

**REVISED APRIL 2009, & MAY 2009**

<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>The Ozark Housing Community</u> PHA Code: <u>AL073</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2009</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>399</u> Number of HCV units: <u>434</u>				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH      HCV
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.				
	<b>Goal One: Improve the quality of assisted housing.</b>				
	<b>Objective</b>	<b>Progress</b>			
	Improve public housing management and maintain high performer status.	The Ozark Housing Community recently implemented a site based style of management – this change has been a challenge, but the Ozark Housing Community believes it has and will bring about improved change for the communities. This most recent year we received a standard score but are working diligently to improve it to high performer.			
	Improve voucher management to a high scoring standard performer.	The Ozark Housing Community recently hired a new manager for this Department and has restructured the Department. The Ozark Housing Community hopes to be a high standard performer next year.			
	<b>Goal Two: Increase assisted housing choices.</b>				
	<b>Objective</b>	<b>Progress</b>			
	Conduct outreach efforts to potential voucher landlords.	Personal contact being made.			
	Implement public housing or other homeownership program and sale 3 homes within the next two years (by April 2007).	All homes have been sold, this program is complete.			
	<b>Goal Three: Provide an improved living environment.</b>				
	<b>Objective</b>	<b>Progress</b>			
	Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.	Monitoring goals closely.			
	Implement measures to promote income mixing in public housing by assuring access for lower income families into high income developments.	Achieving desired and required ratios.			
	Implement public housing security improvements and secure a contract with the local police department to implement security measures.	The Ozark Housing Community has contracted with the Ozark Police Department.			

<b>Goal Four: Promote self-sufficiency and asset development of assisted households.</b>	
<b>Objective</b>	<b>Progress</b>
Provide or attract supportive services to improve assistance recipients' employability. The Ozark Housing Community will contract with outside entities for purposes of providing GED classes and computer training, etc.	The Ozark Housing Community is working closely with the State Employment Service. GED classes and computer training is continuing.
<b>Goal Five: Ensure equal opportunity and affirmatively further fair housing.</b>	
<b>Objective</b>	<b>Progress</b>
Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability. The Ozark Housing Community will continue to monitor PHA programs and statistics.	The Ozark Housing Authority follows all Fair Housing Laws to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.
<b>6.0</b>	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:  (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p><b>The Section 8 Administrative Plan and ACOP have been updated for any required regulation changes to include VAWA. Both policies are available for review. In addition, the Financial Resources document has been updated to reflect current budget numbers. An Assistance Animal Policy has been adopted. The Resident Advisory Board Members has changed and the document that reflects the Resident on the Governing Board has been updated. All of these documents are displayed for public review with this annual plan.</b></p> <p><b>The Ozark Housing Community will post its annual plan at the following location:</b>  The Ozark Housing Community (Central Office and Development Office)  241 Ed Lisenby Drive  Ozark, AL 36360</p>
<b>7.0</b>	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>The Ozark Housing Community is not currently planning any Hope VI activities, Mixed Finance Modernization or Development, Demolition, Conversion of Public Housing, or Project-based Voucher activity.</p> <p>The Ozark Housing Community plans to seek HUD approval to remove the Declaration of Trust from 241 Ed Lisenby Drive (our Central Office) and 914 Eufaula Street (our former Central Maintenance office). These properties will be owned by our COCC. The COCC is already paying for the maintenance of these properties. A separate letter requesting this action will be sent to HUD.</p> <p><b>The Ozark Housing Community previously had a Homeownership Program, this program has been concluded.</b></p>
<b>8.0</b>	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
<b>8.1</b>	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>2006 Capital Fund Performance and Evaluation Report (Attachment A)</b>  <b>2007 Capital Fund Performance and Evaluation Report Attachment B)</b>  <b>2008 Capital Fund Performance and Evaluation Report (Attachment C)</b>  <b>2009 Capital Fund Annual Statement (Attachment D)</b>  <b>2009 Capital Fund Annual Statement – ARRA Stimulus funds (Attachment H)</b></p>
<b>8.2</b>	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>2010 – 2013 Capital Fund Five Year Action Plan (Attachment E)</b></p>
<b>8.3</b>	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

**A. Housing Needs of Families in the Jurisdiction/s Served by the PHA**

In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	777	3	3	3	3	3	3
Income >30% but <=50% of AMI	429	3	3	3	3	3	3
Income >50% but <80% of AMI	448	3	3	3	3	3	3
Elderly	319	3	3	3	3	3	3
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
White Non-Hispanic	779	3	3	3	3	3	3
Black Non-Hispanic	795	3	3	3	3	3	3
Hispanic	44	3	3	3	3	3	3
Native American Non-Hispanic	10	3	3	3	3	3	3
Asian Non-Hispanic	4	3	3	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

9.1

**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

**C. Strategy for Addressing Needs**

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

**(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Other: (list below)

The Ozark Housing Community will strive to meet thresholds established by HUD and meet the needs of local low and very low-income families.

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Other: (list below)

The Ozark Housing Community will strive to meet thresholds established by HUD and meet the needs of local low and very low-income families.

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Other: (list below)

The Ozark Housing Community will strive to meet thresholds established by HUD and meet the needs of local low and very low-income families.

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities

- Other: (list below)

The Ozark Housing Community will strive to meet thresholds established by HUD and meet the needs of local low and very low-income families.

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA

**10.0 Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

<b>Goal One: Improve the quality of assisted housing.</b>	
<b>Objective</b>	<b>Progress</b>
Improve public housing management and maintain high performer status.	The Ozark Housing Community recently implemented a site based style of management – this change has been a challenge, but the Ozark Housing Community believes it has and will bring about improved change for the communities. This most recent year we received a standard score but are working diligently to improve it to high performer.
Improve voucher management to a high scoring standard performer.	The Ozark Housing Community recently hired a new manager for this Department and has restructured the Department. The Ozark Housing Community hopes to be a high standard performer next year.

