

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans
5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2009

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rochester Housing Authority **PHA Number:** NY041

PHA Fiscal Year Beginning: (10/2008)

PHA Programs Administered:

Public Housing and Section 8
 Section 8 Only
 Public Housing Only
 Number of public housing units: Number of S8 units: Number of public housing units:
 Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations for PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (Select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

Rochester Housing Authority is an essential housing resource for the Greater Rochester Area providing quality housing opportunities and related services for those in need.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score) Standard
- Improve voucher management: (SEMAP score) High Performer
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
- Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - Increase the number and percentage of employed persons in assisted families:
 - Provide or attract supportive services to improve assistance recipients' employability:
 - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

GOAL ONE: Manage the Rochester Housing Authority's existing public housing program in an efficient and effective manner thereby qualifying as at least a 'standard performer'.

This goal will be supported by departmental reviews of Public Housing Assessment System (PHAS) and Section Eight Management Assessment System (SEMAP) Indicators, and additional areas deemed prudent. Departments are also focused on streamlining procedures through the use of technology in developing performance indicators such as exception reports, streamlining procedures, and automating processes, such as Application Processing procedures, online unit marketing, direct debit for rent payments, and direct deposit for HAP and other payments.

It should be noted that the Rochester Housing Authority completed implementation to the HUD mandated Asset Management model for management of public housing units. This implementation included conversion of all system and procedural tracking into Asset Management Projects (AMP) and redefining all accounting functions, staffing assignments, and operational changes needed in order to meet this mandate.

OBJECTIVES:

1. The U.S. Department of Housing and Urban Development will recognize the Rochester Housing Authority as a high performer by September 30, 2009.
2. The Rochester Housing Authority will reduce its unit turnaround time from its current 49 days to 30 days by September 30, 2009.
3. The Rochester Housing Authority will keep its vacancy rate below 1%
4. The Rochester Housing Authority will manage each of its 20 AMP budgets. Each AMP will be monitored and a balanced budget will be maintained by each.
5. As RHA implements project-based management and operations, plans are to evaluate the viability and perhaps disposition of some scattered site units following all applicable regulations.

GOAL TWO: Expand the range and quality of housing choices available to participants in the Rochester Housing Authority's Housing Choice Voucher (a.k.a. Section 8) and other tenant-based assistance programs administered by its Operations Department.

OBJECTIVES:

1. The Rochester Housing Authority will continue to attract new landlords who want to participate in the program in each fiscal year.
2. The Rochester Housing Authority shall continue to address various Rochester Housing Authority related strategies identified in the Community Choice Action Plan dated August 1999. It is important to clarify that the vast majority of the enumerated strategies contained in the Action Plan are 'on-going' activities and not necessarily ones that have a definitive start and end timeframe. In addition, many of the strategies are related to the Regional Opportunity Counseling Initiative (a.k.a. the ROC Program) and were addressed over the life of that five-year HUD funded program. The Housing Council of Monroe County, under contract with the Authority, served as the agency to implement the ROC Program. A further explanation of these referenced RHA strategies that are part of the 'Community Choice Action Plan' can be found in the 'Housing Needs' section of the Agency Plan.
3. The Rochester Housing Authority shall bi-annually determine the number of units to project- base and issue either directly or via an appropriate request for proposals.
4. As RHA implements project-based management and operations, plans are to evaluate the viability and perhaps disposition of some scattered site units following all applicable regulations (see Disposition).

GOAL THREE: The Rochester Housing Authority will utilize the De-concentration policy guidelines and the flat rent option in order to achieve a better income mix for public housing residents.

OBJECTIVES:

1. The Rochester Housing Authority will utilize the Fair Market Rent (FMR) (formerly known as the "Flat Rent") option in the Public Housing, low income program as a marketing tool, in order to attract and retain higher income residents. The FMR rates are scheduled for review and update in 2007 if necessary.

GOAL FOUR: Develop affordable rental units and homeownership opportunities for all low to moderate income residents, without discrimination in the City of Rochester and Monroe County. To avert the loss of existing affordable housing stock through rehabilitation and preservation of existing affordable housing in order to revitalize and rebuild deteriorated neighborhoods and communities.

This goal will be realized through the Not-for Profit Development affiliate (North Star Housing and Development Corporation). North Star may joint venture with local community group organizations as well as with local for-profit and not-for profit developers to accomplish this goal. North Star will seek funding to meet this goal from the following (but not limited to) HUD, New York State Division of Housing and Community Renewal, City of Rochester CDBG, Monroe County HOME, Federal Home Loan Bank, non-restricted developer equity funds, state and local community finance organizations specializing in grants or loans (construction or permanent) to further the development of affordable housing.

Objective:

1. Create or preserve 100 affordable housing units (rental or homeownership/senior or family) in the City of Rochester.
2. Create or preserve 100 affordable housing units (rental or homeownership/senior or family) within Monroe County, outside the City of Rochester.

Annual PHA Plan
PHA Fiscal Year 2009
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Rochester Housing Authority has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998 and the ensuing HUD requirements.

The Rochester Housing Authority's Agency Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission. Additionally, in setting our goals and objectives we have considered the housing needs identified in the Consolidated Plans of the City of Rochester and Monroe County.

Highlights of our Agency Plan:

- The RHA is preparing a Disposition and Demolition Plan in 2008 to replace aged public housing units.
- The Section 8 Homeownership program has enabled 58 Section 8 housing voucher program residents to become homeowners.
- The Resident Opportunities and Self-Sufficiency (ROSS) Homeownership Program has enabled 6 public housing residents to become homeowners.
- RHA has completed implementation of the Olean-Kennedy Revitalization plan, including arrangements for tax credit financing. The plan includes purchase and development of scattered sites throughout the surrounding neighborhood. Ground breaking for the Kennedy site took place in May 2005 and was completed in the winter of 2006. Phase III of Olean Kennedy is planned to close in 2008
- The Rochester Housing Authority is on course in its efforts to improve conditions of affordable housing in both the City of Rochester and Monroe County.
- Review is underway of the public housing Admissions and Continued Occupancy Policy, the Section 8 Administrative Plan and the Public Housing Standard Lease.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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 6. Grievance Procedures
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 9. Designation of Housing
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 11. Homeownership
 12. Community Service Programs
 13. Crime and Safety
 14. Pets (Inactive for January 1 PHAs)
 15. Civil Rights Certifications (included with PHA Plan Certifications)
 16. Audit
 17. Asset Management
 18. Other Information

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for De-concentration
- FY 2008 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members (attachment - NY041a02)
- List of Resident Board Member (attachment - NY041b02)
- Community Service Description of Implementation
- Information on Pet Policy
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable

Optional Attachments:

- PHA Management Organizational Chart
- FY 2008 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
Statement on Disposition and Disposal

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & onDisplay” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or	Annual Plan: Operations and Maintenance

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	eradication of pest infestation (including cockroach infestation)	
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Family Type	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	4	3	1	1	1	na
Income >30% but <=50% of AMI	4	3	1	1	1	na
Income >50% but <80% of AMI	2	1	1	1	1	na
Elderly	4	2	1	1	1	na
Families with Disabilities	4	3	1	1	1	na
Race/Ethnicity - White	2	1	1	1	1	na
Race/Ethnicity - Black	3	1	1	1	1	na
Race/Ethnicity - Hispanic	3	1	1	1	1	na
Race/Ethnicity - Native American	3	1	1	1	1	na
Race/Ethnicity - Asian Pacific islander	3	1	1	1	1	na

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s Indicate year: 2006
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

The Rochester Housing Authority performed its own statistical study of public housing trends, demographics. The analysis compared 1999 RHA data to the 2000 Rochester/Monroe County census developing trends and statistical profile information. The study also compared RHA 1999 data to 2006 data developing analysis of trends in the public housing and Section 8 households.

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1793		
Extremely low income <=30% AMI	1756	98	
Very low income (>30% but <=50% AMI)	34	2	
Low income (>50% but <80% AMI)	3		
Families with children	N/A	N/A	
Elderly families	118	7	
Families with Disabilities	50	3	
Race/ethnicity-W	99	5	
Race/ethnicity-BLK	1248	70	
Race/ethnicity-HISP	407	23	
Race/ethnicity-Other	6	-	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	471	26	
2 BR	1037	58	
3 BR	199	11	
4 BR	85	5	
5 BR	N/A	N/A	
5+ BR	1	1	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/sub jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2425		
Extremely low income <=30% AMI	2376	98	

Housing Needs of Families on the Waiting List			
Very low income (>30% but <=50% AMI)	48	2	
Low income (>50% but <80% AMI)	1		
Families with children	N/A	N/A	
Elderly families	221	9	
Families with Disabilities	333	14	
Race/ethnicity-W	374	15	
Race/ethnicity-BLK	1468	61	
Race/ethnicity-HISP	546	23	
Race/ethnicity-Other	11	-	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1403	58	
2 BR	537	22	
3 BR	376	16	
4 BR	97	4	
5 BR	N/A	N/A	
5+ BR	12	1	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 19			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Advertise bi-annually for Section 8 project based units via RFP and direct voucher allocations where applicable.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available

- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2007 grants)	\$45,799,011	
a) Public Housing Operating Fund	8,258,314	
b) Public Housing Capital Fund	4,700,025	
c) HOPE VI Revitalization	N/A	
d) HOPE VI Demolition	N/A	
e) Annual Contributions for Section 8 Tenant-Based Assistance	32,377,838	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	
g) Resident Opportunity and Self- Sufficiency Grants	176,914	
h) Community Development Block Grant	N/A	
i) HOME	N/A	
Other Federal Grants (list below)		
Public Housing Development	285,920	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Prior Year Capital Funds	5,425,502	
3. Public Housing Dwelling Rental Income	6,699,927	
4. Other income (list below)		
Investments	1,136,685	
Other	466,160	
4. Non-federal sources (list below)		
Total resources	\$59,527,285	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (Select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)

The application process involves two phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information. This first phase results in the family's placement on the waiting list.

Upon receipt of the family's pre-application, the RHA makes a preliminary determination of eligibility based upon the information provided by the applicant. The RHA notifies the family in writing of the date and time of placement on the waiting list, and the approximate wait before housing may be offered. If the RHA determines the family to be ineligible, the notice states the reasons therefore and offers the family the opportunity to receive an informal review of the determination.

The applicant family may at any time report changes in its applicant status including changes in family composition or income. The RHA annotates the applicant family's file and updates its place on the waiting list.

The second phase is the final determination of eligibility, referred to as the full application. The full application occurs when the family nears the top of the waiting list. The RHA ensures that verification of eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (Either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)?

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

Two site based lists with mixed finance tax credit developments. Anthony Square, 15 units of public housing operated by Housing Opportunities. Kennedy-Otan Revitalization, 70 units of public housing, came on-line in 2007, is operated by Providence-Cornerstone.

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

Applications Processing Center located in the main Administrative Office

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 0

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (Select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
- In what circumstances will transfers take precedence over new admissions? (List below)
- Emergencies
 - Over-housed
 - Under-housed
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)
 - Resident choice: (state circumstances below)
 - Other: (list below)
VAWA Circumstances

Program Update – Allocation of Units for Victims of Domestic Violence
VAWA — Violence against Women Act (PIH 2006-42)

This Act protects tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them. This provision applies to both Public Housing as well as Section 8 programs and to owners renting to families under Section 8 rental assistance programs.

Any and all domestic violence information relating to the incident(s) must be retained in confidence by the RHA or owner and must not be shared without the victim's consent.

RHA may require verification of such abuse utilizing the HUD form 50066. All applicants and residents will be informed of their rights regarding VAWA at intake and lease signing. All preexisting residents have been notified of VAWA and the protection that is provided Public Housing and Section 8 residents. (see attached letter.)

Each applicant/resident is informed that there is help available. RHA supplies each applicant/resident the telephone numbers for Alternatives for Battered Women and Rape Crisis Service of Planned Parenthood. RHA developed our informational letter with the collaboration of Empire Justice and Monroe County Legal Assistance Corp. An informational training session was conducted by these agencies for RHA staff. This was done to better educate and inform the staff so that RHA may be better equipped to assist our clientele.

All Section 8 owners/landlords will be informed of residents' rights under VAWA.

RHA and Alternatives for Battered Women entered into a program beginning October 1, 2002. The terms of the program were outlined in a Memorandum of Understanding. RHA gives preference to applicants that are Victims of Domestic Violence Program. Based on the experience to date, RHA proposes to continue the program on a year-to-year basis.

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing

- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Applicants for RHA units except Anthony Square are accepted and housed on a “first-come, first-served” basis. Applications are reviewed according to date of submission of preliminary applications. The maintenance of waiting lists for Authority housing shall be divided according to: (1) “Elderly”, which shall encompass disabled and handicapped, and (2) “Family”. “Family” shall be further delineated by bedroom size categories, e.g., One Bedroom, Two bedroom, etc. Application shall be filed according to date received and bedroom size needed in both Family and Elderly categories.

Anthony Square is a mixed income housing site with fifteen (15) units of public housing. The waiting list for a unit will be maintained by the Anthony Square property management agency. RHA will monitor the Anthony Square waiting list to ensure compliance with HUD regulations.

In selecting applicants for admission to its units, the RHA will select families who are otherwise qualified for housing and who, at the time they are seeking assistance have the following preferences within each bedroom category:

A. Involuntary displacement: An applicant is or will be involuntarily displaced if the applicant has vacated their housing unit as a result of activity carried on by an agency of the United States or by any state or local governmental body or agency in connection with code enforcement or a public improvement or development program.

B. Buildings Designated as Elderly Only Housing: The following developments have been approved by HUD as being designated for elderly only; Antoinette Brown Blackwell Estates, Danforth Tower East, Danforth Tower West, Glenwood Gardens, Hudson-Ridge Tower, Jonathan Child Apartments, Kennedy Tower and Parliament Arms Apartments. Elderly families will be given preference for admission to housing in these designated developments. If there are no elderly families on the waiting list, next priority will be given to near-elderly families.

C. Buildings Designed for Elderly and Disabled Families: Preference for admission will be given to elderly and disabled families. If there are no elderly or disabled families on the waiting list, preference will then be given to near-elderly families. If there are no near-elderly families on the waiting list, units will be offered to families who qualify for the appropriate bedroom size.

D. All other applicants.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (Select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (Select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

- Employing new admission preferences at targeted developments
If selected, list targeted developments below:

- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (Select all that apply)

- Additional affirmative marketing
 Actions to improve the marketability of certain developments
 Adoption or adjustment of ceiling rents for certain developments
 Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
 Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (Select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:
Fairfield Village and Federal Street Townhouses

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (Select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

**RHA - Statement of Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions
Statement of Deconcentration Policy**

On December 22, 2000, The U.S. Department of Housing and Urban Development published its Final Rule for the Deconcentration of public housing. The rule, 24 CFR Part 903.2, requires public housing authority's to monitor average income levels at each family development to ensure the average income of residents falls within a specific range. As part of this requirement, RHA will:

- determine the PHA-wide average income of families residing in developments subject to deconcentration requirements. RHA shall also determine the average family income for each development subject to Deconcentration requirements.
- determine whether each of its covered developments falls above, within or below the Established Income Range. The Established Income Range is 85 percent to 115 percent inclusive of the PHA-wide average income for covered developments.
- provide explanations for developments that fall outside the Established Income Range. RHA may explain or justify the income profile for these developments as being consistent with and furthering two sets of goals: the goals of deconcentration of poverty and income mixing as specified by the statute (bringing higher income tenants into lower income developments and vice versa); and the local goals and strategies contained in the PHA Annual Plan.
- provide a remedy for a covered development where no justification is provided.

Within 24 CFR Part 903.2, HUD provides PHAs the following methods to bring the income concentrations within an acceptable range.

(A) Providing incentives designed to encourage families with incomes below the Established Income Range to accept units in developments with incomes above the Established Income Range, or vice versa, including rent incentives, affirmative marketing plans, or added amenities;

- (B) Targeting investment and capital improvements toward developments with an average income below the Established Income Range to encourage applicant families whose income is above the Established Income Range to accept units in those developments;
- (C) Establishing a preference for admission of working families in developments below the Established Income Range;
- (D) Skipping a family on the waiting list to reach another family in an effort to further the goals of deconcentration;
- (E) Providing such other strategies as permitted by statute and determined by RHA in consultation with the residents and the community, through the PHA Annual Plan process, to be responsive to the local context and the PHA's strategic objectives.

In carrying out its deconcentration efforts, RHA will ensure its actions meet the following HUD requirements:

(1) Nondiscrimination. A PHA must carry out its PHA Plan in conformity with the nondiscrimination requirements in Federal civil rights laws, including title VI of the Civil Rights Act of 1964 and the Fair Housing Act. A PHA cannot assign persons to a particular section of a community or to a development or building based on race, color, religion, sex, disability, familial status or national origin for purposes of segregating populations (Sec. 1.4(b) (1) (ii) of this title).

(2) Affirmatively Furthering Fair Housing. PHA policies that govern eligibility, selection and admissions under its PHA Plan should be designed to reduce racial and national origin concentrations. Any affirmative steps or incentives a PHA plans to take must be stated in the admission policy. (i) HUD regulations provide that PHAs should take affirmative steps to overcome the effects of conditions which resulted in limiting participation of persons because of their race, national origin or other prohibited basis (Sec. 1.4(b) (1) (iii) and (6) (ii) of this title). (ii) Such affirmative steps may include but are not limited to, appropriate affirmative marketing efforts; additional applicant consultation and information; and provision of additional supportive services and amenities to a development.

(3) Validity of certification. (i) HUD will take action to challenge the PHA's certification under Sec. 903.7(o) where it appears that a PHA Plan or its implementation:

(A) Does not reduce racial and national origin concentration in developments or building and is perpetuation segregated housing;
or

(B) Is creating new segregation in housing. (ii) If HUD challenges the validity of a PHA's certification, the PHA must establish that it is providing a full range of housing opportunities to applicants and tenants or that it is implementing actions described in paragraph (d)(2)(ii) of this section. (e) Relationship between poverty deconcentration and fair housing. The requirements for poverty deconcentration in paragraph (c) of this section and for fair housing in paragraph (d) of this section arise under separate statutory authorities and are independent.

