

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2009 - 2013

Annual Plan for Fiscal Year 2009

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of the City of East Chicago

PHA Number: IN029

PHA Fiscal Year Beginning: (mm/yyyy) 01/2009

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
 Number of public housing units: 808 Number of S8 units: Number of public housing units:
 Number of S8 units: 695

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices

Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2009 - 2013

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)
To promote, manage and maintain real estate including its current housing stock, provide additional affordable, economic opportunity and a suitable living environment free from discrimination for residents in the City of East Chicago.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers: Should vouchers become available
 - Reduce public housing vacancies: Undergo renovation/modernization of current Public Housing stock to alleviate vacancies and high waitlist numbers.
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
Rehabilitation of severely distressed units utilizing Capital Fund Program funds. Documentation detailing this plan is available for review at the ECHA Main Office.
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: Increase PHAS score to 80
 - Improve voucher management: Increase SEMAP score to 82
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions: Improve staff qualifications in the areas of finance, procurement, capital funds, housing inspections, resident programs, and Section 8.
- Renovate or modernize public housing units: The ECHA plans to modernize its 700 units.
- Demolish or dispose of obsolete public housing: The ECHA plans to demolish the following:
Harbor Elderly (29-1) 109 units and (29-2 and 29-9) total of 35 units to be demolished:

29-2 LINCOLN	29-2/29-9 GUTHRIE	29-2 34 BLOCK OF PENNSYLVANIA
Unit No:	Unit No:	Unit No:
3412	3442	3454
3414	3444	3455
	3446	3456
	3448	3457
	3450	3459
	3452	3461
	3460	3462
	3462	3463
	3466	3465
	3468	3466
	3472	3467
	3474	3469
	29-9	
	3454	
	3456	
	3458	
	3460	
	3472	
	3474	
	3476	
	3478	
	3480	

- Provide replacement public housing: The ECHA plans to replace Harbor Elderly (29-1) with 60+ elderly units and the 29-2 and 29-9 demolished units will be replaced with 35 units.
- Provide replacement vouchers: The ECHA plans to replace Harbor Elderly (29-1) with 10+ vouchers.
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:

- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
 - Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: The ECHA has been very successful using the deconcentration program approved by the East Chicago Housing Authority Board of Commissioners. The program will continue.
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments: The ECHA has been very successful using the deconcentration program approved by the East Chicago Housing Authority Board of Commissioners. The program will continue.
 - Implement public housing security improvements: Using capital funds to install security improvements (locks, entry doors, lights) throughout all developments.
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)
 - Modify 100 units in IN029-5 to become fully accessible, assisted living units for the elderly. This work will be complete September 2010.
 - Improve senior facilities, particularly for the frail elderly.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
 - Objectives:
 - Increase the number and percentage of employed persons in assisted families: Continue participation in the Lake County Office of Family Resources Community Work Experience Program to provide support for positive employment experiences.
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2009

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Housing needs in the city of East Chicago range from assisted living units for the elderly to more modern homes for homeownership. Affordable quality housing was strongly voiced in the community meetings. The needs of the elderly with assistance to maintain their homes were also a concern expressed in the meetings. The need to rehabilitate obsolete homes for the existing homeowners also appears to be strongly desired. Of all owner-occupied units, 23.8% or 1,241 units had one condition, while 63 units had two selected conditions. For the renter occupied units, 2,400 or 37% had at least one housing condition, 259 units reported having two conditions and 33 renter units reported having had three conditions. It is estimated that 786 owner-occupied units need to be rehabilitated. Similarly the needs for rehabilitating rental units exceed 1,340 units. The City is also estimating that at least 50% of the vacant structures need to be demolished (777 units).

The 2000 Census reports housing costs for owner and renter households. East Chicago's median gross rent was \$409 per month in 2000. Although housing values in East Chicago are still affordable relative to regional and national standards, many East Chicago households have difficulty paying for housing. The 2000 Census reports that 13.1 percent of all homeowners (684 households) in the City were paying more than 30 percent of their household income for housing, and one-third of renters (2,273) paid more than 30 percent of household income for gross rent.

Housing needs for different groups and sub-populations are reported to be most extensive for families and elderly. The need for elderly is estimated to be 171 units. Other individuals in need of housing are estimated to be 215. The needs of the larger families who cannot afford a house were also expressed as a concern in community meetings. This demand appears to be feeding the single family conversion market, as larger family type housing is very limited in the city. This group of families also reports the largest for overcrowded housing. The estimated need of large families is approximately 44 units. The Housing Authority's waiting list for housing units and vouchers also demonstrates the need for rental units on the lower end of the scale.

To assist the City in meeting its housing needs, the Housing Authority of the City of East Chicago will continue the following initiatives and policies:

1. Shortage of affordable housing for all eligible populations

Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
 - Reduce turnover time for vacated public housing units
 - Reduce time to renovate public housing units
 - Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
 - Participate in the Consolidated Plan development process to ensure coordination with broader community goals and strategies
- 2. Increase the number of affordable housing units by:**
Apply for additional section 8 vouchers should they become available
- 3. Assisting families at or below 30% and 50% of median by:**
Adopt rent policies to support and encourage work
- 4. Assisting Elderly by:**
Apply for special-purpose vouchers targeted to the elderly, should they become available
- 5. Assisting Families with Disabilities by:**
Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
Affirmatively market to local non-profit agencies that assist families with disabilities
- 6. Assisting Races or ethnicities with disproportionate housing needs by:**
Increasing awareness of PHA resources among families of races and ethnicities with disproportionate needs by affirmatively market to races/ethnicities shown to have disproportionate housing needs
- 7. Conducting activities to affirmatively further fair housing by:**
Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
Market the section 8 program to owners outside of areas of poverty /minority concentrations

Factors influencing the Housing Authority's selection of the strategies are:

- Funding constraints
- Staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the housing authority
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board

The Housing Authority has revised its Policies Governing Eligibility, Selection, and Admissions to specifically accomplish the following goals:

- Deconcentration goals for IN029-2 and IN029-6 have been exceeded with 115.25% and 118.34% of EIR reached. IN029-7 and IN029-9 are below 85% of EIR. The ECHA will continue its progress towards deconcentration and Income-Mixing in Public Housing and Section 8 via the ECHA's Deconcentration Policy.
- Intensified screening and resident selection criteria to reduce crime and to promote self-sufficiency among the public housing and Section 8 residents.
- Promotion of choice in rental payment to encourage higher income residents.

Asset management activities during the current year of the Agency Plan are:

- Continued Capital improvements in developments IN 1, 2, 5, 6, 7, and 9.
- The development of a Section 8 voucher homeownership program.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (Included in updated ACOP) (**Attachment A**)
- FY 2008 Capital Fund Program Annual Statement (**Attachment B**)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled **ONLY**)
- List of Resident Advisory Board Members (**Attachment C**)
- List of Resident Board Member (**Attachment C**)
- Community Service Description of Implementation (Included in updated ACOP) (**Attachment D**)
- Information on Pet Policy (Included in updated ACOP) (**Attachment E**)
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable

Optional Attachments:

- PHA Management Organizational Chart (**Attachment F**)
- FY 2008 Capital Fund Program 5 Year Action Plan (**Attachment G**)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (**Attachment H**)
- Other (List below, providing each attachment name)

Attachment I - Performance and Evaluation Reports for all open Capital Fund/Replacement Housing Fund Grants

Attachment J - Revised Public Housing Admissions and (Continued) Occupancy Policy (ACOP) including Violence Against Women Act of 2005 (VAWA) Policy and Implementation Plan

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the Resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	2. Documentation of the required deconcentration and income mixing analysis	
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Residency of Police Officers	

