

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

ELMIRA HOUSING AUTHORITY AGENCY PLAN

5 Year Plan for Fiscal Years 2008 - 2013
Annual Plan for Fiscal Year 2008

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan Agency Identification

PHA Name: Elmira Housing Authority
Number: NY030

PHA

PHA Fiscal Year Beginning: 01/2008

PHA Programs Administered:

Public Housing and Section 8
 Section 8 Only
 Public Housing Only
 Number of public housing units: Number of S8 units: Number of public housing units:
 Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

| Participating PHAs | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: | | | | |
| Participating PHA 2: | | | | |
| Participating PHA 3: | | | | |

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2008 - 2013
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- X The PHA's mission is: (state mission here)

The Primary Mission of the Elmira Housing Authority is to Provide low-income families with decent, safe and sanitary Shelter at a price they can afford.

The Elmira Housing Authority will work diligently to provide its residents a quality, cost effective maintenance operation. We will investigate new opportunities to modernize the Authority's Buildings and grounds through the Capital Fund Modernization Programs and other grants.

We will actively seek out new housing development opportunities in the community with emphasis on a series of initiatives aimed at facilitating resident homeownership.

We will provide our employees with fair wages and benefits and good working conditions. We will present our performance in a professional manner and will conform to those rules and regulations that are necessary to provide a beneficial relationship between the people we serve and the public at large.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- X PHA Goal: Expand the supply of assisted housing
Objectives:
 Apply for additional rental vouchers:
X Reduce public housing vacancies:
 Leverage private or other public funds to create additional housing opportunities:
X Acquire or build units or developments
 Other (list below)
- X PHA Goal: Improve the quality of assisted housing
Objectives:
X Improve public housing management: (PHAS score)
 Improve voucher management: (SEMAP score)
X Increase customer satisfaction:
 Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
X Renovate or modernize public housing units:
 Demolish or dispose of obsolete public housing:
 Provide replacement public housing:
 Provide replacement vouchers:
 Other: (list below)
- PHA Goal: Increase assisted housing choices
Objectives:
 Provide voucher mobility counseling:
 Conduct outreach efforts to potential voucher landlords
 Increase voucher payment standards
 Implement voucher homeownership program:
 Implement public housing or other homeownership programs:
 Implement public housing site-based waiting lists:
 Convert public housing to vouchers:
 Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- X PHA Goal: Provide an improved living environment
Objectives:
 Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:

- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- X Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- X PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
 - X Increase the number and percentage of employed persons in assisted families:
 - X Provide or attract supportive services to improve assistance recipients' employability:
 - X Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- X PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
 - X Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - X Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

EHA Goal: Enhance the marketability of the Elmira Housing Authority's existing public housing program.

X Objectives:

The Elmira Housing Authority shall remodel all kitchens (190) at the Edward Flannery Tower Apartments by December 31, 2008 in order to increase the marketability of the Edward Flannery Apartments elderly housing units.

The Elmira Housing Authority shall replace all roofs on apartment buildings (52) and storage sheds (15) at Hoffman Plaza by December 31, 2005 in order to increase the marketability of the Authority's family public housing units.

The Elmira Housing Authority shall aggressively address proper curb appeal by improving the landscaping, parking areas, entrance doors and patio areas of all of the Authority's developments by December 31, 2005

The Elmira Housing Authority will leverage (collateralize) its Capital Funds through issuing \$3,000,000 in Bonds payable in yearly installments to investors over a twenty-year period.

Accordingly, the Elmira Housing Authority will use the proceeds from the Bonds to perform the following improvements to its developments to be completed by July 31, 2006

- Reside all buildings (54) at Hoffman Plaza

- Rehab all apartment storage shed buildings (15) at Hoffman Plaza

- Install new storm doors (224) to all Hoffman Plaza Apartments

- Reseal the exterior of George Bragg Towers

- Install Sprinkler Systems throughout the building and apartments at Bragg Towers

- Install Sprinkler Systems throughout the building and apartments at Flannery Apts

- Provide Emergency lighting to the Apts at Bragg and Flannery Apartments

The Elmira Housing Authority will expand its central maintenance garage to accommodate the

Storage for Housing Authority maintenance and apartment materials that will provide better access and security for maintenance personnel by December 31, 2006.

The Elmira Housing Authority will expand its administration building operations by utilizing

Excess space to centralize the storage of all Housing Authority records by December 31, 2006.

The Elmira Housing Authority will be aware of properties surrounding the Authority for possible future expansion.

The Elmira Housing Authority will make itself ready by utilizing its financial resources to acquire properties near its developments for possible long term expansion of housing needs in the community by December 31, 2010

The Elmira Housing Authority will be aware of developments involving area housing agencies that may need assistance from the Elmira Housing Authority.

The Elmira Housing Authority will make itself ready to accommodate area housing agencies by administering Section 8 Tenant/Project Based Assistance Vouchers should the Section 8 vouchers become available through a supplemental allocation from HUD Headquarters by December 31, 2010.

(Update a/o 8/1/2007)

All 190 Kitchens have been completely remodeled at Flannery Towers. The Elmira Housing Authority will remove this item from the 2009 Agency Plan.

The reroofing of all the roofs on apartment buildings and the storage sheds has been completed. The Elmira Housing Authority will remove this item from the 2009 Agency Plan.

The remodeling of the Patio and Parking Areas at Hoffman Plaza, Bragg Towers, and Flannery Towers have been completed. The completion of the New Entrance Storm Doors at Hoffman Plaza is scheduled to be completed in October 2007. Landscaping improvements throughout the developments have been addressed. The Elmira Housing Authority will remove this item from the 2009 Agency Plan.

The Elmira Housing Authority closed on its Capital Fund Financing Program in January 2007. However, the expected \$3,000,000 was reduced to \$2,250,624.40. The reduction had to do with the 30% maximum of the yearly Capital subsidy funding and the related expenses in relation to closing on the bond financing. As a result of the reduced funding, some items are to be cut from the proceeds. Presently, the Elmira Housing Authority is procuring an Architect and Engineer for the proposed modernization work.

The Elmira Housing Authority had anticipated an expansion to its Hoffman Plaza Garage to accommodate storage, better access and security by December 2006. However, recent reductions in the Capital Fund Program will delay construction until at least December 2008.

The Elmira Housing Authority had anticipated an expansion to its administration building to utilize the additional need for storage of records

by December 2006. However, recent reductions in the Capital Fund program will delay construction until at least December 2008.

The Elmira Housing Authority purchased two properties in 2003, one next to the Hoffman plaza maintenance garage and the other next to Bragg Towers. The property next to Hoffman Plaza will be used for expansion of the maintenance garage sometime in 2008 and the property next to Bragg Towers will be used for future housing development as it has been cleared as of 7/2007 of any environmental ground water contamination.

The Elmira Housing Authority approved to issue Bonds for the financing of the Eastgate Housing Development in Elmira, New York. The closing took place on July 20, 2007. This item will be removed for the 2009 Agency Plan

The Elmira Housing Authority expects to receive 110 Section 8 vouchers when the closing on the Bond Financing is finalized to accommodate the residents who live in the Eastgate Housing Development. The lobbying efforts have begun with State officials and City officials.

EHA Goal: provide a safe and secure environment in the Elmira Housing Authority's public housing developments.

X Objectives

The Elmira Housing Authority shall refine the Law Enforcement Plan with the Elmira Police Department. The purpose of this is to better define any future crime that occurs near the developments and to develop strategies for identifying and reducing the problem.

Involve the tenants and tenant associations to cooperate with the Police Officers to institute neighborhood watch programs and develop other crime prevention programs.

Install a new life safety system and an equipment agreement for resident apartments throughout the development with a completion date of December 31, 2005

(Updated a/o 8/1/2007)

The Elmira Housing Authority revised its Law Enforcement Plan with the Elmira City Police Department effective May 1, 2007 where the Authority compensates the City of Elmira for one police officer to patrol up to 28 hours per week

The Enforcement Plan includes the Police Officer to attend monthly tenant association meetings to address concerns and complaints; provides a monthly report to the Authority on police calls; allows up to two police

offices to resides in the Housing Developments and remodel vacant officer space for a police substation.

The Elmira Housing Authority has completed updating the life safety systems and equipment at Flannery and Bragg Towers as of March 2005. New safety equipment at Hoffman Plaza was installed in October 2006. The Elmira Housing Authority will remove this item for 2009 Agency Plan

EHA Goal: Improve access of Elmira Housing Authority residents to services that support economic opportunity and quality of life.

X Objectives

The Elmira Housing Authority will continue to partner with the Chemung County Office for the Aging to assist the elderly residents at Flannery and Bragg Towers to implement recreational and social activities by contracting for an on the site Elderly Social Service Coordinator.

The Elmira Housing Authority will continue to have effective and full functioning tenant organizations at its three developments

(Updated a/o 8/1/2007)

A contract with the Chemung County Office for the Aging for on site Elderly Social Service Coordinator has continued to be in force for the past eleven years. A yearly contract was extended on January 1, 2007. However, funding remains a concern due to recent HUD cutbacks in the funding for elderly service coordinators.

The Elmira Housing Authority developed an informational brochure for potential residents on the Authorities facilities, rent charges, and activities. This brochure was first distributed in March 2005 and continues to be distributed to all supportive social service agencies in Chemung County.

The Elmira Housing Authority completed installing its web site in September 2006. www.elmirahousing.org The web site is detailed to benefit the existing tenants of the Elmira Housing Authority, potential new tenants, area agencies to get better access of the Authority's services, programs, and other information.

EHA Goal: Manage the Elmira Housing Authority's existing public housing programs in an efficient and effective manner thereby qualifying as at least a standard performer.

X Objective

The United States Department of Housing and Urban Development shall recognize the Elmira Housing Authority as a High performer by December 31, 2004

The Elmira Housing Authority will make their public housing apartments more marketable to the community by increasing its waiting list to one that requires a six month wait for housing by December 31, 2004

The Elmira Housing Authority shall provide a motivating work environment with a capable and efficient team of employees to operate as a customer-friendly and fiscally prudent leader in the affordable housing industry.

(Updated a/o 8/1/2007)

The following are EHA-PHAS scores

12/31/01 (FYE)-90 out of 100

12/31/02 (FYE)-92 out of 100

12/31/03 (FYE)-89 out of 100

12/31/04 (FYE)-89 out of 100

12/31/05 (FYE)-90 out of 100

12/31/06 (FYE)-90 out of 100

The Elmira Housing Authority's waiting list, depending on the bedroom size for its family development is 2 – 3 months.

The Elderly developments waiting list 1 – 2 months.

Through the HTVN training programs, Housing Authority employees receive certification for Section 8 Housing Choice Voucher Regulatory management; Public Housing Maintenance management; Housing Authority management; Section 8 Family Self Sufficiency; Section 8 Quality Standards; and Executive Directors Education program through Rutgers University

Also, through HTVN training program, all Elmira Housing Authority employees have completed the training program on Project Based Accounting and Asset Management.

EHA Goal: Investigate energy cost cutting measures to increase the availability of energy efficient and affordable housing.

- X Make contact with the New York State Energy Research and Development Authority (NYSERDA) to make application for grant

Assemble the energy efficiency needs, develop costs estimates and a plan to maximize the efficiency of fuel and electric consumption

Assist the Elmira Housing Authority in obtaining the financing from NYSERDA and other private and public sources necessary to implement a plan by December 31, 2006

The proceeds from the funding will provide the following:

Replace Electric Heat with Central Gas at Flannery Apartments

Replace Windows at Flannery Apartments

Replace selected apartment lighting at all developments

Replace Toilets with water saver toilets at all developments

Other smaller energy savings items also be included as funds allows:

(Updated a/o 8/1/2007)

Application was approved by NYSERDA in February 2007. Application to proceed towards hiring an ESCO from Buffalo HUD was approved in June 2007. RFP advertised in July 2007. Selection of ESCO by September 27, 2007. Implementation of NYSERDA Grant expected by December 2007.

EHA Goal: Implement Project Based Budgeting and Accounting Funding System to monitor performance at each development by December 31, 2006

- X Objective

Make individual developments (Bragg, Hoffman & Flannery) more accountable on spending, rent collection and occupancy problems

Focus on strategies, cost benefit analysis and financial reporting on the individual developments

(Updated a/o 8/1/2007)

On January 1, 2007, the Elmira Housing Authority disclosed its Project Based Budget and Accounting Funding System to its Board of Commissioners. This system is presently being use to guide the Elmira Housing Authority, and when the final rulings from the United States Department of Housing and Urban Development are released the system

will be updated. The final ruling is expected to be published by October 2007.

EHA Goal: Implement Project Based Asset Management as a means of overseeing the property and asset management functions to ensure that strategic and performance goals set by the Board of Commissioners are achieved by December 31, 2010.

X Objective

Set operational long term capital planning and allocation, property replacements
Reposition strategies to ensure the long-term viability of the Authority's properties
Provide appropriate mechanisms for monitoring performance at the development level.

(Updated a/o 8/1/2007)

The Elmira Housing Authority Board of Commissioners approved the Elmira Housing Authority's Stop Loss Application in March 2007. Application for Stop Loss was submitted to HUD on April 1, 2007. The deadline for the application was April 15, 2007.

However, HUD extended the application deadline until October 15, 2007, as the final rulings on Stop Loss were never published. Consequently, the Elmira Housing Authority will wait for the final rulings and resubmit the application for Stop Loss prior to the new deadline of October 15, 2007.

