

# PHA Plans

## Streamlined Annual Version

U.S. Department of Housing and  
Urban Development  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 08/31/2009)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined Annual PHA Plan for Fiscal Year: 2009

## PHA Name: Gothenburg Housing Authority

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**

## Streamlined Annual PHA Plan Agency Identification

**PHA Name:** Gothenburg Housing Authority      **PHA Number:** NE120

**PHA Fiscal Year Beginning:** (mm/yyyy) January 1, 2009

**PHA Programs Administered:**

**Public Housing and Section 8**       **Section 8 Only**       **Public Housing Only**  
Number of public housing units: 68      Number of S8 units:      Number of public housing units:  
Number of S8 units: 25

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

| Participating PHAs   | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: |          |                                       |                                |                         |
| Participating PHA 2: |          |                                       |                                |                         |
| Participating PHA 3: |          |                                       |                                |                         |

**PHA Plan Contact Information:**

Name: Jeanne Janssen      Phone: 308-537-7275  
TDD: 308-537-3692      Email (if available): ghousing@cozadtel.net

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting:**  
(select all that apply)

PHA's main administrative office       PHA's development management offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection.       Yes       No.

If yes, select all that apply:

Main administrative office of the PHA 810 20<sup>th</sup> Street, Gothenburg, NE  
 PHA development management offices  
 Main administrative office of the local, county or State government  
 Public library       PHA website       Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA       PHA development management offices  
 Other (list below)

**Streamlined Annual PHA Plan**  
**Fiscal Year 2009**  
[24 CFR Part 903.12(c)]

**Table of Contents**  
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

**A. PHA PLAN COMPONENTS**

|                                     |  |           |
|-------------------------------------|--|-----------|
| <input type="checkbox"/>            | 1. Site-Based Waiting List Policies  | Pg. 4     |
|                                     | <b>903.7(b)(2) Policies on Eligibility, Selection, and Admissions</b>  |           |
| <input checked="" type="checkbox"/> | 2. Capital Improvement Needs   | Pg. 5     |
|                                     | <b>903.7(g) Statement of Capital Improvements Needed</b>   |           |
| <input type="checkbox"/>            | 3. Section 8(y) Homeownership  | Pg. 6     |
|                                     | <b>903.7(k)(1)(i) Statement of Homeownership Programs</b>  |           |
| <input type="checkbox"/>            | 4. Project-Based Voucher Programs  | Pg. 7     |
| <input type="checkbox"/>            | 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan. | Pg. 8     |
| <input checked="" type="checkbox"/> | 6. Supporting Documents Available for Review   | Pg. 9-11  |
| <input checked="" type="checkbox"/> | 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report                                | Pg. 12-16 |
| <input checked="" type="checkbox"/> | 8. Capital Fund Program 5-Year Action Plan   | Pg. 17-22 |
|                                     | 9. 2006 Capital Fund Program 12050106  | Pg. 22-27 |
|                                     | 10. 2006 Capital Fund Program 12050206   | Pg. 28-31 |
|                                     | 11. 2007 Capital Fund Program 12050107   | Pg. 32-36 |
|                                     | 12. 2008 Capital Fund Program 12050108   | Pg. 37-40 |

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, Certification of Payments to Influence Federal Transactions; and**

**Form SF-LLL &SF-LLLa, Disclosure of Lobbying Activities.**

**1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Site-Based Waiting Lists-Previous Year**

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B. No.

| <b>Site-Based Waiting Lists</b>                             |                       |   |  |   |
|---|-----------------------|---|--|---|
| <b>Development Information:</b><br>(Name, number, location) | <b>Date Initiated</b> | <b>Initial mix of Racial, Ethnic or Disability Demographics</b> | <b>Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL</b> | <b>Percent change between initial and current mix of Racial, Ethnic, or Disability demographics</b> |
|   |                       |   |  |   |
|   |                       |   |  |   |
|   |                       |   |  |   |
|   |                       |   |  |   |

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

**B. Site-Based Waiting Lists – Coming Year**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?None

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

## **2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

### **A. Capital Fund Program**

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

| <b>HOPE VI Revitalization Grant Status</b> |   |
|--|---|
| a. Development Name:                       |   |
| b. Development Number:                     |   |
| c. Status of Grant:                        |   |
| <input type="checkbox"/>                   | Revitalization Plan under development                           |
| <input type="checkbox"/>                   | Revitalization Plan submitted, pending approval                 |
| <input type="checkbox"/>                   | Revitalization Plan approved                                    |
| <input type="checkbox"/>                   | Activities pursuant to an approved Revitalization Plan underway |

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:

4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below: GHA is going to partner with a developer to build duplexes for low to moderate income elderly (55 and older). We will not use any PH funds unless approved by HUD.

5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

#### **4. Use of the Project-Based Voucher Program**

##### **Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
  - low utilization rate for vouchers due to lack of suitable rental units
  - access to neighborhoods outside of high poverty areas
  - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here) State of Nebraska
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Other: (list below)
  
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

## **6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| <b>List of Supporting Documents Available for Review</b> |   |   |
|--|---|---|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>   |
| X  | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>   | 5 Year and Annual Plans   |
| X  | <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>  | Streamlined Annual Plans  |
| X  | <i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>   | 5 Year and standard Annual Plans                                      |
| X  | Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans   |
| N/A  | Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.  | Annual Plan: Housing Needs  |
| X  | Most recent board-approved operating budget for the public housing program  | Annual Plan: Financial Resources                                      |
| X  | Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.  | Annual Plan: Eligibility, Selection, and Admissions Policies          |
| N/A  | Deconcentration Income Analysis   | Annual Plan: Eligibility, Selection, and Admissions Policies          |
| X  | Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.   | Annual Plan: Eligibility, Selection, and Admissions Policies          |
| X  | Section 8 Administrative Plan   | Annual Plan: Eligibility, Selection, and Admissions Policies          |
| X  | Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Rent Determination                                       |
| X  | Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.   | Annual Plan: Rent Determination                                       |
| X  | Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.  | Annual Plan: Rent Determination                                       |
| X  | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).   | Annual Plan: Operations and Maintenance                               |
| X  | Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).  | Annual Plan: Management and Operations                                |
| N/A  | Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)   | Annual Plan: Operations and Maintenance and Community Service & Self- |