  

<b>Goal Two: Increase assisted housing choices.</b>	
<b>Objective</b>	<b>Progress</b>
Conduct outreach efforts to potential voucher landlords.	Personal contact being made.
Implement public housing or other homeownership program and sale 3 homes within the next two years (by April 2007).	All homes have been sold, this program is complete.

  

<b>Goal Three: Provide an improved living environment.</b>	
<b>Objective</b>	<b>Progress</b>
Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments.	Monitoring goals closely.
Implement measures to promote income mixing in public housing by assuring access for lower income families into high income developments.	Achieving desired and required ratios.
Implement public housing security improvements and secure a contract with the local police department to implement security measures.	The Ozark Housing Community has contracted with the Ozark Police Department.

  

<b>Goal Four: Promote self-sufficiency and asset development of assisted households.</b>	
<b>Objective</b>	<b>Progress</b>
Provide or attract supportive services to improve assistance recipients' employability. The Ozark Housing Community will contract with outside entities for purposes of providing GED classes and computer training, etc.	The Ozark Housing Community is working closely with the State Employment Service. GED classes and computer training is continuing.

  

<b>Goal Five: Ensure equal opportunity and affirmatively further fair housing.</b>	
<b>Objective</b>	<b>Progress</b>
Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability. The Ozark Housing Community will continue to monitor PHA programs and statistics.	The Ozark Housing Authority follows all Fair Housing Laws to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.

<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <b>(See attachment F)</b></li> <li>(g) Challenged Elements <b>(See attachment G)</b></li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

<b>PHA Name</b>	The Housing Authority of the City of Ozark		
<b>CFP Grant No</b>	501-06		
<b>Date of CFFP</b>			
<b>RHF Grant No</b>			
<b>FFY of Grant</b>		2006	
<b>FFY of Grant Approval</b>			
<b>Original Annual Statement</b>			
<b>P &amp; E Report</b>	X		
<b>P &amp; E Report Period Ending</b>		9/30/2008	
<b>Reserve for Disasters/Emergencies</b>			
<b>Revised Annual Statement</b>	X		<b>Revision No</b> 4
<b>Final P &amp; E Report</b>			