Annual PHA Plan
PHA Fiscal Year 2008
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Elmira Housing Authority's Annual plan is based on the premises that if we accomplish our goals and objectives we will be working toward the achievement of our mission

The plan statements, budget summary, policies, etc., set forth in the Annual Plan all lead toward the accomplishment of our goals and objectives. Taken as a whole, they outline a comprehensive approach towards our goals and objectives, which is consistent with the City of Elmira's Consolidated Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

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Annual Plan

- i. Executive Summary
- ii. Table of Contents
 1. Housing Needs
 2. Financial Resources
 3. Policies on Eligibility, Selection and Admissions
 4. Rent Determination Policies
 5. Operations and Management Policies
 6. Grievance Procedures
 7. Capital Improvement Needs
 8. Demolition and Disposition
 9. Designation of Housing
 10. Conversions of Public Housing
 11. Homeownership
 12. Community Service Programs
 13. Crime and Safety
 14. Pets (Inactive for January 1 PHAs)
 15. Civil Rights Certifications (included with PHA Plan Certifications)
 16. Violence against Women (VAWA)
 17. Audit
 18. Asset Management
 19. Other Information

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2008 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members
- List of Resident Board Member
- Community Service Description of Implementation
- Information on Pet Policy
- Section 8 Homeownership Capacity Statement, if applicable

Description of Homeownership Programs, if applicable

Optional Attachments:

X PHA Management Organizational Chart

X FY 2008 Capital Fund Program 5 Year Action Plan

Public Housing Drug Elimination Program (PHDEP) Plan

Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)

Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| X | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement. | 5 Year and Annual Plans |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 | Annual Plan: Eligibility, Selection, and Admissions Policies |

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| | Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X | Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| | Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |
| X | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| | Approved or submitted public housing homeownership | Annual Plan: |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | programs/plans | Homeownership |
| | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit |
| | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------|----------------|--------|---------|----------------|------|-----------|
| Family Type | Overall | Afford-ability | Supply | Quality | Access-ibility | Size | Loca-tion |
| Income <= 30% of AMI | 7957 | 1 | 1 | 2 | 4 | 3 | 3 |
| Income >30% but <=50% of AMI | 14484 | 1 | 1 | 2 | 4 | 3 | 3 |
| Income >50% but <80% of AMI | 20441 | 1 | 1 | 1 | 2 | 2 | 2 |
| Elderly | 4334 | 1 | 1 | 1 | 1 | 1 | 1 |
| Families with Disabilities | N/A | 1 | 4 | 4 | 3 | 3 | 4 |
| Black | 4165 | 1 | 1 | 2 | 4 | 3 | 3 |
| Asian | 174 | 1 | 1 | 2 | 4 | 3 | 3 |
| Other | 249 | 1 | 1 | 2 | 4 | 3 | 3 |
| Hispanic | 1010 | 1 | 1 | 2 | 4 | 3 | 3 |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> Section 8 tenant-based assistance | | | |
| <input checked="" type="checkbox"/> Public Housing | | | |
| <input type="checkbox"/> Combined Section 8 and Public Housing | | | |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 36 | | 18.9 |
| Extremely low income <=30% AMI | 18 | 50.0% | |
| Very low income (>30% but <=50% AMI) | 10 | 27.8% | |
| Low income (>50% but <80% AMI) | 8 | 22.2% | |
| Families with children | 7 | 19.4% | |
| Elderly families | 12 | 33.3% | |
| Families with Disabilities | 11 | 30.5% | |
| Black | 4 | 11.1% | |
| Hispanic | 0 | 0.0% | |
| White | 32 | 88.9% | |
| Other | 0 | 0.0% | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |

| Housing Needs of Families on the Waiting List | | | |
|---|----|-------|--|
| 1BR | 28 | 77.8% | |
| 2 BR | 5 | 13.8% | |
| 3 BR | 3 | 8.4% | |
| 4 BR | 0 | 0.0% | |
| 5 BR | 0 | 0.0% | |
| 5+ BR | 0 | 0.0% | |
| Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
- X Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration

- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X Funding constraints
- X Staffing constraints
- X Limited availability of sites for assisted housing
- X Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- X Results of consultation with residents and the Resident Advisory Board
- X Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2008 grants) | | |
| a) Public Housing Operating Fund | \$1,046,492.00 | |
| b) Public Housing Capital Fund | \$ 630,330.00 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | , | |
| | | |

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| | | |
| | | |
| 3. Public Housing Dwelling Rental Income | \$1,577,098.00 | |
| | | |
| 4. Other income (list below) | | |
| Investment Income | \$ 56,000.00 | |
| Other Income | \$ 60,000.00 | |
| 4. Non-federal sources (list below) | | |
| | | |
| | | |
| Total resources | \$3,369,920.00 | |
| | | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- X Other: (describe)

Eligibility is verified during the initial application phase.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- X Criminal or Drug-related activity
- X Rental history
- X Housekeeping
- Other (describe)

c. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes X No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes X No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- X Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- X PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- X One
- Two
- Three or More

b. X Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes X No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- X Emergencies
- X Overhoused
- X Underhoused
- X Medical justification
- X Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. X Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- X Victims of domestic violence
- Substandard housing
- X Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- X The PHA-resident lease
 - X The PHA's Admissions and (Continued) Occupancy policy
 - X PHA briefing seminars or written materials
 - X Other source (list)
- Elmira Housing Authority website (www.elmirahousing.org)**

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- X At an annual reexamination and lease renewal
- X Any time family composition changes
- X At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

- a. Yes X No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

X Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

X Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
 - Federal public housing
 - Federal moderate rehabilitation
 - Federal project-based certificate program
 - Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office

Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)

- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD

The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

The PHA applies preferences within income tiers

Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

The Section 8 Administrative Plan

Briefing sessions and written materials

Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

Through published notices

Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

X The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare

rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
X \$26-\$50

2. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. X Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Under Flat Rents, the rent amount computed against total income would be less than 30% of the adjusted income.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members

- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- X No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- X Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) **\$50.00 per month**
- X Other (list below)

All changes in family composition

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- X The section 8 rent reasonableness study of comparable housing
 - X Survey of rents listed in local newspaper
 - Survey of similar unassisted units in the neighborhood
 - X Other (list/describe below)

FMR for 2007

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

- a. What is the PHA's payment standard? (select the category that best describes your standard)
- At or above 90% but below 100% of FMR
 - 100% of FMR
 - Above 100% but at or below 110% of FMR
 - Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

— List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|---------------------|---|--------------------------|
| Public Housing | 479 | 80 or 15% |

| | | |
|---|--|--|
| Section 8 Vouchers | | |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program (PHDEP) | | |
| | | |
| | | |
| Other Federal Programs(list individually) | | |
| | | |
| | | |

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance Management (see list below)

- Hazardous Materials
- Facilities Use
- Property Disposition
- Pest Control
- Capitalization
- Blood-Borne Disease
- Ethics
- Preventative Maintenance
- Safety & Loss prevention
- Harassment and Sexual harassment Policy
- Reasonable Accommodation
- Elmira Housing Authority By-Laws
- Swimming Pool Policy
- Administration and Occupancy Policy
- Procurement Policy
- Violence against Women Act (VAWA)

(2) Section 8 Management: (see list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- X PHA main administrative office
X PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes X No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes X No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes X No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes X No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes X No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description | |
|---|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Activity type: Demolition <input type="checkbox"/> | |
| Disposition <input type="checkbox"/> | |
| 3. Application status (select one) | |
| Approved <input type="checkbox"/> | |
| Submitted, pending approval <input type="checkbox"/> | |
| Planned application <input type="checkbox"/> | |
| 4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u> | |
| 5. Number of units affected: | |
| 6. Coverage of action (select one) | |
| <input type="checkbox"/> Part of the development | |
| <input type="checkbox"/> Total development | |
| 7. Timeline for activity: | |
| a. Actual or projected start date of activity: | |
| b. Projected end date of activity: | |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes X No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description | |
|--|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Designation type: | <input type="checkbox"/> Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities |
| 3. Application status (select one) | <input type="checkbox"/> Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application |
| 4. Date this designation approved, submitted, or planned for submission: | (DD/MM/YY) |
| 5. If approved, will this designation constitute a (select one) | <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan? |
| 6. Number of units affected: | |
| 7. Coverage of action (select one) | <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

| Conversion of Public Housing Activity Description | |
|--|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. What is the status of the required assessment? | |
| <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) | |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) | |
| 4. Status of Conversion Plan (select the statement that best describes the current status) | |
| <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway | |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) | |
| <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) | |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) | |
|---|--|
| 1a. Development name: | |
| 1b. Development (project) number: | |
| 2. Federal Program authority: | |
| <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) | |
| 3. Application status: (select one) | |
| <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval | |

| |
|--|
| <input type="checkbox"/> Planned application |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) |
| 5. Number of units affected: |
| 6. Coverage of action: (select one) |
| <input type="checkbox"/> Part of the development |
| <input type="checkbox"/> Total development |

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- X Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - X Informing residents of new policy on admission and reexamination
 - X Actively notifying residents of new policy at times in addition to admission and reexamination.
 - X Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

| |
|--|
| D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937 |
|--|

ELMIRA HOUSING AUTHORITY COMMUNITY SERVICE POLICY

**Board Resolution 2005.76
[24 CFR Part 960 Subpart F and 24 CFR 903.7(I)]**

A. REQUIREMENT

Each adult resident of the PHA shall:

Contribute 8 hours per month of community service (not including political activities) within the community in which that adult resides; or

Participate in an economic self-sufficiency program (defined below) for 8 hours per month; or

Perform 8 hours per month of combined activities (community service and economic self-sufficiency program)

Also of the required 8 hours per month of community service, the resident must comply with the following:

At least eight hours of community service must be performed each month and that an individual may not skip a month and then double up the following month, unless due to special circumstances as approved by the Elmira Housing Authority, and

The activities must be performed within the community and not outside the Elmira Housing Authority's jurisdiction

B. EXEMPTIONS

The PHA shall provide an exemption from the community service requirement for any individual who:

- Is 62 years of age or older;
- Is a blind or disabled individual, as defined under section 216[i][1] or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of such individual;
- Is engaged in a work activity of at least 20 hours per week and as defined in section 407[d] of the Social Security Act;
- Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to work program; or
- Is in a family receiving assistance under a State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State - administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program.

The PHA will re-verify exemption status annually except in the case of an individual who is 62 years of age or older.

The PHA will permit residents to change exemption status during the year if status changes.

Additional Exemptions

- If a tenant is pregnant and her condition has been verified with a Drs. Statement, she will be exempt from Community service starting with the 6th month of her pregnancy or earlier if so certified to by her tending physician.
- If a tenant has a child at home under the age of 2 years 11 months, they will be exempt from the Community Service requirement during this period.
- If a tenant has a handicapped child at home that is not enrolled in school or another program, they will be exempted from the Community Service requirement.

In the event of the above additional exemptions, the tenant will be responsible for notification to the Office of any changes and will be required to fulfill the community service requirements once these exemptions have expired.

C. DEFINITION OF ECONOMIC SELF-SUFFICIENCY PROGRAM

For purposes of satisfying the community service requirement, participating in an economic self-sufficiency program is defined, in addition to the exemption definitions described above, by HUD as: Any program designed to encourage,

assist, train or facilitate economic independence of assisted families or to provide work for such families.

These economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship, or any other program necessary to ready a participant to work (such as substance abuse or mental health treatment).

In addition to the HUD definition above, the PHA definition includes any of the following:

- Participating in the Family Self-Sufficiency Program and being current in the steps outlined in the Individual Training and Services Plan.
- Other activities as approved by the PHA on a case-by-case basis.

The PHA will give residents the greatest choice possible in identifying community service opportunities.

The PHA will consider a broad range of self-sufficiency opportunities.

D. ANNUAL DETERMINATIONS

For each public housing resident subject to the requirement of community service, the PHA shall, at least 30 days before the expiration of each lease term, review and determine the compliance of the resident with the community service requirement.

Such determination shall be made in accordance with the principles of due process and on a nondiscriminatory basis.

The PHA will verify compliance at least annually. If qualifying activities are administered by an organization other than the PHA, the PHA will obtain verification of family compliance from such third parties.

Family members will not be permitted to self-certify that they have complied with community service requirements.

E. NONCOMPLIANCE

If the PHA determines that a resident subject to the community service requirement has not complied with the requirement, the PHA shall notify the resident of such noncompliance, and that:

- The determination of noncompliance is subject to the administrative grievance procedure under the PHA's Grievance Procedures; and
- Unless the resident enters into an agreement to comply with the community service requirement, the resident's lease will not be renewed, and

- The PHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household, unless the PHA enters into an agreement, before the expiration of the lease term, with the resident providing for the resident to cure any noncompliance with the community service requirement, by participating in an economic self-sufficiency program for or contributing to community service as many additional hours as the resident needs to comply in the aggregate with such requirement over the 12-month term of the lease.
- The head of household and the noncompliant adult must sign the agreement to cure.

Ineligibility for Occupancy for Noncompliance

The PHA shall not renew or extend any lease, or provide any new lease, for a dwelling unit for any household that includes an adult member who was subject to the community service requirement and failed to comply with the requirement.

F. PHA RESPONSIBILITY

The PHA will ensure that all community service programs are accessible for persons with disabilities.

The PHA will ensure that:

- The conditions under which the work is to be performed are not hazardous;
- The work is not labor that would be performed by the PHA's employees
- responsible for essential maintenance and property services; or
- The work is not otherwise unacceptable.

