

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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DRAFT # 4

1.0	PHA Information PHA Name: HOUSING AUTHORITY OF THE COUNTY OF DAUPHIN PHA Code: PA 035 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 07/2009				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 749 Number of HCV units: 1,062				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: 1. Eligibility, Selection, Admissions Policies – HACD has amended its S-8 Admin Plan to select applicants for placement on the waiting list by a lottery or random selection method. HACD has amended the S-8 Admin Plan and the P.H. ACOP to provide for denial of assistance and termination of assistance where a member of the household is subject to a registration requirement under a Federal or State Sex Offender Registration Program. (Previously both documents only denied/terminated assistance to households where a member was subject to a <u>lifetime</u> registration). With regard to shared custody, for HACD purposes, language in the S-8 Admin Plan will be changed to indicate that only one parent can be designated as the primary custodian of the child, which is the parent with whom the child lives most of the time. 2. Financial Resources – Continuously changing. The financial resources table is a Supporting Document to the Annual Plan 3. Rent Determinations – No changes 4. Operations and Management – HACD adopted a revised Procurement Policy to incorporate procurement practices authorized by ARRA. The Policy is a Supporting Document to the Annual Plan. 5. Grievance Procedures – No changes 6. Designated Housing for Elderly and Disabled Families – On January 28, 2009, the HACD received HUD approval for the designation of Hoy Towers as elderly-only for a five-year period. HACD may consider applying for the designation of Bistline House and Latsha Towers as elderly-only housing. Details are provided in the Designated Housing Supporting Document to the Annual Plan. 7. Community Service and Self-Sufficiency – No changes 8. Safety and Crime Prevention – No changes				

	<p>9. Pets – Added the new language related to the Final Rule on Pet Ownership in the 10/27/2008 <i>Federal Register</i> related to service animals, support animals, assistance animals, or therapy animals that are used to assist persons with disabilities. The revised Pet Policy is a Supporting Document available for review.</p> <p>10. Civil Rights Certification – No changes</p> <p>11. Fiscal Year Audit – No changes</p> <p>12. Asset Management – No changes</p> <p>13. Violence Against Women Act (VAWA) – No changes</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Copies at the central office and the regional office at Laurel Hill, Autumn Drive and Maple Lane, Williamstown PA 17098.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>HOPE VI</i> – Not Applicable</p> <p><i>Mixed-Finance Modernization or Development</i> – If circumstances warrant, HACD may become involved in mixed-finance development</p> <p><i>Demolition and/or Disposition</i> – HACD may consider applying for disposition of two units at PA 35-21, Scattered Sites. Details are in a Supporting Document available for review.</p> <p><i>Conversion of Public Housing</i> – Not Applicable</p> <p><i>Section 8 Homeownership Program</i> – HACD is administering a Section 8 Homeownership Program. Details are in a Supporting Document available for review.</p> <p><i>Public Housing Homeownership Program</i> – HACD is administering a Public Housing Homeownership Program. Details are in a Supporting Document available for review.</p> <p><i>Project-Based Vouchers</i> – HACD intends to advertise the availability of Section 8 tenant-based vouchers to be allocated to project-based assistance upon receipt of HUD approval. The Housing Authority will be considering both new construction and existing developments. Further information is in a Supporting Document available for review.</p> <p><i>Other</i> – HACD may apply for new programs or incremental units if NOFAs are issued by HUD or other appropriate opportunities are presented. HACD may convert additional 0-bedroom units into 1- and 2-bedroom units to increase the marketability of the units contingent upon the availability of CFP funds.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment A – FY 2009 Capital Fund Program Annual Statement Attachment B – FY 2008 Capital Fund Program Performance and Evaluation Report Attachment C – FY 2007 Capital Fund Program Performance and Evaluation Report Attachment D – FY 2006 Capital Fund Program Performance and Evaluation Report Attachment E – FY 2009 ARRA Capital Fund Program Annual Statement</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment F – Capital Fund Program Five-Year Action Plan</p>

