

PHA 5-Year and Annual Plan Version 2

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing**

**OMB No. 2577-0226
Expires 4/30/2011**

1.0	PHA Information PHA Name: The Mid-East Regional Housing Authority, Washington, NC PHA Code: NC087 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 10/2009				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 185 Number of HCV units: 537				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) N/A				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. N/A				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: SEE ATTACHMENTS				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. SEE ATTACHMENTS				
6.0	PHA Plan Update (a) No Changes (b) PHA Template is available for review at the PHA Main Office.				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> SEE ATTACHMENTS				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. On File at PHA Main Office				
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. On File at PHA Main Office				
8.3	Capital Fund Financing Program (CFFP). <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. N/A				
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. SEE ATTACHMENTS				

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. SEE ATTACHMENTS</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>SEE ATTACHMENTS</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> <p>On File at PHA Main Office</p>

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC19P08750109 Date of CFFP:		Replacement Housing Factor Grant No:	
				FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³	\$39,000.00			
3	1408 Management Improvements	\$15,000.00			
4	1410 Administration (may not exceed 10% of line 20)	\$22,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$5,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	\$3,000.00			
10	1460 Dwelling Structures	\$37,180.00			
11	1465.1 Dwelling Equipment—Nonexpendable	\$14,500.00			
12	1470 Non-dwelling Structures	\$3,000.00			
13	1475 Non-dwelling Equipment	\$10,500.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment	\$95,000.00			
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$244,184.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750109 Replacement Housing Factor Grant No:			CFFP (Yes <input checked="" type="checkbox"/> /No <input type="checkbox"/>)		Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵	Funds Obligated ⁶	Funds Expended ²	
	<u>OPERATIONS</u>							
PHA Wide	Operations	1406	185	\$39,000.00				
	SUBTOTAL			\$39,000.00				
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Management Improvements	1408	185	\$15,000.00				
	SUBTOTAL			\$15,000.00				
	<u>ADMINISTRATION</u>							
PHA-Wide	Administration	1410	185	\$22,000.00				
	SUBTOTAL			\$22,000.00				
	<u>FEES & COSTS</u>							
PHA-Wide	a. Architects fee to prepare bid and contract documents, drawings, specifications and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a periodic basis. Fee to be negotiated	1430.1	185	\$2,000.00				
	Subtotal			\$2,000.00				
PHA-Wide	b. Consulting fees for Agency Plan preparation.	1430.2	185	\$3,000.00				
	Subtotal			\$3,000.00				
	SUBTOTAL			\$5,000.00				
	<u>SITE IMPROVEMENTS</u>							
PHA-Wide	a. Add additional area lighting.	1450	185	\$1,000.00				
	Subtotal			\$1,000.00				
NC087-6	b. Provide drainage @ property lines.	1450	30	\$500.00				
	Subtotal			\$500.00				

⁵ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

⁶ To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750109 Replacement Housing Factor Grant No:		CFFP (Yes <input checked="" type="checkbox"/> /No <input type="checkbox"/>)		Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵	Funds Obligated ⁶	Funds Expended ²	
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	a. Purchase lawn equipment	1475.02	LS	\$500.00				
	Subtotal			\$500.00				
PHA-Wide	b. Purchase computers & office equipment.	1475.04	LS	\$5,000.00				
	Subtotal			\$5,000.00				
PHA-Wide	c. Vehicle	1475.07	LS	\$5,000.00				
	Subtotal			\$5,000.00				
	SUBTOTAL			\$10,500.00				
	<u>COLLATERIZATION OR DEBT SERVICE</u>							
PHA-Wide	Debt Reserves	9000	383 Units	\$95,000.00				
	SUBTOTAL			\$95,000.00				
	GRAND TOTAL			\$244,184.00				

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		Replacement Housing Factor Grant No: NC19R08750209	
				FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁷	
		Original	Revised ⁸	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ⁹				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ¹⁰	\$276,595.00			
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$276,595.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

⁷ To be completed for the Performance and Evaluation Report.

⁸ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

⁹ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

¹⁰ RHF funds shall be included here.

PART I: SUMMARY

PHA Name/Number: The Mid-East Regional Housing Authority; Washington, North Carolina/NC087		Locality: Washington, North Carolina		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 4		
A.	Development Number NC087	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
B.	Physical Improvements Subtotal	Annual Statement	\$138,759.00	\$152,759.00	\$147,759.00	\$60,759.00
C.	Management Improvements		\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment		\$21,000.00	\$17,000.00	\$17,000.00	\$89,000.00
E.	Administration		\$10,150.00	\$10,150.00	\$10,150.00	\$10,150.00
F.	Other		\$14,000.00	\$14,000.00	\$9,000.00	\$14,000.00
G.	Operations		\$40,275.00	\$30,275.00	\$40,275.00	\$40,275.00
H.	Demolition		\$0.00	\$0.00	\$0.00	\$0.00
I.	Development		\$0.00	\$0.00	\$0.00	\$10,000.00
J.	Capital Fund Financing – Debt Service		\$0.00	\$0.00	\$0.00	\$0.00
K.	Total CFP Funds		\$244,184.00	\$244,184.00	\$244,184.00	\$244,184.00
L.	Total Non-CFP Funds		\$276,959.00	\$276,959.00	\$276,959.00	\$276,959.00
M.	Grand Total		\$521,143.00	\$521,143.00	\$521,143.00	\$521,143.00