G. PHA IMPLEMENTATION OF COMMUNITY SERVICE REQUIREMENT

The PHA's Community Service program is described in the PHA Plan.

Elmira Housing Authority
 Community Service Policy
 Board Resolution 2005.76

1. Requirement

Each adult resident of the EHA shall:

- Contribute 8 hours per month of community service (not including political activities) within the community in which that adult resides; or
- Participate in an economic self-sufficiency program for 8 hours per month; or
- Perform 8 hours per month of combined activities (community service and economic self-sufficiency program).

Also of the required 8 hours per month of community service, the resident must comply with the following:

- at least eight hours of community service must be performed each month and that an individual may not skip a month and then double up the following month, unless due to special circumstances as approved by the Elmira Housing Authority, and
- the activities must be performed within the community and not outside the Elmira Housing Authority's jurisdiction.

2. Exemptions

The EHA shall provide an exemption from the community service requirement for any individual who:

- Is 62 years of age or older;
- Is Blind or Disabled Individual, as defined under section 216(i)(1) or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of such individual;

An individual claiming this exemption, must certify that because of this disability that he or she is unable to comply with this section.

Is engaged in a work activity as defined in section 407(d) of the Social Security Act;

An individual claiming this exemption must be working a minimum of 20 hours per week

Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program; or

Is in a family receiving assistance under a State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare to-work program, and has not been found by the State or other administrating entity to be in noncompliance with such program.

The EHA will re-verify exemption status annually except in the case of an individual who is 62 years of age or older.

The EHA will permit residents to change exemption status during the year if status changes.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- X High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- X Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- X Safety and security survey of residents
- X Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- X Resident reports
- X PHA employee reports
- X Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design

- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- X Other (describe below)

Police Patrol Contract

Promote Police Officers Living in Public Housing

- 2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

- 1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- X Police provide crime data to housing authority staff for analysis and action
- X Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- X Police regularly testify in and otherwise support eviction cases
- X Police regularly meet with the PHA management and residents
- X Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

- 2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

**ELMIRA HOUSING AUTHORITY
PET POLICY**

INTRODUCTION

PHA's have discretion to decide whether or not to develop policies pertaining to the keeping of pets in public housing units. This Chapter explains the PHA's policies on the keeping of pets and any criteria or standards pertaining to the policy. The rules adopted are reasonably related to the legitimate interest of this PHA to provide a decent, safe and sanitary living environment for all tenants, to protecting and preserving the physical condition of the property, and the financial interest of the PHA.

The purpose of this policy is to establish the PHA's policy and procedures for ownership of pets in elderly and disabled units and to ensure that no applicant or resident is discriminated against regarding admission or continued occupancy because of ownership of pets. It also establishes reasonable rules governing the keeping of common household pets.

Nothing in this policy or the dwelling lease limits or impairs the right of persons with disabilities to own animals that are used to assist them.

ANIMALS THAT ASSIST PERSONS WITH DISABILITIES

Pet rules will not be applied to animals that assist persons with disabilities.

To be excluded from the pet policy, the resident/pet owner must certify:

- That there is a person with disabilities in the household;
- That the animal has been trained to assist with the specified disability

A. MANAGEMENT APPROVAL OF PETS

All pets must be approved in advance by the PHA management.

The pet owner must submit and enter into a Pet Agreement with the PHA.

Registration of Pets

Pets must be registered with the PHA before they are brought onto the premises. Registration includes:

Certificate signed by a licensed veterinarian or State/local authority that the pet has received all inoculations required by State or local law, and that the pet has no communicable disease(s) and is pest-free.

Dogs and cats must be spayed or neutered.

Current license for the pet in compliance with local ordinances and requirements.

Execution of a Pet Agreement with the PHA stating that the tenant acknowledges complete responsibility for the care and cleaning of the pet.

Registration must be renewed and will be coordinated with the annual recertification date.

Approval for the keeping of a pet shall not be extended pending the completion of these requirements.

Refusal To Register Pets

The PHA may not refuse to register a pet based on the determination that the pet owner is financially unable to care for the pet. If the PHA refuses to register a pet, a written notification will be sent to the pet owner stating the reason for denial and shall be served in accordance with HUD Notice requirements.

The PHA will refuse to register a pet if:

- a. The pet is not a common household pet as defined in this policy;
- b. Keeping the pet would violate any House Pet Rules;
- c. The pet owner fails to provide complete pet registration information, or fails to update the registration annually;
- d. The PHA reasonably determines that the pet owner is unable to keep the pet in compliance with the pet rules and other lease obligations. The pet's temperament and behavior may be considered as a factor in determining the pet owner's ability to comply with provisions of the lease. The notice of refusal may be combined with a notice of a pet violation.

A resident who cares for another resident's pet must notify the PHA and agree to abide by all of the pet rules in writing.

B. STANDARDS FOR PETS

Pet rules will not be applied to animals that assist persons with disabilities.

Persons With Disabilities

To be excluded from the pet policy, the resident/pet owner must certify:

- That there is a person with disabilities in the household;
 - That the animal has been trained to assist with the specified disability;
- and

Types of Pets Allowed

No types of pets other than the following may be kept by a resident. Tenants are not permitted to have more than one *type* of pet.

1. Dogs

Maximum number: 1

Maximum adult weight: 25 pounds

Must be housebroken

Must be spayed or neutered
Must have all required inoculations
Must be licensed as specified now or in the future by State law and local ordinance

2. Cats

Maximum number 1
Must be spayed or neutered
Must have all required inoculations
Must be trained to use a litter box or other waste receptacle
Must be licensed as specified now or in the future by State law or local ordinance

3. Birds

Maximum number 2
Must be enclosed in a cage at all times

4. Fish

Maximum aquarium size 10 gallons
Must be maintained on an approved stand

5. Rodents (Rabbit , guinea pig, hamster, or gerbil ONLY)

Maximum number 2
Must be enclosed in an acceptable cage at all times
Must have any or all inoculations as specified now or in the future by State law or local ordinance

6. Turtles

Maximum number 2
Must be enclosed in an acceptable cage or container at all times.

C. PETS TEMPORARILY ON THE PREMISES

Pets which are not owned by a tenant will not be allowed.

Residents are prohibited from feeding or harboring stray animals.

This rule excludes visiting pet programs sponsored by a humane society or other nonprofit organization.

D. DESIGNATION OF PET/NO-PET AREAS

This section is subject to State and local law.

E. ADDITIONAL FEES AND DEPOSITS FOR PETS

The resident/pet owner shall be required to pay a refundable deposit for the purpose of defraying all reasonable costs directly attributable to the presence of a dog or cat.

An initial payment of \$100 on or prior to the date the pet is properly registered and brought into the apartment, and;

Monthly payments in an amount no less than \$50 until the specified deposit has been paid.

The PHA reserves the right to change or increase the required deposit by amendment to these rules.

F. ALTERATIONS TO UNIT

Residents/pet owners shall not alter their unit, patio, premises or common areas to create an enclosure for any animal. Installation of pet doors is prohibited.

G. PET WASTE REMOVAL CHARGE

A separate pet waste removal charge of \$25 per occurrence will be assessed against the resident for violations of the pet policy.

Pet deposit and pet waste removal charges are not part of rent payable by the resident.

All reasonable expenses incurred by the PHA as the result of damages directly attributable to the presence of the pet will be the responsibility of the resident, including:

- The cost of repairs and replacements to the dwelling unit;
- Fumigation of the dwelling unit.

If the tenant is in occupancy when such costs occur, the tenant shall be billed for such costs as a current charge.

If such expenses occur as the result of a move-out inspection, they will be deducted from the pet deposit. The resident will be billed for any amount which exceeds the pet deposit.

The pet deposit will be refunded when the resident moves out or no longer has a pet on the premises, whichever occurs first.

The expense of flea disinfestations shall be the responsibility of the resident.

H. PET AREA RESTRICTIONS

Pets must be maintained within the resident's unit. When outside of the unit (within the building or on the grounds) dogs and cats must be kept on a leash

or carried and under the control of the resident or other responsible individual at all times.

Pets are not permitted in common areas including lobbies, community rooms and laundry areas except for those common areas which are entrances to and exits from the building.

Residents/Pet Owners are not permitted to exercise pets or permit pets to deposit waste on project premises outside of the areas designated for such purposes.

I. NOISE

Pet owners must agree to control the noise of pets so that such noise does not constitute a nuisance to other residents or interrupt their peaceful enjoyment of their housing unit or premises. This includes, but is not limited to loud or continuous barking, howling, whining, biting, scratching, chirping, or other such activities.

J. CLEANLINESS REQUIREMENTS

Litter Box Requirements. All animal waste or the litter from litter boxes shall be picked up immediately by the pet owner, disposed of in sealed plastic trash bags, and placed in a trash bin.

Litter shall not be disposed of by being flushed through a toilet.

Litter boxes shall be stored inside the resident's dwelling unit.

Removal of Waste From Other Locations. The Resident/Pet Owner shall be responsible for the removal of waste from the exercise area by placing it in a sealed plastic bag and disposing of it.

Any unit occupied by a dog, cat, or rodent will be fumigated at the time the unit is vacated.

The resident/pet owner shall take adequate precautions to eliminate any pet odors within or around the unit and to maintain the unit in a sanitary condition at all times.

K. PET CARE

No pet (excluding fish) shall be left unattended in any apartment for a period in excess of 4 hours.

All residents/pet owners shall be responsible for adequate care, nutrition, exercise and medical attention for his/her pet.

Residents/pet owners must recognize that other residents may have chemical sensitivities or allergies related to pets, or may be easily frightened or disoriented by animals. Pet owners must agree to exercise courtesy with respect to other residents.

L. RESPONSIBLE PARTIES

The resident/pet owner will be required to designate two responsible parties for the care of the pet if the health or safety of the pet is threatened by the death or incapacity of the pet owner, or by other factors that render the pet owner unable to care for the pet.

M. INSPECTIONS

The PHA may, after reasonable notice (48 hrs.) to the tenant during reasonable hours, enter and inspect the premises, in addition to other inspections allowed.

N. PET RULE VIOLATIONS

Pet Rule Violation Notice

If a determination is made on objective facts supported by written statements, that a resident/pet owner has violated the Pet Rule Policy, written notice will be served.

The Notice will contain a brief statement of the factual basis for the determination and the pet rule(s) which were violated. The notice will also state:

1. That the resident/pet owner has 5 days from the effective date of the service of notice to correct the violation or make written request for a meeting to discuss the violation;
2. That the resident pet owner is entitled to be accompanied by another person of his or her choice at the meeting; and
3. That the resident/pet owner's failure to correct the violation, request a meeting, or appear at a requested meeting may result in initiation of procedures to terminate the pet owner's tenancy.

If the pet owner requests a meeting within the 5 day period, the meeting will be scheduled no later than 3 calendar days before the effective date of service of the notice, unless the pet owner agrees to a later date in writing.

O. NOTICE FOR PET REMOVAL

If the resident/pet owner and the PHA are unable to resolve the violation at the meeting or the pet owner fails to correct the violation in the time period allotted by the PHA, the PHA may serve notice to remove the pet.

The Notice shall contain:

1. A brief statement of the factual basis for the PHA's determination of the Pet Rule that has been violated;

2. The requirement that the resident /pet owner must remove the pet within 10 days of the notice; and
3. A statement that failure to remove the pet may result in the initiation of termination of tenancy procedures.

P. TERMINATION OF TENANCY

The PHA may initiate procedures for termination of tenancy based on a pet rule violation if:

- The pet owner has failed to remove the pet or correct a pet rule violation within the time period specified; and
- The pet rule violation is sufficient to begin procedures to terminate tenancy under terms of the lease.

Q. PET REMOVAL

If the health or safety of the pet is threatened by the death or incapacity of the pet owner, or by other factors that render the owner unable to care for the pet, (includes pets who are poorly cared for or have been left unattended for over 4 hours, the situation will be reported to the Responsible Party designated by the resident/pet owner.

If the responsible party is unwilling or unable to care for the pet, or if the PHA after reasonable efforts cannot contact the responsible party, the PHA may contact the appropriate State or local agency and request the removal of the pet.

R. EMERGENCIES

The PHA will take all necessary steps to insure that pets which become vicious, display symptoms of severe illness, or demonstrate behavior that constitutes an immediate threat to the health or safety of others, are referred to the appropriate State or local entity authorized to remove such animals.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Violence Against Women Act (VAWA) Policy

ELMIRA HOUSING AUTHORITY

VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY

I. Purpose and Applicability

The purpose of this policy (herein called "Policy") is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization

Act of 2005 (Pub. L. 109-162) and more generally to set forth EHA's policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by EHA of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 et seq.). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

II. Goals and Objectives

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by EHA;
- C. Providing and maintaining housing opportunities for victims of domestic violence dating violence, or stalking;
- D. Creating and maintaining collaborative arrangements between EHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by EHA; and
- E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by EHA.

III. Other EHA Policies and Procedures

This Policy shall be referenced in and attached to EHA's Five-Year Public Housing Agency Plan and shall be incorporated in and made a part of EHA's Admissions and Continued Occupancy Policy. EHA's annual public housing agency plan shall also contain information concerning EHA's activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of EHA, the provisions of this Policy shall prevail.

