

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2008 - 2009

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Housing Authority of the County of Salt Lake

**PHA Number:** UT003

**PHA Fiscal Year Beginning: (mm/yyyy)** 07/2008

**PHA Programs Administered:**

**Public Housing and Section 8**   
  **Section 8 Only**   
  **Public Housing Only**  
 Number of public housing units:                  Number of S8 units:                  Number of public housing units:  
 Number of S8 units:

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 20 - 20**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The mission of the Housing Authority of the County of Salt Lake is to provide and develop quality affordable housing opportunities for individuals and families while promoting self-sufficiency, empowerment and neighborhood revitalization.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)

Work with Salt Lake County and the State of Utah to implement the 10 year plans to end chronic homelessness.

- PHA Goal: Improve the quality of assisted housing  
Objectives:

- Improve public housing management: (PHAS score) 94
- Improve voucher management: (SEMAP score) 100
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

Evaluate public housing properties for financial stability and usage under asset-based management. Develop plan to dispose or demolish obsolete public housing units.

- PHA Goal: Increase assisted housing choices
- Objectives:
  - Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers: Explore the feasibility of converting Public Housing to Section 8 Vouchers.
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment
- Objectives:
  - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

Continue to provide supportive services as needed and available.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

- Evaluate implementing Section 8 Home Ownership program by training staff, forming partnerships and exploring funding options
- Design a comprehensive substance abuse prevention program
  - Provide after-school to 200 youth ages 5-12 years old
  - Provide Teen Program to 100 teens each year
  - Implement a parenting program for mothers with children 0-3 years old.
- Evaluate and assess Family Self-Sufficiency program to increase services and client participant.
- Continue to partner with Community Action Program and The Road Home to provide homeless prevention services to 60 at-risk households with SL County SSBG funds.
- Work with community partners to provide supportive services for Grace Mary Manor, 84 units for chronically homeless individuals located in South Salt Lake City.
- Apply for grants and other funding as applicable. Actively develop partnerships that increase services for our residents.

### **HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Continue to implement plan to respond to 504 Fair Housing Audit as funding allows.

Continue to designate 25 Mainstream Vouchers for People with Disabilities.

**Other PHA Goals and Objectives: (list below)**

**See attached document labeled goals.**

**Annual PHA Plan**  
**PHA Fiscal Year 20**  
[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Our Agency Plan sets goals and objectives that work towards fulfilling our mission and improving the lives of the people we serve. This comprehensive approach is consistent with and supports:

- Department of Housing and Urban Development’s (HUD) strategic plan and initiative to end chronic homelessness,
- Salt Lake County’s Consolidated Plan and plan to end chronic homelessness
- State of Utah’s plans to end chronic homelessness.

**Agency Overview**

The Salt Lake County Commissioners formed the Housing Authority of the County of Salt Lake (HACSL) in 1970. We are a tax-exempt, non-profit, municipal corporation, governed by a seven-member Board nominated by the Salt Lake County Mayor and confirmed by the County Commission. HACSL is not a Salt Lake County agency and receives no funding from local taxation. Board members serve up to five-year terms. Our funding comes from rents we collect and subsidies from the federal government.

HACSL’s seven member Board of Commissioners represents various sectors and areas of expertise in our community:

<b>Clarence “Bud” Bailey, Chair-</b>	retired Contractor
<b>Kerry Steadman, Vice Chair-</b>	Salt Lake County
<b>Jeff Bennion -</b>	financial professional
<b>Daniel Hacking-</b>	HACSL housing program participant
<b>Phyllis Caruth</b>	retired EEOC Manager
<b>Sandra Hatch-</b>	architect
<b>Sandra Kikuchi-</b>	social work and community advocate

The Housing Authority’s annual budget exceeds \$21 million. The Housing Authority staff includes 76 employees, with average job longevity of seven years.

Our mission is to provide and develop quality affordable housing opportunities for individuals and families while promoting self-sufficiency, empowerment and neighborhood revitalization. The Housing Authority values:

- **Housing as a basic human right.** We strive to provide decent, safe, sanitary and affordable housing through education, advocacy, new construction, preservation, rehabilitation, acquisition and innovative housing opportunities for low-income and special needs populations. We believe that everyone deserves to have a place to live and with that place comes basic responsibilities of paying rent, maintaining the property and not disturbing the neighbors' right to peaceful enjoyment of their environment. We believe in helping individuals maintain their housing assistance.
- **Respect for all individuals.** We believe that all individuals can and want to contribute to their fullest potential. We value differences. We inspire and enable people to achieve high expectations, standards, and challenging goals. We serve individuals with respect, fairness, consistency, and promote independence and self-sufficiency based on an individual's ability, and by fostering meaningful participation, involvement, and responsibility while maintaining confidentiality.
- **Employees.** We encourage a positive environment and consistently implement policies, procedures, and practices that promote and encourage employee well being, productivity, and empowerment while offering fair compensation.
- **Personal mastery.** We believe it is the responsibility of all employees to seek to continually develop the skills and abilities necessary for satisfactory job performance and personal and professional advancement. We expect and encourage technical mastery and excellence in the performance of job tasks and in fulfillment of our goals and objectives. We are honest with employees about their job performance.
- **Excellence.** We strive to be the best in all areas of strategic importance to our mission, goals, and objectives. We promote openness and communication, sharing of ideas, and taking calculated risks. We benchmark performance rigorously against internal and external objectives. We learn from both our successes and failures. We expect employee performance consistent with their job descriptions, and in compliance with applicable laws, guidelines, regulations, goals, and objectives. We standardize and streamline employee tasks whenever possible to achieve stated goals and objectives.
- **Collaboration.** We work together with confidence and trust across functions. We take pride in sharing our best practices and learning from our failures and challenges. We build superior relationships with all parties, including our tenants, co-workers, suppliers, and internal and external partners. We actively involve other organizations in improving the quality of life for members of our community through shared objectives and reciprocal values.