Deconcentration Data

Development Name	Average Income as of 5/12/08	Average %
Atlantic Townhouses	\$12,931	76.46%
Bay-Zimmer Townhouses	\$15,961	94.39%
Fairfield Village-Luther	\$13,806	81.64%
Parkside Apartments	\$14,561	86.10%
Holland Townhouses	\$18,643	110.24%
Capsule Dwellings	\$21,704	128.35%
Federal Street Townhouses	\$14,359	84.91%
Bronson Court	\$14,664	87.88%
Seneca Manor Townhouses	\$19,322	114.26%
Lexington Court Apartments	\$14,792	87.47%
Harriet Tubman Estates	\$15,140	89.53%
Lena Gannt Estates	\$14,909	88.16%
All Residents	\$16,910	

A majority of our public housing family sites fall within HUD's 85 to 115% acceptable deconcentration range. Several public housing sites lie outside this range however, and RHA has reviewed the issue and developed procedures to monitor and correct the trend. All steps implemented comply with 24 CFR 903.2

Policies that govern eligibility, selection and admissions

The policies that govern eligibility, selection, and admission in both the Rochester Housing Authority's Public Housing Programs and Section 8 Programs are found in our Admissions and Continued Occupancy Policy and our Section 8 Administrative Plan.

In general the RHA Admissions and Continued Occupancy Policy and Section 8 Administrative Plan is derived from the following:

Code of Federal Regulations 24 CFR Parts 5, 882, 887,888 & 960
Quality Housing and Work Responsibility Act Sections 507,508,513,514 & 523

Admissions and Continued Occupancy Policy

The RHA Board of Commissioners approved (in late 2004) revised Admission and Continued Occupancy Policy after a comprehensive review of the document by staff. This was part of a larger effort that included the review and revision of the Agency's Grievance Procedure and Standard Lease. The three documents were reviewed together and rewritten, in an effort to ensure that they are consistent with each other, as well as consistent with HUD regulations and Agency policies. All three documents are available to the public at RHA's administrative offices at 675 West Main Street. The Admissions and Continued Occupancy and Section 8 Administrative Plan are currently under review in 2008.

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (Select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (Either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (Select all that apply)

- Criminal or drug-related activity
- Other (describe below)
Previous landlords

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged?
(Select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 Tenant-based assistance? (Select all that apply)

- PHA main administrative office
- Other (list below)
-Applications were made available at local library branches and completed applications were mailed to RHA. When the wait list is re-opened (anticipated annually) RHA also provides an on-line application resource.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Participants in the Allocation Plan, Mainstream Vouchers, and ROC program provided 120 day period. Under certain circumstances, disabled people may be provided up to 150 days on their Voucher. Other voucher holders are extended on a case-by-case basis if extenuating circumstances warrant.

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (Other than date and time of application) (If no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (Select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs

- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Preferences

1. There is a #1 ranking preference for involuntarily displaced families who meet the following witness re-location criteria: a) family member(s) have provided information on criminal activities to a law enforcement agency, and b) based upon a threat assessment, the law enforcement agency recommends re-housing the family to avoid or minimize the risk of violence against family members as a reprisal for having provided such information. RHA will issue a Voucher to any individual or family, referred by a law enforcement agency as meeting the above criteria, even if the individual/family is not on the waiting list derived from the lottery drawing.

2. In conjunction with RHA's HUD-approved Designated Housing Allocation Plan, a ranking preference, equal to 10% of turnover Vouchers (up to 40 per year) has been established for non-elderly, disabled applicants referred from RHA's Public Housing waiting list.

3. RHA administers three Housing Choice Voucher programs that are targeted toward specific segments of the community, Medicaid Waivers, Family Unification and Mainstream Vouchers for Persons with Disabilities. As Vouchers become available, either through turnover or new increments, in these programs, families on the waiting list, referred and certified by RHA's partnering agencies in the three programs, are given a preference. If there are no families on the waiting list that meet these agencies' criteria, new referrals from the agencies are accepted and, if eligible, issued Vouchers. If vouchers are not available at the time of a referral, RHA will establish a waiting list, ordered by the date of referral.

4. There is a waiting list preference for persons determined eligible by the Public Housing Applications processing center for units occupied by participants in Project RUSH. Note – as of May 3, 2005, there is only one unit in this PILOT program.

5. There is a preference for non-subsidized families with children and adult only household applicants who are elderly, handicapped or disabled households will be given this preference ranking as long as they are not residing in Shelter Plus Care Housing subsidized by the Rochester Housing Authority Leasing Operations Department. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

6. The next preference is for income eligible adult households that are not classified as elderly or disabled, are not residing in other housing subsidized by the Rochester Housing Authority Leasing Operations Department, and are working at least 30 hours per week.

7. There is a lower preference for household subsidized in the Shelter Plus Care Program. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

8. The lowest preferences shall be for income eligible adult only households that are not classified as elderly or disabled and do not meet preference number 6.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

Local Preferences

1. There is a #1 ranking preference for involuntarily displaced families who meet the following witness relocation criteria: a) family member(s) have provided information on criminal activities to a law enforcement agency, and b) based upon a threat assessment, the law enforcement agency recommends re-housing the family to avoid or minimize the risk of violence against family members as a reprisal for having provided such information. RHA will issue a Voucher to any individual or family, referred by a law enforcement agency as meeting the above criteria, even if the individual/family is not on the waiting list derived from the lottery drawing.

2. In conjunction with RHA's HUD-approved Designated Housing Allocation Plan, a ranking preference, equal to 10% of turnover Vouchers (up to 40 per year) has been established for non-elderly, disabled applicants referred from RHA's Public Housing waiting list.

3. RHA administers three Housing Choice Voucher programs that are targeted toward specific segments of the community, Medicaid Waivers, Family Unification and Mainstream Vouchers for Persons with Disabilities. As Vouchers become available, either through turnover or new increments, in these programs, families on the waiting list, referred and certified by RHA's partnering agencies in the three programs, are given a preference. If there are no families on the waiting list that meet these agencies' criteria, new referrals from the agencies are accepted and, if eligible, issued Vouchers. If there vouchers are not available at the time of a referral, RHA will establish a waiting list, ordered by the date of referral.

4. There is a waiting list preference for persons determined eligible by the Public Housing Applications processing center for units occupied by participants in Project RUSH. Note – as of May 3, 2005, there is only one unit in this PILOT program.

5. There is a preference for non-subsidized families with children and adult only household applicants who are either elderly, handicapped or disabled households will be given this preference ranking as long as they are not residing in Shelter Plus Care Housing subsidized by the Rochester Housing Authority Leasing Operations Department. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

6. The next preference is for income eligible adult households that are not classified as elderly or disabled, are not residing in other housing subsidized by the Rochester Housing Authority Leasing Operations Department, and are working at least 30 hours per week.

7. There is a lower preference for household subsidized in the Shelter Plus Care Program. In cases where the single, non-elderly applicant is not a recipient of Social Security Disability benefits, the Rochester Housing

Authority requires certification from two independent sources that the person is disabled as defined in Chapter III C-3 of this Administrative Plan.

8. The lowest preferences shall be for income eligible adult only households that are not classified as elderly or disabled and do not meet preference number 6

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (Select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (Select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Information is disseminated through partner agencies

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the highest of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (Select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

Earned Income Disregard

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

The RHA uses the HUD Zero Income and Earned Income Disregard where applicable for computing rents under these circumstances.

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below: Nominal

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply?)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments

- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (Select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (Select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below) The RHA plans to contract a local real estate agency to provide comparable values that will be compared to current rent reasonable data to ensure validity of data used to establish FMR.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA’s payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (Select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (Select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (Select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (Select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (If yes, list below)

The RHA uses the HUD Zero Income and Earned Income Disregard where applicable for computing rents under these circumstances.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(Select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2441	1.3%
Section 8 Vouchers & PBA	6531	N/A
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	38	N/A
Special Purpose Section 8 Certificates/Vouchers		
Enhanced Vouchers	415	N/A
Mainstream	190	N/A
Shelter + Care	550	N/A
VASH	35	N/A
Public Housing Drug Elimination Program (PHDEP)	N/A	N/A
Other Federal Programs(list individually)	N/A	N/A

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- Public Housing Rules and Regulations
- Grievance Policy
- Lease

(2) Section 8 Management: (list below)

- Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process?
(select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (NY041c01)

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
 b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
 If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
 If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
 If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Plan Submission is in Process
1b. Development (project) number:	N/A
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>10/01/07</u>

5. Number of units affected: 50 - RHA is reviewing all applicable CFRs and PIH Notices. Should it become apparent that increasing the number of units is more feasible, the application submitted to HUD for approval will include an increase in targeted units.

6. Coverage of action (select one)

Part of the development

Total development

7. Timeline for activity:

a. Actual or projected start date of activity: Based upon HUD approval

b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Rochester Housing Authority – Designated Housing

The Rochester Housing Authority was approved by HUD for its renewal of our Designated Housing Plan. The following developments were approved by HUD and are approved as being designated for elderly and near elderly:

AMP	PROJECT #	NUMBER OF UNITS	PROPERTY
337	40	100	Antoinette Brown Blackwell Estates
222	02	105	Danforth Tower East
222	02	100	Danforth Tower West
111	15	128	Glenwood Gardens
442	18a	318	Hudson-Ridge Tower
222	39	30	Jonathan Child Apartments
333	01	80	Kennedy Tower
442	07	52	Parliament Arms Apartments
The following locations are eligible for elderly, near elderly and disabled families:			
224	02	20	Atlantic Avenue (one bedroom units)
333	07	20	Elmdorf Apartments
224	14	126	University Tower
222	19	41	Glide Court
113	22	208	Lake Tower
112	34	112	Lexington Court (one bedroom units)
554	38	88	Lena Gantt (one bedroom units)

This action was consistent with our needs assessment and Consolidated Plan.

Designation of Public Housing Activity Description	
1a. Development name: See Above	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	(DD/MM/YY)
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)
- Units addressed in a pending or approved demolition application (date submitted or approved:)
 - Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
 - Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
 - Requirements no longer applicable: vacancy rates are less than 10 percent
 - Requirements no longer applicable: site now has less than 300 units
 - Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c (h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name: RHA is preparing a Section 32 application to the SAC that includes a homeownership component. This application should be submitted before the end of the fiscal year 2008.	
1b. Development (project) number:	
2. Federal Program authority:	
<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) In Process	
3. Application status: (select one)	
<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: On or before (09/30/2008)	

5. Number of units affected: in excess of 130 units
6. Coverage of action: (select one)
- Part of the development
- Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (Select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

Graduation from the FSS Homeownership program

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d) (7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 06/01/05

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (Select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following tables; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Various job Readiness Programs	116	Specific-unemployment	Other provides	Public housing
GED	14	Specific –Non high School Graduates	Rochester City School District	Section8 & Public Housing
Financial Management	56	Selection-Home Ownership	Area Banks – Includes cash program.	Section8 & Public Housing
Credit Restoration Classes	95	Selection- Home Ownership	Area Banks	Section8 & Public Housing
Foodlink	1800	Public Housing Residents	Pubic Housing Community Rooms	Public Housing
After School Programs	75	Public Housing Residents	Pubic Housing Community Rooms	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: 05/31/08)
Public Housing	42	53
Section 8	276	263

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

In order to be eligible for continued occupancy, each adult family member, unless exempt from this requirement, must either (1) contribute eight hours per month of community service (not including political activities) within the community in which the public housing development is located, or (2) participate in an economic self-sufficiency program.

Exemptions

The following adult family members of tenant families are exempt from this requirement.

- A. Family members who are 62 years of age or older.
- B. Family members who are disabled.
- C. Family members who are the primary care giver for someone who is disabled.
- D. Family members engaged in work activity.
- E. Family members who are exempt from work activity under part A title IV of the Social Security Act or under any other State welfare program.
- F. Family members receiving assistance under a State program funded under part A title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program.

Notification of Community Service Requirement

The RHA will identify all adult family members who are apparently not exempt from the community service requirement.

The RHA shall notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. The RHA shall verify such claims. The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexamination on or after 10/1/2000. For families paying a fair market rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

Volunteer Opportunities

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community.

An economic self sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

RHA will coordinate with social service agencies, local schools, and the human resources office in identifying a list of volunteer community service positions.

Together with the resident advisory councils, RHA may create volunteer positions such as hall monitoring, litter patrols, and supervising and record keeping for volunteers.

Community Service Process

Upon admission, or at the first annual reexamination on or after October 1, 2007, and each annual re-examination thereafter, RHA will:

- A. Provide a list of volunteer opportunities to the family members.
- B. Provide information about obtaining suitable volunteer positions.
- C. Provide a volunteer time sheet to the family member. Instructions for the time sheet require the individual to complete the form and have a supervisor date and sign for each period of work.
- D. Assign family members to a volunteer coordinator who will assist the family members in identifying appropriate volunteer positions and in meeting their responsibilities. The volunteer coordinator will track the family member's progress monthly and will meet with the family member as needed to best encourage compliance.
- E. Thirty (30) days before the family's next lease anniversary date, the volunteer coordinator will advise the RHA whether each applicable adult family member is in compliance with the community service requirement.

Notification of Non-Compliance with Community Service Requirement

RHA will notify any family found to be in noncompliance of the following:

- A. The family member(s) has been determined to be in noncompliance;
- B. That the determination is subject to the grievance procedure; and
- C. That, unless the family member(s) enter into an agreement to comply, the lease will not be renewed or will be terminated;

Opportunity for Cure

RHA will offer the family member(s) the opportunity to enter into an agreement prior to the anniversary of the lease. The agreement shall state that the family member(s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. The cure shall occur over the 12-month period beginning with the date of the agreement and the resident shall at the same time stay current with that year's community service requirement. The first hours a resident earns, goes toward the current commitment until the current year's commitment is made.

The volunteer coordinator will assist the family member in identifying volunteer opportunities and will track compliance on a monthly basis.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
 - High incidence of violent and/or drug-related crime in some or all of the PHA's developments
 - High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children
 - Observed lower-level crime, vandalism and/or graffiti
 - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
 - Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).
 - Safety and security survey of residents
 - Analysis of crime statistics over time for crimes committed "in and around" public housing authority
 - Analysis of cost trends over time for repair of vandalism and removal of graffiti
 - Resident reports
 - PHA employee reports
 - Police reports
 - Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
 - Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
 - Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
 - Crime Prevention through Environmental Design
 - Activities targeted to at-risk youth, adults, or seniors
 - Volunteer Resident Patrol/Block Watchers Program
 - Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)
 - Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
 - Police provide crime data to housing authority staff for analysis and action

- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (List below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

- 1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
- 2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting

- Comprehensive stock assessment
 Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

RHA – Statement of Asset Management

The goal of Asset Management is to provide cost efficient; quality housing that is professionally managed and maintained in the best interest of our residents. There are several key modifications to the current RHA Management System that have been implemented to achieve these goals:

- RHA has combined those resources that manage and maintain all of the RHA's properties into portfolios that are either contiguous or close in geographical location. Each of these portfolios is managed as a separate entity. This project based model as opposed to the previous aggregate management style will create a sense of ownership and allow for increased accountability through project based budgeting.
- Project based budgeting and reporting will give the RHA the ability to analyze the housing stock financial viability and position as it relates to the local market. Each portfolio's value can therefore be assessed on its own merits and a more accurate determination can then be made in terms of future capital investment. This system will also give the RHA the ability to track actual cost associated with operating each individual site. These resulting management and financial efficiencies will equate to cost savings and better use of the RHA's operating funds.
- A proactive customer service focus is paramount to the success of the new Asset Management System. The current system of management has been restructured to provide increased support to the principal Property Manager. By removing the paperwork burden from the Property Manager, he or she now have the time to devote to management, communications and public relations. Another key element of this reorganization will be the development of a career path for the current management staff. Several opportunities for advancement will be created thus providing additional motivation for the staff to improve their level of management skills.
- Management will also play an intricate role in identifying services and amenities that will enhance the marketability of our housing stock. In order for the RHA to continue its mission as the affordable housing of choice we need to identify the services and amenities that are currently being provided by the private sector. This parity will allow us to keep the vacancy rate at HUD established acceptable levels or below.
- The Maintenance Department has also been restructured under Asset Management to meet the needs of the residents and the RHA's customer service goals. A review of current staff size indicated that the number of Maintenance personnel was well within the 50 to 1 (units to employee) HUD recommended ratio. We found that the previous centralized structure, did not adequately meet the needs of the Housing Authority or its employees. The Asset Management model required a site based distribution of staff that now meets the need of each complex, high rise, or group of scattered sites. Each property has a somewhat unique set of needs that can be best satisfied with the correct number of on site staff with the appropriate skill set. By providing a better mix of staff talent (Senior Maintenance Mechanics, Maintenance Mechanic, Maintenance Laborers, etc.) the lesser trained employees are provided a greater opportunity for on the job training by working directly with senior staff.

This plan also requires the addition of experienced trained supervisors to work hands on with Maintenance personnel providing a greater level of support and guidance based on a Supervisor to employee ratio. Accountability is drastically improved under the site based model as employees at each site are responsible for the overall appearance, systems upkeep and customer satisfaction at the site at which they are assigned. As employee skill sets are improved larger challenges like unit rehabs have been possible. By relying less on outside contractors, the housing authority will save time, reduce costs and improve the control over the quality of the work performed... The

mission of the Asset Management Department is to provide structure and focus to our daily decision making, and allows for staff to feel ownership of their projects.

