stalking to obtain or maintain housing**

Education of staff regarding the housing needs and unique experiences of families experiencing domestic violence

Requiring PHA staff to take all claims of domestic violence, dating violence, stalking seriously, subject to follow up verification, and providing guidance on maintaining housing

Persons may be moved to public housing sites or Section 8 housing to assist in promoting rights under the Act. Witness Support families are preferred applicants for Section 8 housing

- **Activities, services or programs provided or offered that helps child or adult victims of domestic violence, dating violence, sexual assault or stalking or to enhance victim safety in assisted housing**

HABD supports persons involved in criminal domestic violence programs through a policy based Witness Support Program

The PHA uses supplemental police services to assist all residents. There are two communities in which persons may reside and allow only those persons on an invited guest list to visit. Security offers monitor ingress and egress from these properties.

- **Procedures in place to notify residents of the rights under VAWA**

Each family is scheduled to receive a full orientation to their rights from on site property staff at the time of lease up. This includes information on completion of the HUD informational form pertaining to the Act.

On site resident meetings are held to inform residents of policy and lease enhancements that inform residents of their rights

Seminars and workshops through the Family Self Sufficiency Program

*****Resubmitted without changes. Initially submitted with 2008 PHA Annual Plan.**

Section 7.0: HOPE VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion or Public Housing, Homeownership Programs, and Project-based Vouchers

UPDATED: 7.0 (A) HOPE VI Activity
7.0 (B) Demolition and Disposition
7.0 (C) Conversion of Public Housing to Tenant-Based Assistance
7.0 (E) Project-based Vouchers

7.0 (A) HOPE VI Activity

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:

Elyton Village (AMP# AL001000001) applied for in November 2009 for 493 family dwelling units. Proposes to apply in 2010 for Elyton Village AMP# AL001000001, Marks Village AMP# AL001000006, Loveman Village AMP# AL001000007, or Morton Simpson AMP# AL001000011

Choice Neighborhoods: Proposes to apply in 2010 for Elyton Village AMP# AL001000001, Marks Village AMP# AL001000006, Loveman Village AMP# AL001000007, or Morton Simpson AMP# AL001000011

National Housing Trust Fund: Proposes to apply in 2010 for Elyton Village AMP# AL001000001, Marks Village AMP# AL001000006, Loveman Village AMP# AL001000007, or Morton Simpson AMP# AL001000011

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

New construction of 30 one-bedroom units on-site at Elyton Village (AMP# AL001000001)

7.0 (B) Demolition and Disposition

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description:

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

[Elyton Village demolition was removed because the demo is complete.]

Demolition/Disposition Activity Description	
1a. Development name:	North Birmingham Homes
1b. Development (project) number:	AL09P001016
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	June 23, 2009
5. Number of units affected:	21 (See attachment)
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:	
a. Actual or projected start date of activity:	April 2010
b. Projected end date of activity:	July 2010

Demolition/Disposition Activity Description	
1a. Development name:	Morton Simpson
1b. Development (project) number:	AL09P001011
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	June 23, 2009
5. Number of units affected:	44 (See attachment)
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:	
a. Actual or projected start date of activity:	April 2010
b. Projected end date of activity:	July 2010

Demolition/Disposition Activity Description	
1a. Development name:	Roosevelt City
1b. Development (project) number:	AL09P001030
2. Activity type: Demolition <input checked="" type="checkbox"/>	Disposition <input type="checkbox"/>
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input checked="" type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission:	June 22, 2009
5. Number of units affected:	12 (See attachment)
6. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	April 2010
b. Projected end date of activity:	July 2010

7.0 (C) Conversion of Public Housing to Tenant-Based Assistance

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

[ASSESSMENTS WERE CONDUCTED FEBRUARY 2010]

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

11. Homeownership Programs Administered by the PHA

A. Public Housing

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)
 [Fountain Heights Homeownership activities are complete. Therefore was removed from report.]

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	Mason City/Cooper Green Homes
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:	March 19, 1997
• Number of units affected:	24
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

**BUILDINGS TO BE DEMO
MORTON SIMPSON VILLAGE AL 1-11**

Bldg. No.	Unit No.	Address	Bedrooms	Reason
57	375	4412-8th Ave. No.	1	Reduction in Density
57	376	4414-8th Ave. No.	4	"
57	377	4416-8th Ave. No.	4	"
57	378	4418-8th Ave. No.	1	"
58	379	4420-8th Ave. No.	1	"
58	380	4422-8th Ave. No.	4	"
58	381	4424-8th Ave. No.	4	"
58	382	4426-8th Ave. No.	1	"
63	419	827-B 44th Street	3	"
63	420	827-A 44th Street	2	"
63	421	825-B 44th Street	3	"
63	422	825-A 44th Street	2	"
63	423	823-B 44th Street	3	"
63	424	823-A 44th Street	2	"
64	425	817-A 44th Street	2	"
64	426	817-B 44th Street	3	"
64	427	819-A 44th Street	2	"
64	428	819-B 44th Street	3	"
64	429	821-A 44th Street	2	"
64	430	821-B 44th Street	3	"
65	431	815-B 44th Street	2	"
65	432	815-A 44th Street	3	"
65	433	813-B 44th Street	2	"
65	434	813-A 44th Street	3	"
65	435	811-B 44th Street	2	"
65	436	811-A 44th Street	3	"
66	437	809-B 44th Street	3	"
66	438	809-A 44th Street	2	"
66	439	807-B 44th Street	3	"

**BUILDINGS TO BE DEMO
MORTON SIMPSON VILLAGE AL 1-11**

Bldg. No.	Unit No.	Address	Bedrooms	Reason
66	440	807-A 44th Street	2	"
66	441	805-B 44th Street	3	"
66	442	805-A 44th Street	2	"
67	443	4400-A 8th Ave. No.	2	"
67	444	4400-B 8th Ave. No.	3	"
67	445	4402-A 8th Ave. No.	2	"
67	446	4402-B 8th Ave. No.	3	"
67	447	4404-A 8th Ave. No.	2	"
67	448	4404-B 8th Ave. No.	3	"
68	449	4406-A 8th Ave. No.	3	"
68	450	4406-B 8th Ave. No.	2	"
68	451	4408-A 8th Ave. No.	3	"
68	452	4408-B 8th Ave. No.	2	"
68	453	4410-A 8th Ave. No.	3	"
68	454	4410-B 8th Ave. No,	2	"

