PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0 PHA Information

PHA Name: **HARRIMAN HOUSING AUTHORITY** PHA Code: **TN55**

PHA Type: ☒ Small ☐ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): **07/2009**

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: **336** Number of HCV units: _____

3.0 Submission Type

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. **N/A**

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

N/A

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

N/A

6.0 PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: **N/A**

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. **Harriman Housing Authority Main Office, 924 Sewanee Street, Harriman, TN**

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.* **Attached**

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. **Attached**

TN37-PO55-501-06 P & E Report, date ending 12/31/2008
TN37-PO55-501-07 P & E Report, date ending 12/31/2008
TN37-PO55-501-08 P & E Report, date ending 12/31/2008
TN37-PO55-501-09 Annual Statement

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. **Attached**

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. **N/A**

9.0	**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. **N/A**

9.1	**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** **N/A**
10.0	**Additional Information.** Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" **N/A**

11.0	**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

7.0 DEMOLITION AND DISPOSITION

[24 CFR Part 903.7 9 (h)]
Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Fiske Heights 1b. Development (project) number: TN55-001
2. Activity type: Demolition ☒ Disposition ☐
3. Application status (select one) Approved ☐ Submitted, pending approval ☐ Planned application ☒
4. Date application approved, submitted, or planned for submission: (09/30/09)
5. Number of units affected: 4 6. Coverage of action (select one) ☒ Part of the development ☐ Total development
7. Timeline for activity: a. Actual or projected start date of activity: 09/30/2009 b. Projected end date of activity: 12/31/2009

Demolition/Disposition Activity Description
1a. Development name: Oakdale 1b. Development (project) number: TN55-006
2. Activity type: Demolition ☐ Disposition ☒
3. Application status (select one) Approved ☐ Submitted, pending approval ☐ Planned application ☒
4. Date application approved, submitted, or planned for submission: (Only reason to consider disposition is in the event that the current sewage pump station fails and cannot be repaired)
5. Number of units affected: 12 6. Coverage of action (select one) ☐ Part of the development ☒ Total development
7. Timeline for activity: a. Actual or projected start date of activity: Only As needed b. Projected end date of activity: Approximately six months after disposition process started

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Harriman Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN37-PO55-501-06 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no: D)
☒ Performance and Evaluation Report for Period Ending: 12/31/2008 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	106,329.00		106,329.00	106,329.00
3	1408 Management Improvements	54,000.00	23,773.95	23,773.95	23,773.95
4	1410 Administration	1,000.00	1,694.63	1,694.63	1,694.63
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	38,000.00	31,000.00	31,000.00	31,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	250,000.00	154,407.65	154,407.65	154,407.65
10	1460 Dwelling Structures	55,320.00	189,910.82	189,910.82	189,910.82
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	26,000.00	24,532.95	24,532.95	24,532.95
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency	1,000.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	**531,649.00**	531,649.00	531,649.00	531,649.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-06 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-WIDE	Operations	1406		106,329.00		106,329.00	106,329.00	Work Completed
	VISTA Worker	1408	1 position	13,000.00	1,000.00	1,000.00	1,000.00	Work Completed
	Police Officer	1408	1 position	36,000.00	17,125.00	17,125.00	17,125.00	Work Completed
	Computer Upgrade	1408	LS	5,000.00	5,648.95	5,648.95	5,648.95	Work Completed
	Advertising	1410	LS	1,000.00	1,694.63	1,694.63	1,694.63	Work Completed
	A/E Services	1430	LS	30,000.00	27,000.00	27,000.00	27,000.00	Work Completed
	Update Agency Plan	1430	LS	3,000.00		3,000.00	3,000.00	Work Completed
	Environmental Review	1430	LS	1,000.00		1,000.00	1,000.00	Work Completed
	Inspection Services	1430	LS	2,000.00	0.00	0.00	0.00	Deleted
	Landscaping (brought forward from 5-year plan)	1450	LS	0.00	3,000.00	3,000.00	3,000.00	Work Completed
	Office equipment, furnishings	1475	LS	1,000.00	849.00	849.00	849.00	Work Completed
	Maintenance equipment	1475	LS	25,000.00	23,683.95	23,683.95	23,683.95	Work Completed
	LBP Clearance Testing	1430	LS	2,000.00	0.00	0.00	0.00	Deleted
	Contingency	1502	LS	1,000.00	0.00	0.00	0.00	Deleted

