

	<p>12. Asset Management – No changes</p> <p>13. Violence Against Women Act (VAWA) – No changes. Information on VAWA is a Supporting Document to the Annual Plan</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Copies at Main office</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p><i>HOPE VI</i> – WHA does not anticipate applying for a HOPE VI grant in the upcoming fiscal year. <i>Mixed-Finance Modernization or Development</i> – WHA does not anticipate any mixed-finance mod or development in the upcoming fiscal year <i>Demolition and/or Disposition</i> – WHA does not anticipate applying for demolition or disposition in the upcoming fiscal year <i>Conversion of Public Housing</i> – WHA does not anticipate any conversions of public housing to tenant-based assistance in the upcoming fiscal year <i>Section 8 Homeownership Program</i> - WHA does not anticipate administering a Section 8 Homeownership Program in the upcoming fiscal year <i>Public Housing Homeownership Program</i> – WHA does not anticipate administering a Public Housing Homeownership Program in the upcoming fiscal year <i>Project-Based Vouchers</i> – WHA does not anticipate project-basing any of it’s tenant-based vouchers in the upcoming fiscal year <i>Other</i> – WHA may apply for new programs or incremental units if NOFAs are issued by HUD or other appropriate opportunities are presented.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment A – FY 2009 Capital Fund Program Annual Statement Attachment B – FY 2009 Capital Fund Program Annual Statement – ARRA Grant Attachment C – FY 2008 Capital Fund Program Performance and Evaluation Report Attachment D – FY 2007 Capital Fund Program Performance and Evaluation Report – <u>Final</u> P & E</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment E – Capital Fund Program Five-Year Action Plan</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>Not Applicable</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Not Applicable – Waterville Housing Authority is a High Performing PHA</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>Not Applicable – Waterville Housing Authority is a High Performing PHA</p>

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>Not Applicable – Waterville Housing Authority is a High Performing PHA</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>Not Applicable – Waterville Housing Authority is a High Performing PHA</p>
-------------	---

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (See Attachment F)</p> <p>(g) Challenged Elements (See Attachment G)</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
-------------	--

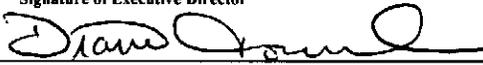
Part I: Summary					
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850109 Date of CFFP: _____		Replacement Housing Factor Grant No: FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: _____)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	55,000.00			
3	1408 Management Improvements	9,000.00			
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	2,250.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	3,000.00			
10	1460 Dwelling Structures	276,750.00			
11	1465.1 Dwelling Equipment - Nonexpendable	4,000.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	350,000.00	0.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHP funds shall be included here.

Part I: Summary					
PHA Name: Waterville Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P00850109 Date of CFFP: _____ Replacement Housing Factor Grant No:	FFY of Grant: 2009 <hr/> FFY of Grant Approval: 2009			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: _____)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	
				Expended	
Signature of Executive Director		Date		Signature of Public Housing Director	
		3/31/09			

Part II: Supporting Pages								
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850109 Replacement Housing Factor Grant No:				CFPP (Yes/No): No		Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME008000088								
Formerly ME008-2	Install DHW Solar Panels - Riverview	1460	1 Bldg	54,000				
	Replace entry steps - Acquisitions	1460	6 Units	12,000				
	Replace asbestos siding	1460	1 Bldg	20,000				
	Sub-Total			86,000				
ME008000088								
Formerly ME008-3	Bathroom Rehab (on-going)	1460	8 Units	74,000				
	Replace entry steps	1460	15 Bldgs	15,750				
	Sub-Total			89,750				
ME008000088								
Formerly ME008-4	Bathroom Rehab (on-going)	1460	8 Units	74,000				
	Sub-Total			74,000				
ME008000088								
Formerly ME008-5	Community Room Update	1460		15,000				
	Site Improvements	1450		3,000				
	Sub-Total			18,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary					
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36S00850109 Date of CFFP: _____		Replacement Housing Factor Grant No: FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: _____)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	7,573.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	437,250.00			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	444,823.00	0.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Waterville Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36S00850109 Date of CFFP: _____		Replacement Housing Factor Grant No: FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant				
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: _____)
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost¹
		Original	Revised ²	Obligated
				Expended
Signature of Executive Director 		Date 3/31/09	Signature of Public Housing Director Date	