TOTAL - 44 APARTMENTS

**BUILDINGS TO BE DEMO
NORTH BIRMINGHAM HOMES 1-16**

Bldg. No.	Unit No.	Address	Bedrooms	Reason
35	47	3131-44th Ave. North	5	Structure Damage
35	48	3129-44th Ave. North	4	"
36	60	3142-43rd Ave. North	1	"
18	145	4197-31st Street North	1	"
18	146	4195-31st Street North	1	"
18	147	4193-31st Street North	1	"
18	148	4191-31st Street North	1	"
18	149	4189-31st Street North	1	"
18	150	4187-31st Street North	1	"
18	151	4185-31st Street North	1	"
18	152	4183-31st Street North	1	"
4	189	4151-30st Street North	1	"
4	190	4153-30st Street North	2	"
4	191	4155-30st Street North	3	"
4	192	4157-30st Street North	2	"
4	193	4159-30st Street North	3	"
4	194	4161-30st Street North	2	"
4	195	4163-30st Street North	3	"
4	196	4165-30st Street North	2	"
4	197	4167-30st Street North	3	"
4	198	4169-30st Street North	1	"
TOTAL - 21 APARTMENTS				

**BUILDINGS TO BE DEMO
Roosevelt City AL 1-23**

Bldg. No.	Unit No.	Address	Bedrooms	Reason
000028	53	6105 Warner Street	5	Structurally Damage
000006	11	6248 Owen Street	3	"
000006	12	6246 Owen Street	3	"
000038	70	6116 Victory Drive	5	"
000039	71	6117 Parker Drive	5	"
000040	72	6116 Alice Avenue	5	"
000041	73	6124 Alice Avenue	5	"
000042	74	6129 Alice Avenue	5	"
000043	75	6168 Alice Avenue	5	"
000050	88	5904 Ivy Street	5	"
000052	90	5908 Ivy Street	4	"
000052	92	5910 Ivy Street	4	"

TOTAL - 12 APARTMENTS

7.0 (E) Project-Based Voucher Program

SECTION EIGHT PROJECT-BASED VOUCHER PROGRAM FOR YWCA CENTRAL ALABAMA HOUSING PROGRAM

Consistent with Section 232 of the FY2001 VA_HUD Appropriations Act (PL 106-377), the Federal Register Notice published on January 16, 2001 in 66 FR 3605, Revisions to PHA Project-Based Assistance Program, Initial Guidance, and HUD Notice PIH 2001-4 dated January 19, 2001, the Housing Authority of the Birmingham District makes the following statements:

HABD plans to partner with the YWCA Central Alabama (YWCA) to provide 50 units of Section 8 project-based vouchers designated for elderly, the disabled, and persons that find themselves the victim of domestic violence.

The YWCA is now expanding its housing and supportive services in a particularly underserved and highly dense area within the Woodlawn community. In 2008 HUD and U.S. Census data estimated that over 43% of those residing in the YWoodlawn target area were living at or below the federal poverty line and that the 2008 estimated tract median family income was less than \$27,000—less than half of what it is for the Birmingham metro area. The response of the YWCA (in partnership with other local entities) to such overwhelming blight has been a carefully constructed neighborhood revitalization initiative known as YWoodlawn.

The 50 project-based vouchers the HABD proposed to provide are critically important. In partnering with the YWCA, these vouchers will be a catalyst for offering the level of safe, decent and affordable housing needed with Woodlawn while doing so with the context of a broader scope of community based-services. Rather than a housing-only Section 8 voucher facility, these vouchers would be spread out among a number of the YWCA's apartment complexes in Woodlawn allowing voucher holders to live in the midst of a community that includes locally-based resources as well as non-voucher holders, higher-rent and market rate tenants and homeowners.

Such action as proposed is consistent with the City of Birmingham's Consolidated Plan which indicates there is support for new construction, particularly in neighborhoods where such programs as *project-based assistance would contribute significantly to revitalization*, that would also include a human service component for maintaining stability and longevity of improved housing conditions throughout the Birmingham metropolitan area. In addition, the planned action is consistent with HABD's 5-Year Plan goals and objectives for implementing the provisions of the Violence Against Women Act and partnering with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city as part of an overall, coordinated strategy for community reinvestment and revitalization.

Since the YWCA neighborhood revitalization effort maybe occurring within a census area with a greater than 20% poverty rate, HABD will apply for a waiver, should that be necessary, to conform with regulations as cited in the Federal Register Notice published on January 16, 2001 in 66 FR 3605, Revisions to PHA Project-Based Assistance Program, Initial Guidance.

Section 9.0: Housing Needs

A. Housing Needs of Families on the Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List*			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2,998		
Extremely low income <=30% AMI	2,427	81%	
Very low income (>30% but <=50% AMI)	470	15.7%	
Low income (>50% but <80% AMI)	104	3.5%	
Families with children	2,265	76%	
Elderly families	70	2.3%	
Families with Disabilities	326	10.9%	
Race/ethnicity (B)	2,948	98.4%	
Race/ethnicity (W)	32	1.1%	
Race/ethnicity (H)	2	.07%	
Race/ethnicity (O)	0	0%	

New applications for the Section 8 Program were taken on June 9, 2009. Under the Housing Choice Voucher Program (Section 8), there are 4,326 units under lease. For the same time period, HABD issued approximately 1200 new vouchers

B. Housing Needs of Families on the Public Housing Waiting Lists

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance <input checked="" type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input checked="" type="checkbox"/> Public Housing Site-Based: All sites			
	# of families	% of total families	Annual Turnover
Waiting list total	2,198		
Extremely low income <=30% AMI	1,969	90%	
Very low income (>30% but <=50% AMI)	175	8%	
Low income (>50% but <80% AMI)	54	2%	
Families with children	1,275	58%	
Elderly families	75	3%	
Families with Disabilities	66	3%	
Race/ethnicity Black	2,114	96%	
Race/ethnicity Hispanic	81	4%	
Race/ethnicity White	3	0%	
Race/ethnicity Other	0	0%	

Housing Needs of Families on the Waiting List			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	823	37%	
2 BR	825	38%	
3 BR	374	17%	
4 BR	132	6%	
5 BR	0	0%	
5+ BR	44	2%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

The Housing Authority of the Birmingham District is currently in the midst of several restoration, modernization and development activities for conventional housing which will play an important role in reducing the housing need for low-income families throughout the Birmingham metropolitan area. HABD anticipates that it will have available approximately 800 units by the end of 2010.