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC19P08750108 Date of CFFP:		Replacement Housing Factor Grant No:	
				FFY of Grant: 2008 FFY of Grant Approval: 2008	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost¹³	
		Original	Revised¹⁴	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ¹⁵	\$48,000.00		\$44,000.00	\$44,000.00
3	1408 Management Improvements	\$15,000.00		\$3,595.38	\$3,595.38
4	1410 Administration (may not exceed 10% of line 20)	\$22,000.00		\$6,179.88	\$6,179.88
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$12,000.00		\$0.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$70,184.00		\$41,780.00	\$41,780.00
10	1460 Dwelling Structures	\$20,000.00		\$3,426.50	\$3,426.50
11	1465.1 Dwelling Equipment—Nonexpendable	\$15,000.00		\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$10,000.00		\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$32,000.00		\$1,606.80	\$1,606.80
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ¹⁶				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$244,184.00		\$100,588.56	\$100,588.56
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹³ To be completed for the Performance and Evaluation Report.

¹⁴ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

¹⁵ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

¹⁶ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750108 Replacement Housing Factor Grant No:			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹⁷	Funds Obligated ¹⁸	Funds Expended ²	
	<u>OPERATIONS</u>							
PHA Wide	Operations	1406	185	\$48,000.00		\$44,000.00	\$44,000.00	In Progress
	SUBTOTAL			\$48,000.00		\$44,000.00	\$44,000.00	
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Management Improvements	1408	185	\$15,000.00		\$3,595.38	\$3,595.38	In Progress
	SUBTOTAL			\$15,000.00		\$3,595.38	\$3,595.38	
	<u>ADMINISTRATION</u>							
PHA-Wide	Administration	1410	185	\$22,000.00		\$6,179.88	\$6,179.88	In Progress
	SUBTOTAL			\$22,000.00		\$6,179.88	\$6,179.88	
	<u>FEES & COSTS</u>							
PHA-Wide	a. Architects fee to prepare bid and contract documents, drawings, specifications and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a periodic basis. Fee to be negotiated	1430.1	185	\$10,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$10,000.00		\$0.00	\$0.00	
PHA-Wide	b. Consulting fees for Agency Plan preparation.	1430.2	185	\$2,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$2,000.00		\$0.00	\$0.00	
	SUBTOTAL			\$12,000.00		\$0.00	\$0.00	
	<u>SITE IMPROVEMENTS</u>							
PHA-Wide	a. Add additional area lighting.	1450	185	\$1,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$1,000.00		\$0.00	\$0.00	
NC087-6	b. Provide drainage @ property lines.	1450	30	\$500.00		\$0.00	\$0.00	No Progress
	Subtotal			\$500.00		\$0.00	\$0.00	

¹⁷ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

¹⁸ To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750108 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹⁷	Funds Obligated ¹⁸	Funds Expended ²	
NC087-6	c. Defensible space and landscaping (At Roanoke Court, Jamesville)	1450	30	\$68,184.00		\$41,780.00	\$41,780.00	In Progress
	Subtotal			\$68,184.00		\$41,780.00	\$41,780.00	
PHA-Wide	d. Correct sidewalk settling issues.	1450	185	\$500.00		\$0.00	\$0.00	No Progress
	Subtotal			\$500.00		\$0.00	\$0.00	
	SUBTOTAL			\$70,184.00		\$41,780.00	\$41,780.00	
	<u>DWELLING STRUCTURES</u>							
NC087-012	a. Screens at Pamlico, Barnes & Bay Courts	1460	50	\$10,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$10,000.00		\$0.00	\$0.00	
PHA-Wide	b. Misc. unit improvements. (walls, ceilings, floors, doors, paint, cabinets)	1460	185	\$10,000.00		\$3,426.50	\$3,426.50	In Progress
	Subtotal			\$10,000.00		\$3,426.50	\$3,426.50	
	SUBTOTAL			\$20,000.00		\$3,426.50	\$3,426.50	
	<u>DWELLING EQUIPMENT</u>							
	<u>NON-EXPENDABLE</u>							
PHA-Wide	Replace ranges & refrigerators	1465.1	185	\$15,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$15,000.00		\$0.00	\$0.00	
	<u>NON-DWELLING STRUCTURES</u>							
PHA-Wide	Renovate AMC building and increase space. (electrical, plumbing, hvac, walls, ceilings, floors & doors)	1470	LS	\$10,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$10,000.00		\$0.00	\$0.00	
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	a. Purchase lawn equipment	1475.02	LS	\$2,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$2,000.00		\$0.00	\$0.00	
PHA-Wide	b. Purchase computers & office equipment.	1475.04	LS	\$12,000.00		\$1,606.80	\$1,606.80	In Progress
	Subtotal			\$12,000.00		\$1,606.80	\$1,606.80	
PHA-Wide	c. Purchase new truck	1475.07	LS	\$18,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$18,000.00		\$0.00	\$0.00	
	SUBTOTAL			\$32,000.00		\$1,606.80	\$1,606.80	
	GRAND TOTAL			\$244,184.00		\$100,588.56	100,588.56	

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		Replacement Housing Factor Grant No: NC19R08750208	
				FFY of Grant: 2008 FFY of Grant Approval: 2008	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹⁹	
		Original	Revised ²⁰	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ²¹				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ²²	\$276,595.00		\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$276,595.00		\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹⁹ To be completed for the Performance and Evaluation Report.

