

MARQUETTE HOUSING COMMISSION

MI070v04

PHA PLANS

5 Year Plan for Fiscal Years 2010-2014
Annual Plan for Fiscal Year 2009

MARQUETTE HOUSING COMMISSION

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PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ^x 5-Year and/or ^x Annual PHA Plan for the PHA fiscal year beginning 2010-2014, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. ~~The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund-Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.~~
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

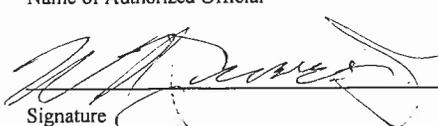
Marquette Housing Commission
PHA Name

MI070
PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20¹⁰ - 20¹⁴
 Annual PHA Plan for Fiscal Years 20⁰⁹ - 20

Motion by Hamari, seconded by Jordan, to approve and authorize it's submittal to HUD.
 Ayes: Jeeves, Hamari, Jordan, Burcar NAYS: None April 27, 2009

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title <i>Chair</i>
Signature  William Jeeves	Date <i>Chair</i>

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: We have not revised any of the PHA Plan elements.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. The public may obtain copies of our 5-year and Annual PHA Plan at Pine Ridge Apartments, 316 Pine Street, Marquette, MI. 49855. The Marquette Housing Commission's main office is located within Pine Ridge Apartments, MI070-01. A copy will also be available at our only other project site, Lake Superior Village, MI070-02, 125 Dobson Place, Marquette, MI. The plan will be available during normal business hours of 9 AM to noon, 1 PM to 4 PM, Monday through Friday (except holidays).</p> <p>See Attachment A</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable. Not applicable.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p> <p>Attachment B: 2007, 2008, Capital Fund Formula Grant, and 2009 CF Program Tables/Annual Statement/Performance and Evaluation Reports and Capital Fund Program Five-Year Action Plan</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Capital Fund Program Annual Statement/Performance and Evaluation Reports (form 50075.1) are enclosed for current and open CFP grants for 2007 and 2008. The work for our CFFP program is complete, all that remains are the Debt Service Payments and they are reflected on the 2007 & 2008 reports and in the 2009 CF report. Attachment B</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <i>It is included with this document.</i></p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p>X Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. We began paying on our 15 year CFFP debt with the 2005 CF year – it will be paid off by 2020 (it is a 15 year debt)</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. See Attachment C.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The Marquette Housing Commission created a separate non-profit (501(c)(3), entered into a contract with a developer, adopted a strategic plan, and set goals to create additional affordable housing in our City, the economic center of Marquette County. It is our intent to develop 125 affordable units (in a public/private partnership) known as Marquette Crossings in 2009-2010; create up to 150 unit affordable housing on a parcel of land within the city limits of Marquette, create up to 25 homeownership units as part of but in addition to the development of the 150 unit project. It is also our goal over the next 5 years to acquire a historically significant vacant structure, rehab it into 75 two bedroom apartments as affordable housing. Lastly, we are working on acquiring a foreclosed property, renovating it for 4 developmentally disabled adult children who will be subsidized through the use of Housing Choice Vouchers (4).</p>

FIVE YEAR PLAN 2010-2014 MARQUETTE HOUSING COMMISSION		
FINANCIAL RESOURCES		
Combined	Planned	Planned Uses:
Operating Subsidy	602,068.48	To operate our HCV Program, run our Capital Fund Programs, and cover the following expenses for our public housing program: <ul style="list-style-type: none"> • Salaries • Utilities • Tenant Services • Training • Accounting • Audit • Marketing • Legal Costs • Telephone • Office Expenses • Maintenance Material and Contract Costs • Insurance • PILOT • Extraordinary Expenses • Betterments and Additions • Replacement of Equipment
Dwelling Rent	604,543.66	
COCC Rent	3,600.00	
CFP Administration Fee	23,095.27	
Tenant Charges	59,816.76	
Capital Fund	42,500.00	
Investment Income *	38,318.88	
Non dwelling rental *	3,000.00	
Other income*	16,380.00	
HAP	122,556.00	
Management Fees	213,546.80	
Total Revenue	1,731,825.85	
* Non Federal Sources		