8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>Not applicable this fiscal year</p>
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9.0 **Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	7,179	4	5	4	4	3	3
Income >30% but <=50% of AMI	5,841	3	3	3	4	3	2
Income >50% but <80% of AMI	8,669	2	3	2	4	3	1
Elderly	6,354	4	3	3	4	3	3
Families with Disabilities	3,445	4	4	3	5	3	4
Race/Ethnicity-Black	8,985	4	3	3	4	3	3
Race/Ethnicity-Hispanic	2,077	4	3	3	4	3	3
Race/Ethnicity-							
Race/Ethnicity-							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset (2000)
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List- Public Housing			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	3,080		60 units
Extremely low income <=30% AMI	2,061	67%	
Very low income (>30% but <=50% AMI)	641	21%	
Low income (>50% but <80% AMI)	344	12%	
Families with children	1,421	46%	
Elderly families	133	5%	
Families with Disabilities	680	22%	
Race/ethnicity-White	1,427	47%	
Race/ethnicity-Black	1,589	52%	
Race/ethnicity-Hispanic			
Race/ethnicity-Native American	22	1%	
Race/ethnicity-Asian/Pacific Is.	46	2%	
Characteristics by Bedroom Size (Public Housing Only)			
0BR	657	21%	11 units
1BR	752	24%	30 units
2 BR	1,031	33 %	12 units
3 BR	530	16 %	6 units
4 BR	72	4%	1 units
5 BR	38	2%	0 units
6 BR	0	0	0 units
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes *			
If yes: How long has it been closed (# of months)? 15 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

* **The waiting list is closed except for 0-, 1- and 5 bedroom units**

Housing Needs of Families on the Waiting List- Section 8			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2,084		125 vouchers
Extremely low income <=30% AMI	1,310	63%	
Very low income (>30% but <=50% AMI)	543	26%	
Low income (>50% but <80% AMI)	221	11%	
Families with children	1,417	68%	
Elderly families	91	5%	
Families with Disabilities	206	10%	
Race/ethnicity-White	871	42%	
Race/ethnicity-Black	1,178	57%	
Race/ethnicity-Hispanic			
Race/ethnicity-Native American	13	1%	
Race/ethnicity-Asian/Pacific Is.	18	1%	
Characteristics by Bedroom Size (Public Housing Only)			
	NA	NA	NA
1BR			

2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes If yes: How long has it been closed (# of months)? 15 months Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>To address the housing needs of families in the jurisdiction and on the waiting lists, some of the strategies the Housing Authority of the County of Dauphin will strive for include the following:</p> <p>Reduce turnaround time for vacant units Apply for additional section 8 units should they become available Pursue housing resources other than public housing or Section 8 tenant-based assistance should the opportunities arise</p> <p>Funding and staffing constraints as well as consultation with the Consolidated Plan Agency and the RAB will impact some of the above activities.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The Housing Authority of the County of Dauphin has achieved most of the goals and objectives that were established for the period 2005 through 2009, while others are ongoing. HACD Board and staff continue the ongoing mission of the agency: <i>The mission of the Housing Authority of the County of Dauphin is to provide eligible families with safe, decent, and affordable housing opportunities as they strive to achieve self-sufficiency and improve the quality of their lives. Furthermore, the Housing Authority will endeavor to provide accessible facilities to persons with disabilities. The Housing Authority is committed to operating in an efficient, ethical, and professional manner as well as creating and maintaining partnerships with its clients and appropriate community agencies.</i> HACD serves in a leadership role in the effort to make quality affordable housing available for low-income members of our community.</p> <p>A complete update for each goal and objective has been completed and is a Supporting Document available for review.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>The Housing Authority of the County of Dauphin has adopted a definition of substantial deviation and significant amendment or modification. That definition is:</p> <p>A. Substantial Deviation from the 5-year Plan:</p> <p>A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.</p> <p>B. Significant Amendment or Modification to the Annual Plan:</p> <p>Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.</p>

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. See Attachment G – Resident Advisory Board Comments & Housing Authority Responses (g) Challenged Elements – See Attachment H – Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
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PHA Name	Housing Authority of the County of Dauphin		
CFP Grant No	PA26PO3550108		
Date of CFFP	N/A		
RHF Grant No			
FFY of Grant		39722.00	
FFY of Grant Approval		10/1/2008	
Original Annual Statement			
P & E Report	x		
P & E Report Period Ending		12/31/2008	
Reserve for Disasters/Emergencies			
Revised Annual Statement	x		Revision No 1
Final P & E Report			

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550109 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2009 FFY of Grant Approval: 10/1/2009
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	82,000.00			
4	1410 Administration (may not exceed 10% of line 21)	95,977.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	85,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	317,000.00			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures	28,764.00			
13	1475 Non-dwelling Equipment	18,000.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	3,000.00			
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	330,000.00			
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	959,741.00			
21	Amount of line 20 Related to LBP Activities	0.00			
22	Amount of line 20 Related to Section 504 Activities	0.00			
23	Amount of line 20 Related to Security - Soft Costs	0.00			
24	Amount of line 20 Related to Security - Hart Costs	0.00			
25	Amount of line 20 Related to Energy Conservation Measures	0.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550109 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2009 FFY of Grant Approval: 10/1/2009
Type of Grant				
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)				
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director <i>Charles A. Senick</i>		Date <i>04-03-2009</i>	Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550109			CFFP (Yes/No): No		10/1/2009	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #1	Parge Foundation Walls	1460	2500 SqFt	5,000				
PA035000001	Purchase Vehicle	1475	1	18,000				
DEV 001	Salaries	1410		2,784				
	Benefits	1410		801				
	Overhead	1410		255				
	Management Improvements	1408		160				
AMP #2	Design and Inspection	1430	1	28,300				
PA035000002	Salaries	1410		3,480				
DEV 011	Benefits	1410		1,000				
	Overhead	1410		318				
	Management Improvements	1408		200				
AMP #3	Parge Foundation Walls	1460	6000 Sq Ft	16,570				
PA035000003	Salaries	1410		2,088				
DEV 003	Benefits	1410		600				
	Overhead	1410		191				
	Management Improvements	1408		120				
	Debt Service	9000		330,000				