²⁰ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²¹ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

²² RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750208			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ²³	Funds Obligated ²⁴	Funds Expended ²	
	<u>DEVELOPMENT ACTIVITIES</u>							
PHA-Wide	Replacement Housing	1499	LS	\$276,595.00		\$0.00	\$0.00	No Progress
	SUBTOTAL			\$276,595.00		\$0.00	\$0.00	
	GRAND TOTAL			\$276,595.00		\$0.00	\$0.00	

²³ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
²⁴ To be completed for the Performance and Evaluation Report.

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC19P08750107 Date of CFFP:		Replacement Housing Factor Grant No:	FFY of Grant: 2007 FFY of Grant Approval: 2007
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²⁵	
		Original	Revised ²⁶	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ²⁷	\$40,000.00		\$35,000.00	\$35,000.00
3	1408 Management Improvements	\$16,559.12		\$16,559.12	\$16,559.12
4	1410 Administration (may not exceed 10% of line 20)	\$22,000.00		\$21,737.34	\$21,737.34
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$12,000.00		\$2,000.00	\$2,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$50,000.00		\$39,288.23	\$39,288.23
10	1460 Dwelling Structures	\$15,500.00		\$11,610.99	\$11,610.99
11	1465.1 Dwelling Equipment—Nonexpendable	\$12,000.00		\$11,814.26	\$11,814.26
12	1470 Non-dwelling Structures	\$27,504.88		\$12,079.42	\$12,079.42
13	1475 Non-dwelling Equipment	\$32,000.00		\$7,839.67	\$7,839.67
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ²⁸				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$227,564.00		\$157,929.03	\$157,929.03
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

²⁵ To be completed for the Performance and Evaluation Report.

²⁶ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²⁷ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

²⁸ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750107 Replacement Housing Factor Grant No:			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ²⁹	Funds Obligated ³⁰	Funds Expended ²	
	<u>OPERATIONS</u>							
PHA Wide	Operations	1406	185	\$40,000.00		\$35,000.00	\$35,000.00	In Progress
	SUBTOTAL			\$40,000.00		\$35,000.00	\$35,000.00	
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Management Improvements	1408	185	\$16,559.12		\$16,559.12	\$16,559.12	Complete
	SUBTOTAL			\$16,559.12		\$16,559.12	\$16,559.12	
	<u>ADMINISTRATION</u>							
PHA-Wide	Administration	1410	185	\$22,000.00		\$21,737.34	\$21,737.34	In Progress
	SUBTOTAL			\$22,000.00		\$21,737.34	\$21,737.34	
	<u>FEES & COSTS</u>							
PHA-Wide	a. Architects fee to prepare bid and contract documents, drawings, specifications and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a periodic basis. Fee to be negotiated	1430.1	185	\$10,000.00		\$0.00	\$0.00	No Progress
	Subtotal			\$10,000.00		\$0.00	\$0.00	No Progress
PHA-Wide	b. Consulting fees for Agency Plan preparation.	1430.2	185	\$2,000.00		\$2,000.00	\$2,000.00	Complete
	Subtotal			\$2,000.00		\$2,000.00	\$2,000.00	
	SUBTOTAL			\$12,000.00		\$2,000.00	\$2,000.00	
	<u>SITE IMPROVEMENTS</u>							
PHA-Wide	a. Add additional area lighting.	1450	185	\$26,288.23		\$26,288.23	\$26,288.23	Complete
	Subtotal			\$26,288.23		\$26,288.23	\$26,288.23	
NC087-8	b. Provide drainage @ perimeter ditches.	1450	30	\$200.00		\$0.00	\$0.00	No Progress
	Subtotal			\$200.00		\$0.00	\$0.00	

²⁹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³⁰ To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750107 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ²⁹	Funds Obligated ³⁰	Funds Expended ²	
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	a. Purchase new mowers & maintenance equipment.	1475.02	LS	\$2,000.00		\$930.04	\$930.04	In Progress
	Subtotal			\$2,000.00		\$930.04	\$930.04	
PHA-Wide	b. Purchase computers & office equipment.	1475.04	LS	\$12,000.00		\$6,909.63	\$6,909.63	In Progress
	Subtotal			\$12,000.00		\$6,909.63	\$6,909.63	
PHA-Wide	c. Purchase new truck	1475.07	LS	\$18,000.00		0	0	No Progress
	Subtotal			\$18,000.00		0	0	
	SUBTOTAL			\$32,000.00		\$7,839.67	\$7,839.67	
	GRAND TOTAL			\$227,564.00		\$157,929.03	\$157,929.03	

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		Replacement Housing Factor Grant No: NC19R08750207	
				FFY of Grant: 2007 FFY of Grant Approval: 2007	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ³¹	
		Original	Revised ³²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ³⁴	\$297,419.00		\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$297,419.00		\$0.00	\$0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

³¹ To be completed for the Performance and Evaluation Report.

³² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

³⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC19P08750106 Date of CFFP:		Replacement Housing Factor Grant No:	
				FFY of Grant: 2006 FFY of Grant Approval: 2006	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 5)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ³⁷	
		Original	Revised ³⁸	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ³⁹	\$40,236.00	\$40,236.00	\$40,236.00	\$40,236.00
3	1408 Management Improvements	\$10,931.40	\$10,931.40	\$10,931.40	\$10,931.40
4	1410 Administration (may not exceed 10% of line 20)	\$18,476.91	\$18,476.91	\$18,476.91	\$18,476.91
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$14,235.71	\$14,235.71	\$14,235.71	\$14,235.71
8	1440 Site Acquisition				
9	1450 Site Improvement	\$10,827.68	\$12,421.68	\$12,421.68	\$12,421.68
10	1460 Dwelling Structures	\$68,668.75	\$67,074.75	\$67,074.75	\$58,086.59
11	1465.1 Dwelling Equipment—Nonexpendable	\$18,838.52	\$18,838.52	\$18,838.52	\$18,838.52
12	1470 Non-dwelling Structures	\$6,210.03	\$6,210.03	\$6,210.03	\$6,210.03
13	1475 Non-dwelling Equipment	\$30,000.00	\$30,000.00	\$30,000.00	\$27,328.23
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴⁰				
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$218,425.00	\$218,425.00	\$218,425.00	\$206,765.07
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

³⁷ To be completed for the Performance and Evaluation Report.