Eligibility, Selection and Admissions Policies, including deconcentration and Wait List Procedures: These are all included in our Admissions and Continued Occupancy Policy for public housing and in our Admissions Plan for the HCV Program. To summarize, to be eligible a family must meet income guidelines as well as suitability standards (we conduct credit, criminal (local, state, Federal) rental history checks). Priority is given to applicants who are residents of the City of Marquette, are a veteran or spouse of a veteran, are a working family or in an educational program, are elderly or disabled, with top priority given to applicants with incomes sufficient to result in a monthly rent of \$195 or more per month. Persons are placed on a waiting list based on having the highest number of “points” based on priority. All other things being equal, date and time of applications determines. Deconcentration does not apply to the City of Marquette because no concentration exists in the community. Applicants are then housed in accordance with our policy, those with the highest ‘points’ are housed first. We do not maintain any site-based waiting lists. Unit assignments are based on size and sex of family members and availability of appropriate sized units. We never under-house a

family but, on occasion if there is no one on the waiting list for a 3 or 4 bedroom and ones become available, we will over-house a family until such time as an appropriate sized family comes along. In these cases, the over housed family will be transferred to an appropriate sized unit to enable the properly sized family to move into the properly sized unit.

Rent Determination: The MHC will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent or minimum rent (\$50) (less HUD mandatory deductions and exclusions). The Resident annually is given a choice of income-based rent or Flat Rent. Residents are required to report any changes in income (up or down), family composition, changes in medical expenses or assets within ten (10) days of the change at which time an Interim recertification will be conducted. The same is true for both public housing and HCV recipients.

Operation and Management: The MHC has adopted an Admissions and Continued Occupancy Policy for the public housing program and an Administrative Plan for the HCV Program. These policies cover the following: Fair Housing, Reasonable Accommodations; Services for Non-English Speaking Applicants, VAWA, Family Outreach, Right to Privacy, Required Postings, Taking applications, Eligibility for Admissions (including income limits), Managing the Waiting List, Tenant Selection and Assignment Plan, Income, Exclusions from Income and Deductions from Income; Verification; Determination of Total Tenant Payment and Tenant Rent; Continued Occupancy and Community Service, Recertifications, Unit Transfers, Inspections, Pet Policy, Repayment Agreements, Termination, Upfront Income Verification, Continued Occupancy and Community Service and Set Aside for Chronically Homeless. The Admin Plan for the HCV Program covers: Equal Opportunity, MHC/Owner Responsibility/Obligation of the Family; Eligibility for Admission; Managing the Waiting List, Selecting Families from the Waiting List; Assignment of Bedroom Sizes (Subsidy Stds); Moves with Continued Assistance, Portability, Determination of Family Income; Verification; Rent and Housing Assistance Payment; Inspection Policies, Housing Quality Stds and Damage Claims; Recertification, Termination of Assistance; Complaints, Informal Reviews for applicants, Informal Hearings for Participants; Termination of the lease and Contract; Charges Against the Section 8 Administrative Fee Reserve and Intellectual Property Rights.

Management and Maintenance Policies that contain the MHC’s rules, standards and policies governing maintenance and management include:

ACOP	Admin Plan	Confidentiality
Check Signing	Criminal/Drug Treatment/Sex Offender	Deceased Tenant
Travel	Community Service	Computer Use
Community Space	Capitalization	Disposition
Drug Free Work Place	Equal Housing Opportunity	Equal Employment Oppty.

Ethics	Grievance Procedure	Investment
Personnel	Hazardous Materials	Pet
Smoking	Public Records	EIV/UIV Guidelines
No Smoking Policy PR	Funds Transfer	Internal Controls
Minority Business Enterpr.	Pest Control	Resident Initiatives
Procurement	VAWA	Rent Collection
No Trespass Policy	Harrassment	

Grievance Procedure: (summary only) The Grievance Procedure is referred to in the Dwelling Lease Agreement and is posted on the list of required policies advising interested persons where and how they can get a copy of such Policy. Any time an ‘adverse action’ by management occurs, the resident (or applicant) has a right to use the Grievance Procedure. Step one is to request a hearing before the Executive Director (who does not render the original decision). In the event the E.D. cannot conduct the hearing or there is a conflict of interest, a Hearing Officer then presides. The Hearing Officer is appointed by the Board of Directors. The resident/applicant must request the hearing within ten days of notification of the original decision. The ED/Hearing Officer must render a written decision within ten (100 business days after the Hearing.