<b>Part I: Summary</b>					
<b>PHA Name:</b>  The Housing Authority of the City of Ozark		<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-06 Date of CFFP:  Replacement Housing Factor Grant No:			<b>FFY of Grant:</b> 2006  <b>FFY of Grant Approval:</b>
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2008		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	80,000.86	61,000.86	61,000.86	52,305.11
3	1408 Management Improvements	72,024.00	72,024.00	72,024.00	55,667.55
4	1410 Administration (may not exceed 10% of line 21)	18,000.00	18,000.00	18,000.00	18,000.00
5	1411 Audit	1,000.00	1,000.00	1,000.00	1,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	12,000.00	12,000.00	12,000.00	12,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	12,000.00	31,000.00	31,000.00	31,000.00
10	1460 Dwelling Structures	256,265.00	256,265.00	252,944.92	203,083.33
11	1465.1 Dwelling Equipment - Nonexpendable	10,668.00	10,668.00	10,668.00	10,668.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	12,307.23	12,307.23	12,307.23	12,307.23
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	2,000.00	2,000.00	2,000.00	2,000.00
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA	193,078.91	193,078.91	193,078.91	193,078.91
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	<b>669,344.00</b>	<b>669,344.00</b>	<b>666,023.92</b>	<b>591,110.13</b>
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b>		<b>Grant Type and Number</b>					<b>Federal FFY of Grant:</b>	
The Housing Authority of the City of Ozark		Capital Fund Program Grant No: 501-06		CFFP (Yes/No):			2006	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Operations 1406</b>							
AL073003	Preschool Operations	1406		70,000.00	51,000.00	51,000.00	51,000.00	
PHA Wide	OHA Operations	1406		10,000.86	10,000.86	10,000.86	1,305.11	
	<b>Subtotal 1406</b>			<b>80,000.86</b>	<b>61,000.86</b>	<b>61,000.86</b>	<b>52,305.11</b>	
	<b>Management Improvements 1408</b>							
PHA Wide	Computer Software	1408		5,383.00	5,383.00	5,383.00	5,383.00	
PHA Wide	Annual Plan Update, Capital Funds, Disposition, etc.	1408		15,000.00	15,000.00	15,000.00	9,893.55	
PHA Wide	Police Salaries	1408		16,209.00	16,209.00	16,209.00	16,209.00	
PHA Wide	JJEC-Adult Education/Scholarships	1408		0.00	0.00	0.00	0.00	
PHA Wide	Energy Performance Contract	1408		5,432.00	5,432.00	5,432.00	5,432.00	
PHA Wide	Marketing campaign	1408		30,000.00	30,000.00	30,000.00	18,750.00	
	<b>Subtotal 1408</b>			<b>72,024.00</b>	<b>72,024.00</b>	<b>72,024.00</b>	<b>55,667.55</b>	
	<b>Administration 1410</b>							
PHA Wide	Salary Clerk of the Works	1410		14,000.00	14,000.00	14,000.00	14,000.00	
PHA Wide	Benefits	1410		4,000.00	4,000.00	4,000.00	4,000.00	
	<b>Subtotal 1410</b>			<b>18,000.00</b>	<b>18,000.00</b>	<b>18,000.00</b>	<b>18,000.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b>		<b>Grant Type and Number</b>					<b>Federal FFY of Grant:</b>	
The Housing Authority of the City of Ozark		Capital Fund Program Grant No: 501-06			CFFP (Yes/No):		2006	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>Audit 1411</b>								
PHA Wide	Auditing Services	1411		1,000.00	1,000.00	1,000.00	1,000.00	
<b>Subtotal 1411</b>				<b>1,000.00</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>1,000.00</b>	
<b>Fees and Costs 1430</b>								
AL0730010 AL073008	A & E Fees	1430		12,000.00	12,000.00	12,000.00	12,000.00	
<b>Subtotal 1430</b>				<b>12,000.00</b>	<b>12,000.00</b>	<b>12,000.00</b>	<b>12,000.00</b>	
<b>Site Improvement 1450</b>								
PHA Wide	Tree Trimming	1450		5,000.00	5,000.00	5,000.00	5,000.00	
AL073005	Paving work	1450		0.00	19,000.00	19,000.00	19,000.00	
AL0730010	Landscaping	1450		7,000.00	7,000.00	7,000.00	7,000.00	
<b>Subtotal 1450</b>				<b>12,000.00</b>	<b>31,000.00</b>	<b>31,000.00</b>	<b>31,000.00</b>	
<b>Dwelling Structures 1460</b>								
AL0730010	Unit Reconfiguration (reconfigure 10 units into 6-eliminate efficiency units)	1460	2 buildings	206,216.00	206,216.00	206,216.00	152,534.82	
AL073003	HVAC	1460	29	15,049.00	15,049.00	15,049.00	15,049.00	
Warehouse	Renovation for Project Based Compliance	1460	1	25,000.00	25,000.00	25,000.00	32,624.32	
COCC	Exterior/Interior Office Renovation	1460		10,000.00	10,000.00	6,679.92	2,875.19	
<b>Subtotal 1460</b>				<b>256,265.00</b>	<b>256,265.00</b>	<b>252,944.92</b>	<b>203,083.33</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b>		<b>Grant Type and Number</b>					<b>Federal FFY of Grant:</b>	
The Housing Authority of the City of Ozark		Capital Fund Program Grant No:	501-06	CFFP (Yes/No):		2006		
		Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Dwelling Equipment Non-Expendable 1465.1</b>							
PHA Wide	Replace Stoves	1465.1	33	5,668.00	5,668.00	5,668.00	5,668.00	
PHA Wide	Replace Refrigerators	1465.1	43	5,000.00	5,000.00	5,000.00	5,000.00	
	<b>Subtotal 1465.1</b>			<b>10,668.00</b>	<b>10,668.00</b>	<b>10,668.00</b>	<b>10,668.00</b>	
	<b>Relocation 1495.1</b>							
PHA Wide	Relocation of Residents	1495.1		2,000.00	2,000.00	2,000.00	2,000.00	
	<b>Subtotal 1495.1</b>			<b>2,000.00</b>	<b>2,000.00</b>	<b>2,000.00</b>	<b>2,000.00</b>	
	<b>Non-Dwelling Equipment 1475</b>							
PHA Wide	Bus for Public Housing Preschool	1475		12,307.23	12,307.23	12,307.23	12,307.23	
	<b>Subtotal 1475</b>			<b>12,307.23</b>	<b>12,307.23</b>	<b>12,307.23</b>	<b>12,307.23</b>	
	<b>Debt Service 1501</b>							
AL073007	Debt Service – Bond Issue (payment due 1/1/2007 and 7/1/2007)	1501		193,078.91	193,078.91	193,078.91	193,078.91	
	<b>Subtotal 1501</b>			<b>193,078.91</b>	<b>193,078.91</b>	<b>193,078.91</b>	<b>193,078.91</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: The Housing Authority of the City of Ozark					Federal FFY of Grant: 2006
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End	Actual Expenditure End Date	
PHA Wide	7/17/2008		7/17/2010		
AL073003	7/17/2008		7/17/2010		
AL073007	7/17/2008		7/17/2010		
AL073008	7/17/2008		7/17/2010		
AL0730010	7/17/2008		7/17/2010		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>PHA Name</b>	The Housing Authority of the City of Ozark		
<b>CFP Grant No</b>	501-07		
<b>Date of CFFP</b>			
<b>RHF Grant No</b>			
<b>FFY of Grant</b>	2007		
<b>FFY of Grant Approval</b>			
<b>Original Annual Statement</b>			
<b>P &amp; E Report</b>	X		
<b>P &amp; E Report Period Ending</b>	9/30/2008		
<b>Reserve for Disasters/Emergencies</b>			
<b>Revised Annual Statement</b>	X	<b>Revision No</b>	1
<b>Final P &amp; E Report</b>			

<b>Part I: Summary</b>					
<b>PHA Name:</b>  The Housing Authority of the City of Ozark		<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-07 Date of CFFP: Replacement Housing Factor Grant No:			<b>FFY of Grant:</b> 2007 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b>					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1 )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2008		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	70,000.00	70,000.00	4,888	2,680
3	1408 Management Improvements	94,500.00	70,156.00	19,853	19,853
4	1410 Administration (may not exceed 10% of line 21)	79,713.00	79,713.00	79,713	79,713
5	1411 Audit	1,000.00	1,000.00	1,000	1,000
6	1415 Liquidated Damages				
7	1430 Fees and Costs	7,787.09	7,787.09	7,787	7,787
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	176,240.00	184,956.58	184,957	141,624
11	1465.1 Dwelling Equipment - Nonexpendable	0.00	10,000.00	4,906	4,906
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	0.00	5,344.00	4,675	4,675
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	2,000.00	2,000.00	2,000	2,000
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA	193,078.91	193,362.33	0	0
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	624,319.00	624,319.00	309,778.21	264,238.52
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.



