

<b>PHA 5-Year and Annual Plan</b>	<b>US Department of Housing &amp; Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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**1.0 PHA Information**

PHA Name: Housing Authority of the County of Butte      PHA Code: CA043  
 PHA Type:     Small     High Performing     Standard     HCV (Section 8)  
 PHA Fiscal Year Beginning: (MM/YYYY): 10/2009

**2.0 Inventory** (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: 345      Number of HCV units: 1,908

**3.0 Submission Type**

5-Year and Annual Plan       Annual Plan Only       5-Year Plan Only

**4.0 PHA Consortia**

PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

**5.0 5-Year Plan.** Complete items 5.1 and 5.2 only at 5-Year Plan update.

**5.1 Mission.** State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The mission of the Housing Authority of the County of Butte is to assist low and moderate-income residents to secure and maintain high quality affordable housing.

**5.2 Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

➤ **HUD Strategic Goal: Increase the availability of decent, safe and affordable housing.**

**PHA Goal: Expand the supply of assisted housing.**

- Leverage private or other public funds to create additional housing opportunities: \$200,000.
- Acquire or build units or developments: 100 units.

2008 Progress to date

- HACB continues to seek opportunity for development of new affordable housing stock. HACB has considered and analyzed purchase and renovation of the 75-unit multi-family Maplewood Apartment project, Chico, and considered and analyzed purchase of “The Oakdales” property targeting 14 units of supported affordable housing through partnership with the City of Chico, CHIP, and County Behavioral Health. Both properties were determined infeasible for affordable housing development activity.
- HACB has initiated development of a Section 8 HCV Family Unification Program in partnership with County Department of Employment and Social Services, such assistance to target households 1) whose children would otherwise be removed because of, amongst other factors, inadequate housing, 2) that are ready for re-unification but do not have adequate housing, and 3) comprising foster youth who have “aged out” of the foster care system.
- HACB has entered into a contract with County Behavioral Health to administer two-years of supported rental assistance to four (4) chronically homeless persons with disabilities under the HUD Samaritan Bonus program.

Previous Progress:

- No additional fair share vouchers are available this year.
- 107 elderly Tax Credit units completed in 2006.
- TBRA-ORO program procedures completed.

**PHA Goal: Improve the quality of assisted housing.**

- Improve public housing management: PHAS score.
- Improve voucher management: SEMAP score.
- Increase customer satisfaction.
- Concentrate on efforts to improve specific management functions (e.g., public housing finance; voucher unit inspections).
- Renovate or modernize public housing units: roofs, new refrigerators and stoves (43-10); exterior paint (43-1, 4, 10 and 14); replace HVAC units (43-1, 2, 3 and 4); screen doors (43-13 and 14); and replace water heaters (43-3).
- Renovate community building (43-10) and construct community building (43-1 and 4).

2008 Progress to date

- No PHAS score given; received rollover score from prior year – Standard Performer.
- SEMAP 100% - High Performer
- RASS has been eliminated by HUD.
- All Public Housing staff received a week of comprehensive training in Public Housing administration covering eligibility, occupancy, and rent calculation.
- Hired consultant to analyze Section 8 HCV work flow to improve performance.

- 43-1, 2, 3 and 4 replacement of HVAC units is complete.
- Initiated planning for conversion of ten (10) Public Housing units for accessibility to the physically disabled, including accessible exterior site parking and “paths of travel”.

Previous Progress:

- The PHA is working to improve voucher unit and public housing inspections.
- At 43-10 roof replacement, new refrigerators and stoves, exterior painting and community room renovation are complete.
- Removed old hazardous trees from 43-3 and 15.

**PHA Goal: Increase assisted housing choices.**

- Conduct outreach efforts to potential voucher landlords.
- Implement public housing site-based waiting lists.
- Work with City of Chico on Tenant Based Rental Assistance program for participants who are coming out of the foster care system.

2008 Progress to date:

- PHA working towards application for additional vouchers under the HUD Family Unification Program (FUP). FUP is a program under which vouchers are provided to families for whom the lack of adequate housing is a primary factor in the imminent placement of the family’s child, or children, in out-of-home care; or the delay in the discharge of the child, or children, to the family from out-of-home care. Youths at least 18 years old and not more than 21 years old (have not reached 22<sup>nd</sup> birthday) who left foster care at age 16 or older and who do not have adequate housing are also eligible to receive housing assistance under the FUP.

Previous Progress:

- PHA has site-based wait lists for public housing: Chico, Oroville, and Gridley/Biggs.
- Yearly, the PHA has contracts with the City and County for tenant based rental assistance programs using City and County funds. The PHA is managing these programs assisting approximately 50 people.
- The Authority is working with Butte County Community Action Agency on the Shelter Plus Care program (5 year contract) to provide housing to 13 homeless families at the New Esplanade House.
- The PHA is working with City of Chico and City of Oroville – Tenant Based Rental Assistance program for participants who are coming out of the foster care system.
- Received SEARCH South Shelter Plus Care funds (5 years).

➤ **HUD Strategic Goal: Improve community quality of life and economic vitality.**

**PHA Goal: Provide an improved living environment.**

- Implement public housing security improvements: security cameras (43-10 and 15).
- Implement broad range of income, work with TANF and others to provide job training and opportunities for economic self-sufficiency, continue with Capital Fund program in public housing to improve quality of life.

2008 Progress to date

- Received 2009 ARRA funds to further support capital fund program in public housing. Funds will be used (at a minimum) to increase energy efficiency through replacement of dated HVAC and water heating equipment, installation of low-“E”, dual-pane windows, replacement of roofing, and conversion of 10 units to ADA-accessible.
- Management staff attendance at monthly Resident meetings at Winston Gardens senior Public Housing property, Oroville.
- Increased services to residents of Walker Commons and Chico Commons tax-credit properties in Chico, and Park Place Apartments, Oroville.

Previous Progress

- The PHA will enforce lease requirements to provide safe neighborhoods.
- Installation of security cameras at 43-10.
- The PHA is selecting applicants above the HUD requirement of 40% and will continue to select income above 30% AMI until a broad range of income is achieved.

➤ **HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals.**

**PHA Goal: Promote self-sufficiency and asset development for families and individuals**

- Provide or attract supportive services to improve assistance recipients’ employability: 5 supportive services.

2008 Progress to date

- A Family Self Sufficiency Coordinator has been retained to administer the 50-family HACB Family Self-Sufficiency (FSS) program, and to broker services to participating households.
- HACB FSS program now operates at capacity and has seen several “graduates”, clients no longer requiring public assistance.

Previous Progress:

- 40 families work with supportive services such as ARC, Touchstone, Independent Living, TBRA and Catholic Social Services.
- The PHA has an internship program and PIC placements.
- The PHA will continue to achieve the goals of providing job training and opportunities for economic self-sufficiency.

➤ **HUD Strategic Goal: Ensure Equal Opportunity in housing for all Americans.**

**PHA Goal: Ensure equal opportunity and affirmatively further fair housing.**

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability: 5 workshops.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status and disability: Continue to participate with the North Valley Property Owners Association.

2008 Progress to date

- PHA employees attended three (3) Fair Housing training workshops.
- PHA subscribes to Fair Housing Newsletter, which is routed to all administrative staff.
- Architect hired; ten (10) public housing units have been identified for physical accessibility conversion.
- Section 8 Housing Manager attended five (5) community gatherings of landlords, public officials and other interested parties to describe and promote the Section 8 HCV program.

Previous Progress:

- The PHA cooperates with the City to provide assistance to individuals referred through local service agencies and has a contract with Butte County Behavioral Health to facilitate affordable housing programs.
- The City of Chico co-sponsors North Valley Property Owners Association and Legal Services, community-wide workshops for landlords and tenants.
- Hold ongoing briefing workshops for prospective and current participants and conduct landlord outreach.
- Staff attended several North Valley Property Owners Association meetings and Fair Housing workshops to promote suitable living environments and continues to attend on a regular basis.
- The City of Gridley sponsors landlord workshops to encourage better management and knowledge of Fair housing laws.
- Ten (10) public housing units to be evaluated for physical accessibility and converted if feasible.

In addition, the Housing Authority of the County of Butte has developed the following Strategic Goals:

1. **Streamline and/or conduct operations analysis for maintaining good customer service.**
  - a. Review operations to keep up with needs.
  - b. Implement regulatory changes as they become effective.

2008 Progress to date

- Establishment of weekly management team meetings.
- Establishment of senior management team meetings bi-monthly.
- New computers, monitors, software and server have been purchased and are being installed.
- Week long training on proprietary housing authority industry software.
- Development and implementation of comprehensive employee training plan.

- Re-organization of physical layout of Public Housing office to promote administrative efficiency and teamwork.

Previous Progress:

- Weekly staff meetings and monthly management meetings are conducted to review operational needs and incorporate regulatory changes.
- The new administrative building has been expanded by improving 4,000 square feet.

**2. Implement and maintain quality affordable housing.**

- a. Maintain program integrity in public housing and Section 8.
- b. Develop self-sufficiency opportunities for participants.
- c. Look at opportunities for acquisition of non-HUD properties.
- d. Process incremental and preservation Voucher allocations.
- e. Develop resident groups for strong neighborhoods.