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Daupin		Capital Fund Program Grant No: PA26PO3550109			CFFP (Yes/No): No		10/1/2009	
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 5	Efficiency Apt Reconfiguration	1460	10	103,000				
PA035000005	Upgrade Ventilation System	1460	1	15,000				
DEV 005	Repair Roof	1460	1300 Sq Ft	5,000				
	Replace Carpeting	1460	1000 Sq Ft	4,000				
	Relocation	1495	12	3,000				
	Salaries	1410		21,993				
	Benefits	1410		6,592				
	Overhead	1410		2,167				
	Management Improvements	1408		1,310				
AMP 6	Remodel Bathrooms	1460	50	113,500				
PA035000006	Refurbish Storage Sheds	1470	43	28,764				
DEV 006	Salaries	1410		19,650				
	Benefits	1410		5,363				
	Overhead	1410		1,664				
	Management Improvements	1408		1,110				

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26P03550109 CFFP (Yes/No): No Replacement Housing Factor Grant No:					Federal FFY of Grant: 10/1/2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #8	Design and Inspection	1430	1	28,400				
PA035000008	Salaries	1410		3,480				
DEV 009	Benefits	1410		1,000				
	Overhead	1410		318				
	Management Improvements	1408		200				
AMP #10	Design and Inspection	1430	1	28,300				
PA035000010	Salaries	1410		3,480				
DEV 010	Benefits	1410		1,000				
	Overhead	1410		318				
	Management Improvements	1408		200				
AMP #10	Re-Surface Building Exterior	1460	15000 Sq Ft	54,930				
PA03500010	Salaries	1410		12,634				
DEV 023	Benefits	1410		3,664				
	Overhead	1410		1,137				
	Management Improvements	1408		700				
Central Office	Computer Hardware & Software	1408		78,000				

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² To be completed for the Performance and Evaluation Report.

PHA Name	Housing Authority of the County of Dauphin		
CFP Grant No	PA26PO3550108		
Date of CFFP	N/A		
RHF Grant No			
FFY of Grant		39722.00	
FFY of Grant Approval		10/1/2008	
Original Annual Statement			
P & E Report	x		
P & E Report Period Ending		12/31/2008	
Reserve for Disasters/Emergencies			
Revised Annual Statement	x		Revision No 1
Final P & E Report			

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550108 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2008 FFY of Grant Approval: 10/1/2008
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	5,400	3,892	3,892	
4	1410 Administration (may not exceed 10% of line 21)	95,332	95,974	95,332	5,171
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		15,544	10,679	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	529,009	514,331	413,200	114,817
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	330,000	330,000	330,000	
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	959,741	959,741	853,103	119,988
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

¹ To be completed for the Performance and Evaluation Report.

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³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550108 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2008 FFY of Grant Approval: 10/1/2008
Type of Grant				
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated
				Expended
Signature of Executive Director <i>Charles A. Bennett</i>		Date <i>04-09-2009</i>	Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550108		CFFP (Yes/No): No		10/1/2008		
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #2	Replace Emergency Generator	1460	1	62,140	90,000	90,000		Underway
PA035000011	Architectural & Engineering	1430			5,181	649		Underway
DEV 011	Salaries	1410		11,831	11,915	11,407		Underway
	Benefits	1410		3,403	3,426	3,426		Underway
	Overhead	1410		972	979	979		Underway
	Management Improvements	1408		918	662	662		Underway
AMP #3	Roof Repairs	1460	1000 Sq Ft	6,800	6,800	6,800	6,800	Complete
PA065000003	Salaries	1410		1,871	1,904	1,904		Underway
DEV 003	Benefits	1410		401	411	411		Underway
	Overhead	1410		100	103	103		Underway
	Management Improvements	1408		378	272	272		Underway
	Debt Service	9000		330,000	330,000	330,000		Underway
AMP #8	Replace Carpeting	1460	3600 Sq Ft	24,000	18,000			Bidding
PA035000008	Salaries	1410		2,088	2,102	2,088		Underway
DEV 008	Benefits	1410		600	605	600		Underway
	Overhead	1410		172	173	172		Underway
	Management Improvements	1408		162	117	117		Underway