Designated Housing for Elderly and Disabled Families: The MHC does not designate any projects or portions thereof for such.

Community Service and Self-Sufficiency: The MHC has a written Community Service Policy. Residents not 62 or over, blind or disabled or the primary caregiver for someone who is blind or disabled, are engaged in work activity, who are exempt under part A title IV of the Social Security Act or under any other State welfare program are required to put in community service hours. The resident is required to turn in his/her record of community service performed on a monthly basis. Persons with reported zero income are recertified every 60 days and are required to fill out our zero income worksheet. At each such recertification, the tenant is counseled on finding work, how to dress and present themselves for interviews, how to be persistent in making applications at local businesses. If the resident fails to perform the community service, they are warned that failure to do so will result in their eviction, such notice (if for the first year) will also advise them that they have a year to ‘cure’ the lack of fulfilling the community service requirement.

Safety and Crime Prevention: The MHC has formed an unwritten cooperation agreement with the local police department; they provide us with copies of police reports involving our units and are always available to us to discuss specific problems. In addition, the MHC works with UPSET (Upper Peninsula Drug Enforcement Team) and, at our request, their ‘drug dog’ periodically walks through our high rise building (140 units) to ‘sniff out’ drugs. If the MHC suspects any type of illegal drug activity, we notify UPSET and ask for their assistance. We frequently communicate with each other (and the local Police Dept.) on all issues and take appropriate eviction action when warranted. Another tool the MHC uses is the “No Trespass Policy”. We often serve these notices ourselves and

when we do, we submit a copy of the notice and proof of service to our local police department. In addition, all persons who have been served with a No Trespass Order are listed on our “No Trespass List” which is part of our monthly newsletter dispensed to all residents. Our lease includes language that if a resident harbors or allows anyone on the No Trespass List to come on our property, they are subject to eviction. At our family site, a trooper of the Marquette County Sheriff’s Department lives on site. At our high rise building, entrance doors are locked from 5 PM to 8 AM M-F and all day weekends and holidays. Our entrance phone-security system allows a resident to turn to channel 19 on their TV, view who is here to see them and allows them to use their phone to give their visitor access to the building – thereby keeping those persons out that should not be in the building. Both sites are well lit, and every time we make an improvement to either site, we are cognizant that we are not creating a security hazard (places for the criminal element to hide and pounce, for example).

Pets: Resolution 2008-09, amended January 22, 2008. It is referred to in the Dwelling Lease. This policy sets forth what types of household pets are permitted, size restrictions, inoculations required, neutering requirements, etc. Residents are required to pay the deposit, bring in proof of inoculations, neutering, a picture, who will take care of the pet in the absence of the resident, etc. before the pet is permitted on the site. The pet must be registered with the office initially and annually thereafter.

Civil Rights Certification: The MHC will carry out the public housing program in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing. We work with our local Superior Alliance for Independent Living and Michigan Protection and Advocacy.

Fiscal Year Audit: Most recent completed Audit is for 9/30/2007, conducted by Anderson Tackman & Company, P.L.C., Certified Public Accountants, 201 E.Hughitt, P.O. Box 828, Iron Mountain, Michigan 49801 906/774-4300

MARQUETTE HOUSING COMMISSION

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

For the Year Ended September 30, 2007

SUMMARY OF AUDITORS’ RESULTS

1. The auditors’ report expresses an unqualified opinion of the general purpose financial statements of the Marquette Housing Commission.
2. There were no significant deficiencies relating to the audit of the general purpose financial statements.
3. There were no instances of noncompliance material to the general purpose financial statements of the Marquette Housing Commission.
4. There were no significant deficiencies relating to the audit of the major federal award programs

as reported in the Report on Compliance with Requirements Applicable to Each Major Program and Internal Control over Compliance in Accordance with OMB Circular A-133.