IV. Definitions

As used in this Policy:

A. Domestic Violence – The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

B. *Dating Violence* – means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;

(ii) a member of the immediate family of that person; or

(iii) the spouse or intimate partner of that person;

D. *Immediate Family Member* - means, with respect to a person –

(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or

(B) any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

V. Admissions and Screening

A. *Non-Denial of Assistance.* EHA will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

B. *Admissions Preference.* Applicants for housing assistance from EHA will receive a preference in admissions by virtue of their status as victims of domestic violence [dating violence, stalking]. This preference is particularly described as follows: [Graduates of transitional housing programs: for homeless/victims of domestic abuse]

C. *Mitigation of Disqualifying Information.* When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, EHA, may but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, EHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. EHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

VI. Termination of Tenancy or Assistance

A. VAWA Protections. Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by EHA:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.

2. In addition to the foregoing, tenancy or assistance will not be terminated by EHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant’s control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:

- (a) Nothing contained in this paragraph shall limit any otherwise available authority of EHA’ or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant’s household. However, in taking any such action, neither EHA nor a Section 8 manager or owner may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.
- (b) Nothing contained in this paragraph shall be construed to limit the authority of EHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or EHA, as the case may be, can demonstrate an actual and imminent

threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

B. *Removal of Perpetrator.* Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, EHA or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by EHA. Leases used for all public housing operated by EHA and, at the option of Section 8 owners or managers, leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by EHA, shall contain provisions setting forth the substance of this paragraph.

VII. Verification of Domestic Violence, Dating Violence or Stalking

A. Requirement for Verification. The law allows, but does not require, EHA or a section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., EHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by EHA. Section 8 owners or managers receiving rental assistance administered by EHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

- 1. *HUD-approved form*** - by providing to EHA or to the requesting Section 8 owner or manager a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
- 2. *Other documentation*** - by providing to EHA or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical

professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

3. *Police or court record* – by providing to EHA or to the requesting Section 8 owner or manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. *Time allowed to provide verification/ failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by EHA, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days (*i.e.*, 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

C. *Waiver of verification requirement.* The Executive Director of EHA, or a Section 8 owner or manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, owner or manager. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

VIII. Confidentiality

A. *Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to EHA or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or

2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or

3. otherwise required by applicable law.

- B. *Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by EHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

VIII. Transfer to New Residence

- A. *No right to transfer.* EHA will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided in paragraph IX. E. below the decision to grant or refuse to grant a transfer shall lie within the sole discretion of EHA, and this policy does not create any right on the part of any applicant to be granted a transfer.
- B. *Family rent obligations.* If a family occupying EHA public housing moves before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term unless released by EHA. In cases where EHA determines that the family's decision to move was reasonable under the circumstances, EHA may wholly or partially waive rent payments and any rent owed shall be reduced by the amounts of rent collected for the remaining lease term from a tenant subsequently occupying the unit.
- C. *Portability.* Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

X. Court Orders/Family Break-up

A. *Court orders.* It is EHA's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by EHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. *Family break-up.* Other EHA policies regarding family break-up are contained in EHA's Public Housing Admissions and Continuing Occupancy Plan (ACOP).

XI. Relationships with Service Providers

It is the policy of EHA to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If EHA staff become aware that an

individual assisted by EHA is a victim of domestic violence, dating violence or stalking, EHA will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring EHA either to maintain a relationship with any particular provider of shelter or services to victims or domestic violence or to make a referral in any particular case. EHA's annual public housing agency plan shall describe providers of shelter or services to victims of domestic violence with which EHA has referral or other cooperative relationships.

XII. Notification

EHA shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

XIII. Relationship with Other Applicable Laws

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

XIV. Amendment

This policy may be amended from time to time by EHA as approved by the EHA Board of Commissioners.

17. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. X Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. X Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes X No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

18. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. X Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital

investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

- Not applicable
- Private management
- X Development-based accounting
- Comprehensive stock assessment
- X Other: (list below)

Implemented Project Based Budgeting and Accounting System on December 31, 2006

Implemented project Based Asset Management System

Applying for Stop-Loss on Subsidy – October 15, 2007 deadline

3. Yes X No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

19. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes X No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

No Comments Written or Verbal were received before or at the Public Hearing on September 11, 2007 at 2:00 P.M.

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (File name)
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
List changes below:
- Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes X No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. X Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot

X Other: (describe)

A candidate for membership on the Board, otherwise qualifies to serve as a tenant representative, shall be sponsored by not less than forty-five of the residents entitles to vote in the election (EHA by law 6/21/1975)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- X Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- X All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- X The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- X The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- X Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Resident Commissioners on the Elmira Housing Authority Board

There will be two Resident Commissioners on the Elmira Hosuing Authority Board. The two Resident Commissioners will serve staggered two-year terms.

There selection is by election as provided by Section 30(5) of the new York State Public Housing Law

**Sylvia Mason (Flannery Apartments) term expires March 22, 2008
Mary Gage (Bragg Towers) term expires March 22, 2009**

Membership of the Resident Advisory Board

The Resident Advisory Committee is established by the Elmira Hosuing Authority Board Resolution 1999.13

Whereas that the officers of each Resident Council (Bragg Towers, Flannery Apartments, and Hoffman Plaza) be and hereby are appointed as the Resident Advisory Board for the Elmira Housing

Authority for the development of the Elmira Housing Authority Agency Plan.

The following are the members:

Bragg Towers:

Hector Santiago, Alfred Barnstead, Bernice Johnston, Lillian Williams

Flannery Apartments:

Jan Keller, Anna Burdick, Sylvia Mason, Beverly Bowen

Hoffman Plaza:

Denise Clark, Andrea Roman

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

This section contains

Annual Statement Performance & Evaluation Reports for the period ending 6/30/2007 for

1. NY06P03050105, rev 5
2. NY06P03050106, rev 5
3. NY06P03050107, Original Budget

Original Annual Statement plus 5-Year Action Plan for the following program

1. NY06P03050108

Original Annual Statement plus 5-year Action Plan for the following program

1. Capital Fund Financing Program

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

| | | |
|--|--|--|
| PHA Name: Elmira Housing Authority | Grant Type and Number: Capital Fund Program Grant No.: <u>NY06P03050105</u> Replacement Housing Factor Grant No.: _____ | Federal FFY of Grant: 2005 |
|--|--|--|

| | | |
|--|--|--|
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual statement (revision no: <u>5</u>) |
| <input checked="" type="checkbox"/> Performance and Evaluation report for Program Year Ending <u>6/30/2007</u> | <input type="checkbox"/> Final Performance and Evaluation Report | |

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | 1406 Operations | 62,400.00 | 62,400.00 | 62,400.00 | 62,400.00 |
| 3 | 1408 Management Improvements | 56,500.00 | 56,500.00 | 56,500.00 | 56,500.00 |
| 4 | 1410 Administration | 61,500.00 | 61,500.00 | 61,500.00 | 61,500.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 25,000.00 | 7,500.00 | 7,500.00 | 7,500.00 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 40,000.00 | 40,000.00 | 40,000.00 | 40,000.00 |
| 10 | 1460 Dwelling Structures | 135,000.00 | 152,500.00 | 140,175.19 | 140,175.19 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 |
| 12 | 1470 Nondwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 |
| 13 | 1475 Nondwelling Equipment | 19,600.00 | 19,600.00 | 19,600.00 | 19,600.00 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1490 Replacement Reserve | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 18 | 1499 Development Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | 197,492.00 | 183,721.00 | 0.00 | 0.00 |
| 20 | 1502 Contingency | 26,539.00 | 40,310.00 | 0.00 | 0.00 |
| 21 | Amount of Annual Grant (sum of lines 2 - 20) | 624,031.00 | 624,031.00 | 387,675.19 | 387,675.19 |
| 22 | Amount of line 21 Related to LBP Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | 0.00 | 0.00 | 0.00 | 0.00 |
| 24 | Amount of line 21 Related to Security - Soft Costs | 52,500.00 | 54,500.00 | 54,500.00 | 54,500.00 |
| 25 | Amount of line 21 Related to Security - Hard Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 0.00 | 0.00 | 0.00 | 0.00 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|----------------|-------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050105 | | Revision #5 | | 2005 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work |
| | | | | Original | Revised (1) | Funds Obligated | Funds Expended | |
| HA-Wide | Operations | 1406 | | 62,400.00 | 62,400.00 | 62,400.00 | 62,400.00 | |
| HA-Wide | Management Improvements | 1408 | | | | | | |
| | Drug Enforcement Personnel | | | 50,000.00 | 40,000.00 | 40,000.00 | 40,000.00 | |
| | Staff Training | | | 2,000.00 | 2,000.00 | 2,000.00 | 2,000.00 | |
| | Security Improvements | | | 2,500.00 | 14,500.00 | 14,500.00 | 14,500.00 | |
| | Resident Training for Self-Sufficiency | | | 2,000.00 | 0.00 | 0.00 | 0.00 | |
| | Total for Management Improvements | | | 56,500.00 | 56,500.00 | 56,500.00 | 56,500.00 | |
| HA-Wide | Administration | 1410 | | | | | | |
| | Modernization Coordinator | 1410 | | 55,000.00 | 55,000.00 | 55,000.00 | 55,000.00 | |
| | Printing & Advertising | 1410.12 | | 4,000.00 | 4,000.00 | 4,000.00 | 4,000.00 | |
| | Admin. Sundry | 1410.19 | | 2,500.00 | 2,500.00 | 2,500.00 | 2,500.00 | |
| | Total for Administration | | | 61,500.00 | 61,500.00 | 61,500.00 | 61,500.00 | |
| HA-Wide | Fees & Costs | | | | | | | |
| | Architectural & Engineering Fees | 1430 | | 25,000.00 | 7,500.00 | 7,500.00 | 7,500.00 | |
| | Total for Fees & Costs | | | 25,000.00 | 7,500.00 | 7,500.00 | 7,500.00 | |
| HA-Wide | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Relocation Cost | 1495.1 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Collateralization or Debt Service | 1501 | | | | | | |
| | Bond Payment | | | 197,492.00 | 183,721.00 | 0.00 | 0.00 | |
| | Total for Collateralization or Debt Service | | | 197,492.00 | 183,721.00 | 0.00 | 0.00 | |
| HA-Wide | Contingency | 1502 | | 26,539.00 | 40,310.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|------------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050105 | | Revision #5 | | 2005 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030001 Hoffman Plaza | Site Improvement | 1450 | | | | | | contract completed |
| | Paving & Resealing Walkway Repairs | | | 1,225.00 0.00 | 1,225.00 0.00 | 1,225.00 0.00 | 1,225.00 0.00 | |
| | Total for Site Improvement | | | 1,225.00 | 1,225.00 | 1,225.00 | 1,225.00 | |
| | Dwelling Structures | 1460 | | | | | | contract completed |
| | Apt. Modifications | | | 45,000.00 | 45,000.00 | 44,100.00 | 44,100.00 | |
| | Replace Closet doors | | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Repl. BR & Bath Doors | | | 5,000.00 | 5,000.00 | 4,788.19 | 4,788.19 | |
| | Kitchen Cabinets | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Shed Roofs | | | 0.00 | 17,500.00 | 16,287.00 | 16,287.00 | |
| | Total for Dwelling Structures | | | 60,000.00 | 77,500.00 | 65,175.19 | 65,175.19 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|---|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050105 | | Revision #5 | | 2005 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030002 George E. Bragg Towers | Site Improvement Walkway Repairs | 1450 | | 24,000.00 | 24,000.00 | 24,000.00 | 24,000.00 | |
| | Total for Site Improvement | | | 24,000.00 | 24,000.00 | 24,000.00 | 24,000.00 | |
| | Dwelling Structures Balcony Repairs | 1460 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment Generator Ventilation | 1475 | | 9,800.00 | 9,800.00 | 9,800.00 | 9,800.00 | |
| | Total for Nondwelling Equipment | | | 9,800.00 | 9,800.00 | 9,800.00 | 9,800.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050105 | | Revision #5 | | 2005 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030004 Edward Flannery Apartments | Site Improvement | 1450 | | | | | | |
| | Walkway Repairs | | | 14,775.00 | 14,775.00 | 14,775.00 | 14,775.00 | |
| | Total for Site Improvement | | | 14,775.00 | 14,775.00 | 14,775.00 | 14,775.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Kitchen Cabinets & Flooring | | | 75,000.00 | 75,000.00 | 75,000.00 | 75,000.00 | |
| | Total for Dwelling Structures | | | 75,000.00 | 75,000.00 | 75,000.00 | 75,000.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| Nondwelling Equipment | 1475 | | | | | | | |
| Generator Ventilation | | | | 9,800.00 | 9,800.00 | 9,800.00 | 9,800.00 | |
| Total for Nondwelling Equipment | | | | 9,800.00 | 9,800.00 | 9,800.00 | 9,800.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | |
|--|---|---|
| PHA Name: Elmira Housing Authority | Grant Type and Number Capital Fund Program No.: <u>NY06P03050105</u> Revision #5 Replacement Housing Factor No.: _____ | Federal FY of Grant: 2005 |
|--|---|---|

| Development Number/Name HA-Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY030001 Hoffman Pl. | 8/17/2007 | | | 8/17/2009 | | | |
| NY030002 Bragg Towers | 8/17/2007 | | | 8/17/2009 | | | |
| NY030004 Flannery Apartments | 8/17/2007 | | | 8/17/2009 | | | |
| HA-Wide Management Improvements | 8/17/2007 | | | 8/17/2009 | | | |
| Administration | 8/17/2007 | | | 8/17/2009 | | | |
| Fees & Costs | 8/17/2007 | | | 8/17/2009 | | | |
| Contingency | 8/17/2007 | | | 8/17/2009 | | | |
| Operations | 8/17/2007 | | | 8/17/2009 | | | |