2008 Progress to date

- Section 8 Management analysis completed by management consultant – implementation of recommendations ongoing.
- Re-organization of Public Housing staff assignments and operations as necessary to achieve functionality and efficiencies.
- PHA has initiated implementation of a project-based voucher (PBV) program in response to county-wide community need. A PBV administrative policy has been added to the Section 8 Administrative Plan to provide for issuance of Requests for Proposals to owners of housing seeking PBV assistance.
- Addition of Section 8 admissions preference for households graduating from transitional housing.
- Retention of Family Self Sufficiency Coordinator to administer FSS program and broker supportive services to participants – program now 100% operational with fifty (50) participating households.

Previous Progress:

**3. Improve and maintain interagency cooperation.**

- a. Continue to work with agencies to provide services.
- b. Seek to strengthen links between HACB and County of Butte.
- c. Look at opportunities to increase participation between agencies for self-sufficiency activities, including child care and youth activities.
- d. Continue to work with Community Action Agency on the Shelter Plus Care program providing voucher assistance and case management to homeless families.

2008 Progress to date

- Work with County of Butte Behavioral Health Department to implement Samaritan Bonus program.
- Attend Greater Chico Homeless Task Force meetings, and Butte County Continuum of Care Meetings.
- Hired Butte County Homeless Task Force Continuum of Care Coordinator.

- Conducted employee workshops on community resources and drug prevention.
- Continue to work with Northern California Legal Services annually on policy and procedure plans.
- Work with County Department of Employment and Social Services and numerous non-profit and service agencies to establish Family Reunification program serving households whose children are being removed or returned to the family and foster youth aging out of the foster care system.

Previous Progress:

- Increased staff time meeting with residents. Meet at each development annually to discuss goals and concerns of the HACB and residents.
- Provide written housekeeping tips to prohibit and/or eliminate mold and mildew.
- Provide training to employees to improve professional skills.
- Have 2 full-time employees who speak Hmong. Conducted outreach with Hmong clients to determine the need for and how to provide services.
- Contracted with Language Line Services to provide support to staff when a client speaks a language not familiar to staff.
- Work with County of Butte Behavioral Health Department on BHHAP and Shelter Plus Care Search South programs.

**4. Maintain 98% occupancy rate in public housing and 99% lease-up of Section 8.**

2008 Progress to date

- As of April 1, 2009, Public Housing occupancy rate is 100%.
- As of April 1, 2009, Section 8 is over leased; anticipate being fully leased-up through attrition by year-end.

<b>6.0 PHA Plan Update</b>
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(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

- Project Based Voucher program
- Section 8 Admissions Preferences
- Family Unification Program
- ADA accessible conversion of Public Housing units

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

- Housing Authority of the County of Butte, 2039 Forest Ave, Chico CA 95928
- Housing Authority of the County of Butte, 850 E. Gridley Rd, Gridley CA 95948

**PHA Plan Elements. (24 CFR 903.7)**

**1.) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

**PUBLIC HOUSING**

- a. When does the PHA verify eligibility for admission to public housing?
  - When families are within 3 months of being offered a unit.
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing?
  - Criminal or Drug-related activity
  - Rental history
  - Housekeeping
- c. The PHA requests criminal records from the following law enforcement agencies for screening purposes:
  - Local law enforcement agencies
  - State law enforcement agencies
  - National databases

**Waiting List Organization**

- a. Which methods does the PHA plan to use to organize its public housing waiting list?
  - Site-based waiting lists
- b. Where may interested persons apply for admission to public housing?
  - PHA main administrative office
  - Application available online at [www.butte-housing.com](http://www.butte-housing.com).
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions:
  - How many site-based waiting lists will the PHA operate in the coming year?
    - Chico
    - Oroville
    - Gridley/Biggs
  - None of the PHA's site-based waiting lists are new for the upcoming year.
  - Families may be on all three lists simultaneously.
  - Interested persons can obtain more information about and sign up to be on the site-based waiting lists at the PHA main administrative office.

### Assignment

- a. Applicants are ordinarily given two choices before they fall to the bottom of or are removed from the waiting list.
- b. This policy is consistent across all waiting list types.

### Admissions Preferences

- a. Income targeting:  
The PHA does not plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income.
- b. Transfer policies:  
In what circumstances will transfers take precedence over new admissions?
  - Emergencies
  - Overhoused
  - Underhoused
  - Medical justification
  - Reasonable accommodation
  - Domestic Violence
  - Administrative reasons determined by the PHA (e.g., to permit modernization work)
- c. Preferences  
The PHA has not established preferences for admission to public housing (other than date and time of application).

### Occupancy

- a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing:
  - The PHA-resident lease
  - The PHA's Admissions and Continued Occupancy Policy
  - PHA briefing seminars or written materials
- b. How often must residents notify the PHA of changes in family composition?
  - At an annual reexamination and lease renewal
  - Any time family composition changes
  - At family request for revision

### Deconcentration and Income Mixing

- a. The PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty did not indicate the need for measures to promote deconcentration of poverty or income mixing.
- b. The PHA did not adopt any changes to its admissions policies based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing.
- c. The PHA did not adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing.
- d. Based on the results of the required analysis, the PHA does need to make special efforts to attract or retain higher-income families in any of their developments.
- e. Based on the results of the required analysis, the PHA does need to make special efforts to attract or retain lower-income families in any of their developments.

## **SECTION 8**

### Eligibility

- a. What is the extent of screening conducted by the PHA?
  - Criminal or drug-related activity only to the extent required by law or regulation
- b. The PHA requests criminal records from the following law enforcement agencies for screening purposes:
  - Local law enforcement agencies
  - State law enforcement agencies
  - National databases
- c. The PHA shares the following kind of information you share with prospective landlords:
  - Prior landlord name, address and telephone number, if available.

### Waiting List Organization

- a. The section 8 tenant-based assistance waiting list is not merged with any other program waiting lists.
- b. Where may interested persons apply for admission to section 8 tenant-based assistance?
  - PHA main administrative office.
  - Social Service Agencies.
  - Application available online at [www.butte-housing.com](http://www.butte-housing.com).

### Search Time

The PHA will give extensions to standard 60-day period to search for a unit if participant provides documentation that they need additional search time for valid reason.

### Admissions Preferences

- a. **Income targeting**  
The PHA does not plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income.
- b. **Preferences**  
The PHA seeks to establish two local preferences for admission to Section 8 tenant-based assistance program (other than date and time of application):
  - A preference for admission to any family that has graduated from an approved transitional housing program that partners with HACB, such as Shelter Plus Care, such admission to be made without regard to the open or closed status of the waiting list;
  - A preference for admission to select families participating in the County Child Welfare Agency's Family Unification Program (FUP), the number of admissions limited to the program size set forth in the Memorandum of Understanding between the Butte County Department of Employment and Social Services and the HACB, such admissions to be made on a first come, first serve basis, such admission to be made without regard to the open or closed status of the waiting list
- c. **Among applicants on the waiting list with equal preference status, how are applicants selected?**
  - Date and time of application
  - Drawing (lottery) or other random choice technique

### Special Purpose Section 8 Assistance Programs

- a. **In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained?**
  - Section 8 Administrative Plan
  - Briefing sessions and written materials
- b. **The PHA announces the availability of any special-purpose section 8 programs to the public through published notices.**

**2.) Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2009 grants)</b>		
a) Public Housing Operating Fund	\$816,000	
b) Public Housing Capital Fund	\$700,000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$11,000,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) HOME		
Other Federal Grants (list below)		
Shelter Plus Care	\$95,000	
USDA-RD	\$165,000	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
Section 8 Reserves	\$300,000	
<b>3. Public Housing Dwelling Rental Income</b>	\$1,275,000	
<b>4. Other income (list below)</b>		
Interest Income	\$50,000	
Misc. Charges	\$75,000	
<b>4. Non-federal sources (list below)</b>		
ASOC	\$50,000	
TBRA	\$190,000	
<b>Total resources</b>	<b>\$14,716,000</b>	

3.) **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

## **PUBLIC HOUSING**

### Income Based Rent Policies

- a. The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions).
- b. **Minimum Rent**
  - PHA's minimum rent is \$50.
  - The PHA has not adopted any discretionary minimum rent hardship exemption policies.
  - The PHA does not plan to charge rents at a fixed amount or percentage less than 30% of adjusted income.
- c. There are no ceiling rents.
- d. **Rent re-determinations:** Between income reexaminations, must tenants must report changes in income or family composition to the PHA such that the changes result in an adjustment to rent any time the family experiences an income increase
- e. The PHA does not plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12-month disallowance of earned income and phasing in of rent increases in the next year.

### Flat Rents

In setting the market-based flat rents, the PHA uses the section 8 rent reasonableness study of comparable housing to establish comparability.

## **SECTION 8 TENANT-BASED ASSISTANCE**

### Payment Standards

- The PHA's payment standard is at or above 90% but below 100% of FMR.
- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area.
- The payment standard reflects market or submarket.
- The payment standards are reevaluated for adequacy as needed to ensure continued leasing capability annually.
- The PHA considers in its assessment of the adequacy of its payment standard - success rates of assisted families and rent burdens of assisted families.

Minimum Rent

- PHA’s minimum rent is \$50.
- The PHA has not adopted any discretionary minimum rent hardship exemption policies.

**4.) Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

PHA Management Structure

An organization chart showing the PHA’s management structure and organization is attached.