5. The auditors' report on compliance for the major federal award programs for the Marquette Housing Commission expresses an unqualified opinion.
6. There were no audit findings relative to the major federal award programs for the Marquette Housing Commission.
7. The programs tested as major programs included:

A. Capital Funding – CFDA 14.872

8. The threshold for distinguishing Types A & B programs was \$300,000.
9. Marquette Housing Commission was determined to be a low-risk auditee.

FINDINGS – FINANCIAL STATEMENT AUDIT

1. None

FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL AWARD PROGRAMS AUDIT

1. None

PRIOR FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL AWARD PROGRAMS AUDIT

1. None

Asset Management: The Marquette Housing Commission embraced the concept of Asset Management in 2007 and experienced an 'in-house review' by REAC in May 2008 and again in September 2008. Although we had not completely shifted to Asset Management by May 2008 (and failed Stop Loss Year 1), and with direction from the reviewer, we successfully reached Asset Management by the in-house review of Sept. 16, 2008. We have two AMPs (Pine Ridge – a 9 story 140 unit 'elderly' building – few residents are elderly; and Lake Superior Village – a 34 building, 117 unit two, three and four bedroom family complex) each having their own Project Manager who is responsible for their respective development from application to move out of residents, the supervision and directives of maintenance, marketing, curb appeal, budget preparation and managing costs. The Executive Director of the Agency now serves as Asset Manager. Weekly Project Manager meetings are held, Project Managers prepare for the E.D. a monthly Summary of Project Performance that reflects # of applications received each month, customer traffic, evictions, lease enforcement, turnover time, occupancy, promotions, recertifications, rent collection and work orders. This report is also presented to the Board of Commissions for review monthly.

Project Managers prepare budgets for their respective developments, the E.D. prepares the COCC budget and the 'combined' budget of the agency. The E.D. prepares the Capital Fund Budgets in consult with Project Managers and Maintenance Personnel – project managers (with their subordinate staff) prioritize the work items since the number and cost of work items far exceeds the funds available. The E.D./Asset

Manager monitors budgets for over-runs and sees that Project Managers stay within the confines and resources of each Project Budget. The E.D./Asset Manager also serves as the Executive Director of the separate non-profit, 501(c)(3), created by the MHC to create additional rental and home ownership opportunities for low to moderate income families. Monthly financial reports which include the following are reviewed first by the E.D./Asset Manager and then by the MHC Board of Commissioners:

- Low Rent Project 2 – Pine Ridge – Income Statement
- Low Rent Project 2 – Lake Superior Village – Income Statement
- Combining Project Based Income Statement
- Combining Project Based Balance Sheet
- Low Rent – Central Office Cost Center – Income Statement
- Combining Project Based Balance Sheet
- Combined Balance Sheet (all programs)
- Low Rent Financial Statements, Journal Register and General Ledger

We also have monthly financial reports for the HCV Program and Capital Fund Program (showing each open CFP separately)

Violence Against Women Act: Originally adopted by the Board of Commissioners on June 12, 2006, and again adopted by Resolution 2007-09 June 26, 2007. This resolution is referred to in our Dwelling Lease Agreements, has been summarized in monthly newsletters, and we work with our local Women's Center. Harbor House, our local Domestic Abuse Shelter, has provided us with a brochure that we have displayed at our Project Managers offices for easy access by residents. We counsel our residents and make referrals to local agencies who can help in matters of domestic abuse. We follow our policy.