As these conventional units are transitioning back online, the HABD has stepped up its application processing and leasing efforts. The number a of applications processed increased 1% over last year and the number of units leased increased by 12%.

Also, HABD proposes to partner with other community entities such as the YWCA Central Alabama to meet the increasing housing needs of the elderly, disabled, and victims of domestic violence. HABD management believes this collaboration may best be accomplished through the project-based voucher program. Currently, the YWCA is in the midst of expanding its housing and supportive services in a underserved, highly dense area within the Woodlawn community. The Woodlawn community has a greater than 43% poverty rate.

Section 9.1: Strategy for Addressing Housing Needs

As of **12/31/09** the Housing Authority's adjusted **occupancy percentage rate was 99.05%**. The Authority's strategy for increasing its occupancy percentage will include:

1. Implement Marketing Plan
2. Under Asset Management, the Authority expanded the number of on-site offices where applicants can place an application for housing
3. The Authority is undertaking lead abatement activities through its Modernization program.

****Other HUD strategies for addressing housing needs as indicated in previous HABD PHA Annual Plans remain unchanged and are still being utilized.**

10.0 (A) PHA PROGRESS REPORT

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT FIVE YEAR PLAN PROGRESS REPORT FOR FY 2010

INCREASE THE AVAILABILITY OF DECENT, SAFE AND AFFORDABLE HOUSING

HABD continues to implement measures for increasing available housing eligible families. This is being done through several methods. The multifamily public housing stock that was due for substantial completion of lead abatement and unit restoration is expected to be achieved during this calendar year. Completion of abatement and restoration of the apartments will offer approximate 700 - 900 units for housing public housing families. Comprehensive modernization is engaged at the Cooper Green and Elyton Village communities. Completed work, at these two sites, based on availability of capital funding may result in approximately 100 additional apartments during this plan period.

HOPE VI Programs will provide additional housing for eligible families. Park Place remains a model for available housing in the City; 320 additional housing units are expected during this period. Oxmoor Valley is anticipated to provide 100 units and Tuxedo Court is anticipated to provide 220 units. Twenty five single family homes at Fountain Heights are complete. Closings are continuing and all homes are expected to be occupied during this year. The national economic situation affecting the mortgages has impacted closings. In addition, HABD is currently constructing twenty single family homes as housing replacement in the Mason City community. These are expected to be completed and occupied during the Plan year. Other replacement housing units are also anticipated.

IMPROVE THE QUALITY OF ASSISTED HOUSING

HABD is committed to improving the quality of assisted housing within its jurisdiction. Improving housing quality requires, at minimum, a three-pronged approach. Promotion of quality uniform physical living conditions is primary. During this Plan year, HABD will focus on environmental abatement as needed and restoration of apartments for quality living. The second prong is promotion of pleasant and enjoyable experiences within the community setting. This will initiate with various social programs through strong resident organizations. The third prong is improving the overall economic sufficiency and economic opportunities in the community.

Mixed finance developments will offer improved quality of assisted housing. The developments at Park Place, Oxmoor and Tuxedo Court fit this model and purpose squarely. Moreover, HABD's renovation and restoration efforts also support this approach. Single family home development will likewise contribute to improving the quality of assisted housing.

PROMOTE SELF SUFFICIENCY AND ASSET DEVELOPMENT OF FAMILIES AND INDIVIDUALS

One of HABD's most significant assets is its Family Self Sufficiency Program. There are currently thirty-eight participants from the section 8 Voucher Program and sixty-eight participants from the HABD public housing program. The Family Self Sufficiency Coordinator and site Property Managers will continue to identify residents for enrollment in the Program. HABD also promotes economic self sufficiency through its Section 3 Program. During this Plan year, several events are scheduled to promote self sufficiency to promote employment through HABD Section 3 requirements with employers.

ENSURE EQUAL OPPORTUNITY AND AFFIRMATIVELY FURTHER FAIR HOUSING OBJECTIVES

It is the policy of HABD to comply with all applicable laws and regulations governing equal opportunity and fair housing. This policy is applicable to all HABD programs, services and activities, including, without limitation, admissions and continuing occupancy provisions as well as to all Section 8 participants. HABD continues to provide accessible programs, services and apartments for the disabled. Physical and sensory accessible units are available. There are anticipated to be at least 5% accessible apartments in planned facilities, including Park Place, Oxmoor Valley and Tuxedo Court. The most recent audited availability of accessible units is 131 of the 4,549 available apartments at HABD. HABD has also furthered fair housing through its Home Ownership Program, in which accommodations have been made for home buyers, such as ramps, flooring and other adjustments to serve the needs of home buyers who requested accommodations. HABD also makes reasonable accommodations for public housing and Section 8 families, upon request.

TAKE A LEADERSHIP ROLE IN THE CREATION OF SIGNIFICANT ADDITIONAL ORGANIZATIONAL CAPACITY TO PROVIDE AND MAINTAIN QUALITY AFFORDABLE HOUSING IN BIRMINGHAM AND THE REGION

HABD has established as a part of its policy and mission it leadership role in promoting and furthering affordable housing in the City and region. Toward this end, HABD has entered formal and informal partnerships with public and private entities that target affordable housing. In addition to the City of Birmingham and Jefferson County, HABD also partners with neighborhoods such as Mason City, Southhampton, South Pratt City, Oxmoor Valley and Fountain Heights. Organizational partnerships include Urban League, Birmingham Board of Education, A.G. Gaston Boys and Girls Club, Partners in Neighborhood Growth, Birmingham Police Department, JCCEO, church non-profit affiliates and a number of others. HABD utilizes its resources to build alignments that promote affordable housing in the City and region.



HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

2010 PHA ANNUAL PLAN

ATTACHMENTS

CAPITAL FUNDS PROGRAMS

8.1, 8.2, AND 8.3

CAPITAL FUND BUDGETS (Open Grants)

AL09-P001-501-06

AL09-P001-501-07

AL09-P001-501-08

AL09-P001-501-09

AL09-P001-501-10

AL09-P001-502-06

AL09-R001-501-08*

AL09-R001-501-09*

AL09-R001-501-06

AL09-R001-502-04

AL09-R001-502-05

AL09-R001-502-06

AL09-R001-502-07

AL09-R001-502-08*

AL09-R001-502-09*

Capital Fund Five Year Plan

Bond Pool Budget

**Note: Grant is operating under an interim system budget, awaiting initial approval budget.*

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%; border-right: 1px solid black; padding: 5px;"> Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP: </td> <td style="padding: 5px;"> FFY of Grant:2010 FFY of Grant Approval: </td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:
Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval:		

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$2,474,902.16			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$8,651,852.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>Maome A. Drummond</i>	Date <i>04-14-10</i>	Signature of Public Housing Director	Date
--	----------------------	--------------------------------------	------

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1-1 Elyton Village	Building Renovations	1460		\$1,121,764.84				
		1470		\$1,500,000.00				
		1430		\$200,000.00				
1-6 Marks Village	Building Renovations	1470		\$500,000.00				
		1430		\$50,000.00				
1-7 Loveman Village	Building Renovations	1460		\$500,000.00				
1-11 Morton Simpson	Building Renovations	1460		\$500,000.00				
1-17 Cooper Green	Building Renovations	1460		\$500,000.00				
1-65 Debt Service	Bond Pool Debt Service Payment	1501		\$2,474,902.16				
PHA Wide	Construction Inspector Salaries	1430		\$245,000.00				
PHA Wide	Administration	1410		\$865,185.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1-1 Elyton Village	Summer Day Camp	1408		15,000.00				
1-4 Southtown	Summer Day Camp	1408		15,000.00				
1-6 Marks Village	Summer Day Camp	1408		15,000.00				
1-7 Loveman Village	Summer Day Camp	1408		15,000.00				
1-9 Smithfield	Summer Day Camp	1408		15,000.00				
1-10 Tom Brown	Summer Day Camp	1408		15,000.00				
1-11 Morton Simpson	Summer Day Camp	1408		15,000.00				
1-13 Collegeville	Summer Day Camp	1408		15,000.00				
1-14 Harris Homes	Summer Day Camp	1408		15,000.00				
1-16 No. Bhm Home	Summer Day Camp	1408		15,000.00				
1-17 Cooper Green	Summer Day Camp	1408		15,000.00				
1-18 Kimbrough	Summer Day Camp	1408		15,000.00				
1-23 BG/Roosevelt	Summer Day Camp	1408		15,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1-1 Elyton Village	06-30-12		06-30-14		
1-6 Marks Village	06-30-12		06-30-14		
1-7 Loveman Village	06-30-12		06-30-14		
1-11 M. Simpson Village	06-30-12		06-30-14		
1-17 Cooper Green Homes	06-30-12		06-30-14		
1-65 Debt Service	06-30-12		06-30-14		
PHA Wide Mgmt	06-30-12		06-30-14		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT	Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$1,748,818.00			
3	1408 Management Improvements	\$190,000.00			
4	1410 Administration (may not exceed 10% of line 21)	\$450,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$25,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$50,000.00			
10	1460 Dwelling Structures	\$3,727,270.49			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition	\$300,000.00			
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	\$400,000.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$2,472,411.41			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$8,744,091.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-09 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant:			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1-1 Elyton Village	Building Renovations	1460		\$1,200,000.00				
Elyton Total				\$1,200,000.00				
1-4 Southtown	Site Improvements	1450		\$50,000.00				
Southtown Total				\$50,000.00				
1-6 Marks Village	Building Renovation/Interim Mod	1460		\$50,000.00				
Marks Total				\$50,000.00				
1-7 Loveman Village	Building Renovation/Interim Mod	1460		\$50,000.00				
Loveman Total				\$50,000.00				
1-9 Smithfield Court	Building Renovations	1460		\$100,000.00				
Smithfield Total				\$100,000.00				
1-11 Simpson Village	Building Renovation/Interim Mod	1460		\$50,000.00				
Simpson Total	Building Demolition	1485		\$100,000.00				
Simpson Tota				\$425,000.00				
1-13 Collegeville	Building Renovation/Roof Repairs	1460		\$75,000.00				
Collegeville Total				\$75,000.00				
1-16 N. Bhm Total	Building Demolition	1485		\$100,000.00				
N. Bhm Total				\$100,000.00				
1-17 Cooper Green	Building Renovation	1460		\$1,307,861.59				
Cooper Green Total				\$1,307,861.59				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1-18 Kimbrough	Building Renovation/Roof Repairs	1460		\$75,000.00				
	Building Renovation/New Siding	1460		\$100,000.00				
Kimbrough Total				\$175,000.00				
1-21 Freedom Manor	Building Renovation	1430		\$25,000.00				
Freedom Total				\$25,000.00				
1-30 Roosevelt City	Building Renovation/Roof Repairs	1460		\$100,000.00				
	Building Demolition	1485		\$100,000.00				
Roosevelt Total				\$200,000.00				
1-55 Single Family	Development Activities	1499		\$400,000.00				
Single Family Total				\$400,000.00				
1-65 Debt Service	Bond Pool Debt Service Payment	1501		\$2,472,411.41				
PHA Wide	Mgmt Improvements	1408		\$190,000.00				
PHA Wide	Administration	1410		\$450,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1-1 Elyton Village	06-30-11		06-30-13		
1-4 Southtown	06-30-11		06-30-13		
1-6 Marks Village	06-30-11		06-30-13		
1-7 Loveman Village	06-30-11		06-30-13		