HUD Programs Under PHA Management

<b>Program Name</b>	<b>Units or Families Served at Year Beginning (1/1)</b>	<b>Expected Turnover</b>
Public Housing	329	80
Section 8 Vouchers	1963	255
Other Federal Programs:		
Shelter Plus Care (13 units)	9	7
USDA RD Farm Labor Housing (130 units)	110	20

Management and Maintenance Policies

- Public Housing Maintenance and Management: Admissions and Continued Occupancy Policy
- Section 8 Management: Administrative Plan

**5.) Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

**PUBLIC HOUSING**

The PHA has not established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing.

Residents or applicants to public housing should contact the PHA main administrative office to initiate the PHA grievance process

**SECTION 8 TENANT-BASED ASSISTANCE**

The PHA has not established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982.

Applicants or assisted families should contact the PHA main administrative office to initiate the informal review and informal hearing process.

**6.) Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.

- Development name and number: Winston Gardens 43-10
- Designation type: Senior 62+
- Application Status: Approved
- Date: 1999
- Number of Units Affected: 60

**7.) Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

## COMMUNITY SERVICE

### A. REQUIREMENT

Each adult resident of the PHA shall:

1. Contribute 8 hours per month of community service (not including political activities) within the community in which that adult resides; or
2. Participate in an economic self-sufficiency program (defined below) for eight (8) hours per month.
3. Perform eight (8) hours per month of combined activities (community service and economic self-sufficiency program).

### B. EXEMPTIONS

The PHA shall provide an exemption from the community service requirement for any individual who:

1. Is sixty-two (62) years of age or older
2. Is a blind or disabled individual, as defined under section 216[I][1] or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of such individual

3. Is engaged in a work activity as defined in section 407 [d] of the Social Security Act. To be considered exempt from community service due to working, the family member must be working at least thirty (30) hours per week. If the family member is working less than thirty (30) hours per week, they are required to fulfill the community service requirement.
4. Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program; or
5. Is in a family receiving assistance under a State program funded under part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the PHA is located, including a State-administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program

The PHA will re-verify exemption status annually except in the case of an individual who is sixty-two (62) years of age or older. The PHA will permit residents to change exemption status during the year if status changes.

#### C. ELIGIBLE COMMUNITY SERVICE AND SELF SUFFICIENCY ACTIVITIES

For purposes of satisfying the community service requirement, participating in an economic self-sufficiency program is defined, in addition to the exemption definitions described above, by HUD as: Any program designed to encourage, assist, train or facilitate economic independence of assisted families or to provide work for such families. These economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship, or any other program necessary to ready a participant to work (such as substance abuse or mental health treatment).

Community Service activities that can be performed include, but are not limited to:

Work at a local public or non-profit institution, including but not limited to: school, Head Start, other before or after school programs, child care centers, hospitals, clinics, hospice, nursing homes, recreation centers, adult day care programs, homeless shelter, feeding programs, food banks (distributing either donated or commodity foods), or clothes closet (distributing donated clothing), etc.;

Work with a non-profit organization that serves PHA residents or their children, including but not limited to : Boy Scouts, Girl Scouts, Boys or Girls Club, 4-H Club, PAL, other children's recreation, mentoring, or education programs, Big Brothers or Big Sisters, Garden Center, Community clean-up programs, Beautification programs, etc.;

Work with any program funded under the Older Americans Act, including but not limited to: Green Thumb, Service Corps of Retired Executives, Senior meals programs, Senior Center, Meals on Wheels, etc;

In addition to the HUD definition above, the PHA definition includes any of the following:

1. Participating in the Family Self-Sufficiency Program and being current in the steps outlined in the individual Training and Services Plan.
2. Participating in an educational or vocational training program designed to lead to employment, at least thirty (30) hours per week.
3. Working through a resident organization to help other residents with problems.
4. Volunteer work in a local school, hospital, childcare center, homeless shelter, or other community service organization;
5. Working with public or non-profit youth or senior organizations;
6. Helping neighborhood groups on special projects;
7. Participating in programs that develop and strengthen resident self-responsibility such as:
  - a. Drug and alcohol abuse counseling and treatment
  - b. Budgeting and Credit Counseling
  - c. GED Classes
  - d. English proficiency or literacy (reading) classes
  - e. Job readiness or training programs
  - f. Skills training programs
  - g. Other activities as approved by the PHA on a case-by-case basis

The PHA will give residents the greatest choice possible in identifying community service opportunities. The PHA will consider a broad range of self-sufficiency opportunities.

#### D. ANNUAL DETERMINATIONS

For each public housing resident subject to the requirement of community service, the PHA shall, at least thirty (30) calendar days before the expiration of each lease term, review and determine the compliance of the resident with the community service requirement. Such determination shall be made in accordance with the principles of due process and on a nondiscriminatory basis.

The PHA will verify compliance annually. If qualifying activities are administered by an organization other than the PHA, the PHA will obtain verification of family compliance from such third parties.

Family members will not be permitted to self-certify that they have complied with community service requirements.

#### E. NONCOMPLIANCE

If the PHA determines that a resident subject to the community service requirement has not complied with the requirement, the PHA shall notify the resident of such noncompliance including:

1. That the determination of noncompliance is subject to the administrative grievance procedure under the PHA's Grievance Procedures; and
2. That unless the resident enters into an agreement to comply with the community service requirement, the resident's lease will not be renewed, and

3. That the PHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household, unless the PHA enters into an agreement, before the expiration of the lease term, with the resident providing for the resident to cure any noncompliance with the community service requirement, by participating in economic self-sufficiency program for or contributing to community service as many additional hours as the resident needs to comply in the aggregate with such requirement over the twelve (12) month term of the lease.
4. The head of household and the noncompliant adult must sign the agreement to cure.

### **Ineligibility for Occupancy for Noncompliance**

The PHA shall not renew or extend any lease, or provide any new lease, for a dwelling unit for any household that includes an adult member who was subject to the community service requirement and failed to comply with the requirement.

### **F. PHA RESPONSIBILITY**

The PHA will ensure that all community service programs are accessible for persons with disabilities.

1. The conditions under which the work is to be performed are not hazardous
2. The work is not labor that would be performed by the PHA's employees responsible for essential maintenance and property services
3. The work is not otherwise unacceptable.

The PHA's Community Service program is described in the PHA Plan. The PHA will administer its own community service program, with cooperative relationships with other entities.

## **SELF SUFFICIENCY**

### PHA Coordination with the Welfare (TANF) Agency

Coordinate the provision of specific social and self-sufficiency services and programs to eligible families

### Services and programs offered to residents and participants

- a. **Self-Sufficiency Policies**  
Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)
  - Public housing admissions policies
  - Section 8 admissions policies
- b. **Economic and Social self-sufficiency programs**  
The PHA has coordinated, promoted or provided any programs to enhance the economic and social self-sufficiency of residents.

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Section 3 Program (Chico, Oroville, Gridley, Biggs)	1	Resident	PHA Main Office	Public Housing
Tenant Based Rental Assistance (TBRA)	23	Referrals	Various Social Services	Section 8
Rehabilitation of our Friends (ROOF)	8	Referrals	Butte County Behavioral Health	Section 8
Adult System of Care (ASOC)	8	Specific Criteria	CA Department of Mental Health/ Department of Behavioral Health	Section 8
After school homework and tutoring program for persons in Farm Labor Housing	100	Specific Criteria	PHA	Both
Shelter Plus Care	13	Specific Criteria	Community Action Agency – Esplanade House	Section 8
Shelter Plus Care – Search South	7	Specific Criteria	Butte County Department of Behavioral Health	Section 8
Samaritan Bonus	3	Specific Criteria	Butte County Department of Behavioral Health	Section 8

Family Self Sufficiency program/s

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	0	N/A
Section 8	23	50 (05/01/09)

The PHA is maintaining participation above the minimum program size as required by HUD.

### **Welfare Benefit Reductions**

The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by:

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services

**8.) Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

Need for measures to ensure the safety of public housing residents

- Observed lower-level crime, vandalism and/or graffiti
- Safety/security issues are becoming more and more important to residents. Increased gang activity in Gridley area. Installed security cameras at 43-10.

What information or data did the PHA used to determine the need for PHA actions to improve safety of residents.

- Safety and security survey of residents
- Resident reports
- PHA employee reports
- Police reports

Which developments are most affected?

- 43-1, 2, 4 and 10

Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Staff education regarding identification of methamphetamine-related activity.

Coordination between PHA and the police for carrying out crime prevention measures and activities:

- Police provide crime data to housing authority staff for analysis and action

- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly meet with the PHA management and residents

Which developments are most affected?

- 43-2, 3, 10 and 15

9.) **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

## **PET POLICY**

### **INTRODUCTION**

The purpose of this policy is to establish the PHA's policy and procedures for ownership of pets in Public Housing units and to ensure that no applicant or resident is discriminated against regarding admission or continued occupancy because of ownership of pets. It also establishes reasonable rules governing the keeping of common household pets.

This policy does not apply to animals that are used to assist, support, or provide service to persons with disabilities, or to service animals that visit public housing developments.

### **ANIMALS THAT ASSIST PERSONS WITH DISABILITIES**

Pet rules will not be applied to animals that assist persons with disabilities. This exclusion applies to both service animals and companion animals as reasonable accommodation for persons with disabilities. This exclusion applies to such animals that reside in public housing and that visit these developments.