| <b>List of Supporting Documents Available for Review</b> |   |   |
|--|---|---|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Related Plan Component</b>   |
|  |   | Sufficiency   |
| X  | Results of latest Section 8 Management Assessment System (SEMAP)  | Annual Plan: Management and Operations  |
| X  | Any policies governing any Section 8 special housing types<br><input type="checkbox"/> Check here if included in Section 8 Administrative Plan  | Annual Plan: Operations and Maintenance   |
| X  | Public housing grievance procedures<br><input type="checkbox"/> Check here if included in the public housing A & O Policy   | Annual Plan: Grievance Procedures   |
| X  | Section 8 informal review and hearing procedures.<br><input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.   | Annual Plan: Grievance Procedures   |
| X  | The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.   | Annual Plan: Capital Needs  |
| N/A  | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.   | Annual Plan: Capital Needs  |
| N/A  | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.   | Annual Plan: Capital Needs  |
| X  | Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).  | Annual Plan: Capital Needs  |
| N/A  | Approved or submitted applications for demolition and/or disposition of public housing.   | Annual Plan: Demolition and Disposition   |
| N/A  | Approved or submitted applications for designation of public housing (Designated Housing Plans).  | Annual Plan: Designation of Public Housing  |
| N/A  | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937. | Annual Plan: Conversion of Public Housing   |
| N/A  | Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.  | Annual Plan: Voluntary Conversion of Public Housing   |
| N/A  | Approved or submitted public housing homeownership programs/plans.  | Annual Plan: Homeownership  |
| N/A  | Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)   | Annual Plan: Homeownership  |
| X  | Public Housing Community Service Policy/Programs<br><input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy   | Annual Plan: Community Service & Self-Sufficiency   |
| N/A  | Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.   | Annual Plan: Community Service & Self-Sufficiency   |
| N/A  | FSS Action Plan(s) for public housing and/or Section 8.   | Annual Plan: Community Service & Self-Sufficiency   |
| X  | Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.  | Annual Plan: Community Service & Self-Sufficiency   |
| N/A  | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.  | Annual Plan: Community Service & Self-Sufficiency   |
| X  | Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G).<br><input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.  | Annual Plan: Pet Policy   |
| X  | The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.  | Annual Plan: Annual Audit   |
| N/A  | Other supporting documents (optional)<br>(list individually; use as many lines as necessary)  | (specify as needed)   |
| N/A  | Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.  | Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations |

| <b>List of Supporting Documents Available for Review</b> |                            |                               |
|--|----------------------------|-------------------------------|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b> | <b>Related Plan Component</b> |
|  |                            |                               |
|  |                            |                               |

The Gothenburg Housing Authority would like to use the 5h money from the sale of public housing houses to purchase ground for housing development. Currently there are lots owned by the Pony Express Foundation that the Gothenburg Development Corporation has first right of refusal. I suggested that the lots be sold or given to the Gothenburg Housing Authority to develop with duplexes. There are also other alternative sites in Gothenburg that could be developed.

The Housing Authority would form another non-profit entity and this new entity would develop additional housing with a developer. We would try to get a grant for the infrastructure and also a loan through USDA or NIFA (or other sources) for development.

Another possible purchase with the funds would be Deerefield Apartments a local tax credit property. GHA was contacted by the owner to purchase the property but we needed to wait until at least year 10 of the tax credit cycle.

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

| <b>Annual Statement/Performance and Evaluation Report<br/>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>                            |   |                      |   |                   |                                 |
|--|---|----------------------|---|-------------------|---------------------------------|
| PHA Name: Gothenburg Housing Authority   |   |                      | Grant Type and Number<br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |                   | Federal FY<br>of Grant:<br>2009 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) |   |                      |   |                   |                                 |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report   |   |                      |   |                   |                                 |
| Line No.   | Summary by Development Account                            | Total Estimated Cost |   | Total Actual Cost |                                 |
|  |   | Original             | Revised   | Obligated         | Expended                        |
| 1  | Total non-CFP Funds                                       |                      |   |                   |                                 |
| 2  | 1406 Operations   | 5,000.00             |   |                   |                                 |
| 3  | 1408 Management Improvements                              | 7,000.00             |   |                   |                                 |
| 4  | 1410 Administration                                       | 10,162.00            |   |                   |                                 |
| 5  | 1411 Audit  |                      |   |                   |                                 |
| 6  | 1415 Liquidated Damages                                   |                      |   |                   |                                 |
| 7  | 1430 Fees and Costs                                       |                      |   |                   |                                 |
| 8  | 1440 Site Acquisition                                     |                      |   |                   |                                 |
| 9  | 1450 Site Improvement                                     | 2,000.00             |   |                   |                                 |
| 10   | 1460 Dwelling Structures                                  | 42,458.00            |   |                   |                                 |
| 11   | 1465.1 Dwelling Equipment—Nonexpendable                   |                      |   |                   |                                 |
| 12   | 1470 Nondwelling Structures                               |                      |   |                   |                                 |
| 13   | 1475 Nondwelling Equipment                                | 35,000.00            |   |                   |                                 |
| 14   | 1485 Demolition   |                      |   |                   |                                 |
| 15   | 1490 Replacement Reserve                                  |                      |   |                   |                                 |
| 16   | 1492 Moving to Work Demonstration                         |                      |   |                   |                                 |
| 17   | 1495.1 Relocation Costs                                   |                      |   |                   |                                 |
| 18   | 1499 Development Activities                               |                      |   |                   |                                 |
| 19   | 1501 Collateralization or Debt Service                    |                      |   |                   |                                 |
| 20   | 1502 Contingency  |                      |   |                   |                                 |
| 21   | Amount of Annual Grant: (sum of lines 2 – 20)             | \$101,620.00         |   |                   |                                 |
| 22   | Amount of line 21 Related to LBP Activities               |                      |   |                   |                                 |
| 23   | Amount of line 21 Related to Section 504 compliance       |                      |   |                   |                                 |
| 24   | Amount of line 21 Related to Security – Soft Costs        |                      |   |                   |                                 |
| 25   | Amount of Line 21 Related to Security – Hard Costs        |                      |   |                   |                                 |
| 26   | Amount of line 21 Related to Energy Conservation Measures |                      |   |                   |                                 |

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

| <b>Annual Statement/Performance and Evaluation Report<br/>                     Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br/>                     Part II: Supporting Pages</b> |   |               |  |                      |         |                           |                |                |
|---|---|---------------|--|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority  |   |               | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |                      |         | Federal FY of Grant: 2009 |                |                |
| Development Number<br>Name/HA-Wide Activities   | General Description of Major Work Categories  | Dev. Acct No. | Quantity   | Total Estimated Cost |         | Total Actual Cost         |                | Status of Work |
|   |   |               |  | Original             | Revised | Funds Obligated           | Funds Expended |                |
| NE120001& NE120002  | Operations  | 1406          |  | 5,000.00             |         |                           |                |                |
|   | Management Improvements – Staff Training, Software & hardware Upgrades, Replace printer, and office machines if needed, purchase additional file cabinets, replace phone if needed, | 1408          |  | 7,000.00             |         |                           |                |                |
|   | Administration -  | 1410          |  | 10,162.00            |         |                           |                |                |

