

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Housing Authority of Northumberland County PHA Code: PA060 PHA Type: <input checked="" type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 04/2009				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 200 Number of HCV units: 464				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. N/A (ANNUAL PLAN ONLY)				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: N/A (Annual Plan Only)				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. N/A (Annual Plan Only)				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <ul style="list-style-type: none"> 1. Eligibility, Selection and Admissions Policies Page 7 2. Admission Preferences Page 8 3. Financial Resources Page 10 4. Rent Determination Page 11 5. Operation and Management Page 13 6. Community Services Page 14 7. Safety and Crime Prevention Page 16 8. Violence against Women Act (VAWA) Page 17 (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. HANC Main Administrative Office, 50 Mahoning Street, Milton, PA				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable. N/A				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. See Page 17 of the Attached Supplement				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.				

8.3	<p>Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. See Page of the attached supplement</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>NA/Annual Plan only</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>(a) See Attached Report beginning on page 20 (b) Previously submitted and approved</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the

appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.**
 - 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that **approved and/or pending** demolition and/or disposition has changed.

- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling

basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled**

PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

6.0 PHA Plan Update.

II. PHA PLAN ELEMENTS. (24 CFR 903.7)

The following elements of the Housing Authority of Northumberland County's plan elements have been revised since the Authority's prior submission:

3. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** HANC's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists, **have not been revised since the Authority's prior submission.**

The Following is a Summary of Key Components of HANC's Policies Governing Eligibility, Selection, and Admissions [24 CFR Part 903.12 (b), 903.7 (b)]

A. Public Housing

(1) Eligibility: Verification of eligibility for admission to public housing occurs when families are within 30 days of being offered a unit.

HANC uses the following non-income (screening) factors to establish eligibility for admission to public housing:

- Criminal or Drug-related activity
- Rental history
- Housekeeping

HANC request criminal records from local and State law enforcement agencies for screening purposes

(2)Waiting List Organization: HANC uses a Community-Wide list to organize its public housing waiting list.

Interested persons may apply at HANC's main administrative office at 50 Mahoning Street, Milton, PA and at each of its development site management offices for admission to public housing.

(3) Assignment: Ordinarily, HANC permits applicants three or more vacant unit choices before they fall to the bottom of or are removed from the waiting list. This policy is consistent across all waiting list types.

(4) Admissions Preferences:

Income targeting: HANC does not exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income.

Transfer policies: HANC has established the following policy for transfers taking precedence over new admissions:

- Emergencies
- Medical justification
- Administrative reasons determined by HANC (e.g., to permit modernization work)

Preferences: HANC has established preferences for admission to public housing (other than date and time of application).

HANC employs the following admission preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing
- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Working families and those unable to work because of age or disability
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)

HANC employs prioritizes admissions preferences as follows:

- Date and Time: 1
- Involuntary Displacement (Disaster, Government Action, Action of Housing
- Owner, Inaccessibility, Property Disposition): 1
- Victims of domestic violence: 1
- Working families and those unable to work because of age or disability
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)

(5) Occupancy: The following are reference materials applicants and residents use to obtain information about the rules of occupancy of public housing:

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy

Residents must notify HANC of changes in family composition at the time of occurrence.

(6) Deconcentration and Income Mixing: HANC does have general occupancy (family) public housing developments covered by the deconcentration rule. None of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments.

B. Section 8

(1) Eligibility: HANC request criminal records from local and State law enforcement agencies for screening purposes.

HANC does not share its criminal background check with the landlord, but advises the landlord to conduct their own check on tenants criminal and drug-related background.

(2) Waiting List Organization: HANC does not merge its Section 8 waiting list with its public housing waiting list. Each list is kept separate.

Interested persons may apply for admission to section 8 tenant-based assistance HANC's main administrative office at 50 Mahoning Street, Milton, PA.

(3) Search Time: HANC does give extensions on standard 60-day period to search for a unit in accordance with its Section 8 Administrative Plan referenced at pages 109-110.

(4) Admissions Preferences

Income targeting: HANC does not plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income.

Preferences: HANC has established preferences for admission to section 8 tenant-based assistance program (other than date and time of application). These preferences include the following:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income requirements (targeting)

HANC has prioritized the following admissions preferences, as noted:

- Date and Time: 1
- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition): 1
- Victims of domestic violence
- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income requirements (targeting)

Applicants on the waiting list with equal preference status, are selected by date and time of application.

HANC employs preferences for “residents who live and/or work in the jurisdiction.” This preference has previously been reviewed and approved by HUD

The current pool of applicant families ensures that HANC will meet income targeting requirements.

(5) Special Purpose Section 8 Assistance Programs

The Section Administrative Plan contains the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by HANC. In addition, HANC makes know to the participants, its policies governing eligibility, selection and admissions to any special-purpose section 8 programs at the time of the briefing sessions and in written materials.

HANC announces the availability of any special-purpose section 8 programs to the public through published notices in the local news media of general circulation.