To be excluded from the pet policy, the resident/pet owner must certify:

- That there is a person with disabilities in the household
- That the animal has been trained to assist with the disability
- That the animal actually assists the person with the disability

### **A. Management Approval of Pets**

All pets must be approved in advance of occupancy of the pet by the PHA.

The pet owner must submit and enter into a Pet Agreement with the PHA prior to the occupancy of the pet.

### **Registration of Pets**

Pets must be registered with the PHA before they are brought onto the premises. Registration includes certificate signed by a licensed veterinarian that the pet has received all inoculations required by State of local law, and that the pet has no communicable disease(s) and is pest-free. Registration must be renewed annually and will be coordinated with the Tenants annual reexamination date and Proof of license and inoculation will be submitted at least thirty (30) calendar days prior to annual reexamination.

Dogs and cats must be spayed or neutered.

Execution of a Pet Agreement with the PHA stating that the tenant acknowledges complete responsibility for the care and cleaning of the pet will be required.

Approval for the keeping of a pet **shall not** be extended pending the completion of these requirements.

Owner must supply one clear 3 x 5 photo of dog or cat to be permanently placed in the owner's file for future reference.

Each pet owner must display a "Pet" sticker, provided by the PHA, which will be displayed on the front door or a front window of the unit at all times.

Approval for the keeping of a pet **shall not** be extended pending the completion of these requirements.

### **Refusal to Register Pets**

The PHA may not refuse to register a pet based on the determination that the pet owner is financially unable to care for the pet. If the PHA refuses to register a pet, a written notification will be sent to the pet owner stating the reason for denial and shall be served in accordance with HUD Notice requirements.

The PHA will refuse to register a pet if:

1. The pet is not a common household pet as defined in this policy
2. Keeping the pet would violate any House Pet Rules
3. The pet owner fails to provide complete pet registration information, or fails to update the registration annually
4. The PHA reasonably determines that the pet owner is unable to keep the pet in compliance with the pet rules and other lease obligations. The pet's temperament and behavior may be considered as a factor in determining the pet owner's ability to comply with provisions of the lease.
5. The family is unable or unwilling to comply with Security Deposit increases under PHA policy associated with pet ownership.

The notice of refusal may be combined with a notice of a pet violation.

A resident who cares for another resident's pet must notify the PHA and agree to abide by all of the pet rules in writing.

## **B. Standards for Pets**

### **Types of Pets Allowed**

Tenants are permitted to have only one (1) pet-with the exception of fish, birds, turtles or rodents with prior PHA approval.

A resident may keep no types of pets other than the following:

1. Dogs
  - a) Maximum number: One (1) per household
  - b) Maximum adult weight/height: 25 pounds/20" tall measured as shoulder
  - c) Must be housebroken
  - d) Must be spayed or neutered
  - e) Must have all required inoculations
  - f) Must be licensed as specified now by State law and local ordinance
  - g) Vicious or dangerous dogs will not be permitted. "Any dog with a known propensity or disposition which attacks or shall have at any time attacked, bites or shall have bitten, or in any way harms or shall have harmed, injures or shall have injured, any person or any animal without provocation shall be deemed to be vicious, ferocious or dangerous."
2. Cats
  - a) Maximum number: One (1) per household
  - b) Must be spayed or neutered
  - c) Must have all required inoculations
  - d) Must be trained to use a litter box or other waste receptacle
  - e) Must be licensed as specified now or by State law or local ordinance.
3. Birds
  - a) Maximum number: Two (2) unless prior PHA approval has been granted
  - b) Must be enclosed in a cage at all times
4. Fish
  - a) Maximum aquarium size twenty-five (25) gallons
  - b) Must be maintained on an approved stand
5. Rodents (rabbit, guinea pig, hamster or gerbil ONLY)
  - a) Maximum number: One (1)
  - b) Must be enclosed in an acceptable cage at all times
6. Turtles
  - a) Maximum number: One (1)
  - b) Must be enclosed in an acceptable cage or container at all times

The following are NOT considered “common household pets”:

- Animals who would be allowed to produce offspring for sale.
- Wild, feral, or any other animals that are not amenable to routine human handling.
- Any poisonous animals of any kind.
- Non-human primates.
- Animals whose climatologically needs cannot be met in the unaltered environment of the individual dwelling unit.
- Pot-bellied pigs.
- Ferrets or other animals whose natural protective mechanisms pose a risk of serious bites and/or lacerations to small children.
- Hedgehogs or other animals whose protective instincts and natural body armor produce a risk of serious puncture injuries to children
- Chicks, turtles or other animals that pose a significant risk of salmonella infection to those who handle them.
- Pigeons, doves, mynahs, parrots and birds of other species that are hosts to the organisms that cause parrot fever in humans.
- Snakes or other kinds of reptiles.

### **C. Pet Agreement**

Residents who have been approved to have a pet must enter into a Pet Agreement with the PHA. The security deposit for a family requesting and receiving written authorization to have a pet in the rental dwelling will be increased. The Resident will certify, by signing the Pet Agreement that the Resident will adhere to the following rules:

- Agree that the resident is responsible and liable for all damages caused by their pet(s).
- All complaints of cruelty and all dog bites will be referred to animal control or applicable agency for investigation and enforcement.
- All common household pets are to be fed inside the apartment. Feeding in not allowed on porches, sidewalks, patios or other outside areas.
- Residents shall not feed any stray animals: doing so, or keeping stray or unregistered animals, will be considered having a pet without permission.
- No animals may be tethered or chained outside or inside the dwelling unit.
- When outside the dwelling unit, all pets must be on a leash or in an animal transport enclosure and under the control of a responsible individual.
- All fecal matter deposited by the pet(s) must be promptly and completely removed from any common area. Failure to do so will result in a Pet Waste Removal charge of \$25.00. All animal waste or the litter from litter boxes shall be picked up immediately by the pet owner disposed of in sealed plastic trash bags and placed in a trash bin. Litter shall not be disposed of by being flushed through a toilet. Residents will be charged for plumbing repairs for litter disposed of in this way and also receive a lease violation notice. The family must pay the maintenance charge within 30 days or further action may be initiated.

- The pet owner shall take precautions to ensure that the pet does not urinate on Authority buildings. Where the Authority has determined that such deposits pose a health hazard or detract from the appearance of the buildings, the pet owner will either be responsible for the related cleanup or receive a bill for the direct cost thereof and will have 30 days to pay the PHA for work completed to repair any damage.
- Litter boxes shall be stored inside the resident's dwelling unit or in animal enclosures maintained within dwelling units AND must be removed and/or replaced regularly. Failure to do so will result in a Pet Waste Removal charge.
- The Resident/Pet Owner shall be responsible for the removal of waste from any animal or pet exercise area by placing it in a sealed plastic bag and disposing of it in an outside trash bin immediately. The resident/pet owner shall take adequate precautions to eliminate any animal or pet odors within or around the unit and to maintain the unit in a sanitary condition at all times.
- The right of management to enter dwelling unit when there is evidence that an animal left alone is in danger or distress or is creating a nuisance.
- The right of management to seek impoundment and sheltering of an animal found to be maintained in violation of housing rules, pending resolution of any dispute regarding such violation, at owner's expense. The resident shall be responsible for any impoundment fees, and the PHA accepts no responsibility for pets so removed.
- That failure to abide by any animal-related requirement or restriction constitutes a violation of the "Resident Obligations" in the resident's Lease Agreement.
- Residents will prevent disturbances by their pets that interfere with the quiet enjoyment of the premises of other residents in their units or in common areas. This includes, but is not limited to, loud or continuous barking, howling, whining, biting, scratching, chirping or other such activities.
- Residents/pet owners shall not alter their unit, patio, premises or common areas to create an enclosure for any animal. Installation of pet doors is prohibited.

#### **D. Pets Temporarily on the Premises**

Pets that are not owned by a tenant will not be allowed. This rule excludes visiting pet programs sponsored by a humane society or other non-profit organizations and approved by the PHA. This rule excludes service animals, which are assisting visiting persons with disabilities. State or local laws governing pets temporarily in dwelling accommodations shall prevail. Residents are prohibited from feeding or harboring stray animals.

#### **E. Additional Fees and Deposits for Pets**

The resident/pet owner shall be required to pay a refundable deposit of one hundred fifty dollars (\$150) for cinder block units and two hundred dollars (\$200) for all other housing units for the purpose of defraying all reasonable costs directly attributable to the presence of a dog or cat as follows:

Deposits will be made in three (3) equal payments. The first payment must be made on or prior to the date the pet is properly registered and brought into the apartment, and the

PHA reserves the right to change or increase the required deposit by amendment to these rules.

The PHA will place the Deposit in account and will refund the deposit to the tenant, less any damage caused by the pet to the dwelling unit, upon removal of the pet or the owner from the unit. The PHA will return the Deposit to the former tenant or to the person designated by the former tenant in the event of the former tenant's incapacitation or death. The PHA will provide the tenant or designee identified above with a written list of any charges against their deposit. If the tenant disagrees with the amount charged to their security deposit, the PHA will provide a meeting to discuss the charges. All reasonable expenses incurred by the PHA as the result of damages directly attributable to the presence of the pet will be the responsibility of the resident, including:

1. The cost of repairs and replacements to the dwelling unit;
2. Fumigation of the dwelling unit.
3. Common areas of the project if applicable.