Part II: Supporting Pages								
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36S00850109 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME008000088								
Formerly ME008-2	Replace asbestos siding	1460	2 Bldgs	50,000				
ME008000088								
Formerly ME008-3	Replace siding & add insulation (on-going)	1460	13 Bldgs	260,000				
	Bathroom Rehab	1460	5 Units	46,250				
	Replace Flooring (on-going)	1460	5 Units	13,750				
ME008000088								
Formerly ME008-4	Bathroom Rehab	1460	5 Units	46,250				
	Replace Flooring (on-going)	1460	6 Units	21,000				
PHA Wide	Professional fees associated w/ work items	1430		7,573				
	TOTAL			444,823				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary						
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850108 Date of CFFP: _____			Replacement Housing Factor Grant No: _____	FFY of Grant: 2008 FFY of Grant Approval: 2008
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	50,000	50,000	50,000	50,000	
3	1408 Management Improvements	20,000	5,296	600	595	
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs		7,150			
8	1440 Site Acquisition					
9	1450 Site Improvement	20,000	20,000			
10	1460 Dwelling Structures	235,415	262,970	49,400	5,000	
11	1465.1 Dwelling Equipment - Nonexpendable	26,000	6,000			
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceeds 8% of line 20)					
20	Amount of Annual Grant: (sum of line 2 - 19)	351,416	351,416	100,000	55,595	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hart Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary						
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850108 Date of CFFP: _____			Replacement Housing Factor Grant No: _____	FFY of Grant: 2008 FFY of Grant Approval: 2008
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2008		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
Signature of Executive Director 		Date 3/31/09		Signature of Public Housing Director _____		
				Date _____		

Part II: Supporting Pages								
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850108 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ₂	
ME008-2	Replace Asbestos Siding	1460	2 Bldgs	23,090	0	0	0	Deferred
	Complete Interior Rehab - 25 Oakland St	1460		0	20,000	20,000	5,000	In progress
	Sub-Total			23,090	20,000	20,000	5,000	
ME008-3	Replace Siding, Add Insulation (on-going)	1460	7 Bldgs	96,000	125,000	20,640	0	In progress
	Replace Flooring (on-going)	1460	4 Units	16,000	14,000		0	In progress
	Replace Furnace (emergency repair)	1460	1 Unit	0	4,960	0	0	Planned
	Replace entry steps	1460	5 Bldgs	0	5,250			Planned
	Sub-Total			112,000	149,210	20,640	0	
ME008-4	Replace Flooring (on-going)	1460	4 Units	25,000	14,000	4,000		In progress
	Exterior Lighting, safety	1460		16,000	10,000			Planned
	Sub-Total			41,000	24,000	4,000	0	
ME008-5	Parking lot overlay & pole lights	1450		20,000	10,000			Planned
	Repair/replace DHW Solar System	1460		0	75,000			Pricing
	Sub-Total			20,000	85,000	0	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850108 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ₂	
ME008-6	Replace kitchens (counters, sinks, cabinets)	1460	8 Units	39,325	0			Completed grant 501-05
	Replace appliances	1465.1		10,000	0			Completed grant 501-07
	Replace snow stops (emergency/safety)	1460		0	4,760	4,760		In Progress
	Sub-Total			49,325	4,760	4,760	0	
ME008-7	Replace community room windows	1460		20,000	0			Deferred
	Replace appliances	1465.1		10,000	0			Completed grant 501-07
	Sub-Total			30,000	0	0	0	
PHA Wide	General operating expenses	1406		50,000	50,000	50,000	50,000	Complete
	Computer upgrades	1408		20,000	0			Completed grant 501-07
	Miscellaneous Management Improvements	1408		0	5,296	600	595	Planned
	A&E fees and reimbursable costs	1430		1	7,150			In Progress
	Replace appliances	1465.1		6,000	6,000			As Needed
	Sub-Total			76,001	68,446	50,600	50,595	
	Total			351,416	351,416	100,000	55,595	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part I: Summary						
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850107 Date of CFFP: _____			Replacement Housing Factor Grant No: _____	FFY of Grant: 2007 FFY of Grant Approval: 2007
Type of Grant						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: _____)		
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:				<input checked="" type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	50,000.00	50,000.00	50,000.00	50,000.00	
3	1408 Management Improvements	29,390.00	20,966.87	20,966.87	20,966.87	
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	9,200.00	2,130.00	2,130.00	2,130.00	
8	1440 Site Acquisition					
9	1450 Site Improvement	1.00	4,615.00	4,615.00	4,615.00	
10	1460 Dwelling Structures	174,500.00	165,559.70	165,559.70	165,559.70	
11	1465.1 Dwelling Equipment - Nonexpendable	4,000.00	26,392.50	26,392.50	26,392.50	
12	1470 Non-dwelling Structures	30,000.00	27,426.93	27,426.93	27,426.93	
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceeds 8% of line 20)					
20	Amount of Annual Grant: (sum of line 2 - 19)	297,091.00	297,091.00	297,091.00	297,091.00	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hart Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary			
PHA Name: Waterville Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P00850107 Date of CFFP: _____	Replacement Housing Factor Grant No:	FFY of Grant: 2007 FFY of Grant Approval: 2007
Type of Grant			
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input checked="" type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	
		Original	Revised ²
		Total Actual Cost ¹	
		Obligated	Expended
Signature of Executive Director 		Signature of Public Housing Director	Date
			3/31/09