- 4. Financial Resources.** The following statement of financial resources, includes a listing by general categories of the Housing Authority’s anticipated resources,

Operating, Capital and other anticipated Federal resources available to HANC, as well as tenant rents and other income available to support public housing or tenant-based assistance:

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2008 grants)		
a) Public Housing Operating Fund	\$420,552	Operating
b) Public Housing Capital Fund	\$292,081	Capital/Operating
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,435,526	HAP & Administration
f) Resident Opportunity and Self-Sufficiency Grants	\$50,635	Coordinator
g) Community Development Block Grant		
h) HOME		
Other Federal Grants (list below)		
Housing Choice Voucher Family Self-Sufficiency Grant	\$32,878	Coordinator
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Capital Fund Program 2007	\$38,311	Capital/Operating
3. Public Housing Dwelling Rental Income		
Excess Utilities	\$537,630	Operating
	\$10,719	Operating
4. Other income (list below)		
Interest	\$31,240	Operating
Miscellaneous	\$15,000	Operating
4. Non-federal sources (list below)		
Total Resources	\$2,864,572	

- 3. Rent Determination.** The policies of HANC governing rents charged for public housing and HCV dwelling units, **have not been revised since the Authority's prior submission.**

The Following is a Summary of HANC's Rent Determination Policies [24 CFR Part 903.12(b), 903.7(d)]

A. Public Housing

(1) Income Based Rent Policies

The following describes HANC's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions:

Use of discretionary policies: HANC does not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions).

Minimum Rent: HANC has established \$50.00 as a minimum rent and has adopted the following discretionary minimum rent hardship exemption policies:

- The family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program;
- The family would be evicted as a result of the imposition of the minimum rent requirement;
- The income of the family has decreased because of changed circumstance, including loss of employment;
- A death in the family has occurred; and
- Other circumstances determined appropriate and acceptable by the Housing Authority or the Department of Housing and Urban Development

HANC does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.

Ceiling rents: HANC does have ceiling rents (rents set at a level lower than 30% of adjusted income), for all developments based on the HUD published Fair Market Rents (FMRs) for the area.

Rent re-determinations: Tenants must report changes in income or family composition to HANC any time the family experiences such changes that may result in an adjustment to rent.

HANC does not plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year.

(2) **Flat Rents:** In setting the market-based flat rents, HANC utilized an analysis of the operating cost for each development to establish comparability.

B. Section 8 Tenant-Based Assistance

(1) Payment Standards

HANC's payment standard is established at 100% of current HUD published Fair Market Rents (FMR) or the area.

The payment standards are reevaluated annually by HANC for adequacy. HANC will consider the success rates of assisted families in locating and leasing affordable housing that meets HQS in its assessment of the adequacy of its payment standard.

(2) **Minimum Rent:** HANC has established \$50.00 as the minimum rent for the Section 8 Voucher Program.

HANC has adopted the following discretionary minimum rent hardship exemption policies:

- the family has lost eligibility for or is awaiting an eligibility determination for a Federal, State, or local assistance program;
- the family would be evicted as a result of the imposition of the minimum rent requirement;
- the income of the family has decreased because of changed circumstance, including loss of employment;
- a death in the family has occurred; and
- other circumstances determined appropriate and acceptable by the Housing Authority or the Department of Housing and Urban Development

4. Operation and Management. A statement of the rules, standards, and policies of the Housing Authority governing **maintenance management** of housing owned, assisted, or operated by the public housing agency (which includes measures necessary for the prevention or eradication of pest infestation, including cockroaches), **have been revised and are attached.**

5. Grievance Procedures. The policies of HANC governing the grievance and informal hearing and review procedures that the Housing Authority makes available to its residents and applicants, **have not been revised since the Authority's prior submission.**

- 6. Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by HANC, **there are no projects (or portions thereof), in the upcoming fiscal year, that the Housing Authority has designated or will apply for designation for occupancy by elderly and disabled families.**
9. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**

Policy Overview

Community Service is defined as “the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community.” Community Service is not employment and may not include political activities.

The Community Service and Economic Self-Sufficiency requirements mandate that each non-exempt adult household member (18 years or older), shall either contribute eight hours per month of community service within their community, or participate in an Economic Self-Sufficiency program for eight hours per month. This Chapter provides HANC’s requirements.

Community Service Contributions

Federal regulations mandate that all adult residents must contribute eight (8) hours per month of community service, or participate in an economic self-sufficiency program for eight (8) hours per month as a condition for continued public housing assistance.

The exceptions to this requirement are for person(s) that are:

- Elderly
- Blind or disabled as defined under law and unable to comply with the community service requirement, or the caretaker of such an individual.
- Working or exempted from work by the State.

- Receiving assistance and not in noncompliance with State or TANF requirements.

Persons with a disability are not automatically exempt from community service requirements. A person is exempt only to the extent the disability makes the person “unable to comply.”

Community Service Activities

The Authority will strive to give residents the greatest choice possible of community service activities. These activities could include, but are not limited to:

- Improving the physical environment of the resident’s development.
- Volunteer work in a local school, hospital, childcare center, homeless shelter, etc.
- Working with youth organizations.
- Helping neighborhood groups on special projects.
- Participation in programs that develop and strengthen resident self responsibility such as drug and alcohol abuse counseling and treatment, household budgeting and credit counseling, and English proficiency.

Federal regulations specifically prohibits political activity as community service.

Housing Authority Options For Community Service

The Authority can administer its own community service program, with cooperative relationships with other entities, or contract the entire community service program to a third party, which includes qualified resident councils.