³⁸ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³⁹ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴⁰ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750106 Replacement Housing Factor Grant No:			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁴¹	Funds Obligated ⁴²	Funds Expended ²	
	<u>OPERATIONS</u>							
PHA Wide	Operations	1406	185	\$40,236.00	\$40,236.00	\$40,236.00	\$40,236.00	Complete
	SUBTOTAL			\$40,236.00	\$40,236.00	\$40,236.00	\$40,236.00	
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Management Improvements	1408	185	\$10,931.40	\$10,931.40	\$10,931.40	\$10,931.40	Complete
	SUBTOTAL			\$10,931.40	\$10,931.40	\$10,931.40	\$10,931.40	
	<u>ADMINISTRATION</u>							
PHA-Wide	Administration	1410	185	\$18,287.20	\$18,287.20	\$18,287.20	\$18,287.20	Complete
	Subtotal			\$18,287.20	\$18,287.20	\$18,287.20	\$18,287.20	
PHA-Wide	Publications	1410.12	185	\$32.00	\$32.00	\$32.00	\$32.00	Complete
	Subtotal			\$32.00	\$32.00	\$32.00	\$32.00	
PHA-Wide	Sundry	1410.19	185	\$157.71	\$157.71	\$157.71	\$157.71	Complete
	Subtotal			\$157.71	\$157.71	\$157.71	\$157.71	
	SUBTOTAL			\$18,476.91	\$18,476.91	\$18,476.91	\$18,476.91	
	<u>FEES & COSTS</u>							
PHA-Wide	a. Architects fee to prepare bid and contract documents, drawings, specifications and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a periodic basis. Fee to be negotiated	1430.1	185	\$3,105.73	\$3,105.73	\$3,105.73	\$3,105.73	Complete
	Subtotal			\$3,105.73	\$3,105.73	\$3,105.73	\$3,105.73	
PHA-Wide	b. Consulting fees for Agency Plan preparation.	1430.2	185	\$11,129.98	\$11,129.98	\$11,129.98	\$11,129.98	Complete
	Subtotal			\$11,129.98	\$11,129.98	\$11,129.98	\$11,129.98	
	SUBTOTAL			\$14,235.71	\$14,235.71	\$14,235.71	\$14,235.71	

⁴¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

⁴² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750106 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁴¹	Funds Obligated ⁴²	Funds Expended ²	
	<u>SITE IMPROVEMENTS</u>							
PHA-Wide	a. Miscellaneous site work	1450	185	\$10,827.68	\$12,421.68	\$12,421.68	\$12,421.68	In Progress
	Subtotal			\$10,827.68	\$12,421.68	\$12,421.68	\$12,421.68	
NC087-13	b. Paving, culvert, screen fencing & landscaping	1450	185	\$0.00	\$0.00	\$0.00	\$0.00	Deferred
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$10,827.68	\$12,421.68	\$12,421.68	\$12,421.68	
	<u>DWELLING STRUCTURES</u>							
NC087	a. Replace vandal resistant window screens	1460	185	\$0.00	\$0.00	\$0.00	\$0.00	Deferred
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
NC087-6	b. Add misc. interior renovations (w/ fungibility from 2005)	1460	185	\$68,668.75	\$67,074.75	\$67,074.75	\$58,086.59	In Progress
	Subtotal			\$68,668.75	\$67,074.75	\$67,074.75	\$58,086.59	
	SUBTOTAL			\$68,668.75	\$67,074.75	\$67,074.75	\$58,086.59	
	<u>DWELLING EQUIPMENT</u>							
	<u>NON-EXPENDABLE</u>							
PHA-Wide	Add ranges & refrigerators (w/ fungibility from 2005)	1465.1	185	\$18,838.52	\$18,838.52	\$18,838.52	\$18,838.52	Complete
	SUBTOTAL			\$18,838.52	\$18,838.52	\$18,838.52	\$18,838.52	
	<u>NON-DWELLING STRUCTURES</u>							
PHA-Wide	Add cabinets at community room (w/ fungibility from year 2007 of 2006 plan)	1470	LS	\$6,210.03	\$6,210.03	\$6,210.03	\$6,210.03	Complete
	SUBTOTAL			\$6,210.03	\$6,210.03	\$6,210.03	\$6,210.03	
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	a. Add 1 mower & 1 truck (w/ fungibility from year 2007 Of 2006 plan)	1475.07	LS	\$7,513.58	\$7,513.58	\$7,513.58	\$7,513.58	Complete
	Subtotal			\$7,513.58	\$7,513.58	\$7,513.58	\$7,513.58	
PHA-Wide	b. Add weed eater. (w/ fungibility from year 2007 Of 2006 plan)	1475.02	LS	\$1,711.50	\$2,906.02	\$2,906.02	\$2,906.02	Complete
	Subtotal			\$1,711.50	\$2,906.02	\$2,906.02	\$2,906.02	