- **Accountability.** We believe in operating in a fiscally responsible manner and promoting the efficient use of resources. We strive to provide energy efficient and accessible housing.
- **Creative Problem-Solving.** We promote openness and communication, share ideas, take calculated risks, are accountable, and learn from our mistakes.

HACSL is an award-winning agency with numerous recognitions from the National Association of Housing and Redevelopment Officials (NAHRO), the Department of Housing and Urban Development, and others. Since the inception in 1990 of the performance measurements by HUD’s Public Housing Assessment System (PHAS), HACSL has received High Performer status.

HACSL is a full service housing authority that assists individuals, families, elderly, physically and mentally disabled residents who are low income. HACSL has constructed or acquired 626 public housing units serving over 1,300 individuals and families in Salt Lake County. HACSL also provides housing assistance to over 2100 households through the Section 8 Housing Choice Voucher program. In addition, we have 402 units that serve low-income and special needs populations.

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

**Required Attachments:**

- Admissions Policy for Deconcentration
- FY 2005 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members
- List of Resident Board Member
- Community Service Description of Implementation
- Information on Pet Policy
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable

**Optional Attachments:**

- PHA Management Organizational Chart
- FY 2005 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require	5 Year and Annual Plans

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	the PHA's involvement.	
	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	33426						
Income >30% but <=50% of AMI	31916						
Income >50% but <80% of AMI	36916						
Elderly	24227						
Families with Disabilities	43682						
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)  
Salt Lake County's 10-Year Plan to End Chronic Homelessness 2006

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	3,374		
Extremely low income <=30% AMI	2,642		
Very low income (>30% but <=50% AMI)	601		
Low income (>50% but <80% AMI)	126		
Families with children	1899		
Elderly families	221		
Families with Disabilities	118		
Race/ethnicity			
Black	281		
Native Hawaiian/Pacific Is	63		
Native American	125		
White	2763		
Asian	67		
Hispanic	577		
Characteristics by Bedroom Size (Public Housing			

Housing Needs of Families on the Waiting List			
Only)			
1BR	1241		
2 BR	754		
3 BR	167		
4 BR	21		
5 BR	20		
5+ BR	6		
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Build or acquire mixed development housing that serves low-income and market rate tenants.

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Offer Family Self-Sufficiency and other supportive services as available.

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Apply for 202 funding as circumstances permit.

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Offer Project-Based Vouchers to agencies providing supportive housing to chronically homeless individuals or families.

Continue to designate 25 vouchers for individuals and families with disabilities.

Apply for 811 funding as circumstances permit.

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

HUD’s inadequate funding for Public Housing and Section 8.

**2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2009 grants)</b>		
a) Public Housing Operating Fund	1556000	
b) Public Housing Capital Fund	899000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
e) Annual Contributions for Section 8 Tenant-Based Assistance	14929000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	58000	
h) Community Development Block Grant	4000	
i) HOME	1252000	
Other Federal Grants (list below)	785000	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
HOPWA	39000	
<b>3. Public Housing Dwelling Rental Income</b>	1160000	
<b>4. Other income (list below)</b>		
Management Income	1471000	
<b>4. Non-federal sources (list below)</b>		
Other Dwelling Rental Income	292000	
Section 8 Fraud Recovery	103000	
Interest Income	215000	
<b>Total resources</b>	22763000	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

HACSL verifies eligibility for admission to public housing when families are at the top of the waiting list by bedroom size.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

Sex offender list and Utah State NAHRO web-page for money owed to other Utah housing authorities.

- c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists

- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

Applicants can down load application from HACSL web-page.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection

**(5) Occupancy)**

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

#### Date and Time

#### Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

#### Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

#### 4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

### **(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments  
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

Sex offender list and Utah State NAHRO web-page for money owed to other Utah housing authorities.

- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)

Names of previous landlords for references.

**(2) Waiting List Organization**

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

HACSL's site -based waiting lists for project-based vouchers, FUP, Mainstream Vouchers for People with Disabilities and VASH.

- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

Applicants can down load application from HACSL web-page.  
Applicants can have applications mailed to them and then return the application by mail.

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Client needs to make a written request explaining why they were not able to find housing within the 60 day period.

Documented medical condition.

Reasonable accommodation for individuals with a documented disability.

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent

**(5) Special purpose section 8 assistance programs**

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Victims of domestic violence  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability  
 Veterans and veterans’ families  
 Residents who live and/or work in your jurisdiction  
 Those enrolled currently in educational, training, or upward mobility programs  
 Households that contribute to meeting income goals (broad range of incomes)  
 Households that contribute to meeting income requirements (targeting)  
 Those previously enrolled in educational, training, or upward mobility programs  
 Victims of reprisals or hate crimes  
 Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application  
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD  
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

HACSL notifies community partners of vacancies in special-purpose programs.

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Local market reports.

**B. Section 8 Tenant-Based Assistance**

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

**(1) Payment Standards**

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

HACSL sets the payment standards for each bedroom on an analysis of the number of clients who were paying more than 30% of their income towards rent.

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

HACSL may choose to review payment standards as needed to assure families are able to find housing.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

Payment standards are also set by financial review and analysis.