<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b>			<b>Grant Type and Number</b>				<b>Federal FFY of Grant:</b>	
The Housing Authority of the City of Ozark			Capital Fund Program Grant No:	501-07	CFFP (Yes/No):		2007	
			Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Operations 1406</b>							
AL073003	Preschool Operations	1406		70,000.00	70,000.00	4,887.53	2,680.04	
	<b>Subtotal 1406</b>			<b>70,000.00</b>	<b>70,000.00</b>	<b>4,887.53</b>	<b>2,680.04</b>	
	<b>Management Improvements 1408</b>							
PHA Wide	Computer Software	1408		15,000.00	0.00			
PHA Wide	Annual Plan Update, Capital Funds, etc.	1408		0.00	0.00			
PHA Wide	Police Salaries	1408		63,900.00	58,556.00	9,507.18	9,507.18	
PHA Wide	Energy Performance Contract	1408		5,600.00	5,600.00	5,452.00	5,452.00	
	Utility Study	1408		0.00	6,000.00	4,894.00	4,894.00	
	<b>Subtotal 1408</b>			<b>84,500.00</b>	<b>70,156.00</b>	<b>19,853.18</b>	<b>19,853.18</b>	
	<b>Administration 1410</b>							
PHA Wide	10% Central Cost Center Fee (Annual plan, Audit \$10,000 and Computer Needs \$10,000)	1410		61,713.00	61,713.00	61,713.00	61,713.00	
PHA Wide	Salary Clerk of the Works	1410		14,000.00	14,000.00	14,000.00	14,000.00	
PHA Wide	Benefits	1410		4,000.00	4,000.00	4,000.00	4,000.00	
	<b>Subtotal 1410</b>			<b>79,713.00</b>	<b>79,713.00</b>	<b>79,713.00</b>	<b>79,713.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
The Housing Authority of the City of Ozark		Capital Fund Program Grant No: 501-07		CFFP (Yes/No):			2007	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>Audit 1411</b>								
PHA Wide	Auditing Services	1411		1,000.00	1,000.00	1,000.00	1,000.00	
<b>Subtotal 1411</b>				<b>1,000.00</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>1,000.00</b>	
<b>Fees and Costs 1430</b>								
AL0730010 AL073003	A & E Fees	1430		7,787.09	7,787.09	7,787.09	7,787.09	
<b>Subtotal 1430</b>				<b>7,787.09</b>	<b>7,787.09</b>	<b>7,787.09</b>	<b>7,787.09</b>	
<b>Dwelling Structures 1460</b>								
AL0730010	Unit Reconfiguration (reconfigure 10 units into 6-eliminate efficiency units)	1460	2 buildings	161,591.00	161,591.00	161,591.00	118,258.80	
AL073003	HVAC	1460	29	14,649.00	23,365.58	23,365.58	23,365.58	
<b>Subtotal 1460</b>				<b>176,240.00</b>	<b>184,956.58</b>	<b>184,956.58</b>	<b>141,624.38</b>	
<b>Dwelling Equipment Non-Expendable 1465.1</b>								
PHA Wide	Replace Stoves	1465.1	0	5,000.00	5,000.00	2,673.83	2,673.83	
PHA Wide	Replace Refrigerators	1465.1	0	5,000.00	5,000.00	2,232.00	2,232.00	
<b>Subtotal 1465.1</b>				<b>0</b>	<b>10,000.00</b>	<b>4,905.83</b>	<b>4,905.83</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b>		<b>Grant Type and Number</b>					<b>Federal FFY of Grant:</b>	
The Housing Authority of the City of Ozark		Capital Fund Program Grant No: 501-07		CFFP (Yes/No):			2007	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Non-Dwelling Equipment 1475</b>							
PHA Wide	Office Right 3 station inserter	1475	1	0.00	5,344.00	4,675.00	4,675.00	
	<b>Subtotal 1475</b>			<b>0.00</b>	<b>5,344.00</b>	<b>4,675.00</b>	<b>4,675.00</b>	
	<b>Relocation 1495.1</b>							
PHA Wide	Relocation of Residents	1495.1		2,000.00	2,000.00	2,000.00	2,000.00	
	<b>Subtotal 1495.1</b>			<b>2,000.00</b>	<b>2,000.00</b>	<b>2,000.00</b>	<b>2,000.00</b>	
	<b>Debt Service 1501</b>							
AL073007	Debt Service – Bond Issue (payment due 1/1/2007 and 7/1/2007)	1501		193,362.33	193,362.33	193,362.33	193,362.33	
	<b>Subtotal 1501</b>			<b>193,362.33</b>	<b>193,362.33</b>	<b>193,362.33</b>	<b>193,362.33</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: The Housing Authority of the City of Ozark					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End	Actual Expenditure End Date	
PHA Wide	9/13/2009		9/12/2011		
AL073003	9/13/2009		9/12/2011		
AL0730010	9/13/2009		9/12/2011		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



<b>PHA Name</b>	Ozark Housing Authority	
<b>CFP Grant No</b>	501-08	
<b>Date of CFFP</b>		
<b>RHF Grant No</b>		
<b>FFY of Grant</b>		2008
<b>FFY of Grant Approval</b>		
<b>Original Annual Statement</b>		
<b>P &amp; E Report</b>	X	
<b>P &amp; E Report Period Ending</b>		9/30/2008
<b>Reserve for Disasters/Emergencies</b>		
<b>Revised Annual Statement</b>		<b>Revision No</b>
<b>Final P &amp; E Report</b>		