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

| <b>Annual Statement/Performance and Evaluation Report<br/>                     Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br/>                     Part II: Supporting Pages</b> |   |               |  |                      |         |                           |                |                |
|---|---|---------------|--|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority  |   |               | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |                      |         | Federal FY of Grant: 2009 |                |                |
| Development Number<br>Name/HA-Wide Activities   | General Description of Major Work Categories  | Dev. Acct No. | Quantity   | Total Estimated Cost |         | Total Actual Cost         |                | Status of Work |
|   |   |               |  | Original             | Revised | Funds Obligated           | Funds Expended |                |
|   | Site Improvement – Trees, landscaping, replace concrete, install steps from street to sidewalk to allow access  | 1450          |  | 2,000.00             |         |                           |                |                |
|   | Dwelling Structures – Interior painting, HVAC Replacement, Replace Shingles, Scrape & texture ceilings, remodel bathrooms, resurface bathtubs, replace hot water heaters and carpet if needed | 1460          |  | 42,458.00            |         |                           |                |                |
|   |   |               |  |                      |         |                           |                |                |

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

| <b>Annual Statement/Performance and Evaluation Report</b><br><b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b><br><b>Part II: Supporting Pages</b> |  |               |   |                      |         |                           |                |                |
|---|--|---------------|---|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority  |  |               | Grant Type and Number<br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |                      |         | Federal FY of Grant: 2009 |                |                |
| Development Number<br>Name/HA-Wide Activities   | General Description of Major Work Categories   | Dev. Acct No. | Quantity  | Total Estimated Cost |         | Total Actual Cost         |                | Status of Work |
|   |  |               |   | Original             | Revised | Funds Obligated           | Funds Expended |                |
|   | Nondwelling Structures – Staff Car – Install internet in the community room for tenant use | 1470          |   | 35,000.00            |         |                           |                |                |
|   |  |               |   |                      |         |                           |                |                |
|   |  |               |   |                      |         |                           |                |                |
|   |  |               |   |                      |         |                           |                |                |
|   |  |               |   |                      |         |                           |                |                |
|   | Total  |               |   | \$101,620.00         |         |                           |                |                |

| <b>Annual Statement/Performance and Evaluation Report</b><br><b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b><br><b>Part III: Implementation Schedule</b> |  |   |  |                           |  |
|---|--|---|--|---------------------------|--|
| PHA Name: Gothenburg Housing Authority  |  | Grant Type and Number<br>Capital Fund Program No:<br>Replacement Housing Factor No: |  | Federal FY of Grant: 2009 |  |



**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Capital Fund Program Five-Year Action Plan</b> |                  |                                 |                                 |   |                                 |
|---|------------------|---------------------------------|---------------------------------|---|---------------------------------|
| <b>Part I: Summary</b>                            |                  |                                 |                                 |   |                                 |
| PHA Name Gothenburg Housing Authority             |                  |                                 |                                 | <input type="checkbox"/> <b>Original 5-Year Plan</b><br><input checked="" type="checkbox"/> <b>Revision No: 5</b> |                                 |
| Development Number/Name/HA-Wide                   | Year 1           | Work Statement for Year 2       | Work Statement for Year 3       | Work Statement for Year 4   | Work Statement for Year 5       |
|   |                  | FFY Grant: 2010<br>PHA FY: 2010 | FFY Grant: 2011<br>PHA FY: 2011 | FFY Grant: 2012<br>PHA FY: 2012   | FFY Grant: 2013<br>PHA FY: 2013 |
| <i>NE120-001 &amp; 002<br/>HA WIDE</i>            | Annual Statement | \$101,620                       | \$101,620                       | \$101,620   | \$101,620                       |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
| CFP Funds Listed for 5-year planning              |                  |                                 |                                 |   |                                 |
|   |                  |                                 |                                 |   |                                 |
| Replacement Housing Factor Funds                  |                  |                                 |                                 |   |                                 |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Capital Fund Program Five-Year Action Plan<br/>Part II: Supporting Pages—Work Activities</b> |   |  |                       |  |   |                       |
|---|---|--|-----------------------|--|---|-----------------------|
| Activities for Year 1   | Activities for Year : 2010<br>FFY Grant:<br>PHA FY: |  |                       | Activities for Year: 2011<br>FFY Grant:<br>PHA FY: |   |                       |
|   | <b>Development Name/Number</b>                      | <b>Major Work Categories</b>   | <b>Estimated Cost</b> | <b>Development Name/Number</b>                     | <b>Major Work Categories</b>                            | <b>Estimated Cost</b> |
| <b>See</b>  | <b>NE120-001 &amp; 002<br/>HA WIDE</b>              |  |                       | NE120-001 &<br>002 HA WIDE                         |   |                       |
| <b>Annual</b>   | 1408  | Staff Training/Hardware, Housing Study, Replace Computers as needed, Replace office machines as needed | \$5,000               | 1408   | Staff Training/hardware, Software Replacement as needed | \$5,000               |
| <b>Statement</b>  |   |  |                       |  |   |                       |
|   | 1410  | Administration   | \$10,162              | 1410   | Administration  | \$10,162              |
|   | 1450  | Landscaping, Replace concrete as needed  | \$5,000               | 1450   | Landscaping and Trees                                   | \$2,000               |
|   |   |  |                       |  |   |                       |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|  |        |  |          |        |  |          |
|--|--------|--|----------|--------|--|----------|
|  | 1460   | Texture ceilings, Faucets/Plumbing, Replace Boiler if needed, Replace screen, entry and interior doors as needed | \$18,000 | 1460   | Texture ceilings, plumbing, remodel kitchens scattered site houses, replace appliances and cupboards, replace flooring | \$34,458 |
|  |        |  |          |        |  |          |
|  | 1465.1 | Replace Appliances as needed, HVAC Replacement   | \$20,000 | 1465.1 | HVAC Replacement, Replace Sewer lines as needed  | \$15,000 |
|  |        |  |          |        |  |          |
|  | 1470   | Parking lot maintenance, Update TTY equipment, replace concrete as needed  | \$10,000 | 1470   | Replace Dumpster Enclosures, emergency equipment as needed and purchase emergency generator                            | \$5,000  |
|  |        |  |          |        |  |          |
|  | 1460   | Replace Sewer Lines  | \$18,458 | 1475   | Maintenance Equipment, Staff Vehicle, Pedestals for dryers in common areas   | \$25,000 |
|  |        |  |          |        |  |          |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|                          |      |  |           |      |             |           |
|--------------------------|------|--|-----------|------|-------------|-----------|
|                          | 1475 | Replace Snow Blower, Maintenance Equipment as needed | \$10,000  | 1502 | Contingency | \$5,000   |
|                          | 1502 | Contingency  | \$5,000   |      |             |           |
| Total CFP Estimated Cost |      |  | \$101,620 |      |             | \$101,620 |