## **(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	619	168
Section 8 Vouchers	2135	348
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)	VASH 50 FUP 100 Mainstream 25 Project-Based 158	7 20 4 25
Public Housing Drug Elimination Program (PHDEP)	N/A	
Other Federal Programs(list individually)	HOPWA 6 TBRA 40 HARP 80 SPC 135 SPC CH 25	1 20 40 22 7

### **C. Management and Maintenance Policies**

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

See Public Housing Admissions and Continued Occupancy and Management Plan at [www.hacsl.org](http://www.hacsl.org)

(2) Section 8 Management: (list below)

See Section 8 Administrative Plan at [www.hacsl.org](http://www.hacsl.org)

## **6. PHA Grievance Procedures**

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### **A. Public Housing**

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- PHA main administrative office
  - PHA development management offices
  - Other (list below)

### **B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
  - Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

**(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment: **CFP2008**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment **5yrplan2008**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved
  - Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing

Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing

Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

### 2. Program Description:

#### a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

#### b. PHA-established eligibility criteria

- Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### A. PHA Coordination with the Welfare (TANF) Agency

#### 1. Cooperative agreements:

- Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 24/04/1999

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

HACSL offers the Family Self-Sufficiency program and provides financial counseling and home ownership education.

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self

Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Financial Counseling / Home Ownership Education</i>	150	<i>Offered inconjunctitn with FSS</i>	<i>PHA Main Office Utah State University and volunteers from community banks</i>	<i>Both</i>

**(2) Family Self Sufficiency program/s**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: 03/31/08)
Public Housing	50	51
Section 8	100	99

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:

### C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

<b>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</b>
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### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

Lake Park, Academy Park, Cyprus Park and Granger

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

All family sites

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services

Other activities (list below)

3. Which developments are most affected? (list below)

All sites

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

Yes  No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?

Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

**16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)

2.  Yes  No: Was the most recent fiscal audit submitted to HUD?

3.  Yes  No: Were there any findings as the result of that audit?

4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_

5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?

If not, when are they due (state below)?

**17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
  
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)
  
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
  
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
  - Attached at Attachment Res 757
  - Provided below:
  
3. In what manner did the PHA address those comments? (select all that apply)
  - Considered comments, but determined that no changes to the PHA Plan were necessary.
  - The PHA changed portions of the PHA Plan in response to comments  
List changes below:
  - Other: (list below)  
Resident Advisory Board accepted the plan as presented.

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

### 3. Description of Resident Election Process

#### a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

#### b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

#### c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **Salt Lake County**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

HACSL has undertaken the following activities:

- Implemented Homeless Assistance Rental Program to serve 80 homeless clients 50% or more have been recently jail involved. This is funded by Salt Lake County HOME and general funds.
- Provided HOME TBRA to 40 homeless individuals and families in conjunction with The Road Home.
- Implemented State Tenant Based Rental Assistance program to provide transitional housing to 29 homeless households each year.
- Implemented master leasing program with Salt Lake County Division of Criminal Justice Services to provide housing to 17 Mental Health Court clients.
- Implemented a pilot master leasing program to provide housing to 5-10 clients who have a mental illness and high jail usage.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

#### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Attachments**

Use this section to provide any additional attachments referenced in the Plans.

# PHA Plan Table Library

## Component 7 Capital Fund Program Annual Statement Parts I, II, and II

### Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number      FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

**Annual Statement**  
**Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

**Annual Statement**

**Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

## Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
<b>Total estimated cost over next 5 years</b>				



## Housing Authority County of Salt Lake Goals 2008-2009

### 5 Year Program Goal:

### Maximize a high performing HUD Subsidized Housing Program (Public Housing and Section 8)

#### One Year Goals

- Continue to implement Asset Based Management in Public housing
- Maintain High Performer status in Public Housing and Section 8
- Implement web based waiting list
- Evaluate energy efficiency of Public Housing Units
- Meet 504 Voluntary Agreement Goals

<b>Milestones to Achieve</b>	<b>Responsible Party</b>	<b>Activities</b>	<b>Outcome Measures</b>	<b>Completion Date</b>
Draft a plan and/or policy regarding how to improve asset-based management	Andre	<ul style="list-style-type: none"> <li>• HUD monitoring</li> </ul>	<ul style="list-style-type: none"> <li>• Implement changes</li> </ul>	June 2009
Develop monthly reports of key performance indicators and track performance for Public Housing and Section 8	Andre, Sherrie, Dennis, Floyd	<ul style="list-style-type: none"> <li>• Review indicators</li> <li>• Modify reports as needed</li> </ul>	<ul style="list-style-type: none"> <li>• Monthly reports</li> <li>• Identify &amp; address areas of concern</li> </ul>	December 2009
Monitor utility consumption	Christi Managers	<ul style="list-style-type: none"> <li>• Monitor monthly</li> <li>• Establish baseline</li> <li>• Identify areas of high usage</li> </ul>	<ul style="list-style-type: none"> <li>• Monthly reports</li> <li>• Plan to improve performance</li> </ul>	June 2009
504 Goals <ul style="list-style-type: none"> <li>• Install braille buttons and audible signals in main office elevator</li> <li>• Modernize VFV and complete changes to common areas</li> <li>• Make required modifications to HR accessible units as they become vacant</li> </ul>	Dennis Lowell Calvin Allen Dave Betty Janice	<ul style="list-style-type: none"> <li>• Review goals at Housing Team Meetings quarterly</li> <li>• Track reasonable accommodation requests</li> </ul>	<ul style="list-style-type: none"> <li>• Submit progress report to HUD</li> </ul>	December 2008