<b>Part I: Summary</b>						
<b>PHA Name:</b>  Ozark Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-08 Date of CFFP:			<b>Replacement Housing Factor Grant No:</b>	<b>FFY of Grant:</b> 2008  <b>FFY of Grant Approval:</b>
<b>Type of Grant</b>						
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: 0 )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2008		<input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	70,000.00				
3	1408 Management Improvements	75,500.00				
4	1410 Administration (may not exceed 10% of line 21)	87,453.00		61,713.00	61,713.00	
5	1411 Audit	1,000.00		1,000.00	1,000.00	
6	1415 Liquidated Damages					
7	1430 Fees and Costs	7,787.00		7,787.00	7,450.38	
8	1440 Site Acquisition					
9	1450 Site Improvement	4,000.00				
10	1460 Dwelling Structures	244,052.69		167,292.69	0.00	
11	1465.1 Dwelling Equipment - Nonexpendable	9,000.00				
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs	2,000.00		2,000.00	838.45	
17	1499 Development Activities <sup>4</sup>					
18a	1501 Collateralization or Debt Service paid by the PHA	193,097.31				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceeds 8% of line 20)					
20	Amount of Annual Grant: (sum of line 2 - 19)	693,890.00	0.00	239,792.69	71,001.83	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hart Costs					
25	Amount of line 20 Related to Energy Conservation Measures					

<sup>1</sup>To be completed for the Performance and Evaluation Report.

<sup>2</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup>PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

<sup>4</sup>RHF funds shall be included here.

<b>Part I: Summary</b>				
<b>PHA Name:</b>  Ozark Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-08      Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:</b> 2008 <b>FFY of Grant Approval:</b>
<b>Type of Grant</b>				
<input type="checkbox"/> Original Annual Statement	<input type="checkbox"/> Reserve for Disasters/Emergencies	<input type="checkbox"/> Revised Annual Statement (revision no: 0 )		
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2008	<input type="checkbox"/> Final Performance and Evaluation Report			
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost <sup>1</sup></b>
		<b>Original</b>	<b>Revised <sup>2</sup></b>	<b>Obligated</b>
				<b>Expended</b>
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>
				<b>Date</b>

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Ozark Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:					<b>Federal FFY of Grant:</b> 2008	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Operations (1406)</b>							
Amp #3	Preschool Operations	1406	Lump Sum	70,000.00		0.00	0.00	
	<b>Subtotal 1406</b>			<b>70,000.00</b>		<b>0.00</b>	<b>0.00</b>	
	<b>Management Improvements (1408)</b>							
Amp #1	Police Salaries	1408	Lump Sum	27,477.00		0.00	0.00	
Amp #2	Police Salaries	1408	Lump Sum	22,365.00		0.00	0.00	
Amp #3	Police Salaries	1408	Lump Sum	14,058.00		0.00	0.00	
Amp #1	Energy Contract	1408	Lump Sum	2,408.00		0.00	0.00	
Amp #2	Energy Contract	1408	Lump Sum	1,960.00		0.00	0.00	
Amp #3	Energy Contract	1408	Lump Sum	1,232.00		0.00	0.00	
Amp #1	Utility Study	1408	Lump Sum	2,580.00		0.00	0.00	
Amp #2	Utility Study	1408	Lump Sum	2,100.00		0.00	0.00	
Amp #3	Utility Study	1408	Lump Sum	1,320.00		0.00	0.00	
	<b>Subtotal 1408</b>			<b>75,500.00</b>		<b>0.00</b>	<b>0.00</b>	
	<b>Administration (1410)</b>							
COCC	Central Office Cost Center Fee (10%)	1410	Lump Sum	61,713.00		61,713.00	61,713.00	
Amp #1	Clerk of the Works Salary	1410	Lump Sum	6,020.00		0.00	0.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:			Grant Type and Number				Federal FFY of Grant:	
Ozark Housing Authority			Capital Fund Program Grant No: 501-08		CFFP (Yes/No):		2008	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Amp #1	Benefits	1410	Lump Sum	1,720.00		0.00	0.00	
Amp #2	Clerk of the Works Salary	1410	Lump Sum	14,000.00		0.00	0.00	
Amp #2	Benefits	1410	Lump Sum	4,000.00		0.00	0.00	
	<b>Subtotal 1410</b>			87,453.00		61,713.00	61,713.00	
	<b>Audit (1411)</b>							
Amp #1	Audit	1411	Lump Sum	430.00		430.00	430.00	
Amp #2	Audit	1411	Lump Sum	350.00		350.00	350.00	
Amp #3	Audit	1411	Lump Sum	220.00		220.00	220.00	
	<b>Subtotal 1411</b>			1,000.00		1,000.00	1,000.00	
	<b>Fees and Costs 1430</b>							
Amp #2	A&E fees and reimbursable costs	1430	Lump Sum	7,787.00		7,787.00	7,450.38	
	<b>Subtotal 1430</b>			7,787.00		7,787.00	7,450.38	
	<b>Site Improvements (1450)</b>							
Amp #1	Landscaping	1450	Lump Sum	1,720.00		0.00	0.00	
Amp #2	Landscaping	1450	Lump Sum	1,400.00		0.00	0.00	
Amp #3	Landscaping	1450	Lump Sum	880.00		0.00	0.00	
	<b>Subtotal 1450</b>			<b>4,000.00</b>		<b>0.00</b>	<b>0.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Ozark Housing Authority		Capital Fund Program Grant No: 501-08		CFFP (Yes/No):			2008	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>Dwelling Structures (1460)</b>							
Amp #2	Unit modernization	1460	8 units	167,292.69		167,292.69	0.00	
	<b>Subtotal 1460</b>			<b>167,292.69</b>		<b>167,292.69</b>	<b>0.00</b>	
	<b>Dwelling Equipment (1465.1)</b>							
Amp #1	Stoves and Refrigerators (5 each)	1465.1	10	2,500.00		0.00	0.00	
Amp #2	Stoves and Refrigerators (8 each)	1465.1	16	4,000.00		0.00	0.00	
Amp #3	Stoves and Refrigerators (5 each)	1465.1	10	2,500.00		0.00	0.00	
	<b>Subtotal 1465.1</b>			<b>9,000.00</b>		<b>0.00</b>	<b>0.00</b>	
	<b>Relocation (1495.1)</b>							
Amp #2	Relocation	1495.1	Lump Sum	2,000.00		2,000.00	838.45	
	<b>Subtotal 1495.1</b>			<b>2,000.00</b>		<b>2,000.00</b>	<b>838.45</b>	
	<b>Debt Service (1501)</b>							
Amp #2	Debt Service	1501	Lump Sum	193,097.31		0.00	0.00	
	<b>Subtotal 1501</b>			<b>193,097.31</b>		<b>0.00</b>	<b>0.00</b>	
	<b>Grand Total</b>			<b>617,130.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.