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-06 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN55-003	Sidewalks/Landscaping/Site Drainage	1450	LS	250,000.00	151,407.65	151,407.65	151,407.65	Work Completed
TN55-005	Renovations/Replace exterior siding	1460	LS	26,659.00		26,659.00	26,659.00	Work Completed
	Replace exterior and interior doors, VCT, Windows, Bathroom Renovations and Kitchen Renovations	1460	LS	28,661.00	163,251.82	163,251.82	163,251.82	Work Completed

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Harriman Housing Authority	Grant Type and Number Capital Fund Program No: TN37-PO55-501-06 Replacement Housing Factor No:	Federal FY of Grant: 2006

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-WIDE	06/30/2008			06/30/2010			
TN55-001	06/30/2008			06/30/2010			
TN55-002	06/30/2008			06/30/2010			
TN55-003	06/30/2008			06/30/2010			
TN55-004	06/30/2008			06/30/2010			
TN55-005	06/30/2008			06/30/2010			
TN55-006	06/30/2008			06/30/2010			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Harriman Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN37-PO55-501-07 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no: In-house "B")
☒ Performance and Evaluation Report for Period Ending: 12/31/2008 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	105,178.00		105,178.00	105,178.00
3	1408 Management Improvements	54,000.00	0.00	0.00	0.00
4	1410 Administration	52,639.00	0.00	0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	38,000.00	40,800.00	40,800.00	40,800.00
8	1440 Site Acquisition				
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	259,074.00	370,641.61	370,641.61	359.048.08
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	2,000.00	194.90	194.90	0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	14,000.00	9,076.49	9,076.49	9,076.49
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency	1,000.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 – 20)	**525,891.00**		525,891.00	514,296.97
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-07 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406	LS	105,178.00		105,178.00	105,178.00	Work Completed
	VISTA Worker (Defer)	1408	1 position	13,000.00	0.00	0.00	0.00	Deferred
	Police Officer (Defer)	1408	1 position	36,000.00	0.00	0.00	0.00	Deferred
	Computer Upgrade (Defer)	1408	LS	5,000.00	0.00	0.00	0.00	Deferred
	Advertising (Delete)	1410	LS	1,000.00	0.00	0.00	0.00	Deferred
	Management Fee (Defer)	1410	LS	51,639.00	0.00	0.00	0.00	Deferred
	A/E Services	1430	LS	30,000.00	36,800.00	36,800.00	36,800.00	Work Completed
	Update Agency Plan	1430	LS	3,000.00		3,000.00	3,000.00	Work Completed
	Environmental Review	1430	LS	1,000.00		1,000.00	1,000.00	Work Completed
	Inspection Services (Delete)	1430	LS	2,000.00	0.00	0.00	0.00	Deleted
	LBP Clearance Testing (Delete)	1430	LS	2,000.00	0.00	0.00	0.00	Deleted
	Office Equipment, furnishings	1475	LS	1,000.00	194.90	194.90	0.00	No work to Date
	Maintenance Equipment (Defer)	1475	LS	1,000.00	0.00	0.00	0.00	Deferred
	Relocation (Fungibility)	1495 .1	LS	14,000.00	9,076.49	9,076.49	9,076.49	Brought forward from 5-year plan
	Contingency	1502	LS	1,000.00	0.00	0.00	0.00	Deleted
			Subtotal	**266,817.00**	**155,249.39**			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-07 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN55-005	Renovations/Replace exterior siding	1460	LS	85,070.00		85,070.00	85,070.00	Work Completed
	Replace exterior and interior doors, VCT, Windows, Bathroom Renovations and Kitchen Renovations, Electrical Upgrades	1460	LS	174,004.00	285,571.61	285,571.61	273,978.08	Work in Progress
			Subtotal	**259,074.00**	**370,641.61**			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program No: TN37-PO55-501-07 Replacement Housing Factor No:	**Federal FY of Grant:** 2007