The Authority must follow procurement policies and 24 CFR 85.36 in order to contract out the community service program. When third party contractors are used, the Authority will strive to ensure the contractor doesn’t have a financial interest in where participants are assigned.

The Authority will ensure that all community service programs are accessible for persons with disabilities, and determine as well as possible, the work being performed is not hazardous.

Documentation

Reasonable documentation must verify the community service, and must be placed in the resident’s file at time of reexamination.

The Authority will determine if non-exempt residents are in compliance. If a family is found to be non-compliant, the non-compliant adult and the head of household must sign an agreement to make up the hours within the next three to 12-month period.

- 8. Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
 1. Local police conduct criminal history background checks in an effort to enforce 1 strike policy
 2. Local police provide up to date information regarding criminal activity on or near the public housing developments
 3. Police cooperation with HANC in hearings involving drugs and other criminal activities
 4. Police cooperate with state and federal (OIG) for local drug and fraud cases involving housing participants
 5. Police inform HANC of registered sex offenders
 6. HANC has installed exterior and interior camera security system in elderly complex

- 9. Pets.** HANC's policies and requirements pertaining to the ownership of pets in public housing, **have not been revised since the Authority's prior submission.**

- 10. Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

- 11. Fiscal Year Audit.** *In the opinion of Polcari & Co., CPA, who conducted and independent audit of the Housing Authority as of March 31, 2008 and 2007, "...the results of its operations, changes net assets and cash flows for the*

years then ended in conformity with accounting principles generally accepted in the United States of America.”

- 12. Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
- 13. Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

EXCEPTION TO LEASE TERMINATION RELATING TO VIOLENCE AGAINST WOMEN:

In accordance with the Violence Against Women Act of 2005, criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be a cause for termination of the tenancy or occupancy rights, if the tenant or immediate member of the tenant’s family is a victim of that domestic violence, dating violence, or stalking.

HANC may bifurcate a lease in order to evict, remove, or terminate assistance to any individual who is tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.

Nothing in this section may be construed to limit the authority of HANC, when notified, to honor court orders addressing rights of access to or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up.

Nor does this section limit any otherwise available authority of HANC:

- to evict a tenant for any violation of a lease not premised on the act or acts of violence in question against the tenant or member of the tenant’s household, provided that HANC does not subject an individual who is or

has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate; or

- to limit the authority of a public housing agency to terminate the tenancy of any tenant if HANC can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant's tenancy is not terminated; and
- nothing in this section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

CERTIFICATION: HANC may request that an individual certify via a HUD approved certification form, the individual is a victim of domestic violence, dating violence, or stalking, and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in the aforementioned paragraphs. Such certification shall include the name of the perpetrator. The individual shall provide such certification within 14 business days after HANC requests such certification.

FAILURE TO PROVIDE CERTIFICATION.—If the individual does not provide the certification within 14 business days after HANC has requested such certification in writing, nothing in this subsection may be construed to limit the authority of HANC to evict any tenant or lawful occupant that commits violations of a lease. The Housing Authority may extend the 14-day deadline at its discretion. HANC may provide, at its discretion, benefits to an individual based solely on the individual's statement or other corroborating evidence.

CONTENTS OF THE CERTIFICATION: An individual may satisfy the certification requirement by:

1. providing the requesting public housing agency with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking, or the effects of the abuse, in which the professional attests under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; and
2. producing a Federal, State, or local police or court record.

CONFIDENTIALITY: All information provided to HANC pursuant to paragraph (1), including the fact that an individual is a victim of domestic violence, dating violence, or stalking, shall be retained in confidence by HANC, and shall neither be entered into any shared database nor provided to any related entity, except to the extent that disclosure is—

1. requested or consented to by the individual in writing;
2. required for use in an eviction proceeding; or
3. otherwise required by applicable law.

NOTIFICATION.—HANC will provide notice to tenants assisted under section 6 of the United States Housing Act of 1937 of their rights under the Violence Against Women’s Act, including their right to confidentiality and the limits thereof.

III. PHA PLAN POSTING

The HANC 2009 Annual Plan may be obtained by the public including updates, at each Asset Management Project (AMP) and main office of the Authority at 50 Mahoning Street, Milton, Pennsylvania. HANC has also provided each Commissioner and Advisory Council member with a copy its 2009 Annual Plan.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(d) Homeownership:

The Housing Authority is administering a Homeownership Program utilizing its Housing Choice Vouchers. Two families are participating in the program and have purchased homes utilizing the Housing Choice Homeownership Vouchers. One applicant is pending purchase approval.

10.0 Additional Information.

(a) Progress in Meeting Mission and Goals

STATEMENT OF PROGRESS ON 5-YEAR PLAN

GOALS AND OBJECTIVES

FISCAL YEAR ENDING MARCH 31, 2009

Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments

Status: Completed the renovations of vacant space in Roosevelt Court to create additional housing opportunities for 4 additional elderly units with grants from the Sovereign Bank Foundation and matching funds from the Authority and the Housing Development Corporation of Northumberland County. Units are rented to low income elderly/handicapped individuals.

PHA Goal: Improve the quality of assisted housing

Objectives:

- Increase customer satisfaction:
- Renovate or modernize public housing units:

Status: Capital Fund Program is proceeding on schedule.