Attachment A. Five Year Plan 6.0

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Marquette Housing Commission		Grant Type and Number Capital Fund Program Grant No: MI33P070501-07 Date of CFFP: _____			FFY of Grant: 2007	FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	25,000.00		25,000.00	25,000.00	
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	42,500.00		42,500.00	42,500.00	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	26,700.00		26,700.00	26,669.96	
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	221,669.04		221,669.04	221,669.04	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA	88,380.96		88,380.96	36,825.40	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 – 19)	404,250.00		404,250.00	352,694.44	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	5,000.00		5,000.00	5,000.00	
23	Amount of line 20 Related to Security – Soft Costs					
24	Amount of line 20 Related to Security – Hard Costs	1,200.00		1,200.00	1,200.00	
25	Amount of line 20 Related to Energy Conservation Measures	2,500.00		2,500.00	2,500.00	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Marquette Housing Commission		Grant Type and Number MI33P070501-07 Capital Fund Program Grant No: _____ Replacement Housing Factor Grant No: _____ Date of CFFP: _____			FFY of Grant: <u>2007</u> FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director <i>Donnell J. Pelto</i>		Date <i>4/21/09</i>	Signature of Public Housing Director		Date

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Marquette Housing Commission					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
70-1, 70-2	12/2009	5/2008	12/2012		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Marquette Housing Commission		Grant Type and Number MI33P070501-08 Capital Fund Program Grant No: _____ Date of CFFP: _____			FFY of Grant: 2008 FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	25,000.00		25,000.00	20,956.67
3	1408 Management Improvements	7,000.00	8,918.18	8,918.18	8,918.18
4	1410 Administration (may not exceed 10% of line 21)	42,500.00		42,500.00	17,708.35
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	23,000.00		23,000.00	4,118.39
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	252,045.04	250,126.86	16,783.86	129,110.53
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA	88,380.96		88,380.96	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	437,916.00		181,583.00	180,812.12
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	5,000.00		5,000.00	5,000.00
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs	1,000.00		1,000.00	1,000.00
25	Amount of line 20 Related to Energy Conservation Measures	2,000.00		2,000.00	2,000.00

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Marquette Housing Commission		Grant Type and Number MI33P070501-08 Capital Fund Program Grant No: _____ Date of CFFP: _____		FFY of Grant: <u>2008</u> FFY of Grant Approval:
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director <i>Bonnie J. Telts</i>		Date <i>4/21/09</i>	Signature of Public Housing Director Date	

Part I: Summary					
PHA Name: Marquette Housing Commission		Grant Type and Number Capital Fund Program Grant No: MI33P070501-09 CF Date of CFFP: _____			FFY of Grant: 2009
		Replacement Housing Factor Grant No: _____			FFY of Grant Approval: _____
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	25,000.00			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	43,790.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	22,460.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	258,295.04			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA	88,380.96			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	437,926.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	5,000.00			
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	3,000.00			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Marquette Housing Commission		Grant Type and Number Capital Fund Program Grant No: MI33P070501-09 CF Date of CFFP: _____		FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director <i>Bonnie J. Felts</i>		Date 4/21/09	Signature of Public Housing Director Date	

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

CFRG

Part I: Summary					
PHA Name: Marquette Housing Commission		Grant Type and Number Capital Fund Program Grant No: <u>MI33S070501-08</u> <i>ARRA</i> Date of CFFP: <u>2/26/09</u>			Replacement Housing Factor Grant No: FFY of Grant: <u>2009</u> FFY of Grant Approval: <u>2009</u>
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	5,000.00			
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	38,802.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	510,525.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 – 19)	554,327.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	10,000.00			
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	8,000.00			

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary				
PHA Name: Marquette Housing Commission		Grant Type and Number MI33P070501-09 Capital Fund Program Grant No: Date of CFFP: 2/26/09		FFY of Grant: 2009 FFY of Grant Approval:
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director <i>Gerrard J. Felto</i>		Date 4/2/2009	Signature of Public Housing Director Date	

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Marquette Housing Commission					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
70-1, 70-2	3/2010	3/2011			

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Marquette Housing Commission		Grant Type and Number Capital Fund Program Grant No: MI33P070501-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	25,000.00				
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)	43,793.00				
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	22,460.00				
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	258,292.04				
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: 2010Marquette Housing Commission	Grant Type and Number Capital Fund Program Grant No: MI33P070501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant:2010 FFY of Grant Approval: 2010

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	88,380.96			
20	Amount of Annual Grant:: (sum of lines 2 - 19)				
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director <i>Bonnie J. Belts</i>	Date 5/7/09	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