1-9 Smithfeld Court	06-30-11		06-30-13		
1-11 M. Simpson Village	06-30-11		06-30-13		
1-13 Collegeville	06-30-11		06-30-13		
1-16 N. Bhm Homes	06-30-11		06-30-13		
1-18 Kimbrough Homes	06-30-11		06-30-13		
1-21 Freedom Manor	06-30-11		06-30-13		
1-30 Roosevelt City	06-30-11		06-30-13		
1-55 Single Family	06-30-11		06-30-13		
1-65 Debt Service	06-30-11		06-30-13		
PHA Wide Mgmt	06-30-11		06-30-13		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2008 FFY of Grant Approval:	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	190,000	190,000	0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	450,000	450,000	0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000	175,000		28,291.99
8	1440 Site Acquisition				
9	1450 Site Improvement	50,000	50,000	0.00	0.00
10	1460 Dwelling Structures	5,053,779.94	4,903,779.94	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	50,000	50,000	0.00	0.00
13	1475 Non-dwelling Equipment	50,000	50,000	0.00	0.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	400,000	400,000	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2008 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	2,475,311.06	2,475,311.06	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	8,744,091	8,744,091	0.00	0.00
21	Amount of line 20 Related to LBP Activities	1,275,000	1,275,000	0.00	0.00
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	115,000	115,000		
Signature of Executive Director <i>Naome H. Truman</i>		Date 4-1-09		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL 1-1 Elyton Village	A&E Fees	1430			100,000	100,000	28,291.99	
	Building Renovation	1460		1,200,000	1,200,000			
Elyton Village Total				1,200,000	1,300,000			
AL 1-4 Southtown	Site Improvements	1450		50,000	50,000			
Southtown Total				50,000	50,000			
AL 1-6 Marks Village	LBP Activities/Interim Mod	1460	85 units	425,000	425,000			
	Management Renovations	1470		50,000	50,000			
Marks Village Total				475,000	475,000			
AL 1-7 Loveman	LBP Activities/Interim Mod	1460	85 units	425,000	425,000			
	Building Renovations/Roof Repairs	1460		50,000	50,000			
Loveman Total				475,000	475,000			
AL 1-9 Smithfield	Building Renovations/Roof Repairs	1460		100,000	100,000			
	Building Renovations/Structural Units	1430		25,000	25,000			
Smithfield Total				125,000	125,000			
AL 1-11 M. Simpson	LBP Activities/Interim Mod	1460	85 units	425,000	425,000			
	Building Renovations/Roof Repairs	1460		75,000	75,000			
M. Simpson Total				500,000	500,000			
AL 1-13 Collegeville	Building Renovations/Roof Repairs	1460		75,000	75,000			
Collegeville Total				75,000	75,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL 1-17 Cooper Green	Building Renovations	1460		2,003,779.94	1,853,779.94			
Cooper Green Total				2,003,779.94	1,853,779.94			
AL 1-18 Kimbrough	Building Renovations/Roof Repairs	1460		75,000	75,000			
	Building Renovations/New Siding	1460		100,000	100,000			
Kimbrough Total				175,000	175,000			
AL 1-30 Roosevelt	Building Renovations/Roof Repairs	1460		100,000	100,000			
Roosevelt Total				100,000	100,000			
AL 1-50 Central Office	HVAC Renovations	1475		50,000	50,000			
Central Office Total				50,000	50,000			
AL 1-55 Single Family	Development Activities	1499		400,000	400,000			
Single Family Total				400,000	400,000			
AL 1-65 Debt Service	Bond Pool Debt Service Payment	1501		2,475,311.06	2,475,311.06			
Debt Service Total				2,475,311.06	2,475,311.06			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Management Dev Training	1408		25,000	25,000			
Management	Total			25,000	25,000			
Improvements	Resident Programs	1408		15,000	15,000			
	AL 1-1 Elyton	1408		1,000	1,000			
	AL 1-4R Southtown	1408		1,000	1,000			
	AL 1-6 Marks Village	1408		1,000	1,000			
	AL 1-7 Loveman Village	1408		1,000	1,000			
	AL 1-10 Tom Brown	1408		1,000	1,000			
	AL 1-11 Morton Simpson	1408		1,000	1,000			
	AL 1-13 Collegeville	1408		1,000	1,000			
	AL 1-14 Harris Homes	1408		1,000	1,000			
	AL 1-16 North Bham Homes	1408		1,000	1,000			
	AL 1-17 Cooper Green	1408		1,000	1,000			
	AL 1-18 Kimbrough Homes	1408		1,000	1,000			
	AL 1-21 Freedom Manor	1408		1,000	1,000			
	AL 1-23 Ben Greene	1408		1,000	1,000			
	AL 1-30 Roosevelt City	1408		1,000	1,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-08 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Summer Day Camp	1408		120,000	120,000			
	AL 1-1 Elyton	1408		8,000	8,000			
	AL 1-4R Southtown	1408		8,000	8,000			
	AL 1-6 Marks Village	1408		8,000	8,000			
	AL 1-7 Loveman Village	1408		8,000	8,000			
	AL 1-9 Smithfield	1408		8,000	8,000			
	AL 1-10 Tom Brown	1408		8,000	8,000			
	AL 1-11 Morton Simpson	1408		8,000	8,000			
	AL 1-13 Collegeville	1408		8,000	8,000			
	AL 1-14 Harris Homes	1408		8,000	8,000			
	AL 1-16 North Bham Homes	1408		8,000	8,000			
	AL 1-17 Cooper Green	1408		8,000	8,000			
	AL 1-18 Kimbrough Homes	1408		8,000	8,000			
	AL 1-21 Freedom Manor	1408		8,000	8,000			
	AL 1-23 Ben Green	1408		8,000	8,000			
	AL 1-30 Roosevelt City	1408		8,000	8,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:				Federal FFY of Grant:	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL 1-1 Elyton	6/13/10		6/13/12		
AL 1-4 Southtown	6/13/10		6/13/12		
AL 1-6 Marks Village	6/13/10		6/13/12		
AL 1-7 Loveman	6/13/10		6/13/12		
AL 1-9 Smithfield	6/13/10		6/13/12		
AL 1-11 Morton Simpson	6/13/10		6/13/12		
AL 1-13 Collegeville	6/13/10		6/13/12		
AL 1-17 Cooper Green	6/13/10		6/13/12		
AL 1-18 Kimbrough	6/13/10		6/13/12		
AL 1-30 Roosevelt	6/13/10		6/13/12		
AL 1-50 Central Office	6/13/10		6/13/12		
AL 1/55 Scattered Houses	6/13/10		6/13/12		
Debt Service	6/13/10		6/13/12		
PHA Wide Mgmt Improvements	6/13/10		6/13/12		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:
------------------------	---	--	--