Capital Fund Program Status		
<i>FFY</i>	<i>% Obligated</i>	<i>% Expended</i>
<i>2005</i>	<i>100%</i>	<i>100%</i>
<i>CFFP</i>	<i>100%</i>	<i>100%</i>
<i>2006</i>	<i>100%</i>	<i>100%</i>
<i>2007</i>	<i>83%</i>	<i>33%</i>
<i>2008</i>	<i>10%</i>	<i>1.5%</i>

See attached P&Es for detail

PHA Goal: Increase assisted housing choices

Objectives:

- Implement voucher homeownership program:

- Implement public housing or other homeownership programs:

Status: HANC has partnered with Northumberland County Commissioners and the County Planning Commission to apply for funding under the Neighborhood Stabilization Program to acquire and rehabilitate 25 to 30 foreclosed/abandoned properties for homeownership to low to moderate income families.

Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement public housing security improvements:

Status: In addition to the new locking system for all developments, video cameras have been placed strategically at all entrances to our elderly development and parking lot, including the installation of lighting in the parking lot, HANC has recently added emergency call system in each apartment at the Towers.

Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

Status: When necessary, the Authority modifies housing units for tenants with various disabilities such as handicap ramps, grab bars and bathroom modifications.

Resident Membership on PHA Governing Board:

The Housing Authority has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

11.0 Required Submission for HUD Field Office Review.

(f) Resident Advisory Board (RAB) comments.

There were no comments from the RAB or residents, primarily because the items included in the 2009 Annual Statement were previously discussed and agreed upon as a part of the 5-year plan.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

There were no challenge elements.

(h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report*

(attached electronically).

(i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan*

Annual Plan only. Next 5-year plan will be submitted with the 2010 Plan Statement.

**Annual Statement /
Performance and Evaluation Report as of 12/31/08**

Part I: Summary
Capital Funds Program (CFP)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Capital Funds Project Number		FFY of Approval	
HOUSING AUTHORITY OF NORTHUMBERLAND COUNTY		CFFP Financing Proceeds		2005	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number # <input checked="" type="checkbox"/> Performance and Evaluation Report for 12/31/08 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)				
3	1408 Management Improvements (May not exceed 20% of line 20)				
4	1410 Administration (May not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs - of Loan				
	1430 A/E Fees				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Mod Used for Development Activities				
19	9000 Collateralization or Debt Service paid Via System of Direct Payment				
20	1502 Contingency (may not exceed 8% of line 20)				
21	Amount of CFFP Proceeds (Sum of lines 2 - 19)				
22	Amount of line 20 Related to LBP Activities				
23	Amount of line 20 Related to Section 504 Compliance				
24	Amount of line 20 Related to Security				
25	Amount of line 20 Related to Energy Conservation Measures				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

Annual Statement /
Performance and Evaluation Report as of 12/31/08
Part II: Supporting Pages
Capital Funds Program: Proposed Loan Funds

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost AS OF 12/31/08		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PA60-1	Loan Expenses							
	Financing Fees & Costs			\$43,821.00	\$0.00	\$0.00	\$0.00	
	Cost of Issuance			\$0.00	\$0.00	\$0.00	\$0.00	
				\$43,821.00	\$0.00	\$0.00	\$0.00	
	1440 SITE ACQUISITION	1440		\$0.00	\$0.00	\$0.00	\$0.00	
	1490 REPLACEMENT RESERVE	1490		\$0.00	\$0.00	\$0.00	\$0.00	
	1499 MOD USED FOR DEVELOPMENT	1499		\$0.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$54,781.00	\$0.00	\$0.00	\$0.00	
	PA60-1	Development #1						
PA60-1	1502 CONTINGENCY	1502						
	Contingency			\$10,960.00	\$0.00	\$0.00	\$0.00	
	Total 1502			\$10,960.00	\$0.00	\$0.00	\$0.00	
PA60-1	1430 Fees and CostS - A&E Fees	1430		\$59,720.00	\$34,422.32	34,422.32	34,422.32	
	1450 Site Improvements	1450						
	MAILBOXES			\$0.00	\$10,242.27	\$10,242.27	\$10,242.27	
	PAVING			\$0.00	\$81,999.00	\$81,999.00	\$81,999.00	
	LANDSCAPING		32 units	\$0.00	\$48,179.62	\$48,179.62	\$48,179.62	
	Total 1450			\$0.00	\$140,420.89	\$140,420.89	\$140,420.89	
	1460 Dwelling Structure	1460						
	REPLACE ALL WALLS AND CEILINGS		32 units	\$243,320.00	\$290,000.00	\$290,000.00	\$290,000.00	
	REPLACE ALL FLOOR COVERING		32 units	\$156,000.00	\$216,000.00	\$216,000.00	\$216,000.00	
	REPLACE BATHROOM FIXTURES, PLUMBING, TILE, ETC.		32 units	\$180,000.00	\$210,000.00	\$210,000.00	\$210,000.00	
	REPLACE KITCHEN CABINETS, FIXTURES, PLUMBING, FLOORING, WALLS, ETC.		32 units	\$192,000.00	\$218,227.32	\$218,227.32	\$218,227.32	
	REPLACE ALL HEATING SYSTEMS		32 units	\$180,000.00	\$0.00	\$0.00	\$0.00	
	REPLACE/UPGRADE ALL ELECTRICAL		32 units	\$28,000.00	\$80,841.19	\$80,841.19	\$80,841.19	
	REPLACE/INSTALL ALL NEW STORM DOORS		32 units	\$36,000.00	\$36,000.00	\$36,000.00	\$36,000.00	
CLEAN APARTMENTS		32 units	\$0.00	\$3,285.00	\$3,285.00	\$3,285.00		
Total 1460			\$1,015,320.00	\$1,054,353.51	\$1,054,353.51	\$1,054,353.51		
1495 RELOCATION COST	1495		\$64,000.00	\$7,044.13	\$7,044.13	\$7,044.13		
Total Cost for PA60-1 Hepburn Acres				\$1,150,000.00	\$1,095,819.96	\$1,095,819.96	\$1,095,819.96	
PA60-1	9000 Collateralization of Debt Service paid Via System of Direct Payment	9000						
	Capitalized Interest			\$43,476.00	\$127,855.81	\$127,855.81	\$127,855.81	
	TOTAL COST			\$1,237,297.00	\$1,364,096.66	\$1,364,096.66	\$1,364,096.66	