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number				Federal FFY of Grant:		
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550108		CFFP (Yes/No): No		10/1/2008		
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #4	Replace Hot Water System	1460	1	117,000	108,808	107,400	73,017	Underway
PA035000004	Replace Carpeting	1460	6000 Sq Ft	25,000	23,000			Bidding
DEV 004	Salaries	1410		18,094	18,204	18,204	1,507	Underway
	Benefits	1410		5,205	5,240	5,240	752	Underway
	Overhead	1410		1,487	1,497	1,497		Underway
	Management Improvements	1408		1,404	1,012	1,012		Underway
AMP #5	Upgrade Elevators	1460	2	81,000				Deleted
PA035000005								
DEV 005								
AMP #7	Replace Carpeting	1460	5000 Sq Ft	25,000	23,000			Bidding
PA035000007	Salaries	1410		2,784	2,802	2,802		Underway
DEV 007	Benefits	1410		800	806	806		Underway
	Overhead	1410		229	230	230		Underway
	Management Improvements	1408		216	155	155		Underway

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550108			CFFP (Yes/No): No		10/1/2008	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #8	Replace Generator	1460	1	62,140	87,000	87,000		Underway
PA035000009	Architectural & Engineering	1430			5,181	5,181		Underway
DEV 009	Salaries	1410		11,831	11,915	11,831		Underway
	Benefits	1410		3,403	3,426	3,403		Underway
	Overhead	1410		972	979	972		Underway
	Management Improvements	1408		918	662	662		Underway
AMP #10	Replace Generator	1460	1	62,140	87,000	87,000		Underway
PA035000010	Repair Roof	1460	7000 Sq Ft	35,000	35,000	35,000	35,000	Complete
DEV 010	Architectural & Engineering	1430			5,182	4,849		Underway
	Salaries	1410		16,223	16,316	16,316	1,219	Underway
	Benefits	1410		4,803	4,829	4,829	598	Underway
	Overhead	1410		1,386	1,394	1,394		Underway
	Management Improvements	1408		1,026	740	740		Underway
DEV 023	Hallway HVAC	1460		28,789	35,723			Planning
	Salaries	1410		4,871	4,904	4,904		Underway
	Benefits	1410		1,401	1,411	1,411		Underway
	Overhead	1410		405	403	403		Underway
	Managements Improvements	1408		378	272	272		Underway

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

PHA Name	Housing Authority of the County of Dauphin		
CFP Grant No	PA26PO3550107		
Date of CFFP	NO		
RHF Grant No			
FFY of Grant		39356.00	
FFY of Grant Approval		10/1/2007	
Original Annual Statement			
P & E Report	x		
P & E Report Period Ending		12/31/2008	
Reserve for Disasters/Emergencies			
Revised Annual Statement	x		Revision No 2
Final P & E Report			

Part I: Summary		
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550107 Date of CFFP: NO Replacement Housing Factor Grant No:	FFY of Grant: 10/1/2007 FFY of Grant Approval: 10/1/2007

Type of Grant			
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008	<input type="checkbox"/> Final Performance and Evaluation Report		

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	5,300	3,954	3,954	3,954
4	1410 Administration (may not exceed 10% of line 21)	92,560	92,669	92,669	22,694
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	15,544	25,412	25,412	13,657
8	1440 Site Acquisition				
9	1450 Site Improvement	32,057	32,057	32,057	32,057
10	1460 Dwelling Structures	477,860	469,338	469,338	469,338
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	330,000	329,891	329,891	329,891
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	953,321	953,321	953,321	871,591
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	40,310	32,919	32,919	32,919
25	Amount of line 20 Related to Energy Conservation Measures	65,700	70,947	70,947	70,947

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550107 Date of CFFP: NO Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2007 FFY of Grant Approval: 10/1/2007
Type of Grant				
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2)
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated
				Expended
Signature of Executive Director <i>Charles D. Bennek</i>		Date <i>04-03-2009</i>	Signature of Public Housing Director 	
			Date 	