## Housing Authority County of Salt Lake Goals 2008-2009

**5 Year Program Goal:  
Increase affordable housing units by 500 units (Privately Funded Rentals)**

***One Year Goals***

- Acquire additional 60 units
- Continue to diversify housing portfolio
- Increase internal development capacity

<b>Milestones / Objectives</b>	<b>Responsible Party</b>	<b>Activities</b>	<b>Outcome Measures</b>	<b>Completion Date</b>
Secure funding and start construction of units	Kerry, Andre	Submit applications Begin construction Bing on Property Manager and Service Coordinator	60 units of affordable housing	June 2009
Develop a financial reserve plan and outline process for budgeting and planning for expense	Andre Lowell Dennis Sherrie	Capital needs assessment Develop & implement new saving for reserves	Adequate resources for future expense	November 2008 April 2009
Explore property acquisition through bonding & other funding options	Kerry	Consult with Dave Miner Explore properties that can serve a variety of populations at a variety of incomes	Mixed income portfolio	June 2009
Outline training and staffing needs	Andre Sherrie Dennis Janice	Identify staffing needs Train staff on tax credits, property management, grants and development	Increase staff competencies	June 2009
Secure private, state and local government funding.	Kerry	Develop public awareness plan Educate local leaders	Increased funding	June 2009

## Housing Authority County of Salt Lake Goals 2008-2009

### 5 Year Program Goal:

#### Resident Services

**Provide supportive services that help our clients to maintain their housing assistance and assist them in gaining skills to increase self-sufficiency skills.**

#### One Year Goals

- Increase tenant skills and income
- Continue to develop in-house capacity to provide services.
- Help assure residents maintain their housing assistance

Milestones to Achieve	Responsible Party	Activities	Outcome Measures	Completion Date
Provide tracking/demographics reporting tool (for regular board and funders) which supports our effort to report on raise funds for resident services	Shirl Valerie	Identify reporting areas Develop tools & set timeframes for reports	Regular reports Better informed	June 2009
Research Costs associated with hiring a new Service Coordinator to work with seniors/disabled clients and prepare a recommendation	Sherrie Shirl	Research best practices & expenses Identify & secure funding	Make a determination for position	March 2009
Research leveraging opportunities with educational institutions; prepare an implementation plan	Kerry Shirl	Outline areas for possible partnerships Develop materials Set meeting to discuss possible partnerships	Implement plan	June 2009
Continue to implement and improve Homeless Prevention Program	Shirl	Provide training & orientation for staff Week case staffing Develop internal process as well as refine program outcome measures Find permanent funding	Tenants retain housing assistance Staff retention	June 2009

Milestones	Responsible Party	Activities	Outcome Measures	Completion Date
Family Self-Sufficiency Improve program compliance	Kim Shirl	Update Action Plan Revise policies & procedures Conduct peer file audits	Monthly reports Enrollment records Corrected files from audits Updated Action Plan Updated policies & procedures	June 2009
Financial Counseling	Kim Shirl	Provide financial counseling & home owner education to 150 families	Monthly reports Pre and post surveys Sign in sheets	December 2008
Parents as Teachers: Maintain 45 hours of home visits per month	Elissa Erin	<ul style="list-style-type: none"> <li>• Increase number of home visits a month per client.</li> <li>• Solicit referrals from FSS, S8, and PH case managers.</li> <li>• Actively recruit at all sites</li> </ul>	Increased resources and referrals for residents.  Increase parenting skills among participants.	June 2009
Tobacco Prevention: Review needs assessment and implement changes accordingly	Elissa Erin	<ul style="list-style-type: none"> <li>• Identify gaps in services</li> <li>• Review capacity to address gaps</li> </ul>	Better coordination of services for families.	June 2009
Leadership and Resiliency Program: Transition youth into leading peer groups.	Elissa LRP counselors	<ul style="list-style-type: none"> <li>• Allowing youth to implement program plans</li> <li>• Choose youth leaders from group to plan lessons</li> <li>• Use needs assessment to steer the direction of the peer groups</li> <li>• .</li> </ul>	Increased leadership skills among participating youth.  Increased attendance.	June 2009
Milestones	Responsible	Activities	Outcome Measures	Completion

	Party			Date
Kids Program: Review needs assessment and implement changes accordingly	Elissa Kids counselors	<ul style="list-style-type: none"> <li>• Identify gaps in services</li> <li>• Review capacity to address gaps</li> </ul>	Better coordination of services for families.	June 2009
Partnerships: Coordinate GED program to locations with most need.	Elissa	<ul style="list-style-type: none"> <li>• Use needs assessment to evaluate complexes with highest need.</li> <li>• Send flyers to all public housing sites to promote the program.</li> </ul>	Increased GED program completions	June 30, 2009
Coordinate with in-house programs to provide referrals to partner agencies.	Elissa Shirl	<ul style="list-style-type: none"> <li>• Determine schools youth attend for Cornerstone Counseling programs</li> <li>• Use PAT as referral source for Project Realities Mommy and Me</li> <li>• Use the Prevention Specialist Networking Meeting to coordinate services for residents.</li> </ul>	<p>Increased referrals and resources for residents.</p> <p>Increased services available to clients</p> <p>Improved relationship with partner agencies.</p>	June 30, 2009

## Housing Authority County of Salt Lake Goals 2008-2009

**5 Year Program Goal:**

**Employee Relations  
Employer of choice  
Establish a culture based on mission**

**One Year Goals**

- Recruit and retain high quality staff
- Promote a healthy and fun culture
- Increase employee performance

