

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
-----------------------------------	---	--

1.0	PHA Information PHA Name: <u>Housing & Redevelopment Authority of Duluth, MN</u> PHA Code: <u>MN003</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/01/2009</u>												
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1,131</u> Number of HCV units: <u>1,466</u>												
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only												
4.0	PHA Consortia <i>N/A</i> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)												
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program <table border="1"> <tr> <th>PH</th> <th>HCV</th> </tr> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </table>	PH	HCV	PHA 1:		PHA 2:		PHA 3:	
PH	HCV												
PHA 1:													
PHA 2:													
PHA 3:													
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. <i>N/A – This is an Annual Plan submission and we are a High Performer.</i>												
5.1	Mission. State the PHA’s Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA’s jurisdiction for the next five years: <i>N/A – This is an Annual Plan submission and we are a High Performer.</i>												
5.2	Goals and Objectives. Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>N/A – This is an Annual Plan submission and we are a High Performer.</i>												

<p>6.0</p>	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: <i>None were revised.</i></p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. <i>The HRA's Administrative Office at 222 East Second Street, Duluth, MN 55805 and at all the AMP Offices. These locations are AMP 1: 1502 East First Street, Duluth, MN 55812; AMP 2: 301 East Second Street, Duluth, MN 5805; AMP 3: 222 N. 2nd Avenue East, Duluth, MN 55805; and AMP 4: 2021 West Second Street, Duluth, MN 55806.</i></p>
<p>7.0</p>	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>All HOPE VI funds awarded the HRA in 2003 were expended by the deadline of September 30, 2008. Future phases of mixed finance, mixed income housing on the revitalized site will be developed as program income (through loan repayments) is received by the HRA and as additional, supplemental tax credits and/or other funding become available. We anticipate that assuming supplemental tax credits are awarded during the Plan Year, construction on Phase IV of Harbor Highlands could commence in the Plan Year, as early as the late fall of 2009. When constructed, Phase IV will provide an additional 38 rental units (20 public housing units, 10 tax credit units, and 8 market rent units.) HOPE VI Homeownership development is currently on hold until market conditions improve and additional program income is available. Consequently, no HOPE VI Homeownership development is expected to occur during the upcoming Plan Year. No disposition or demolitions of public housing properties are expected for the upcoming Plan Year with the exception of entering into a 75-year Ground Lease for a nominal fee of \$10 per year with our development partner, TCG, for Phase IV; an expedited disposition application covering this long-term lease arrangement will be submitted to the HUD Special Applications Center (SAC) for approval prior to any Phase IV construction. The HRA does anticipate developing one scattered site public housing unit during the Plan Year by the acquisition development method, to be funded by CF/Replacement Housing Funds. No conversions of any Public Housing will be undertaken. The HRA will continue to offer its Section 8 Homeownership Program at the levels indicated in the 2008 Agency Plan – no changes anticipated (i.e., 10 participant maximum) and will consider an increase if warranted by homebuyer demand and adequacy of Section 8 Voucher funding. The HRA also anticipates no changes in its Project-based Voucher program from the 2008 Agency Plan; any increase above the current 72 will be dependent upon a number of factors, including the needs of the existing tenant based Section 8 Voucher program waiting list and demand, and is not expected in any case to exceed an additional 15 at most. Generally, requests for project-based vouchers stem from needs of supportive-type housing being developed for special populations. Potential Census Tracts include, but are not limited to, Census Tracts 18 & 19.</i></p>
<p>8.0</p>	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>

8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <i>See attachment.</i></p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <i>See attachment.</i></p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <i>N/A</i></p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. <i>N/A – High Performer</i></p>

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan. <i>N/A – High Performer</i></p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. <i>–N/A –High Performer</i></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification” <i>N/A – High Performer</i></p>

- 11.0** **Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.
- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
 - (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
 - (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
 - (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
 - (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
 - (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
 - (g) Challenged Elements
 - (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
 - (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing and Redevelopment Authority of Duluth, Minnesota
 Grant Type and Number: Capital Fund Program: MN46P00350107
 Federal FY: 2007