**Capital Fund Program Five-Year Action Plan**

**Part II: Supporting Pages—Work Activities**

| Activities for Year : 2012<br>FFY Grant:<br>PHA FY: |   |                | Activities for Year: 2013<br>FFY Grant:<br>PHA FY: |   |                |
|---|---|----------------|--|---|----------------|
| Development Name/Number                             | Major Work Categories   | Estimated Cost | Development Name/Number                            | Major Work Categories   | Estimated Cost |
| <b>NE120-001 &amp; 002<br/>HA WIDE</b>              | <i>2012</i>   |                | NE120-001 & 002<br>HA WIDE                         | <i>2013</i>   |                |
| 1408  | Staff Training, Hardware & Software replacement as needed, Executive Director attend legislative conference | \$8,000        | 1408   | Staff Training, Hardware & Software Replacement as needed, PHM Training | \$8,000        |
|   |   |                |  |   |                |
| 1410  | Administration  | \$10,162       | 1410   | Administration  | \$10,162       |
|   |   |                |  |   |                |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|        |   |          |        |   |          |
|--------|---|----------|--------|---|----------|
| 1450   | Landscaping   | \$5,000  | 1450   | Landscaping & Trees, signage on Hillcrest buildings   | \$5,000  |
| 1460   | Replace drywall, replace plumbing as needed, Replace lighting and shelving in scattered site houses | \$41,844 | 1460   | Install Vanities in bathrooms at Hillcrest, Replace vanities in scattered site houses if needed, Replace lighting and shelving in closets & laundry rooms a | \$48,458 |
| 1465.1 | Replace Sewer Lines, HVAC Replacement, Replace countertops as needed                                | \$15,000 | 1465.1 | HVAC Replacement, replace sewer lines   | \$15,000 |
| 1470   | Remodel bathrooms community room, install phone in laundry room                                     | \$11,614 | 1470   | Update controller and install rain sensors in sprinkler system  | \$5,000  |
| 1475   | Maintenance Equipment   | \$5,000  | 1475   | Maintenance Equipment   | \$5,000  |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|                          |             |           |      |             |           |
|--------------------------|-------------|-----------|------|-------------|-----------|
| 1502                     | Contingency | \$5,000   | 1502 | Contingency | \$5,000   |
| Total CFP Estimated Cost |             | \$101,620 |      |             | \$101,620 |

| <b>Annual Statement/Performance and Evaluation Report</b>   |   |   |             |                   |  |
|---|---|---|-------------|-------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |   |   |             |                   |  |
| <b>PHA Name: Gothenburg Housing Authority</b>   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: 12050106<br>Replacement Housing Factor Grant No: |             |                   | <b>Federal<br/>FY of<br/>Grant:<br/>2006</b> |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |             |                   |  |
| Line  | Summary by Development Account              | Total Estimated Cost  |             | Total Actual Cost |  |
|   |   | Original  | Revised     | Obligated         | Expended                                     |
| 1   | Total non-CFP Funds                         |   |             |                   |  |
| 2   | 1406 Operations                             | \$5,000.00  | \$5,000.00  | \$5,000.00        | \$5,000.00                                   |
| 3   | 1408 Management Improvements                | \$3,159.98  | \$3,159.98  | \$3,159.98        | \$3,159.98                                   |
| 4   | 1410 Administration                         | \$8,884.00  | \$8884.00   | \$8,884.00        | \$8,884.00                                   |
| 5   | 1411 Audit                                  |   |             |                   |  |
| 6   | 1415 Liquidated Damages                     |   |             |                   |  |
| 7   | 1430 Fees and Costs                         |   |             |                   |  |
| 8   | 1440 Site Acquisition                       |   |             |                   |  |
| 9   | 1450 Site Improvement                       | \$205.95  | \$205.95    | \$205.95          | \$205.95                                     |
| 10  | 1460 Dwelling Structures                    | \$46,288.17   | \$46,288.17 | \$42,647.09       | \$40,050.35                                  |
| 11  | 1465.1 Dwelling Equipment—<br>Nonexpendable | \$23,055.95   | \$23,055.95 | \$23,055.95       | \$23,055.95                                  |
| 12  | 1470 Nondwelling Structures                 | \$830.96  | \$830.96    | \$830.96          | \$830.96                                     |
| 13  | 1475 Nondwelling Equipment                  | \$1,418.99  | \$1,418.99  | \$1,418.99        | \$199.99                                     |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>   |   |   |          |                   |  |
|---|---|---|----------|-------------------|--|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |   |   |          |                   |  |
| <b>PHA Name:</b> Gothenburg Housing Authority   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: 12050106<br>Replacement Housing Factor Grant No: |          |                   | <b>Federal<br/>FY of<br/>Grant:<br/>2006</b> |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |          |                   |  |
| Lin<br>e  | Summary by Development Account                            | Total Estimated Cost  |          | Total Actual Cost |  |
|   |   | Original  | Revised  | Obligated         | Expended                                     |
| 14  | 1485 Demolition   |   |          |                   |  |
| 15  | 1490 Replacement Reserve                                  |   |          |                   |  |
| 16  | 1492 Moving to Work Demonstration                         |   |          |                   |  |
| 17  | 1495.1 Relocation Costs                                   |   |          |                   |  |
| 18  | 1499 Development Activities                               |   |          |                   |  |
| 19  | 1501 Collaterization or Debt Service                      |   |          |                   |  |
| 20  | 1502 Contingency  |   |          |                   |  |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | \$88,844  | \$88,844 | \$85,202.92       | \$81,387.18                                  |
| 22  | Amount of line 21 Related to LBP Activities               |   |          |                   |  |
| 23  | Amount of line 21 Related to Section 504 compliance       |   |          |                   |  |
| 24  | Amount of line 21 Related to Security – Soft Costs        |   |          |                   |  |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |   |          |                   |  |
| 26  | Amount of line 21 Related to Energy Conservation Measures |   |          |                   |  |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |  |          |                      |          |                           |                |                |
|--|---|--|----------|----------------------|----------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority   |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050106<br>Replacement Housing Factor Grant No: |          |                      |          | Federal FY of Grant: 2006 |                |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories  | Dev. Acct No.  | Quantity | Total Estimated Cost |          | Total Actual Cost         |                | Status of Work |
|  |   |  |          | Original             | Revised  | Funds Obligated           | Funds Expended |                |
| <b>NE120-001 &amp; 002<br/>HA Wide</b>   |   |  |          |                      |          |                           |                |                |
|  | Operations  | 1406   |          | \$5,000              | \$5,000  | \$5,000                   | \$5,000        | Complete       |
|  |   |  |          |                      |          |                           |                |                |
|  | Management Improvements – Staff Training, software & hardware upgrades, printer, replace copy machine, purchase additional file cabinets, laptop computer for maintenance dept. | 1408   |          | \$8,000              | \$3,000  | \$3,159.98                | \$3,159.98     | Complete       |
|  |   |  |          |                      |          |                           |                |                |
|  | Administration  | 1410   |          | \$8,884              | \$8,884  | \$8,884                   | \$8,884        | In Progress    |
|  |   |  |          |                      |          |                           |                |                |
|  | Site Improvement – Trees, landscaping, replace concrete   | 1450   |          | \$205.95             | \$205.95 | \$205.95                  | \$205.95       | In Progress    |
|  |   |  |          |                      |          |                           |                |                |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |  |          |                      |                           |                   |                |                |
|--|---|--|----------|----------------------|---------------------------|-------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority   |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050106<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: 2006 |                   |                |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories  | Dev. Acct No.  | Quantity | Total Estimated Cost |                           | Total Actual Cost |                | Status of Work |
|  |   |  |          | Original             | Revised                   | Funds Obligated   | Funds Expended |                |
| <b>NE120-001 &amp; 002<br/>HA Wide</b>   |   |  |          |                      |                           |                   |                |                |
|  | Dwelling Structures – Interior Painting, HVAC Replacement, Replace shingles, scrape & texture ceilings, remodel bathrooms, resurface bathtubs, replace hot water heaters, replace flooring, replace boiler as needed, replace smoke detectors | 1460   |          | \$46,288.17          | \$46,288.17               | \$42,647.09       | \$40,050.35    | In Progress    |
|  | Appliances – Stoves & Refrigerators Scattered Sites   | 1465.1   |          | \$23,055.95          | \$23,055.95               | \$23,055.95       | \$23,055.95    | Complete       |
|  | Non-Dwelling Structures – Update Phone system in office   | 1470   |          | \$830.96             | \$830.96                  | \$830.96          | \$830.96       | Complete       |
|  | Nondwelling Equipment – Lawn Mower & weed eater   | 1475   |          | \$1,418.99           | \$1,418.99                | \$1,418.99        | \$1,418.99     | Complete       |
|  | Total   |  |          |                      |                           | \$85,202.92       | \$81,387.18    |                |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |  |  |          |                      |                           |                   |                |                |
|--|--|--|----------|----------------------|---------------------------|-------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority   |  | Grant Type and Number<br>Capital Fund Program Grant No: 12050106<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: 2006 |                   |                |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |                           | Total Actual Cost |                | Status of Work |
|  |  |  |          | Original             | Revised                   | Funds Obligated   | Funds Expended |                |
| <b>NE120-001 &amp; 002<br/>HA Wide</b>   |  |  |          |                      |                           |                   |                |                |
|  |  |  |          |                      |                           |                   |                |                |
|  |  |  |          |                      |                           |                   |                |                |
|  |  |  |          |                      |                           |                   |                |                |