The Rochester Housing Authority elects to phase-in its management fees through 2011. Currently, the overhead charged to the public housing program is \$90 PUM, based on most recent financial statement (FYE 2008). The allowable management fees for RHA are as follows:

FEES	AMOUNTS PUM
Management Fees	\$50.88
Bookkeeping Fee	\$7.50
Asset Management Fee	\$10.00
Total	\$68.38

The difference between the current overhead costs, \$98 PUM, and the allowable fee schedules, is \$30 PUM. The RHA proposes the following phase-in schedule:

2008 (Initial Year of Project Based Budgeting and Accounting)	2009 (Year 2)	2010 (Year 3)	2011 (Year 4, first year of full compliance)
\$98 PUM	\$88 PUM	\$78 PUM	\$68 PUM

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

The Resident Advisory Board met four (4) times during the development of the 2008 Agency Plan and no comments or changes were requested by the Board.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

Must be in good standing

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

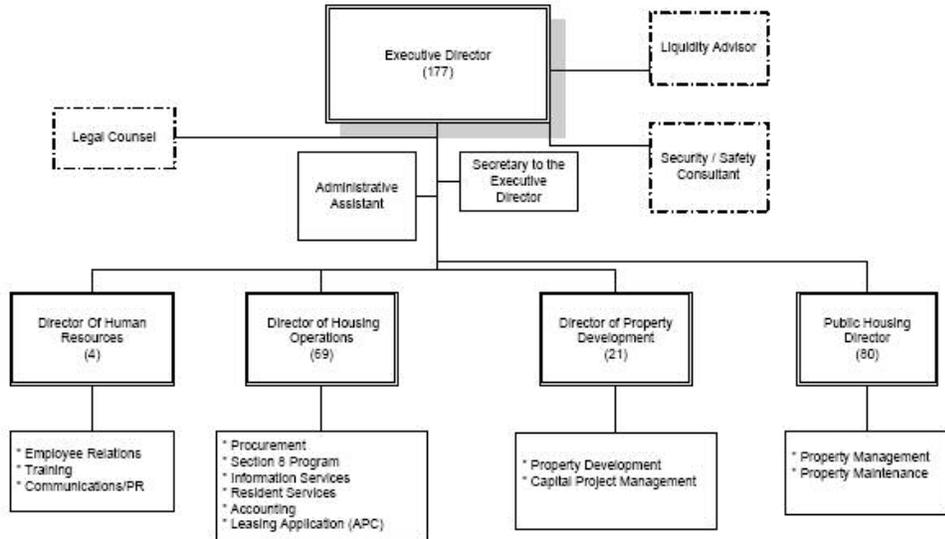
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Rochester Consolidated Plan and Agency RHA Plan both strive to provide additional affordable housing resources in the City and surrounding areas and by offering collaboration opportunities with city agencies to foster economic development and homeownership through supportive service programs.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Rochester Housing Authority



Updated 05/15/08

Attachments

Use this section to provide any additional attachments referenced in the Plans.

ATTACHMENT NAME	TITLE
NY041a02	RAB Members List
NY041b02	Board Members List
NY041c02	CFP 2008 Budget
NY041d02	CFP 2007 Program P&E
NY041e02	CFP 2007 RHF Phase I P&E
NY041f02	CFP 2007 RHF Phase II P&E
NY041g02	CFP 2006 Program P&E
NY041h02	CFP 2006 RHF Phase I P&E
NY041i02	CFP 2006 RHF Phase II P&E
NY041j02	CFP 2005 Program P&E
NY041k02	CFP 2005 RHF P&E
NY041l02	CFP 2004 Program P&E
NY041m02	Agency Plan Public Notice
NY041n02	Statement of Certifications of Compliance
NY041o02	Other Certifications
NY041p02	Certificate of Consistency

**RHA NY041
RAB MEMBERS**

**Realia Allen
625 University Ave #805, 14607
244-7833**

**Jessie Ratliff
160 West Ave #720, 14611
436-4609**

**Essie Bess
427 Upper Falls Blvd, 14605
325-5716**

**Joanne Rowie
133-5 Glide Street C-56, 14611
279-8825**

**Delois Bodison
140 West Avenue #640, 14611
279-9191**

**Mattie Tibbs
666 S. Plymouth #302, 14608
328-7238**

**Barbara Brown
235 Hudson Avenue, 14605
325-3573**

**Dorothy Tucker
401 SMD #604, 14621
342-9087**

**James Brown
321 Lake Avenue #314, 14608
503-3572**

**Eula Williams
24 Christopher Court #100, 14606
719-9373**

**Doreatha Gayden
194 Brooks Avenue, 14619**

**Annie Davis
34 Vienna Street
Rochester, NY 14605**

**Louise Hollis
295 Santee Street, 14613
254-1720**

**Brenda Jackson
45 Luther Circle, 14611
527-9654**

**Lawrence Jones
401 SMD #419, 14621
336-9984**

**Hattie Jordan
2140 St. Paul #4, 14621
336-9581**

Updated: June 2008

ROCHESTER HOUSING AUTHORITY
BOARD OF COMMISSIONERS

Ms. Carol Schwartz
RHA Chairperson

Ms. Doreatha Gayden
RHA Vice Chair (Resident Commissioner)

Ms. Maudine Brown-Long
RHA Commissioner

Rev. Richard Douglass, D. Min.
RHA Commissioner

Mr. Elston Hernandez
RHA Commissioner

Mr. Lawrence E. Jones
RHA Commissioner (Resident Commissioner)

Mr. Richard Vega
RHA Commissioner

JUNE 2008

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	450,000	0	0.00	0.00
3	1408 Management Improvements Soft Costs	39,660	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	405,266	0	0.00	0.00
5	1411 Audit	5,000	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	252,720	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	245,630	0	0.00	0.00
10	1460 Dwelling Structures	2,385,848	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	593,644	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	300,000	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,677,768	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

7/11/2008

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-01A	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Kennedy Tower	Repair HVAC in lobby	1460		4,000	0	0.00	0.00	5 yr plan, yr 2
	Repl.common area interior doors	1460		3,500	0	0.00	0.00	
	Repair/Replace Garbage dumpsters/chute drs	1460		2,450	0	0.00	0.00	
	Repair/Seal Brickwork	1460		2,000	0	0.00	0.00	
	Asbestos Abatement (mech room)	1460		1,750	0	0.00	0.00	5 yr plan, yr 3
	Replace furniture - interior common area	1470		5,600	0	0.00	0.00	5 yr plan, yr 2
	Total 41-01A			23,300	0	0.00	0.00	
41-02A	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Total 41-02A			6,000	0	0.00	0.00	
41-02B	Architectural/Engineering Fees	1430		3,000	0	0.00	0.00	
Danforth West	Replace perimeter fencing	1450		25,200	0	0.00	0.00	5 yr plan, yr 4
	Replace Sidewalks/Steps	1450		5,250	0	0.00	0.00	
	Paint common areas	1460		2,800	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Replace Doors (Dwelling & Common)	1460		2,000	0	0.00	0.00	5 yr plan, yr 2
	Remove replace drop ceiling elevator	1460		19,600	0	0.00	0.00	
	Total 41-02B			57,850	0	0.00	0.00	
41-2B1	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Danforth East	Replace Sidewalks/Steps	1450		3,500	0	0.00	0.00	
	Replace Perimeter fencing	1450		2,100	0	0.00	0.00	5 yr plan, yr 4
	Replace exit doors	1460		16,800	0	0.00	0.00	5 yr plan, yr 2
	Rehab Utility rooms	1460		2,800	0	0.00	0.00	5 yr plan, yr 2
	Paint common areas	1460		2,000	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Replace Doors (Dwelling & Common)	1460		1,750	0	0.00	0.00	5 yr plan, yr 3
	Total 41-2B1			32,950	0	0.00	0.00	
41-02C	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Atlantic T/H	Upgrade Bathrooms/kitchens	1460		8,680	0	0.00	0.00	5 yr plan, yr 2
	Replace unit Flooring	1460		10,080	0	0.00	0.00	5 yr plan, yr 2
	Repair/Replace Roofs	1460		12,600	0	0.00	0.00	5 yr plan, yr 4
	New Development	1499		100,000	0	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
	Total 41-02C			137,360	0	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-2C1	Architectural/Engineering Fees	1430		2,000	0	0.00	0.00	
Bay St T/H	Landscaping	1450		2,000	0	0.00	0.00	5 yr plan, yr 2
	Repair Paving	1450		2,800	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Heat Controls units	1460		2,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-2C1			8,800	0	0.00	0.00	
41-003	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Resurface	1450		4,400	0	0.00	0.00	
	Replace unit Flooring	1460		4,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-003			14,400	0	0	0	
41-006	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Fairfield Village	Upgrade Furnace/boiler system repl.	1460		7,000	0	0.00	0.00	5 yr plan, yr 2
	Replace siding	1460		7,000	0	0.00	0.00	
	Total 41-006			20,000	0	0	0	
41-07A	Architectural/Engineering Fees	1430		2,000	0	0.00	0.00	
Parkside Apts	Repair Paving	1450		2,800	0	0.00	0.00	5 yr plan, yr 2
	Upgrade kitchns baths	1460		6,000	0	0.00	0.00	5 yr plan, yr 2
	Repair Unit Flooring	1460		6,240	0	0.00	0.00	5 yr plan, yr 3
	Total 41-07A			17,040	0	0	0	
41-07C	Architectural/Engineering Fees	1430		2,000	0	0.00	0.00	
Elmdorf Apts	Repl safeguard aluminum wiring	1460		5,000	0	0.00	0.00	
	Replace flooring	1460		1,000	0	0.00	0.00	5 yr plan, yr 5
	Upgrade kitchens/baths/doors	1460		3,100	0	0.00	0.00	5 yr plan, yr 5
	Laundry room door upgrade	1460		5,900	0	0.00	0.00	
	Window Replacement	1460		4,850	0	0.00	0.00	5 yr plan, yr 5
	Total 41-07C			21,850	0	0	0	
41-07D	Architectural/Engineering Fees	1430		2,000	0	0.00	0.00	
Parliament Arms	Emergency generator /comm area	1460		18,450	0	0.00	0.00	5 yr plan, yr 2
	New Development	1499		100,000	0	0.00	0.00	
	Total 41-07D			120,450	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-008	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Replace roofs	1460		22,180	0	0.00	0.00	5 yr plan, yr 2
	Repl safeguard aluminum wiring	1460		28,700	0	0.00	0.00	
	Replace Front/Rear Entry Doors	1460		12,520	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Kitchens/Bathrooms	1460		17,980	0	0.00	0.00	5 yr plan, yr 4
	Total 41-008			87,380	0	0	0	
41-009	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Holland Townhouses	Landscaping	1450		7,000	0	0.00	0.00	
	Replace faucets	1450		12,000	0	0.00	0.00	5 yr plan, yr 2
	Repair Lintels/Door Headers	1460		17,640	0	0.00	0.00	5 yr plan, yr 2
	Total 41-009			42,640	0	0	0	
41-010	Architectural/Engineering Fees	1430		8,000	0	0.00	0.00	
Scattered Sites	Sewer line replacement	1450		10,360	0	0.00	0.00	
	Repair/Replace Roofs/Chimney repair	1460		25,130	0	0.00	0.00	5 yr plan, yr 2
	Replace Siding	1460		47,950	0	0.00	0.00	5 yr plan, yr 2
	Replace Unit Flooring	1460		16,900	0	0.00	0.00	5 yr plan, yr 2
	Replace Windows	1460		26,880	0	0.00	0.00	5 yr plan, yr 2
	New Development	1499		100,000	0	0.00	0.00	
	Total 41-010			235,220	0	0	0	
41-12A	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Capsule Dwellings	Sidewalk repl and ramp install	1450		15,600	0	0.00	0.00	5 yr plan, yr 4
	Repl kitchen/baths/doors	1460		37,866	0	0.00	0.00	5 yr plan, yr 2
	Total 41-12A			59,466	0	0	0	
41-12B	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Federal St T/H	Replace kitchens/baths	1460		20,000	0	0.00	0.00	5 yr plan, yr 2
	Replace Carpet/base	1460		10,000	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Furnace	1460		10,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-12B			46,000	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-014	Architectural/Engineering Fees	1430		9,720	0	0.00	0.00	
University Tower	Parking lot upgrade	1450		2,100	0	0.00	0.00	5 yr plan, yr 4
	Asbestos Abatement	1460		6,600	0	0.00	0.00	5 yr plan, yr 2
	New Intercom system	1460		2,450	0	0.00	0.00	5 yr plan, yr 2
	Replace Unit Flooring	1460		58,800	0	0.00	0.00	5 yr plan, yr 4
	Upgrade Kitchens/Bathrooms	1460		38,465	0	0.00	0.00	5 yr plan, yr 3
	Upgrade renovate community room	1460		2,000	0	0.00	0.00	
	Upgrade common halls - paint and carpet	1460		2,100	0	0.00	0.00	
	Total 41-014			122,235	0	0	0	
41-015	Architectural/Engineering Fees	1430		3,000	0	0.00	0.00	
Glenwood Gardens	Roof Replacement	1460		45,500	0	0.00	0.00	5 yr plan, yr 2
	Window repl w/ security screens	1460		19,750	0	0.00	0.00	
	Total 41-015			68,250	0	0	0	
41-017	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Bronson Court	Foundation block stabilization	1460		40,540	0	0.00	0.00	
	Upgrade Site Lighting	1450		13,650	0	0.00	0.00	
	Siding and window replacement	1460		95,880	0	0.00	0.00	
	Total 41-017			156,070	0	0	0	
41-018	Architectural/Engineering Fees	1430		60,000	0	0.00	0.00	
Administrative Offices	Upgrade Heat Pumps	1470		7,950	0	0.00	0.00	
	Increase parking	1460		5,000	0	0.00	0.00	
	New Furniture	1470		5,000	0	0.00	0.00	
	Add 2nd floor offices over S-8	1470		564,644	0	0.00	0.00	
	Update Office Areas	1470		7,950	0	0.00	0.00	
	Total 41-018			650,544	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-18A	Architectural/Engineering Fees	1430		15,500	0	0.00	0.00	
Hudson-Ridge Tower	New fencing	1450		15,000	0	0.00	0.00	5 yr plan, yr 2
	New Fin Tube/Covers in Units	1460		28,938	0	0.00	0.00	5 yr plan, yr 3
	Replace Flooring in Units	1460		141,780	0	0.00	0.00	5 yr plan, yr 2
	Replace Entrance Roof	1460		9,800	0	0.00	0.00	5 yr plan, yr 4
	Upgrade Kitchens	1460		176,313	0	0.00	0.00	5 yr plan, yr 2
	Upgrade Bathrooms	1460		133,532	0	0.00	0.00	5 yr plan, yr 2
	Rehab Laundry Room	1460		17,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-18A			537,863	0	0.00	0.00	
41-18B	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Seneca Manor Twnhs	Upgrade Kitchens	1460		75,965	0	0.00	0.00	5 yr plan, yr 3
	Upgrade baths	1460		31,500	0	0.00	0.00	5 yr plan, yr 3
	New flooring in units	1460		3,500	0	0.00	0.00	5 yr plan, yr 3
	Total 41-18B			116,965	0	0	0	
41-019	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Glide Court Apts.	Dumpster re-location	1450		61,870	0	0.00	0.00	
	Upgrade Zone Valves/Bath Heater	1460		11,200	0	0.00	0.00	5 yr plan, yr 3
	Total 41-019			79,070	0	0	0	
41-022	Architectural/Engineering Fees	1430		8,000	0	0.00	0.00	
Lake Tower	Total 41-022			8,000	0	0	0	
41-033								
Scattered Sites	Architectural/Engineering Fees	1430		5,000	0	0.00	0.00	
	Asphalt resurface	1450		2,000	0	0.00	0.00	
	Roof Replacement	1460		8,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-033			15,000	0	0.00	0.00	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-034	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Lexington Court	New Kitchens/baths	1460		109,350	0	0.00	0.00	5 yr plan, yr 2
	Replace interior and entry doors	1460		45,600	0	0.00	0.00	5 yr plan, yr 2
	Replace flooring in units	1460		20,000	0	0.00	0.00	5 yr plan, yr 2
	Replace flooring common halls	1460		23,760	0	0.00	0.00	5 yr plan, yr 2
	Roof Replacement	1460		149,115	0	0.00	0.00	5 yr plan, yr 2
	Addition to Community Building	1470		2,500	0	0.00	0.00	
	Total 41-034			356,325	0	0	0	
41-035	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Harriet Tubman Estates	Repair Sidewalks/Patios for Drainage	1450		10,000	0	0.00	0.00	
	Replace siding	1460		282,300	0	0.00	0.00	5 yr plan, yr 3
	Replace entry doors	1460		104,900	0	0.00	0.00	5 yr plan, yr 3
	Total 41-035			403,200	0	0	0	
41-036	Architectural/Engineering Fees	1430		3,500	0	0.00	0.00	
Scattered Sites	Asphalt resurface	1450		7,000	0	0.00	0.00	
	Rehab kitchen/bath flooring	1460		16,500	0	0.00	0.00	5 yr plan, yr 2
	Roof/window Replacement	1460		10,000	0	0.00	0.00	5 yr plan, yr 2
	Total 41-033			37,000	0	0.00	0.00	
41-038	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Lena Gantt Estates	Replace Panels/Meter Boxes	1460		500				
	Upgrade baseboard heat	1460		61,900	0	0.00	0.00	5 yr plan, yr 3
	Total 41-038			68,400	0	0	0	
41-039	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Jonathan Child Apts.	Replace exterior doors	1460		2,100	0	0.00	0.00	
	Renovate dining room, kitchen, bath	1460		2,100	0	0.00	0.00	5 yr plan, yr 2
	New Garbage Compactor	1460		16,400	0	0.00	0.00	
	Rehabilitate - Repair/Paint Stairwells/hallway	1460		10,500	0	0.00	0.00	5 yr plan, yr 2
	Total 41-039			35,100	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-040	Architectural/Engineering Fees	1430		20,000	0	0.00	0.00	
AB Blackwell estates	Replace fencing	1450		27,000	0	0.00	0.00	
	Replace site signage	1450		10,000	0	0.00	0.00	
	Replace hall and laundry tile floor	1460		25,000	0	0.00	0.00	5 yr plan, yr 5
	Upgrade ofc/library/lobby/comm rm and ktichen	1460		62,125	0	0.00	0.00	
	Total 41-040			144,125	0	0.00	0.00	
41-050	Architectural/Engineering Fees	1430		6,000	0	0.00	0.00	
Scattered Sites	Interior rehabilitation - kitchen bath flooring	1460		19,989	0	0.00	0.00	5 yr plan, yr 2
	Total 41-050			25,989	0	0	0	
41-055	Architectural/Engineering Fees	1430		4,000	0	0.00	0.00	
Scattered Sites	Site Work	1450		2,000	0	0.00	0.00	
	Dwelling	1460		5,000	0	0.00	0.00	
	Non-Dwelling	1470		0	0	0.00	0.00	
	Total 41-055			11,000	0	0	0	
41-058	Architectural/Engineering Fees	1430		3,000	0	0.00	0.00	
Scattered Sites	Site Work	1450		2,000	0	0.00	0.00	
	Dwelling	1460		7,000	0	0.00	0.00	
	Non-Dwelling	1470		0	0	0.00	0.00	
	Total 41-058			12,000	0	0	0	
41-ZZ	CFP to Operations	1406		450,000	0	0.00	0.00	
Authority Wide	Security for High Rises and Family							
	Projects (01027)	1408		39,660	0	0.00	0.00	
	General Administrative Costs (01027)	1410		405,266	0	0.00	0.00	
	Program Audit	1411		5,000	0	0.00	0.00	
	Total 41-ZZ			899,926	0	0.00	0.00	
			Total	4,677,768	0	0.00	0.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: NY06P04150108 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008
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	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide Operations	30-Sep-09			30-Sep-11			
" Mgmt Improvements	30-Sep-09			30-Sep-11			
" Admin	30-Sep-09			30-Sep-11			
" Program Audit	30-Sep-09			30-Sep-11			
" Fees & Costs	30-Sep-09			30-Sep-11			
41-01A Kennedy Tower	30-Sep-09			30-Sep-11			
41-02A Scattered Sites	30-Sep-09			30-Sep-11			
41-02B Danforth West	30-Sep-09			30-Sep-11			
41-2B1 Danforth East	30-Sep-09			30-Sep-11			
41-02C Atlantic T/H	30-Sep-09			30-Sep-11			
41-2C1 Bay Street T/H	30-Sep-09			30-Sep-11			
41-003 Scattered Sites	30-Sep-09			30-Sep-11			
41-006 Fairfield Village	30-Sep-09			30-Sep-11			
41-07A Parkside Apts	30-Sep-09			30-Sep-11			
41-07C Elmdorf Apts	30-Sep-09			30-Sep-11			
41-07D Parliament Arms	30-Sep-09			30-Sep-11			
41-008 Scattered Sites	30-Sep-09			30-Sep-11			
41-009 Holland T/H	30-Sep-09			30-Sep-11			
41-010 Scattered Sites	30-Sep-09			30-Sep-11			
41-12A Capsule Dwellings	30-Sep-09			30-Sep-11			
41-12B Federal Street T/H	30-Sep-09			30-Sep-11			
41-014 University Tower	30-Sep-09			30-Sep-11			
41-015 Glenwood Gardens	30-Sep-09			30-Sep-11			
41-017 Bronson Court	30-Sep-09			30-Sep-11			
41-18A Hudon-Ridge Tower	30-Sep-09			30-Sep-11			
41-18B Seneca Manor T/H	30-Sep-09			30-Sep-11			
41-019 Glide Street Apts	30-Sep-09			30-Sep-11			
41-022 Lake Tower	30-Sep-09			30-Sep-11			
41-033 Scattered Sites	30-Sep-09			30-Sep-11			
41-034 Lexington Court	30-Sep-09			30-Sep-11			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name:	Grant Type and Number	Federal FY of Grant:
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	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
41-035 H. Tubman Estates	30-Sep-09			30-Sep-11			
41-036 Scattered Sites	30-Sep-09			30-Sep-11			
41-038 Lena Gantt Estates	30-Sep-09			30-Sep-11			
41-039 Jonathan Child Apts	30-Sep-09			30-Sep-11			
41-040 AB Blackwell Estates	30-Sep-09			30-Sep-11			
41-050 Scattered Sites	30-Sep-09			30-Sep-11			
41-055 Scattered Sites	30-Sep-09			30-Sep-11			
41-058 Scattered Sites	30-Sep-09			30-Sep-11			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name:
Rochester Housing Authority