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750106 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2006		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁴¹	Funds Obligated ⁴²	Funds Expended ²	
PHA-Wide	c. Add computer equipment and supplies (includes misc. community & office equip.) (w/ fungibility from year 2007 Of 2006 plan)	1475.04	LS	\$20,774.92	\$19,580.40	\$19,580.40	\$16,908.63	In Progress
	Subtotal			\$20,774.92	\$19,580.40	\$19,580.40	\$16,908.63	
	SUBTOTAL			\$30,000.00	\$30,000.00	\$30,000.00	\$27,328.23	
	GRAND TOTAL			\$218,425.00	\$218,425.00	\$218,425.00	\$206,765.07	

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		FFY of Grant: 2006 FFY of Grant Approval: 2006	
		Replacement Housing Factor Grant No: NC19R08750206			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁴³	
		Original	Revised ⁴⁴	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ⁴⁵				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴⁶	\$301,718.00 *		\$257,582.70	\$257,582.70
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$301,718.00 *		\$257,582.70	\$257,582.70
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

⁴³ To be completed for the Performance and Evaluation Report.
⁴⁴ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
⁴⁵ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴⁶ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Housing Authority of the City of Sanford; Sanford, North Carolina		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R03550206			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2006	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁴⁷	Funds Obligated ⁴⁸	Funds Expended ²	
	<u>DEVELOPMENT ACTIVITIES</u>							
PHA-Wide	Replacement Housing	1499	LS	\$301,718.00 *		\$257,582.70	\$257,582.70	In Progress
	SUBTOTAL			\$301,718.00 *		\$257,582.70	\$257,582.70	
	GRAND TOTAL			\$301,718.00 *		\$257,582.70	\$257,582.70	

⁴⁷ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
⁴⁸ To be completed for the Performance and Evaluation Report.

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC19P08750105 Date of CFFP:		Replacement Housing Factor Grant No:	
				FFY of Grant: 2005 FFY of Grant Approval: 2005	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁴⁹	
		Original	Revised ⁵⁰	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ⁵¹	\$8,797.67	\$8,635.14	\$8,635.14	\$4,459.77
3	1408 Management Improvements	\$14,195.16	\$14,195.16	\$14,195.16	\$14,195.16
4	1410 Administration (may not exceed 10% of line 20)	\$20,771.68	\$20,771.68	\$20,771.68	\$20,771.68
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$16,000.00	\$16,162.53	\$16,162.53	\$16,162.53
8	1440 Site Acquisition				
9	1450 Site Improvement	\$23,997.66	\$23,997.66	\$23,997.66	\$23,997.66
10	1460 Dwelling Structures	\$22,615.66	\$22,615.66	\$22,615.66	\$19,806.12
11	1465.1 Dwelling Equipment—Nonexpendable	\$7,735.42	\$7,735.42	\$7,735.42	\$7,735.42
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$59,627.75	\$59,627.75	\$59,627.75	\$59,627.75
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁵²				
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$173,741.00	\$173,741.00	\$173,741.00	\$166,756.09
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

⁴⁹ To be completed for the Performance and Evaluation Report.

⁵⁰ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

⁵¹ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁵² RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750105 Replacement Housing Factor Grant No:			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2005	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵³	Funds Obligated ⁵⁴	Funds Expended ²	
	<u>OPERATIONS</u>							
PHA-Wide	Operations	1406	LS	\$8,797.67	\$8,635.14	\$8,635.14	\$4,459.77	In Progress
	SUBTOTAL			\$8,797.67	\$8,635.14	\$8,635.14	\$4,459.77	
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Computer software & TA	1408	LS	\$14,195.16	\$14,195.16	\$14,195.16	\$14,195.16	Completed
	SUBTOTAL			\$14,195.16	\$14,195.16	\$14,195.16	\$14,195.16	
	<u>ADMINISTRATION</u>							
PHA-Wide	Salaries & Benefits	1410	LS	\$20,771.68	\$20,771.68	\$20,771.68	\$20,771.68	Completed
	SUBTOTAL			\$20,771.68	\$20,771.68	\$20,771.68	\$20,771.68	
	<u>FEES & COST</u>							
PHA-Wide	Hire A/E	1430	LS	\$16,000.00	\$16,162.53	\$16,162.53	\$16,162.53	Completed
	SUBTOTAL			\$16,000.00	\$16,162.53	\$16,162.53	\$16,162.53	
	<u>SITE IMPROVEMENTS</u>							
PHA-Wide	a. Water cut off valves	1450	LS	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	b. Drainage & driveway repairs	1450	LS	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	c. Add Force Account labor to maintain apartments & grounds. Includes salary, benefits & materials.	1450	LS	\$23,997.66	\$23,997.66	\$23,997.66	\$23,997.66	Completed
	Subtotal			\$23,997.66	\$23,997.66	\$23,997.66	\$23,997.66	
	SUBTOTAL			\$23,997.66	\$23,997.66	\$23,997.66	\$23,997.66	