<b>Milestones to Achieve</b>	<b>Responsible Party</b>	<b>Activities</b>	<b>Outcome Measures</b>	<b>Completion Date</b>
Conduct employee survey and set goals for improvement	Andre	Post survey Contract with Jill for report Share with staff	% of staff completing survey Improved areas of performance	April 2009
Involve staff in mission and developing agency goals	Kerry, Janice	Staff meetings Annual plan Surveys	Employees understand & support mission Employee feedback is included in goals	Ongoing
Keep salaries and benefits current with market	Andre	Evaluate COLA	Adjust salaries accordingly	April 2009
Support Fun and Activities Committee	Starr	Provide funding for committee	Positive morale as indicated in survey	Ongoing
Provide honest and constructive feedback on staff performance	Management Team & Supervisors	Semi-Annual employee evaluations Immediate feedback on performance Provide training on employee evaluations	Survey results Consistent high quality performance	October 2008 April 2009

## Housing Authority County of Salt Lake Goals 2008-2009

**5 Year Program Goal:**

**Clients**

**Provide excellent services that are based on best practices**

**Meaningfully involve clients in the development and operations of services**

***One Year Goals***

- Set department goals to improve services to clients
- Review needs assessment and establish service priorities

<b>Milestones to Achieve</b>	Responsible Party	Activities	Outcome Measures	Completion Date
Eligibility <ul style="list-style-type: none"> <li>• Answer all calls within 24 hours</li> <li>• Stay on target with leasing programs</li> <li>• Stay current on data entry &amp; filing</li> </ul>	Janice, Karey			
Public Housing <ul style="list-style-type: none"> <li>• Implement &amp; improve file audit process</li> <li>• Improve process on collecting account receivable</li> <li>• Implement new inspection forms for move in &amp; outs</li> <li>• Update lease</li> </ul>	Sherrie, Lori	File audits Training on inspection forms Take lease to RAB for feedback	Monthly reports Corrected files from audits New lease	June 2009
Section 8 <ul style="list-style-type: none"> <li>• Improve rent reasonable process</li> <li>• Return all calls within 24 hours</li> <li>• Process news &amp; moves in a timely manner</li> <li>• Work with Floyd &amp; Accounting on holds process</li> </ul>	Janice	Outline new processes Draft procedures Train staff Monitor for compliance	Monthly reports	July 2008

Milestones to Achieve	Responsible Party	Activities	Outcome Measures	Completion Date
<p>TSS</p> <ul style="list-style-type: none"> <li>• Train AMP Managers in procurement</li> <li>• Abate all emergencies within 24 hours</li> <li>• Complete ordinary maintenance in 5 days</li> <li>• Complete vacancy prep so lease up occurs within 20 day</li> <li>• Complete unit modernization rehab in 5 weeks</li> </ul>	Dennis	<p>Identify staff &amp; conduct training Track work orders monthly Track vacancies monthly</p>		June 2009
<p>Homeless Housing</p> <ul style="list-style-type: none"> <li>• keep current with files and computer entry</li> <li>• evaluate processes and outcomes</li> <li>• formalize policies and procedures</li> <li>• fully expend all funds</li> <li>• apply for new funding</li> <li>• increase public support for programs</li> <li>• work with partners to create an overarching strategy for SLCO</li> </ul>	Janice Lu	<p>Annual report to County Mayor and Council Annual report to state Positive press Site visit for centralized intake</p>	<p>Fully leased up One intake process for homeless programs Submit new application for SPC</p>	June 2009
<p>Grace Mary Manor</p> <ul style="list-style-type: none"> <li>• Maintain occupancy</li> <li>• Stay within budget</li> <li>• Provide supportive services</li> </ul>	Sherrie Gloria Jeniece	<p>Staff meetings Tenant meetings Service provider meetings</p>	Monthly reports	June 2009

## Housing Authority County of Salt Lake Goals 2008-2009

**5 Year Program Goal:**

**Increase our financial stability and improve community relations**

**One Year Goals**

- Operate within realistic budget
- Assess the previous performance of the HACSL and HOI
- Increase fund raising capacity

<b>Milestones to Achieve</b>	Responsible Party	Activities	Outcome Measures	Completion Date
Evaluate reports and tracking	Managers	Review budgets & expenditures monthly Identify & address areas of concern Improve tracking processes as needed	Finished year within budget	June 2009
Develop fund raising goals for each program:	Valerie Program Managers & Coordinators	Research and explore using an annual fund drive Design and execute a fundraising special event Design and execute an item donation drive Research and develop new funding partners Evaluate stability of current funding partners Evaluate prior year program funds raised vs. current year program fund needs Analyze program budgets vs. program fundraising needs	Increased funding	April 2009
	Responsible	Activities	Outcome Measures	Completion

Milestones to Achieve	Party			Date
Develop and implement a public relations plan	Valerie	Develop media contact list through outreach and documentation Cultivate relationships with media through personalized outreach and follow-up Work with HACSL program staff to develop ideas for press releases Highlight following programs of least once during year through press releases: Grace Mary Manor, Youth Programs, Financial Counseling Programs, Transitional Housing Homeless Programs Write and distribute monthly press releases highlighting HACSL programs, participants, and achievements		June 2009