4/24/09

Part I: Summary						
PHA Name/Number		Locality (City/County & State)			<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY ____ _2010_____	Work Statement for Year 2 FFY __2011_____	Work Statement for Year 3 FFY __2012_____	Work Statement for Year 4 FFY __2013_____	Work Statement for Year 5 FFY __2014_____
B.	Physical Improvements Subtotal	Annual Statement	258,292.04	381,292.04	281,292.04	281,292.04
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		43,793	43,793	43,793	43,793
F.	Other A & E		22,460	24,460	24,460	24,460
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service		88,380.96	88,380.96	88,380.96	88,380.96
K.	Total CFP Funds		437,926	437,926	437,926	437,926
L.	Total Non-CFP Funds					
M.	Grand Total		437,926	437,926	437,926	437,926

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2010	Work Statement for Year 2011 FFY 2011			Work Statement for Year: 2012 FFY 2012		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	70-2, Youth & Family Center	113 families	\$25,000.00	70-1, 70-2 Administration	257 units	43,793.00
Annual	70-1, 70-2 Administration	257 units	\$43,793.00	70-1 Debt service	140 units	88,380.96
Statement	70-1 Debt service	140 units	\$88,380.96	70-1, 70-2 A & E		24,460.00
	70-1, replace waste piping, t's & tub drain pipe	140	152,876.04	70-1, 70-2 Replace flooring	74 units	281,292.04
	70-1, 70-2 A & E		22,460.00	70-1 Seal building	140	
	70-1, 70-2 replace flooring	28	105,416.00	70-2, replace furnaces		
	70-1, seal building	140 units		70-1, 70-2 replace cabinets		
	70-1, replace windows	140 units		70-2 Youth & Family Center		
	70-2, replace furnaces			70-2, replace sidewalks/landscaping		
	7-1, 70-2 replace cabinets	140		70-2, expand parking		
	70-1, replace sidewalks/landscaping	117		70-2 replace playground	117	
	70-2, expand parking	117		70-2 reroof	117	
	70-2, replace playground	113		70-1, replace windows		
	70-2, reroof	117				
	70-2, replace prime doors	126				

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

	Subtotal of Estimated Cost		\$437,926.00	Subtotal of Estimated Cost		\$437,926.00

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <u>2010</u>	Work Statement for Year <u>2011</u> FFY <u>2011</u>		Work Statement for Year: <u>2012</u> FFY <u>2012</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	None	0	None	0
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2010 _____	Work Statement for Year <u>2011</u> _____ FFY <u>2011</u> _____		Work Statement for Year: <u>2012</u> _____ FFY <u>2012</u> _____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement	None	0	None	0
	Subtotal of Estimated Cost	\$0	Subtotal of Estimated Cost	\$0

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Marquette Housing Commission

Program/Activity Receiving Federal Grant Funding

Capital Funds

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Bonnie J. Pelto	Title Executive Director/Asset Manager
Signature 	Date (mm/dd/yyyy) 4/21/09



MARQUETTE HOUSING COMMISSION

316 Pine Street • Marquette, Michigan 49855 • (906) 226-7559

Civil Rights Certification

The Marquette Housing Commission will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Bonnie J. Pelto
Bonnie J. Pelto, Executive Director
Marquette Housing Commission
316 Pine Street
Marquette, MI. 49855
MI070

4/21/09
Date

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Marquette Housing Commission

Program/Activity Receiving Federal Grant Funding

Capital Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 - e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 - f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
 - g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)
 316 Pine Street, 500-530 Wright Street; 102-239 Dobson Place, 501-523 Union Street, 1901-2023 Longyear

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
 (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Bonnie J. Pelto	Title Executive Director
Signature X <i>Bonnie J. Pelto</i>	Date 4/21/09

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier <i>if known:</i> Marquette Housing Commission 316 Pine Street Marquette, MI 49855 Congressional District, <i>if known:</i> ^{4c} 1	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, <i>if known:</i>	
6. Federal Department/Agency: U.S. Dept. of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, <i>if applicable:</i> _____	
8. Federal Action Number, <i>if known:</i>	9. Award Amount, <i>if known:</i> \$ 437,926	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> N/A	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>Bonnie J. Pelto</u> Print Name: <u>Bonnie J. Pelto</u> Title: <u>Ex. Director</u> Telephone No.: <u>906/226-7559x4</u> Date: <u>4/24/09</u>	
Federal Use Only:	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	