Original 5-Year Plan
Revision No:

Development Number/Name	Year 1 2008	Work Statement			
		Year 2 FFY Grant: 2009	Year 3 FFY Grant: 2010	Year 4 FFY Grant: 2011	Year 5 FFY Grant: 2012
41-01A Kennedy Tower		\$183,862	\$319,255	\$70,000	\$0
41-02A Scattered Sites		\$0	\$0	\$0	\$0
41-02B Danforth West		\$140,000	\$233,000	\$472,301	\$405,000
41-2B1 Danforth East		\$214,352	\$220,000	\$76,000	\$350,000
41-02C Atlantic T/H	Annual	\$10,000	\$45,600	\$163,784	\$45,900
41-2C1 Bay Street T/H		\$3,500	\$80,000	\$0	\$45,000
41-003 Scattered Sites	Statement	\$5,000	\$20,000	\$5,000	\$0
41-006 Fairfield Village		\$265,000	\$241,000	\$10,000	\$250,000
41-07A Parkside Apts		\$105,600	\$0	\$0	\$0
41-07C Elmdorf Apts		\$15,000	\$10,000	\$131,000	\$0
41-07D Parliament Arms		\$0	\$0	\$10,000	\$0
41-008 Scattered Sites	See	\$0	\$9,500	\$0	\$35,000
41-009 Holland T/H		\$0	\$0	\$0	\$0
41-010 Scattered Sites	Annual	\$0	\$40,000	\$0	\$20,000
41-12A Capsule Dwellings		\$24,000	\$20,000	\$0	\$156,000
41-12B Federal Street T/H	Statement	\$0	\$0	\$0	\$0
41-014 University Tower		\$305,000	\$228,000	\$196,500	\$245,000
41-015 Glenwood Gardens		\$435,698	\$95,000	\$0	\$121,900
41-017 Bronson Court		\$50,000	\$8,500	\$0	\$77,700
41-18A Hudon-Ridge Tower		\$743,440	\$533,800	\$440,435	\$832,608
41-18B Seneca Manor T/H		\$0	\$0	\$0	\$0
41-019 Glide Street Apts		\$47,156	\$50,000	\$58,000	\$0
41-022 Lake Tower		\$8,500	\$509,300	\$632,320	\$350,000
41-033 Scattered Sites		\$0	\$0	\$0	\$0
41-034 Lexington Court		\$0	\$125,000	\$99,768	\$0
41-035 H. Tubman Estates		\$651,500	\$0	\$400,000	\$65,000
41-036 Scattered Sites		\$0	\$0	\$0	\$0
41-038 Lena Gantt Estates		\$0	\$387,153	\$544,000	\$0
41-039 Jonathan Child Apts		\$94,000	\$0	\$0	\$230,000
41-040 AB Blackwell Estates		\$77,500	\$204,000	\$70,000	\$150,000
41-050 Scattered Sites		\$0	\$0	\$0	\$0
41-055 Scattered Sites		\$0	\$0	\$0	\$0
41-058 Scattered Sites		\$0	\$0	\$0	\$0
HA-Wide Physical Activities		\$0	\$0	\$0	\$0
HA-Wide Non-Physical Activities		\$1,360,267	\$1,360,267	\$1,360,267	\$1,360,267
HA-Wide Contingency @ X%		\$0	\$0	\$0	\$0
CFP Funds Listed for 5-year planning		\$3,379,108	\$3,379,108	\$3,379,108	\$3,379,108
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0
CFP Funds Listed for					

	5-year planning Grand Total		\$4,739,375		\$4,739,375		\$4,739,375		\$4,739,375	
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Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-01A Kennedy Tower	Site: Paving Repair/Seal/Strip Dwelling Units: Replace Windows HW Pump Upgrade/Air Balanc'g	\$8,500 \$150,362 \$25,000	Dwelling Units: Upgrade Kitchens/Bathrooms	\$319,255	Mechanical and Electrical: Service Exhaust Vents/Dampers Site Wide Facilities: Add Security Cameras	\$5,000 \$65,000	Dwelling Units: Upgrade Kitchens/Bathrooms	\$0
	41-01A	Subtotal	\$183,862	Subtotal	\$319,255	Subtotal	\$70,000	Subtotal	\$0
	41-02A Scattered Sites	Dwelling Units:	\$0	Dwelling Units:	\$0			Dwelling Units:	\$0
	41-02A	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-02B Danforth West	Building Exterior: Repair Brickwork Dwelling Units: Install Intercom System	\$10,000 \$130,000	Site: Upgrade Site Lighting Dwelling Units: Service Exhaust Vents/Dampers Replace Windows Exterior Common Areas: Build Cov'd/Concrete Picnic Area	\$10,000 \$8,000 \$200,000 \$15,000	Site: Paving Repair/Seal/Stripe Site-Wide Facilities: Replace Windows Security Cameras Interior Common areas Paint/re-carpet/new furniture sitting and community rooms	\$12,653 \$349,648 \$60,000 \$50,000	Interior Replace garbage chute doors Replace compactors Dwelling Units: Service Exhaust Vents/Dampers Replace Windows Exterior Common Areas: Build Cov'd/Concrete Picnic Area	\$50,000 \$100,000 \$45,000 \$200,000 \$10,000
	41-02B	Subtotal	\$140,000	Subtotal	\$233,000	Subtotal	\$472,301	Subtotal	\$405,000
	41-2B1 Danforth East	Dwelling Units: Install Intercom System Rehab Bathrooms Building Exterior: Repair Brickwork Mechanical and Electrical: HW Pump Upgrade Interior Common Areas: Replace Interior Doors	\$60,000 \$100,052 \$10,000 \$5,000 \$39,300	Site: Upgrade Site Lighting Interior Common Areas: Dwelling Units: Replace Windows	\$20,000 \$200,000	Site: Paving Repair Site-Wide Facilities: Replace Cast Iron Plumbing Security Cameras	\$7,500 \$8,500 \$60,000	Interior Replace garbage chute doors Replace compactors Dwelling Units: Replace Windows	\$50,000 \$100,000 \$200,000
	41-2B1	Subtotal	\$214,352	Subtotal	\$220,000	Subtotal	\$76,000	Subtotal	\$350,000
	41-02C								CFP 501 08 Budget Final Award FiveYr_Part2

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	Atlantic T/H 41-02C	Site: Upgrade Site Lighting	\$5,000	Site: Replace Fence	\$45,600	Building Exterior: Replace Siding	\$163,784	Building Exterior: Replace Gutters/Downspouts/Fascia	\$45,900
		Building Exterior Replace Windows	\$5,000						
		Subtotal	\$10,000	Subtotal	\$45,600	Subtotal	\$163,784	Subtotal	\$45,900
See Annual Statement	41-2C1 Bay Street T/H 41-2C1	Dwelling Units: Upgrade Sump Pumps	\$3,500	Mechanical and Electrical: Upgrade Controls	\$80,000	Dwelling Units:		Mechanical and Electrical: Replace Boilers	\$45,000
		Subtotal	\$3,500	Subtotal	\$80,000	Subtotal	\$0	Subtotal	\$45,000
See Annual Statement	41-003 Scattered Sites 41-003	Site:		Site:		Site:			
		Building Exterior Replace Windows	\$5,000	Building Exterior Replace Roof Replace siding	\$ 10,000 \$ 10,000	Dwelling Units: Upgrade mechanicals	\$5,000		
		Subtotal	\$5,000	Subtotal	\$20,000	Subtotal	\$5,000	Subtotal	\$0
See Annual Statement	41-006 Fairfield Village 41-006	Dwelling Units: Replace Unit Flooring Landscaping	\$245,000 \$20,000	Dwelling Units: Upgrade Kitchens	\$241,000	Site: Building Exterior: Replace windows	\$10,000	Dwelling Units: Upgrade Baths	\$250,000
		Subtotal	\$265,000	Subtotal	\$241,000	Subtotal	\$10,000	Subtotal	\$250,000
See Annual Statement	41-07A Parkside Apts 41-07A	Dwelling Units: Upgrade Kitchens	\$105,600	Site:		Dwelling Units:		Site:	
		Subtotal	\$105,600	Subtotal		Subtotal		Subtotal	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-07A	Subtotal	\$105,600	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-07C Elmdorf Apts	Building Common New Intercom and mailboxes	\$15,000	Site: Parking lot (add spaces) Mechanical and Electrical: Building Exterior: Interior Common Areas:	\$10,000	Dwelling Units: Replace Doors Replace Door Hardware Mechanical and Electrical: Generator installation	\$25,000 \$80,000 \$ 26,000	Site: Building Exterior: Interior Common Areas:	\$0
	41-07C	Subtotal	\$15,000	Subtotal	\$10,000	Subtotal	\$131,000	Subtotal	\$0
	41-07D Parliament Arms	Dwelling Units:		Site: Building Exterior:		Interior Common Areas: Replace Furniture	10,000	Site: Building Exterior:	
	41-07D	Subtotal	\$0	Subtotal	\$0	Subtotal	\$10,000	Subtotal	\$0
See Statement	41-008 Scattered Sites	Dwelling Units:		Dwelling Units: Tubs	\$9,500			Dwelling Units: Replace Bath Vanities	\$35,000
	41-008	Subtotal	\$0	Subtotal	\$9,500	Subtotal	0	Subtotal	\$35,000
	41-009 Holland T/H	Dwelling Units:		Dwelling Units:		Dwelling Units:		Dwelling Units:	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-009	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-010 Scattered Sites	Dwelling Units: Interior upgrade, Kitchen flooring Site: Repl fencing	\$34,000 \$ 8,209	Dwelling Units: Interior upgrade, bath flooring Site: Upgrade Site Lighting Building Exterior Roofs	\$7,500 \$ 2,500 \$ 30,000	Dwelling Units:		Building Exterior Roof	\$20,000
	41-010	Subtotal	\$0	Subtotal	\$40,000	Subtotal	\$0	Subtotal	\$20,000
See Annual Statement	41-12A Capsule Dwellings	Dwelling Units: Replace exterior doors and storms Replace siding	\$12,000 \$12,000	Building Exterior: Replace Windows Rehab Sidewalks/Remove Dumpster	\$10,000 \$10,000	Site:		Building Exterior: Replace Gutters/Downspouts Resurface Driveway	\$56,000 \$100,000
	41-12A	Subtotal	\$24,000	Subtotal	\$20,000	Subtotal	\$0	Subtotal	\$156,000
	41-12B Federal St T/H								
	41-12B	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-014 Univ Tower	Common Area: Upgrade Doors/Paint/Flooring Mechanical: Upgrade Domestic Pump	\$150,000 \$5,000	Mechanical: Upgrade Controls Site: Fencing Landscaping	\$35,000 \$50,000 \$143,000	Mechanical: Upgrade Heat Pumps Service Exhaust Vents/Fire Dampers Replace Sewer Main East Side	\$8,000 \$110,000 \$8,500	Mechanical: Fire Alarm System Upgrade Site:	\$155,000

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
		Replace Compactor dumpsters Replace garbage chute access drs Replace Compactor	\$50,000 \$50,000 \$50,000	Dwelling Units:		Site-Wide Facilities: Install Security Cameras Each Floor	\$70,000	Common Area: Elevator Upgrade	\$90,000
	41-014	Subtotal	\$305,000	Subtotal	\$228,000	Subtotal	\$196,500	Subtotal	\$245,000
See Annual Statement	41-015 Glenwood Gardens	Mechanical: Replace Comm Room Boiler Site: Seal/Stripe Parking & Asphalt Road Dwelling Units: Replace Kitchens/Baths	\$5,000 \$9,500 \$421,198	Site: Upgrade Site Lighting Site Wide Facilities: Install Security Cameras	\$30,000 \$65,000		\$0	Site: Replace Entrance Gates Sidewalk/Stoop Replacement Site Wide Facilities:	\$35,000 \$86,900 \$0
	41-015	Subtotal	\$435,698	Subtotal	\$95,000	Subtotal	\$0	Subtotal	\$121,900
	41-017 Bronson CT	Site: Replace sidewalks Landscape	\$45,000 \$5,000	Site: Resurface	\$8,500		\$0	Site: Repair/Seal/Stripe Parking Lot Fencing	\$67,900 \$9,800
	41-017	Subtotal	\$50,000	Subtotal	\$8,500	Subtotal	\$0	Subtotal	\$77,700
	41-18A Hudson-Ridge Tower	Dwelling Units: Replace Faucets Install Bathtub Surrounds Mechanical: Heat Risers/Compensators/Vents Replace Domestic Water Pump	\$449,040 \$127,200 \$147,200 \$20,000	Site: Resurface Mechanical: Building Exterior: EIFS End of Building	\$158,800 \$375,000	Dwelling Units: Replace Carpets Interior Common Areas: Encapsulate Asbestos Ceilings	\$190,435 \$250,000	Dwelling Units: Rehab Entrance/Balcony Doors Mechanical: Upgrade Fire System Building Exterior: A/C Sleeves New EPDM; Roof	\$50,000 \$75,000 \$250,000 \$457,608
	41-18A	Subtotal	\$743,440	Subtotal	\$533,800	Subtotal	\$440,435	Subtotal	\$832,608
See Annual Statement	41-18B Seneca Manor T/H	Dwelling Units:							
	41-18B	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
Statement	41-019								CFP 501 08 Budget Final Award FiveYr_Part2