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	2472	5	3	4	3	4	N/A
Income >30% but <=50% of AMI	1281	4	3	4	3	3	N/A
Income >50% but <80% of AMI	1134	3	3	4	3	3	N/A
Elderly	848	4	5	4	5	4	N/A
Families with Disabilities	N/A	5	5	4	5	N/A	N/A
White	N/A	N/A	N/A	4	N/A	3	2
African-American	N/A	N/A	N/A	4	N/A	3	2
Hispanic	N/A	N/A	N/A	4	N/A	4	2
Other	N/A	N/A	N/A	4	N/A	N/A	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2004 - 2008
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	420		
Extremely low income <=30% AMI	369	87.9	
Very low income (>30% but <=50% AMI)	45	10.7	
Low income (>50% but <80% AMI)	6	1.4	
Families with children	402	95.7	
Elderly families	14	3.3	
Families with Disabilities	4	1.0	
White	29	6.9	
African-American	345	82.1	
Hispanic	45	10.7	
Other	1	0.3	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	128	30.5	
2 BR	191	45.5	
3 BR	60	14.3	
4 BR	23	5.5	
5 BR	18	4.2	
5+ BR	0	0	

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)? 10

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	423		
Extremely low income <=30% AMI	364	86.0	
Very low income (>30% but <=50% AMI)	55	13.0	
Low income (>50% but <80% AMI)	4	1.0	
Families with children	394	93.1	
Elderly families	11	2.6	
Families with Disabilities	18	4.3	
White	7	1.7	
African-American	365	86.3	
Hispanic	51	12.0	
Other	0	0	

Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A		
2 BR	N/A		
3 BR	N/A		
4 BR	N/A		

Housing Needs of Families on the Waiting List			
5 BR	N/A		
5+ BR	N/A		
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 10			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing

- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2008 grants)		
a) Public Housing Operating Fund	2,639,385	
b) Public Housing Capital Fund	1,489,431	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	3,608,694	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
2006 Capital Fund Program		See Annual Stmt
2007 Capital Fund Program	783,762	See Annual Stmt
2008 Capital Fund Program	1,489,431	See Annual Stmt
3. Public Housing Dwelling Rental Income	1,407,107	Administration & Maintenance
4. Other income (list below)		
Non Rental Income	140,336	Administration & Maintenance
Other Rental Income	41,840	Administration & Maintenance
5. Non-federal sources (list below)		
Total resources	11,599,986	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

Once a unit of appropriate size is available and deconcentration requirements are determined, the family is selected for occupancy and the following items will be verified according to the East Chicago Housing Authority's Verification Procedures to determine eligibility and qualification for admission.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
History of participation in Public Housing or Section 8 Programs

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 0

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused
 - Underhoused
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)
 - Resident choice: (state circumstances below)
 - Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

IN029-7, IN029-9

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
IN029-7

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

The applicants are advised that the voucher will be valid for sixty (60) calendar days. The voucher may be extended up to a maximum of an additional sixty (60) calendar days provided that at least one of the following conditions are met:

- The family can demonstrate that they have had difficulty in locating a unit due to the lack of available units.
- The family has had an event occur that was beyond their control that prevented or hindered their search for a unit.
- After submission of a request for lease approval, a prospective landlord withdraws their intention to rent to the tenant through no fault of the tenant.

(4) Admissions Preferences

- a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly families and displaced persons have priority over single persons.
Disabled family currently housed in a unit that does not provide for reasonable accommodations.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
- 3 Substandard housing
- 3 Homelessness
- 4 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Elderly families and displaced persons have priority over single persons.

Disabled family currently housed in a unit that does not provide for reasonable accommodations.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Admissions and Continued Occupancy Policy and Procedure: The Housing Authority shall grant an exemption from payment of this minimum rent if the family is unable to pay that rent as a result of financial hardship.

Financial hardship. The financial hardships shall include the following:

- having experienced a decrease in income because of changed circumstances, loss or reduction of employment, death in the family, and reduction in or loss of earnings or other assistance;
- having experienced an increase in expenses, because of changed circumstances, for medical costs, child care, transportation, education, or similar items; and
- such other situations determined by the ECHA to be appropriate.

The Housing Authority will suspend the minimum rent requirement immediately, until the Housing Authority determines whether there is a qualifying financial hardship and whether the hardship is long-term.

Determination of Temporary Financial Hardship. If the Housing Authority determines that there is a qualifying hardship, but that it is temporary, the Housing Authority reinstates the minimum rent from the time of suspension. The Housing Authority will not evict the family for nonpayment of the amount of minimum rent in excess of tenant rent otherwise payable during the 90-day period beginning on the date the family requested an exemption. The Housing Authority will offer the family a reasonable repayment agreement for the amount of back rent owed.

If the Housing Authority determines there is no qualifying hardship exemption, the Housing Authority shall reinstate the minimum rent including the back payment for minimum rent from the time of suspension on terms and conditions established by the Housing Authority.

The Housing Authority recognizes that this requirement may impose severe hardships for some assisted families that have adjusted monthly incomes so low that their rental obligation is below the "minimum rent" charged. These families may be unable to pay the "minimum rent," which in turn may expose them to eviction for nonpayment.

Therefore the Housing Authority shall take action to ensure that families with severe hardships are not evicted specifically as a result of their inability to pay the minimum rents.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

The following permissive deductions, to the extent these amounts have not already been deducted from annual income or reimbursed to the family from other sources, are:

- Excessive travel expenses, in an amount not to exceed \$25 per family per week for travel related to employment, education, or training.
- An amount of a family's earned income, based on any of the following:
 - (i) All the earned income of the family;
 - (ii) The amount earned by particular members of the family;
 - (iii) The amount earned by families having certain characteristics; or
 - (iv) The amount earned by families or members during certain periods or from certain sources.

Other deductions include but are not limited to payroll deductions, such as social security taxes, income taxes and medical insurance premiums.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never

- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- The section 8 rent reasonableness study of comparable housing
 - Survey of rents listed in local newspaper
 - Survey of similar unassisted units in the neighborhood
 - Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA’s payment standard? (select the category that best describes your standard)
- At or above 90% but below 100% of FMR
 - 100% of FMR
 - Above 100% but at or below 110% of FMR
 - Above 110% of FMR (if HUD approved; describe circumstances below)
- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)
- FMRs are adequate to ensure success among assisted families in the PHA’s segment of the FMR area
 - The PHA has chosen to serve additional families by lowering the payment standard
 - Reflects market or submarket
 - Other (list below)
- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)
- FMRs are not adequate to ensure success among assisted families in the PHA’s segment of the FMR area

- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

Financial hardship. The Housing Authority shall grant an exemption from payment of this minimum rent if the family is unable to pay that rent as a result of financial hardship, as described in the responsible entity's written policies.

Financial hardship. The financial hardships shall include the following:

- having experienced a decrease in income because of changed circumstances, loss or reduction of employment, death in the family, and reduction in or loss of earnings or other assistance;
- having experienced an increase in expenses, because of changed circumstances, for medical costs, child care, transportation, education, or similar items; and
- such other situations determined by the ECHA to be appropriate.

The Housing Authority will suspend the minimum rent requirement immediately, until the Housing Authority determines whether there is a qualifying financial hardship and whether the hardship is long-term.

Determination of Temporary Financial Hardship. If the Housing Authority determines that there is a qualifying hardship, but that it is temporary, the Housing Authority reinstates the minimum rent from the time of suspension. The Housing Authority will not evict the family for nonpayment of the amount of minimum rent in excess of tenant rent otherwise payable during the 90-day period beginning on the date the family requested an exemption. The Housing Authority will offer the family a reasonable repayment agreement for the amount of back rent owed.

If the Housing Authority determines there is no qualifying hardship exemption, the Housing Authority shall reinstate the minimum rent including the back payment for minimum rent from the time of suspension on terms and conditions established by the Housing Authority.

The Housing Authority recognizes that this requirement may impose severe hardships for some assisted families that have adjusted monthly incomes so low that their rental obligation is below the “minimum rent” charged. These families may be unable to pay the “minimum rent,” which in turn may expose them to eviction for nonpayment.