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

| | | |
|--|--|--|
| PHA Name: Elmira Housing Authority | Grant Type and Number: Capital Fund Program Grant No.: <u>NY06P03050106</u> Replacement Housing Factor Grant No.: _____ | Federal FFY of Grant: 2006 |
|--|--|--|

| | | |
|--|--|--|
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual statement (revision no: <u>5</u>) |
| <input checked="" type="checkbox"/> Performance and Evaluation report for Program Year Ending <u>6/30/2007</u> | <input type="checkbox"/> Final Performance and Evaluation Report | |

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | 1406 Operations | 59,200.00 | 59,200.00 | 59,200.00 | 59,200.00 |
| 3 | 1408 Management Improvements | 56,500.00 | 56,500.00 | 56,500.00 | 46,614.25 |
| 4 | 1410 Administration | 59,223.20 | 60,972.90 | 59,223.20 | 56,175.22 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 25,000.00 | 25,000.00 | 10,270.00 | 2,317.00 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 19,056.00 | 21,301.00 | 16,301.00 | 4,056.00 |
| 10 | 1460 Dwelling Structures | 95,000.00 | 95,000.00 | 40,000.00 | 28,154.26 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 |
| 12 | 1470 Nondwelling Structures | 0.00 | 0.00 | 0.00 | 0.00 |
| 13 | 1475 Nondwelling Equipment | 58,478.00 | 58,478.00 | 58,478.00 | 22,825.00 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1490 Replacement Reserve | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 18 | 1499 Development Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | 185,043.00 | 185,043.00 | 0.00 | 0.00 |
| 20 | 1502 Contingency | 34,731.80 | 48,234.10 | 0.00 | 0.00 |
| 21 | Amount of Annual Grant (sum of lines 2 - 20) | 592,232.00 | 609,729.00 | 299,972.20 | 219,341.73 |
| 22 | Amount of line 21 Related to LBP Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | 0.00 | 0.00 | 0.00 | 0.00 |
| 24 | Amount of line 21 Related to Security - Soft Costs | 56,500.00 | 56,500.00 | 56,500.00 | 46,614.25 |
| 25 | Amount of line 21 Related to Security - Hard Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 10,000.00 | 12,245.00 | 12,245.00 | 0.00 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | | | Federal FY of Grant: |
|---|--|---|----------|----------------------|-------------|-------------------|----------------|-------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050106 Rev. #5 | | | | | | 2006 |
| Elmira Housing Authority | | Replacement Housing Factor Grant No.: | | | | | | 2006 |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work |
| | | | | Original | Revised (1) | Funds Obligated | Funds Expended | |
| HA-Wide | Operations | 1406 | | 59,200.00 | 59,200.00 | 59,200.00 | 59,200.00 | |
| HA-Wide | Management Improvements | 1408 | | | | | | |
| | Drug Enforcement Personnel | | | 40,500.00 | 40,500.00 | 40,500.00 | 30,614.25 | |
| | Staff Training | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Security Improvements | | | 16,000.00 | 16,000.00 | 16,000.00 | 16,000.00 | |
| | Resident Training for Self-Sufficiency | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Total for Management Improvements | | | 56,500.00 | 56,500.00 | 56,500.00 | 46,614.25 | |
| HA-Wide | Administration | 1410 | | | | | | |
| | Modernization Coordinator | 1410 | | 55,000.00 | 55,000.00 | 55,000.00 | 52,349.96 | |
| | Printing & Advertising | 1410.12 | | 1,855.19 | 2,604.89 | 1,855.19 | 1,457.25 | |
| | Admin. Sundry | 1410.19 | | 2,368.01 | 3,368.01 | 2,368.01 | 2,368.01 | |
| | Total for Administration | | | 59,223.20 | 60,972.90 | 59,223.20 | 56,175.22 | |
| HA-Wide | Fees & Costs | | | | | | | |
| | Architectural & Engineering Fees | 1430 | | 25,000.00 | 25,000.00 | 10,270.00 | 2,317.00 | |
| | Total for Fees & Costs | | | 25,000.00 | 25,000.00 | 10,270.00 | 2,317.00 | |
| HA-Wide | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Relocation Cost | 1495.1 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Collateralization or Debt Service | 1501 | | | | | | |
| | Bond Payment | | | 185,043.00 | 185,043.00 | 0.00 | 0.00 | |
| | Total for Collateralization or Debt Service | | | 185,043.00 | 185,043.00 | 0.00 | 0.00 | |
| HA-Wide | Contingency | 1502 | | 34,731.80 | 48,234.10 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050106 | | Rev. #5 | | 2006 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030001 Hoffman Plaza | Site Improvement | 1450 | | | | | | |
| | Demolition | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Walkways | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Paving | | | 1,196.00 | 1,196.00 | 1,196.00 | 1,196.00 | contract completed |
| | Total for Site Improvement | | | 6,196.00 | 6,196.00 | 1,196.00 | 1,196.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Apt. Modifications | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Replace Closet doors | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Repl. BR & Bath Doors | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|-----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050106 | | Rev. #5 | | 2006 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030002 George E. Bragg Towers | Site Improvement | 1450 | | | | | | contract completed |
| | Paving | | | 2,860.00 | 2,860.00 | 2,860.00 | 2,860.00 | |
| | Exterior Lighting | | | 10,000.00 | 12,245.00 | 12,245.00 | 0.00 | |
| | Total for Site Improvement | | | 12,860.00 | 15,105.00 | 15,105.00 | 2,860.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Lobby Renovations | | | 15,000.00 | 15,000.00 | 0.00 | 28,154.26 | |
| | Total for Dwelling Structures | | | 15,000.00 | 15,000.00 | 0.00 | 28,154.26 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | | |
| Nondwelling Equipment | 1475 | | | | | | | |
| Trashroom equipment | | | | 22,825.00 | 22,825.00 | 22,825.00 | 22,825.00 | contract completed |
| Elevator Car Renovations | | | 35,653.00 | 35,653.00 | 35,653.00 | 0.00 | | |
| Total for Nondwelling Equipment | | | 58,478.00 | 58,478.00 | 58,478.00 | 22,825.00 | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050106 | | Rev. #5 | | 2006 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030004 Edward Flannery Apartments | Site Improvement | 1450 | | | | | | |
| | Total for Site Improvement | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Kitchen Cabinets & Flooring | | | 40,000.00 | 40,000.00 | 40,000.00 | 0.00 | |
| | Total for Dwelling Structures | | | 40,000.00 | 40,000.00 | 40,000.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | |
|--|--|-------------------------------------|
| PHA Name: Elmira Housing Authority | Grant Type and Number Capital Fund Program No.: <u>NY06P03050106</u> Rev. #5 Replacement Housing Factor No.: _____ | Federal FY of Grant: 2006 |
|--|--|-------------------------------------|

| Development Number/Name HA-Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY030001 Hoffman Pl. | 3/31/2008 | | | 3/31/2009 | | | |
| NY030002 Bragg Towers | 3/31/2008 | | | 3/31/2009 | | | |
| NY030004 Flannery Apartments | 3/31/2008 | | | 3/31/2009 | | | |
| HA-Wide Management Improvements | 3/31/2008 | | | 3/31/2009 | | | |
| Administration | 3/31/2008 | | | 3/31/2009 | | | |
| Fees & Costs | 3/31/2008 | | | 3/31/2009 | | | |
| Contingency | 3/31/2008 | | | 3/31/2009 | | | |
| Operations | 3/31/2008 | | | 3/31/2009 | | | |

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

| | | |
|--|--|--|
| PHA Name: Elmira Housing Authority | Grant Type and Number: Capital Fund Program Grant No.: <u>NY06P03050107</u> Replacement Housing Factor Grant No.: _____ | Federal FFY of Grant: 2007 |
|--|--|--|

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual statement (revision no: _____) |
| <input checked="" type="checkbox"/> Performance and Evaluation report for Program Year Ending <u>6/30/2007</u> | <input type="checkbox"/> Final Performance and Evaluation Report | |

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | 1406 Operations | 59,200.00 | 59,200.00 | 0.00 | 0.00 |
| 3 | 1408 Management Improvements | 56,500.00 | 56,500.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 61,500.00 | 61,500.00 | 0.00 | 0.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 25,000.00 | 25,000.00 | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 15,000.00 | 15,000.00 | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 103,000.00 | 103,000.00 | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 5,000.00 | 5,000.00 | 0.00 | 0.00 |
| 12 | 1470 Nondwelling Structures | 10,000.00 | 10,000.00 | 0.00 | 0.00 |
| 13 | 1475 Nondwelling Equipment | 55,000.00 | 55,000.00 | 0.00 | 0.00 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1490 Replacement Reserve | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 18 | 1499 Development Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | 197,523.00 | 197,523.00 | 0.00 | 0.00 |
| 20 | 1502 Contingency | 4,509.00 | 4,509.00 | 0.00 | 0.00 |
| 21 | Amount of Annual Grant (sum of lines 2 - 20) | 592,232.00 | 592,232.00 | 0.00 | 0.00 |
| 22 | Amount of line 21 Related to LBP Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | 0.00 | 0.00 | 0.00 | 0.00 |
| 24 | Amount of line 21 Related to Security - Soft Costs | 52,500.00 | 52,500.00 | 0.00 | 0.00 |
| 25 | Amount of line 21 Related to Security - Hard Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 0.00 | 0.00 | 0.00 | 0.00 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|----------------|-------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050107 | | Original Budget | | 2007 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work |
| | | | | Original | Revised (1) | Funds Obligated | Funds Expended | |
| HA-Wide | Operations | 1406 | | 59,200.00 | 59,200.00 | 0.00 | 0.00 | |
| HA-Wide | Management Improvements | 1408 | | | | | | |
| | Drug Enforcement Personnel | | | 50,000.00 | 50,000.00 | 0.00 | 0.00 | |
| | Staff Training | | | 2,000.00 | 2,000.00 | 0.00 | 0.00 | |
| | Security Improvements | | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Resident Training for Self-Sufficiency | | | 2,000.00 | 2,000.00 | 0.00 | 0.00 | |
| | Total for Management Improvements | | | 56,500.00 | 56,500.00 | 0.00 | 0.00 | |
| HA-Wide | Administration | 1410 | | | | | | |
| | Modernization Coordinator | 1410 | | 55,000.00 | 55,000.00 | 0.00 | 0.00 | |
| | Printing & Advertising | 1410.12 | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Admin. Sundry | 1410.19 | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Total for Administration | | | 61,500.00 | 61,500.00 | 0.00 | 0.00 | |
| HA-Wide | Fees & Costs | | | | | | | |
| | Architectural & Engineering Fees | 1430 | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| | Total for Fees & Costs | | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Relocation Cost | 1495.1 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Collateralization or Debt Service | 1501 | | | | | | |
| | Bond Payment | | | 197,523.00 | 197,523.00 | 0.00 | 0.00 | |
| | Total for Collateralization or Debt Service | | | 197,523.00 | 197,523.00 | 0.00 | 0.00 | |
| HA-Wide | Contingency | 1502 | | 4,509.00 | 4,509.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050107 Original Budget | | | | 2007 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030001 Hoffman Plaza | Site Improvement Walkway Repairs | 1450 | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Site Improvement | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Apt. Modifications | | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| | Replace Closet doors | | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Repl. BR & Bath Doors | | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 33,000.00 | 33,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Hot water tanks | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Equipment - Nonexp. | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Mod. Office renovations | | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Total for Nondwelling Structures | | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Computers | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Nondwelling Equipment | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|------------------------|------------------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050107 Original Budget | | | | 2007 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030002 George E. Bragg Towers | Site Improvement Exterior Lighting | 1450 | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Total for Site Improvement | | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Dwelling Structures Restroom Renovations Lobby & First Fl. Renov. | 1460 | | 15,000.00 25,000.00 | 15,000.00 25,000.00 | 0.00 0.00 | 0.00 0.00 | |
| | Total for Dwelling Structures | | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment Trashroom equipment Computers | 1475 | | 40,000.00 5,000.00 | 40,000.00 5,000.00 | 0.00 0.00 | 0.00 0.00 | |
| | Total for Nondwelling Equipment | | | 45,000.00 | 45,000.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050107 Original Budget | | | | 2007 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030004 Edward Flannery Apartments | Site Improvement | 1450 | | | | | | |
| | Total for Site Improvement | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Kitchen Cabinets & Flooring | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Computers | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Nondwelling Equipment | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| | | |
|--|---|--|
| PHA Name: Elmira Housing Authority | Grant Type and Number Capital Fund Program No.: <u>NY06P03050107</u> Original Budget Replacement Housing Factor No.: _____ | Federal FY of Grant: 2006 |
|--|---|--|

| Development Number/Name HA-Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY030001 Hoffman Pl. | 3/31/2009 | | | 3/31/2010 | | | |
| NY030002 Bragg Towers | 3/31/2009 | | | 3/31/2010 | | | |
| NY030004 Flannery Apartments | 3/31/2009 | | | 3/31/2010 | | | |
| HA-Wide Management Improvements | 3/31/2009 | | | 3/31/2010 | | | |
| Administration | 3/31/2009 | | | 3/31/2010 | | | |
| Fees & Costs | 3/31/2009 | | | 3/31/2010 | | | |
| Contingency | 3/31/2009 | | | 3/31/2010 | | | |
| Operations | 3/31/2009 | | | 3/31/2010 | | | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

| | | |
|--|---|--------------------------------------|
| PHA Name: Elmira Housing Authority | Grant Type and Number: Capital Fund Program Grant No.: NY06P03050108 Replacement Housing Factor Grant No.: | Federal FFY of Grant: 2008 |
|--|---|--------------------------------------|