NO. 8624 P. 2

OZARK HOUSING AUTH.

DEC. 29. 2008 3:40PM

Part I: Summary					
<b>PHA Name:</b>  Ozark Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-09 Date of CFFP:		<b>FFY of Grant:</b> 2009  <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 0 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	70,000.00			
3	1408 Management Improvements	80,000.00			
4	1410 Administration (may not exceed 10% of line 21)	87,389.00			
5	1411 Audit	1,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	7,787.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	4,000.00			
10	1460 Dwelling Structures	231,455.09			
11	1465.1 Dwelling Equipment - Nonexpendable	9,000.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	9,000.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs	2,000.00			
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA	192,258.91			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	693,890.00	0.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hart Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHP funds shall be included here.

*David D. Walker*  
 12.29.08

NO. 8624 P. 3

OZARK HOUSING AUTH.

DEC. 29. 2008 3:41PM

Part I: Summary				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>
		Original	Revised <sup>2</sup>	Obligated
				Expended
<b>PHA Name:</b> Ozark Housing Authority				
<b>Grant Type and Number</b> Capital Fund Program Grant No: 501-09 Replacement Housing Factor Grant No: Date of CFFP:				
<b>FFY of Grant:</b> 2009 <b>FFY of Grant Approval:</b>				
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 0 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Signature of Executive Director		Date		Signature of Public Housing Director
				Date

*David A. Madala*  
 12.29.08

NO. 8624 P. 4  
 OZARK HOUSING AUTH.  
 DEC. 29. 2008 3:41PM

Part II: Supporting Pages								
PHA Name: Ozark Housing Authority		Grant Type and Number Capital Fund Program Grant No: 501-09 Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>1406 Operations</b>	<b>1406</b>						
AL073000333	Preschool Operations			70,000				
	<b>1408 Management Improvements</b>	<b>1408</b>						
AL073000111	Police Salaries		LS	27,477				
AL073000111	Energy Contract		LS	2,408				
AL073000111	Utility Study		LS	2,580				
AL073000111	Software maintenance		LS	1,500				
AL073000222	Police Salaries		LS	22,365				
AL073000222	Energy Contract		LS	1,960				
AL073000222	Utility Study		LS	2,100				
AL073000222	Software maintenance		LS	1,500				
AL073000333	Police Contract		LS	14,058				
AL073000333	Energy Contract		LS	1,232				
AL073000333	Utility Study		LS	1,320				
AL073000333	Software maintenance		LS	1,500				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

*David A. Walker*  
 12.29.08

NO. 8624 P. 5  
 OZARK HOUSING AUTH.  
 DEC. 29. 2008 3:41PM

Part II: Supporting Pages								
PHA Name:		Grant Type and Number				Federal FFY of Grant:		
Ozark Housing Authority		Capital Fund Program Grant No: 501-09		CFPP (Yes/No):		2009		
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>1410 Administration</b>	<b>1410</b>						
COCC	10% Administrative Fee		LS	69,389				
AL073000222	Clerk of the Works Salary		LS	14,000				
AL073000222	Clerk of the Works Benefits		LS	4,000				
	<b>1411 Audit</b>	<b>1411</b>						
AL073000111	Audit		LS	430				
AL073000222	Audit		LS	350				
AL073000333	Audit		LS	220				
	<b>1430 Fees and Costs</b>	<b>1430</b>						
AL073000222	A&E Fees and reimbursable costs		LS	7,787				
	<b>1450 Site Improvement</b>	<b>1450</b>						
AL073000111	Landscaping		LS	1,720				
AL073000222	Landscaping		LS	1,400				
AL073000333	Landscaping		LS	880				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>2</sup> To be completed for the Performance and Evaluation Report.

*David A. Mobley*  
 12.29.08

NO. 8624 P. 6

OZARK HOUSING AUTH.