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	06/30/2009			06/30/2011			
TN55-001	06/30/2009			06/30/2011			
TN55-002	06/30/2009			06/30/2011			
TN55-003	06/30/2009			06/30/2011			
TN55-004	06/30/2009			06/30/2011			
TN55-005	06/30/2009			06/30/2011			
TN55-006	06/30/2009			06/30/2011			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Harriman Housing Authority	Grant Type and Number Capital Fund Program Grant No: TN37-PO55-501-08 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 12/31/2009 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	104,750.00		0.00	0.00
3	1408 Management Improvements	54,000.00		0.00	0.00
4	1410 Administration	52,639.00		0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	38,000.00		0.00	0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	0.00		0.00	0.00
10	1460 Dwelling Structures	262,361.00		0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	10,000.00		0.00	0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	2,000.00		0.00	0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency	0.00			
21	Amount of Annual Grant: (sum of lines 2 – 20)	**523,750.00**		0.00	0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-08 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Operations	1406	LS	104,750.00		0.00	0.00	No work to date
	VISTA Worker	1408	1 position	13,000.00		0.00	0.00	No work to date
	Security	1408	1 position	36,000.00		0.00	0.00	No work to date
	Computer Upgrade	1408	LS	5,000.00		0.00	0.00	No work to date
	Advertising	1410	LS	1,000.00		0.00	0.00	No work to date
	Management Fee	1410	LS	51,639.00		0.00	0.00	No work to date
	A/E Services	1430	LS	30,000.00		0.00	0.00	No work to date
	Update Agency Plan	1430	LS	3,000.00		0.00	0.00	No work to date
	Environmental Review	1430	LS	1,000.00		0.00	0.00	No work to date
	Inspection Services	1430	LS	2,000.00		0.00	0.00	No work to date
	LBP Clearance Testing	1430	LS	2,000.00		0.00	0.00	No work to date
	Refrigerators - Stoves	1465 .1	As needed	10,000.00		0.00	0.00	No work to date
	Office Equipment, furnishings	1475	LS	1,000.00		0.00	0.00	No work to date
	Maintenance Equipment	1475	LS	1,000.00		0.00	0.00	No work to date
	Contingency	1502	LS	0.00		0.00	0.00	No work to date
			Subtotal	**261,389.00**		0.00	0.00	No work to date

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Harriman Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-08 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN55-005	Renovations/Replace exterior siding	1460	LS	80,070.00				No work to date
	Replace exterior and interior doors, VCT, Windows, Bathroom Renovations and Kitchen Renovations, Electrical Upgrades	1460	LS	182,291.00				No work to date
			Subtotal	**262,361.00**				No work to date

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program No: TN37-PO55-501-08 Replacement Housing Factor No:	**Federal FY of Grant:** 2008

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	06/13/2010			06/13/2012			
TN55-001	06/13/2010			06/13/2012			
TN55-002	06/13/2010			06/13/2012			
TN55-003	06/13/2010			06/13/2012			
TN55-004	06/13/2010			06/13/2012			
TN55-005	06/13/2010			06/13/2012			
TN55-006	06/13/2010			06/13/2012			