**Annual Statement /
Performance and Evaluation Report 12/31/08**
Part III: Implementation Schedule
Capital Fund Program: Proposed Loan Funds

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PA60-1	10/01/07	12/31/08	11/30/08	09/30/09	12/31/08	11/30/08	All tenants will not be relocated by the original obligated date, and the relocation costs will not be known until the final physical move.

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Part I: Summary
Capital Funds Program (CFP)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Grant Type and Number		Federal FY	
HOUSING AUTHORITY OF NORTHUMBERLAND COUNTY 50 Mahoning Street Milton, PA 17847		Capital Fund Program Grant No.: PA26PO60501-05 Replacement Housing Factor Grant:		of Grant 2005	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number #2 <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending _____ <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
AS OF 9/30/08					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$40,000.00	\$50,928.00	\$50,928.00	\$50,928.00
3	1408 Management Improvements	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00
4	1410 Administration	\$21,677.00	\$21,677.00	\$21,677.00	\$21,677.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$11,500.00	\$4,239.00	\$4,239.00	\$4,239.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$128,063.00	\$121,559.70	\$121,559.70	\$121,559.70
11	1465.1 Dwelling Equipment - Nonexpendable	\$6,421.00	\$6,421.00	\$6,421.00	\$6,421.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$12,000.00	\$14,836.43	\$14,836.43	\$14,836.43
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Mod Used for Development Activities				
19	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$66,596.00	\$66,595.87	\$66,595.87	\$66,595.87
20	1502 Contingency				
21	Amount of CFFP Proceeds (Sum of lines 2 - 19)	\$306,257.00	\$306,257.00	\$306,257.00	\$306,257.00
22	Amount of line 20 Related to LBP Activities	\$0	\$0	\$0	\$0
23	Amount of line 20 Related to Section 504 Compliance	\$0	\$0	\$0	\$0
24	Amount of line 20 Related to Security	\$12,000	\$12,000	\$12,000	\$12,000
25	Amount of line 20 Related to Energy Conservation Measures	\$6,421	\$6,421	\$6,421	\$6,421
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			
Edward P. Christinao, Executive Director January 22,2007					

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Grant Type & Number
Capital Fund Program Grant No. **PA26060501-05**
Replacement Housing Factor Grant No.

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$40,000.00	\$50,928.00	\$50,928.00	\$50,928.00	Complete
PHA Wide	1408 Management Improvements	1408		\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	Complete
	Professional Services contractors assistance to HANC Staff in the preparation of the Agency Plan and CFP budgets, updating of administrative and financial operating policies and procedures; providing accounting assistance for the financial administration of the CFP program budget; and providing HANC staff with assistance for marketing and outreach efforts to ensure stable occupancy. Marketing and outreach assistance include preparation of marketing materials, advertisements and participation in various functions targeting low income elderly, handicapped and families.							
PHA Wide	1410 Administration	1410		\$21,677.00	\$21,677.00	\$21,677.00	\$21,677.00	Complete
	Non-Technical salaries & fringes							
PHA Wide	1430 Fees and Cost	1430		\$11,500.00	\$4,239.00	4,239.00	4,239.00	Complete
	A & E Fees							
	SUBTOTAL			\$93,177.00	\$96,844.00	\$96,844.00	\$96,844.00	
PA060-2	1460 Dwelling Structure	1460						
PA060-2	Replace kitchen cabinet doors in 130 units		130 units	\$20,446.00	\$17,609.70	\$17,609.70	\$17,609.70	Complete
PA060-2	Repair walls & ceilings in units		25 units	\$6,250.00	\$6,250.00	\$6,250.00	\$6,250.00	Complete
PA060-2	Replace steel storage doors,vents,hinges & locks		65	\$36,367.00	\$32,700.00	\$32,700.00	\$32,700.00	Complete
PA060-2	Replace carpet, doors, walls & ceilings (From CF 2004)		6 floors	\$65,000.00	\$65,000.00	\$65,000.00	\$65,000.00	Complete
	Total 1460			\$128,063.00	\$121,559.70	\$121,559.70	\$121,559.70	
PA060-1&2	1465.1 Dwelling Equipment	1465.1						
	Refrigerators		17	\$6,421.00	\$6,421.00	\$6,421.00	\$6,421.00	Complete
PA060-2	Install security cameras, lenses,digital recorder	1475	12	\$12,000.00	\$14,836.43	\$14,836.43	\$14,836.43	Complete
PA060-1	9000 Collateralization of debt service paid Via System of Direct Payment	9000		\$66,596.00	\$66,595.87	\$66,595.87	\$66,595.87	Complete
Total Cost for Development				\$306,257.00	\$306,257.00	\$306,257.00	\$306,257.00	