**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>   |   |   |         |                   |                                     |
|---|---|---|---------|-------------------|-------------------------------------|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |   |   |         |                   |                                     |
| <b>PHA Name:</b> Gothenburg Housing Authority   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: 12050206<br>Replacement Housing Factor Grant No: |         |                   | <b>Federal FY of Grant:</b><br>2006 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2 )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |         |                   |                                     |
| Lin<br>e  | Summary by Development Account              | Total Estimated Cost  |         | Total Actual Cost |                                     |
|   |   | Original  | Revised | Obligated         | Expended                            |
| 1   | Total non-CFP Funds                         |   |         |                   |                                     |
| 2   | 1406 Operations                             |   |         |                   |                                     |
| 3   | 1408 Management Improvements                |   |         |                   |                                     |
| 4   | 1410 Administration                         |   |         |                   |                                     |
| 5   | 1411 Audit                                  |   |         |                   |                                     |
| 6   | 1415 Liquidated Damages                     |   |         |                   |                                     |
| 7   | 1430 Fees and Costs                         |   |         |                   |                                     |
| 8   | 1440 Site Acquisition                       |   |         |                   |                                     |
| 9   | 1450 Site Improvement                       |   |         |                   |                                     |
| 10  | 1460 Dwelling Structures                    | \$7,517.00  | 0.00    | 0.00              | 0.00                                |
| 11  | 1465.1 Dwelling Equipment—<br>Nonexpendable |   |         |                   |                                     |
| 12  | 1470 Nondwelling Structures                 | \$7,517.00  | 0.00    | 0.00              | 0.00                                |
| 13  | 1475 Nondwelling Equipment                  |   |         |                   |                                     |
| 14  | 1485 Demolition                             |   |         |                   |                                     |
| 15  | 1490 Replacement Reserve                    |   |         |                   |                                     |
| 16  | 1492 Moving to Work Demonstration           |   |         |                   |                                     |
| 17  | 1495.1 Relocation Costs                     |   |         |                   |                                     |
| 18  | 1499 Development Activities                 |   |         |                   |                                     |
| 19  | 1501 Collaterization or Debt Service        |   |         |                   |                                     |
| 20  | 1502 Contingency                            |   |         |                   |                                     |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary   |   |  |            |                   |                                    |
|---|---|--|------------|-------------------|------------------------------------|
| PHA Name: Gothenburg Housing Authority  |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050206<br>Replacement Housing Factor Grant No: |            |                   | Federal<br>FY of<br>Grant:<br>2006 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2 )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |  |            |                   |                                    |
| Line  | Summary by Development Account                            | Total Estimated Cost   |            | Total Actual Cost |                                    |
|   |   | Original   | Revised    | Obligated         | Expended                           |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | \$7,517.00   | \$7,517.00 | 0.00              | 0.00                               |
| 22  | Amount of line 21 Related to LBP Activities               |  |            |                   |                                    |
| 23  | Amount of line 21 Related to Section 504 compliance       |  |            |                   |                                    |
| 24  | Amount of line 21 Related to Security – Soft Costs        |  |            |                   |                                    |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |  |            |                   |                                    |
| 26  | Amount of line 21 Related to Energy Conservation Measures |  |            |                   |                                    |