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	Glide St Apts	Dwelling Units: Upgrade Flooring Mechanical and Electrical: Generator Back-Up Site-Wide Facilities: Rehab Laundry Install Security	\$21,156 \$6,000 \$5,000 \$15,000	Dwelling Units: Replace metal bifold drs	\$50,000	Mechanical and Electrical: Upgrade Fin Tube Site: Repair/Seal/Stripe Parking Lot	\$50,000 \$8,000		
	41-019	Subtotal	\$47,156	Subtotal	\$50,000	Subtotal	\$58,000	Subtotal	\$0
	41-022 Lake Tower	Site: Repair/Seal/Stripe Parking Lot	\$8,500	Dwelling Units: Replace Doors Site: Enclose Guard Station Install Trash Compactor Mechanical and Electrical: Upgrade Fire System Upgrade Domestic Pump Site-Wide Facilities: Add Security Cameras	\$332,800 \$6,500 \$25,000 \$50,000 \$50,000 \$45,000	Dwelling Units: Replace Kitchen/Baths	\$632,320	Common Area: Rehab Hallways Site: Mechanical and Electrical: Site-Wide Facilities:	\$350,000
	41-022	Subtotal	\$8,500	Subtotal	\$509,300	Subtotal	\$632,320	Subtotal	\$350,000
See Annual Statement	41-033 Scattered Sites	Site: Building Exterior: Dwelling Units:		Site: Interior Common Areas: Site-Wide Facilities:		Dwelling Units:		Site: Interior Common Areas: Site-Wide Facilities:	
	41-033	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-034 Lexington Ct			Mechanical and Electrical: Replace Boilers Site-Wide Facilities: Install Security System	\$100,000 \$25,000	Mechanical and Electrical: Upgrade Baseboard Heat	\$99,768	Mechanical and Electrical: Site-Wide Facilities:	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-034	Subtotal	\$0	Subtotal	\$125,000	Subtotal	\$99,768	Subtotal	\$0
	41-035 H Tubman Estates	Building Interior: Replace Interior Doors	\$256,000	Dwelling Units:		Dwelling Units: Replace Kitchen/Baths	\$395,200	Dwelling Units: Replace Flooring/Carpet	\$65,000
		Building Exterior: Replace Windows	\$345,500			Site-Wide Facilities: Replace Flooring Comm Room	\$4,800		
		Site: Lighting	\$50,000						
	41-035	Subtotal	\$651,500	Subtotal	\$0	Subtotal	\$400,000	Subtotal	\$65,000
See Annual Statement	41-036 Scattered Sites	Site: Building Exterior: Dwelling Units:		Site: Dwelling Units:		Dwelling Units:		Site: Dwelling Units:	
	41-036	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-038 Lena Gantt Estates	Mechanical and Electrical:		Dwelling units Rehab Kitchens	\$387,153	Dwelling Units: Replace Baths Replace Flooring/Carpet	\$304,000 \$240,000		
	41-038	Subtotal	\$0	Subtotal	\$387,153	Subtotal	\$544,000	Subtotal	\$0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-039 Jonathan Child Apts	Dwelling Units: Replace Kitchen/Baths Replace Vertical Blinds	\$64,000 \$30,000	Interior common areas				Site Replace fencing Building Exterior Replace flat top roof Interior Common area Replace furniture Rehabilitate Patio	\$10,000 \$180,000 5000 35000
	41-039 Subtotal	Subtotal	\$94,000	Subtotal	\$0	Subtotal	\$0	Subtotal	\$230,000
See Annual Statement	41-040 AB Blackwell Estates	Dwelling Units: Replace Appliances Building Exterior: Siding/entry drs/mailboxes	\$21,000 \$56,500	Dwelling Units: Replace Kitchen Site Construct picnic shelter	\$154,000 \$ 50,000	Interior Common Areas: Install 3 Handicap Lifts Mechanical and Electrical: Install Emerg generator	\$60,000 \$10,000	Dwelling Units: Replace Baths	\$150,000
	41-040 Subtotal	Subtotal	\$77,500	Subtotal	\$204,000	Subtotal	\$70,000	Subtotal	\$150,000
	41-050 Scattered Sites	Site: Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:	
	41-050 Subtotal	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-055 Scattered Sites	Site:		Dwelling Units:		Building Exterior: Dwelling Units:		Dwelling Units:	
	41-055 Subtotal	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-058								

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2008	Activities for Year 2 FFY Grant: 2009			Activities for Year 3 FFY Grant: 2010		Activities for Year 4 FFY Grant: 2011		Activities for Year 5 FFY Grant: 2012	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	Scattered Sites	Site: Dwelling Units:				Building Exterior: Dwelling Units:			
	41-058	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/08		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	450,000	0	0.00	0.00
3	1408 Management Improvements Soft Costs	339,660	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	405,266	0	405,266.00	193,308.35
5	1411 Audit	5,000	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	199,220	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	436,510	0	0.00	0.00
10	1460 Dwelling Structures	2,570,143	0	29,651.27	7,964.63
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	136,726	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	157,500	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,700,025	0	434,917.27	201,272.98
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

7/11/2008

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-01A	Architectural/Engineering Fees (00017)	1430		4,000	0	0.00	0.00	In planning stages
Kennedy Tower	Replace Ranges/Appliances (00112)	1460		4,000	0	0.00	0.00	In planning stages
	Emergency Power Upgrade (006A8)	1460		3,500	0	0.00	0.00	In planning stages
	Repair/Replace Fire Pump (005C2)	1460		5,600	0	0.00	0.00	In planning stages
	Upgrade Mechanical Remote Monitor Controls (005A2)	1460		2,450	0	2,450.00	0.00	Work in progress
	Upgrade Stairwell Doors (00081)	1460		2,000	0	0.00	0.00	In planning stages
	Furniture for Common Area (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-01A			23,300	0	2,450.00	0.00	
41-02A	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Total 41-02A			6,000	0	0.00	0.00	
41-02B	Architectural/Engineering Fees (00017)	1430		3,000	0	0.00	0.00	In planning stages
Danforth West	Convert 12 Kitchens to Handicap Accessible (00062)	1460		25,200	0	0.00	0.00	In planning stages
	Domestic Pump Upgrade (005B2)	1460		3,500	0	0.00	0.00	In planning stages
	HVAC Upgrades (005A2)	1460		8,650	0	3,249.18	1,991.08	Work in progress
	Replace Ranges/Refrigerators (00112)	1460		2,100	0	0.00	0.00	In planning stages
	Upgrade Stairwell Doors (00081)	1460		2,000	0	0.00	0.00	In planning stages
	Install Store Fronts (exercise, Office) (00084)	1470		19,600	0	0.00	0.00	In planning stages
	Laundry Room Ventilation Upgrade (005A3)	1470		2,100	0	0.00	0.00	In planning stages
	Furniture for Common Area (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-02B			67,900	0	3,249.18	1,991.08	
41-2B1	Architectural/Engineering Fees (00017)	1430		4,000	0	0.00	0.00	In planning stages
Danforth East	Replace Sidewalks/Steps (00021)	1450		3,500	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		2,100	0	0.00	0.00	In planning stages
	Convert 8 Kitchens to Handicap Accessible (00062)	1460		16,800	0	0.00	0.00	In planning stages
	HVAC Upgrades (005A2)	1460		13,650	0	5,127.31	1,991.08	Work in progress
	Upgrade Stairwell Doors (00081)	1460		2,000	0	0.00	0.00	In planning stages
	Furniture for Common Area (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-2B1			43,800	0	5,127.31	1,991.08	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-02C	Architectural/Engineering Fees (00017)	1430		6,000	0	1,030.00	1,030.00	Work in progress
Atlantic T/H	Landscaping (00026)	1450		2,100	0	0.00	0.00	In planning stages
	Sidewalks (00021)	1450		3,500	0	0.00	0.00	In planning stages
	Upgrade Bathrooms (005B2)	1460		8,680	0	0.00	0.00	In planning stages
	Replace unit Flooring (00092)	1460		10,080	0	0.00	0.00	In planning stages
	Upgrade Boilers (005A2)	1460		12,600	0	0.00	0.00	In planning stages
	Weatherize Exterior Fire System (005C2)	1460		1,400	0	0.00	0.00	In planning stages
	Upgrade Main Electric Panels/Meter Banks (005B1)	1460		17,500	0	0.00	0.00	In planning stages
	Total 41-02C			61,860	0	1,030.00	1,030.00	
41-2C1	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Bay St T/H	Repair Paving (00020)	1450		2,800	0	0.00	0.00	In planning stages
	Total 41-2C1			8,800	0	0.00	0.00	
41-003	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Replace unit Flooring (00092)	1460		8,400	0	0.00	0.00	In planning stages
	Upgrade Kitchens and Bathrooms (00062)	1460		10,640	0	0.00	0.00	In planning stages
	Total 41-003			25,040	0	0.00	0.00	
41-006	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Fairfield Village	Upgrade Site Lighting (00025)	1450		15,750	0	0.00	0.00	In planning stages
	Upgrade Furnace (005A2)	1460		14,000	0	0.00	0.00	In planning stages
	Total 41-006			35,750	0	0	0	
41-07A	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Parkside Apts	Landscaping (00026)	1450		3,500	0	0.00	0.00	In planning stages
	Repair Paving (00020)	1450		2,800	0	0.00	0.00	In planning stages
	Repair Unit Flooring (00061)	1460		9,240	0	0.00	0.00	In planning stages
	Total 41-07A			21,540	0	0	0	
41-07C	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Elmdorf Apts	Upgrade Heat Pump & Vents (005A2)	1460		2,100	0	0.00	0.00	In planning stages
	Total 41-07C			8,100	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-07D	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Elmdorf Apts	Landscaping (00026)	1450		4,900	0	0.00	0.00	In planning stages
	Sidewalks (00021)	1450		2,100	0	0.00	0.00	In planning stages
	Upgrade Mechanical Heat Controls (005A2)	1460		4,200	0	0.00	0.00	In planning stages
	Upgrade Emergency Electrical System (006A2)	1460		3,500	0	0.00	0.00	In planning stages
	Replace Boiler Room Valves (005A1)	1460		3,500	0	0.00	0.00	In planning stages
	Repair Exterior Brickwork (00046)	1460		2,100	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		1,750	0	0.00	0.00	In planning stages
	Total 41-07D			28,050	0	0	0	
41-008	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Landscaping (00026)	1450		1,050	0	0.00	0.00	In planning stages
	Upgrade Boilers (005A2)	1460		8,400	0	0.00	0.00	In planning stages
	Build Canopy Over Handicap Lift (00061)	1460		3,780	0	0.00	0.00	In planning stages
	Upgrade Chimneys (005A1)	1460		11,200	0	0.00	0.00	In planning stages
	Replace Front/Rear Entry Doors (00081)	1460		2,520	0	0.00	0.00	In planning stages
	Replace Unit Flooring (00092)	1460		6,300	0	0.00	0.00	In planning stages
	Upgrade Kitchens/Bathrooms (00062)	1460		7,980	0	0.00	0.00	In planning stages
	Total 41-008			47,230	0	0	0	
41-009	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Holland Townhouses	Landscaping (00026)	1450		7,000	0	0.00	0.00	In planning stages
	Upgrade Site Lighting (00025)	1450		17,500	0	0.00	0.00	In planning stages
	Remove Fencing (00029)	1450		5,600	0	0.00	0.00	In planning stages
	Repair Paving (00020)	1450		7,000	0	0.00	0.00	In planning stages
	Replace Meter Boxes (005B1)	1460		14,700	0	0.00	0.00	In planning stages
	Repair Lintels/Door Headers (00056)	1460		2,940	0	0.00	0.00	In planning stages
	Seal Basements (00070)	1460		49,000	0	0.00	0.00	In planning stages
	Seal Penetrations in Siding (00077)	1460		5,250	0	0.00	0.00	In planning stages
	Total 41-009			114,990	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-010	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Landscaping (00026)	1450		3,500	0	0.00	0.00	In planning stages
	Repair/Remove Fencing (00027)	1450		10,360	0	0.00	0.00	In planning stages
	Remove Old Parking Lot (00029)	1450		2,100	0	0.00	0.00	In planning stages
	Upgrade Boilers (005A2)	1460		11,200	0	0.00	0.00	In planning stages
	Repair/Replace Roofs (00074)	1460		25,130	0	0.00	0.00	In planning stages
	Repair Porches (00021)	1460		22,400	0	0.00	0.00	In planning stages
	Replace Siding (00077)	1460		47,950	0	0.00	0.00	In planning stages
	Replace Front/Rear Entry Doors (00081)	1460		5,040	0	0.00	0.00	In planning stages
	Replace Unit Flooring (00092)	1460		46,900	0	0.00	0.00	In planning stages
	Upgrade Kitchens/Bathrooms (00062)	1460		70,000	0	0.00	0.00	In planning stages
	Replace Windows (00083)	1460		26,880	0	0.00	0.00	In planning stages
	New Construction of 2-3BR units (00018)	1499		157,500	0	0.00	0.00	In planning stages
	Total 41-010			434,960	0	0	0	
41-12A	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Capsule Dwellings	Landscaping (00026)	1450		2,100	0	0.00	0.00	In planning stages
	Upgrade Site Lighting (00025)	1450		5,600	0	0.00	0.00	In planning stages
	Replace Roofs (00074)	1460		21,210	0	0.00	0.00	In planning stages
	Total 41-12A			34,910	0	0	0	
41-12B	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Federal St T/H	Seal All Masonry (00045)	1460		7,000	0	0.00	0.00	In planning stages
	Total 41-12B			13,000	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-014	Architectural/Engineering Fees (00017)	1430		9,720	0	0.00	0.00	In planning stages
University Tower	Landscaping (00026)	1450		2,100	0	0.00	0.00	In planning stages
	Repair Sidewalks/ Remove Curbing (00021)	1450		2,625	0	0.00	0.00	In planning stages
	Upgrade Mechanical Remote Monitor Controls (005A2)	1460		8,400	0	3,155.27	1,991.39	Work in progress
	Asbestos Abatement (00023)	1460		96,600	0	0.00	0.00	In planning stages
	Upgrade Indirect Hot Water Heater (005B2)	1460		2,450	0	0.00	0.00	In planning stages
	Replace Unit Flooring (00092)	1460		58,800	0	0.00	0.00	In planning stages
	Upgrade Kitchens/Bathrooms (00062)	1460		38,465	0	0.00	0.00	In planning stages
	Upgrade Stairwell Doors (00081)	1460		2,000	0	0.00	0.00	In planning stages
	Upgrade Laundry Room Ventilation (005A3)	1470		2,100	0	0.00	0.00	In planning stages
	Furniture for Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-014			225,010	0	3,155	1,991	
41-015	Architectural/Engineering Fees (00017)	1430		3,000	0	0.00	0.00	In planning stages
Glenwood Gardens	Landscaping (00026)	1450		2,800	0	0.00	0.00	In planning stages
	Upgrade Site Lighting (00025)	1450		1,050	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		4,000	0	0.00	0.00	In planning stages
	Furniture for Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-015			12,600	0	0	0	
41-017	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Bronson Court	Upgrade Site Lighting (00025)	1450		13,650	0	0.00	0.00	In planning stages
	Total 41-017			19,650	0	0	0	
41-018	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Administrative Offices	Upgrade Heat Pumps (005A2)	1470		7,950	0	0.00	0.00	In planning stages
	Update Office Areas (00062)	1470		7,950	0	0.00	0.00	In planning stages
	Total 41-018			21,900	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-18A	Architectural/Engineering Fees (00017)	1430		5,500	0	0.00	0.00	In planning stages
Hudson-Ridge Tower	Add Parking at Rear of Building (00020)	1450		45,500	0	0.00	0.00	In planning stages
	Repair Concrete Gutters at the Road (00033)	1450		2,450	0	0.00	0.00	In planning stages
	Abate & Repair Elevator Room (00023)	1460		7,700	0	0.00	0.00	In planning stages
	HVAC Upgrades (005A2)	1460		37,338	0	14,025.17	1,991.08	Work in progress
	Repair/Seal Brickwork (00046)	1460		62,500	0	0.00	0.00	In planning stages
	Upgrade Unit/Stairwell Doors (00081)	1460		82,540	0	0.00	0.00	In planning stages
	Replace Flooring in Units (00092)	1460		151,780	0	0.00	0.00	In planning stages
	Replace Entrance Roof (00075)	1460		1,400	0	0.00	0.00	In planning stages
	Upgrade Kitchens (00062)	1460		173,863	0	0.00	0.00	In planning stages
	Upgrade Bathrooms (005B2)	1460		133,532	0	0.00	0.00	In planning stages
	New Furniture in Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	New Trash Compactor Containers (00117)	1470		8,400	0	0.00	0.00	In planning stages
	Total 41-18A			714,253	0	14,025.17	1,991.08	
41-18B	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Seneca Manor Twnhs	Landscaping/Grading for Drainage, Sidewalks (00026)	1450		17,920	0	0.00	0.00	In planning stages
	Fencing (00027)	1450		16,825	0	0.00	0.00	In planning stages
	Site Lighting (00025)	1450		7,000	0	0.00	0.00	In planning stages
	Correct Sewer Back-up/Waterproof Basements (00070)	1460		49,140	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		4,000	0	0.00	0.00	In planning stages
	Recoat Flat Roofs (00075)	1460		31,500	0	0.00	0.00	In planning stages
	New Furnace/Dehumidifier Units in Comm Rm (005A2)	1470		3,500	0	0.00	0.00	In planning stages
	Furniture for Community Room (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-18B			137,635	0	0	0	
41-019	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Glide Court Apts.	Landscaping (00026)	1450		3,500	0	0.00	0.00	In planning stages
	Site Lighting (00025)	1450		7,630	0	0.00	0.00	In planning stages
	Upgrade Zone Valves/Bath Heater (005A1)	1460		11,200	0	0.00	0.00	In planning stages
	Repair Roofs/Ventilation (00074)	1460		51,380	0	0.00	0.00	In planning stages
	New Signage and Building #'s (00106)	1460		4,200	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		3,500	0	0.00	0.00	In planning stages
	Correct Mold Issues in Office (00023)	1470		9,726	0	0.00	0.00	In planning stages
	Furniture for Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-019			98,886	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-022	Architectural/Engineering Fees (00017)	1430		8,000	0	0.00	0.00	In planning stages
Lake Tower	Replace BiFold with By-pass Doors (00081)	1460		54,240	0	0.00	0.00	In planning stages
	Upgrade Kitchens/Bathrooms (00062)	1460		96,656	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		4,000	0	0.00	0.00	In planning stages
	Upgrade Mechanical Remote Monitor Controls (005A2)	1460		8,400	0	3,155.27	1,991.08	Work in progress
	Upgrade Stairwell Doors (00082)	1460		2,000	0	0.00	0.00	In planning stages
	Replace Furniture in Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Upgrade Laundry Room Ventilation (005A3)	1470		2,100	0	0.00	0.00	In planning stages
	Total 41-022			177,146	0	3,155	1,991	
41-034	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Lexington Court	Landscaping/Sidewalks (00026)	1450		7,000	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		4,000	0	0.00	0.00	In planning stages
	Replace Basement Doors (00081)	1460		9,240	0	0.00	0.00	In planning stages
	Replace Furniture in Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Addition to Community Building (00061)	1470		2,500	0	0.00	0.00	In planning stages
	Total 41-034			30,490	0	0	0	
41-035	Architectural/Engineering Fees (00017)	1430		6,000	0	515.00	515.00	Work in progress
Harriet Tubman Estates	Repair Sidewalks/Patios for Drainage (00021)	1450		145,600	0	0.00	0.00	In planning stages
	Repair/Replace Cluster Mailboxes (00103)	1450		4,900	0	0.00	0.00	In planning stages
	Landscaping (00026)	1450		7,000	0	0.00	0.00	In planning stages
	Upgrade PVC plumbing (005B1)	1460		106,400	0	0.00	0.00	In planning stages
	Replace Unit Flooring (00092)	1460		123,760	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		6,500	0	0.00	0.00	In planning stages
	Replace Porch Fences (00027)	1460		66,300	0	0.00	0.00	In planning stages
	Repair Roof Flashings/Penetration (00074)	1460		139,875	0	0.00	0.00	In planning stages
	Repair HVAC Community Rm (005A1)	1470		7,000	0	0.00	0.00	In planning stages
	Relocate Laundry Rm (00061)	1470		12,600	0	0.00	0.00	In planning stages
	Replace Furniture in Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-035			627,685	0	515	515	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-038	Architectural/Engineering Fees (00017)	1430		6,000	0	515.00	515.00	Work in progress
Lena Gantt Estates	Landscaping (00026)	1450		7,000	0	0.00	0.00	In planning stages
	Install Water Shut-off Valves (005B1)	1460		7,000	0	0.00	0.00	In planning stages
	Replace Kitchen/Bathroom Faucets (005B2)	1460		53,200	0	0.00	0.00	In planning stages
	Replace Panels/Meter Boxes (005A1)	1460		10,500	0	0.00	0.00	In planning stages
	Replace Ranges/Refrigerators (00112)	1460		4,500	0	0.00	0.00	In planning stages
	Remodel Community Building (00061)	1470		1,750	0	0.00	0.00	In planning stages
	Upgrade HVAC in Comm Rm (005A2)	1470		7,000	0	0.00	0.00	In planning stages
	Replace Office Windows (00082)	1470		9,450	0	0.00	0.00	In planning stages
	Total 41-038			106,400	0	515	515	
41-039	Architectural/Engineering Fees (00017)	1430		4,000	0	0.00	0.00	In planning stages
Jonathan Child Apts.	Repair Sidewalks (00021)	1450		2,100	0	0.00	0.00	In planning stages
	Landscaping (00026)	1450		2,100	0	0.00	0.00	In planning stages
	Upgrade Mechanical Remote Monitor Controls (005A2)	1460		24,800	0	9,315.56	1,991.08	Work in progress
	Replace Ranges/Refrigerators (00112)	1460		2,500	0	0.00	0.00	In planning stages
	Upgrade Stairwell Doors (00081)	1460		2,000	0	0.00	0.00	In planning stages
	Repair/Paint Stairwells (00097)	1460		10,500	0	0.00	0.00	In planning stages
	Total 41-039			48,000	0	9,316	1,991	
41-040	Architectural/Engineering Fees (00017)	1430		20,000	0	0.00	0.00	In planning stages
AB Blackwell Estates	Upgrade Site Lighting (00025)	1450		35,000	0	0.00	0.00	In planning stages
	Replace Roofs (00074)	1460		109,350	0	0.00	0.00	In planning stages
	Power Wash Exterior (00044)	1460		7,000	0	0.00	0.00	In planning stages
	Upgrade Kitchens/Bathrooms (00062)	1460		62,125	0	0.00	0.00	In planning stages
	Replace Furniture in Common Areas (00123)	1470		1,750	0	0.00	0.00	In planning stages
	Total 41-040			235,225	0	0.00	0.00	
41-050	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Rehabilitate Fire Damaged Property (00061)	1460		39,989	0	0.00	0.00	In planning stages
	Total 41-050			45,989	0	0	0	
41-055	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Site Work (00026)	1450		0	0	0.00	0.00	In planning stages
	Dwelling (00062)	1460		0	0	0.00	0.00	In planning stages
	Non-Dwelling (00074)	1470		6,000	0	0.00	0.00	In planning stages
	Total 41-055			12,000	0	0	0	