If the tenant is in occupancy when such costs occur, the tenant shall be billed for such costs as a current charge and will have 30 days to pay the charges. If such expenses occur as the result of a move-out inspection, they will be deducted from the deposit. The resident will be billed for any amount that exceeds the deposit. The expense of flea de-infestation shall be the responsibility of the resident.

#### **G. Pet Care**

No pet (excluding fish) shall be left unattended in any apartment for a period in excess of twenty-four (24) hours.

All residents/pet owners shall be responsible for adequate care, nutrition, exercise and medical attention for his/her pet. Residents/pet owners must recognize that other residents may have chemical sensitivities or allergies related to pets, or may be easily frightened or disoriented by animals. Pet owners must agree to exercise courtesy with respect to other residents.

#### **H. Responsible Parties/Emergency Caregiver**

Any resident seeking approval under this policy must designate an individual not living in the unit as a responsible party for the pet. Name, address, and telephone number of this emergency caregiver must be on file with the PHA. This person must assume responsibility for the pet in the absence of the pet owner. A signed certification on file with the PHA from the emergency caregiver is required indicating their willingness and acceptance of the responsibilities as an emergency caregiver. Any changes in emergency caregiver must be reported by the pet owner and kept current at all times.

## **I. Inspections**

The PHA may, after reasonable notice to the tenant during reasonable hours, enter and inspect the premises, in addition to other inspections allowed in order to determine whether or not pets are present and the condition of the pet and/or apartment.

The PHA may enter and inspect the unit only if a documented complaint is received alleging that the conduct or condition of the pet in the unit constitutes a nuisance or threat to the health or safety of the pet, of the other occupants or other persons in the community under applicable State or local law.

## **J. Pet Rule Violation Notice**

If a determination is made on objective facts supported by written statements, that a resident/pet owner has violated the Pet Rule Policy, written notice will be served. The Notice will contain a brief statement of the factual basis for the determination and the pet rule(s) that were violated. The notice will also state:

1. That the resident/pet owner has fourteen (14) business days from the effective date of the service of notice to correct the violation or make written request for a meeting to discuss the violation;
2. That the resident pet owner is entitled to be accompanied by another person of his or her choice at the meeting; and
3. That the resident/pet owner's failure to correct the violation, request a meeting, or appear at a requested meeting may result in initiation of procedures to terminate the pet owner's tenancy.

If the pet owner requests a meeting within the fourteen (14) day period, the meeting will be scheduled no later than three (3) business days before the effective date of service of the notice, unless the pet owner agrees to a later date in writing.

## **K. Notice for Pet Removal**

If the resident/pet owner and the PHA are unable to resolve the violation at the meeting or the pet owner fails to correct the violation in the time period allotted by the PHA, the PHA may serve notice to remove the pet. The Notice shall contain:

1. A brief statement of the factual basis for the PHA's determination of the Pet Rule that has been violated.
2. The requirement that the resident/pet owner must remove the pet within fourteen (14) days of the notice; and
3. A statement that failure to remove the pet may result in the initiation of termination of tenancy procedures.

#### **L. Termination of Tenancy**

The PHA may initiate procedures for termination of tenancy based on a pet rule violation if:

1. The pet owner has failed to remove the pet or correct a pet rule violation within the time period specified; and
2. The pet rule violation is sufficient to begin procedures to terminate tenancy under terms of the lease.
3. The resident violations Section D, Part #6 of the Pet Policy.

#### **M. Pet Removal**

If the death or incapacity of the pet owner threatens the health or safety of the pet, or other factors occur that render the owner unable to care for the pet, the situation will be reported to the Responsible Party designated by the resident/pet owner (includes pets who are poorly cared for or have been left unattended for over seventy-two (72) hours). If the responsible party is unwilling or unable to care for the pet, or if the PHA, after reasonable efforts cannot contact the responsible party, the PHA may contact the appropriate State or local agency and request the removal of the pet.

If the pet is removed as a result of any aggressive act on the part of the pet, the pet will not be allowed back on the premises.

#### **N. Emergencies**

The PHA will take all necessary steps to insure that pets that become vicious, display symptoms of severe illness, or demonstrate behavior that constitutes an immediate threat to the health or safety of others, are referred to the appropriate State or local entity authorized to remove such animals. If it is necessary for the PHA to place the pet in a shelter facility, the cost will be the responsibility of the tenant/pet owner.

This Pet Policy will be incorporated by reference into the Dwelling Lease signed by the resident, and therefore, violation of the above Policy will be grounds for termination of the lease.

**10.) Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

The PHA will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

**11.) Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.

The results of the most recent fiscal year audit for the PHA:

- Unqualified opinion.
- No findings.
- No questioned costs.

The PHA is required to have an audit conducted under section 5(h)(2) of the US Housing Act of 1937 (42 USC 1437c(h)).

The most recent audit was submitted to HUD.

**12.) Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

The PHA is engaging in activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have not been addressed elsewhere in this PHA Plan. The PHA is undertaking the following other asset based management activities: Project-based accounting.

The Housing Authority of the County of Butte is exempt from the asset management requirement for the 2009-2010FY.

**13.) Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

### **PROTECTIONS FOR VICTIMS OF ABUSE**

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as serious or repeated violations of the lease or other “good cause” for termination of the assistance, tenancy, or occupancy rights of such a victim.
2. Criminal activity directly relating to abuse, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control, shall not be cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of domestic violence, dating violence, or stalking.

3. Notwithstanding any restrictions on admission, occupancy, or terminations of occupancy or assistance, or any Federal, State or local law to the contrary, a PHA, owner or manager may “bifurcate” a lease, or otherwise remove a household member from a lease, without regard to whether a household member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others. This action may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of the violence who is also a tenant or lawful occupant. Such eviction, removal termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by Federal, State, and local law for the termination of leases or assistance under the public housing program.
4. Nothing in this section may be construed to limit the authority of a public housing agency, or manager, when notified, to honor court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household members in cases where a family breaks up.
5. Nothing in this section limits any otherwise available authority of an owner or manager to evict or the public housing agency to terminate assistance to a tenant for any violation of a lease of a lease not premised on the act or acts of violence in question against the tenant or a member of the tenant’s household, provided that the owner, manager, or public housing agency does not subject an individual who is or has been a victim of domestic violence, dating violence, or stalking to a more demanding standard than other tenants in determining whether to evict or terminate.
6. Nothing in this section may be construed to limit the authority or manager to evict, or the public housing agency to terminate assistance, to any tenant if the manager, or public housing agency can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the tenant is not evicted or terminated from assistance.

**Certification of Domestic Violence, Dating Violence and Stalking**

When an individual seeks to assert VAWA’s protections, the PHA may request that the individual provide one of any of the following three types of documentation:

1. A HUD-approved certification form verifying that the individual is a victim of domestic violence, dating violence, or stalking, and that the incident or incidents in question are bona fide incidents of actual or threatened abuse. Such certification shall include the name of the perpetrator; or
2. Documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking, or the effects of the abuse, in which the professional attests under penalty of perjury (28 USC 1746)

to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, or stalking has signed or attested to the documentation; or

3. A Federal, State, tribal, territorial, or local police or court record.

The individual shall provide certification within 14 business days after the individual receives a written request for such certification from the PHA. If the individual does not provide the certification within 14 business days after the individual has received a request in writing for such certification, the PHA may evict any tenant who commits violations of a lease. The PHA may extend the 14-day deadline at its discretion if good cause is demonstrated.

The PHA is not required to demand that an individual produce official documentation or physical proof of the individual's status as a victim of domestic violence, dating violence or stalking in order to receive any of VAWA's protections. At its discretion, the PHA may provide benefits to the individual based solely on the individual's statement or other corroborating evidence.

### **Confidentiality**

The PHA shall keep confidential any information an individual provides regarding his or her status as a victim of domestic violence, dating violence, or stalking, except if the disclosure is: (1) requested in writing by the individual; (2) required for use in an eviction proceeding; or (3) otherwise required by law. See 42 USC 1437d(u)(2)(A); 42 USC 1437 f(ee)(2)(A).

### **Definitions of Domestic Violence, Dating Violence, and Stalking**

[VAWA; 42 USC 13925(a)(6), (8); 42 USC 1437f(f)(10); Cal Family Code 6211]

VAWA defines "domestic violence" as felony or misdemeanor crimes of violence committed by:

- (1) a current or former spouse of the victim;
- (2) a person with whom the victim shares a child in common;
- (3) a person who is cohabiting with or has cohabited with the victim as a spouse;
- (4) a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies;
- (5) any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction (i.e., California).

California law defines "domestic violence" as abuse perpetrated against the victim by:

- (1) The victim's spouse or former spouse.
- (2) Someone the victim lives with or lived with in the past.
- (3) Someone the victim is dating or has dated.
- (4) Someone the victim has a child with.
- (5) Someone to whom the victim is related by blood, marriage, or adoption (including the victim's parent, grandparent, child, grandchild, brother, or sister).

VAWA defines "dating violence" as violence committed by a person:

- (1) who is or has been in a social relationship of a romantic or intimate nature with

- the victim; and
- (2) where the existence of such a relationship shall be determined based on a consideration of the following factors:
    - a. The length of the relationship.
    - b. The type of relationship.
    - c. The frequency of interaction between the persons involved in the relationship.