Part II: Supporting Pages									
PHA Name: Waterville Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P00850107 Replacement Housing Factor Grant No:				CFPP (Yes/No): No		Federal FFY of Grant: 2007	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
ME008-2	Landscaping	1450		1.00	1,856.00	1,856.00	1,856.00	Complete	
	Modernization Vacant Unit - 14 Boutelle	1460		9,500.00	16,100.88	16,100.88	16,100.88	Complete	
	Boiler replacement - 7 Lowell	1460		0.00	4,991.00	4,991.00	4,991.00	Complete	
	Sub-Total			9,501.00	22,947.88	22,947.88	22,947.88		
ME008-3	Architecture Fees - Siding rehab	1430		0.00	600.00	600.00	600.00	Complete	
	Flooring - ongoing	1460		20,000.00	10,808.66	10,808.66	10,808.66	Complete	
	Siding - ongoing	1460		110,000.00	68,831.42	68,831.42	68,831.42	Complete	
	Sub-Total			130,000.00	80,240.08	80,240.08	80,240.08		
ME008-4	Flooring - ongoing	1460		25,000.00	29,459.90	29,459.90	29,459.90	Complete	
ME008-5	Landscaping	1450		0.00	2,118.00	2,118.00	2,118.00	Complete	
	Lobby rehab	1460		0.00	1,894.84	1,894.84	1,894.84	Complete	
	Patio rehab	1460		0.00	16,555.00	16,555.00	16,555.00	Complete	
	Sub-Total			0.00	20,567.84	20,567.84	20,567.84		
ME008-6	Landscaping	1450		0.00	641.00	641.00	641.00	Complete	
	Bathroom rehab	1460		8,000.00	7,292.75	7,292.75	7,292.75	Complete	
	Replace Intercom	1460		2,000.00	0.00	0.00	0.00	Deferred	
	Replace appliances	1465.1		0.00	9,812.50	9,812.50	9,812.50	Complete	
	Sub-Total			10,000.00	17,746.25	17,746.25	17,746.25		
ME008-7	Replace appliances	1465.1		0.00	10,600.00	10,600.00	10,600.00	Complete	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program-Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011**