Original Annual Statement Performance and Evaluation Report for Period Ending: 9/30/09
 Reserve for Disasters/ Emergencies Revised Annual Statement (revision no)
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Rev. #1	Rev. #2	Obligated	Total Actual Cost
1	Total non-CFP Funds MHFA GRANT	0	300,000.00	300,000.00	167,700.25
2	1406 Operations	\$157,336.00	157,336.00	157,336.00	157,336.00
3	1408 Management Improvements	\$ 5,000.00	1,459.22	1,459.22	1,459.22
4	1410 Administration	\$157,336.00	157,336.00	157,336.00	157,336.00
5	1411 Audit	0	0	0	0
6	1415 liquidated Damages	0	0	0	0
7	1430 Fees and Costs	\$115,285.00	167,351.000	167,351.00	120,726.0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	170,000.00	-0-	0	0
10	1460 Dwelling Structures	783,406.00	848,911.80	848,911.80	384,267.96
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	\$115,000.00	237,690.32	237,690.32	138,092.92
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	70,000.00	3,278.66	3,278.66	3,278.66
19	1502 Contingency	0	0	0	0
20	Amount of Annual Grant: (sum of lines 2-19)	1,573,363.00	1,573,363.00	1,573,363.00	962,496.76
21	Amount of line 20 Related to LBP Activities	200,000.00	101,807.10	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	93,406.00	56,924.87	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350107 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350107 Capital Fund Program Replacement Housing Factor #:		Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
Hope VI Activities	Hope VI Activities – Purchase 631 Swan Lake Road 3/31/09	1498	1	\$70,000.00	\$3,278.66	3,278.66	3,278.66
Small PHA Plan Update Page 3 Table Library							

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HIA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
MN. 3-2 Grandview Manor	Upgrade Public Space Furniture	1475	All	\$24,552.00	21,180.36	21,180.36	21,180.36
	Upgrade Security System	1460	All	\$10,000.00	8,747.62	8,747.62	8,747.62
	TOTAL MN. 3-2 Grandview Manor 3/31/09			\$34,552.00	\$34,552.00	\$29,927.98	\$ 29,927.98

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
MN. 3-4 Midtowne Manor 1	Replace domestic Hot Water Heater	1460	1	23,186.29	14,738.51	14,738.51	14,738.51
	Public Space Upgrades	1460	All	100,000.00	98,397.50	98,397.50	98,397.50
	Upgrade Elevators (MHFA \$300,000 + CF \$294,800)	1460	2	0	594,800.00	594,800.00	167,700.29
	Replace Public Space Furniture	1475	All	18,116.80	18,116.80	18,116.80	18,116.80
	Upgrade Security Systems	1460	All	19,203.00	9,947.25	9,947.25	9,947.25
	Resurface Parking Lot	1450	1	35,000.00	-0-	0	0
TOTAL MN. 3-4 Midtowne Manor 1 3/31/09				197,319.00	736,000.06	736,000.06	167,700.29 MHFA 141,200.06 CAP FND

Grant Type and Number
Capital Fund Program MN46P00350107
Capital Fund Program

Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part III: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
MN. 3-5 Ramsey Manor	Upgrade Public Spaces	1460	All	\$55,000.00	38,973.17	38,973.17	38,973.17
	Upgrade Public Space Furniture	1475	All	20,000.00	10,739.00	10,739.00	10,739.00
	Upgrade Security Systems	1460	1	<u>\$10,000.00</u>	<u>8,555.00</u>	<u>8,555.00</u>	<u>8,555.00</u>
	TOTAL MN. 3-5 Ramsey Manor 3/31/09			\$85,000.00	\$58,267.17	58,267.17	58,267.17