VAWA defines “stalking” as:

- (1) To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass or intimidate another person; or
- (2) To place under surveillance with the intent to kill, injure, harass, or intimidate another person,

AND

- (3) In the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to:
  - a. That person;
  - b. A member of the immediate family of that person; or
  - c. The spouse or intimate partner of that person.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*

HOPE VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing , and Homeownership Programs – N/A

### PROJECT BASED VOUCHERS

PHA seeks to establish Project Based Voucher (PBV) program as sub-component of its Section 8 Tenant-based Voucher program. Work with county-wide community-based service providers has revealed a need for community transitional housing capacity, in order that homeless, formerly homeless, and other households be stabilized prior to admission to permanent assisted housing programs. Current voucher admissions policy interrupts households in process of stabilization in community transitional housing programs, admitting families prior to readiness to assume the responsibilities of independent, or supported living. Additionally, specialty service providers seek housing subsidy to support targeted programs for their clients in specific locations where supportive services are available. The PHA seeks to expand supported affordable housing opportunity to some of the county’s most vulnerable populations through implementation of its PBV program in partnership with service agencies and property owners.

**8.0 Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

See attached Exhibits A-D for HUD 50075.1 Capital Fund Program Annual Statement/Performance and Evaluation Report for: 501-07, 501-08, 501-09 ARRA, and 501-09.

**8.2 Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

See attached Exhibit E for HUD-50075.2 Capital Fund Program Five-Year Action Plan.

**8.3 Capital Fund Financing Program (CFFP).**

Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

**9.0 Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**9.1 Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Statement of Housing Needs

<b>Housing Needs of Families in the Jurisdiction by Family Type – Chico (13,657 families total)</b>							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1,588	5	5	3	2	3	3
Income >30% but <=50% of AMI	1,958	4	4	3	2	3	3
Income >50% but <80% of AMI	2,970	3	3	2	2	2	2
Elderly	12%	4	4	3	4	3	2
Families with Disabilities	16%						
White/Hispanic	83%						
American Indian/ Pacific Islander	2%						
Asian	4%						
Black	2%						
Other	9%						

<b>Housing Needs of Families in the Jurisdiction by Family Type – Butte County (48,587 families total)</b>							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	4,283	5	5	4	2	3	3
Income >30% but <=50% of AMI	5,876	4	4	3	2	3	3
Income >50% but <80% of AMI	12,236	3	3	2	2	2	2
Elderly	14.9%	5	5	4	4	3	2
Families with Disabilities	21.7%						
White/Hispanic	84%						
American Indian/ Pacific Islander	1%						
Asian	4%						
Black	1%						
Other	10%						

What sources of information did the PHA use to conduct this analysis?

- US Census Bureau, 2005-2007 American Community Survey Data Profile Highlights for City of Chico and County of Butte

City of Chico

**Housing Needs of Families on the Waiting List**

Waiting list type: (select one)

- Section 8 tenant-based assistance
- Public Housing
- Combined Section 8 and Public Housing
- Public Housing Site-Based waiting list: Chico**

	# of families	% of total families	Annual Turnover
Waiting list total	770		12
Extremely low income <=30% AMI	508	66%	
Very low income (>30% but <=50% AMI)	190	25%	
Low income (>50% but <80% AMI)	72	9%	
Families with children	393	51%	
Elderly families	71	9%	
Families with Disabilities	295	38%	
Hispanic	100	13%	
White	527	69%	
Black / African American	65	8%	
American Indian	24	3%	
Asian	47	6%	
Pacific Islander	4	1%	
Other	103	13%	
Characteristics by Bedroom Size (Public Housing Only)*			
1BR	351	47%	
2 BR	289	38%	
3 BR	108	14%	
4 BR	22	3%	
5 BR	n/a		
5+ BR	n/a		

Is the waiting list closed (select one)?  No  Yes

If yes: How long has it been closed?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance  
 Public Housing  
 Combined Section 8 and Public Housing  
 **Public Housing Site-Based waiting list: Oroville**

	# of families	% of total families	Annual Turnover
Waiting list total	154		15
Extremely low income <=30% AMI	91	59%	
Very low income (>30% but <=50% AMI)	44	29%	
Low income (>50% but <80% AMI)	19	12%	
Families with children	113	73%	
Elderly families	35	23%	
Families with Disabilities	38	25%	
Hispanic	11	7%	
White	98	64%	
Black / African American	12	8%	
American Indian	5	2%	
Asian	17	11%	
Pacific Islander	1	1%	
Other	21	14%	
<b>Characteristics by Bedroom Size (Public Housing Only)*</b>			
1BR	37	24%	
2 BR	n/a		
3 BR	117	76%	
4 BR	n/a		
5 BR	n/a		

Is the waiting list closed (select one)?  No  Yes

**If yes: How long has it been closed?**

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance  
 Public Housing  
 Combined Section 8 and Public Housing

**Public Housing Site-Based waiting list: Gridley/Biggs**

	# of families	% of total families	Annual Turnover
Waiting list total	153		7
Extremely low income <=30% AMI	98	65%	
Very low income (>30% but <=50% AMI)	42	27%	
Low income (>50% but <80% AMI)	13	8%	
Families with children	92	60%	
Elderly families	12	8%	
Families with Disabilities	51	33%	
Hispanic	35	23%	
White	97	63%	
Black / African American	17	11%	
American Indian	3	2%	
Asian	8	5%	
Pacific Islander	2	2%	
Other	26	17%	
Characteristics by Bedroom Size (Public Housing Only)*			
1BR	54	35%	
2 BR	59	39%	
3 BR	34	22%	
4 BR	6	4%	
5 BR	0	0%	

Is the waiting list closed (select one)?  No  Yes

If yes: How long has it been closed?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> <b>Section 8 tenant-based assistance</b>			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based waiting list:			
	# of families	% of total families	Annual Turnover
Waiting list total	257		255
Extremely low income <=30% AMI	133	52%	
Very low income (>30% but <=50% AMI)	113	44%	
Low income (>50% but <80% AMI)	11	4%	
Families with children	157	61%	
Elderly families	27	11%	
Families with Disabilities	75	29%	
Hispanic	21	8%	
White	187	73%	
Black /African American	30	12%	
American Indian	8	3%	
Asian	23	8%	
Pacific Islander	1	1%	
Other	8	3%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes: How long has it been closed? Section 8 Wait List has been closed since February 8, 2007			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Strategy for Addressing Needs

➤ Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

- Adopt rent policies to support and encourage work
- Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

- Adopt rent policies to support and encourage work
- Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Participate on Hmong Task Force

Strategy 2: Conduct activities to affirmatively further fair housing

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

### Reasons for Selecting Strategies

The factors that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints

- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

**10.0 Additional Information.** Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The Housing Authority of the County of Butte is making measurable progress in meeting the mission and goals as outlined in the 5-year plan (see Section 5.2)

- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial Deviation from 5-Year Plan:

A substantial deviation from the 5-Year Plan is defined as a reduction of more than 25% in the goals and objectives over the 5-Year period, or a change of 2 or more indicators (needs and/or strategies), or a change to, addition or elimination of, one of the Agency's goals.

Significant Amendment or Modification to the Annual Plan:

A significant amendment or modification to the Annual Plan is defined as an amendment that would require the approval of the Housing Commission in order to implement, i.e. a change to Eligibility and/or Occupancy requirements, a change in goals or strategy, a substantial change (more than 25%) in the goals and objectives.

**11.0 Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)
- (j) PHA Organization Staffing Chart

EXHIBIT A

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the \_\_\_ 5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 2009 \_\_\_\_\_, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the County of Butte

CA043

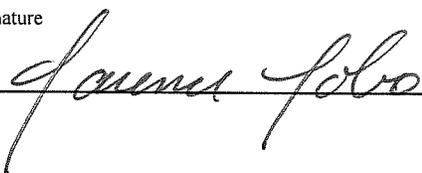
PHA Name

PHA Number/HA Code

\_\_\_\_\_ 5-Year PHA Plan for Fiscal Years 20\_\_\_\_ - 20\_\_\_\_\_

X \_\_\_\_\_ Annual PHA Plan for Fiscal Years 20<sup>09</sup> - 20<sup>10</sup>\_\_\_\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Clarence Lobo	Board Chair
Signature	Date
	July 14, 2009

**Certification by State or Local Official of PHA Plans Consistency with  
the Consolidated Plan**

I, Cathy E. Creswell the Deputy Director certify  
that the Five Year and Annual PHA Plan of the Housing Authority of the County of Butte is  
consistent with the Consolidated Plan of State of California prepared  
pursuant to 24 CFR Part 91.

Cathy Creswell 6/3/09

Signed / Dated by Appropriate State or Local Official

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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**Certification by State or Local Official of PHA Plans Consistency with  
the Consolidated Plan**

I, David Burkland the City Manager certify  
that the Five Year and Annual PHA Plan of the Housing Authority of the County of Butte is  
consistent with the Consolidated Plan of City of Chico prepared  
pursuant to 24 CFR Part 91.