Therefore, the Housing Authority shall take action to ensure that families with severe hardships are not evicted specifically as a result of their inability to pay the minimum rent.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached. **Attachment A**
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	644	122
Section 8 Vouchers	511	100
Section 8 Certificates	N/A	
Section 8 Mod Rehab	48	20
Special Purpose Section 8 Certificates/Vouchers (list individually)	N/A	
Public Housing Drug Elimination Program (PHDEP)	N/A	
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

General Administration

Record Maintenance and Disposition
Travel
Reasonable Accommodation
Security
Safety
Natural Disaster Response
Vehicle Policy

Personnel

Personnel
Employee Performance and Evaluation
Annual Salary Schedule

Procurement/Contract Administration

Procurement
Delegation of Procurement Authority
Procurement Code of Ethics
Documentation and Record Keeping

Financial

Internal Controls and Financial Management Policy and Procedures
Accounting Procedures
Capitalization
Investment and Cash Management
Internal Transfer of Funds between PHA Programs
Uncollectable Tenant Accounts Policy (Write-Off)
Rent Collection
Repayment Policy
Insurance
Petty Cash Fund
Accounts Payable
Inventory
Disposition of Property
Financial Documentation and Record Keeping

Socio/Economic

Minority Business Enterprises Participation
Non-discrimination Handicap and Disabled
Section 3
Record Keeping

Resident

Resident Initiatives
Resident Organization and Recognition
Resident Participation in Management and Program

Admissions and Occupancy

Fair Housing
Marketing
Privacy Policy
Restrictions on Assistance to Non-Citizen

- One Strike - You're Out
- Eligibility for Admission
- Waiting List Management
- Opening and Closing Waiting Lists
- Determining if the waiting list may be closed
- Removal of Applicants from the Waiting List
- Processing Applications For Admission
- Deconcentration of Poverty and Income-Mixing in Public Housing
 - Initial assessment and current occupancy
 - Maintaining deconcentration
- Interviews and Verification Process
- Screening And Resident Selection Criteria
- Resident Selection And Assignment Policies
- Eligibility For Continued Occupancy, And Annual Recertification
- Previous Earned Income Disregard
- Procedures to Be Used in Determining Income/rent and Rent Calculation
 - Income-Based Rents
 - Flat Rents
 - Minimum Rents
 - Rent Decreases
 - Rent Increases
- Security Deposit
- Changes in Family Composition
- Effective Date of Adjustments
- Failure to Report Accurate Information
- Procedure For Establishing Retroactive Charge
- Family Choice
- Family Self-Sufficiency
- Lease Termination/Eviction Procedures
 - Record Keeping Requirements
 - Drug-related and/or Violent Criminal Activity (includes VAWA requirements)
 - Notice Requirements
- Grievance Procedure
- Resident-paid Utilities
- Resident's Maintenance and Damages Charges
- Resident Police Officers
- Residents Employed by The Housing Authority
- Residents Use of The Unit For Legal Profit-making Activities
- Pet Policy
- Procedure For Disposition of Property Due to Death of Resident
- Violence Against Women
- Dwelling Lease
- Record Keeping
- Maintenance**
 - Preventive Maintenance
 - Work Order Procedures
 - Infestation Eradication Procedures
 - Maintenance Safety

Unit Turn Around
Quality Assurance
Energy Audit and Conservation
Maintenance Record Keeping

(2) Section 8 Management: (list below)
Section 8 Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at **Attachment D**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at **Attachment E**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Harbor Elderly (Hunter)
1b. Development (project) number: IN29-1
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(12/01/2008)</u>

5. Number of units affected: 109
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: Relocation: 06/01/2009 Demolition: 010/01/2009 b. Projected end date of activity: 12/01/2009

Demolition/Disposition Activity Description
1a. Development name: Scattered Sites
1b. Development (project) number: IN29-2 and IN29-9
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(12/01/2008)</u>
5. Number of units affected: 35
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: Relocation: 06/01/2009 Demolition: 010/01/2009 b. Projected end date of activity: 12/01/2009

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
	Occupancy by only the elderly <input type="checkbox"/>
	Occupancy by families with disabilities <input type="checkbox"/>
	Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	
	Approved; included in the PHA’s Designation Plan <input type="checkbox"/>
	Submitted, pending approval <input type="checkbox"/>
	Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	
	<input type="checkbox"/> New Designation Plan
	<input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	
	<input type="checkbox"/> Part of the development
	<input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description

1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to

small PHA or high performing PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

25 or fewer participants

- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 10/01/2006

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation

Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Service Coordinator for the Elderly and Disabled Residents	251	Current Resident of Elderly Units	PHA Main Office	Public Housing Residents of 29-1 and 29-5

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2007 Estimate)	Actual Number of Participants (As of: 08/31/2007)
Public Housing	25	20
Section 8	25	6

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.

- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

IN029-6 West Calumet

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

IN029-6 West Calumet

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

All ECHA Developments are affected.

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

Candidates were nominated by resident and assisted family organizations

Candidates could be nominated by any adult recipient of PHA assistance

Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)

b. Eligible candidates: (select one)

Any recipient of PHA assistance

Any head of household receiving PHA assistance

Any adult recipient of PHA assistance

Any adult member of a resident or assisted family organization

Other (list)

- Candidates must be in good standing with the ECHA.

c. Eligible voters: (select all that apply)

All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

Representatives of all PHA resident and assisted family organizations

Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of East Chicago, Indiana

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
Continued modernization of existing public housing stock, including ADA/504 accessibility.
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of East Chicago supports the Housing Authority of the City of East Chicago in the following actions:

Public Housing

The East Chicago Housing Authority will continue to upgrade its housing stock to better address the needs of the residents. The Authority has a long waiting list - more than its available supply of housing. The Authority will continue to provide affordable housing to the neediest families of the city. The city will continue to support the Authority in its self sufficiency program to promote homeownership for its residents.

The Authority administers 695 vouchers and certificates. Although there is a large waiting list for this program, the Authority's efforts to encourage homeownership will increase availability of the vouchers to other residents. The Authority is in the process of converting 100 units of existing senior units to Assisted Living units. This conversion should be completed by September 2008.

These actions are fully supported by the City and are consistent with the City's development objectives. The City of East Chicago will also continue full participation in the planning process.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
29-2	104	115.25% of EIR.	The application of the ECHA's deconcentration policy was very effective in the past year. Wait list skipping to attract lower income residents shall be applied.
29-6	346	118.34% of EIR.	The application of the ECHA's deconcentration policy was very effective in the past year. Wait list skipping to attract lower income residents shall be applied.
29-7	17	54.66% of EIR. The covered development size, location, and/or configuration promote income deconcentration, such as a scattered site	The ECHA will continue to utilize the Deconcentration policy that is currently in their Admissions and Occupancy Policies for this Development to include wait list skipping to attract higher income families to the Development.
29-9	25	84.23% of EIR.	The ECHA will continue to utilize the Deconcentration policy that is currently in their Admissions and Occupancy Policies for this Development to include wait list skipping to attract higher income families to the Development.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A - Admissions Policy for Deconcentration (Included in updated ACOP)

Attachment B - FY 2008 Capital Fund Program Annual Statement

Attachment C - Resident Advisory Board (RAB) Members/Resident Board Member

Attachment D - Community Service Description of Implementation (Included in updated ACOP)

Attachment E - Information on Pet Policy (Included in updated ACOP)

Attachment F - ECHA Management Organizational Chart

Attachment G - FY 2008 Capital Fund Program 5 Year Action Plan

Attachment H - Comments of Resident Advisory Board or Boards

Attachment I - Performance and Evaluation Reports for all open Capital Fund/Replacement Housing Fund Grants

Attachment J - Updated/Revised Public Housing Admissions and (Continued) Occupancy Policy (ACOP) including Violence Against Women Act of 2005 (VAWA) Policy and Implementation Plan

ATTACHMENT A

Admissions Policy for Deconcentration (Included in updated ACOP) is on file at the East Chicago Housing Authority Administrative Office, located at 4920 Larkspur Dr, East Chicago, IN 46312.