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550107			CFFP (Yes/No): NO		10/1/2007	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #3	Retaining Walls & Fencing	1450	793LF	32,057	32,057	32,057	32,057	Complete
PA035000003	Remodel Bathrooms	1460	14	33,282	32,918	32,918	32,918	Complete
DEV 003	Remodel Kitchens	1460	14	31,795	29,268	29,268	29,268	Complete
	Porch Roofs	1460	14	10,647	9,800	9,800	9,800	Complete
	Exterior Improvements	1460	14	33,223	30,583	30,583	30,583	Complete
	Plumbing Improvements	1460	14	108,772	108,772	108,772	108,772	Complete
	Electrical Improvements	1460	14	5,199	5,199	5,199	5,199	Complete
	Salaries	1410		32,508	32,516	32,516	7,769	Complete
	Benefits	1410		9,254	9,254	9,254	532	Complete
	Overhead	1410		2,667	2,711	2,711	2,592	Complete
	Management Improvements	1408		1,767	1,318	1,318	1,318	Complete
	Debt Service	9000		330,000	329,891	329,891	329,891	Complete
AMP #5	Replace Central AC Chiller	1460	1	148,932	148,932	148,932	148,932	Complete
PA035000005	CCTV Security System	1460	1	40,310	32,919	32,919	32,919	Complete
DEV 005	Salaries	1410		25,058	25,064	25,064	5,988	Underway
	Benefits	1410		7,134	7,134	7,134	409	Underway
	Overhead	1410		2,056	2,089	2,089	1,998	Underway
	Management Improvements	1408		1,767	1,318	1,318	1,318	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550107			CFFP (Yes/No): NO		10/1/2007	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #7	Replace Domestic Hot Water System	1460	1	65,700	70,947	70,947	70,947	Complete
PA035000007	Salaries	1410		10,158	10,162	10,162	2,428	Underway
DEV 007	Benefits	1410		2,892	2,892	2,892	167	Underway
	Overhead	1410		833	847	847	811	Underway
	Management Improvements	1408		1,766	1,318	1,318	1,318	Complete
AMP #8	Architectural & Engineering	1430		5,182	8,471	8,471	4,552	Underway
PA035000008								
DEV 009								
AMP #10	Architectural & Engineering	1430		5,181	8,471	8,471	4,552	Underway
PA035000010								
DEV 010								
AMP #2	Architectural & Engineering	1430		5,181	8,470	8,470	4,553	Underway
PA035000002								
DEV 011								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

PHA Name
CFP Grant No
Date of CFFP
RHF Grant No
FFY of Grant
FFY of Grant Approval
Original Annual Statement
P & E Report
P & E Report Period Ending
Reserve for Disasters/Emergencies
Revised Annual Statement
Final P & E Report

Revision No

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550106 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2006 FFY of Grant Approval: 10/1/2006
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input checked="" type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	4,186	4,186	4,186	4,186
4	1410 Administration (may not exceed 10% of line 21)	90,171	90,171	90,171	90,171
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	57,300	57,300	57,300	57,300
8	1440 Site Acquisition				
9	1450 Site Improvement	86,471	86,471	86,471	86,471
10	1460 Dwelling Structures	384,968	384,968	384,968	384,968
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	329,891	329,891	329,891	329,891
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	952,987	952,987	952,987	952,987
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550106 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2006 FFY of Grant Approval: 10/1/2006
Type of Grant				
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4)
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input checked="" type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated
				Expended
Signature of Executive Director <i>Charles A. Senick</i>		Date <i>04-03-2009</i>	Signature of Public Housing Director 	
			Date 	

Part II: Supporting Pages								
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550106 CFFP (Yes/No): No Replacement Housing Factor Grant No:					Federal FFY of Grant: 10/1/2006	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #3	Retaining Walls & Fencing	1450	1875 LF	86,471	86,471	86,471	86,471	Complete
PA035000003	Remodel Bathrooms	1460	14	50,345	50,345	50,345	50,345	Complete
DEV 003	Remodel Kitchens	1460	14	78,348	78,348	78,348	78,348	Complete
	Porch Roofs	1460	14	16,106	16,106	16,106	16,106	Complete
	Exterior Improvements	1460	14	72,945	72,945	72,945	72,945	Complete
	Plumbing Improvements	1460	14	92,569	92,569	92,569	92,569	Complete
	Electrical Improvements	1460	14	54,044	54,044	54,044	54,044	Complete
	Asbestos Removal	1460	736 LF	20,611	20,611	20,611	20,611	Complete
	Design & Inspection	1430		57,300	57,300	57,300	57,300	Complete
	Salaries	1410		65,854	74,714	74,714	74,714	Complete
	Benefits	1410		18,913	11,829	11,829	11,829	Complete
	Overhead	1410		5,404	3,628	3,628	3,628	Complete
	Management Improvements	1408		4,186	4,186	4,186	4,186	Complete
	Debt Service	9000		329,891	329,891	329,891	329,891	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