DEC. 29. 2008 3:42PM

Part II: Supporting Pages								
FHA Name:		Grant Type and Number					Federal FFY of Grant:	
Ozark Housing Authority		Capital Fund Program Grant No:		501-09	CFFP (Yes/No):		2009	
Replacement Housing Factor Grant No:								
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
	<b>1460 Dwelling Structures</b>	<b>1460</b>						
AL073000222	Unit Modernization			231,455				
	<b>1465 Dwelling Equipment</b>	<b>1465</b>						
AL073000111	Stoves and Refrigerators		5 of each	2,500				
AL073000222	Stoves and Refrigerators		8 of each	4,000				
AL073000333	Stoves and Refrigerators		5 of each	2,500				
	<b>1475 Non-dwelling Equipment</b>	<b>1475</b>						
AL073000111	Computer hardware		1	3,000				
AL073000222	Computer hardware		1	3,000				
AL073000333	Computer hardware		1	3,000				
	<b>1495.1 Relocation</b>	<b>1495.1</b>						
AL073000222	Relocation		LS	2,000				
	<b>1501 Debt Service</b>	<b>1501</b>						
AL073000111	Debt Service		LS	192,259				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

*Daniel D. Mullen*  
 12.29.08



**Capital Fund Program—Five-Year Action Plan – Revised April 2009**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>						
Ozark Housing Authority – AL073		Ozark, Alabama			<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: <u>1</u>	
A.	Development Number and Name	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
B.	Physical Improvements Subtotal	Annual Statement	433,165	392,851	256,875.25	400,001.00
C.	Management Improvements		25,087	75,186	80,000.00	80,000.00
D.	PHA-Wide Non-dwelling Structures and Equipment					10,500.00
E.	Administration		25,370	20,000	87,389.00	87,389.00
F.	Other		19,340	16,660	10,787.00	46,000.00
G.	Operations				70,000.00	70,000.00
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service		190,928	189,193	188,838.75	0
K.	Total CFP Funds		\$693,890.00	\$693,890.00	\$693,890.00	\$693,890.00
L.	Total Non-CFP Funds					
M.	Grand Total		\$693,890.00	\$693,890.00	\$693,890.00	\$693,890.00

<b>Part I: Summary (Continuation)</b>						
Ozark Housing Authority – AL073		Ozark, Alabama			<input type="checkbox"/> Original 5-Year Plan	<input checked="" type="checkbox"/> Revision No: <u>1</u>
A.	Development Number and Name	Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010	Work Statement for Year 3 FFY 2011	Work Statement for Year 4 FFY 2012	Work Statement for Year 5 FFY 2013
	<b>COCC</b>	Annual Statement	<b>25,370</b>	<b>20,000</b>	<b>69,389</b>	<b>69,389</b>
	<b>AMP #1</b>		<b>654,220</b>	<b>241,523</b>	<b>344,268.51</b>	<b>235,916</b>
	<b>AMP #2</b>		<b>8,780</b>	<b>255,439</b>	<b>128,756.78</b>	<b>194,221</b>
	<b>AMP #3</b>		<b>5,520</b>	<b>176,928</b>	<b>151,475.71</b>	<b>194,364</b>
	<b>Total</b>		<b>\$693,890</b>	<b>\$693,890</b>	<b>\$693,890</b>	<b>\$693,890</b>





<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY 2009	Work Statement for Year 2 FFY 2010		Work Statement for Year: 3 FFY 2011	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	<b>COCC</b>		<b>COCC</b>	
Annual Statement	CFP Administration by COCC	25,370	CFP Administration by COCC	20,000
	<b>Subtotal</b>	<b>25,370</b>	<b>Subtotal</b>	<b>20,000</b>
	<b>AMP #1</b>		<b>AMP #1</b>	
	Management Improvements -Police Salaries	10,787	Management Improvements -Police Salaries	32,330
	Fees and Costs –A&E Fees and reimbursable costs	19,340	Debt Service	189,193
	Debt Service	190,928	<b>Subtotal</b>	<b>221,523</b>
	<b>Subtotal</b>	<b>221,055</b>		
	<b>AMP #2</b>		<b>AMP #2</b>	
	Management Improvements -Police Salaries	8,780	Management Improvements -Police Salaries	26,315
	<b>Subtotal</b>	<b>8,780</b>	Fees and Costs –A&E Fees and reimbursable costs	9,800
			<b>Subtotal</b>	<b>36,115</b>
	<b>AMP #3</b>		<b>AMP #3</b>	
	Management Improvements -Police Salaries	5,520	Management Improvements -Police Salaries	16,541
	<b>Subtotal</b>	<b>5,520</b>	Fees and Costs –A&E Fees and reimbursable costs	6,860
			<b>Subtotal</b>	<b>23,401</b>
	Subtotal of Estimated Cost	<b>\$260,724</b>	Subtotal of Estimated Cost	<b>\$301,039</b>

<b>Part III: Supporting Pages – Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY 2009	Work Statement for Year 4 FFY 2012		Work Statement for Year: 5 FFY 2013	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	<b>COCC</b>		<b>COCC</b>	
Annual Statement	Management Fee (10%)	69,389	Management Fee (10%)	69,389
		<b>Subtotal \$69,389</b>		<b>Subtotal \$69,389</b>
	<b>AMP #1</b>		<b>AMP #1</b>	
	Management Improvements – Police Salaries	27,477	Management Improvements – Police Salaries	27,477
	Management Improvements – Energy Contract	2,408	Management Improvements – Energy Contract	2,408
	Management Improvements – Utility Study	2,580	Management Improvements – Utility Study	2,580
	Management Improvements – Software maintenance	1,500	Management Improvements – Software maintenance	1,500
	Administration – Clerk of the Works salary	6,020	Administration – Clerk of the Works salary	6,020
	Administration – Clerk of the Works Benefits	1,720	Administration – Clerk of the Works Benefits	1,720
	Audit	430	Audit	430
	Fees and Costs – A&E Fees and reimbursable costs	3,348.41	Fees and Costs – A&E Fees and reimbursable costs	18,490
			Non-dwelling Equipment – Computer Hardware	3,500
	Relocation	860	Relocation	860
	Debt Service	188,838.75	Debt Service	0
		<b>Subtotal \$235,182.16</b>		<b>Subtotal \$64,985</b>
	<b>AMP #2</b>		<b>AMP #2</b>	
	Management Improvements – Police Salaries	22,365	Management Improvements – Police Salaries	22,365
	Management Improvements – Energy Contract	1,960	Management Improvements – Energy Contract	1,960
	Management Improvements – Utility Study	2,100	Management Improvements – Utility Study	2,100
	Management Improvements – Software maintenance	1,500	Management Improvements – Software maintenance	1,500
	Administration – Clerk of the Works salary	4,900	Administration – Clerk of the Works salary	4,900
	Administration – Clerk of the Works Benefits	1,400	Administration – Clerk of the Works Benefits	1,400
	Audit	350	Audit	350