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval: 2009**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]	104,750			
3	1408 Management Improvements	54,000			
4	1410 Administration (may not exceed 10% of line 21)	52,375			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	34,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	31,000			
10	1460 Dwelling Structures	165,625			
11	1465.1 Dwelling Equipment—Nonexpendable	10,000			
12	1470 Non-dwelling Structures	40,000			
13	1475 Non-dwelling Equipment	2,000			
14	1485 Demolition	30,000			
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-09 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2009** **FFY of Grant Approval: 2009**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	523,750			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director Robert D Lockett **Date 03/17/2009**	**Signature of Public Housing Director** **Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages		
PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
HA WIDE	Operations	1406	LS	104,750				
	VISTA worker	1408	1position	13,000				
	Security	1408	LS	36,000				
	Computer Upgrade	1408	LS	5,000				
	Advertising	1410	LS	1,000				
	Management Fee	1410	LS	51,375				
	A/E Fees	1430	LS	30,000				
	Update Agency Plan	1430	LS	3,000				
	Environmental Review	1430	LS	1,000				
	Appliances	1465.1	LS	10,000				
	Office equipment, furnishings	1475	LS	1,000				
	Maintenance equipment	1475	LS	1,000				
	HVAC replacement	1460	As needed	5,000				
TN55-001	Demolition of 2 buildings (4 units) due to deteriorated conditions, foundations, etc.	1485	4 units	30,000				
	Exterior renovations, including replacement of siding at porches, railings	1460	LS	41,000				
	Update interiors including floors, painting, kitchens, bathrooms, light fixtures, etc.	1460	LS	99,625				
	Sewer repair/replacement	1450	As needed	30,000				
TN55-2	Exterior renovations, including replacement of siding at porches, railings	1460	LS	20,000				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages		
PHA Name: Harriman Housing Authority	**Grant Type and Number** Capital Fund Program Grant No: TN37-PO55-501-09 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
TN55-004	Flagpole at Clifty Manor	1450	1	1,000				
TN55-5	Laundry room w/washers, dryers, vending machine	1470	1	40,000				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Harriman Housing Authority					**Federal FFY of Grant: 2009**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
HA-WIDE	6/30/2011		6/30/2013		
TN55-001	6/30/2011		6/30/2013		
TN55-002	6/30/2011		6/30/2013		
TN55-003	6/30/2011		6/30/2013		
TN55-004	6/30/2011		6/30/2013		
TN55-005	6/30/2011		6/30/2013		
TN55-006	6/30/2011		6/30/2013		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name/Number **Harriman HA TN-55**			Locality (City/County & State) **Harriman/ Roane/Tennessee**		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name	Work Statement for Year 1 **FFY 2009**	Work Statement for Year 2 **FFY 2010**	Work Statement for Year 3 **FFY 2011**	Work Statement for Year 4 **FFY 2012**	Work Statement for Year 5 **FFY 2013**
B.	Physical Improvements Subtotal	~~Annual Statement~~	320,000	320,000	319,000	320,000
C.	Management Improvements		54,000	54,000	54,000	54,000
D.	PHA-Wide Non-dwelling Structures and Equipment		2,000	2,000	2,000	2,000
E.	Administration		1,000	1,000	1,000	1,000
F.	Other		42,000	42,000	42,000	42,000
G.	Operations		104,750	104,750	104,750	104,750
H.	Demolition				1,000	
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		523,750	523,750	523,750	523,750
L.	Total Non-CFP Funds					
M.	Grand Total		523,750	523,750	523,750	523,750

Part I: Summary (Continuation)

PHA Name/Number **Harriman HA TN-55**			Locality (City/county & State) **Harriman/Roane/Tennessee**		☒ **Original 5-Year Plan** ☐ **Revision No:**	
A.	Development Number and Name	Work Statement for Year 1 **FFY 2009**	Work Statement for Year 2 **FFY 2010**	Work Statement for Year 3 **FFY 2011**	Work Statement for Year 4 **FFY 2012**	Work Statement for Year 5 **FFY 2013**
		Annual Statement				
	PHA-WIDE		208,750	208,750	208,750	208,750
	TN55-001		115,000	230,000	15,000	5,000
	TN55-002		0	85,000	50,000	0
	TN55-003		200,000	0	200,000	210,000
	TN55-004		0	0	120,000	0
	TN55-005		0	0	0	5,000
	TN55-006		0	0	1,000	0

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2009	Work Statement for Year: 2009 FFY 2010			Work Statement for Year: 2009 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	PHA-WIDE			PHA-WIDE		
Annual						
Statement	Landscaping	LS	5,000	Landscaping	LS	5,000
		Sub-Total	**5,000**		**Sub-Total**	**5,000**
	TN55-001			TN55-001		
	Sewer replacement	As needed	15,000	Exterior renovations, including replacement of siding, railings	LS	100,000
	Update interiors including floors, kitchens, bathrooms, light fixtures, etc.	LS	100,000	Gas distribution system	LS	30,000
				Electrical upgrade	LS	100,000
		Sub-Total	**115,000**		**Sub-Total**	**230,000**
	TN55-002	No work this year	0	TN55-002		
				Update interiors including floors, kitchens, bathrooms, light fixtures, etc.	LS	85,000
					Sub-Total	**85,000**
	Subtotal of Estimated Cost		$	Subtotal of Estimated Cost		$