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06P04150107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-058	Architectural/Engineering Fees (00017)	1430		6,000	0	0.00	0.00	In planning stages
Scattered Sites	Site Work (00026)	1450		0	0	0.00	0.00	In planning stages
	Dwelling (00062)	1460		0	0	0.00	0.00	In planning stages
	Non-Dwelling (00074)	1470		6,000	0	0.00	0.00	In planning stages
	Total 41-058			12,000	0	0	0	
41-ZZ	CFP to Operations	1406		450,000	0	0.00	0.00	
Authority Wide	Security for High Rises and Family Projects (01027)	1408		339,660	0	0.00	0.00	
	General Administrative Costs (01027)	1410		405,266	0	405,266.00	193,308.35	Work in progress
	Program Audit	1411		5,000	0	0.00	0.00	
	Total 41-ZZ			1,199,926	0	405,266.00	193,308.35	
			Total	4,700,025	0	447,803.76	207,315.14	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name: **ROCHESTER HOUSING AUTHORITY** Grant Type and Number: **NY06P04150107** Federal FY of Grant: **2007**
 Capital Fund Program Grant No: **NY06P04150107**
 Replacement Housing Factor Grant No:

	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide Operations	30-Sep-09			30-Sep-11			
" Mgmt Improvements	30-Sep-09			30-Sep-11			
" Admin	30-Sep-09			30-Sep-11			
" Program Audit	30-Sep-09			30-Sep-11			
" Fees & Costs	30-Sep-09			30-Sep-11			
41-01A Kennedy Tower	30-Sep-09			30-Sep-11			
41-02A Scattered Sites	30-Sep-09			30-Sep-11			
41-02B Danforth West	30-Sep-09			30-Sep-11			
41-2B1 Danforth East	30-Sep-09			30-Sep-11			
41-02C Atlantic T/H	30-Sep-09			30-Sep-11			
41-2C1 Bay Street T/H	30-Sep-09			30-Sep-11			
41-003 Scattered Sites	30-Sep-09			30-Sep-11			
41-006 Fairfield Village	30-Sep-09			30-Sep-11			
41-07A Parkside Apts	30-Sep-09			30-Sep-11			
41-07C Elmdorf Apts	30-Sep-09			30-Sep-11			
41-07D Parliament Arms	30-Sep-09			30-Sep-11			
41-008 Scattered Sites	30-Sep-09			30-Sep-11			
41-009 Holland T/H	30-Sep-09			30-Sep-11			
41-010 Scattered Sites	30-Sep-09			30-Sep-11			
41-12A Capsule Dwellings	30-Sep-09			30-Sep-11			
41-12B Federal Street T/H	30-Sep-09			30-Sep-11			
41-014 University Tower	30-Sep-09			30-Sep-11			
41-015 Glenwood Gardens	30-Sep-09			30-Sep-11			
41-017 Bronson Court	30-Sep-09			30-Sep-11			
41-18A Hudon-Ridge Tower	30-Sep-09			30-Sep-11			
41-18B Seneca Manor T/H	30-Sep-09			30-Sep-11			
41-019 Glide Street Apts	30-Sep-09			30-Sep-11			
41-022 Lake Tower	30-Sep-09			30-Sep-11			
41-033 Scattered Sites	30-Sep-09			30-Sep-11			
41-034 Lexington Court	30-Sep-09			30-Sep-11			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Supporting Pages

PHA Name: Grant Type and Number: Federal FY of Grant:

	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
41-035 H. Tubman Estates	30-Sep-09			30-Sep-11			
41-036 Scattered Sites	30-Sep-09			30-Sep-11			
41-038 Lena Gantt Estates	30-Sep-09			30-Sep-11			
41-039 Jonathan Child Apts	30-Sep-09			30-Sep-11			
41-040 AB Blackwell Estates	30-Sep-09			30-Sep-11			
41-050 Scattered Sites	30-Sep-09			30-Sep-11			
41-055 Scattered Sites	30-Sep-09			30-Sep-11			
41-058 Scattered Sites	30-Sep-09			30-Sep-11			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name:
Rochester Housing Authority

Original 5-Year Plan
Revision No:

Development Number/Name	Year 1 2007	Work Statement			
		Year 2 FFY Grant: 2008	Year 3 FFY Grant: 2009	Year 4 FFY Grant: 2010	Year 5 FFY Grant: 2011
41-01A Kennedy Tower		\$35,000	\$63,500	\$319,255	\$70,000
41-02A Scattered Sites		\$3,409	\$0	\$0	\$0
41-02B Danforth West		\$108,100	\$40,000	\$233,000	\$467,148
41-2B1 Danforth East		\$39,500	\$134,352	\$220,000	\$76,000
41-02C Atlantic T/H	Annual	\$57,600	\$10,000	\$45,600	\$63,784
41-2C1 Bay Street T/H		\$11,250	\$3,500	\$80,000	\$0
41-003 Scattered Sites	Statement	\$3,409	\$0	\$0	\$0
41-006 Fairfield Village		\$15,000	\$270,000	\$171,000	\$0
41-07A Parkside Apts		\$87,600	\$105,600	\$0	\$0
41-07C Elmdorf Apts		\$0	\$0	\$0	\$146,000
41-07D Parliament Arms		\$6,000	\$68,000	\$0	\$10,000
41-008 Scattered Sites	See	\$15,409	\$0	\$9,500	\$0
41-009 Holland T/H		\$40,800	\$0	\$0	\$0
41-010 Scattered Sites	Annual	\$111,958	\$0	\$0	\$0
41-12A Capsule Dwellings		\$80,000	\$48,000	\$30,000	\$0
41-12B Federal Street T/H	Statement	\$140,800	\$0	\$0	\$0
41-014 University Tower		\$449,000	\$323,209	\$258,000	\$96,500
41-015 Glenwood Gardens		\$76,350	\$485,698	\$95,000	\$0
41-017 Bronson Court		\$343,900	\$0	\$8,500	\$0
41-18A Hudon-Ridge Tower		\$940,615	\$447,040	\$533,800	\$440,435
41-18B Seneca Manor T/H		\$0	\$296,400	\$0	\$0
41-019 Glide Street Apts		\$7,000	\$47,156	\$0	\$58,000
41-022 Lake Tower		\$8,000	\$8,500	\$529,300	\$632,320
41-033 Scattered Sites		\$3,409	\$0	\$0	\$0
41-034 Lexington Court		\$465,210	\$0	\$125,000	\$99,768
41-035 H. Tubman Estates		\$40,000	\$551,500	\$312,000	\$400,000
41-036 Scattered Sites		\$3,409	\$0	\$0	\$0
41-038 Lena Gantt Estates		\$5,600	\$100,000	\$0	\$544,000
41-039 Jonathan Child Apts		\$65,400	\$114,000	\$0	\$0
41-040 AB Blackwell Estates		\$0	\$57,500	\$204,000	\$70,000
41-050 Scattered Sites		\$3,409	\$0	\$0	\$0
41-055 Scattered Sites		\$3,409	\$0	\$0	\$0
41-058 Scattered Sites		\$3,409	\$0	\$0	\$0
HA-Wide Physical Activities		\$0	\$0	\$0	\$0
HA-Wide Non-Physical Activities		\$1,360,267	\$1,360,267	\$1,360,267	\$1,360,267
HA-Wide Contingency @ X%		\$0	\$0	\$0	\$0
CFP Funds Listed for 5-year planning		\$3,173,955	\$3,173,955	\$3,173,955	\$3,173,955
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0
CFP Funds Listed for					

	5-year planning Grand Total		\$4,534,222		\$4,534,222		\$4,534,222		\$4,534,222	
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Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	41-01A Kennedy Tower	Interior Common Areas: Replace Furniture Mechanical and Electrical: Repair HVAC in Lobby	\$10,000 \$25,000	Site: Paving Repair/Seal/Strip Dwelling Units: Abate Asbestos Mechanical Rm HW Pump Upgrade/Air Balanc'g	\$8,500 \$30,000 \$25,000	Dwelling Units: Upgrade Kitchens/Bathrooms	\$319,255	Mechanical and Electrical: Service Exhaust Vents/Dampers Site Wide Facilities: Add Security Cameras	\$5,000 \$65,000
	41-01A	Subtotal	\$35,000	Subtotal	\$63,500	Subtotal	\$319,255	Subtotal	\$70,000
	41-02A Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Dwelling Units:	\$0	Dwelling Units:	\$0		
	41-02A	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-02B Danforth West	Dwelling Units: Replace Doors Interior Common Areas: Replace Doors Paint All Common Areas	\$39,300 \$43,800 \$25,000	Building Exterior: Repair Brickwork Dwelling Units: Install Intercom System	\$10,000 \$30,000	Site: Fencing Dwelling Units: Service Exhaust Vents/Dampers Replace Windows Exterior Common Areas: Build Cov'd/Concrete Picnic Area	\$10,000 \$8,000 \$200,000 \$15,000	Site: Paving Repair/Seal/Stripe Site-Wide Facilities: Replace Windows Security Cameras	\$7,500 \$399,648 \$60,000
	41-02B	Subtotal	\$108,100	Subtotal	\$40,000	Subtotal	\$233,000	Subtotal	\$467,148
	41-2B1 Danforth East	Interior Common Areas: Rehab Utility Rooms Paint Common Areas Replace Exit Doors	\$10,000 \$25,000 \$4,500	Dwelling Units: Install Intercom System Replace Doors Rehab Bathrooms Building Exterior: Repair Brickwork Mechanical and Electrical: HW Pump Upgrade	\$30,000 \$39,300 \$50,052 \$10,000 \$5,000	Site: Fencing Interior Common Areas: Replace Common Area Furniture Dwelling Units: Replace Windows	\$10,000 \$10,000 \$200,000	Site: Paving Repair Site-Wide Facilities: Replace Cast Iron Plumbing Security Cameras	\$7,500 \$8,500 \$60,000
	41-2B1	Subtotal	\$39,500	Subtotal	\$134,352	Subtotal	\$220,000	Subtotal	\$76,000

41-02C

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
See Annual Statement	Atlantic T/H 41-02C	Dwelling Units: Replace Flooring Upgrade Kitchens/Baths Subtotal	\$28,800 \$28,800 \$57,600	Site: Paving Repair/Seal/Stripe Subtotal	\$10,000 \$10,000	Building Exterior: Replace Roofs/Ventilation Subtotal	\$45,600 \$45,600	Building Exterior: Replace Siding Subtotal	\$63,784 \$63,784
	41-2C1 Bay Street T/H	Site: Paving Repair/Seal/Stripe Landscaping Dwelling Units: Upgrade Heat Controls Subtotal	\$4,000 \$10,000 \$8,500 \$11,250	Dwelling Units: Upgrade Sump Pumps Subtotal	\$3,500 \$3,500	Mechanical and Electrical: Replace Boilers Subtotal	\$80,000 \$80,000	Dwelling Units: Subtotal	\$0 \$0
See Annual Statement	41-003 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals Subtotal	\$972 \$451 \$868 \$868 \$250 \$3,409	Site: Dwelling Units: Subtotal	 \$0	 Subtotal	 \$0	Site: Dwelling Units: Subtotal	 \$0
	41-006 Fairfield Village	Mechanical and Electrical: Boiler System Repair Subtotal	\$15,000 \$15,000	Dwelling Units: Replace Unit Flooring Subtotal	\$270,000 \$270,000	Dwelling Units: Upgrade Kitchens/Baths Subtotal	\$171,000 \$171,000	Site: Building Exterior: Subtotal	 \$0
See Annual Statement	41-07A Parkside Apts	Site: Paving Seal/Coat/Stripe Dwelling Units: Upgrade Kitchens & Baths Subtotal	\$4,000 \$83,600 \$87,600	Dwelling Units: Replace Unit Flooring Subtotal	\$105,600 \$105,600	Site: Dwelling Units: Subtotal	 \$0	Dwelling Units: Subtotal	 \$0
	41-07C Elmdorf Apts	 Subtotal	 \$0	Dwelling Units: Subtotal	 \$0	Site: Subtotal	 \$0	Dwelling Units: Replace Flooring Subtotal	 \$0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-07C	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0	Subtotal	\$146,000
	41-07D Parliament Arms	Site-Wide Facilities: Emerg Generator/Comm Area	\$6,000	Dwelling Units: Upgrade Kitchens/Baths Replace Flooring	\$34,000 \$34,000	Site:		Interior Common Areas: Replace Furniture	10,000
	41-07D	Subtotal	\$6,000	Subtotal	\$68,000	Subtotal	\$0	Subtotal	\$10,000
See Annual Statement	41-008 Scattered Sites	Dwelling Units: Replace Doors Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$12,000 \$972 \$451 \$868 \$868 \$250	Dwelling Units:		Dwelling Units: Tubs	\$9,500		0
	41-008	Subtotal	\$15,409	Subtotal	\$0	Subtotal	\$9,500	Subtotal	0
	41-009 Holland T/H	Dwelling Units: Replace Faucets Repair Lintels Site: Seal Coat Asphalt	\$14,000 \$16,800 \$10,000	Dwelling Units:		Dwelling Units:		Dwelling Units:	
	41-009	Subtotal	\$40,800	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-010 Scattered Sites	Dwelling Units: Carpet Over VCT Repair Sub Floors Replace Windows	\$48,000 \$25,000 \$12,000	Dwelling Units:		Dwelling Units:		Dwelling Units:	

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-010	Mechanical and Electrical: Replace HW Heaters \$4,000 Upgrade Furnace System \$12,000 Upgrade mechanicals \$750 Building Exterior: Paving \$5,000 Replace Roofing/Ventilation \$2,604 Replace Siding \$2,604	\$111,958		\$0		\$0		\$0
See Annual Statement	41-12A Capsule Dwellings	Interior Common Areas: Replace Entry Doors \$19,200 Dwelling Units: Replace Kitchen/Baths \$60,800	\$80,000	Dwelling Units: Replace Flooring \$48,000	\$48,000	Building Exterior: Replace Steps w/Ramps \$10,000 Rehab Sidewalks/Remove Dumpster \$20,000	\$30,000	Site:	\$0
	41-12B Federal St T/H	Dwelling Units: Replace Kitchen/Baths \$60,800 Replace Carpet/Base \$48,000 Mechanical and Electrical: Upgrade Furnace \$32,000	\$140,800		\$0		\$0		\$0
	41-014 Univ Tower	Dwelling Units: Abatement/ACM \$378,000 New Intercom System \$30,000 Mechanical: Repair Boiler System \$6,000 Air Balancing \$10,000	\$378,000 \$30,000 \$6,000 \$10,000	Dwelling Units: Replace Kitchen & Baths \$318,209 Mechanical: Upgrade Domestic Pump \$5,000	\$318,209 \$5,000	Mechanical: Fire Alarm System Upgrade \$35,000 Site: Redesign Parking Lot \$55,000	\$35,000 \$55,000	Mechanical: Upgrade Heat Pumps \$8,000 Service Exhaust Vents/Fire Dampers \$10,000 Replace Sewer Main East Side \$8,500	\$8,000 \$10,000 \$8,500