**Annual Statement /
Performance and Evaluation Report**

Part III: Implementation Schedule

Capital Fund Program

Northumberland County Housing Authority

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

FINAL

PA2606050105

FFY 2005

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA - Wide	6/30/2007		9/30/2007	6/30/2009		06/30/08	
PA60-1	6/30/2007		9/30/2007	6/30/2009		09/30/08	
PA60-2a	6/30/2007		9/30/2007	6/30/2009		06/30/08	
PA060-1 and 2	6/30/2007		9/30/2007	6/30/2009		06/30/08	

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Part I: Summary
Capital Funds Program (CFP)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name HOUSING AUTHORITY OF NORTHUMBERLAND COUNTY 50 Mahoning Street Milton, PA 17847		Grant Type and Number Capital Fund Program Grant No.: PA26PO60501-06 Replacement Housing Factor Grant:		Federal FY of Grant 2006	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending _____ <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
AS OF 9/30/08					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$40,000	\$48,689.00	\$48,689.00	\$48,689.00
3	1408 Management Improvements	\$20,000	\$20,411.91	\$20,411.91	\$20,411.91
4	1410 Administration	\$28,902	\$28,497.00	\$28,497.00	\$28,497.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$11,500	\$3,500.00	\$3,500.00	\$3,500.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$43,800	\$48,283.72	\$48,283.72	\$48,283.72
10	1460 Dwelling Structures	\$90,000	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$66,600	\$47,080.00	\$47,080.00	\$47,080.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Mod Used for Development Activities				
19	9000 Collateralization of Debt Service paid Via System of Direct Payment	\$58,222	\$96,922.37	\$96,922.37	\$96,922.37
20	1502 Contingency	\$2,255	\$0.00	\$0.00	\$0.00
21	Amount of CFFP Proceeds (Sum of lines 2 - 19)	\$361,279	\$293,384.00	\$293,384.00	\$293,384.00
22	Amount of line 20 Related to LBP Activities	\$0	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Section 504 Compliance	\$2,750	\$2,750.00	\$2,750.00	\$2,750.00
24	Amount of line 20 Related to Security	\$0	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$66,600	\$66,600.00	\$66,600.00	\$66,600.00
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date Edward P. Christinao, Executive Director September 8, 2007		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Capital Funds Program

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Grant Type & Number
Capital Fund Program Grant No. **PA26P060501-06**
Replacement Housing Factor Grant No.

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (2)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	<u>1406 Operations</u>	1406		\$40,000.00	\$48,689.00	\$48,689.00	\$48,689.00	
PHA Wide	<u>1408 Management Improvements</u>	1408		\$20,000.00	\$20,411.91	\$20,411.91	\$20,411.91	
	Professional Services contractors assistance to HANC Staff in the preparation of the Agency Plan and CFP budgets, updating of administrative and financial operating policies and procedures; providing accounting assistance for the financial administration of the CFP program budget; and providing HANC staff with assistance for marketing and outreach efforts to ensure stable occupancy. Marketing and outreach assistance include preparation of marketing materials, advertisements and participation in various functions targeting low income elderly, handicapped and families.							
PHA Wide	<u>1410 Administration</u>	1410		\$28,902.00	\$28,497.00	\$28,497.00	\$28,497.00	
	Non-Technical salaries and fringe benefits							
PHA Wide	<u>1430 Fees and Cost</u>	1430		\$11,500.00	\$3,500.00	3,500.00	3,500.00	
	A & E Fees							
	SUB TOTAL			\$100,402.00	\$101,097.91	\$101,097.91	\$101,097.91	
PA060-2	<u>1450 Site Improvements</u>	1450						
	Install street light standards, fixtures, wiring		4	\$8,800.00	\$9,818.72	\$9,818.72	\$9,818.72	
PA060-2	Repair sidewalk tripping hazards		5500 sq ft	\$32,250.00	\$35,395.00	\$35,395.00	\$35,395.00	
PA060-2	Replace sidewalks		160 sq ft	\$2,750.00	\$3,070.00	\$3,070.00	\$3,070.00	
	SUB TOTAL	1450		\$43,800.00	\$48,283.72	\$48,283.72	\$48,283.72	
PA060-1	<u>1460 Dwelling Structure</u>	1460						
	Remove & replace Kitchen cabinets		40 units	\$90,000.00	\$0.00	\$0.00	\$0.00	
	SUB TOTAL	1460		\$90,000.00	\$0.00	\$0.00	\$0.00	
PA060-1&2	<u>1465 Dwelling Equipment</u>							
	100 Ranges & 100 Refrigerators	1465.1	200	\$66,600.00	\$47,080.00	\$47,080.00	\$47,080.00	
	SUB TOTAL	1465.1		\$66,600.00	\$47,080.00	\$47,080.00	\$47,080.00	
PA060-1	<u>9000 Collateralization of debt service paid via system of direct payment</u>	9000		\$58,222.00	\$96,922.37	\$96,922.37	\$96,922.37	
HA Wide	<u>1502Contingency</u>	1502	Part II Supporting Pages	\$2,255.00	\$0.00	\$0.00	\$0.00	Funds used for non-technical salaries and fringe benefits in 1410 Administration account