ATTACHMENT B

FY 2008 Capital Fund Program Annual Statement

**Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Housing Authority of the City of East Chicago	Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No	Federal FY of Grant: 2008
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number #
 Performance and Evaluation Report for Period Endir: **6/30/08** Final Performance and Evaluation Repo

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$297,886.20		\$0.00	\$0.00
3	1408 Management Improvements (May not exceed 20% of line 20)	\$297,886.20		\$0.00	\$0.00
4	1410 Administration (May not exceed 10% of line 20)	\$148,943.10		\$0.00	\$0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$50,000.00		\$0.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$58,240.00		\$0.00	\$0.00
10	1460 Dwelling Structures	\$535,275.50		\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$25,000.00		\$0.00	\$0.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	\$61,200.00		\$0.00	\$0.00
14	1485 Demolition	\$15,000.00		\$500.00	\$500.00
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Mod Used for Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency (may not exceed 8% of line 20)				
21	Amount of CFFP Proceeds (Sum of lines 2 - 20)	\$1,489,431.00		\$500.00	\$500.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Compliance				
24	Amount of line 21 Related to Security-Soft Cost	\$253,886.20			
25	Amount of line 21 Related to Security-Hard Cost	\$61,200.00			
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
Hunter 29-1	AMP 1							
	1406 Operations	1406		\$20,852.03		\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Security			\$75,000.00		\$0.00	\$0.00	
	Staff Training			\$6,333.00		\$0.00	\$0.00	
	Commissioner Training			\$5,667.00		\$0.00	\$0.00	
	Total 1408			\$87,000.00		\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$10,426.02		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Inspections		109	\$1,188.10				
	Agency Plan			\$350.00				
	Total 1430			\$1,538.10		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	109	\$1,680.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Cyclical Painting			\$70,000.00		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00		\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00		\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for AMP 1			\$191,496.15		\$0.00	\$0.00	
Nicosia 29-5	AMP 2							
	1406 Operations	1406		\$35,746.34		\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Security			\$75,000.00		\$0.00	\$0.00	
	Staff Training			\$8,333.00		\$0.00	\$0.00	
	Commissioner Training			\$5,667.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Total 1408			\$89,000.00		\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$17,873.17		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	A&E-Assisted Living			\$36,203.70		\$0.00	\$0.00	
	Inspections		207	\$2,278.10		\$0.00	\$0.00	
	Agency Plan			\$600.00		\$0.00	\$0.00	
	Total 1430			\$39,081.80		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	1	\$1,680.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Bathroom Remodeling		207	\$35,350.00		\$0.00	\$0.00	
	Replace Stairwell Lighting			\$10,000.00		\$0.00	\$0.00	
	Reroute Clean-Outs			\$22,500.00		\$0.00	\$0.00	
	Replace Window Sills			\$3,000.00		\$0.00	\$0.00	
	Replace Ceiling Tiles/Handrails			\$8,670.50		\$0.00	\$0.00	
	Total 1460			\$79,520.50		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465						
	Stoves and Refrigerators		25	\$25,000.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	Upgrade Security System			\$60,000.00		\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00		\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for AMP 2			\$347,901.81		\$0.00	\$0.00	
West Calumet 29-6	AMP 3							
	1406 Operations	1406		\$178,731.72		\$0.00	\$0.00	
	1408 Management Improvements	1408						

**Annual Statement/Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Security			\$103,886.20		\$0.00	\$0.00	
	Staff Training			\$8,334.00		\$0.00	\$0.00	
	Commissioner Training			\$6,666.00		\$0.00	\$0.00	
	Total 1408			\$118,886.20		\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$89,365.86		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Inspections		346	\$3,760.50		\$0.00	\$0.00	
	Agency Plan			\$3,000.00		\$0.00	\$0.00	
	Total 1430			\$6,760.50		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	346	\$26,600.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Kitchen Remodeling			\$52,967.00		\$0.00	\$0.00	
	Vacancy Reduction			\$160,057.00		\$0.00	\$0.00	
	Total 1460			\$213,024.00		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00				
	1485 DEMOLITION COSTS	1485		\$0.00		\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for AMP 3			\$633,368.28		\$0.00	\$0.00	
Scattered 29-2	AMP 4							
	1406 Operations	1406		\$47,661.79		\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Staff Training			\$1,000.00		\$0.00	\$0.00	
	Commissioner Training			\$500.00		\$0.00	\$0.00	
	Total 1408			\$1,500.00		\$0.00	\$0.00	

**Annual Statement/Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	1410 Capital Fund Administration Fee	1410		\$23,830.90		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Inspections		102	\$1,111.80				
	Agency Plan			\$800.00				
	Total 1430			\$1,911.80		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	102	\$21,546.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Vacancy Reduction			\$10,000.00		\$0.00	\$0.00	
	Cyclical Painting		102	\$55,000.00		\$0.00	\$0.00	
	Total 1460			\$65,000.00		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00				
	1485 DEMOLITION COSTS	1485	2	\$15,000.00		\$500.00	\$500.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for Scattered 29-2			\$176,450.49		\$500.00	\$500.00	In Progress
Columbus 29-7	AMP 4							
	1406 Operations	1406		\$5,957.73		\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Staff Training			\$500.00		\$0.00	\$0.00	
	Commissioner Training			\$250.00		\$0.00	\$0.00	
	Total 1408			\$750.00		\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$2,978.86		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Inspections		102	\$185.30				

Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Agency Plan			\$100.00				
	Total 1430			\$285.30		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	102	\$1,680.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Replace Roofing			\$33,000.00		\$0.00	\$0.00	
	Cyclical Painting			\$7,000.00		\$0.00	\$0.00	
	Replace Kitchen Cabinets			\$13,600.00		\$0.00	\$0.00	
	Replace Heat/Vent Covers			\$7,200.00		\$0.00	\$0.00	
	Intercom w/cable			\$13,000.00		\$0.00	\$0.00	
	Total 1460			\$73,800.00		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	Security Lights			\$1,200.00		\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00		\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for Scattered 29-2			\$86,651.89		\$0.00	\$0.00	
Scattered 29-9	AMP 4							
	1406 Operations	1406		\$8,936.59		\$0.00	\$0.00	
	1408 Management Improvements	1408						
	Staff Training			\$500.00		\$0.00	\$0.00	
	Commissioner Training			\$250.00		\$0.00	\$0.00	
	Total 1408			\$750.00		\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$4,468.29		\$0.00	\$0.00	
	1430 Fees and Cost	1430						
	Inspections		25	\$272.50				
	Agency Plan			\$150.00				

**Annual Statement/Performance and Evaluation Report
 Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Total 1430			\$422.50		\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450	102	\$5,054.00		\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	Cyclical Painting			\$30,181.00		\$0.00	\$0.00	
	Replace Face bowl Vanities			\$3,750.00		\$0.00	\$0.00	
	Total 1460			\$33,931.00		\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00		\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00		\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	1485 DEMOLITION COSTS	1485		\$0.00		\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00		\$0.00	\$0.00	
	Total Cost for Scattered 29-2			\$53,562.38		\$0.00	\$0.00	
	Total Cost for AMP 4			\$316,664.76		\$500.00	\$500.00	
	Total Cost for ECHA			\$1,489,431.00		\$500.00	\$500.00	

Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of East Chicago	Grant Type and Number Capital Fund Program Grant No: IN36P029501-08 Replacement Housing Factor Grant No:	Federal FY of Grant: <p style="text-align: center;">2008</p>
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Hunter 29-1 Nicosia 29-5 W. Calumet 29-6 Scattered 29-2 Columbus 29-7 Scattered 29-9	06/30/10 06/30/10 06/30/10 06/30/10 06/30/10 06/30/10			06/30/12 06/30/12 06/30/12 06/30/12 06/30/12 06/30/12			

ATTACHMENT C

Resident Advisory Board (RAB) Members

Fannie Reed 29-1
Lollie LeDay 29-1
Darlene Hunter 29-1
Lillian Alford 29-1
Ramona Becerra 29-2
Geraldine Allen 29-5
Hattie Dowdell 29-5
Guadalupe Vasquez 29-6
Juan Almandovar 29-6
Marcelino Molina 29-7

Gloria Ramirez Section 8
Mary A. Broaders Section 8
Lisa Garcia Section 8

Resident Board Member

Currently, there is no resident on the Board. The ECHA is in the process of selecting a resident. The Mayor appoints resident members upon the recommendation of the Executive Director.