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
		Interior Common: Reconfig W/U Window H/C Accessible	\$25,000			Dwelling Units: Replace Unit Flooring	\$168,000	Site-Wide Facilities: Install Security Cameras Each Floor	\$70,000
	41-014	Subtotal	\$449,000	Subtotal	\$323,209	Subtotal	\$258,000	Subtotal	\$96,500
See Annual	41-015 Glenwood Gardens	Building Exterior: Repair Roof Rear of Bldg	\$76,350	Mechanical: Replace Comm Room Boiler	\$5,000	Site: Replace Entrance Gates	\$30,000	Site Wide Facilities: Install Security Cameras	\$65,000
	41-015	Subtotal	\$76,350	Site: Seal/Stripe Parking & Asphalt Road	\$9,500	Dwelling Units: Replace Kitchens/Baths	\$471,198	Subtotal	\$95,000
				Subtotal	\$485,698	Subtotal	\$95,000	Subtotal	\$0
Statement	41-017 Bronson CT	Dwelling Units: Replace Flooring Replace Kitchen/Baths Replace Windows	\$117,700 \$148,200 \$78,000			Site: Repair/Seal/Stripe Parking Lot	\$8,500		
	41-017	Subtotal	\$343,900	Subtotal	\$0	Subtotal	\$8,500	Subtotal	\$0
	41-18A Hudson-Ridge Tower	Dwelling Units: Upgrade Kitchen/Baths Replace Flooring	\$627,615 \$300,000	Dwelling Units: Replace Faucets Install Bath tub Surrounds	\$152,640 \$127,200	Dwelling Units: Rehab Entrance/Balcony Doors	\$108,800	Dwelling Units: Replace Carpets	\$190,435
		Site: New Fencing	\$10,000	Mechanical: Heat Risers/Compensators/Vents Replace Domestic Water Pump Replace Fin Tubes	\$20,000 \$20,000 \$127,200	Mechanical: Upgrade Fire System	\$50,000	Interior Common Areas: Encapsulate Asbestos Ceilings	\$250,000
		Interior Common Areas: Rehab Laundry Room	\$3,000			Building Exterior: EIFS End of Building New EPDM; Roof	\$300,000 \$75,000		
	41-18A	Subtotal	\$940,615	Subtotal	\$447,040	Subtotal	\$533,800	Subtotal	\$440,435
See Annual	41-18B Seneca Manor T/H	Dwelling Units:		Dwelling Units: Replace Kitchen/Baths Replace Flooring Replace Doors	\$196,400 \$50,000 \$50,000				
	41-18B	Subtotal	\$0	Subtotal	\$296,400	Subtotal	\$0	Subtotal	\$0
Statement	41-019								

**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities**

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-034	Building Exterior: Repair Roofs \$4,000 Replace Entry Doors \$3,000 Site: Seal/Stripe Parking Area \$8,000 Subtotal \$465,210		Subtotal \$0		Subtotal \$125,000		Subtotal \$99,768	
	41-035 H Tubman Estates	Site: Replace Lighting \$40,000 Subtotal \$40,000	Building Interior: Replace Entry Doors \$156,000 Building Exterior: Replace Siding \$345,500 Site: Repair/Seal/Stripe Parking Area \$50,000 Subtotal \$551,500		Dwelling Units: Replace Flooring/Carpet \$312,000 Subtotal \$312,000	Dwelling Units: Replace Kitchen/Baths \$395,200 Site-Wide Facilities: Replace Flooring Comm Room \$4,800 Subtotal \$400,000			
See Annual Statement	41-036 Scattered Sites	Dwelling Units: Rehab Interior of Units \$972 Replace Windows \$451 Building Exterior: Replace Roofing/Ventilation \$868 Replace Siding \$868 Mechanical and Electrical: Upgrade mechanicals \$250 Subtotal \$3,409	Site: Building Exterior: Dwelling Units:	Subtotal \$0		Subtotal \$0	Dwelling Units: Subtotal \$0		
	41-038 Lena Gantt Estates	Site-Wide Facilities: Replace Mail Boxes \$5,600 Subtotal \$5,600	Mechanical and Electrical: Upgrade Baseboard Heat \$100,000 Subtotal \$100,000			Dwelling Units: Replace Kitchen/Baths \$304,000 Replace Flooring/Carpet \$240,000 Subtotal \$544,000			

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages---Work Activities

Activities for Year 1 2007	Activities for Year 2 FFY Grant: 2008			Activities for Year 3 FFY Grant: 2009		Activities for Year 4 FFY Grant: 2010		Activities for Year 5 FFY Grant: 2011	
	Development Name/Number	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost	Major Work Categories	Estimated Cost
	41-039 Jonathan Child Apts	Mechanical and Electrical: Upgrade Baseboard Heat Interior Common Areas: Rehab Common Bath 1st Floor Rehab Dining Room 2nd Floor Building Interior: Rehab Hallways	\$10,000 \$5,400 \$20,000 \$30,000	Dwelling Units: Replace Kitchen/Baths	\$114,000				
	41-039	Subtotal	\$65,400	Subtotal	\$114,000	Subtotal	\$0	Subtotal	\$0
See Annual Statement	41-040 AB Blackwell Estates			Dwelling Units: Replace Appliances Building Exterior: Repair/Seal/Stripe Parking Area	\$51,000 \$6,500	Dwelling Units: Replace Kitchen/Baths	\$204,000	Interior Common Areas: Replace Hallway/Laundry Floors Install 3 Handicap Lifts	\$25,000 \$45,000
	41-040	Subtotal	\$0	Subtotal	\$57,500	Subtotal	\$204,000	Subtotal	\$70,000
	41-050 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Site: Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:		Building Exterior: Dwelling Units:	
	41-050	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0
	41-055 Scattered Sites	Dwelling Units: Rehab Interior of Units Replace Windows Building Exterior: Replace Roofing/Ventilation Replace Siding Mechanical and Electrical: Upgrade mechanicals	\$972 \$451 \$868 \$868 \$250	Site:		Dwelling Units:		Building Exterior: Dwelling Units:	
	41-055	Subtotal	\$3,409	Subtotal	\$0	Subtotal	\$0	Subtotal	\$0

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/08		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	93,611	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	93,611	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150207 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/08		<input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	7,037	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	7,037	0	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

7/11/2008

CFP 502.07 RHF Phase II 03-31-08 P&E, Part1

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: **ROCHESTER HOUSING AUTHORITY** Grant Type and Number: **NY06P04150106** Federal FY of Grant: **2006**
 Capital Fund Program Grant No: **Replacement Housing Factor Grant No:**

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement Final Performance and Evaluation Report
 Performance and Evaluation Report for Period Ending: **03/31/08**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0.00	0.00	0.00
2	1406 Operations	271,437	271,437.00	271,437.00	271,437.00
3	1408 Management Improvements Soft Costs	436,694	345,197.00	345,196.57	345,196.57
	Management Improvements Hard Costs	0	0.00	0.00	0.00
4	1410 Administration	440,410	440,410.00	440,410.00	381,453.07
5	1411 Audit	5,000	5,000.00	0.00	0.00
6	1415 Liquidated Damages	0	0.00	0.00	0.00
7	1430 Fees and Costs	38,787	71,808.00	53,150.00	52,557.50
8	1440 Site Acquisition	0	0.00	0.00	0.00
9	1450 Site Improvement	222,737	222,737.00	125,810.55	113,263.30
10	1460 Dwelling Structures	2,388,328	2,230,663.00	2,067,163.82	720,872.69
11	1465.1 Dwelling Equipment--Nonependable	0	0.00	0.00	0.00
12	1470 Nondwelling Structures	730,829	946,970.00	837,764.91	129,792.16
13	1475 Nondwelling Equipment	0	0.00	0.00	0.00
14	1485 Demolition	0	0.00	0.00	0.00
15	1490 Replacement Reserve	0	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0	0.00	0.00	0.00
17	1495.1 Relocation Costs	0	0.00	0.00	0.00
18	1499 Development Activities	0	0.00	0.00	0.00
19	1501 Collateralization of Debt Service	0	0.00	0.00	0.00
20	1502 Contingency	0	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	4,534,222	4,534,222.00	4,140,932.85	2,014,572.29
22	Amount of line 21 Related to LBP Activities	0	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0.00	0.00	0.00
24	Amount of line 21 Related to Security--Soft Costs	880,821	880,821.00	880,821.00	880,821.00
25	Amount of line 21 related to Security--Hard Costs	0	0.00	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	51,000	51,000.00	51,000.00	51,000.00

Anthony P. DiBiase
 Executive Director

Joan K. Spilman
 Director of Public Housing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		Replacement Housing Factor Grant No:		NY06P04150106		Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work			
				Original	Revised	Obligated	Expended				
41-01A	Landscaping (00002)	1450		0	0	0.00	0.00	Priority Lowered			
	Install Air Conditioning in Lobby (02011)	1470		0	0	0.00	0.00	Priority Lowered			
	Total 41-01A			0	0	0.00	0.00				
41-2A	Architectural Fees (00526)	1430		209	209	0.00	0.00	In Planning Stages			
	Major Int/Ext Renovations (02037)	1460		0	0	0.00	0.00	Priority Lowered			
	Total 41-2A			209	209	0	0				
41-2B	Architectural Fees (00526)	1430		1,380	1,380	0.00	0.00	In Planning Stages			
	Landscaping (00551)	1450		0	0	0.00	0.00	Priority Lowered			
	Fencing (04066)	1450		0	0	0.00	0.00	Priority Lowered			
	Clean Air Vents (01216)	1460		0	0	0.00	0.00	Priority Lowered			
	Paint Halls (00692)	1470		0	0	0.00	0.00	Priority Lowered			
	Total 41-2B			1,380	1,380	0	0				
41-2B1	Architectural Fees (00526)	1430		209	209	0.00	0.00	In Planning Stages			
	Landscaping (00551)	1450		0	0	0.00	0.00	Priority Lowered			
	Fencing (04066)	1450		0	0	0.00	0.00	Priority Lowered			
	Clean Air Vents (01216)	1460		5,000	5,000	0.00	0.00	In Planning Stages			
	Paint Halls (00692)	1470		0	0	0.00	0.00	Priority Lowered			
	Total 41-2B1			5,209	5,209	0.00	0.00				
41-2C	Architectural Fees (00526)	1430		1,724	1,724	0.00	0.00	In Planning Stages			
	Repair Roofs (00752)	1460		0	0	0.00	0.00	Priority Lowered			
	Replace Flooring (00608)	1460		0	0	0.00	0.00	Priority Lowered			
	Total 41-2C			1,724	1,724	0	0				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Replacement Housing Program Grant No: NY06P04150106		Federal FY of Grant: 2006				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-2C1	Repair Flooring (04023)	1460		0	0	0.00	0.00	Priority Lowered
Bay St Twnhs				0	0	0.00	0.00	
	Total 41-2C1			0	0	0.00	0.00	
41-03	Architectural Fees (00526)	1430		1,839	1,839	0.00	0.00	In Planning Stages
Scattered Sites	Major Int/Ext Renovation (00621)	1460		0	0	0.00	0.00	Priority Lowered
	Total 41-03			1,839	1,839	0	0	
41-06	Architectural Fees (01901)	1430		0	0	0.00	0.00	Priority Lowered
Fairfield Village	Landscape/SiteWork (01972)	1450		0	0	0.00	0.00	Priority Lowered
	Upgrade Kitchens (01536)	1460		0	0	0.00	0.00	Priority Lowered
	Upgrade Bathrooms (00868)	1460		0	0	0.00	0.00	Priority Lowered
	Total 41-06			0	0	0.00	0.00	
41-7A	Replace Roof (00753)	1460		0	0	0.00	0.00	Priority Lowered
Parkside Apts	Mechanical Upgrades (02049)	1460		29,382	68,837	68,836.57	68,836.57	Complete
	Total 41-7A			29,382	68,837	68,837	68,837	
41-07C	Architectural Fees (00526)	1430		209	209	0.00	0.00	Work in Progress
Elmdorf Apts.				209	209	0.00	0.00	
	Total 41-07C			209	209	0.00	0.00	
41-7D	Insulation/Venting (00754)	1460		0	0	0.00	0.00	Priority Lowered
Parliament Arms	Repair/Replace Roof (00752)	1460		143,546	143,546	143,546.20	143,546.20	Complete
	Replace Windows (00761)	1460		233,520	233,520	233,520.00	233,520.00	Complete
	Repair/Replace Flooring (04027)	1460		0	0	0.00	0.00	In Planning Stages
	Total 41-7D			377,066	377,066	377,066.20	377,066.20	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Replacement Housing Factor Grant No:		Federal FY of Grant:		
		Replacement Program Grant No:		NY06P04150106		2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-08	Architectural Fees (00526)	1430	153	153	0.00	0.00	0.00	In Planning Stages
Scattered Sites	Replace Aluminum Wiring (04082)	1450	0	0	0.00	0.00	0.00	Priority Lowered
	Major Int/Ext Renovation(00932)	1460	0	0	0.00	0.00	0.00	Priority Lowered
	Total 41-08		153	153	0	0	0	
41-09	Landscaping/Site Work (00551)	1450	0	0	0.00	0.00	0.00	Priority Lowered
Holland Townhouses	Repl All 2 Handled Faucets In Units (09662)	1460	0	0	0.00	0.00	0.00	Priority Lowered
	Total 41-09		0	0	0.00	0.00	0.00	
41-10	Architectural Fees (00526)	1430	13,750	13,750	12,126.50	11,926.50	11,926.50	Near Complete
Scattered Sites	Landscaping/Tree Removal (00551)	1450	0	0	0.00	0.00	0.00	Priority Lowered
	Update Site Lighting (04082)	1450	0	0	0.00	0.00	0.00	Priority Lowered
	Major Int Rehab (00150)	1460	137,500	53,495	53,494.81	48,620.88	48,620.88	Near Complete
	Waterproofing Basements (04043)	1460	0	0	0.00	0.00	0.00	Priority Lowered
	Total 41-10		151,250	67,245	65,621	60,547	60,547	
41-14	Architectural Fees (00526)	1430	1,840	1,840	762.50	510.00	510.00	Work In Progress
University Tower	Landscaping/Site Work (00551)	1450	0	0	0.00	0.00	0.00	Priority Lowered
	Major Interior Renovations (04001)	1460	1,272,800	1,434,028	1,334,028.44	26,032.44	26,032.44	Work In Progress
	Total 41-14		1,274,640	1,435,868	1,334,811	26,542	26,542	
41-15	Repair Exterior Soffits (09781)	1460	0	0	0.00	0.00	0.00	Priority Lowered
Glenwood Gardens	Wash/Repair/Seal Ext Brick O/c Bldg(09771)	1470	0	0	0.00	0.00	0.00	Priority Lowered
	Total 41-15		0	0	0.00	0.00	0.00	
41-17	Architectural Fees (00526)	1430	209	3,778	3,367.50	3,367.50	3,367.50	Near Complete
Bronson Ct.								
	Total 41-17		209	3,778	3,367.50	3,367.50	3,367.50	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		NY06P04150106		Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised	Obligated	Expended		
41-18	Energy Program--Siermans (04106)	1460		770	770	770.00	0.00	Balance from 501.05	
	Total 41-18			770	770	770.00	0.00		
41-18A	Architectural Fees (00526)	1430		1,420	13,800	8,850.00	8,850.00	Work in Progress	
Hudson Ridge Tower	Exterior Brick Restoration (04089)	1460		177,918	177,918	177,913.80	154,290.60	Near Complete	
	Paint Units (00692)	1460		0	0	0.00	0.00	Priority Lowered	
	Replace Balcony Doors (00772)	1460		234,433	0	0.00	0.00	Work Completed 501.05	
	Major Int/Ext Rehab (00338)	1460		9,500	10,000	9,028.00	0.00	Coverage from 501.05	
	Repair Lobby Roof (00752)	1470		0	0	0.00	0.00	Priority Lowered	
	Build Maint Storage Structure (03085)	1470		0	0	0.00	0.00	Priority Lowered	
	Total 41-18A			423,271	201,718	195,792	163,141		
41-18B	Architectural Fees (00526)	1430		1,069	1,069	0.00	0.00	In Planning Stages	
Seneca Manor Twrhts	Repair/Replace Roofs (00752)	1460		40,500	40,500	0.00	0.00	In Planning Stages	
	Insulation/Ventling (00754)	1460		0	0	0.00	0.00	Priority Lowered	
	Major Int/Ext Rehab (01913)	1460		46,026	46,026	46,026.00	46,026.00	Complete	
	Total 41-18B			87,595	87,595	46,026	46,026		
41-19	Repr Masonry at Apt Steps/Entries (00771)	1460		3,500	3,500	0.00	0.00	In Planning Stages	
Glide Court Apts.	Landscaping/Site Work (00551)	1450		0	0	0.00	0.00	Priority Lowered	
	Total 41-19			3,500	3,500	0.00	0.00		
41-22	Architectural Fees (00526)	1430		964	964	710.00	590.00	Work in Progress	
Lake Tower	Replace Closet Doors (03062)	1460		5,324	5,323	0.00	0.00	In Planning Stages	
	Improve/Upgrade Marketability (01753)	1470		730,829	946,970	837,764.91	129,792.16	Work in Progress	
	Total 41-22			737,117	953,257	838,475	130,382		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name:		ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		NY06P04150106		Federal FY of Grant:		2006	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work					
				Original	Revised	Obligated	Expended						
41-33	Scattered Sites			1,379	0	0.00	0.00	0.00	Priority Lowered				
				1,379	0	0.00	0.00	0.00					
	Total 41-33												
41-34	Lexington Court			40	1,760	1,760.00	1,760.00	1,760.00	Complete				
				8,200	8,200	0.00	0.00	0.00	In Planning Stages				
	Total 41-34			8,240	9,960	1,760	1,760	1,760					
41-35	H Tubman Estates			45,115	45,115	0.00	0.00	0.00	In Planning Stages				
				0	0	0.00	0.00	0.00	Priority Lowered				
	Total 41-35			45,115	45,115	0.00	0.00	0.00					
41-36	Scattered Sites			1,073	0	0.00	0.00	0.00	Priority Lowered				
				1,073	0	0.00	0.00	0.00					
	Total 41-36												
41-38	Lena Gantt Estates			10,554	10,554	10,553.50	10,553.50	10,553.50	Complete				
				55,622	55,622	55,621.55	48,464.91	48,464.91	Work In Progress				
				0	0	0.00	0.00	0.00	Priority Lowered				
	Total 41-38			66,176	66,176	66,175.05	59,018.41	59,018.41					
41-39	Jonathan Child Apts.			1430	17,804	15,000.00	15,000.00	15,000.00	In Planning Stages				
				1450	0	0.00	0.00	0.00	Priority Lowered				
				1470	0	0.00	0.00	0.00	Priority Lowered				
				1470	0	0.00	0.00	0.00	Priority Lowered				
	Total 41-39			0	17,804	15,000.00	15,000.00	15,000.00					