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |  |   |          |                      |                      |                |
|--|--|---|----------|----------------------|----------------------|----------------|
| PHA Name:  |  | Grant Type and Number<br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories | Dev. Acct No.   | Quantity | Total Estimated Cost | Total Actual Cost    | Status of Work |





**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>  |   |   |                |                          |                                     |
|--|---|---|----------------|--------------------------|-------------------------------------|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>   |   |   |                |                          |                                     |
| <b>PHA Name:</b> Gothenburg Housing Authority  |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: 12050107<br>Replacement Housing Factor Grant No: |                |                          | <b>Federal FY of Grant:</b><br>2007 |
| <input type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no: 1 )</b><br><input checked="" type="checkbox"/> <b>Performance and Evaluation Report for Period Ending: 6/30/2008</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b> |   |   |                |                          |                                     |
| <b>Lin e</b>   | <b>Summary by Development Account</b>       | <b>Total Estimated Cost</b>   |                | <b>Total Actual Cost</b> |                                     |
|  |   | <b>Original</b>   | <b>Revised</b> | <b>Obligated</b>         | <b>Expended</b>                     |
| 1  | Total non-CFP Funds                         |   |                |                          |                                     |
| 2  | 1406 Operations                             | \$5,000.00  |                | \$5,000.00               | \$5,000.00                          |
| 3  | 1408 Management Improvements                | \$3,000.00  |                | 0.00                     | 0.00                                |
| 4  | 1410 Administration                         | \$9,363.00  |                | 0.00                     | 0.00                                |
| 5  | 1411 Audit                                  |   |                |                          |                                     |
| 6  | 1415 Liquidated Damages                     |   |                |                          |                                     |
| 7  | 1430 Fees and Costs                         |   |                |                          |                                     |
| 8  | 1440 Site Acquisition                       |   |                |                          |                                     |
| 9  | 1450 Site Improvement                       | \$2,000.00  |                | 0.00                     | 0.00                                |
| 10   | 1460 Dwelling Structures                    | \$43,637.00   |                | 0.00                     | 0.00                                |
| 11   | 1465.1 Dwelling Equipment—<br>Nonexpendable | \$10,000.00   |                | 0.00                     | 0.00                                |
| 12   | 1470 Nondwelling Structures                 | \$15,628.00   |                | 0.00                     | 0.00                                |
| 13   | 1475 Nondwelling Equipment                  | \$5,000.00  |                | 0.00                     | 0.00                                |
| 14   | 1485 Demolition                             |   |                |                          |                                     |
| 15   | 1490 Replacement Reserve                    |   |                |                          |                                     |
| 16   | 1492 Moving to Work Demonstration           |   |                |                          |                                     |
| 17   | 1495.1 Relocation Costs                     |   |                |                          |                                     |
| 18   | 1499 Development Activities                 |   |                |                          |                                     |
| 19   | 1501 Collateralization or Debt Service      |   |                |                          |                                     |
| 20   | 1502 Contingency                            |   |                |                          |                                     |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary   |   |  |         |                   |                           |
|---|---|--|---------|-------------------|---------------------------|
| PHA Name: Gothenburg Housing Authority  |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050107<br>Replacement Housing Factor Grant No: |         |                   | Federal FY of Grant: 2007 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1 )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |  |         |                   |                           |
| Line  | Summary by Development Account                            | Total Estimated Cost   |         | Total Actual Cost |                           |
|   |   | Original   | Revised | Obligated         | Expended                  |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | \$93,628.00  |         | \$5,000.00        | \$5,000.00                |
| 22  | Amount of line 21 Related to LBP Activities               |  |         |                   |                           |
| 23  | Amount of line 21 Related to Section 504 compliance       |  |         |                   |                           |
| 24  | Amount of line 21 Related to Security – Soft Costs        |  |         |                   |                           |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |  |         |                   |                           |
| 26  | Amount of line 21 Related to Energy Conservation Measures |  |         |                   |                           |

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |   |  |          |                         |                           |                   |
|--|---|--|----------|-------------------------|---------------------------|-------------------|
| PHA Name: Gothenburg Housing Authority   |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050107<br>Replacement Housing Factor Grant No: |          |                         | Federal FY of Grant: 2007 |                   |
| Development Number<br>Name/HA-Wide<br>Activities   | General Description of Major<br>Work Categories | Dev.<br>Acct No.   | Quantity | Total Estimated<br>Cost | Total Actual Cost         | Status of<br>Work |
|  |   |  |          |                         |                           |                   |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|  |  |        |  | Original | Revised | Funds Obligated | Funds Expended |             |
|--|--|--------|--|----------|---------|-----------------|----------------|-------------|
| <b>NE120-001 &amp; 002<br/>HA WIDE</b> | Operations   | 1406   |  | \$5,000  |         | \$5,000         | \$5,000        | Complete    |
|  | Management Improvements – Software and Hardware replacement as needed, staff training, printer, fax machine, replace copy machine, purchase additional file cabinets | 1408   |  | \$3,000  |         | 0.00            | 0.00           | In Progress |
|  | Administration   | 1410   |  | \$9,363  |         | 0.00            | 0.00           | In Progress |
|  | Site Improvement – Trees, landscaping, replace concrete  | 1450   |  | \$2,000  |         | 0.00            | 0.00           | In Progress |
|  | Dwelling Structures – Interior painting, replace shingles, scrape/texture ceiling, remodel bathrooms, resurface bathtubs, replace sewer, as needed replace windows   | 1460   |  | \$43,637 |         | 0.00            | 0.00           | In Progress |
|  | Dwelling Structures – Equipment – HVAC replacement, replace fixtures as needed, if needed replace hot water heaters  | 1465.1 |  | \$10,000 |         | 0.00            | 0.00           | In Progress |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |  |  |          |                      |         |                           |                |                |
|--|--|--|----------|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority   |  | Grant Type and Number<br>Capital Fund Program Grant No: 12050107<br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant: 2007 |                |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories   | Dev. Acct No.  | Quantity | Total Estimated Cost |         | Total Actual Cost         |                | Status of Work |
|  |  |  |          | Original             | Revised | Funds Obligated           | Funds Expended |                |
|  | Non-Dwelling Structures – Paint, remodel kitchen in community room, replace appliances, remodel office are to incorporate interview area and increase security | 1470   |          | \$15,628             |         | 0.00                      | 0.00           | In Progress    |
|  | Non-Dwelling   | 1475   |          | \$5,000              |         | 0.00                      | 0.00           | In Progress    |
|  | Total  |  |          | \$93,628             |         | \$5,000                   | \$5,000        |                |