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MIN. 3-6 Tri-Towers	Resurface Parking Lot	1450	1	100,000.00	-0-	0	0	
	Elevator Upgrades (Continued)	1460	3	1,813.71	1,813.71	1,813.71	1,813.71	Complete
	Upgrade Security System	1460	All	<u>25,000.00</u>	<u>9,630.00</u>	<u>9,630.00</u>	<u>9,630.00</u>	Complete
	TOTAL MIN. 3-6 Tri-Towers 3/31/09			126,813.71	11,443.71	11,443.71	11,443.71	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
Capital Fund Program MN46P00350107
Capital Fund Program
Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-10 King Manor	Upgrade Security Systems	1460	All	10,000.00	12,380.00	12,380.00	12,380.00	Complete
	Repair Second Street Entry/Skywalk	1460	1	24,679.07	125,326.94	125,326.94	125,326.94	Complete
	TOTAL MN. 3-10 King Manor			34,679.07	137,706.94	137,706.94	137,706.94	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-11 Scattered Sites	Hazardous Material Abatement and Modernization	1460	To be determined	100,000.00	-0-	0	0	complete
TOTAL MN. 3-11 Scattered Sites 3/31/09				100,000.00	-0-	0	0	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-12 Scattered Sites	Hazardous Material Abatement and Modernization 3807 W. 5 th Street – Exterior Mod. \$8,400.00	1460	To be Determined	100,000.00	8400.00	8,400.00	8,400.00	complete
	TOTAL MN. 3-12 Scattered Sites 3/31/09			<u>100,000.00</u>	<u>8400.00</u>	<u>8,400.00</u>	<u>8,400.00</u>	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-14 Midtowne Manor II	Upgrade Hallways/Public Spaces	1460	14 floors	285,320.93	15,213.26	15,213.26	15,213.26	Complete
	Upgrade Public Space Furniture	1475	All	27,331.20	17,210.38	17,210.38	17,210.38	Complete
	Upgrade Security Systems	1460	All	19,203.00	7,665.00	7,665.00	7,665.00	Complete
	Resurface Parking Lot	1450	1	<u>35,000.00</u>	<u>-0-</u>	<u>0</u>	<u>0</u>	
	TOTAL MN. 3-14 Midtowne Manor II 3/31/09			366,855.13	40,088.64	40,088.64	40,088.64	
MN 3-17 Scattered Sites	Exterior Upgrades	1460	26 units	-0-	\$194,323.84	\$194,323.84	24,480.00	Awarded (move from 2008)

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Agency-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN, ET AL Agency-Wide Activities	Operations	1406	All	157,336.00	157,336.00	157,336.00	157,336.00	Complete
	Management Improvements (Training)	1408	All	5,000.00	1,459.22	1,459.22	1,459.22	complete
	Administration (Non-Technical Salaries/Fringe Benefits For Administration of this Program)	1410	All	157,336.00	157,336.00	157,336.00	157,336.00	Complete
	Architectural/Engineering Fees For Work Items Contained in Year 2 Etc of the 5 Year Action Plan	1430	All	115,285.00	167,351.00	167,351.00	120,726.00	Ongoing
	3-4 Elevators \$292.83							
	3-4 Testing for Abatement/Hot Water Heaters \$1,276.00							
	3-5 Testing for Abatement Floor Tile \$888.25							
	3-4 Elevators \$94,392.00							
	3-10 Skywalk \$1,344.75							
	3-14 Elevators \$17,091.17							
	Parking Lots 1/3 to each 3-4,3-14,3-6-\$ Fee for Variance request 3-17 Computers	1475	All	<u>25,000.00</u>	<u>170,443.78</u>	<u>170,443.78</u>	<u>70,846.38</u>	Ongoing
	TOTAL MN, ET AL Agency Wide			459,957.00	653,926.00	653,926.00	\$507,703.60	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing and Redevelopment Authority of Duluth, Minnesota

Grant Type and Number
Capital Fund Program: MN46P00350107

Federal FY of Grant:
2007

Original Annual Statement
Performance and Evaluation Report for Period Ending: 9/30/09 Reserve for Disasters/ Emergencies Revised Annual Statement (revision no)
Final Performance and Evaluation Report

Line No.	Summary by Development Account	Rev. #1	Rev. #2	Obligated	Expended
		Total Estimated Cost		Total Actual Cost	
1	Total non-CFP Funds MHFA GRANT	0	300,000.00	300,000.00	167,700.29
2	1406 Operations	\$157,336.00	157,336.00	157,336.00	157,336.00
3	1408 Management Improvements	\$ 5,000.00	1,459.22	1,459.22	1,459.22
4	1410 Administration	\$157,336.00	157,336.00	157,336.00	157,336.00
5	1411 Audit	0	0	0	0
6	1415 liquidated Damages	0	0	0	0
7	1430 Fees and Costs	\$115,285.00	167,351.000	167,351.00	120,726.00
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	170,000.00	-0-	0	0
10	1460 Dwelling Structures	783,406.00	848,911.80	848,911.80	384,267.96
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	\$115,000.00	237,690.32	237,690.32	138,092.92
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	70,000.00	3,278.66	3,278.66	3,278.66
19	1502 Contingency	0	0	0	0
20	Amount of Annual Grant: (sum of lines 2-19)	1,573,363.00	1,573,363.00	1,573,363.00	962,496.76
21	Amount of line 20 Related to LBP Activities	200,000.00	101,807.10	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	93,406.00	56,924.87	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350107 Capital Fund Program Replacement Housing Factor #:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
Capital Fund Program MN46P00350107
Capital Fund Program
Replacement Housing Factor #:

Federal FY of Grant: 2007

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
Hope VI Activities	Hope VI Activities – Purchase 631 Swan Lake Road 3/31/09	1498	1	\$70,000.00	\$3,278.66	3,278.66	3,278.66	Complete

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-2 Grandview Manor	Upgrade Public Space Furniture	1475	All	\$24,552.00	21,180.36	21,180.36	21,180.36	Complete
	Upgrade Security System	1460	All	\$10,000.00	8,747.62	8,747.62	8,747.62	Awarded
	TOTAL MN. 3-2 Grandview Manor 3/31/09			\$34,552.00	\$34,552.00	\$29,927.98	\$29,927.98	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
Capital Fund Program MN46P00350107
Capital Fund Program
Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-4 Midtowne Manor I	Replace domestic Hot Water Heater	1460	1	23,186.29	14,738.51	14,738.51	14,738.51	Complete
	Public Space Upgrades	1460	All	100,000.00	98,397.50	98,397.50	98,397.50	Complete
	Upgrade Elevators (MHFA \$300,000 + CF \$294,800)	1460	2	0	594,800.00	594,800.00	167,700.29	Awarded
	Replace Public Space Furniture	1475	All	18,116.80	18,116.80	18,116.80	18,116.80	Complete
	Upgrade Security Systems	1460	All	19,203.00	9,947.25	9,947.25	9,947.25	Awarded
	Resurface Parking Lot	1450	1	35,000.00	-0-	0	0	
TOTAL MN. 3-4 Midtowne Manor I 3/31/09				197,319.00	736,000.06	736,000.06	167,700.29 MHFA 141,200.06 CAP FND	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-5 Ramsey Manor	Upgrade Public Spaces	1460	All	\$55,000.00	38,973.17	38,973.17	38,973.17	Complete
	Upgrade Public Space Furniture	1475	All	20,000.00	10,739.00	10,739.00	10,739.00	Complete
	Upgrade Security Systems	1460	1	<u>\$10,000.00</u>	<u>8,555.00</u>	<u>8,555.00</u>	<u>8,555.00</u>	Complete
	TOTAL MN. 3-5 Ramsey Manor 3/31/09			\$85,000.00	\$58,267.17	58,267.17	58,267.17	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-6 Tri-Towers	Resurface Parking Lot	1450	1	100,000.00	-0-	0	0	
	Elevator Upgrades (Continued)	1460	3	1813.71	1,813.71	1,813.71	1,813.71	Complete
	Upgrade Security System	1460	All	<u>25,000.00</u>	<u>9,630.00</u>	<u>9,630.00</u>	<u>9,630.00</u>	Complete
	TOTAL MN. 3-6 Tri-Towers 3/31/09			126,813.71	11,443.71	11,443.71	11,443.71	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-10 King Manor	Upgrade Security Systems	1460	All	10,000.00	12,380.00	12,380.00	12,380.00	Complete
	Repair Second Street Entry/Skywalk	1460	1	24,679.07	125,326.94	125,326.94	125,326.94	Complete
	TOTAL MN. 3-10 King Manor			34,679.07	137,706.94	137,706.94	137,706.94	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-11 Scattered Sites	Hazardous Material Abatement and Modernization	1460	To be determined	100,000.00	-0-	0	0	complete
	TOTAL MN. 3-11 Scattered Sites 3/31/09			100,000.00	-0-	0	0	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-12 Scattered Sites	Hazardous Material Abatement and Modernization 3807 W. 5 th Street – Exterior Mod. \$8,400.00	1460	To be Determined	100,000.00	8400.00	8,400.00	8,400.00	complete
TOTAL MN. 3-12 Scattered Sites 3/31/09				100,000.00	8400.00	8,400.00	8,400.00	

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MNN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. 3-14 Midtowne Manor II	Upgrade Hallways/Public Spaces	1460	14 floors	285,320.93	15,213.26	15,213.26	15,213.26	Complete
	Upgrade Public Space Furniture	1475	All	27,331.20	17,210.38	17,210.38	17,210.38	Complete
	Upgrade Security Systems	1460	All	19,203.00	7,665.00	7,665.00	7,665.00	Complete
	Resurface Parking Lot	1450	1	<u>35,000.00</u>	<u>-0-</u>	<u>0</u>	<u>0</u>	
	TOTAL MN. 3-14 Midtowne Manor II 3/31/09			366,855.13	40,088.64	40,088.64	40,088.64	
MN 3-17 Scattered Sites	Exterior Upgrades	1460	26 units	-0-	\$194,323.84	\$194,323.84	24,480.00	Awarded (move from 2008)