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: **ROCHESTER HOUSING AUTHORITY** Grant Type and Number: **Capital Fund Program Grant No: NY08P04150106** Federal FY of Grant: **2006**
Replacement Housing Factor Grant No:

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
41-40	Resurface/Stripe Parking Areas (00601)	1450	77,000	77,000	70,189.00	64,798.39	Work In Progress	
Blackwell Estates	Replace Roofs (00753)	1460	40,409	0	0.00	0.00	In Planning Stages	
	Rpl Flooring In Halls/Common Areas (00750)	1470	0	0	0.00	0.00	Priority Lowered	
	Total 41-40		117,409	77,000	70,189.00	64,798.39		
41-50	Architectural Fees (00526)	1430	613	613	0.00	0.00	In Planning Stages	
Scattered Sites	Resurface/Stripe Parking Areas (00601)	1450	45,000	45,000	0.00	0.00	In Planning Stages	
	Total 41-50		45,613	45,613	0	0		
41-55	Architectural Fees (00526)	1430	153	153	0.00	0.00	In Planning Stages	
Scattered Sites			153	153	0.00	0.00		
	Total 41-55		153	153	0.00	0.00		
41-ZZ	CFP to Operations	1406	271,437	271,437	271,437.00	271,437.00	Complete	
Authority Wide	Security for Hi-Rises/Family Projects (01027)	1408	436,694	345,197	345,196.57	345,196.57	Complete	
	General Administrative Costs (01027)	1410	440,410	440,410	440,410.00	381,453.07	Work In Progress	
	Program Audit (1617)	1411	5,000	5,000	0.00	0.00	Work In Progress	
	Total 41-ZZ		1,153,541	1,062,044	1,057,043.57	998,086.64		
	Total 501.06 Grant		4,534,222	4,534,222	4,140,932.85	2,014,572.29		

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/08		Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	90,552	93,227	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	90,552	93,227	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/08 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	6,732	6,931	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	6,732	6,931	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number Capital Fund Program Grant No: NY06R04150206 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/08 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	6,732	6,931	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	6,732	6,931	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security –Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Athony P. DiBiase
Executive Director

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Director of Public Housing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NY06R04150105	Federal FY of Grant: 2005
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 3-31-08 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	0	0	0.00	0.00
3	1408 Management Improvements Soft Costs	0	0	0.00	0.00
	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	0	0	0.00	0.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	0	0	0.00	0.00
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	0	0	0.00	0.00
10	1460 Dwelling Structures	0	0	0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	0	0	0.00	0.00
13	1475 Nondwelling Equipment	0	0	0.00	0.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	114,993	114,993	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	114,993	114,993	0.00	0.00
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security—Soft Costs	0	0	0.00	0.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0	0	0.00	0.00

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: **ROCHESTER HOUSING AUTHORITY** Grant Type and Number: **Capital Fund Program Grant No: Replacement Housing Factor Grant No: NY06P04150104** Federal FY of Grant: **2004**

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement
 Performance and Evaluation Report for Period Ending: **03/31/08** Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0.00	0.00
2	1406 Operations	364,605	365,302	365,302.45	365,302.45
3	1408 Management Improvements Soft Costs	343,652	343,652	343,651.91	343,651.91
3	Management Improvements Hard Costs	0	0	0.00	0.00
4	1410 Administration	510,000	510,000	510,000.00	510,000.00
5	1411 Audit	0	0	0.00	0.00
6	1415 Liquidated Damages	0	0	0.00	0.00
7	1430 Fees and Costs	69,378	69,380	69,379.50	69,379.50
8	1440 Site Acquisition	0	0	0.00	0.00
9	1450 Site Improvement	142,596	142,596	142,595.68	142,595.68
10	1460 Dwelling Structures	3,132,814	3,116,331	3,116,331.03	2,697,388.30
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0.00	0.00
12	1470 Nondwelling Structures	530,812	546,596	546,596.08	544,988.57
13	1475 Nondwelling Equipment	35,840	35,840	35,840.00	35,840.00
14	1485 Demolition	0	0	0.00	0.00
15	1490 Replacement Reserve	0	0	0.00	0.00
16	1492 Moving to Work Demonstration	0	0	0.00	0.00
17	1495.1 Relocation Costs	0	0	0.00	0.00
18	1499 Development Activities	0	0	0.00	0.00
19	1501 Collateralization of Debt Service	0	0	0.00	0.00
20	1502 Contingency	0	0	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2-20)	5,129,697	5,129,697	5,129,696.65	4,649,146.41
22	Amount of line 21 Related to LBP Activities	0	0	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0	0	0.00	0.00
24	Amount of line 21 Related to Security—Soft Costs	339,435	339,435	339,435.00	339,435.00
25	Amount of Line 21 related to Security-- Hard Costs	0	0	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	172,639	172,639	172,639.00	172,639.00

Anthony P. DiBiase
 Executive Director

Joan K. Spilman
 Director of Public Housing

501.04 3-31-08 P&E Report
 08/06/07

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: **ROCHESTER HOUSING AUTHORITY** Grant Type and Number: **Capital Fund Program Grant No: NY06P04150104** Federal FY of Grant: **2004**

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-01A Kennedy Tower	Roof Replacement (02036)	1460		178,000	178,000	178,000.00	178,000.00	Complete
	Total 41-01A			178,000	178,000	178,000.00	178,000.00	
NY41-02A Scattered Sites	Roof Replacement (02039)	1460		11,006	11,006	11,006.00	11,006.00	Complete
	Total 41-02A			11,006	11,006	11,006.00	11,006.00	
NY41-02B Danforth Tower West	Architectural Fees (1891)	1430		1,358	1,359	1,358.75	1,358.75	Complete
	Roof Replacement (02040)	1460		25,000	25,000	25,000.00	25,000.00	Complete
	Upgraded elevators (4100)	1460		17,920	17,920	17,920.00	17,920.00	Complete
	Repair/replace waste lines in basement (4044)	1470		34,500	34,500	34,500.00	34,500.00	Complete
	Total 41-02B			78,778	78,779	78,778.75	78,778.75	
NY41-2B1 Danforth Tower East	Architectural Fees (1892)	1430		2,446	2,446	2,446.25	2,446.25	Complete
	Repair/wash/seal exterior of building (4047)	1460		665	665	665.00	665.00	Complete
	Upgrade Elevators (4099)	1460		17,920	17,920	17,920.00	17,920.00	Complete
	Total 41-02B1			21,031	21,031	21,031.25	21,031.25	
NY41-02C Atlantic Townhouses	Replace wood entry doors (4052)	1460		14,564	14,564	14,563.90	14,563.90	Complete
	Mechanical Upgrades (2042)	1460		6,100	6,100	6,100.00	6,100.00	Complete
	Total 41-02C			20,664	20,664	20,663.90	20,663.90	

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		NY06P04150104		Federal FY of Grant:		2004					
Development Number Name/HA-Wide Activities		General Description of Major Work Categories		Replacement Housing Factor Grant No:		Total Estimated Cost		Total Actual Cost		Status of Work					
				Dev. Acct. No.		Quantity		Original		Revised		Obligated		Expended	
NY41-06	Repair drives/parking lot (0806)	1450				22,518		22,518	22,518.98			22,518.98		Complete	
Fairfield Village	Landscape/site work (1972)	1450				80,000		80,000	80,000.00			80,000.00		Complete	
	Total 41-06					102,518		102,518	102,518.98			102,518.98			
NY41-07A	Mechanical Upgrades (2049)	1460				32,457		32,457	32,457.00			32,457.00		Complete	
Parkside Apts.						32,457		32,457	32,457.00			32,457.00			
	Total 41-07A					32,457		32,457	32,457.00			32,457.00			
NY41-07C	Architectural Fees (01902)	1430				3,487		3,488	3,487.50			3,487.50		Complete	
Elmdorf Apts.						3,487		3,488	3,487.50			3,487.50			
	Total 41-07C					3,487		3,488	3,487.50			3,487.50			
NY41-07D	Install hot water boilers & tanks(01851)	1460				11,250		11,250	11,250.00			11,250.00		Complete	
Parliament Arms	Architectural Fees (01902)	1430				3,572		3,572	3,572.50			3,572.50		Complete	
	Install Fire Alarms (00727)	1460				53,557		53,557	53,556.60			53,556.60		Complete	
	Total 41-07D					68,379		68,379	68,379.10			68,379.10			
NY41-09	Replace Roof/Vents/Gutters (00119)	1460				177,517		177,517	177,516.80			177,516.80		Complete	
Holland T/H						177,517		177,517	177,516.80			177,516.80			
	Total 41-09					177,517		177,517	177,516.80			177,516.80			

Anthony P. DiBiase
Executive Director

Joan K. Spillman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		NY06P04150104		Federal FY of Grant:		2004	
Development Number Name/HA-Wide Activities		General Description of Major Work Categories		Replacement Housing Factor Grant No:		Total Estimated Cost		Total Actual Cost		Status of Work	
				Original		Revised		Obligated		Expended	
				Dev. Acct. No.		Quantity		Total Estimated Cost		Total Actual Cost	
NY41-10	Major interior/exterior rehab (0150)	1460		80,319	80,319	80,318.95	80,318.95	Complete			
Scattered Sites	Roof Replacement (00119)	1460		13,500	13,500	13,500.00	13,500.00	Complete			
	Architectural Fees	1430		12,200	12,200	12,200.00	12,200.00	Complete			
	Total 41-10			106,019	106,019	106,018.95	106,018.95				
NY41-12A	Landscaper/site work (1977)	1450		23,550	23,550	23,550.00	23,550.00	Complete			
	Total 41-12A			23,550	23,550	23,550.00	23,550.00				
NY41-14	Replace exterior doors (4063)	1460		14,167	14,167	14,167.25	14,167.25	Complete			
University Tower	Install new radiation (2009)	1460		5,100	5,100	5,100.00	5,100.00	Complete			
	Roof Replacement (02069)	1460		183,000	183,000	183,000.00	183,000.00	Complete			
	Upgrade elevators (4096)	1475		17,920	17,920	17,920.00	17,920.00	Complete			
	Total 41-14			220,187	220,187	220,187.25	220,187.25				
NY41-15	Architectural Fees(00526)	1430		2,410	2,410	2,410.25	2,410.25	Complete			
Glenwood Gardens	Community building repairs (2010)	1470		7,379	7,379	7,379.00	7,379.00	Complete			
	Convert laundries to gas (1989)	1470		10,684	13,540	13,539.76	13,539.76	Complete			
	Total 41-15			20,473	23,329	23,329.01	23,329.01				

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: [] Original Annual Statement [] Reserve for Dis	ROCHESTER HOUSING AUTHORITY	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
					NY06P04150104	Federal FY of Grant:	2004		
NY41-34	Install Fire Alarms (00727)	1460		74,500	74,500	74,500.00	74,500.00	Complete	
Lexington Court	Replace Common Area Closures(01483)	1470		108,783	108,783	108,782.80	108,782.80	Complete	
	Build community room (3085)	1470		6,037	6,037	6,037.41	4,429.90	Near complete	
	Architectural Fees (01902)	1430		2,795	2,795	2,795.00	2,795.00	Complete	
	Total 41-34			192,115	192,115	192,115.21	190,507.70		
NY41-35	Architectural fees (4081)	1430		6,400	6,400	6,400.00	6,400.00	Complete	
Harriet Tubman Estates	Paint dwelling units (0692)	1460		44,200	44,200	44,200.00	44,200.00	Complete	
	Total 41-35			50,600	50,600	50,600.00	50,600.00		
NY41-38	Repair/replace uneven sidewalk (4006)	1450		704	704	703.80	703.80	Complete	
Lena Gantt Estates									
	Total 41-38			704	704	703.80	703.80		
NY41-39	Architectural fees (4086)	1430		1,213	1,213	1,212.50	1,212.50	Complete	
Jonathan Child Apts.	Repair/wash/seal brick (4089)	1460		349,190	349,189	349,189.47	349,189.47	Complete	
	Upgrade stairwell heat units (4087)	1460		4,582	4,582	4,582.00	4,582.00	Complete	
	Repl windows, dts & frames	1460		159,822	159,822	159,821.80	159,821.80	Complete	
	Partial abate/remove boilers (4112)	1460		12,600	12,600	12,600.00	12,600.00	Complete	
	Upgrade elevator (4090)	1460		62,137	62,137	62,136.60	62,136.60	Complete	
	Remodel community room (4107)	1470		19,116	19,116	19,115.62	19,115.62	Complete	
	Total 41-39			608,660	608,659	608,657.99	608,657.99		

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

PHA Name: ROCHESTER HOUSING AUTHORITY		Grant Type and Number		Capital Fund Program Grant No:		Federal FY of Grant:		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Dis		Capital Fund Program Grant No:		NV06P04150104		2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NY41-40	Replace heat/hw system (0990)	1460		108,521	108,521	108,520.72	108,520.72	Complete
Blackwell Estates	Install Fire Alarms (00727)	1460		90,800	90,800	90,800.00	90,800.00	Complete
	Architectural Fees (01902)	1430		3,440	3,440	3,440.00	3,440.00	Complete
	Total 41-40			202,761	202,761	202,760.72	202,760.72	
NY41-50	Roof Replacement (01737)	1460		14,683	14,683	14,683.40	14,683.40	Complete
Scattered Sites								
	Total 41-50			14,683	14,683	14,683.40	14,683.40	
HA-Wide	Computer hardware/printers	1406		25,000	25,000	25,000.00	25,000.00	Complete
Operations	CFP funds for operations	1406		339,605	340,302	340,302.45	340,302.45	Complete
	Total 1406			364,605	365,302	365,302.45	365,302.45	
HA-Wide	Staff training employees (0997)	1408		2,584	2,584	2,584.41	2,584.41	Complete
Mgmt. Improvements	Security for sites (1002)	1408		339,435	339,435	339,435.00	339,435.00	Complete
	Software & training (1004)	1408		1,633	1,633	1,632.50	1,632.50	Complete
	Total 1408			343,652	343,652	343,651.91	343,651.91	
HA-Wide	General admin. Costs (1027)	1410		510,000	510,000	510,000.00	510,000.00	Complete
Admin								
	Total 1410			510,000	510,000	510,000.00	510,000.00	

Anthony P. DiBiase
Executive Director

Joan K. Spilman
Director of Public Housing

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PUBLIC NOTICE

The Rochester Housing Authority announces a 45-day public comment period to beginning May 12, 2008 and ending June 26, 2008 to solicit suggestions concerning the FY 2008 Agency Plan. A copy of the draft Agency Plan may be accessed at the following web address:

<http://www.rochesterhousing.org>

A hard copy version may be reviewed at the following location: Executive Office, 675 West Main Street between the hours of 10:00 a.m. and 3:00 p.m. M-F.

A Public Hearing will be held on FY 2008 Agency Plan and Capital Fund Program, a program funded by the U.S. Department of Housing and Urban Development (HUD) for physical and management improvements in public housing. The hearing will be held: Thursday, June 26, 2008 at 6:00 p.m. in the T.F. McHugh Training Center at 675 West Main Street, Rochester, NY 14611.

At the June 26th hearing, interested parties are encouraged to SIGN IN and speak for a limited time. Those having lengthy comments should be submitted in writing: Attention - 2008 Agency Plan.BFW-1x

First ran on: 05/02/2008

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**Standard PHA Plan
PHA Certifications of Compliance**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and
Streamlined 5-Year/Annual PHA Plans***

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PIA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___standard Annual, ___ standard 5-Year/Annual or ___streamlined 5-Year/Annual PHA Plan for the PIA fiscal year beginning _____, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

- 13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
- 14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- 15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
- 16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- 17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- 18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
- 19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
- 20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
- 21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Rochester Housing Authority
PHA Name

NY041
PHA Number/HA Code

- Standard PHA Plan for Fiscal Year: 2009
- Standard Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__
- Streamlined Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Elston Hernandez	Title Chairman
Signature X 	Date 6/4/08

**Certification of Payments
to Influence Federal Transactions**

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Applicant Name

Rochester Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Authority

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Anthony P. DiBiase

Title

Executive Director/CEO

Signature



Date (mm/dd/yyyy)

4/3/08

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Rochester Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Authority

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

City of Rochester New York, Monroe County, and surrounding New York State counties.

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Anthony P. DiBiase

Title

Executive Director/CEO

Signature

Date

X

CIVIL RIGHTS CERTIFICATION

The Rochester Housing Authority does hereby agree and certify that it will carry out this Agency Plan (both our Five-Year Plan and our Annual Plan) in compliance with all applicable civil right requirements and will affirmatively further fair housing. In particular, we will comply with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990. This is in continuation of our long-standing anti-discrimination tradition.

A.P.D.B.

Anthony P. DiBiase, Executive Director /CEO

6/3/08

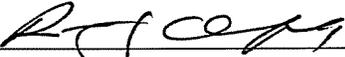
Date

RHA Agency Plan Consistent with Consolidated Plan
FY 2009

Certification of Consistency

The City of Rochester has reviewed the 2009 Agency Plan of the Rochester Housing Authority and certifies that:

1. The Agency Plan assists in meeting the housing needs of the City of Rochester through its housing programs and by carrying out the goals and objectives of the Rochester Housing Authority.
2. The Agency Plan is consistent with housing affordability strategies of the City of Rochester.
3. The Agency Plan is consistent with the Consolidated Plan of the City of Rochester and the Analysis of Impediments to Fair Housing Choice.



Robert J. Duffy
Mayor, City of Rochester

Date 7-1-08