⁵³ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

⁵⁴ To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750105 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2005		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵³	Funds Obligated ⁵⁴	Funds Expended ²	
	<u>DWELLING STRUCTURES</u>							
PHA-Wide	a. vinyl exterior @ Roanoke Ct.	1460	80	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
	b. power wash units	1460	80	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
	c. install interior doors & hardware	1460	80	\$2,511.49	\$2,511.49	\$2,511.49	\$2,511.49	Complete
	Subtotal			\$2,511.49	\$2,511.49	\$2,511.49	\$2,511.49	
	d. Add Force Account labor to maintain apartments. Includes salary, benefits & materials.	1460	LS	\$20,104.17	\$20,104.17	\$20,104.17	\$17,294.63	In Process
	Subtotal			\$20,104.17	\$20,104.17	\$20,104.17	\$19,806.12	
	SUBTOTAL			\$22,615.66	\$22,615.66	\$22,615.66	\$19,806.12	
	<u>DWELLING EQUIPMENT</u>							
	<u>NON-EXPENDABLE</u>							
PHA-Wide	Ranges & Refrigerators	1465.1	LS	\$7,735.42	\$7,735.42	\$7,735.42	\$7,735.42	Completed
	SUBTOTAL			\$7,735.42	\$7,735.42	\$7,735.42	\$7,735.42	
	<u>NON-DWELLING STRUCTURES</u>							
PHA-Wide	Install electric to storage bldg.	1470	LS	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	SUBTOTAL			\$0.00	\$0.00	\$0.00	\$0.00	
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	a. 2 mowers & 2 trucks	1475	LS	\$45,677.21	\$45,677.21	\$45,677.21	\$45,677.21	Completed
	Subtotal			\$45,677.21	\$45,677.21	\$45,677.21	\$45,677.21	
PHA-Wide	b. weed eaters	1475	LS	\$0.00	\$0.00	\$0.00	\$0.00	Deleted
	Subtotal			\$0.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	c. computer equipment & supplies	1475	LS	\$13,950.54	\$13,950.54	\$13,950.54	\$13,950.54	Completed
	Subtotal			\$13,950.54	\$13,950.54	\$13,950.54	\$13,950.54	
	SUBTOTAL			\$59,627.75	\$59,627.75	\$59,627.75	\$59,627.75	

Part II: Supporting Pages

PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: NC1908750105 Replacement Housing Factor Grant No:		CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2005		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵³	Funds Obligated ⁵⁴	Funds Expended ²	
	<u>DEVELOPMENT ACTIVITIES</u>							
PHA-Wide	Replacement housing	1499		\$10,000.00	\$0.00	\$0.00	\$0.00	Defer
	SUBTOTAL			\$10,000.00	\$0.00	\$0.00	\$0.00	
	GRAND TOTAL			\$173,741.00	\$173,741.00	\$173,741.00	\$166,756.09	

Part I: Summary					
PHA Name: The Mid-East Regional Housing Authority; Washington, North Carolina		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		FFY of Grant: 2002 FFY of Grant Approval: 2002	
		Replacement Housing Factor Grant No: NC19R08750202			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/09					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁵⁵	
		Original	Revised ⁵⁶	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) ⁵⁷				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 20)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁵⁸	\$364,256.00		\$306,112.72	\$306,112.72
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2-19)	\$364,256.00		\$306,112.72	\$306,112.72
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

⁵⁵ To be completed for the Performance and Evaluation Report.
⁵⁶ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
⁵⁷ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁵⁸ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: The Housing Authority of the City of Sanford; Sanford, North Carolina		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R03550202			CFFP (Yes <input type="checkbox"/> /No <input checked="" type="checkbox"/>)		Federal FFY of Grant: 2002	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ⁵⁹	Funds Obligated ⁶⁰	Funds Expended ²	
	<u>DEVELOPMENT ACTIVITIES</u>							
NC87-12	Replacement Housing	1499	12 Units	\$364,256.00		\$306,112.72	\$306,112.72	In
	SUBTOTAL			\$364,256.00		\$306,112.72	\$306,112.72	Progress
	GRAND TOTAL			\$364,256.00		\$306,112.72	\$306,112.72	

⁵⁹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
⁶⁰ To be completed for the Performance and Evaluation Report.

ATTACHMENTS

5.1-Mission

The aim of the Mid-East Regional Housing Authority is to ensure safe, decent, and affordable housing: create opportunities for residents self-sufficiency and economic independence; and assure fiscal integrity in all programs administered.

5.2-Goals

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
 - Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

6.0 #12-VAWA Policy

MID-EAST REGIONAL HOUSING AUTHORITY VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY

I. PURPOSE AND APPLICABILITY

THE PURPOSE OF THIS POLICY (HEREIN CALLED "POLICY") IS TO IMPLEMENT THE APPLICABLE PROVISIONS OF THE VIOLENCE AGAINST WOMEN AND DEPARTMENT OF JUSTICE REAUTHORIZATION ACT OF 2005 (PUB. L. 109-162) AND MORE GENERALLY TO SET FORTH MID-EAST REGIONAL HOUSING AUTHORITY'S POLICIES AND PROCEDURES REGARDING DOMESTIC VIOLENCE, DATING VIOLENCE, AND STALKING, AS HEREINAFTER DEFINED.

This Policy shall be applicable to the administration by MID-EAST REGIONAL HOUSING AUTHORITY of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

II. GOALS AND OBJECTIVES

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by ;
- C. Providing and maintaining housing opportunities for victims of domestic violence dating violence, or stalking;
- D. Creating and maintaining collaborative arrangements between , law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by MID-EAST REGIONAL HOUSING AUTHORITY; and
- E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by MID-EAST REGIONAL HOUSING AUTHORITY.

III. Other Mid-East Regional Housing Authority Policies and Procedures

This Policy shall be referenced in and attached to MID-EAST REGIONAL HOUSING AUTHORITY'S Five-Year Public Housing Agency Plan and shall be incorporated in and made a part of MID-EAST REGIONAL HOUSING AUTHORITY'S Admissions and Continued Occupancy Policy. MID-EAST REGIONAL HOUSING AUTHORITY'S annual public housing agency plan shall also contain information concerning AHA'S activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of MID-EAST REGIONAL HOUSING AUTHORITY, the provisions of this Policy shall prevail.