Grant Type and Number
 Capital Fund Program MN46P00350107
 Capital Fund Program
 Replacement Housing Factor #:

Federal FY of Grant: 2007

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

Development Number Name/HA-Wide Agency Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
MN. FT AL Agency Wide Activities	Operations	1406	All	157,336.00	157,336.00	157,336.00	157,336.00	Complete
	Management Improvements (Training)	1408	All	5,000.00	1,459.22	1,459.22	1,459.22	complete
	Administration (Non-Technical Salaries/Fringe Benefits For Administration of this Program)	1410	All	157,336.00	157,336.00	157,336.00	157,336.00	Complete
	Architectural/Engineering Fees For Work Items Contained in Year 2 Etc of the 5 Year Action Plan)	1430	All	115,285.00	167,351.00	167,351.00	120,726.00	Ongoing
	3-4 Elevators \$292.83 3-4 Testing for Abatement/Hot Water Heaters \$1,276.00 3-5 Testing for Abatement Floor Tile \$888.25 3-4 Elevators \$94,392.00 3-10 Skywalk \$1,344.75 3-14 Elevators \$17,091.17 Parking Lots 1/3 to each 3-4,3-14,3-6-\$ Fee for Variance request 3-17 Computers	1475	All	<u>25,000.00</u>	<u>170,443.78</u>	<u>170,443.78</u>	<u>70,846.38</u>	Ongoing
TOTAL MN. FT AL Agency Wide				459,957.00	653,926.00	\$653,926.00	\$507,703.60	

PHA Name: HRA of Duluth, Minnesota
Grant Type and Number
Capital Fund Program MN46P00350107
Capital Fund Program
Replacement Housing Factor #:

Federal FY of Grant: 2007

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing and Redevelopment Authority of Duluth, Minnesota
 Grant Type and Number: Capital Fund Program: MN46P00350108
 Federal FY of Grant: 2008

Original Annual Statement
 Performance and Evaluation Report for Period Ending: 4/30/09
 Revised Annual Statement
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations	170,438.00		\$ 5,000.00	\$ 5,000.00
3	1408 Management Improvements	20,000.00			
4	1410 Administration – Fee to COCC	170,438.00		\$ 170,438.00	
5	1411 Audit	0			
6	1415 liquidated Damages	0			
7	1430 Fees and Costs	125,000.00		\$ 122,501.83	
8	1440 Site Acquisition	0			
9	1450 Site Improvement	0			
10	1460 Dwelling Structures	1,218,504.00			
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Nondwelling Structures	0			
13	1475 Nondwelling Equipment	0			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	0			
18	1498 Mod Used for Development	0			
19	1502 Contingency	0			
20	Amount of Annual Grant: (sum of lines 2-19)	1,704,380.00		\$ 297,939.83	\$ 5,000.00
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Compliance	0			
23	Amount of line 20 Related to Security	0			
24	Amount of line 20 Related to Energy Conservation Measures	0			

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350108			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350108 Replacement Housing Factor #:		Federal FY of Grant: 2008				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 2 ... 248 Hi-Rise Units - Grandview Manor, Ramsey manor and King Manor	Operations Management Improvements (Training) Fee to COCC	1406 1408 1410	Lump Sum Lump Sum Lump Sum	5,000.00 5,000.00 40,393.80				
	TOTAL AMP 2 \$50,393.80					40,393.80		

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
AMP 3 289 Hi-Rise Units – Tri-Towers	Operations	1406	Lump Sum	5,000.00				
	Management Improvements (Training)	1408	Lump Sum	5,000.00				
	Fee to COCC	1410	Lump Sum	47,211.33		47,211.33		
TOTAL AMP 3 \$57,211.33								

PHA Name: HRA of Duluth, Minnesota

Grant Type and Number
 Capital Fund Program MN46P00350108

Federal FY of Grant: 2008

Replacement Housing Factor #:

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: HRA of Duluth, Minnesota		Grant Type and Number Capital Fund Program MN46P00350108 Replacement Housing Factor #:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226 Expires 4/30/2011

PHA Name: **Housing & Redevelopment Authority of Duluth, Minnesota** Grant Type and Number: **Capital Fund Program Grant No: MN46P00350109** FFY of Grant: **2009**
 Authority of Duluth, Minnesota

Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement Final Performance and Evaluation Report