ATTACHMENT D

Community Service Description of Implementation (Included in updated ACOP) is on file at the East Chicago Housing Authority Administrative Office, located at 4920 Larkspur Dr, East Chicago, IN 46312.

ATTACHMENT E

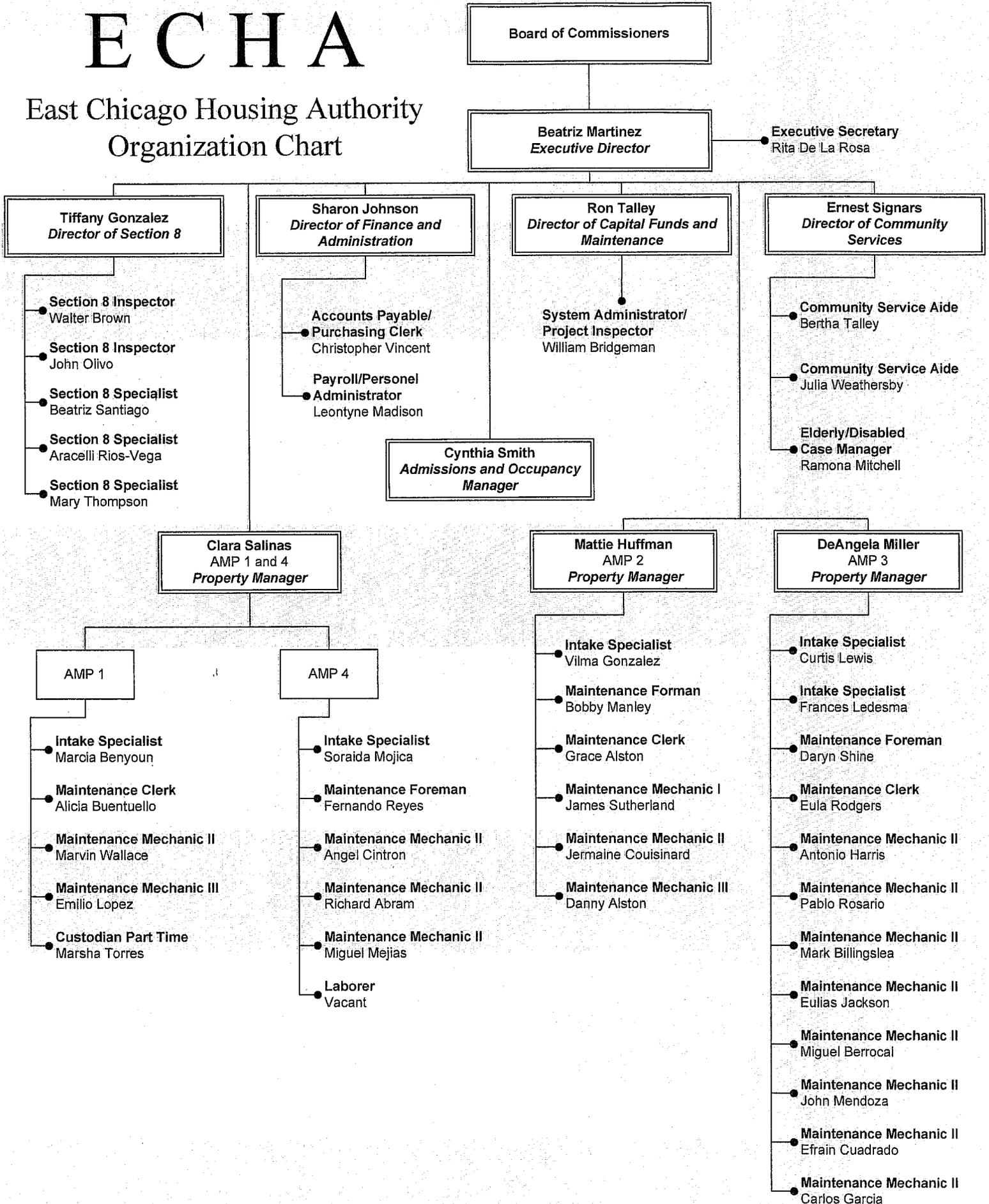
Information on Pet Policy (Included in updated ACOP) is on file at the East Chicago Housing Authority Administrative Office, located at 4920 Larkspur Dr, East Chicago, IN 46312.

ATTACHMENT F

ECHA Management Organizational Chart

ECHA

East Chicago Housing Authority Organization Chart



ATTACHMENT G

FY 2008 Capital Fund Program 5 Year Action Plan

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name: Housing Authority of the City of East Chicago		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 5			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
	Annual Statement				
29-1/ HUNTER		\$0	\$200,000	\$200,000	\$200,000
29-2		\$161,716	\$100,000	\$100,000	\$100,000
29-5/ NICOSIA		\$0	\$0	\$0	\$0
29-6		\$200,000	\$80,000	\$0	\$0
29-7		\$100,000	\$144,447	\$144,447	\$144,447
29-9		\$50,000	\$100,000	\$100,000	\$100,000
PHA-WIDE		\$977,715	\$944,984	\$944,984	\$944,984
CFP Funds Listed for 5-year planning		\$1,489,431	\$1,489,431	\$1,489,431	\$1,489,431
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year : 4 FFY Grant: 2011 PHA FY: 2011			Activities for Year: 5 FFY Grant: 2012 PHA FY: 2012		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
29-02	INSULATING & PAINTING OF UNITS	\$100,000	29-02	INSULATING & PAINTING OF UNITS	\$100,000
<i>29-1</i>	REPLACEMENT HOUSING	\$200,000	<i>29-1</i>	REPLACEMENT HOUSING	\$200,000
29-07	ROOFING	\$144,447	29-07	ROOFING	\$144,447
29-09	PLUMBING	\$100,000	29-09	PLUMBING	\$100,000
PHA-WIDE	REHAB OF UNITS FOR LEASE-UP	\$100,859	PHA-WIDE	REHAB OF UNITS FOR LEASE-UP	\$100,859
PHA-WIDE	LANDSCAPING	\$58,000	PHA-WIDE	LANDSCAPING	\$58,000
PHA-WIDE	STAFF TRAINING	\$24,000	PHA-WIDE	STAFF TRAINING	\$24,000
PHA-WIDE	RESIDENT INITIATIVE	\$20,000	PHA-WIDE	RESIDENT INITIATIVE	\$20,000
PHA-WIDE	SECURITY	\$250,000	PHA-WIDE	SECURITY	\$250,000
PHA-WIDE	CFP ADM.	\$50,000	PHA-WIDE	CFP ADM.	\$50,000
PHA-WIDE	FEES & COST (A&E)	\$50,000	PHA-WIDE	FEES & COST (A&E)	\$50,000
PHA-WIDE	OPERATIONS	\$292,125	PHA-WIDE	OPERATIONS	\$292,125
PHA-WIDE	UNIT DEMOLITION	\$100,000	PHA-WIDE	UNIT DEMOLITION	\$100,000
Total CFP Estimated Cost		\$1,489,431			\$1,489,431

ATTACHMENT H

Comments of Resident Advisory Board or Boards

**EAST CHICAGO HOUSING AUTHORITY
PUBLIC HEARING ON
PHA Plans - 2009 Annual Plan
5 Year Plan for 2009-2013**

October 8, 2008

AGENDA

- I. Welcome – Beatriz Martinez, Executive Director
- II. Purpose of the Meeting/Annual Plan Review
- III. Capital Fund Review – Ron Talley, Director of Capital Funds/Maintenance Manager
- IV. Comments/Questions & Answers
- IV. Adjournment



**East Chicago Housing Authority
OF EAST CHICAGO**



Phone: (219) 397-9974

Fax: (219) 397-4249

CITIZEN RECOMMENDATIONS

The East Chicago Housing Authority is in the process of preparing the 2009 Annual Agency Plan (The Plan). This document contains a comprehensive guide to our public housing agency (PHA) policies, programs, operations, and strategies for meeting local housing needs and goals as required by the U.S. Department of Housing and Urban Development (HUD).