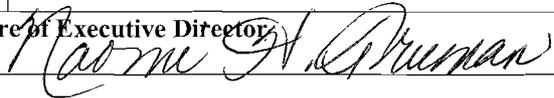
Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	340,000.00	100,480.00	76,365.60	76,365.60
4	1410 Administration (may not exceed 10% of line 21)	450,000.00	941,180.00	0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	4,784,937.92	5,883,777.92	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	350,500.00			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	1,000,000.00	0.00	0.00	0.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2007 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	2,486,371.08	2,486,371.08	2,486,371.08	2,486,371.08
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	9,411,809.00	9,411,809.00	2,562,736.68	2,562,736.68
21	Amount of line 20 Related to LBP Activities	1,702,875.00	1,702,875.00	0.00	0.00
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 4-1-09		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AL 1-1 Elyton Village	Building Renovation	1460		1,692,562.37				
Elyton Village Total				1,692,562.37				
AL 1-6 Marks Village	Building Renovations/Interim Mod	1460		422,750.00	422,750.00			
	Management Renovations	1470		300,000.00	300,000.00			
Marks Village Total				722,750.00	722,750.00			
AL 1-7 Loveman	Building Renovations/Interim Mod	1460		531,250.00	531,250.00			
Loveman Total				531,250.00	531,250.00			
AL 1-11 M. Simpson	Building Renovations/Interim Mod	1460		448,875.00	448,875.00			
M. Simpson Total				448,875.00	448,875.00			
AL 1-17 Cooper Green	Building Renovations	1460		1,686,978.37	4,180,902.92			
Cooper Green Total				1,686,978.37	4,180,902.92			
AL 1-50 Central Office	Building Renovations	1470		50,000.00				
Central Office Total				50,000.00				
AL 1-55 Single Family	Development Activities	1499		1,000,000.00				
Single Family Total				1,000,000.00				
AL 1-65 Debt Service	Bond Pool Debt Service Payment	1501		2,489,393.26	2,486,371.08			
Debt Service Total				2,489,393.26	2,486,371.08			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Management Dev Training	1408		25,000	25,000	885.60	885.60	
PHA								
Management	Resident Programs	1408		15,000				
Improvements	AL 1-1 Elyton Village	1408		1,000				
	AL 1-4R Southtown	1408		1,000				
	AL 1-6 Marks Village	1408		1,000				
	AL 1-7 Loveman	1408		1,000				
	AL 1-9 Smithfield Court	1408		1,000				
	AL 1-10 Tom Brown Village	1408		1,000				
	AL 1-11 Morton Simpson Village	1408		1,000				
	AL 1-13 Collegeville	1408		1,000				
	AL 1-14 Harris Homes	1408		1,000				
	AL 1-16 North Birmingham Homes	1408		1,000				
	AL 1-17 Cooper Green Homes	1408		1,000				
	AL 1-18 Kimbrough Homes	1408		1,000				
	AL 1-21 Freedom Manor	1408		1,000				
	AL 1-23 Ben Green Village	1408		1,000				
	AL 1-30 Roosevelt City	1408		1,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	SUMMER DAY CAMP	1408		120,000	75,480.00	75,480.00	75,480.00	
	AL 1-1 Elyton Village	1408		8,000				
	AL 1-4R Southtown	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-6 Marks Village	1408		8,000				
	AL 1-7 Loveman	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-9 Smithfield Court	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-10 Tom Brown Village	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-11 Morton Simpson Village	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-13 Collegeville	1408		8,000				
	AL 1-14 Harris Homes	1408		8,000	899.80	899.80	899.80	
	AL 1-16 North Birmingham Homes	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-17 Cooper Green Homes	1408		8,000				
	AL 1-18 Kimbrough Homes	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-21 Freedom Manor	1408		8,000				
	AL 1-23 Ben Green Village	1408		8,000	8,286.69	8,286.69	8,286.69	
	AL 1-30 Roosevelt City	1408		8,000	8,286.69	8,286.69	8,286.69	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	COMPUTER HARD/SOFTWARE	1408		150,000				
	AL 1-1 Elyton Village	1408		7,500				
	AL 1-4R Southtown	1408		7,500				
	AL 1-6 Marks Village	1408		7,500				
	AL 1-7 Loveman	1408		7,500				
	AL 1-9 Smithfield Court	1408		7,500				
	AL 1-10 Tom Brown Village	1408		7,500				
	AL 1-11 Morton Simpson Village	1408		7,500				
	AL 1-13 Collegeville	1408		7,500				
	AL 1-14 Harris Homes	1408		7,500				
	AL 1-16 North Birmingham Homes	1408		7,500				
	AL 1-17 Cooper Green Homes	1408		7,500				
	AL 1-18 Kimbrough Homes	1408		7,500				
	AL 1-21 Freedom Manor	1408		7,500				
	AL 1-23 Ben Green Village	1408		7,500				
	AL 1-30 Roosevelt City	1408		7,500				
	AL 1-50 Central Office	1408		30,000				
	AL 1-00 Section 8	1408		7,500				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the Birmingham District				Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL 1-1 Elyton Village	9/30/09		9/30/11		
AL 1-6 Marks Village	9/30/09		9/30/11		
AL 1-7 Loveman Village	9/30/09		9/30/11		
AL 1-11 Morton Simpson	9/30/09		9/30/11		
AL 1-17 Cooper Green	9/30/09		9/30/11		
AL 1-50 Central Office	9/30/09		9/30/11		
AL 1-55 Scattered Houses	9/30/09		9/30/11		
Debt Service	9/30/09		9/30/11		
PHA Wide Mgmt Improvemnts	9/30/09		9/30/11		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary			
PHA Name:	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;">Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-07 Date of CFFP:</td> <td style="width:30%;">FFY of Grant: 2007 FFY of Grant Approval:</td> </tr> </table>	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-07 Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:
Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-07 Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval:		

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	1,475,693.00		95,982.00	95,982.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-07 Date of CFFP:		FFY of Grant:2007 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,475,693.00		95,982.00	95,982.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
<i>Naomi H. Arman</i>		4-1-09			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-06 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2006 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	0.00	250,255.00	250,255.00	0.00
3	1408 Management Improvements	653,000.00	190,000.00	190,000.00	136,740.64
4	1410 Administration (may not exceed 10% of line 21)	450,000.00	450,000.00	450,000.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000.00	100,000.00	100,000.00	54,980.34
8	1440 Site Acquisition				
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	4,728,753.09	5,089,856.46	5,089,856.46	1,500.00
11	1465.1 Dwelling Equipment—Nonexpendable	0.00	0.00	0.00	0.00
12	1470 Non-dwelling Structures	0.00	0.00	0.00	0.00
13	1475 Non-dwelling Equipment	0.00	0.00	0.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	0.00	0.00	0.00	0.00
17	1499 Development Activities ⁴	1,000,000.00	851,641.63	851,641.63	0.00