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

<b>PHA Name:</b> Housing Authority of the County of Salt Lake	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2008
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**Original Annual Statement**  **Reserve for Disasters/ Emergencies**  **Revised Annual Statement (revision no: 1 )**  
 **Performance and Evaluation Report for Period Ending:**  **Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	171,391.00			
3	1408 Management Improvements Soft Costs	30,000.00			
	Management Improvements Hard Costs				
4	1410 Administration	85,695.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	15,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	130,000.00			
10	1460 Dwelling Structures	349,873.00			
11	1465.1 Dwelling Equipment—Nonexpendable	25,000.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	50,000.00			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (sum of lines.....)	856,959.00			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

<b>PHA Name:</b> Housing Authority of the County of Salt Lake	<b>Grant Type and Number</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2008
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**Original Annual Statement**  **Reserve for Disasters/ Emergencies**  **Revised Annual Statement (revision no: 1 )**  
 **Performance and Evaluation Report for Period Ending:**  **Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority County of Salt Lake		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
PHA Wide	1. Operations		1406	Various	171,391.00				
	2. Maintenance staff required on-going professional development training		1408	Various	10,000.00				
PHA Wide	1. COMPUTER UPGRADES		1408	Various	20,000.00				
	3. Program Admin expenses		1410	Various	85,695.00				
	4. Associate A&E expenses related to MOD improvements		1430	Various	15,000.00				
Amp 3 & 4	5. New vehicles		1475	2	50,000.00				
Amp 5 Scattered Sites	1. Site Improvements		1450	Various	75,000.00				
UT 3-4 High Rise Amp 1	1. Dwelling Improvements Roof covering Door/window replacements Plumbing & bath upgrades Interior painting Floor covering Electrical upgrades Kitchen cabinets HVAC upgrades, etc.		1460	Various	50,000.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority County of Salt Lake		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
	Exterior siding							
UT3-4 High Rise Amp 1	1. Site Improvements		1450	Various	10,000.00			
UT3-3 Academy Park Amp 4	1. Replace sidewalks and French drain		1450	Various	25,000.00			
UT3-3 Academy Park Amp 4	1. Dwelling Improvements Roof covering Door/window replacements Plumbing & bath upgrades Interior painting Floor covering Electrical upgrades Kitchen cabinets HVAC upgrades, etc. Exterior siding		1460	Various	204,873.00			
PHA WIDE 504	1. 504 Site Improvements 2. 504 Dwelling Improvements		1450 1460		20,000.00 20,000.00			
Amp 5 Scattered Sites Dwelling Improvements	1. Dwelling Improvements Roof covering Door/window replacements Plumbing & bath upgrades Interior painting Floor covering		1460	Various	70,000.00			

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Housing Authority County of Salt Lake		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Electrical upgrades Kitchen cabinets HVAC upgrades, etc. Exterior siding								
Amp 4 Ut3-3 Hunter Hollow	1.Paint and repair carports		1460	Various	5,000.00				
Amp 1, 2, 3 & 4	1. Appliances		1465.1	Various	25,000.00				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

PHA Name: Housing Authority of the County of Salt Lake		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No:				Federal FY of Grant: 2008	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA WIDE	9/17/10			9/14/14			
UT 3-4	9/17/10			9/14/14			
UT 3-3	9/17/10			9/14/14			
UT 3-31	9/17/10			9/14/14			
UT 3-22	9/17/10			9/14/14			
UT 3-10	9/17/10			9/14/14			
UT 3-26	9/17/10			9/14/14			
UT 3-27	9/17/10			9/14/14			
UT 3-29	9/17/10			9/14/14			
UT 3-12	9/17/10			9/14/14			
	9/17/10			9/14/14			
UT 3-2	9/17/10			9/14/14			
UT 3-6/9	9/17/10			9/14/14			
UT 3-31	9/17/10			9/14/14			
UT 3-11	9/17/10			9/14/14			
UT 3-16	9/17/10			9/14/14			
UT 3-17	9/17/10			9/14/14			
UT 3-8	9/17/10			9/14/14			



# Capital Fund Program Five-Year Action Plan

## Part I: Summary

PHA Name: Housing Authority of the County of Salt Lake.		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
	Annual Statement				
<i>UT 3-6 Union Amp 3</i>		<i>15,000.00</i>	<i>15,000.00</i>	<i>15,000.00</i>	<i>15,000.00</i>
<i>UT 3-2 South main Amp 3</i>		<i>29,959.00</i>	<i>29,959.00</i>	<i>29,959.00</i>	<i>29,959.00</i>
<i>UT 3-11 Sunset Amp 3</i>		<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>
<i>UT 3-16 Kearns Amp 4</i>		<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>
<i>UT 3-3 Hunter Amp 4</i>		<i>150,000.00</i>	<i>150,000.00</i>	<i>150,000.00</i>	<i>150,000.00</i>
<i>UT 3-8 Granger Amp 4</i>		<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>	<i>25,000.00</i>
<i>UT 3-3 Acad. Park Amp 4.</i>					
<i>Amp 5 Scattered sites.</i>		<i>100,000.00</i>	<i>100,000.00</i>	<i>100,000.00</i>	<i>100,000.00</i>
<i>UT 3-4 High Rise Amp 1</i>		<i>50,000.00</i>	<i>50,000.00</i>	<i>50,000.00</i>	<i>50,000.00</i>
<i>UT 3-12 VFV Amp 2</i>		<i>50,000.00</i>	<i>50,000.00</i>	<i>50,000.00</i>	<i>50,000.00</i>
<i>UT 3-5 Westlake Amp 4</i>		<i>10,000.00</i>	<i>10,000.00</i>	<i>10,000.00</i>	<i>10,000.00</i>
<i>UT 3-17 ERIN MEADOWS Amp 3</i>					
<i>PHA WIDE</i>		<i>377,000.00</i>	<i>377,000.00</i>	<i>377,000.00</i>	<i>377,000.00</i>
CFP Funds Listed for 5-year planning		856,959.00	856,959.00	856,959.00	856,959.00