 7/15/09

Signed / Dated by Appropriate State or Local Official

EXHIBIT B

# Certification for a Drug-Free Workplace

U.S. Department of Housing  
and Urban Development

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan for FY 2009-2010

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

**2. Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

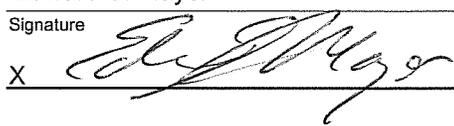
Name of Authorized Official Edward S. Mayer	Title Executive Director
Signature 	Date July 14, 2009

EXHIBIT C

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan for FY 2009-2010

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Edward S. Mayer	Title Executive Director
Signature 	Date (mm/dd/yyyy) 7/14/2009

EXHIBIT D

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

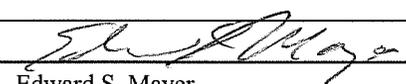
<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Housing Authority of the County of Butte 2039 Forest Ave Chico CA 95928  Congressional District, if known:	
<b>6. Federal Department/Agency:</b>  US Dept of Housing & Urban Development	<b>7. Federal Program Name/Description:</b>  2009-2010 PHA Plan - Capital Fund  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b>  \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Edward S. Mayer</u> Title: <u>Executive Director</u> Telephone No.: <u>530-895-4474 x215</u> Date: <u>07/14/2009</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

EXHIBIT E

**DISCLOSURE OF LOBBYING ACTIVITIES  
CONTINUATION SHEET**

Approved by OMB  
0348-0046

Reporting Entity: Housing Authority of the County of Butte Page 2 of 2

EXHIBIT F

## **2009-2010 PHA Annual Plan Resident Comments**

Ms. Laurel Blankinship and Ms. Silvia Quezada-Alvarez from Legal Services of Northern California expressed concern regarding using the 51% custody guideline to determine whether children are considered part of the Household. Per Ms. Blankinship and Ms. Quezada-Alvarez, currently court documents very often divide custody 50/50. Therefore, they will come up with a recommendation of appropriate replacement wording and policy for both the Section 8 Admin Plan and the Public Housing Admissions and Continued Occupancy Policy.

Residents recommendations regarding the capital fund was to repair heaving sidewalks and fencing at 43-3 Chico Public Housing. One of the residents suggested that we utilize the PG&E weatherization program since it's no cost to the residents. Comments will be taken under consideration.

In addition to the Resident Advisory Board (RAB), the HACB held meetings regarding the Capital Fund. Two meetings were held at HACB's office in Chico and Oroville.

### **SOUTH COUNTY PUBLIC MEETING**

At our March 26, 2009 public meeting, resident concerns were items at Winston Gardens including flooring in selected units, new windows, and landscaping throughout. Gardella Street residents had some concerns about the street lighting, to be added or increased as well.

We went over the new funding that we have received from the stimulus package that President Obama signed on February 19, 2009. The items that the HACB is planning on utilizing these fund for are remodel ten (10) public housing units to meet full ADA/Title 24 compliance; window replacement at Winston Gardens; HVAC replacement at Rhodes Terrace, Shelton Oak, Gardella and Casey Court; water heater replacement at Rhodes Terrace, Shelton Oak, Gardella and Casey Court; and roof replacement at Rhodes Terrace and Shelton Oaks.

The annual plan was briefly described and the process of approval reviewed. A sign up sheet for members of recruitment for the RAB (resident advisory board) committee to meet with HA staff was initiated due to two future meetings being scheduled.

### **NORTH COUNTY PUBLIC MEETING**

At our March 24, 2009 public meeting, we went over the new funding that we have received from the stimulus package that President Obama signed on February 19, 2009. The items that the HACB is planning on utilizing these fund for are remodel ten (10) public housing units to meet full ADA/Title 24 compliance; window replacement at Winston Gardens; HVAC replacement at Rhodes Terrace, Shelton Oak, Gardella and

Casey Court; water heater replacement at Rhodes Terrace, Shelton Oak, Gardella and Casey Court; and roof replacement at Rhodes Terrace and Shelton Oaks.

The annual plan was briefly described and the process of approval reviewed. A sign up sheet for members of recruitment for the RAB (resident advisory board) committee to meet with HA staff was initiated due to two future meetings being scheduled.

EXHIBIT G

Challenged Elements

None

EXHIBIT H

**Capital Fund 501-07 Consolidated**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFRHA) Part 1: Summary**

PHA Name Housing Authority of the County of Butte		Grant Type and Number: Capital Fund Program Grant No: CA 30 PO43501-07 Replacement Housing Factor Grant No:		Federal FY of Grant: 2007	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 2009		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Revised Annual Statement/Revision Number ___	
Line No.	Summary by Development Account	Total Estimate Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds	Estimated			
2	1406 Operations	139,022.00	139,022.00	139,022.00	139,022.00
3	1408 Management Improvements - Soft Cost	18,000.00	0.00	0.00	0.00
4	1410 Administration	69,511.00	69,511.00	52,549.67	52,549.67
5	1411 Audit	2,000.00	2,000.00	2,000.00	2,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000.00	70,066.00	58,779.02	58,779.02
8	1440 Site Acquisition				
9	1450 Site Improvement	45,000.00	115,502.00	112,874.20	112,874.20
10	1460 Dwelling Structures	347,581.00	250,013.00	142,033.63	142,033.63
11	1465.1 Dwelling Equipment - Non expendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	49,000.00	49,000.00	19,451.27	19,451.27
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
20	<b>Amount of Annual Grant (Sum of lines 2-18)</b>	<b>695,114.00</b>	<b>695,114.00</b>	<b>526,709.79</b>	<b>526,709.79</b>
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 19 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security - Soft Cost				
24	Amount of line 20 Related to Security - Hard Cost				
25	Amount of line 20 Related to Energy Conservation Measures				
26	Collateralization Expenses or Debt Service				
Signature of Executive Director & Date:		Signature of Public Housing Director & Date:			

**Capital Fund 501-09 ARRA**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 11: Supporting Pages**

PHA Name		Grant Type and Number:				Federal FY of Grant:		
Housing Authority of the County of Butte		Capital Fund Program Grant No: CA 30 SO43501-07				2007		
Development Number/Name Ha-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
CA43-1A, 1B & 4	A: Site work	1450	1	25,000.00	2,000.00	0.00	0.00	
	B: HVAC Unit Replacement	1460	1	2,500.00	30,000.00	0.00	0.00	
CA43-3	A: Site work	1450	1	85,000.00	4,367.00	4,367.00	4,367.00	
	B: HVAC Unit Replacement	1460	2	14,500.00	32,853.28	0.00	0.00	
CA43-2A & 2B	A: Site work	1450	1	8,000.00	14,746.00	0.00	0.00	
	B: HVAC Unit Replacement	1460	1	2,000.00	23,301.00	0.00	0.00	
CA43-10	A: Site Work	1450	1	35,261.00	52,000.00	52,000.00	52,000.00	
	B: Sidewalk Work	1460	1	38,000.00	0.00	0.00	0.00	
	C: Washers and Dryers	1475	1	20,000.00	0.00	0.00	0.00	
	D: Remodel Laundry Room	1460	1	115,000.00	92,358.82	92,358.82	92,358.82	
CA43-13	A: Site Work	1450	1	15,820.00	7,800.00	7,800.00	7,800.00	
	B: Window Replacement	1460	1	4,600.00	0.00	0.00	0.00	
	C: Exterior Painting	1460	1	0.00	32,000.00	32,000.00	32,000.00	
CA43-14	A: Site Work	1450	1	14,500.00	14,500.00	14,500.00	14,500.00	
	B: Window Replacement	1460	1	2,500.00	7,500.00	0.00	0.00	
CA43-15	A: Site Work	1450	1	4,100.00	20,089.00	20,089.00	20,089.00	
	B: Screen Doors	1460	1	5,800.00	0.00	0.00	0.00	
	C: Exterior Painting	1460	1	0.00	32,000.00	31,793.00	31,793.00	
CA43 Authority Wide	A: Operations	1406	1	139,022.00	139,022.00	139,022.00	139,022.00	
	B: Management Improvements	1408	1	18,000.00	0.00	0.00	0.00	
	C: Administration	1410	1	69,511.00	69,511.00	52,549.67	52,549.67	
	D: Fee and Cost	1430	1	25,000.00	70,066.00	58,779.03	58,779.03	
	F: Non-Dwelling Equipment	1475	1	49,000.00	49,000.00	19,451.27	19,451.27	
	G: Audit	1411	1	2,000.00	2,000.00	2,000.00	2,000.00	
	<b>Total</b>			<b>Total</b>	<b>695,114.00</b>	<b>695,114.10</b>	<b>526,709.79</b>	<b>526,709.79</b>