IV. DEFINITIONS

As used in this Policy:

A. *Domestic Violence* – The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

B. *Dating Violence* – means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim;
and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;

(ii) a member of the immediate family of that person; or

(iii) the spouse or intimate partner of that person;

D. *Immediate Family Member* - means, with respect to a person –

(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or

(B) any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

V. ADMISSIONS AND SCREENING

A. *Non-Denial of Assistance*. MID-EAST REGIONAL HOUSING AUTHORITY will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

[Optional preference provision: Note that VAWA does not require an admissions preference, and, therefore, if such a preference is adopted it need not be applicable to victims of dating violence and stalking as well as to domestic violence]

B. *Admissions Preference*. Applicants for housing assistance from MID-EAST REGIONAL HOUSING AUTHORITY will not receive a preference in admissions by virtue of their status as victims of domestic violence [dating violence, stalking].

[Optional adverse information provision: Note that VAWA does not require such a provision]

C. *Mitigation of Disqualifying Information.* When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, MID-EAST REGIONAL HOUSING AUTHORITY, may but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, MID-EAST REGIONAL HOUSING AUTHORITY shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. MID-EAST REGIONAL HOUSING AUTHORITY will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

VI. TERMINATION OF TENANCY OR ASSISTANCE

A. *VAWA Protections.* Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by AHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
2. In addition to the foregoing, tenancy or assistance will not be terminated by MID-EAST REGIONAL HOUSING AUTHORITY as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant’s control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:

- (a) Nothing contained in this paragraph shall limit any otherwise available authority of MID-EAST REGIONAL HOUSING AUTHORITY’ or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant’s household. However, in taking any such action, neither MID-EAST REGIONAL HOUSING AUTHORITY nor a Section 8 manager or owner may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.
- (b) Nothing contained in this paragraph shall be construed to limit the authority of AHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or MID-EAST REGIONAL HOUSING AUTHORITY, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

B. *Removal of Perpetrator.* Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, AHA or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the

tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by MID-EAST REGIONAL HOUSING AUTHORITY. Leases used for all public housing operated by MID-EAST REGIONAL HOUSING AUTHORITY and, at the option of Section 8 owners or managers, leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by AHA, shall contain provisions setting forth the substance of this paragraph.

A. *Requirement for Verification.* The law allows, but does not require, MID-EAST REGIONAL HOUSING AUTHORITY or a section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., MID-EAST REGIONAL HOUSING AUTHORITY shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by MID-EAST REGIONAL HOUSING AUTHORITY. Section 8 owners or managers receiving rental assistance administered by AHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* - by providing to MID-EAST REGIONAL HOUSING AUTHORITY or to the requesting Section 8 owner or manager a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
2. *Other documentation* - by providing to MID-EAST REGIONAL HOUSING AUTHORITY or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.
3. *Police or court record* - by providing to MID-EAST REGIONAL HOUSING AUTHORITY or to the requesting Section 8 owner or manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. *Time allowed to provide verification/ failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by MID-EAST REGIONAL HOUSING AUTHORITY, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days (*i.e.*, 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

C. *Waiver of verification requirement.* The Executive Director of MID-EAST REGIONAL HOUSING AUTHORITY, or a Section 8

owner or manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, owner or manager. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

VIII. Confidentiality

A. *Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to MID-EAST REGIONAL HOUSING AUTHORITY or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or
2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
3. otherwise required by applicable law.

B. *Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by MID-EAST REGIONAL HOUSING AUTHORITY shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

[Optional provisions – paragraphs A through D]

VIII. Transfer to New Residence

A. *Application for transfer.* In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence, or stalking, MID-EAST REGIONAL HOUSING AUTHORITY will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing or Section 8 tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

B. *Action on applications.* MID-EAST REGIONAL HOUSING AUTHORITY will act upon such an application promptly [alternatively, insert a number of business days].

C. *No right to transfer.* MID-EAST REGIONAL HOUSING AUTHORITY will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided in paragraph IX. E. below the decision to grant or refuse to grant a transfer

shall lie within the sole discretion of MID-EAST REGIONAL HOUSING AUTHORITY, and this policy does not create any right on the part of any applicant to be granted a transfer.

- D. *Family rent obligations.* If a family occupying MID-EAST REGIONAL HOUSING AUTHORITY public housing moves before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term unless released by MID-EAST REGIONAL HOUSING AUTHORITY. In cases where AHA determines that the family's decision to move was reasonable under the circumstances, MID-EAST REGIONAL HOUSING AUTHORITY may wholly or partially waive rent payments and any rent owed shall be reduced by the amounts of rent collected for the remaining lease term from a tenant subsequently occupying the unit.
- E. *Portability.* Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

[Optional Provision]

X. COURT ORDERS/FAMILY BREAK-UP

A. *Court orders.* It is MID-EAST REGIONAL HOUSING AUTHORITY's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by AHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. *Family break-up.* Other MID-EAST REGIONAL HOUSING AUTHORITY policies regarding family break-up are contained in AHA's Public Housing Admissions and Continuing Occupancy Plan (ACOP) and its Section 8 Administrative Plan.