Upon completion of The Plan, the public was invited by Public Notice in the "The Times" Newspaper to view this document, make comments, and respond to the recommendations and issues discussed in the draft through **Wednesday, October 8, 2008** at the following location:

**East Chicago Housing Authority
4920 Larkspur Drive
East Chicago, IN 46312
Hours: Monday - Friday 8:00 – 4:30 p.m.
(219) 397-4249 Fax
Attn.: Rita De La Rosa**

If you have any questions, please contact Rita De La Rosa at (219) 397-9974 ext. 35 or via e-mail at: echainda@echa-in.org.

Comments *(Please attach another sheet if more space is needed):*

Name: _____

Address: _____

City: _____ State: _____ Zip _____



**C
I
T
Y OF EAST CHICAGO**
Housing Authority



Phone: (219) 397-9974

Fax: (219) 397-4249

RECOMENDACIONES CIUDADANAS

El East Chicago Housing Authority, está en el proceso de preparar de su **2009 Plan de Agencia Anual**. Este documento contiene una guía comprensiva que explica nuestro reglas, programas, operaciones y estrategias para satisfacer las necesidades y objetivos de viviendas como requerido por el Departamento Estadounidense de la Vivienda y Desarrollo Urbano (HUD).

Después de terminar el plan, un anuncio fue puesto en el periódico "The Times" para invitar el público a ver este documento, hacer comentarios, y responder a las recomendaciones y cuestiones habladas en el plan hasta **8 de Octubre de 2008** en la posición siguiente:

East Chicago Housing Authority
4920 Larkspur Drive
East Chicago, IN 46312
Hours: El Lunes – El Viernes 8:00 a.m. – 4:30 p.m.
(219) 397-4249 Fax
Attn.: Rita De La Rosa

Si usted tiene alguna pregunta, por favor póngase en contacto con Rita De La Rosa en (219) 397-9974 ext. 35 o vía correo electrónico en echainda@echa-in.org.

Comentarios (*Por favor ate otra hoja si más espacio es necesario*):

Nombre: _____

Dirección: _____

Ciudad: _____ Estado: _____ Cremallera: _____

PUBLIC NOTICE

Please be advised that, pursuant to the Quality in Housing and Work Responsibility Act of 1998, that the East Chicago Housing Authority has prepared its 2009 Annual Agency Plan (The Plan). Said Plan will be made available to the public for review and comment and will continue to be available for public review, until Wednesday, October 8, 2008, from 9:00 a.m. until 3:30 p.m., Monday thru Friday, at the East Chicago Housing Authority's main office at 4920 Larkspur Drive, East Chicago, IN, 46312. All interested parties are invited to review The Plan. Any comments concerning The Plan must be in writing and submitted to the address above by 3:45 p.m., Wednesday, October 8, 2008.

* * * * *

Notice is further given that a Public Hearing on the 2009 Annual Agency Plan is scheduled for 4:00 p.m., on Wednesday, October 8, 2008 at the Recreational Center of the East Chicago Housing Authority, 4925 Gladiola Ave., East Chicago, IN.

ATTACHMENT I

Performance and Evaluation Reports for all open Capital Fund/Replacement Housing Fund Grants

**Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part I: Summary**

PHA Name: Housing Authority of the City of East Chicago	Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No	Federal FY of Grant: 2007
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Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement/Revision Number #2 **Date Revised: 7/29/08**
 Performance and Evaluation Report for Period Endir: **6/30/08** Final Performance and Evaluation Repo

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$292,125.80	\$292,125.80	\$0.00	\$0.00
3	1408 Management Improvements (May not exceed 20% of line 20)	\$292,125.80	\$292,125.80	\$204,608.93	\$204,608.93
4	1410 Administration (May not exceed 10% of line 20)	\$146,062.90	\$146,062.90	\$146,062.90	\$146,062.90
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$40,796.30	\$40,730.90	\$16,080.24	\$16,080.24
8	1440 Site Acquisition				
9	1450 Site Improvement	\$80,000.00	\$86,350.00	\$21,995.00	\$21,995.00
10	1460 Dwelling Structures	\$519,968.00	\$458,084.40	\$191,771.72	\$191,771.72
11	1465.1 Dwelling Equipment - Nonexpendable	\$15,350.00	\$30,700.00	\$30,700.00	\$30,700.00
12	1470 Nondwelling Structures	\$0.00	\$34,131.00	\$6,456.00	\$6,456.00
13	1475 Nondwelling Equipment	\$74,200.20	\$80,318.20	\$59,191.88	\$59,191.88
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Mod Used for Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency (may not exceed 8% of line 20)				
21	Amount of CFFP Proceeds (Sum of lines 2 - 20)	\$1,460,629.00	\$1,460,629.00	\$676,866.67	\$676,866.67
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$2,565.79	\$2,565.79	\$2,565.79
24	Amount of line 21 Related to Security-Soft Cost	\$167,725.00	\$177,073.55	\$177,073.55	\$177,073.55
25	Amount of line 21 Related to Security-Hard Cost	\$0.00	\$6,456.00	\$6,456.00	\$6,456.00
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	PHA-Wide							
	1406 Operations	1406		\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	1408 Management Improvements	1408						
	Staff/Commissioner Training			\$34,400.80	\$33,937.70	\$25,057.94	\$25,057.94	In Progress
	Security			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Total 1408			\$34,400.80	\$33,937.70	\$25,057.94	\$25,057.94	
	1410 Capital Fund Administration Fee	1410						
	Administration			\$2,797.89	\$2,797.89	\$2,797.89	\$2,797.89	Complete
	Capital Fund Management Fee			\$143,265.01	\$0.00	\$0.00	\$0.00	Reallocated
	Total 1410			\$146,062.90	\$2,797.89	\$2,797.89	\$2,797.89	
	1430 Fees and Cost	1430						
	A&E			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Dwell Unit Inspections			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Agency Plan			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Total 1430			\$0.00	\$0.00	\$0.00	\$0.00	
	1450 Site Improvements/Lawn Care	1450		\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	1460 Dwelling Structure	1460						
	Make Ready Vacant Units			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	504/ADA Compliance			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Total 1460			\$0.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465						
	Appliances			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	Maintenance Vehicles			\$0.00	\$0.00	\$0.00	\$0.00	Reallocated
	Total Cost for PHA-Wide			\$180,463.70	\$36,735.59	\$27,855.83	\$27,855.83	
Hunter 29-1	AMP 1							
	1406 Operations	1406		\$20,448.81	\$20,448.81	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408						

Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Security			\$50,000.00	\$59,040.00	\$59,040.00	\$59,040.00	Complete
	Computer Software			\$30,000.00	\$26,212.37	\$0.00	\$0.00	Pending
	Total 1408			\$80,000.00	\$85,252.37	\$59,040.00	\$59,040.00	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$18,620.00	\$18,620.00	\$18,620.00	Complete
	1430 Fees and Cost	1430						
	Inspections			\$1,188.10	\$1,188.10	\$0.00	\$0.00	In Process
	Physical Needs Assessment			\$0.00	\$6,299.87	\$6,299.87	\$6,299.87	Complete
	A&E-Lobby Renovations			\$0.00	\$2,343.75	\$2,343.75	\$2,343.75	Complete
	Agency Plan			\$350.00	\$350.00	\$0.00	\$0.00	Pending
	Total 1430			\$1,538.10	\$10,181.72	\$8,643.62	\$8,643.62	
	1450 Site Improvements/Lawn Care	1450		\$0.00	\$1,680.00	\$1,000.00	\$1,000.00	In Process
	1460 Dwelling Structure	1460		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	Computers/Printers		5	\$4,000.00	\$4,000.00	\$847.92	\$847.92	In Process
	Walkie Talkies			\$0.00	\$1,418.00	\$0.00	\$0.00	In Process
	Total 1475			\$4,000.00	\$5,418.00	\$847.92	\$847.92	
	Total Cost for Hunter 29-1			\$105,986.91	\$141,600.90	\$88,151.54	\$88,151.54	
Scattered 29-2	AMP 4							
	1406 Operations	1406		\$46,740.13	\$46,740.13	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408		\$0.00	\$0.00	\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$18,620.00	\$18,620.00	\$18,620.00	Complete
	1430 Fees and Cost	1430						
	Inspections			\$1,111.80	\$1,111.80	\$0.00	\$0.00	Pending
	Physical Needs Assessment			\$0.00	\$365.30	\$365.30	\$365.30	Complete
	Agency Plan			\$800.00	\$800.00	\$0.00	\$0.00	Pending
	Total 1430			\$1,911.80	\$2,277.10	\$365.30	\$365.30	

**Annual Statement/Performance and Evaluation Report
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Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	1450 Site Improvements/Lawn Care	1450		\$30,000.00	\$30,000.00	\$5,450.00	\$5,450.00	In Process
	1460 Dwelling Structure	1460						
	Replace Soffits & Fascias		15	\$30,000.00	\$0.00	\$0.00	\$0.00	Postpone
	Vacancy Reduction		14	\$30,344.01	\$44,662.32	\$44,662.32	\$44,662.32	Complete
	Total 1460			\$60,344.01	\$44,662.32	\$44,662.32	\$44,662.32	
	1465 Dwelling Equipment	1465						
	Stoves and Refrigerators			\$0.00	\$15,350.00	\$15,350.00	\$15,350.00	Complete
	1475 Non-Dwelling Equipment	1475						
	Maintenance Vehicle			\$17,452.10	\$17,452.10	\$17,452.10	\$17,452.10	Complete
	Total Cost for Scattered 29-2			\$156,448.04	\$175,101.65	\$101,899.72	\$101,899.72	
Nicosia 29-5	AMP 2							
	1406 Operations	1406		\$35,055.10	\$35,055.10	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408						
	Security			\$50,000.00	\$59,040.00	\$59,040.00	\$59,040.00	Complete
	Computer Software			\$30,000.00	\$26,212.37	\$0.00	\$0.00	Pending
	Total 1408			\$80,000.00	\$85,252.37	\$59,040.00	\$59,040.00	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$37,250.00	\$37,250.00	\$37,250.00	Complete
	1430 Fees and Cost	1430						
	A&E-Assisted Living			\$27,000.00	\$12,725.00	\$1,805.24	\$1,805.24	In Process
	Physical Needs Assessment			\$0.00	\$1,095.87	\$1,095.87	\$1,095.87	Complete
	A&E-Lobby Renovations			\$0.00	\$2,343.75	\$2,343.75	\$2,343.75	Complete
	Inspections			\$2,278.10	\$2,201.80	\$0.00	\$0.00	In Process
	Agency Plan			\$600.00	\$600.00	\$0.00	\$0.00	Pending
	Total 1430			\$29,878.10	\$18,966.42	\$5,244.86	\$5,244.86	
	1450 Site Improvements/Lawn Care	1450		\$0.00	\$420.00	\$420.00	\$420.00	In Process
	1460 Dwelling Structure	1460						
	Assisted Living Renovations			\$46,800.00	\$32,221.40	\$0.00	\$0.00	Pending
	Replace Dwelling Units Thermostats			\$20,700.00	\$20,700.00	\$0.00	\$0.00	Pending
	Tuck pointing			\$100,000.00	\$100,000.00	\$0.00	\$0.00	Pending

**Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	Cyclical Painting		10	\$4,482.15	\$4,482.15	\$4,482.15	\$4,482.15	Complete
	Replace Roof Drain/Vent Piping			\$5,500.00	\$5,500.00	\$0.00	\$0.00	Pending
	Replace Boiler Thermostats			\$4,500.00	\$4,500.00	\$0.00	\$0.00	Pending
	Install Exterior Access-Piping		3	\$22,500.00	\$22,500.00	\$0.00	\$0.00	Pending
	Total 1460			\$204,482.15	\$189,903.55	\$4,482.15	\$4,482.15	
	1475 Non-Dwelling Equipment	1475						
	Computers/Printers		5	\$4,000.00	\$4,000.00	\$847.92	\$847.92	In Process
	Total Cost for Nicosia 29-5			\$353,415.35	\$370,847.44	\$107,284.93	\$107,284.93	
W. Calumet 29-6	AMP 3							
	1406 Operations	1406		\$175,275.48	\$175,275.48	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408						
	Security			\$67,725.00	\$58,993.55	\$58,993.55	\$58,993.55	In Process
	Staff Training			\$0.00	\$2,477.44	\$2,477.44	\$2,477.44	In Process
	Computer Software			\$30,000.00	\$26,212.37	\$0.00	\$0.00	In Process
	Total 1408			\$97,725.00	\$87,683.36	\$61,470.99	\$61,470.99	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$61,605.01	\$61,605.01	\$61,605.01	Complete
	1430 Fees and Cost	1430						
	Inspections			\$3,760.50	\$3,771.40	\$0.00	\$0.00	In Process
	Physical Needs Assessment			\$0.00	\$1,095.87	\$1,095.87	\$1,095.87	Complete
	Agency Plan			\$3,000.00	\$3,000.00	\$0.00	\$0.00	Pending
	Total 1430			\$6,760.50	\$7,867.27	\$1,095.87	\$1,095.87	
	1450 Site Improvements	1450						
	Lawn Care			\$35,000.00	\$35,000.00	\$10,875.00	\$10,875.00	In Process
	Landscape Maintenance			\$15,000.00	\$15,000.00	\$0.00	\$0.00	Pending
	Total 1450			\$50,000.00	\$50,000.00	\$10,875.00	\$10,875.00	
	1460 Dwelling Structure	1460						
	Masonry/Tuckpointing		40	\$30,000.00	\$27,434.21	\$0.00	\$0.00	Pending
	504/ADA Conversions			\$0.00	\$2,565.79	\$2,565.79	\$2,565.79	Complete
	Vacancy Reduction			\$169,791.84	\$168,973.53	\$135,766.46	\$135,766.46	In Process
	Total 1460			\$199,791.84	\$198,973.53	\$138,332.25	\$138,332.25	