Part I: Summary							
PHA Name/Number		Waterville Housing Authority/ME008		Locality (City/County & State)		Waterville, Maine	
						<input checked="" type="checkbox"/> Original 5-Year Plan	
						Revision No:	
A.	Development Number and Name	Work Statement for Year 1	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5	
	ME008000088	FFY 2009	FFY 2010	FFY 2011	FFY 2012	FFY 2013	
B.	Physical Improvements Subtotal	Annual Statement	277,700	280,500	280,500	278,000	
C.	Management Improvements		4,300	5,000	5,000	5,000	
D.	PHA-Wide Non-dwelling Structures and Equipment						
E.	Administration						
F.	Other		13,000	9,500	9,500	12,000	
G.	Operations		55,000	55,000	55,000	55,000	
H.	Demolition						
I.	Development						
J.	Capital Fund Financing - Debt Service						
K.	Total CFP Funds		350,000	350,000	350,000	350,000	
L.	Total Non-CFP Funds	0	0	0	0	0	
M.	Grand Total	0	350,000	350,000	350,000	350,000	

Capital Fund Program-Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number	Waterville Housing Authority/ME008	Locality (City/County & State)	Waterville, Maine	<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:	
Development Number and Name	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013	
ME008000088						
	Annual Statement					
ME008-2/Acquisitions & Riverview		31,000	46,000	30,000	45,000	
ME008-3/Woodmond Heights		92,450	112,750	78,750	99,500	
ME008-4/Chap-Hill Manor		130,250	72,750	82,500	19,500	
ME008-6/Louise Ave.		10,000	37,000	48,000	0	
ME008-7/Forsythe		0	0	29,250	100,000	
PHA Wide		86,300	81,500	81,500	86,000	
		350,000	350,000	350,000	350,000	
	0	0	0	0	0	
	0	350,000	350,000	350,000	350,000	

Capital Fund Program-Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010			Work Statement for Year 3 FFY 2011		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Formerly ME008-2/Acquisitions			Formerly ME008-2/Acquisitions		
Annual	Replace Entry Steps	3 Units	6,000	Replace Entry Steps	3 Units	6,000
Statement	Replace Asbestos Siding	1 Bldg	25,000	Replace Asbestos Siding	1 Bldg	25,000
				Replace roofs	1 Bldg	15,000
	Formerly ME008-3/Woodmond Heights					
	Replace entry steps (on-going)	4 Bldgs	4,200	Formerly ME008-3/Woodmond Heights		
	Replace flooring (on-going)	6 Units	18,000	Replace Flooring (on-going)	8 Units	40,000
	Bathroom rehab (on-going)	5 Units	46,250	Bathroom rehab (on-going)	5 Units	48,750
	Replace windows	100 Windows	24,000	Replace windows	100 windows	24,000
	Formerly ME008-4/Chap-Hill Manor					
	Replace flooring (on-going)	6 Units	24,000	Formerly ME008-4/Chap-Hill Manor		
	Bathroom rehab (on-going)	5 Units	46,250	Bathroom rehab (on-going)	5 Units	48,750
	Replace windows	250 windows	60,000	Replace windows	100 windows	24,000
	Formerly ME008-6/Louise Ave			Formerly ME008-6/Louise Ave		
	Landscaping		10,000	Replace heat appliances/water heaters		37,000
	PHA Wide - Replace appliances		8,000	PHA Wide - Replace appliances		4,500
	PHA Wide - Replace boilers/water heaters		14,000	PHA Wide - Replace boilers/water heaters		12,000
	Subtotal of Estimated Cost		\$285,700	Subtotal of Estimated Cost		\$285,000

Attachment F

Waterville Housing Authority

Annual Plan

Fiscal Year 07/01/2009 – 06/30/2010

Comments of the Resident Advisory Board

Waterville Housing Authority conducted a meeting with the Resident Advisory Board. The purpose of the meeting was to discuss critical elements of the Annual Agency Plan and Relevant attachments, and to solicit RAB comments.

There were no comments on the Plan from the RAB



Diane Townsend, Executive Director
Waterville Housing Authority

March 10, 2009

Attachment G

Waterville Housing Authority

Annual Plan

Fiscal Year 07/01/2009 – 06/30/2010

Challenged Elements

There were no challenged elements to the Housing Authority's Annual Plan



Diane Townsend, Executive Director
Waterville Housing Authority

March 10, 2009