[Optional provision]

XI. RELATIONSHIPS WITH SERVICE PROVIDERS

It is the policy of MID-EAST REGIONAL HOUSING AUTHORITY to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If MID-EAST REGIONAL HOUSING AUTHORITY staff become aware that an individual assisted by MID-EAST REGIONAL HOUSING AUTHORITY is a victim of domestic violence, dating violence or stalking, MID-EAST REGIONAL HOUSING AUTHORITY will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring MID-EAST REGIONAL HOUSING AUTHORITY either to maintain a relationship with any particular provider of shelter or services to victims or domestic violence or to make a referral in any particular case. MID-EAST REGIONAL HOUSING AUTHORITY's annual public housing agency plan shall describe providers of shelter or services to victims of domestic violence with which MID-EAST REGIONAL HOUSING AUTHORITY has referral or other cooperative relationships.

XII. NOTIFICATION

MID-EAST REGIONAL HOUSING AUTHORITY shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

XIII. RELATIONSHIP WITH OTHER APPLICABLE LAWS

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

XIV. AMENDMENT

This policy may be amended from time to time by MID-EAST REGIONAL HOUSING AUTHORITY as approved by the MID-EAST REGIONAL HOUSING AUTHORITY Board of Commissioners.

7.0-Mixed Finance

The PHA plans to acquire a loan to do modernization work at projects NC087-6, 7, 8, 11, 12, 13 & 14 as recommended in its Needs Assessment completed in 2006. The loan will be paid back in yearly installments funded out of its CFP yearly grants. This will allow the Housing Authority to complete more improvements at one time.

9.0-Housing Needs

The Mid-East Regional Housing Authority covers a seven county region in Eastern North Carolina. Our region is what is known as a Tier One Area, designated as a very low income rural area.

Consequently, the need for affordable housing is great. The attached Site-Based Waiting List Summary demonstrates our on-going need for low and moderate income housing in our areas.

DEVELOPMENT INFORMATION	NUMBER OF FAMILIES ON WAITING LIST	
Roanoke Court, Jamesville NC	24	families
Somerset Court, Creswell NC	6	families
Union Court, Roper NC	30	families
Secota Village, Columbia NC	23	families
Barnes Court, Chocowinity NC	35	families
Pamlico Court, Aurora NC	12	families
Bay Court, Swan Quarter NC	12	families
Commerce and Chestnut Courts, Aulander NC	26	families

9.1-Strategy for Addressing Needs

The Mid-East Regional Housing Authority intends to actively develop housing through public/private partnerships utilizing the tools available to us. These tools include:

1. Section 8 Project Based Assistance
2. HUD approved Section 8 Homeownership
3. Public Housing Homeownership
4. Public Housing Section 32 Disposition of units to provide homeownership
5. Tax-Credit utilization when possible.
6. Down Payment Assistance through the Department of Labor and the North Carolina Housing Finance Agency
7. Replacement Housing Funds
8. Capital Fund Financing funds utilized for new public housing development

In the next fiscal year, we will be retaining the services of a development partner that will assist us in the development of our Lewiston, Chocowinity, and possibly other sites.

10.0-Additional Information

(a)(i)

Since our 2005 Plan Mid-East Regional Housing Authority has opened our two new developments, Commerce Court II and Chestnut Court both located in Aulander, North Carolina. These developments have a combined 20 units and are replacement housing for previously demolished units.

Mid-East Regional Housing Authority has effectively expended all Capital Funds in an effort to renovate and/or improve all of our property and buildings.

Customer satisfaction as reflected in our resident survey has remained consistently high, as our PHAS scores indicate.

Over the past year, we have instituted our Homeownership Programs in our Section 8 Voucher Program and are moving forward with issuing our initial homeownership vouchers.

Lastly, we have instituted a Family Self Sufficiency Program in our Voucher Program and are moving forward with our Public Housing Family Self Sufficiency Program.

(a)(ii)

See definitions for criteria.

(b)

Definitions for a substantial deviation from a 5-Year Plan and a significant amendment or modification to a 5-Year Plan and Annual Plan:

a. Substantial Deviation from the 5-Year Plan

Substantial deviations are defined as discretionary in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners or as may be required by HUD.

b. Significant Amendment or Modification to the Annual Plan

Significant amendments or modifications are defined as discretionary in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners or as may be required by HUD.

(b)

N/A

11 (f)-Resident Advisory Board Comments

Narrative for Mid-East Regional Housing Authority RAB Comments

The Mid-East Regional Housing Authority encourages participation in the development of the five-year Consolidated Plan. An extensive community outreach was conducted through our Resident Advisory Council that meets monthly and our Resident Advisory Board (RAB) that meets quarterly. The Mid-East Regional Housing Authority held our quarterly RAB meeting on Tuesday, June 23rd. This meeting was held to solicit input regarding housing needs and priorities. At this meeting, Residents were introduced to the Consolidated Plan process and asked to discuss the community needs. Residents in attendance were given time to go back to their neighborhoods and present the information that was discussed amongst their neighbors to see if there were any concerns that the Housing Authority overlooked. Attached you will find the response from one of the five areas in attendance. Those areas in attendance include Roanoke Court, Secota Village, Commerce Court, Union Court, and Somerset Court. The following four areas were not in attendance: Barnes Court, Bay Court, Pamlico Court, and Chestnut Court.

In response to the Secota Village Community concerns, items such as upgrading the bathrooms, playground equipment, and new air conditioning units will be looked into using Capital Funds. The Housing Managers are conducting inspections routinely and are on top of items of concern for and to the Residents. The Housing Authority will be bringing these issues to the monthly Resident Council meeting, as well as the quarterly RAB meeting in efforts to continue improvement to each site.

Secota Village

- Is there any way possible for new shower and bathtub's?
- Playground equipment
- Air condition unit