PHA Name	Housing Authority of the County of Dauphin		
CFP Grant No	PA26PO3550108		
Date of CFFP	N/A		
RHF Grant No			
FFY of Grant		39722.00	
FFY of Grant Approval		10/1/2008	
Original Annual Statement			
P & E Report	x		
P & E Report Period Ending		12/31/2008	
Reserve for Disasters/Emergencies			
Revised Annual Statement	x		Revision No 1
Final P & E Report			

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26SO3550109 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 2009 ARRA FFY of Grant Approval: 2009 ARRA
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	1,109,842.00			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	30,000.00			
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	1,214,842.00			
21	Amount of line 20 Related to LBP Activities	0.00			
22	Amount of line 20 Related to Section 504 Activities	0.00			
23	Amount of line 20 Related to Security - Soft Costs	0.00			
24	Amount of line 20 Related to Security - Hart Costs	0.00			
25	Amount of line 20 Related to Energy Conservation Measures	0.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26SO3550109 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 2009 ARRA FFY of Grant Approval: 2009 ARRA
Type of Grant				
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)				
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated
				Expended
Signature of Executive Director <i>Charles D. Bank</i>		Date <i>03-20-09</i>		Signature of Public Housing Director
				Date

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26SO3550109			CFFP (Yes/No): No		2009 ARRA	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #2	Expand Sprinkler System	1460	40 Apartments	95,000				
PA035000002	Design and Inspection	1430		2,000				
DEV 011								
AMP #5	Efficiency Apartment Reconfiguration	1460	64 Apartments	704,842				
PA035000005	Ventilation System Upgrade	1460	1	70,000				
DEV 005	Roof Repairs	1460	8000 Sq Ft	30,000				
	Install Carpeting	1460	7,000 Sq Ft	20,000				
	Relocation	1495	64 Apartments	30,000				
	Design and Inspection	1430		69,000				
AMP #8	Expand Sprinkler System	1460	40 Apartments	95,000				
PA035000008	Design and Inspection	1430		2,000				
DEV 009								
AMP #10	Expand Sprinkler System	1460	40 Apartments	95,000				
PA035000010	Design and Inspection	1430		2,000				
DEV 011								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Enter applicable data in the highlighted fields. After entering the data go to Page 1, the tab is at the bottom, you will see that the data you entered here has been prefilled. Also notice that some of the fields have a red mark in the right hand corner, these are comments pertaining to data entry. This is a protected document to ensure that the formula and cell references are not modified.

PHA Name/Number	Dauphin County Housing Authority
Locality (City/County & State)	Dauphin County , Pennsylvania
Original	x
Revision	Revision No.
Development Number and Name	AMP 1 thru 10, COCC
Year 1 - FFY	2009 Non-CFP Funds
Year 2 - FFY	2010 Non-CFP Funds
Year 3 - FFY	2011 Non-CFP Funds
Year 4 - FFY	2012 Non-CFP Funds
Year 5 - FFY	2013 Non-CFP Funds

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number Dauphin County Housing Authority		Locality (City/County & State) Dauphin County , Pennsylvania			<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name AMP 1 thru 10, COCC	Work Statement for Year 1 FFY <u>2009</u>	Work Statement for Year 2 FFY <u>2010</u>	Work Statement for Year 3 FFY <u>2011</u>	Work Statement for Year 4 FFY <u>2012</u>	Work Statement for Year 5 FFY <u>2013</u>
B.	Physical Improvements Subtotal	Annual Statement	530,264	527,964	530,264	265,000
C.	Management Improvements		3,500	5,800	3,500	6,000
D.	PHA-Wide Non-dwelling Structures and Equipment					262,764
E.	Administration		95,977	95,977	95,977	95,977
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		330,000	330,000	330,000	330,000
K.	Total CFP Funds		959,741	959,741	959,741	959,741
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		959,741	959,741	959,741	959,741

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number Dauphin County Housing Authority		Locality (City/County & State) Dauphin County , Pennsylvania			<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name AMP 1 thru 10, COCC	Work Statement for Year 1 FFY <u>2009</u>	Work Statement for Year 2 FFY <u>2010</u>	Work Statement for Year 3 FFY <u>2011</u>	Work Statement for Year 4 FFY <u>2012</u>	Work Statement for Year 5 FFY <u>2013</u>
B.		Annual Statement				
C.	Central Office		4,207	6,507	4,307	237,149
D.	PA035000002		94,300	62,484	353,295	
E.	PA035000003		330,000	330,000	330,000	330,000
F.	PA035000004				29,760	312,488
G.	PA035000005			106,200	148,104	
H.	PA035000006					
I.	PA035000007			106,200		
J.	PA035000008		436,934		94,275	
K.	PA035000009					21,564
L.	PA035000010		94,300	348,350		58,540
M.	Total		959,741	959,741	959,741	959,741

Attachment G

Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2009 – 06/30/2010

Resident Comments and Housing Authority Response

Comment 1 – A resident asked if the reconfiguration of apartments at Bistline House would use the entire amount of the stimulus money.