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Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	1465 Dwelling Equipment	1465						
	Stoves and Refrigerators		25	\$15,350.00	\$15,350.00	\$15,350.00	\$15,350.00	Complete
	1470 Non-Dwelling Structures	1470						
	Gate Entry System			\$0.00	\$1,900.00	\$1,900.00	\$1,900.00	Complete
	Maintenance Office Reception Windows			\$0.00	\$4,556.00	\$4,556.00	\$4,556.00	Complete
	Total 1470			\$0.00	\$6,456.00	\$6,456.00	\$6,456.00	
	1475 Non-Dwelling Equipment	1475						
	Maintenance Vehicles		2	\$38,348.10	\$38,348.10	\$38,348.10	\$38,348.10	Complete
	Computer/Printers		17	\$10,400.00	\$10,400.00	\$1,695.84	\$1,695.84	In Process
	Total 1475			\$48,748.10	\$48,748.10	\$40,043.94	\$40,043.94	
	Total Cost for W. Calumet 29-6			\$593,650.92	\$651,958.75	\$335,229.06	\$335,229.06	
Columbus 29-7	AMP 4							
	1406 Operations	1406		\$5,842.52	\$5,842.52	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408		\$0.00	\$0.00	\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$2,870.00	\$2,870.00	\$2,870.00	Complete
	1430 Fees and Cost	1430						
	Inspections			\$185.30	\$185.30	\$0.00	\$0.00	In Process
	Physical Needs Assessment			\$0.00	\$365.29	\$365.29	\$365.29	Complete
	Agency Plan			\$100.00	\$100.00	\$0.00	\$0.00	Pending
	Total 1430			\$285.30	\$650.59	\$365.29	\$365.29	
	1450 Site Improvements	1450						
	Trash Receptacles			\$0.00	\$250.00	\$250.00	\$250.00	Complete
	1460 Dwelling Structure	1460						
	Replace Electrical Covers		17	\$2,250.00	\$0.00	\$0.00	\$0.00	Postponed
	Relocate Bathroom Cleanouts		17	\$8,500.00	\$0.00	\$0.00	\$0.00	Postponed
	Boiler Room Sump Pumps			\$3,000.00	\$2,725.00	\$2,725.00	\$2,725.00	Complete
	Insulate Boiler Pipes/Valves			\$5,950.00	\$0.00	\$0.00	\$0.00	Postponed
	Total 1460			\$19,700.00	\$2,725.00	\$2,725.00	\$2,725.00	

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Part II: Supporting Pages**

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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	1470 Non-Dwelling Structures							
	Entrance Doors for Building			\$0.00	\$8,000.00	\$0.00	\$0.00	Pending
	Mailboxes			\$0.00	\$2,500.00	\$0.00	\$0.00	Pending
	Hallway Painting			\$0.00	\$1,500.00	\$0.00	\$0.00	Pending
	Total 1470			\$0.00	\$12,000.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment							
	Intercom System			\$0.00	\$3,200.00	\$0.00	\$0.00	Pending
	Exterior Lights			\$0.00	\$1,500.00	\$0.00	\$0.00	Pending
	Total 1475			\$0.00	\$4,700.00	\$0.00	\$0.00	
	Total Cost for Columbus 29-7			\$25,827.82	\$29,038.11	\$6,210.29	\$6,210.29	
Scattered 29-9	AMP 4							
	1406 Operations	1406		\$8,763.76	\$8,763.76	\$0.00	\$0.00	In Process
	1408 Management Improvements	1408		\$0.00	\$0.00	\$0.00	\$0.00	
	1410 Capital Fund Administration Fee	1410		\$0.00	\$4,300.00	\$4,300.00	\$4,300.00	Complete
	1430 Fees and Cost	1430						
	Inspections			\$272.50	\$272.50	\$0.00	\$0.00	In Process
	Physical Needs Assessment			\$0.00	\$365.30	\$365.30	\$365.30	Complete
	Agency Plan			\$150.00	\$150.00	\$0.00	\$0.00	Pending
	Total 1430			\$422.50	\$787.80	\$365.30	\$365.30	
	1450 Site Improvements/Lawn Care	1450		\$0.00	\$4,000.00	\$4,000.00	\$4,000.00	Complete
	1460 Dwelling Structure	1460						
	Screen Door-Tempered Glass		25	\$7,500.00	\$0.00	\$0.00	\$0.00	Postponed
	Replace Dwelling Unit Thermostats		25	\$2,500.00	\$500.00	\$0.00	\$0.00	Pending
	Replace Exhaust Fans		25	\$5,400.00	\$2,250.00	\$0.00	\$0.00	Pending
	Paint/Patch Interior Walls		125 Walls	\$18,750.00	\$0.00	\$0.00	\$0.00	Postponed
	Replace Furnace		1	\$0.00	\$1,570.00	\$1,570.00	\$1,570.00	Complete
	Replace AC Units		8	\$0.00	\$16,000.00	\$0.00	\$0.00	Pending
	Repair Window Sills			\$1,500.00	\$1,500.00	\$0.00	\$0.00	Pending
	Total 1460			\$35,650.00	\$21,820.00	\$1,570.00	\$1,570.00	

Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of the City of East Chicago		Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
	1470 Non-Dwelling Structures	1470						
	Concrete Repair		25	\$0.00	\$15,675.00	\$0.00	\$0.00	Pending
	Total Cost for Scattered 29-9			\$44,836.26	\$55,346.56	\$10,235.30	\$10,235.30	
	Total Cost for AMP 4			\$227,112.12	\$259,486.32	\$118,345.31	\$118,345.31	
	Total Cost for ECHA Wide			\$1,460,629.00	\$1,460,629.00	\$676,866.67	\$676,866.67	

Annual Statement/Performance and Evaluation Report
Capital Funds Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of the City of East Chicago	Grant Type and Number Capital Fund Program Grant No: IN36P029501-07 Replacement Housing Factor Grant No:	Federal FY of Grant: <p style="text-align: center;">2007</p>
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
Hunter 29-1	06/30/09			06/30/11			
Nicosia 29-5	06/30/09			06/30/11			
W. Calumet 29-6	06/30/09			06/30/11			
Scattered 29-2	06/30/09			06/30/11			
Columbus 29-7	06/30/09			06/30/11			
Scattered 29-9	06/30/09			06/30/11			

ATTACHMENT J

Updated/Revised Public Housing Admissions and (Continued) Occupancy Policy (ACOP) including Violence Against Women Act of 2005 (VAWA) Policy and Implementation Plan is on file at the East Chicago Housing Authority Administrative Office, located at 4920 Larkspur Dr, East Chicago, IN 46312.

Standard PHA Plan PHA Certifications of Compliance

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and Streamlined 5-Year/Annual PHA Plans*

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the standard Annual, standard 5-Year/Annual or streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning 01/01/2009, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

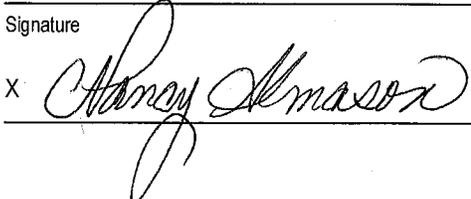
13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis -Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Housing Authority of the City of East Chicago
PHA Name

IN029
PHA Number/HA Code

- Standard PHA Plan for Fiscal Year: 20__
- Standard Five-Year PHA Plan for Fiscal Years 20₀₉ - 20₁₃, including Annual Plan for FY 20₀₉
- Streamlined Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Nancy Almason	President of the Board of Commissioners
Signature	Date
X 	October 20, 2008

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of East Chicago

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

HA of the City of East Chicago Main Offices at 4920 Larkspur Drive, E. Chicago, IN 46312
Various Scattered Sites and Developments located within the City of East Chicago, IN

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Beatrice Martinez

Title

Executive Director

Signature

Beatrice L. Martinez

Date

10.16.08

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of East Chicago

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Beatrice Martinez

Title

Executive Director

Signature

Date (mm/dd/yyyy)



10-16-08

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Beatrice Martinez the Executive Director certify
that the Five Year and Annual PHA Plan of the East Chicago Housing Authority is
consistent with the Consolidated Plan of the City of East Chicago prepared
pursuant to 24 CFR Part 91.

Beatrice R. Martinez 10/16/08

Signed / Dated by Appropriate State or Local Official

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input checked="" type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Housing Authority of the City of East Chicago 4920 Larkspur Drive E. Chicago, IN 46312 Congressional District, if known:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: US DHUD, Office of Public & Indian Housing	7. Federal Program Name/Description: Capital Fund Program CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ UNKNOWN	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> None	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>Beatrice L. Martinez</u> Print Name: <u>Beatrice Martinez</u> Title: <u>Executive Director</u> Telephone No.: <u>(219) 397-9974</u> Date: <u>10-16-08</u>	
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