Annual Statement / Performance and Evaluation Report Part II: Supporting Pages Capital Funds Program				U.S. Department of Housing and Urban Development Office of Public and Indian Housing			Grant Type & Number <i>Capital Fund Program Grant No. PA26P060501-06</i> <i>Replacement Housing Factor Grant No.</i>		<small>OMB Approval 2577-0157 (Exp. 3/31/2002)</small>
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)	
				Original	Revised (2)	Funds Obligated (2)	Funds Expended (2)		
	Total Cost for Development			\$361,279.00	\$293,384.00	\$293,384.00	\$293,384.00		

**Annual Statement /
Performance and Evaluation Report**
Part III: Implementation Schedule
Capital Fund Program
Northumberland County Housing Authority

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

FINAL
PA26P060501-06
FFY 2006

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA - Wide	6/30/08	9/30/08	9/30/08	6/30/2011	9/30/08	9/30/08	
PA60-1	6/30/2008	9/30/08	9/30/08	6/30/2011	9/30/08	9/30/08	
PA60-2	6/30/2008	9/30/08	9/30/08	6/30/2011	9/30/08	9/30/08	

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement /
Performance and Evaluation Report
Part II: Supporting Pages
Capital Funds Program

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Grant Type & Number
Capital Fund Program Grant No. PA26P060501-07
Replacement Housing Factor Grant No.
OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$40,000.00	\$40,000.00	\$28,184.96	\$28,184.96	
PHA Wide	1408 Management Improvements	1408		\$20,000.00	\$20,000.00	\$5,949.39	\$5,949.39	
	Professional Services contractors assistance to HANC Staff in the preparation of the Agency Plan and CFP budgets, updating of administrative and financial operating policies and procedures; providing accounting assistance for the financial administration of the CFP program budget; and providing HANC staff with assistance for marketing and outreach efforts to ensure stable occupancy. Marketing and outreach assistance include preparation of marketing materials, advertisements and participation in various functions targeting low income elderly, handicapped and families.							
PHA Wide	1410 Administration	1410		\$28,902.00	\$28,947.00	\$28,947.00	\$28,947.00	
	Non-Technical Salaries and Fringe Benefits							
PHA Wide	1430 Fees and Cost	1430		\$11,500.00	\$4,000.00	4,000.00	3,379.40	
	A & E Fees							
	SUB TOTAL			\$100,402.00	\$92,947.00	\$67,081.35	\$66,460.75	
PA060-2	1450 Site Improvements	1450						
	Landscape development with trees & shrubs			\$15,000.00	\$3,964.00	\$3,964.00	\$3,964.00	
PA060-2	1460 Dwelling Structure	1460						
PA060-2	Remove & replace existing roofing shingles & rotted sheeting (226 squares), fascia (2,280 lf), soffit (1,545 lf), gutter (1,185 lf) and downspouts (800 lf)		8 buildings	\$104,500.00	\$96,725.00	\$73,900.48	\$0.00	
PA060-2	Remove & replace aluminum siding (47 sq), cover window frames with aluminum (120 windows) and install new shutters (58 pair)		8 buildings	\$42,350.00	\$0.00	\$0.00	\$0.00	
	SUB TOTAL	1460		\$146,850.00	\$96,725.00	\$73,900.48	\$0.00	
PA060-1	9000 Collateralization of debt service paid Via System of Direct Payment	9000		\$95,838.00	\$95,838.00	\$95,838.00	\$25,591.19	

Annual Statement / Performance and Evaluation Report Part II: Supporting Pages Capital Funds Program			U.S. Department of Housing and Urban Development Office of Public and Indian Housing			Grant Type & Number Capital Fund Program Grant No. PA26P060501-07 Replacement Housing Factor Grant No. OMB Approval 2577-0157 (Exp. 3/31/2002)		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
HA Wide	1502Contingency	1502		\$3,189.00	\$0.00			
	Total Cost for Development			\$361,279.00	\$289,474.00	\$0.00	\$0.00	

**Annual Statement /
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program
Northumberland County Housing Authority**

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

**Revised budget #1
PA26P060501-07
FFY 2007**

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
HA - Wide	06/30/09			06/30/11			
PA60-1	06/30/09			06/30/11			
PA60-2	06/30/09			06/30/11			

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement / Performance and Evaluation Report

Part I: Summary
Capital Funds Program (CFP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name	Grant Type and Number	Federal FY
Housing Authority of Northumberland County 50 Mahoning Street Milton, PA 17847	Capital Fund Program Grant No: PA26PO60501-08	of Grant 2008

Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number #
 Performance and Evaluation Report for Program Year Ending-----
 Final Performance and Evaluation Report
 AS OF 9/30/08