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY _2009_____	Work Statement for Year : 2009 FFY **2010**			Work Statement for Year: 2009 FFY **2011**		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	TN55-003			TN55-003	No work this year	0
	Convert 0 bedroom apts. (8) to 2 bedroom apts. (4)		200,000			
		Sub-Total	**200,000**			
	TN55-004	No work this year	0	TN55-004	No work this year	0
	TN55-005	No work this year	0	TN55-005	No work this year	0
	TN55-006	No work this year	0	TN55-006	No work this year	0
	Subtotal of Estimated Cost		**$320,000**	Subtotal of Estimated Cost		**$320,000**

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY **2009**	Work Statement for Year: 2009 FFY **2012**			Work Statement for Year: 2009 FFY **2013**		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	PHA-WIDE			PHA-WIDE		
Annual						
Statement	Landscaping	LS	5,000	Landscaping	LS	5,000
		Sub-Total	**5,000**		**Sub-Total**	**5,000**
	TN55-001			TN55-001		
	Replace gas heaters with electric	LS	15,000	Storage Buildings	LS	5,000
		Sub-Total	**15,000**		**Sub-Total**	**5,000**
	TN55-002			TN55-002	No work this year	0
	Replace gas heaters with electric	LS	10,000			
	Replace closet doors	LS	10,000			
	Replace screen doors	LS	30,000			
		Sub-Total	**50,000**			
	Subtotal of Estimated Cost		$	Subtotal of Estimated Cost		$

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY **2009**	Work Statement for Year : 2009 FFY **2012**			Work Statement for Year: 2009 FFY **2013**		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	TN55-003			TN55-003		
Annual	Update interiors including floors, kitchens, bathrooms, light fixtures, gyp. Board over existing ceiling	LS	200,000	Re-roofing, guttering	LS	200,000
Statement				Storage Buildings	LS	10,000
		Sub-Total	**200,000**		**Sub-Total**	**210,000**
	TN55-004			TN55-004	No work this year	0
	Update interiors including floors, kitchens, bathrooms, light fixtures,	LS	49,000	Update interiors including floors, kitchens, bathrooms, light fixtures,	LS	95,000
		Sub-Total	**49,000**		**Sub-Total**	**95,000**
	TN55-005	No work this year	0	TN55-005		
				Dryers in Comm. Rm. Clifty Manor	LS	5,000
					Sub-Total	**5,000**
	TN55-006			TN55-006	No work this year	0
	Demo/dispo – if sewer pump system fails	12 units	1,000			
		Sub-Total	**1,000**			
	Subtotal of Estimated Cost		**$320,000**	Subtotal of Estimated Cost		**$320,000**

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY **2009**	Work Statement for Year : 2009 FFY **2010**		Work Statement for Year: 2009 FFY **2011**	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	PHA-WIDE			
Annual	Operations	104,750	Operations	104,750
Statement	VISTA worker	13,000	VISTA worker	13,000
	Security	36,000	Security	36,000
	Computer Upgrade	5,000	Computer Upgrade	5,000
	Advertising	1,000	Advertising	1,000
	A/E Services	30,000	A/E Services	30,000
	Update agency plan	3,000	Update agency plan	3,000
	Environmental Review	1,000	Environmental Review	1,000
	Office Equipment	1,000	Office Equipment	1,000
	Maintenance Equipment	1,000	Maintenance Equipment	1,000
	Appliances	5,000	Appliances	5,000
	Relocation	3,000	Energy Audit	3,000
	Subtotal of Estimated Cost	**$203,750**	Subtotal of Estimated Cost	**$203,750**

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY 2009	Work Statement for Year: 2009 FFY 2012		Work Statement for Year: 2009 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual	Operations	104,750	Operations	104,750
Statement	VISTA worker	13,000	VISTA worker	13,000
	Security	36,000	Security	36,000
	Computer Upgrade	5,000	Computer Upgrade	5,000
	Advertising	1,000	Advertising	1,000
	A/E Services	30,000	A/E Services	30,000
	Update agency plan	3,000	Update agency plan	3,000
	Environmental Review	1,000	Environmental Review	1,000
	Office Equipment	1,000	Office Equipment	1,000
	Maintenance Equipment	1,000	Maintenance Equipment	1,000
	Appliances	5,000	Appliances	5,000
	Relocation	3,000	Relocation	3,000
	Subtotal of Estimated Cost	**$203,750**	Subtotal of Estimated Cost	**$203,750**