**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| <b>Annual Statement/Performance and Evaluation Report</b>   |   |   |         |                   |                                  |
|---|---|---|---------|-------------------|----------------------------------|
| <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>  |   |   |         |                   |                                  |
| <b>PHA Name:</b> Gothenburg Housing Authority   |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: 12050108<br>Replacement Housing Factor Grant No: |         |                   | <b>Federal FY of Grant:</b> 2008 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |   |         |                   |                                  |
| Lin<br>e  | Summary by Development Account              | Total Estimated Cost  |         | Total Actual Cost |                                  |
|   |   | Original  | Revised | Obligated         | Expended                         |
| 1   | Total non-CFP Funds                         |   |         |                   |                                  |
| 2   | 1406 Operations                             | \$10,000.00   |         | \$10,000.00       | \$10,000.00                      |
| 3   | 1408 Management Improvements                | \$5,000.00  |         | 0.00              | 0.00                             |
| 4   | 1410 Administration                         | \$8,884.00  |         | 0.00              | 0.00                             |
| 5   | 1411 Audit                                  |   |         |                   |                                  |
| 6   | 1415 Liquidated Damages                     |   |         |                   |                                  |
| 7   | 1430 Fees and Costs                         |   |         |                   |                                  |
| 8   | 1440 Site Acquisition                       |   |         |                   |                                  |
| 9   | 1450 Site Improvement                       | \$1,162.00  |         | 0.00              | 0.00                             |
| 10  | 1460 Dwelling Structures                    | \$47,614.00   |         | 0.00              | 0.00                             |
| 11  | 1465.1 Dwelling Equipment—<br>Nonexpendable | \$15,000.00   |         | 0.00              | 0.00                             |
| 12  | 1470 Nondwelling Structures                 | \$8,960.00  |         | 0.00              | 0.00                             |
| 13  | 1475 Nondwelling Equipment                  | \$5,000.00  |         | 0.00              | 0.00                             |
| 14  | 1485 Demolition                             |   |         |                   |                                  |
| 15  | 1490 Replacement Reserve                    |   |         |                   |                                  |
| 16  | 1492 Moving to Work Demonstration           |   |         |                   |                                  |
| 17  | 1495.1 Relocation Costs                     |   |         |                   |                                  |
| 18  | 1499 Development Activities                 |   |         |                   |                                  |
| 19  | 1501 Collateralization or Debt Service      |   |         |                   |                                  |
| 20  | 1502 Contingency                            |   |         |                   |                                  |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary   |   |  |         |                   |                          |
|---|---|--|---------|-------------------|--------------------------|
| PHA Name: Gothenburg Housing Authority  |   | Grant Type and Number<br>Capital Fund Program Grant No: 12050108<br>Replacement Housing Factor Grant No: |         |                   | Federal FY of Grant:2008 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2008 <input type="checkbox"/> Final Performance and Evaluation Report |   |  |         |                   |                          |
| Line  | Summary by Development Account                            | Total Estimated Cost   |         | Total Actual Cost |                          |
|   |   | Original   | Revised | Obligated         | Expended                 |
| 21  | Amount of Annual Grant: (sum of lines 2 – 20)             | \$101,620  |         | \$10,000          | \$10,000                 |
| 22  | Amount of line 21 Related to LBP Activities               |  |         |                   |                          |
| 23  | Amount of line 21 Related to Section 504 compliance       |  |         |                   |                          |
| 24  | Amount of line 21 Related to Security – Soft Costs        |  |         |                   |                          |
| 25  | Amount of Line 21 Related to Security – Hard Costs        |  |         |                   |                          |
| 26  | Amount of line 21 Related to Energy Conservation Measures |  |         |                   |                          |

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |  |   |          |                      |                           |                |
|--|--|---|----------|----------------------|---------------------------|----------------|
| PHA Name: Gothenburg Housing Authority   |  | Grant Type and Number<br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant: 2008 |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories | Dev. Acct No.   | Quantity | Total Estimated Cost | Total Actual Cost         | Status of Work |
|  |  |   |          |                      |                           |                |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

|                                       |   |      |  | Original    | Revised | Funds Obligated | Funds Expended |                |
|---------------------------------------|---|------|--|-------------|---------|-----------------|----------------|----------------|
| <b>NE120-001&amp; 002<br/>HA WIDE</b> | Operations  | 1406 |  | \$10,000.00 |         |                 | \$10,000.00    |                |
|                                       |   |      |  |             |         |                 |                |                |
|                                       | Management Improvements-<br>Staff Training, software &<br>hardware upgrades, printer,<br>replace copy machine,<br>purchase additional file<br>cabinets                                | 1408 |  | \$5,000.00  |         |                 | 0.00           | In<br>Progress |
|                                       |   |      |  |             |         |                 |                |                |
|                                       | Administration -  | 1410 |  | \$8,884.00  |         |                 | 0.00           | In<br>Progress |
|                                       |   |      |  |             |         |                 |                |                |
|                                       | Site Improvement – Trees,<br>landscaping, replace concrete,<br>increase parking   | 1450 |  | \$1,162.00  |         |                 | 0.00           | In<br>Progress |
|                                       |   |      |  |             |         |                 |                |                |
|                                       | Dwelling Structures – Interior<br>painting, Replace shingles,<br>scrape & texture ceilings,<br>remodel bathrooms, resurface<br>bathtubs, replace flooring,<br>replace smoke detectors | 1460 |  | \$47,614.00 |         |                 | 0.00           | In<br>Progress |
|                                       |   |      |  |             |         |                 |                |                |
|                                       | Dwelling Equipment –<br>Replace appliances, HVAC<br>Replacement, replace hot<br>water heaters, replace boiler as<br>needed  | 1465 |  | \$15,000.00 |         |                 | 0.00           | In<br>Progress |
|                                       |   |      |  |             |         |                 |                |                |

**12. Capital Fund Program and Capital Fund Program Replacement Housing Factor Annual Statement/Performance and Evaluation Report**

| Annual Statement/Performance and Evaluation Report<br>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)<br>Part II: Supporting Pages |  |               |   |                      |         |                           |                |                |
|--|--|---------------|---|----------------------|---------|---------------------------|----------------|----------------|
| PHA Name: Gothenburg Housing Authority   |  |               | Grant Type and Number<br>Capital Fund Program Grant No:<br>Replacement Housing Factor Grant No: |                      |         | Federal FY of Grant: 2008 |                |                |
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work Categories   | Dev. Acct No. | Quantity  | Total Estimated Cost |         | Total Actual Cost         |                | Status of Work |
|  |  |               |   | Original             | Revised | Funds Obligated           | Funds Expended |                |
|  | Non-Dwelling Structures – Paint, remodel kitchen in community room, fix ceiling, replace drywall and replace flooring and lighting in community room, remodel office area to incorporate interview area, | 1470          |   | \$8,960.00           |         |                           | 0.00           | In Progress    |
|  | Non-Dwelling Equipment – Generator for community Room for emergency, kitchen appliances for community room, replace tenant mailbox, laptop computer for maintenance dept.                                | 1475          |   | \$5,000.00           |         |                           | 0.00           | In Progress    |
|  |  |               |   |                      |         |                           |                |                |
|  | Total  |               |   | \$101,620.00         |         |                           | \$10,000.00    |                |
|  |  |               |   |                      |         |                           |                |                |

## **8. Capital Fund Program Five-Year Action Plan**

## VAWA

The Gothenburg Housing Authority has notified agencies in the area about VAWA.