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2009 PHA FY: 2009			Activities for Year: <u>3</u> FFY Grant: 2010 PHA FY: 2010		
	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
See	<i>UT 3-6 Union Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>15,000.00</i>	<i>UT 3-6 Union Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>15,000.00</i>
Annual		<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
Statement	<i>UT 3-2 Harmony Park Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>29,9590.00</i>	<i>UT 3-2 Harmony Park Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>29,959.00</i>
		<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	<i>UT 3-11 Sunset Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>25,000.00</i>	<i>UT 3-11 Sunset Amp 3</i>	<i>1. Site and dwelling Improvements</i>	<i>25,000.00</i>

		<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	<i>PHA WIDE</i>	<i>1.Operations 2.Management 3. Administration</i>	<i>377,000.00</i>	<i>PHA WIDE</i>	<i>1.Operations 2.Management 3. Administration</i>	<i>377,000.00</i>
	<i>UT 3-16 Mountain View Apts Amp 4</i>	<i>1. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters, paint, faucets, kitchens, and bathrooms.</i>	<i>25,000.00</i>	<i>UT 3-16 Mountain View Apts Amp 4</i>	<i>1. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters, paint, faucets, kitchens, and bathrooms.</i>	<i>25,000.00</i>
	<i>UT 3-3 Hunter Hollow Amp 4</i>	<i>1. Site and dwelling Improvements</i>	<i>150,000.00</i>	<i>UT 3-3 Hunter Hollow Amp 4</i>	<i>1. Site and dwelling Improvements</i>	<i>150,000.00</i>
		<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms..</i>	

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2009 PHA FY: 2009			Activities for Year: <u>3</u> FFY Grant: 2010 PHA FY: 2010		
	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
See	UT 3-8 Granger Amp 4	1. <i>Site and dwelling Improvements</i>	25,000.00	UT 3-8 Granger Amp 4	1. <i>Site and dwelling Improvements</i>	25,000.00
Annual		2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
Statement	UT 3-3 Academy Park Amp 4	1. <i>Site and dwelling Improvements</i>	0	UT 3-3 Academy Park Amp 4	1. <i>Site and dwelling Improvements</i>	0
		2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	Scattered Sites Amp 5	1. <i>Site and dwelling Improvements</i>	100,000.00	Scattered Sites Amp 5	1. <i>Site and dwelling Improvements</i>	100,000.00

		2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	UT 3-4 High Rise Amp 1	1. Site and dwelling Improvements	50,000.00	UT 3-4 High Rise Amp 1	1. Site and dwelling Improvements	50,000.00
		2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	UT 3-12 Valley Fair Village Amp 2	1. Site and dwelling Improvements	50,000.00	UT 3-12 Valley Fair Village Amp 2	1. Site and dwelling Improvements	50,000.00
		2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
	UT 3-5 Westlake Amp 4	1. Site and dwelling Improvements	10,000.00	UT 3-5 Westlake Amp 4	1. Site and dwelling Improvements	10,000.00

		2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.			2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.	
	UT 3-17 Erin Meadows Amp 3	1. Site and dwelling Improvements	0	UT 3-17 Erin Meadows Amp 3	1. Site and dwelling Improvements	0
<b>Total CFP Estimated Cost</b>			\$856,959.00			\$856,959.00

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year :_4___ FFY Grant: 2011 PHA FY: 2011			Activities for Year: __5_ FFY Grant: 2012 PHA FY: 2012		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
UT 3-6 Union Amp 3	1. Site and dwelling Improvements	15,000.00	UT 3-6 Union Amp 3	1. Site and dwelling Improvements	15,000.00
	2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.			2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.	
UT 3-2 Harmony Park Amp 3	1. Site and dwelling Improvements	29,9590.00	UT 3-2 Harmony Park Amp 3	1. Site and dwelling Improvements	UT 3-2 Harmony Park Amp 3

	<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-11 Sunset Amp 3	<i>1. Site and dwelling Improvements</i>	25,000.00	UT 3-11 Sunset Amp 3	<i>1. Site and dwelling Improvements</i>	UT 3-11 Sunset Amp 3
	<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
PHA WIDE	<i>1.Operations 2.Management 3. Administration</i>	377,000.00	PHA WIDE	<i>1.Operations 2.Management 3. Administration</i>	PHA WIDE
UT 3-16 Mountain View Apts Amp 4	<i>1. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters, paint, faucets, kitchens, and bathrooms.</i>	25,000.00	UT 3-16 Mountain View Apts Amp 4	<i>1. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters, paint, faucets, kitchens, and bathrooms.</i>	UT 3-16 Mountain View Apts Amp 4
UT 3-3 Hunter Hollow Amp 4	<i>1. Site and dwelling Improvements</i>	150,000.00	UT 3-3 Hunter Hollow Amp 4	<i>1. Site and dwelling Improvements</i>	UT 3-3 Hunter Hollow Amp 4

*2. Physical Improvements.  
Roof replacements,  
Windows, doors, siding,  
electrical upgrades,  
floor coverings, water  
heaters,HVAC, paint,  
faucets, kitchens, and  
bathrooms.*

*2. Physical Improvements.  
Roof replacements,  
Windows, doors, siding,  
electrical upgrades, floor  
coverings, water  
heaters,HVAC, paint,  
faucets, kitchens, and  
bathrooms..*