**Capital Fund 501-08 Consolidated**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 1: Summary**

PHA Name Housing Authority of the County of Butte		Grant Type and Number: Capital Fund Program Grant No: CA 30 PO43501-08 Replacement Housing Factor Grant No:		Federal FY of Grant: 2008
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 2009		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Revised Annual Statement/Revision Number ___
Line No.	Summary by Development Account	Total Estimate Cost		Total Actual Cost
		Original	Revised	
1	Total Non-CGP Funds	Estimated		
2	1406 Operations	0.00		
3	1408 Management Improvements - Soft Cost	18,000.00		
4	1410 Administration	68,054.00		
5	1411 Audit	2,000.00		
6	1415 Liquidated Damages			
7	1430 Fees and Costs	28,000.00		
8	1440 Site Acquisition			
9	1450 Site Improvement	124,200.00		
10	1460 Dwelling Structures	410,288.00		
11	1465.1 Dwelling Equipment - Non expendable			
12	1470 Non dwelling Structures			
13	1475 Non dwelling Equipment	30,000.00		
14	1485 Demolition			
15	1490 Replacement Reserve			
16	1492 Moving to Work Demonstration			
17	1495.1 Relocation Costs			
18	1499 Development Activities			
19	1502 Contingency			
20	<b>Amount of Annual Grant (Sum of lines 2-18)</b>	<b>680,542.00</b>	<b>0.00</b>	<b>0.00</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 19 Related to Section 504 Compliance			
23	Amount of line 20 Related to Security - Soft Cost			
24	Amount of line 20 Related to Security - Hard Cost			
25	Amount of line 20 Related to Energy Conservation Measures			
26	Collateralization Expenses or Debt Service			
Signature of Executive Director & Date:		Signature of Public Housing Director & Date:		

**Capital Fund 501-08 Consolidated**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 11: Supporting Pages**

PHA Name		Grant Type and Number:					Federal FY of Grant:	
Housing Authority of the County of Butte		Capital Fund Program Grant No: CA 30 SO43501-08					2008	
Development Number/Name Ha-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		
				Original	Revised	Funds Obligated	Funds Expended	
<b>CA43-1A, 1B &amp; 4</b>	A: Site work	1450	1	12,000.00				
	B: HVAC Unit Replacement	1460	1	24,000.00				
	C: Exterior Painting	1460	1	21,000.00				
	D: Shed Repair	1460	1	2,000.00				
<b>CA43-3</b>	A: Site work	1450	1	36,000.00				
	B: HVAC Unit Replacement	1460	2	15,000.00				
	C: Exterior Painting	1460	100	20,000.00				
<b>CA43-2A &amp; 2B</b>	A: Site work	1450	1	10,000.00				
	B: HVAC Unit Replacement	1460	1	10,000.00				
	C: Exterior Painting	1460	1	2,100.00				
	D: Shed Repair	1460	1	2,000.00				
<b>CA43-10</b>	A: Site Work	1450	1	25,000.00				
	B: Window Replacement	1460	1	269,888.00				
<b>CA43-13</b>	A: Site Work	1450	1	16,000.00				
	B: Screen Doors	1460	1	36,000.00				
			<b>Total</b>	<b>500,988.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	



**Capital Fund 501-09 (ARRA)**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 11: Supporting Pages**

PHA Name Housing Authority of the County of Butte		Grant Type and Number: Capital Fund Program Grant No: CA 30 SO43501-09 Replacement Housing Factor Grant No:				Federal FY of Grant: 2009			
Development Number/Name Ha-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost			Total Actual Cost		
				Original	Revised	Funds Obligated	Funds Expended	Status of Work	
<b>CA43-1A,</b>									
<b>1B &amp; 4</b>	A: Site work	1450	2	10,000.00					
	B: Rehab units Section 504 Compliance	1460	2	44,000.00					
<b>CA43-3</b>									
	A: Site work	1450	7	35,000.00					
	B: Rehab units Section 504 Compliance	1460	7	154,000.00					
<b>CA43-2A &amp; 2B</b>									
	A: Site work	1450	1	5,000.00					
	B: Rehab units Section 504 Compliance	1460	1	22,000.00					
<b>CA43-10</b>									
	A: Window Replacemnt	1460	377	147,124.00					
<b>CA43-13</b>									
	A: Replace HVAC Units	1460	45	208,000.00					
	B: Replace Roof	1460	24	94,307.00					
	C: Water Heater Replacement	1460	45	22,000.00					
<b>CA43-14</b>									
	A: Replace HVAC Units	1460	20	110,000.00					
	B: Water Heater Replacement	1460	20	10,000.00					
<b>CA43 Authority Wide</b>									
	A.: Administration	1410		0.00					
	<b>Total</b>		<b>Total</b>	<b>861,431.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

EXHIBIT I

Capital Fund Program--Five-Year Action Plan

ATTACHMENT H

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number	Locality (City/County & State)		[ ] Original 5-Year Plan [X] Revision No: 1			
CA043	Housing Authority of the County of Butte Butte County, CA					
A:	Development Number and Name	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
B.	Physical Improvements Subtotal	Annual Statement	910,720	704,000	1,113,849	1,200,500
C:	Management Improvements		50,824	100,000	84,522	81,522
D:	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		70,000	70,000	70,000	70,000
F.	Others					
G:	Operations		120,000	120,000	120,000	120,000
H:	Demolition					
I.	Development					
J.	Capital Fund Financing-Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		1,151,544	994,000	1,388,371	1,472,022

5 year action plan 2009 Updated Consolidated march 2009.xls

# Capital Fund Program Five-Year Action Plan

## Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-10 PHA FY: 2010			Activities for Year 3 FFY Grant: CA 30 PO 43501-11 PHA FY: 2011			
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	CA 043-1A, 1B, 4 A: Landscaping / site work B: Upgrade HVAC systems C: Exterior Painting	1 5 10	36,000 25,000 15,000	CA 043-1A, 1B, 4 A: Exterior Painting B: Landscaping / Site Work	40 1	60,000 45,000	
	CA 043-2A & 2B A: Landscaping / site work B: Playground Equipment C: Upgrade HVAC Systems	1 1 1	56,000 35,000 50,000	CA 043-2A & 2B A: Exterior Painting	1	30,000	
	CA 043-3 A: Upgrade HVAC systems B: Exterior Painting C: Landscaping / Site Work	5 40 1	25,000 65,000 55,000	CA 043-3 A: Upgrade HVAC Systems B Landscaping / Site Work C: Exterior Painting	5 1 60	25,000 98,000 90,000	
	CA 043-10 A: Landscaping / Site Work B: Window Replacement	30 20	55,000 120,000	CA 043-10 A: Bathroom Upgrade B: Modify Kitchen Lighting C: Exterior Lighting	60 1 1	85,000 6,000 10,000	
	CA 043-13 A: Replacement of Fixed Windows B:: Landscaping / Site Work C: Install Screen Doors	135 1 96	71,220 86,000 42,000	CA 043-13 A: Exterior Painting	20	30,000	
	Sub Total of Estimated Cost			736,220			479,000
	Sub Total of Estimated Cost			736,220			479,000

# Capital Fund Program Five-Year Action Plan

## Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 5 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013			
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	CA 043-1A, 1B, 4 A: Playground Equipment B: Landscaping / Site work C: Off Street Parking	1 1 37	120,000 45,000 12,000	CA 043-1A, 1B, 4 A: Landscaping B: Exterior Lighting	1 25	50,000 38,000	
	CA 043-2A & 2B A: New Water Heaters	20	13,500	CA 043-2A & 2B A: Landscaping / Site Work B: Playground Equipment C: Exterior Lighting D: Exterior Painting	1 1 1 20	42,500 35,000 12,000 30,000	
	CA 043-3 A: Community Center B: Landscaping / Site Work	1 1	310,099 50,000	CA 043-3 A: Exterior Painting B: Exterior Lighting C: Speed Bumps D: Maintenance Shop (Phase 2)	3 1 1 1	56,000 79,000 25,000 48,000	
	CA 043-10 A: Modify Kitchen Lighting B: New Water Heaters	30 60	6,000 65,000	CA 043-10 A: Photovoltaic Solar Cells System B: Exterior Painting	1 60	75,000 60,000	
	CA 043-13 A: Install Porches and Sidewalks B: Landscape Rehab and Prune Trees C: Install Cloth Lines D: Install Ceiling Fans E: Install Screen Doors F: HVAC Replacement G: New Water Heaters	30 30 30 30 45 45 45	36,000 3,000 12,000 3,750 9,500 225,000 32,000	CA 043-13 A: Install Porches and Sidewalks B: Landscape Rehab and Prune Trees C: Install Cloth lines D: Install Ceiling Fans E: Install Screen Doors F: More Lighting G: Traffic Speed Bumps H: New Roofs I: Exterior Painting	30 30 30 30 30 30 24 26	18,000 1,500 6,000 3,000 6,000 30,000 20,000 155,000 39,000	
	Sub Total of Estimated Cost			942,849	Sub Total of Estimated Cost		
				829,000			



# Capital Fund Program Five-Year Action Plan

## Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012	Activities for Year 5 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013
	Development Number/Name/General Description of Major Work Categories	Development Number/Name/General Description of Major Work Categories
	Quantity	Quantity
	Estimated Cost	Estimated Cost
See Annual Statement	CA 043-14	CA 043-14
	A: Landscaping / Site Work	A: Install Speed Bumps
	B: Exterior Painting	B: Resurface Roads
	C: New HVAC	C: New Roofs
		D: New Water Heaters
	CA 043-15	
	NO WORK	
	HA-WIDE	HA-WIDE
	A: Development Communications	A: Development Communications
	B: Dev. Preventative Maintenance	B: Dev Preventative Maintenance
C: Security for Housing: Lighting	C: Energy Audit	
D: Resident Initiatives/Needs, etc.	D: Resident Initiatives	
E: Automatic Inventory System / Staff	E: Automatic Inventory System / Staff	
F: Operations	F: Operations	
G: Administration	G: Administration	
	Sub Total of Estimated Cost	Sub Total of Estimated Cost
	445,522	643,022

EXHIBIT J