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$40,000	\$40,000	\$0	\$0
3	1408 Management Improvements (May not exceed 20% of line 20)	\$16,400	\$18,000	\$0	\$0
4	1410 Administration (May not exceed 10% of line 20)	\$20,146	\$29,208	\$29,208	\$4,421
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$14,903	\$4,000	\$0	\$0
8	1440 Site Acquisition		\$0	\$0	\$0
9	1450 Site Improvement	\$10,012	\$0	\$0	\$0
10	1460 Dwelling Structures	\$163,980	\$104,011	\$0	\$0
11	1465.1 Dwelling Equipment - Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Mod Used for Development Activities	\$0	\$0	\$0	\$0
19	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$95,838	\$96,862	\$0	\$0
20	1502 Contingency (may not exceed 8% of line 20)		\$0	\$0	\$0
21	Amount of Capital Fund Grant (Sum of lines 2 - 20)	\$361,279.00	\$292,081.00	\$29,208.00	\$4,421.13
22	Amount of line 20 Related to LBP Activities				
23	Amount of line 20 Related to Section 504 Compliance				
24	Amount of line 20 Related to Security				
25	Amount of line 20 Related to Energy Conservation Measures	\$11,000	\$11,000		

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: Proposed Loan Funds

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Grant Type & Number
Capital Fund Program Grant No.
PA26PO6050108

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$40,000.00	\$40,000.00	\$0.00	\$0.00	
PHA Wide	1408 Management Improvements the preparation of the Agency Plan and CFP budgets, updating of administrative and financial operating policies and procedures; providing accounting assistance for the financial administration of the CFP program budget; and providing HANC staff with assistance for marketing and outreach efforts to ensure stable occupancy. Marketing and outreach assistance include preparation of marketing materials, advertisements and participation in various functions targeting low income elderly, handicapped and families.	1408		\$16,400.00	\$18,000.00	\$0.00	\$0.00	
PHA Wide	1410 Administration Non-technical salaries and fringes	1410		\$20,146.00	\$29,208.00	\$29,208.00	\$4,421.13	
PHA Wide	1411 Audits	1411		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1415 Liquidated Damages	1415		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1430 Fees and Cost A & E Fees	1430		\$14,903.00	\$4,000.00	\$0.00	\$0.00	
	Total 1430			\$14,903.00	\$4,000.00	\$0.00	\$0.00	
PHA Wide	1440 SITE ACQUISITION	1440		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1490 REPLACEMENT RESERVE	1490		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1499 MOD USED FOR DEVELOPMENT	1499		\$0.00	\$0.00	\$0.00	\$0.00	
PA060-1	9000 Collateralization of debt service paid Via System of Direct Payment	9000		\$95,838.00	\$96,862.00	\$0.00	\$0.00	
	Total 1501			\$95,838.00	\$96,862.00	\$0.00	\$0.00	
					\$0	\$0	\$0	
PA060-01	1450 Site Improvements Remove 5 diseased trees, plant new trees, shrubs, flowers	1450		\$10,012.00	\$0.00	\$0.00	\$0.00	
PA60-2	1460 Dwelling Structure Remove and replace bathroom fixtures, commodes, tubs/showers, vanities, light fixtures, and floors	1460	20	\$143,980.00	\$104,011.00			
	Repair walls and ceilings		67,500 sq ft	\$20,000.00				
	Total 1460			\$163,980.00	\$104,011.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
				\$173,992.00	\$104,011.00	\$0.00	\$0.00	

**Annual Statement /
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program: Proposed Loan Funds
Northumberland County Housing Authority**

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

**PA26PO6050108
FFY 2008**

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PHA-Wide	06/30/09			06/30/11			
PA60-01	06/30/09			06/30/11			

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Part I: Summary	
PHA Name: Housing Authority of Northumberland County	Grant Type and Number Capital Fund Program Grant No: PA26P06050109 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant: 2009 FFY of Grant Approval: 2009	

Type of Grant
 Original Annual Statement **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no: _____)**
 Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$40,000			
3	1408 Management Improvements	\$20,000			
4	1410 Administration (may not exceed 10% of line 21)	\$28,902			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$11,500			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$116,795			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$48,012			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of Northumberland County	Grant Type and Number Capital Fund Program Grant No: PA26P06050109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2009 FFY of Grant Approval: 2009			
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$96,070			
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$361,279			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	\$105,000			
Signature of Executive Director Edward P. Christiano			Signature of Public Housing Director		Date
Date					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of Northumberland County			Grant Type and Number Capital Fund Program Grant No: PA26P06050109 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	1406 Operations	1406		\$40,000	0	0	0	
PHA Wide	1408 Management Improvements Professional Services contractors assistance to HANC Staff in the preparation of the Agency Plan and CFP budgets, updating of administrative and financial operating policies and procedures; providing accounting assistance for the financial administration of the CFP program budget; and providing HANC staff with assistance for marketing and outreach efforts to ensure stable occupancy. Marketing and outreach assistance include preparation of marketing materials, advertisements and participation in various functions targeting low income elderly, handicapped and families.	1408		\$20,000	0	0	0	
PHA Wide	1410 Administration Non-Technical salaries and fringe benefits	1410		\$28,902	0	0	0	
PHA Wide	1430 Fees and Cost A & E Fees	1410		\$11,500	0	0	0	
PA060-01	9000 Collateralization of Debt Service paid Via System of Direct Payment	9000		\$96,070	0	0	0	
PA060-2	1460 Dwelling Structures Replace 6 Boilers with new energy efficient gas boilers, circulators, zone	1460	6	\$105,000	0	0	0	