Response to Comment 1 – Authority responded that the Housing Authority does not know. However, if there were money leftover, the Authority would expand the sprinkler systems at Highspire Apartments, Griffith House and Rattling Creek Apartments.

Comment 2 – A resident expressed concern regarding the response time to shutting off the sprinkler system if one were to go off.

Response to Comment 2 – Authority responded that if the sprinkler system went off, there was probably a fire alarm. Authority indicated if there was a fire alarm the fire company would be here very quickly. Authority explained that the Housing Authority has meetings with the fire department to make sure that they understand our building, they know how to get in them, they know how they are constructed, they know the type of fire equipment that is in them so they know what they're up against. Authority further indicated that it is very good for the tenants to communicate with each other about how they would respond in such matter. The Housing Authority does not discourage this.

Comment 3 – The Senior Citizen Services Coordinator asked if she was correct in assuming there are no weight or height restrictions for service animals.

Response to Comment 3 – Authority responded that service animals are exceptions to the Pet Policy.

Comment 4 - A Section 8 Program participant asked that if a family lived in a project-based unit and the family were to move out, would they no longer have Section 8 assistance.

Response to Comment 4 - Authority responded that this is correct.

Comment 5 – Commenter 4 asked if this is something new and if the Housing Authority is not going to do this with families already on Section 8 assistance.

Response to Comment 5 - Authority responded this is not new; the Housing Authority is going to do more of it.

Comment 6 - A resident asked if a current resident of the Housing Authority met the designation criteria if the family wanted to move to Hoy Towers, how does this affect them, for example, would they have to reapply.

Response to Comment 6 - Authority responded that the family would have to apply for a transfer. He indicated that transfers are not granted very easily. There would have to be very good reason to transfer.

Comment 7 - A resident asked about the air circulation in Latsha Towers.

Response to Comment 7 - Authority explained how the present air circulation system works in the building.

Comment 8 - A resident asked since the Housing Authority will be using only one contractor, do we still have to choose the one that bids the lowest.

Response to Comment 8 - Authority responded, yes.

Comment 9 – Commenter 8 expressed concern about getting a less experienced contractor because of this type of bidding process.

Response to Comment 9 - Authority explained that all of the contractors have to meet the same criteria, for example bonding, submitting work history, how long they have been in business, and if they are on the list of suspended and debarred contractors. These guidelines help the Housing Authority to get a competitive bid, yet acquire a reputable contractor at the same time.

Comment 10 - A resident asked who will oversee the contractor and hold them accountable to ensure that what is being paid for is acceptable and up to standards.

Response to Comment 10 - Authority explained that the Housing Authority has several tiers of accountability. First, there is an architect in charge of the design and in addition is in charge of all inspections of the work. Then, there are regular meetings with the contractors to review the work that has been completed, and discuss issues that have been found by Housing Authority personnel who inspect and monitor the work. Also, the Executive Director and the Deputy Executive Director monitor what is going on.

Comment 11 - A resident expressed concern about work not being completed as scheduled, citing as an example reconfiguration work that was being performed at Latsha Towers.

Response to Comment 11 - Authority responded that reconfiguration is considered substantial rehabilitation work. It was explained that what needs to be kept in mind when work is started in a building which was constructed 35- 40 years ago and you start to make modifications, no matter what kind of plans you have made, when you start to do work, you come across unforeseen problems. Consequently, the contractor will come back notifying the Housing Authority that there is a problem that needs to be addressed, but it wasn't part of the contract. Therefore the Housing Authority must figure out how this issue will be handled.

This may require a change order to the original contract, an extension of time to the contractor, etc.

Comment 12 – Commenter 11 expressed concern about cutbacks that result from such scenarios described by Authority and the effect this has on projects planned for the future.

Response to Comment 12 - Authority explained that the Housing Authority does not generally cut back anything. It was indicated that the project remains in the five-year plan but just gets delayed a little longer.

Comment 13 - A resident asked if the Housing Authority requires the contractor to be Pennsylvania based.

Response to Comment 13 - Authority responded that the Housing Authority is required by the federal government to comply with Section 3 requirements which indicated that the Housing Authority must, to the best of its ability, use contractors, supplies and materials from the local workforce and vendors.

Comment 14 - A resident asked if the Housing Authority intends to install air conditioning at Hoy Towers.

Response to Comment 14 – Authority responded that we would very much like to but due to the level of difficulty to install air conditioning in that building the cost is prohibitive at this time.