JENNIFER M. GRANHOLM
GOVERNOR

STATE OF MICHIGAN
MICHIGAN STATE HOUSING DEVELOPMENT AUTHORITY
LANSING

KEITH MOLIN
EXECUTIVE DIRECTOR

Rec'd 2/27/09

February 19, 2009

Ms. Bonnie J. Pelto, Executive Director
Marquette Housing Commission
316 Pine Street
Marquette, Michigan 49855

RE: Certification of Consistency with the Consolidated Plan

Dear Ms. Pelto:

I have reviewed the information regarding your Five Year and Annual PHA Plan and have found it to be consistent with the State of Michigan's 2009 Consolidated Plan. Enclosed please find the subject certification for your submission with your plans to the U.S. Department of Housing and Urban Development.

If you have any questions, please contact me at (517) 373-6018.

Sincerely,

Martha Baumgart, Planner
Program Policy and Market Research

Enclosure



Rec'd 2/27/09

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Martha Baumgart the State of Michigan Consolidated Plan Coordinator certify that the Five Year and Annual PHA Plan of the Marquette Housing Commission is consistent with the Consolidated Plan of the State of Michigan prepared pursuant to 24 CFR Part 91.

Martha Baumgart February 19, 2009

Signed/Dated by Appropriate State or Local Official

Attachment C

Five Year FPlan 2010-2014 Housing Needs, Marquette County, Marquette, Michigan, consolidated Plan

2/11/2009 Housing Needs - Marquette County

Marquette Housing Commission Waiting Lists (incl. HCV)

30% AMI	1 Person	2 Person	3 Persons	4 persons	5 persons	6 persons	7 persons
29	29	8	2	1	2	0	2
Very low	0	2	12	0	0	0	0
low income	0	0	0	0	0	0	0
Elderly	0	0					
Disabled		12					

January 28, 2009 Point in Time Survey, Homeless, Continuum of Care

39 Adults
22 Children

State of Michigan Consolidated Plan

Individuals	Emergency Shelter		Unmet Need/Gap
	Emerg. Shelter		26
	Transitional Hsg.		998
	Permanent supp. Hsg		7460
	Total		8579
Families	Emergency Shelter		261
	Transitional Housing		753
	Permanent hsg.		4296
	Total		5310

From Census
Housing Needs of Families in Jurisdiction

Income	Affordability
.30% AMI	2212
.30%,50%	890
.50%,80%	768
Elderly	16%
Fam w/disab.	95% caucasian
	2% Native American
	2% African American
	1% Hispanic

Continuum of Care: Homeless Population and Subpopulations Chart

Families w/ children		3212
# of persons in families w/children		10,260
# of single & persons in hh without children		17,656
Total		\$27,916
# chronically homeless		2,783

The following data was gathered from a Rental Housing Market Study for Marquette, Michigan, prepared by Community Research Group, LLC, January 7, 2003.

Age Distribution - 2008 (projected)

	Marquette	PMA	Marquette Co.
45-64	22.20%	23.50%	28.20%
65+	13.70%	13.60%	13.50%

Avg HH size 2.36 2.32 2.42

Race

<u>Caucasian</u>	95%	95.10%	75.10%
<u>Afr.Amer.</u>	0.80%	0.70%	1.30%
<u>Native Am</u>	1.70%	1.70%	1.50%
<u>Asian</u>	0.80%	0.80%	0.50%
<u>Other</u>	0.20%	0.20%	0.30%
<u>2+ races</u>	1.30%	1.40%	1.30%
<u>Hispanic</u>	0.80%	0.80%	0.70%

Disabled

Mqt. Co.