**Attachment F**  
**Resident Advisory Board Comments**

Meeting Minutes - December 2, 2008

The Resident Advisory Board met individually with Ms. Rogers, Property Manager, West Site Property – Ozark Housing Community on Monday December 1, 2008 and Tuesday, December 2, 2008. Each member had a schedule conflict or family illness. Ms. Susan Crittenden met with Ms. Rogers on Monday, December 1, 2008 and Ms. Cassandra Ware met with Ms. Rogers on Tuesday, December 2, 2008. Ms. Betty Bivins was unable to meet with Ms. Rogers. Ms. Rogers explained to each member the purpose of the annual plan and the progress of the modernization on Martin Street as of date. The Annual Plan was available for review by each Resident Advisory Board Member. The individual meetings ended with Ms. Rogers offering her assistance if anyone had any questions in the future.

**Attachment G**  
**Challenged Elements**

There were no challenged elements of the plan.

7.9

<b>Part I: Summary</b>		<b>PHA Name:</b> Ozark Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: AL09 S073 50109		<b>Replacement Housing Factor Grant No:</b> 0		<b>FFY of Grant:</b> 2009	
		<b>Date of CFFP:</b> No						<b>FFY of Grant Approval:</b> 2009	
<b>Type of Grant</b>		<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )			
		<input type="checkbox"/> Performance and Evaluation Report for Period Ending:				<input type="checkbox"/> Final Performance and Evaluation Report			
<b>Summary by Development Account</b>		<b>Total Estimated Cost</b>		<b>Revised<sup>1</sup></b>		<b>Obligated</b>		<b>Total Actual Cost<sup>1</sup></b>	
<b>Line</b>	<b>Description</b>	<b>Original</b>	<b>Revised<sup>1</sup></b>	<b>Obligated</b>	<b>Expend</b>				
1	Total non-CFF Funds								
2	1406 Operations (may not exceed 20% of line 21) <sup>2</sup>								
3	1408 Management Improvements								
4	1410 Administration (may not exceed 10% of line 21)	43,370.00							
5	1411 Audit								
6	1415 Liquidated Damages	36,000.00							
7	1430 Fees and Costs								
8	1440 Site Acquisition								
9	1450 Site Improvement								
10	1460 Dwelling Structures	826,016.00							
11	1465.1 Dwelling Equipment - Nonexpendable								
12	1470 Non-dwelling Structures								
13	1475 Non-dwelling Equipment								
14	1485 Demolition								
15	1492 Moving to Work Demonstration								
16	1495.1 Relocation Costs								
17	1499 Development Activities <sup>3</sup>								
18a	1501 Collateralization or Debt Service paid by the PHA								
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment								
19	1502 Contingency (may not exceed 8% of line 20)								
20	Amount of Annual Grant: (sum of line 2 - 19)	907,386.00		0.00	0.00				
21	Amount of line 20 Related to LBP Activities								
22	Amount of line 20 Related to Section 504 Activities								
23	Amount of line 20 Related to Security - Soft Costs								
24	Amount of line 20 Related to Security - Hard Costs								
25	Amount of line 20 Related to Energy Conservation Measures								

*David S. 20.09*

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAS with under 250 Units in management may use 100% of CFFP Grants for operations.  
+ RHP: funds shall be included here.

MAY.20.2009 10:40AM  
OZARK HOUSING AUTH  
TO: DECATUR  
NO. 9887 P. 1

<b>Part I: Summary</b>	
PHA Name: Ozark Housing Authority	Grant Type and Number Capital Fund Program Grant No: AL09 S073 50109 Date of CFFP: No
FFY of Grant: 2009	Replacement Housing Factor Grant No: 0
FFY of Grant Approval: 2009	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report	
Line Summary by Development Account	Total Estimated Cost
Signature of Executive Director <i>David D. McCallister</i>	Revised <sup>2</sup>
Date 5.20.09	Obligated
	Expended
	Total Actual Cost <sup>1</sup>

*David D. McCallister*  
5.20.09

Part II: Supporting Pages

PHIA Name: Ozark Housing Authority  
Grant Type and Number: AL09 S073 50109  
Capital Fund Program Grant No: 0  
Replacement Housing Factor Grant No: 0  
CFPP (Yes/No): No  
Federal FFY of Grant: 2009

Development Number/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
COCC	1410 Administration CFP Administration by COCC	1410	LS	45,370				
AL073000111	1430 Fees and Costs A&E Fees and reimbursable costs	1430	LS					
AL073000222	A&E Fees and reimbursable costs		LS					
AL073000333	A&E Fees and reimbursable costs		LS					
	Subtotal 1430			36,000				
	1460 Dwelling Structures	1460						
AL073000111	Initial installation of central air conditioning		79 units	493,165				
AL073000222	Initial installation of central air conditioning		40 units	219,324				
AL073000333	Initial installation of central air conditioning		28 units	153,527				
AL073000111	Windows & Security Screens		approx. 23 sets	20,000				
	Subtotal 1460			826,016				
	Total Grant			907,386				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

*David S. 20.09*