Comment 15 - A resident questioned whether or not it is federal law to have air conditioning in all high rises.

Response to Comment 15 – Authority responded that they would have window air conditioning for the time being.

Comment 16 - A resident expressed that security cameras are needed for Essex House and the parking lot.

Response to Comment 16 – Authority responded that the Housing Authority has three high-rise buildings with security cameras. It was explained that security cameras have been considered for other buildings but the buildings that received security cameras were buildings where there were issues with crime.

Comment 17 - Two residents indicated that there are issues with crime at Essex House.

Response to Comment 17 - Authority responded that the Housing Authority does not doubt that and knows that security cameras would be a great addition to the building and this matter has been thought about and has not been dismissed but it is not in the current plan. This item could be considered for future five-year plans.

Comment 18 - A resident asked about reconfiguration taking place at Essex House.

Response to Comment 18 - Authority responded that the Housing Authority has had plans to reconfigure units at all buildings where there are efficiency apartments but because there was not enough money available the Housing Authority is prioritizing buildings. Authority indicated that Essex House has very good occupancy and efficiency apartments rent here, and they are not vacant. However, Authority pointed out at Bistline House, there are usually 12 -15 vacant efficiency apartments.

Comment 19 - A resident asked if the reconfigured apartments will be handicapped or regular.

Response to Comment 19 - Authority responded there would be probably one or two more accessible apartments created as a result of the reconfiguration.

Comment 20 - A resident pointed out that there are 64 efficiency units at Bistline House and if these are made bigger, where do the people go.

Response to Comment 20 - Authority responded that currently we are not leasing any more units at Bistline House. Therefore, when someone moves out, that apartment is left vacant. Consequently, we are accumulating apartments so that we have 15 -20 empty apartments for current tenants to move into during reconfiguration.

Comment 21 – With regard to the Hoy Towers Designation Plan a resident asked what about handicapped people under the age of 62.

Response to Comment 21 - Authority responded that a disabled family would not be able to move in unless they were 62 years of age or older. The family would have to choose another building to live in.

Comment 22 – With regard to the Authority response to comment 21, a resident asked if this would be a violation of HUD regulations.

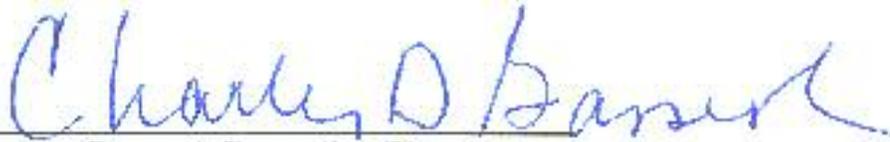
Response to Comment 22 - Authority responded no, HUD approved the designation. Authority explained that one of the reasons HUD allows designation is that when you have an elderly building with a lot of elderly families and also young people with disabilities, there is a clash of lifestyles which creates a lot of friction in the building. Therefore, if you have a building that has taken on those characteristics and there is a lot of turmoil, the building would be a candidate for designation.

Comment 23 - A resident asked if the Housing Authority designates three buildings for elderly-only would this not decrease the availability of housing for persons with disabilities.

Response to Comment 23 - Authority explained that this issue is studied as part of the process of preparing a Designation Plan. It was added that it has to be kept in mind that the designation only refers to the new families coming into the building. Families who are already in the building will be offered the option to move to another building or issued a Section 8 voucher, but they don't have to move.

Comment 24 – With regard to the Hoy Towers Designation Plan, a member of the public asked if there is a time limit for someone to move.

Response to Comment 24 - Authority explained that the Designation Plan is a five-year plan and there is actually no time limit. Authority indicated that the only limit to be aware of is if someone were to receive a Housing Choice Voucher, the voucher is only good for 60 days. The Housing Authority recommends that if someone uses this option, they should already have certain arrangements in place, for example, having an apartment in mind to move to.



Charles Gassert, Executive Director
Housing Authority of the County of Dauphin

April 10, 2009

Attachment H

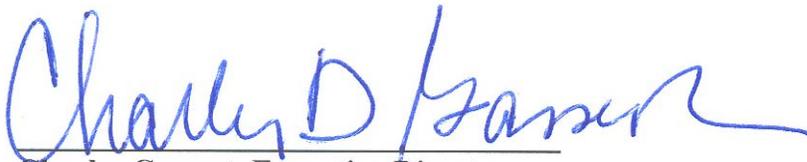
Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2009 – 06/30/2010

Challenged Elements

There were no challenged elements to the Housing Authority's Annual Plan



Charles Gassert, Executive Director
Housing Authority of the County of Dauphin

April 10, 2009