<u>1 type disability</u>	5,152 8.7% of population
<u>2+ disabilities</u>	4,119 6.9% of population
<u>Total</u>	15.6% of population

Renter HH Marquette PMA Mqt. County

	50.10%	46.50%	30.20%
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Renter HH Size

<u>1 person</u>	50.60%	51.10%	47.90%
<u>2 persons</u>	29.90%	29.70%	28.40%
<u>3 or 4</u>	17.60%	17.30%	20.30%
<u>5+</u>	1.90%	1.90%	3.50%

Renter Income (predicted 2008)

<\$10,000	7.30%
10,000-14,999	7.30%
15,000-19,999	7.50%
20,000-24,999	7.70%
25,000-29,999	7.90%
30,000-34,999	7.00%
35,000-49,999	17.50%
50,000+	37.90%

Sugstandard Housing

City

PMA

Mqt. Co.

Owner:

<u>Lacks plumbing</u>	0.20%	0.30%	0.60%
<u>Over Crowded</u>	0.30%	0.40%	1.10%
<u>Total</u>	0.50%	0.70%	1.70%

Renter

<u>Lacks plumbing</u>	0.10%	0.10%	0.30%
<u>Over crowded</u>	1.20%	1.20%	1.60%
<u>Total</u>	1.30%	1.20%	1.90%

DEMAND ANALYSIS

"Total unduplicated LIHTC demand is estimated to be 492 units. For subsidized units, the demand estimate from current households is 799. the total number of subsidized units that will be demanded by 2005 is 800."

RESIDENT COMMENTS

4/21/2009

Pine Ridge Apartments, 70-1

One resident suggested new stoves and self-defrosting refrigerators. Another put it in writing that we don't need new stoves and refrigerators. All appliances are operating, we replace them when they fail but we see no need to replace all appliances. Besides, we have limited resources with higher priority than this.

Request to convert more tubs to walk-in showers. We have one request for such conversion and that is more suited for the general operating budget as opposed to the Capital Fund. We attempt to budget for one conversion per year.

Wash windows on the exterior. This, as with the walk-in shower, is more suited (and budgeted) under general operations. We plan to apply for the ARRA Competitive Stimulus grant to replace all windows and what we will specify are windows that can be washed from inside the apartment. Currently we have to hire someone to wash the windows on the exterior, they cannot be reached from inside – our building is a 9 story building.

Re-caulk all windows, re-insulate all outside doors – this is general operations and not suited for Capital Fund.

Beautify the back patio, get the dead bushes out of there – these will be removed in the spring, after all snow is gone. Not a Capital Fund type item. General operations.

Install central air conditioning. Our 9 story building's heating system is a boiler system which does not allow for central air. The cost to install central air would be cost prohibitive and extremely disturbing for residents because we would have to install ducting from the basement to the 9th floor through 12" of concrete on every floor. In addition, our summers are pretty mild. We allow residents to install their own air conditioners (window-type units for the first two floors, free standing units for floors 3 through 9). Residents are charged for the added electricity based on wattage of the unit they install. We are applying for ARRA Competitive Stimulus Funds to replace all windows with either double or triple glazing, Low E, which should keep the apartments warmer in winter (with less usage) and cooler in summer.

Lake Superior Village Townhouses

Additional parking: LSV is a family housing development with 34 buildings, 117 dwelling units (4 have been deprogrammed). More families are working and many are two-person heads of households. As a result, more families have two vehicles and the development was built with the expectation of 1 car per unit. Every family wants to be able to park both vehicles near their assigned unit. We have 'visitor parking' areas at the

site where residents can park one of their vehicles. We recognize additional parking would be desirable, but we have more pressing needs for available resources at this point. We certainly will consider adding parking as resources allow.

Speed Bumps and City signs for Dobson Place: This street is owned by the City. The project manager will contact the city requesting such.

Better benches and tables for common areas, trash cans: This is more suited for general operations and the Project Manager will consider budgeting for these things in future budgets, as resources allow.

Gutters over doors and/or diverters: We have been installing 'canopies' at entrances to resolve this problem. We are also looking at a way to divert water away from the patio that causes icy conditions in winter.

Bathroom sinks not supported well enough: Management can install legs to support the bathroom sinks more favorably. This would be done through general operations as opposed to Capital Fund.

Replace prime doors to units: Many have been replaced, many remain. We expect to replace all remaining prime doors using the ARRA and 2009 Capital Funds.