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-06 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2006 FFY of Grant Approval:	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	2,485,718.91	2,485,718.91	2,485,718.91	1,870,790.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	9,417,472.00	9,417,472.00	9,417,472.00	2,064,010.98
21	Amount of line 20 Related to LBP Activities	1,402,875.00	1,402,875.00	1,402,875.00	0.00
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>Norma J. Drayman</i>		Date 4-1-09		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Operations	Operations			0.00	250,255.00	250,255.00	0.00	
1/Elyton Village	Building Renovations Phase II	1460	125 Units	1,792,352.09	1,793,852.09	1,793,852.09	1,500.00	
1 Total				1,792,352.09	1,793,852.09	1,793,852.09	1,500.00	
6/Marks Village	Building Renovation	1460	60 Units	422,750.00	0.00	0.00	0.00	
6 Total				422,750.00	0.00	0.00	0.00	
7/Loveman	Building Renovation	1460	75 Units	531,250.00	0.00	0.00	0.00	
7 Total	Interim Mod-Phase II			531,250.00	0.00	0.00	0.00	
11/Morton Simpson	Building Renovation	1460	60 Units	448,875.00	0.00	0.00	0.00	
11 Total	Interim Mod-Phase II			448,875.00	0.00	0.00	0.00	
13/Collegeville	A&E Fees	1430		0.00	100,000.00	100,000.00	54,980.34	
13 Total				0.00	100,000.00	100,000.00	54,980.34	
17/Cooper Green	Building Renovation	1460		1,533,526.00	3,296,004.37	3,296,004.37	0.00	
	Development Activities	1499		0.00	851,641.63	851,641.63	0.00	
17 Total				1,533,526.00	4,147,646.00	4,147,646.00	0.00	
55/Single Family Homes	A&E Fees	1430		100,000.00	0.00	0.00	0.00	
	Development Activities	1499		1,000,000.00	0.00	0.00	0.00	
55/Total				1,100,000.00	0.00	0.00	0.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09-P001-501-06 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
65/Debt Service	Bond Pool Debt Service Payment	1501		2,485,718.91	2,485,718.91	2,485,718.91	1,870,790.00	
65 Total				2,485,718.91	2,485,718.91	2,485,718.91	1,870,790.00	
PHA Wide	Management Dev Training	1408		25,000.00	24,500.00	24,500.00	1,309.89	
Management	Resident Programs	1408		15,000.00	15,500.00	15,500.00	15,430.75	
Improvements	Summer Day Camp	1408		120,000.00	120,000.00	120,000.00	120,000.00	
	Computer Hard/Soft Training	1408		463,000.00	0.00	0.00	0.00	
	Office Furniture/Equipment	1408		30,000.00	30,000.00	30,000.00	0.00	
	Administration	1410		450,000.00	450,000.00	450,000.00	0.00	
Total				1,103,000.00	640,000.00	640,000.00	136,740.64	
Grand				9,417,472.00	9,417,472.00	9,417,472.00	2,064,010.98	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Housing Authority of the Birmingham District					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AL 1-1 Elyton Village	9/30/08		9/30/10		
AL 1-6 Marks Village	9/30/08		9/30/10		
AL 1-7 Loveman	9/30/08		9/30/10		
AL1-11 Morton Simpson	9/30/08		9/30/10		
AL 1-17 Cooper Green	9/30/08		9/30/10		
AL 1-55 Scattered Houses	9/30/08		9/30/10		
Debt Service	9/30/08		9/30/10		
PHA Wide Mgmt Improvements	9/30/08		9/30/10		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary		
PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: AL09-P001-502-06 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2006 FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	278,230.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09-P001-502-06 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2006 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	278,230.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>Harold H. Aruman</i>		Date 4-1-09		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary	
PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-06 Date of CFFP:
FFY of Grant: 2006 2nd increment RHF Grant FFY of Grant Approval:	

Type of Grant

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	314,189.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director 	Date 4-1-09	Signature of Public Housing Director 	Date
--	-----------------------	---	-------------

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-05 Date of CFFP:
FFY of Grant: 2005 2 nd increment RHF Grant FFY of Grant Approval:	

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	332,456.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-05 Date of CFFP:		FFY of Grant: 2005 2 nd increment RHF Grant FFY of Grant Approval:	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	332,456.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>Rhonda A. Drummer</i>			Signature of Public Housing Director		Date 4-1-09

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	PHA Name: Housing Authority of the Birmingham District Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-04 Date of CFFP:	FFY of Grant: 2004 2nd Increment RHF Grant FFY of Grant Approval:
------------------------	---	---

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	27,084.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		
PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: AL09-R001-502-04 Date of CFFP:	FFY of Grant: 2004 2 nd Increment RHF Grant FFY of Grant Approval:

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	27,084.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>Naomi K. Pruman</i>	Date 4-1-09	Signature of Public Housing Director	Date
--	-----------------------	---	-------------

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

Part I: Summary						
PHA Name/Number Housing Authority of the Birmingham District		Locality (City/County & State) Birmingham, Alabama			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	\$4,866,331.13	\$4,874,850.65	\$4,875,295.86	\$4,875,614.20
C.	Management Improvements		\$195,000.00	\$195,000.00	\$195,000.00	\$195,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		\$865,185.00	\$865,185.00	\$865,185.00	\$865,185.00
F.	Other		\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service		\$2,475,335.87	\$2,466,816.35	\$2,466,371.14	\$2,466,052.80
K.	Total CFP Funds		\$8,651,852.00	\$8,651,852.00	\$8,651,852.00	\$8,651,852.00
L.	Total Non-CFP Funds					
M.	Grand Total		\$8,651,852.00	\$8,651,852.00	\$8,651,852.00	\$8,651,852.00