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages—Work Activities**

Activities for Year :_4__ FFY Grant: 2011 PHA FY: 2011			Activities for Year: __5_ FFY Grant: 2012 PHA FY: 2012		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
UT 3-8 Granger Amp 4	1. <i>Site and dwelling Improvements</i>	25,000.00	UT 3-8 Granger Amp 4	1. <i>Site and dwelling Improvements</i>	25,000.00
	2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-3 Academy Park Amp 4	1. <i>Site and dwelling Improvements</i>	0	UT 3-3 Academy Park Amp 4	1. <i>Site and dwelling Improvements</i>	0
	2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	

**Capital Fund Program Five-Year Action Plan  
Part II: Supporting Pages—Work Activities**

Activities for Year :_4___ FFY Grant: 2011 PHA FY: 2011			Activities for Year: __5_ FFY Grant: 2012 PHA FY: 2012		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
Scattered Sites Amp 5	1. <i>Site and dwelling Improvements</i>	100,000.00	Scattered Sites Amp 5	1. <i>Site and dwelling Improvements</i>	Scattered Sites Amp 5
	2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-4 High Rise Amp 1	1. <i>Site and dwelling Improvements</i>	50,000.00	UT 3-4 High Rise Amp 1	1. <i>Site and dwelling Improvements</i>	UT 3-4 High Rise Amp 1
	2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			2. <i>Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-12 Valley Fair Village Amp 2	1. <i>Site and dwelling Improvements</i>	50,000.00	UT 3-12 Valley Fair Village Amp 2	1. <i>Site and dwelling Improvements</i>	UT 3-12 Valley Fair Village Amp 2

	<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-5 Westlake Amp 4	1. Site and dwelling Improvements	10,000.00	UT 3-5 Westlake Amp 4	1. Site and dwelling Improvements	UT 3-5 Westlake Amp 4
	<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>			<i>2. Physical Improvements. Roof replacements, Windows, doors, siding, electrical upgrades, floor coverings, water heaters,HVAC, paint, faucets, kitchens, and bathrooms.</i>	
UT 3-17 Erin Meadows Amp 3	1. Site and dwelling Improvements	0	UT 3-17 Erin Meadows Amp 3	1. Site and dwelling Improvements	UT 3-17 Erin Meadows Amp 3
<b>Total CFP Estimated Cost</b>		\$856,959.00			\$856,959.00



Housing Authority of the County of Salt Lake

**RESOLUTION #757**

**Resolution Approving FY 2008-2009 Agency Plan**

WHEREAS, the Housing Authority of the County of Salt Lake has approved the agency plan;  
and

WHEREAS, Public comments were obtained and the Resident Advisory Board has approved the agency plan:

NOW, THEREFORE, Be It Resolved by the Commissioners of the Housing Authority of the County of Salt Lake as follows:

Section 1. That the agency plan be approved.

Section 2. That this resolution become effective for the Housing Authority from July 1, 2008 to June 30, 2009.

Dated this 16th day of April, 2008.

Bud Bailey, Chair

Kerry Bate, Executive Director

# Standard PHA Plan PHA Certifications of Compliance

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

## PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and Streamlined 5-Year/Annual PHA Plans*

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X standard Annual,     standard 5-Year/Annual or     streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning \_\_\_\_\_, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105( a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Housing Authority of the County of Salt Lake  
PHA Name

UT003  
PHA Number/HA Code

- Standard PHA Plan for Fiscal Year: 2008-09  
 Standard Five-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_, including Annual Plan for FY 20\_\_  
 Streamlined Five-Year PHA Plan for Fiscal Years 20\_\_ - 20\_\_, including Annual Plan for FY 20\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official  Clarence "Bud" Bailey	Title Chair
Signature  X 	Date  4-16-08

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Housing Authority of the County of Salt Lake  
Applicant Name

Program/Activity Receiving Federal Grant Funding

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <u>Kerry Bate</u>	Title <u>Director</u>
Signature <u>Kerry Bate</u>	Date <u>04/05/08</u>

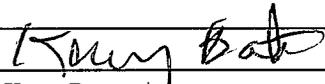
## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   Congressional District, if known:	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Kerry Bate</u> Title: <u>Director</u> Telephone No.: <u>801-284-4401</u> Date: <u>4/15/08</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

April 16, 2008

Board of Commissioners  
Housing Authority of the County of Salt Lake

re: Agency Annual Plan

Commissioners,

The Resident Advisory Board, in a regular Board meeting held March 26, 2008, voted to accept the Annual Plan as presented. The voting was unanimous.

The RAB urges the Board of Commissioners to approve the Agency Annual Plan for fiscal year 2008 – 2009 as proposed in Resolution 757.

Respectfully,

A handwritten signature in cursive script that reads "Daniel Hacking". The signature is written in black ink and is positioned below the word "Respectfully,".

Daniel Hacking  
Chair, Resident Advisory Board

**Certification of Payments  
to Influence Federal Transactions**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

*Housing Authority of the County of Salt Lake*  
Applicant Name

Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <i>Kerry Bate</i>	Title <i>Director</i>
Signature <i>Kerry Bate</i>	Date (mm/dd/yyyy) <i>04/15/08</i>

**Certification by State or Local Official of PHA Plans Consistency with  
the Consolidated Plan**

I, Randy Jepperson the Housing Manager certify  
that the Five Year and Annual PHA Plan of the HACSL is  
consistent with the Consolidated Plan of Salt Lake County prepared  
pursuant to 24 CFR Part 91.



4/15/08

Signed / Dated by Appropriate State or Local Official