Line	Summary by Development Account	Original	Total Estimated Cost		Obligated	Total Actual Cost ¹	
			Revised ²			Expended	
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³	\$ 178,855.00					
3	1408 Management Improvements	\$ 20,000.00					
4	1410 Administration (may not exceed 10% of line 21)	\$ 178,855.00					
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs	\$ 125,000.00					
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures	\$1,285,848.00					
11	1465.1 Dwelling Equipment-Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment						
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$1,788,558.00					
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director: 		Date: 10/1/09	Signature of Public Housing Director:		Date:		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Housing & Redevelopment Authority of Duluth, Minnesota	Grant Type and Number Capital Fund Program Grant No: MN46P0035109 CFEP (Yes/ No): Replacement Housing Factor Grant No:	Federal FFY of Grant: 2009
---	---	----------------------------

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated ²	Funds Expended ²	
AMP 1 Scattered Sites								
AMP 1	Operations	1406	Lump sum	162,479.00				
AMP 1	Management Improvements -training for UPCS, etc.	1408	Lump sum	5,000.00				
AMP 1	Administration fee to COCC	1410	Lump sum	50,079.00				
AMP 2 - 248 Hi-rise Units: Grandview Manor Ramsey Manor King Manor								
AMP 2	Replace Fob Entry System	1460	3 buildings	37,500.00				
AMP 2	Replace Emergency Generator	1460	1 building	78,000.00				
AMP 2	Replace Roof	1460	1 building	170,000.00				
AMP 2	Operations	1406	Lump sum	5,500.00				
AMP 2	Management Improvements Training for UPCS etc.	1408	Lump sum	5,000.00				
AMP 2	Administration fee to COCC	1410	Lump sum	42,389.00				
AMP 2	Architect/Engineer Fees a. Fob entry b. Emerg. Generator c. Roof	1430	Lump sum	34,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages

PHA Name: HRA of Duluth, Minnesota
 222 E. 2nd St., PO Box 16900
 Duluth MN 55816

Grant Type and Number
 Capital Fund Program Grant No: MN46P0035109
 CFFP (Yes/No):
 Replacement Housing Factor Grant No:

Federal FFY of Grant: 2009

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 3 289 Hi-rise units: Tri-Towers								
AMP 3	Operations	1406	Lump sum	5,438.00				
AMP 3	Management Improvements Training for UPCS etc.	1408	Lump sum	5,000.00				
AMP 3	Administration Fee	1410	Lump sum	49,543.00				
AMP 3	Replace Fob Entry System	1460	1 building	12,500.00				
AMP 4 215 Hi-rise Units:Midtowne Manors I & II								
AMP 4	Replace Apartment Entry & Closet doors	1460	215	520,000.00				
AMP 4	Upgrade Kitchens	1460	107	440,504.00				
AMP 4	Replace Fob Entry System	1460	2 buildings	27,344.00				
AMP 4	Operations	1406	Lump sum	5,438.00				
AMP 4	Management Improvements Training for UPCS etc.	1408	Lump sum	5,000.00				
AMP 4	Administration Fee	1410	Lump sum	36,844.00				
AMP 4	Architect/Engineer Fees a. Apt. Doors b. Kitchen	1430	Lump sum	91,000.00				

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary

PHA Name/Number	HRA of Duluth, MN	Locality (City/County & State)	<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:	
Development Number and Name	Work Statement for Year 1 FFY ____ 2009 ____	Work Statement for Year 2 FFY ____ 2010 ____	Work Statement for Year 3 FFY ____ 2011 ____	Work Statement for Year 4 FFY ____ 2012 ____	Work Statement for Year 5 FFY ____ 2013 ____
B.	Physical Improvements Subtotal	\$1,285,848.00	\$1,285,848.00	\$1,285,848.00	\$1,285,848.00
C.	Management Improvements	20,000.00	20,000.00	20,000.00	20,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment				
E.	Administration	178,855.00	178,855.00	178,855.00	178,855.00
F.	Other A&E	125,000.00	125,000.00	125,000.00	125,000.00
G.	Operations	178,855.00	178,855.00	178,855.00	178,855.00
H.	Demolition				
I.	Development				
J.	Capital Fund Financing – Debt Service				
K.	Total CFP Funds	\$1,788,558.00	\$1,788,558.00	\$1,788,558.00	\$1,788,558.00
L.	Total Non-CFP Funds	0	0	0	0
M.	Grand Total	\$1,788,558.00	\$1,788,558.00	\$1,788,558.00	\$1,788,558.00