These agencies include:

Health & Human Services

Parent-Child Center a Domestic Abuse Center

Area Agency on Aging

The Parent-Child Center offers the following services:

Emergency Shelter

2-24 hour crisis lines in both English and Spanish

Safety Planning

Advocacy help with Protection orders

Medical advocacy

Emergency financial aid for rent and utility deposits

Transportation

Support groups.

Health & Human Services offers the following services:

Emergency Financial aid

Area Agency on Aging offers the following services for the elderly:

Care management

Transportation

Emergency Response systems

Home maintenance, Housekeeping

Advocacy

All current tenants were sent a notice by mail explaining the VAWA Policy and each tenant signed a certification they had received a copy of the policy. Also, the VAWA policy is included in the move-in packet for all new tenants and reviewed at move-in orientation.

If the GHA is notified of a domestic abuse incident we have information from the above agencies that is offered to the tenant. Also we will instruct the tenant on how to comply with the VAWA policy.

## VAWA 2007 Lease Provisions

### **Public Housing Lease Provision – VAWA Gothenburg Housing Authority (GHA)**

**Sec. 16 Domestic Violence, Dating Violence, Stalking.** The following provisions are applicable to situations involving incidents involving actual or threatened domestic violence, dating violence, or stalking, as those terms are defined in Section 6(u)(3) of the United States Housing Act of 1937, as amended, (42 U.S.C. §1437d(u)(3)) and in GHA's Violence Against Women Act (VAWA) Policy. To the extent any provision of this section shall vary from or contradict any other provision of this lease, the provisions of this section shall prevail.

#### A. Termination of tenancy.

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking shall not constitute a serious or repeated violation of the lease by the victim of such violence; and
2. Criminal activity directly relating to domestic violence, dating violence or stalking, engaged in by a member of the tenant's household, a guest, or other person under the tenant's control, shall not be cause for termination of tenancy or occupancy rights, if the Tenant or any member of the Tenant's family is a victim of that domestic violence, dating violence, or stalking.
3. Notwithstanding anything to the contrary contained in paragraphs 16 A.1. and 16 A.2. above, GHA may terminate Tenant's tenancy under this lease if it can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the development in which the unit is located, if the tenant's tenancy is not terminated.
4. Further, nothing in this section shall prohibit GHA from terminating tenancy under this lease based on a violation of this lease not premised on an act or acts of domestic violence, dating violence, or stalking against the tenant or a member of the tenant's household for which protection against termination of tenancy is given in paragraphs 16 A.1. and 16 A.2. above. However, in taking any such action to terminate tenancy, GHA shall not apply a more demanding standard to you than to other tenants.

B. Bifurcation of Lease. Under the authority provided in Section 6(l)(6)(B) of the United States Housing Act of 1937, as amended (42 U.S.C. §1437d(l)(6)(B)), GHA may bifurcate this lease in order to evict, remove, or terminate assistance to any individual who is a Tenant or a lawful occupant under this lease and who engages in criminal acts of physical violence against family members or others. GHA may take such action without evicting, removing, terminating assistance to, or otherwise penalizing a victim of such violence who is the Tenant or a lawful occupant under this lease.

C. Certification. If the Tenant or a lawful occupant, as a defense to termination of tenancy or an action to evict, claims protection under this section against such action, GHA may (but is not required to) request the individual to deliver to GHA a certification. The certification may be delivered in one of the following forms:

1. a HUD-approved form (supplied by GHA) attesting that the individual is a victim of domestic violence, dating violence, or stalking and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements of this section, or
2. documentation signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse, in which the professional attests under penalty of perjury to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim has signed or attested to the documentation, or
3. a federal, State, tribal, or local police report or court record, describing the incident or incidents in question.

The certification must be delivered to GHA within 14 days after the request for certification is received from GHA. If the certification is not delivered to GHA within the 14-day period allowed, the provisions of this section will not apply and GHA may elect to terminate tenancy and evict without regard to the protections provided in this section.

D. Confidentiality. The law requires that information provided to GHA concerning an incident of incidents of domestic violence, dating violence, or stalking be retained in confidence, not placed in any shared data base nor provided to a related entity, except to the extent disclosure requested or consented to by the individual supplying such information, or required for use in an eviction proceeding, or otherwise required by applicable law.

ALTERNATE PROVISIONS

A. Certification. If the Tenant or a lawful occupant, as a defense to termination of tenancy or an action to evict, claims protection under this section against such action, GHA may (but is not required to) request the individual to deliver to GHA a certification. The certification may be must be delivered within 14 days after the request for certification is received from GHA and may be made in the manner described in the request. If the certification is not delivered within the time allowed, GHA may proceed to terminate tenancy and evict without reference to this section.

B. Confidentiality. Information provided to GHA concerning an incident or incidents of domestic violence, dating violence, or stalking shall be retained by GHA in confidence and disclosed only as permitted by applicable law.

**Definitions**

**Dating Violence:** The term Dating Violence means violence committed by a person:

(a) who is or has been in a social relationship of a romantic or intimate nature with the victim; and (b) where the existence of such a relationship shall be based on a consideration of the following factors:

- (1) The length of the relationship.
- (2) The type of the relationship.
- (3) The frequency of interaction between the persons involved in the relationship.

**Domestic Violence:** The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, or by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.

**Immediate Family Member:** “Means with respect to a person- a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or any other person living in the household of that person and related to that person by blood or marriage.”

**Stalking:** The term stalking means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: (a) fear for his or her safety or the safety of others; or (b) suffer substantial emotional stress.

\_\_\_\_\_  
Head of Household Signature Date

\_\_\_\_\_  
Household Member Date

Gothenburg Housing Authority

BY: \_\_\_\_\_  
Executive Director/Designee