Part I: Summary (Continuation)						
PHA Name/Number Housing Authority of the Birmingham District			Locality (City/county & State) Birmingham, Alabama		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
		Annual Statement				
	1-1 Elyton Village		\$1,160,185.00	\$4,065,850.62	\$4,076,295.86	\$3,900,614.20
	1-4 Southtown		\$0.00	\$50,000.00	\$50,000.00	\$50,000.00
	1-6 Marks Village		\$1,202,048.63	\$50,000.00	\$50,000.00	\$50,000.00
	1-7 Loveman		\$1,202,048.75	\$50,000.00	\$50,000.00	\$50,000.00
	1-9 Smithfield		\$0.00	\$100,000.00	\$100,000.00	\$100,000.00
	1-10 Tom Brown		\$0.00	\$0.00	\$50,000.00	\$0.00
	1-11 Morton Simpson		\$1,202,048.75	\$50,000.00	\$75,000.00	\$75,000.00
	1-13 Collegeville		\$0.00	\$75,000.00	\$75,000.00	\$75,000.00
	1-14 Harris Homes		0.00	\$50,000.00	\$50,000.00	\$50,000.00
	1-16 North Bhm		\$50,000.00	\$100,000.00	\$100,000.00	\$100,000.00
	1-17 Cooper Green		\$0.00	\$50,000.00	\$50,000.00	\$50,000.00
	1-18 Kimbrough		\$0.00	\$100,000.00	\$0.00	\$100,000.00
	1-21 Freedom		\$0.00	\$25,000.00	\$0.00	\$25,000.00
	1-23 Ben Green		\$0.00	\$100,000.00	\$100,000.00	\$100,000.00
	1-30 Roosevelt		\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00
	1-55 Single Family		\$0.00	\$50,000.00	\$0.00	\$50,000.00
	1-65 Debt Service		\$2,475,335.87	\$2,466,816.35	\$2,466,371.14	\$2,466,052.80
	HA WIDE		\$1,310,185.00	\$1,310,185.00	\$1,310,185.00	\$1,310,185.00

Bond Pool Budget

Part I: Summary

U.S. Department of Housing
And Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0044
(exp. 04/30/2004)

PHA Name: Housing Authority of the Birmingham District, Alabama	Project Number: AL - 001	FFY (yyyy) of Grant Approval: N/A
---	------------------------------------	---

Original Budget
 Revised Budget / Revision Number 1
 Progress Report for Period Ending (mm/dd/yyyy) _____
 Final Progress Report

Line No.	Summary by Development Account	Total Funds Approved		Total Funds	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1415 Liquidated Damages				
6	1430 Fees and Costs				
7	1440 Site Acquisition				
8	1450 Site Improvement				
9	1460 Dwelling Structures				
10	1465.1 Dwelling Equipment - Nonexpendable				
11	1470 Non-dwelling Structures				
12	1475 Non-Dwelling Equipment				
13	1485 Demolition				
14	1495.1 Relocation Costs				
15	1498 Mod Used for Development				
16	Amount of Total Grant: (sum of lines 2-16)				
17	Amount of line 16 Related to LBP Activities				
18	Amount of line 16 Related to Security				
19	Amount of line 16 Related to Section 504 Compliance				
20	Amount of line 16 Related Energy Conservation Measures				

Signature of Executive Director

Date (08/11/2005)

HUD Certification: In approving this budget and providing assistance to a specific housing development(s), I hereby certify that the assistance will not be more than is necessary to make the assisted activity feasible after taking into account assistance from other government sources (24 CFR 12.50).

Signature of Director, Office of Public Housing / ONAP Administrator

Date (mm/dd/yyyy)

Bond Pool Budget

Part II: Supporting Pages

U.S. Department of Housing
And Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0044
(exp. 04/30/2004)

Development Number	Description of Work Items	Development Account Number	Quantity	Funds Approved			Funds Obligated	Funds Expended
				Original	Revised	Difference		
AL 1-1 Elyton Village	01. Site Improvements Sanitary Sewer System Water Distribution Storm Sewer System Gas Distribution System Electrical Distribution System Landscaping Parking/Paving Re-Construct Existing Streets	1450	1 LS	\$3,123,600				
	02. Renovate existing apartment units. 75 apartment units One Bedroom Row Two Bedroom Row Three Bedroom Row Kitchens Bathrooms Floors Walls Ceiling Interior Doors and Frames Exterior Doors and Frames Security Screens Doors Insulated Metal Windows	1460	27 DU	\$2,543,070				
	Total			\$5,666,670				
TOTALS (this page)				\$5,666,670				

Bond Pool Budget

Part II: Supporting Pages

U.S. Department of Housing
And Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0044
(exp. 04/30/2004)

Development Number	Description of Work Items	Development Account Number	Quantity	Funds Approved			Funds Obligated	Funds Expended
				Original	Revised	Difference		
AL 1-17 Cooper Green Homes	01. Site Improvements Grading/Top Soil/Sod/Seeding Sanitary Sewer System Water Distribution Storm Sewer System Gas Distribution System Electrical Distribution System Landscaping Parking/Paving	1450	LS	\$3,123,600				
	02. Renovate existing apartment units. 45 buildings 218 apartment units One Bedroom Row-50 Two Bedroom Row-62 Three Bedroom Row-62 Four Bedroom Row-34 Five Bedroom Row-10 Kitchens/Bathrooms Floors/Walls/Ceiling Interior Doors and Frames Exterior Doors and Frames Security Screens Doors Insulated Metal Windows	1460	218 DU	\$17,670,610				
	04. Construct non-dwelling structures. Recreation Building Day-care Building Management Building	1470	1 BLDG 1 BLDG 1 BLDG	\$925,000 \$550,000 \$650,000				
	Total			\$2,125,000				
TOTALS (this page)				\$22,919,210				

Bond Pool Budget

Part III: Supporting Pages

U.S. Department of Housing
And Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0044
(exp. 04/30/2004)

Development Number	Architect/Engineer Contract Awarded			All Funds Obligated			All Funds Expended		
	Original	Revised (Attach explanation)	Actual	Original	Revised (Attach explanation)	Actual	Original	Revised (Attach explanation)	Actual
AL 1-1	03/31/03			09/30/04			07/31/06		
AL 1-17	03/31/03			09/30/04			07/31/06		