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual statement (revision no: _____) |
| <input type="checkbox"/> Performance and Evaluation report for Program Year Ending _____ | <input type="checkbox"/> Final Performance and Evaluation Report | |

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|------------|-------------------|----------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | 1406 Operations | 63,033.00 | 63,033.00 | 0.00 | 0.00 |
| 3 | 1408 Management Improvements | 46,500.00 | 46,500.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 61,500.00 | 61,500.00 | 0.00 | 0.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 33,000.00 | 33,000.00 | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 44,500.00 | 44,500.00 | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 61,000.00 | 61,000.00 | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment - Nonexpendable | 45,000.00 | 45,000.00 | 0.00 | 0.00 |
| 12 | 1470 Nondwelling Structures | 15,000.00 | 15,000.00 | 0.00 | 0.00 |
| 13 | 1475 Nondwelling Equipment | 0.00 | 0.00 | 0.00 | 0.00 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1490 Replacement Reserve | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 18 | 1499 Development Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | 182,810.78 | 182,810.78 | 0.00 | 0.00 |
| 20 | 1502 Contingency | 77,986.22 | 77,986.22 | 0.00 | 0.00 |
| 21 | Amount of Annual Grant (sum of lines 2 - 20) | 630,330.00 | 630,330.00 | 0.00 | 0.00 |
| 22 | Amount of line 21 Related to LBP Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 23 | Amount of line 21 Related to Section 504 Compliance | 0.00 | 0.00 | 0.00 | 0.00 |
| 24 | Amount of line 21 Related to Security - Soft Costs | 42,500.00 | 42,500.00 | 0.00 | 0.00 |
| 25 | Amount of line 21 Related to Security - Hard Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 35,000.00 | 35,000.00 | 0.00 | 0.00 |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|----------------|-------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050108 | | Original Budget | | 2008 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work |
| | | | | Original | Revised (1) | Funds Obligated | Funds Expended | |
| HA-Wide | Operations | 1406 | | 63,033.00 | 63,033.00 | 0.00 | 0.00 | |
| HA-Wide | Management Improvements | 1408 | | | | | | |
| | Drug Enforcement Personnel | | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| | Staff Training | | | 2,000.00 | 2,000.00 | 0.00 | 0.00 | |
| | Security Improvements | | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Resident Training for Self-Sufficiency | | | 2,000.00 | 2,000.00 | 0.00 | 0.00 | |
| | Total for Management Improvements | | | 46,500.00 | 46,500.00 | 0.00 | 0.00 | |
| HA-Wide | Administration | 1410 | | | | | | |
| | Modemization Coordinator | 1410 | | 55,000.00 | 55,000.00 | 0.00 | 0.00 | |
| | Printing & Advertising | 1410.12 | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Admin. Sundry | 1410.19 | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Total for Administration | | | 61,500.00 | 61,500.00 | 0.00 | 0.00 | |
| HA-Wide | Fees & Costs | 1430 | | | | | | |
| | Architectural & Engineering Fees | | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| | Needs Assessment | | | 8,000.00 | 8,000.00 | 0.00 | 0.00 | |
| | Total for Fees & Costs | | | 33,000.00 | 33,000.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Relocation Cost | 1495.1 | | 0.00 | 0.00 | 0.00 | 0.00 | |
| HA-Wide | Collateralization or Debt Service | 1501 | | | | | | |
| | Bond Payment | | | 182,810.78 | 182,810.78 | 0.00 | 0.00 | |
| | Total for Collateralization or Debt Service | | | 182,810.78 | 182,810.78 | 0.00 | 0.00 | |
| HA-Wide | Contingency | 1502 | | 77,986.22 | 77,986.22 | 0.00 | 0.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050108 Original Budget | | | | 2008 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030001 Hoffman Plaza | Site Improvement | 1450 | | | | | | |
| | Paving & Resealing | | | 25,000.00 | 25,000.00 | 0.00 | 0.00 | |
| | Site Lighting | | | 8,000.00 | 8,000.00 | 0.00 | 0.00 | |
| | Walkway Repairs | | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Total for Site Improvement | | | 37,000.00 | 37,000.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Apt. Modifications | | | 18,000.00 | 18,000.00 | 0.00 | 0.00 | |
| | Foundations & Crawlspace | | | 8,000.00 | 8,000.00 | 0.00 | 0.00 | |
| | Repl. Closet Doors | | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Repl. BR & Bath Doors | | | 4,000.00 | 4,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 34,000.00 | 34,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Hot water tanks | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Equipment - Nonexp. | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: NY06P03050108 | | Original Budget | | 2008 | | |
| Replacement Housing Factor Grant No.: | | | | | | | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030002 George E. Bragg Towers | Site Improvement Walkway Repairs | 1450 | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Total for Site Improvement | | | 2,500.00 | 2,500.00 | 0.00 | 0.00 | |
| | Dwelling Structures Kitchen Upgrades "K" Apts. | 1460 | | 15,000.00 | 15,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 15,000.00 | 15,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Total for Dwelling Equipment - Nonexp. | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Total for Nondwelling Structures | | | 0.00 | 0.00 | 0.00 | 0.00 | |
| | Nondwelling Equipment | 1475 | | | | | | |
| | Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | |

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)**

Part II: Supporting Pages

| PHA Name: | | Grant Type and Number: | | | | Federal FY of Grant: | | |
|---|--|---------------------------------------|----------|----------------------|-------------|----------------------|--------------------|-----------------------------|
| Elmira Housing Authority | | Capital Fund Program Grant No.: | | NY06P03050108 | | Original Budget | | |
| | | Replacement Housing Factor Grant No.: | | | | 2008 | | |
| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Proposed Work (2) |
| | | | | Original | Revised (1) | Funds Obligated (2) | Funds Expended (2) | |
| NY030004 Edward Flannery Apartments | Site Improvement | 1450 | | | | | | |
| | Walkway Repairs | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Total for Site Improvement | | | 5,000.00 | 5,000.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Unit Painting | | | 5000.00 | 5000.00 | 0.00 | 0.00 | |
| | Hallway Painting | | | 7000.00 | 7000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 12,000.00 | 12,000.00 | 0.00 | 0.00 | |
| | Dwelling Equipment - Nonexpendable | 1465.1 | | | | | | |
| | Ranges | | | 10,000.00 | 10,000.00 | 0.00 | 0.00 | |
| | Hot Water Tanks | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Equipment - Nonexp. | | | 40,000.00 | 40,000.00 | 0.00 | 0.00 | |
| | Nondwelling Structures | 1470 | | | | | | |
| | Additional Storage | | | 15,000.00 | 15,000.00 | 0.00 | 0.00 | |
| | Total for Nondwelling Structures | | | 15,000.00 | 15,000.00 | 0.00 | 0.00 | |
| Nondwelling Equipment | 1475 | | | | | | | |
| Total for Nondwelling Equipment | | | 0.00 | 0.00 | 0.00 | 0.00 | | |

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund program Replacment Housing Factor (CFP/CFPRHF)
 Part III: Implementation Schedule**

| | | |
|--|--|-------------------------------------|
| PHA Name: Elmira Housing Authority | Grant Type and Number Capital Fund Program No.: <u>NY06P03050108</u> Original Budget Replacement Housing Factor No.: _____ | Federal FY of Grant: 2008 |
|--|--|-------------------------------------|

| Development Number/Name HA-Wide Activities | All Funds Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
|---|---|---------|--------|--|---------|--------|----------------------------------|
| | Original | Revised | Actual | Original | Revised | Actual | |
| NY030001 Hoffman Pl. | 3/31/2010 | | | 3/31/2011 | | | |
| NY030002 Bragg Towers | 3/31/2010 | | | 3/31/2011 | | | |
| NY030004 Flannery Apartments | 3/31/2010 | | | 3/31/2011 | | | |
| HA-Wide Management Improvements | 3/31/2010 | | | 3/31/2011 | | | |
| Administration | 3/31/2010 | | | 3/31/2011 | | | |
| Fees & Costs | 3/31/2010 | | | 3/31/2011 | | | |
| Contingency | 3/31/2010 | | | 3/31/2011 | | | |
| Operations | 3/31/2010 | | | 3/31/2011 | | | |

Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name | | | | | | <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No.: _____ | | | |
|--------------------------------------|-----------------------------|---------------------------|------------|---------------------------|------------|--|------------|---------------------------|------------|
| Elmira Housing Authority | | | | | | | | | |
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 | | Work Statement for Year 3 | | Work Statement for Year 4 | | Work Statement for Year 5 | |
| | | FFY Grant: PHA FY: | 2009 | FFY Grant: PHA FY: | 2010 | FFY Grant: PHA FY: | 2011 | FFY Grant: PHA FY: | 2012 |
| NY030001, Hoffman Plaza | Annual Statement | | 100,000.00 | | 33,000.00 | | 134,000.00 | | 134,000.00 |
| NY030002, Bragg Towers | | | 6,500.00 | | 63,000.00 | | 14,000.00 | | 14,000.00 |
| NY030004, Flannery Apts. | | | 66,000.00 | | 45,000.00 | | 20,000.00 | | 20,000.00 |
| Operations | | | 63,033.00 | | 63,033.00 | | 63,033.00 | | 63,033.00 |
| Management Improvements | | | 46,500.00 | | 46,500.00 | | 46,500.00 | | 46,500.00 |
| Administration | | | 61,500.00 | | 61,500.00 | | 61,500.00 | | 61,500.00 |
| Fees & Costs | | | 25,000.00 | | 45,000.00 | | 25,000.00 | | 25,000.00 |
| Relocation | | | 0.00 | | 0.00 | | 0.00 | | 0.00 |
| Contingency | | | 77,361.53 | | 92,424.37 | | 84,103.89 | | 82,977.99 |
| Bond Debt Obligation | | | 184,435.47 | | 180,872.63 | | 182,193.11 | | 183,319.01 |
| CFP Funds Listed for 5-year planning | | | 630,330.00 | | 630,330.00 | | 630,330.00 | | 630,330.00 |
| Replacement Housing Factor Funds | | | 0.00 | | 0.00 | | 0.00 | | 0.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>2</u> FFY Grant: <u>2009</u> PHA FY: | | | Activities for Year: <u>3</u> FFY Grant: <u>2010</u> PHA FY: | | |
|---|--|--|----------------|--|--|------------------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See Annual Statement | HA-Wide | Operations: Operations | 63,033.00 | HA-Wide | Operations: Operations | 63,033.00 |
| | | Total for Operations: | 63,033.00 | | Total for Operations: | 63,033.00 |
| | | Management Improvements: Drug Enforcement Personnel | 40,000.00 | | Management Improvements: Drug Enforcement Personnel | 40,000.00 |
| | | Staff Training | 2,000.00 | | Staff Training | 2,000.00 |
| | | Security Improvements | 2,500.00 | | Security Improvements | 2,500.00 |
| | | Resident Training for Self Sufficiency | 2,000.00 | | Resident Training for Self Sufficiency | 2,000.00 |
| | | Total for Management Improvements: | 46,500.00 | | Total for Management Improvements: | 46,500.00 |
| | | Administration: Modernization Coordinator | 55,000.00 | | Administration: Modernization Coordinator | 55,000.00 |
| | | Printing & Advertising | 4,000.00 | | Printing & Advertising | 4,000.00 |
| | | Admin. Sundry | 2,500.00 | | Admin. Sundry | 2,500.00 |
| | | Total for Administration: | 61,500.00 | | Total for Administration: | 61,500.00 |
| | | Fees & Costs: A & E Fees | 25,000.00 | | Fees & Costs: A & E Fees Energy audit | 25,000.00 20,000.00 |
| | | Total for Fees & Costs: | 25,000.00 | | Total for Fees & Costs: | 45,000.00 |
| | | Relocation: Total for Relocation: | 0.00 | | Relocation: Total for Relocation: | 0.00 |
| Contingency: Contingency | 77,361.53 | Contingency: Contingency | 92,424.37 | | | |
| Total for Contingency: | 77,361.53 | Total for Contingency: | 92,424.37 | | | |
| Bond Debt Obligation: Bond Debt Obligation | 184,435.47 | Bond Debt Obligation: Bond Debt Obligation | 180,872.63 | | | |
| Total for Bond Debt Obligation: | 184,435.47 | Total for Bond Debt Obligation: | 180,872.63 | | | |
| Total CFP Estimated Cost | | | | | | |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>4</u> FFY Grant: <u>2011</u> PHA FY: | | | Activities for Year: <u>5</u> FFY Grant: <u>2012</u> PHA FY: | | |
|---|--|--|----------------|--|--|----------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See Annual Statement | HA-Wide | Operations: Operations | 63,033.00 | HA-Wide | Operations: Operations | 63,033.00 |
| | | Total for Operations: | 63,033.00 | | Total for Operations: | 63,033.00 |
| | | Management Improvements: Drug Enforcement Personnel | 40,000.00 | | Management Improvements: Drug Enforcement Personnel | 40,000.00 |
| | | Staff Training | 2,000.00 | | Staff Training | 2,000.00 |
| | | Security Improvements | 2,500.00 | | Security Improvements | 2,500.00 |
| | | Resident Training for Self Sufficiency | 2,000.00 | | Resident Training for Self Sufficiency | 2,000.00 |
| | | Total for Management Improvements: | 46,500.00 | | Total for Management Improvements: | 46,500.00 |
| | | Administration: Modernization Coordinator | 55,000.00 | | Administration: Modernization Coordinator | 55,000.00 |
| | | Printing & Advertising | 4,000.00 | | Printing & Advertising | 4,000.00 |
| | | Admin. Sundry | 2,500.00 | | Admin. Sundry | 2,500.00 |
| | | Total for Administration: | 61,500.00 | | Total for Administration: | 61,500.00 |
| | | Fees & Costs: A & E Fees | 25,000.00 | | Fees & Costs: A & E Fees | 25,000.00 |
| Total for Fees & Costs: | 25,000.00 | Total for Fees & Costs: | 25,000.00 | | | |
| Relocation: | | Relocation: | | | | |
| Total for Relocation: | 0.00 | Total for Relocation: | 0.00 | | | |
| Contingency: Contingency | 84,103.89 | Contingency: Contingency | 82,977.99 | | | |
| Total for Contingency: | 84,103.89 | Total for Contingency: | 82,977.99 | | | |
| Bond Debt Obligation: Bond Debt Obligation | 182,193.11 | Bond Debt Obligation: Bond Debt Obligation | 183,319.01 | | | |
| Total for Bond Debt Obligation: | 182,193.11 | Total for Bond Debt Obligation: | 183,319.01 | | | |
| Total CFP Estimated Cost | | | | | | |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>2</u> FFY Grant: <u>2009</u> PHA FY: | | | Activities for Year: <u>3</u> FFY Grant: <u>2010</u> PHA FY: | | | |
|--------------------------------------|--|--------------------------------------|----------------|--|------------------------------|----------------|-----------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Hoffman Plaza NY030001 | Site Improvements: | | Hoffman Plaza NY030001 | Site Improvements: | | |
| | | Storage Sheds | 30,000.00 | | Playground Equipment | 5,000.00 | |
| | | Walkway repairs | 4,000.00 | | Site Lighting | 10,000.00 | |
| | | Total for Site Improvements: | 34,000.00 | | Total for Site Improvements: | 15,000.00 | |
| | | Dwelling Structures: | | | Dwelling Structures: | | |
| | | Apt. Modifications | 20,000.00 | | Apt. Modifications | 18,000.00 | |
| | | Foundations/Crawlspaces | 28,000.00 | | | | |
| | | Repl. Kitchen Cabinets | 10,000.00 | | | | |
| | | Repl. Closet Doors | 4,000.00 | | | | |
| | | Repl. BR & Bath Doors | 4,000.00 | | | | |
| Total for Dwelling Structures: | 66,000.00 | Total for Dwelling Structures: | 18,000.00 | | | | |
| Dwelling Equipment - Non-expendable: | | Dwelling Equipment - Non-expendable: | | | | | |
| Total for Dwelling Equipment: | 0.00 | Total for Dwelling Equipment: | 0.00 | | | | |
| Non-Dwelling Structures: | | Non-Dwelling Structures: | | | | | |
| Total for Non-Dwelling Structures: | 0.00 | Total for Non-Dwelling Structures: | 0.00 | | | | |
| Non-Dwelling Equipment: | | Non-Dwelling Equipment: | | | | | |
| Total for Non-Dwelling Equipment: | 0.00 | Total for Non-Dwelling Equipment: | 0.00 | | | | |
| Total CFP Estimated Cost | | | 100,000.00 | Total CFP Estimated Cost | | | 33,000.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>4</u> FFY Grant: <u>2011</u> PHA FY: | | | Activities for Year: <u>5</u> FFY Grant: <u>2012</u> PHA FY: | | | |
|--------------------------|--|---|-----------------------|--|---|-----------------------|------------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Hoffman Plaza NY030001 | Site Improvements: Paving & Resealing Walkway Repairs | 3,000.00 3,000.00 | Hoffman Plaza NY030001 | Site Improvements: Paving & Resealing Walkway Repairs | 3,000.00 3,000.00 | |
| | | Total for Site Improvements: | 6,000.00 | | Total for Site Improvements: | 6,000.00 | |
| | | Dwelling Structures: Apt. Modifications | 18,000.00 | | Dwelling Structures: Apt. Modifications | 18,000.00 | |
| | | Total for Dwelling Structures: | 18,000.00 | | Total for Dwelling Structures: | 18,000.00 | |
| | | Dwelling Equipment - Non-expendable: Ranges Furnaces | 5,000.00 80,000.00 | | Dwelling Equipment - Non-expendable: Ranges Furnaces | 5,000.00 80,000.00 | |
| | | Total for Dwelling Equipment: | 85,000.00 | | Total for Dwelling Equipment: | 85,000.00 | |
| | | Non-Dwelling Structures: Garage/Storage Renovations | 25,000.00 | | Non-Dwelling Structures: Garage/Storage Renovations | 25,000.00 | |
| | | Total for Non-Dwelling Structures: | 25,000.00 | | Total for Non-Dwelling Structures: | 25,000.00 | |
| | | Non-Dwelling Equipment: | | | Non-Dwelling Equipment: | | |
| | | Total for Non-Dwelling Equipment: | 0.00 | | Total for Non-Dwelling Equipment: | 0.00 | |
| Total CFP Estimated Cost | | | 134,000.00 | Total CFP Estimated Cost | | | 134,000.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>2</u> FFY Grant: <u>2009</u> PHA FY: | | | Activities for Year: <u>3</u> FFY Grant: <u>2010</u> PHA FY: | | | |
|--------------------------|--|---------------------------------------|----------------|--|--|-----------------------|-----------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Bragg Towers NY030002 | Site Improvements: Walkway repairs | 6,500.00 | Bragg Towers NY030002 | Site Improvements: | | |
| | | Total for Site Improvements: | 6,500.00 | | Total for Site Improvements: | 0.00 | |
| | | Dwelling Structures: | | | Dwelling Structures: Upgrades for Hallways Unit Painting | 40,000.00 5,000.00 | |
| | | Total for Dwelling Structures: | 0.00 | | Total for Dwelling Structures: | 45,000.00 | |
| | | Dwelling Equipment - Non-expendable: | | | Dwelling Equipment - Non-expendable: Bathroom exhausts | 18,000.00 | |
| | | Total for Dwelling Equipment: | 0.00 | | Total for Dwelling Equipment: | 18,000.00 | |
| | | Non-Dwelling Structures: | | | Non-Dwelling Structures: | | |
| | | Total for Non-Dwelling Structures: | 0.00 | | Total for Non-Dwelling Structures: | 0.00 | |
| | | Non-Dwelling Equipment: | | | Non-Dwelling Equipment: | | |
| | | Total for Non-Dwelling Equipment: | 0.00 | | Total for Non-Dwelling Equipment: | 0.00 | |
| Total CFP Estimated Cost | | | 6,500.00 | Total CFP Estimated Cost | | | 63,000.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>4</u> FFY Grant: <u>2011</u> PHA FY: | | | Activities for Year: <u>5</u> FFY Grant: <u>2012</u> PHA FY: | | | |
|------------------------------------|--|--------------------------------------|----------------|--|--------------------------------------|----------------|-----------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Bragg Towers NY030002 | Site Improvements: | | Bragg Towers NY030002 | Site Improvements: | | |
| | | Parking Repairs & new | 5,000.00 | | Parking repairs & new | 5,000.00 | |
| | | Walkway repairs | 4,000.00 | | Walkway repairs | 4,000.00 | |
| | | Total for Site Improvements: | 9,000.00 | | Total for Site Improvements: | 9,000.00 | |
| | | Dwelling Structures: | | | Dwelling Structures: | | |
| | | Unit Painting | 5,000.00 | | Unit painting | 5,000.00 | |
| | | Total for Dwelling Structures: | 5,000.00 | | Total for Dwelling Structures: | 5,000.00 | |
| | | Dwelling Equipment - Non-expendable: | | | Dwelling Equipment - Non-expendable: | | |
| | | Total for Dwelling Equipment: | 0.00 | | Total for Dwelling Equipment: | 0.00 | |
| | | Non-Dwelling Structures: | | | Non-Dwelling Structures: | | |
| Total for Non-Dwelling Structures: | 0.00 | Total for Non-Dwelling Structures: | 0.00 | | | | |
| Non-Dwelling Equipment: | | Non-Dwelling Equipment: | | | | | |
| Total for Non-Dwelling Equipment: | 0.00 | Total for Non-Dwelling Equipment: | 0.00 | | | | |
| Total CFP Estimated Cost | | | 14,000.00 | Total CFP Estimated Cost | | | 14,000.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>2</u> FFY Grant: <u>2009</u> PHA FY: | | | Activities for Year: <u>3</u> FFY Grant: <u>2010</u> PHA FY: | | | |
|--------------------------|--|---|----------------------|--|--|--------------------------------------|-----------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Flannery Apts. NY030004 | Site Improvements: Walkway repairs | 10,000.00 | Flannery Apts. NY030004 | Site Improvements: | | |
| | | Total for Site Improvements: | 10,000.00 | | Total for Site Improvements: | 0.00 | |
| | | Dwelling Structures: Unit painting Hallway painting | 5,000.00 7,000.00 | | Dwelling Structures: Unit Painting | 5,000.00 | |
| | | Total for Dwelling Structures: | 12,000.00 | | | Total for Dwelling Structures: | 5,000.00 |
| | | Dwelling Equipment - Non-expendable: | | | | Dwelling Equipment - Non-expendable: | |
| | | Total for Dwelling Equipment: | 0.00 | | Total for Dwelling Equipment: | 0.00 | |
| | | Non-Dwelling Structures: Office Renovations | 4,000.00 | | Non-Dwelling Structures: | | |
| | | Total for Non-Dwelling Structures: | 4,000.00 | | | Total for Non-Dwelling Structures: | 0.00 |
| | | Non-Dwelling Equipment: Trashroom equipment | 40,000.00 | | Non-Dwelling Equipment: Trashroom equipment | 40,000.00 | |
| | | Total for Non-Dwelling Equipment: | 40,000.00 | | Total for Non-Dwelling Equipment: | 40,000.00 | |
| Total CFP Estimated Cost | | | 66,000.00 | Total CFP Estimated Cost | | | 45,000.00 |

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

| Activities for Year 1 | Activities for Year: <u>4</u> FFY Grant: <u>2011</u> PHA FY: | | | Activities for Year: <u>5</u> FFY Grant: <u>2012</u> PHA FY: | | | |
|------------------------------------|--|--------------------------------------|----------------|--|--------------------------------------|----------------|-----------|
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost | |
| See Annual Statement | Flannery Apts. NY030004 | Site Improvements: | | Flannery Apts. NY030004 | Site Improvements: | | |
| | | Parking Lot Work | 10,000.00 | | Parking lot work | 10,000.00 | |
| | | Walkway repairs | 5,000.00 | | Walkway repairs | 5,000.00 | |
| | | Total for Site Improvements: | 15,000.00 | | Total for Site Improvements: | 15,000.00 | |
| | | Dwelling Structures: | | | Dwelling Structures: | | |
| | | Unit painting | 5,000.00 | | Unit Painting | 5,000.00 | |
| | | Total for Dwelling Structures: | 5,000.00 | | Total for Dwelling Structures: | 5,000.00 | |
| | | Dwelling Equipment - Non-expendable: | | | Dwelling Equipment - Non-expendable: | | |
| | | Total for Dwelling Equipment: | 0.00 | | Total for Dwelling Equipment: | 0.00 | |
| | | Non-Dwelling Structures: | | | Non-Dwelling Structures: | | |
| Total for Non-Dwelling Structures: | 0.00 | Total for Non-Dwelling Structures: | 0.00 | | | | |
| Non-Dwelling Equipment: | | Non-Dwelling Equipment: | | | | | |
| Total for Non-Dwelling Equipment: | 0.00 | Total for Non-Dwelling Equipment: | 0.00 | | | | |
| Total CFP Estimated Cost | | | 20,000.00 | Total CFP Estimated Cost | | | 20,000.00 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

| | | |
|------------------------------------|--|------------------------------|
| PHA Name: Elmira Housing Authority | Grant Type and Number Capital Fund Program Grant No: Capital Fund Financing Program Replacement Housing Factor Grant No: | Federal FY of Grant: 2005 |
|------------------------------------|--|------------------------------|

X Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
|----------|---|----------------------|--------------|-------------------|------------|
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | 0.00 | 0.00 | 0.00 | 0.00 |
| 2 | 1406 Operations | 0.00 | 0.00 | 0.00 | 0.00 |
| 3 | 1408 Management Improvements | 0.00 | 0.00 | 0.00 | 0.00 |
| 4 | 1410 Administration | 0.00 | 0.00 | 0.00 | 0.00 |
| 5 | 1411 Audit | 0.00 | 0.00 | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 1430 Fees and Costs | 290,000.00 | 290,000.00 | 235,854.00 | 151,969.00 |
| 8 | 1440 Site Acquisition | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 1450 Site Improvement | 211,000.00 | 211,000.00 | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 716,000.00 | 716,000.00 | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 0.00 | 0.00 | 0.00 | 0.00 |
| 12 | 1470 Nondwelling Structures | 53,000.00 | 53,000.00 | 0.00 | 0.00 |
| 13 | 1475 Nondwelling Equipment | 1,002,156.00 | 1,002,156.00 | 0.00 | 0.00 |
| 14 | 1485 Demolition | 0.00 | 0.00 | 0.00 | 0.00 |
| 15 | 1490 Replacement Reserve | 0.00 | 0.00 | 0.00 | 0.00 |
| 16 | 1492 Moving to Work Demonstration | 0.00 | 0.00 | 0.00 | 0.00 |
| 17 | 1495.1 Relocation Costs | 0.00 | 0.00 | 0.00 | 0.00 |
| 18 | 1499 Development Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 19 | 1501 Collateralization or Debt Service | 91,044.00 | 92,718.24 | 91,044.00 | 92,718.24 |
| 20 | 1502 Contingency | 39,116.00 | 80,019.02 | 0.00 | 0.00 |
| 21 | “CFP BOND PROCEEDS” | 2,402,316.00 | 2,444,893.26 | 326,898.00 | 244,687.24 |
| 22 | Amount of line 21 Related to LBP Activities | 0.00 | 0.00 | 0.00 | 0.00 |
| 23 | Amount of line 21 Related to Section 504 compliance | 0.00 | 0.00 | 0.00 | 0.00 |

| Annual Statement/Performance and Evaluation Report | | | | | | |
|---|---|--|------------|-------------------|------------------------------|--|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | | |
| PHA Name: Elmira Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Capital Fund Financing Program Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2005 | |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | | |
| | | Original | Revised | Obligated | Expended | |
| 24 | Amount of line 21 Related to Security – Soft Costs | 0.00 | 0.00 | 0.00 | 0.00 | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | 0.00 | 0.00 | 0.00 | 0.00 | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 861,000.00 | 861,000.00 | 0.00 | 0.00 | |

| Annual Statement/Performance and Evaluation Report | | | | | | | | |
|--|---|---------------|--|----------------------|------------|----------------------|----------------|-------------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) | | | | | | | | |
| Part II: Supporting Pages | | | | | | | | |
| PHA Name: Elmira Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: Capital Fund Financing Program Replacement Housing Factor Grant No: | | | Federal FY of Grant: | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| HA –Wide | Fees & Costs | 1430 | | | | | | |
| | Architectural & Engineering Fees | | | 146,238.00 | 138,031.00 | 83,885.00 | 0.00 | |
| | Bond Fees and Costs | | | 143,762.00 | 151,969.00 | 151,969.00 | 151,969.00 | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Elmira Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Capital Fund Financing Program Replacement Housing Factor Grant No: | | | | Federal FY of Grant: | | |
|--|---|---|----------|----------------------|------------|-----------------------------|-------------------|-------------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | Total For Fees & Costs | | | 290,000.00 | 290,000.00 | 235,854.00 | 151,969.00 | |
| HA-Wide | Contingency | 1502 | | 39,116.00 | 81,019.02 | 0.00 | 0.00 | |
| | Total for Contingency | | | 39,116.00 | 81,019.02 | 0.00 | 0.00 | |
| HA-Wide | Collateralization or Debt Service | 1501 | | 91,044.00 | 92,718.24 | 91,044.00 | 92,718.24 | |
| | Total for Collateralization of Debt Service | | | 91,044.00 | 92,718.24 | 91,044.00 | 92,718.24 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Elmira Housing Authority | | Grant Type and Number Capital Fund Program Grant No: Capital Fund Financing Program Replacement Housing Factor Grant No: | | | Federal FY of Grant: | | | |
|---|--|--|----------|----------------------|----------------------|-------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| NY030001 Hoffman Plaza | | | | Original | Revised | Funds Obligated | Funds Expended | |
| | Site Improvement | 1450 | | | | | | |
| | Foundation Access to Apartments | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Total for Site Improvements | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Dwelling Structures | 1460 | | | | | | |
| | Replace Building Siding | | | 650,000.00 | 650,000.00 | 0.00 | 0.00 | |
| | Replace Storm Doors | | | 30,000.00 | 30,000.00 | 0.00 | 0.00 | |
| | Relocate Electric Service | | | 36,000.00 | 36,000.00 | 0.00 | 0.00 | |
| | Total for Dwelling Structures | | | 716,000.00 | 716,000.00 | 0.00 | 0.00 | |
| | Non-Dwelling Structures | 1470 | | | | | | |
| | Rehabilitate Storage Sheds | | | 53,000.00 | 53,000.00 | 0.00 | 0.00 | |
| | Total for Non-Dwelling Structures | | | 53,000.00 | 53,000.00 | 0.00 | 0.00 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

| PHA Name: Elmira Housing Authority | | Grant Type and Number Capital Fund Program No: Capital Fund Financing Program Replacement Housing Factor No: | | | | | Federal FY of Grant: | |
|--|---|---|--------|---|---------|--------|----------------------------------|--|
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates | |
| | Original | Revised | Actual | Original | Revised | Actual | | |
| NY030001 Hoffman Plaza | 1/31/2009 | | | 1/31/2011 | | | | |
| NY030002 Bragg Towers | 1/31/2009 | | | 1/31/2011 | | | | |
| NY030004 Flannery Apartments | 1/31/2009 | | | 1/31/2011 | | | | |
| HA-Wide | | | | | | | | |
| Fees & Costs | 1/31/2009 | | | 1/31/2011 | | | | |
| Contingency | 1/31/2009 | | | 1/31/2011 | | | | |
| | | | | | | | | |
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Capital Fund Program Five-Year Action Plan

Part I: Summary

| PHA Name Elmira Housing Authority | | <input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No: | | | |
|--------------------------------------|------------------|---|---|---|---|
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2009 PHA FY: | Work Statement for Year 3 FFY Grant: 2010 PHA FY: | Work Statement for Year 4 FFY Grant: 2011 PHA FY: | Work Statement for Year FFY Grant: 2012 PHA FY: |
| | Annual Statement | | | | |
| NY030001 Hoffman Plaza | | 100,000.00 | 33,000.00 | 134,000.00 | 134,000.00 |
| NY030002 Bragg Towers | | 6,500.00 | 63,000.00 | 14,000.00 | 14,000.00 |
| NY030004 Flannery Apts | | 66,000.00 | 45,000.00 | 20,000.00 | 20,000.00 |
| Operations | | 59,200.00 | 59,200.00 | 59,200.00 | 59,200.00 |
| Management Improvements | | 46,500.00 | 46,500.00 | 46,500.00 | 46,500.00 |
| Administration | | 61,500.00 | 61,500.00 | 61,500.00 | 61,500.00 |
| Fees Costs | | 25,000.00 | 45,000.00 | 25,000.00 | 25,000.00 |
| Relocation | | 0.00 | 0.00 | 0.00 | 0.00 |
| Contingency | | 46,325.74 | 61,388.24 | 53,069.50 | 51,944.50 |
| Bond Debt obligation | | 181,206.26 | 177,643.76 | 178,962.50 | 180,087.50 |
| CFP Funds Listed for 5-year planning | | 592,232.00 | 592,232.00 | 592,232.00 | 592,232.00 |
| Replacement Housing Factor Funds | | 0.00 | 0.00 | 0.00 | 0.00 |

PART I of the RESIDENTIAL LEASE AGREEMENT: TERMS AND CONDITIONS

Elmira Housing Authority , Elmira, New York

THIS LEASE AGREEMENT (called the "Lease") is between the Elmira Housing Authority, Elmira, New York (called the "Authority") and the Tenant(s) named in Part II of this lease (collectively called "Tenant").

I. Description of the Parties and Premises:

(a) The Authority, using verified data about income, family composition, and needs, leases to Tenant, the property (called "premises" or "dwelling unit") described in Part II of this Lease Agreement, subject to the terms and conditions contained in this Lease.

(b) Premises must be used only as a private residence, solely for Tenant and the household members named on Part II of the Lease. The Authority may, by prior written approval, consent to Tenant's use of the unit for legal profit making activities incidental to the residential use subject to the Authority's policy on such activities.

(c) Any additions to the household members named on the lease, including live-in aides and foster children, but excluding natural births, require the advance written approval of the Authority. Such approval will be granted only if the new family members pass the Authority's screening criteria and a unit of the appropriate size is available. Permission to add live-in- aides and foster children shall not be unreasonably refused.

Tenant agrees to wait for the Authority's approval before allowing additional persons to move into the Premises. Failure on the part of Tenant to comply with this provision is a serious violation of the material terms of the lease, for which the Authority may terminate the lease.

(d) Deletions (for any reason) from the household of members named on the lease shall be reported by the Tenant to the Authority in writing, within 10 days of the occurrence.

II. Term and Amount of Rent

(a) This lease shall have a term of twelve (12) months from the date set forth in Part II. Unless modified by written agreement of the parties, or terminated in accordance with the terms of this lease, or unless not renewed for noncompliance with a community service requirement or participation in an economic self-sufficiency program, this Lease shall automatically renew for successive terms of twelve (12) months.

The rent amount is stated in Part II of this Lease. Rent shall remain in effect unless adjusted by the Authority in accordance with Section VII herein.

Tenant has the option, upon admission to public housing and annually thereafter, to pay flat rent (market value) or income-based rent. The flat rent for the dwelling unit listed above is \$_____.

The amount of the income-based rent (Total Tenant Payment and Tenant Rent) shall be determined by the Authority in compliance with HUD regulations and requirements and in accordance with the Authority's Admissions and Occupancy Policy.

(b) Rent is DUE and PAYABLE in advance on the first day of each month and shall be considered delinquent after the fifth (5) business day of the month. Income-based rent may include utilities as described in Section VII below, and includes all maintenance services due to normal wear and tear. Flat rent does not include a utility allowance, but does include all maintenance services due to normal wear and tear.

(c) When the Authority makes any change in the amount of Total Tenant Payment or Tenant Rent, the Authority shall give written notice to Tenant. The notice shall state the new amount, and the date the new amount is applicable. Rent redeterminations are subject to the Administrative Grievance Procedure. The notice shall also state that Tenant may ask for an explanation of how the amount is computed by the Authority. If Tenant asks for an explanation, the Authority shall respond in a reasonable time.

(d) The PHA's Minimum Rent (Minimum TTP) is \$50. Provision is made for exemption due to financial hardship as defined in the Authority's Admissions and Continued Occupancy Policy.

(e) The Authority will not reduce Tenant's rent due to a reduction in welfare assistance when the welfare reduction is a result of:

- (1) Fraud; or
- (2) Failure to participate in an economic self-sufficiency program; or
- (3) Failure to participate in a work activities requirement.

The Authority will verify the above circumstances with the local welfare department through a local agreement with the welfare department to verify such circumstances as quickly as possible.

Refusal to reduce Tenant's rent is not applicable if the welfare reduction results from:

- (1) The expiration of a lifetime limit on receiving welfare benefits; or
- (2) When the family has sought but cannot find employment; or
- (3) The family has complied with welfare program requirements but loses welfare because of a durational time limit.

III. Other Charges

In addition to rent, Tenant is responsible for the payment of certain other charges specified in this lease. The type(s) and amounts of other charges may be specified in Part II of this Lease Agreement. Other charges can include:

(a) Maintenance costs – The cost for services or repairs due to intentional or negligent damage to the dwelling unit, common areas or grounds beyond normal wear and tear, caused by Tenant, household members, or guests. When the Authority determines that maintenance services or repairs are not due to normal wear and tear, Tenant shall be charged for the cost of such service, either in

accordance with the Schedule of Maintenance Charges posted by the Authority or (for work not listed on the Schedule of Maintenance Charges) based on the actual cost to the Authority for the labor and materials needed to complete the work. If overtime work is required, overtime rates shall be charged.

(b) Excess Utility Charges – At developments where utilities are provided by the Authority, a charge shall be assessed for excess utility consumption due to the operation of major tenant supplied appliances. This charge does not apply to Tenants who pay their utilities directly to a utility supplier. A schedule of charges shall be posted in each Development Office.

(c) Installation charges for tenant supplied air conditioners, N.A..

(d) Late Charges – A charge of \$10.00 per month for rent or other charges paid after the fifth (5) business day of the month. The Authority shall provide written notice of the amount of any charge in addition to Tenant Rent, and when the charge is due. Charges in addition to rent are due no sooner than two weeks after Tenant receives the Authority's written notice of the charge. Late charges themselves shall not be subject to further late charges.

(e) Except in the case of a written agreement between the Landlord and the Tenant which may otherwise provide, any charge assessed under the foregoing subparagraphs shall become due and collectible on the first day of the month following the two week period after the Tenant receives the Authority's written notice of the charge.

IV. Payment Location

Rent and other charges can be paid at the Developments Main Office or at other locations specified in Part II of this Residential Lease. However, if needed as a reasonable accommodation, the Authority shall make other arrangements for payment of rent.

V. Security Deposit

(a) Tenant Responsibilities: Tenant agrees to pay as security deposit an amount equal to the flat rent for Tenant's particular unit or one month's Total Tenant Payment, whichever is greater. The dollar amount of the security deposit is noted on Part II of this Residential Lease.

(b) Authority's Responsibilities: The Authority will use the Security Deposit at the termination of this Lease:

(1) To pay the cost of any rent or any other charges owed by Tenant at the termination of this lease.

(2) To reimburse the cost of repairing any damages to the dwelling unit caused by Tenant, household members or guests beyond normal wear and tear.

(c) The Authority shall not require a higher security deposit for tenants with disabilities who use wheelchairs and/or have service or companion animals necessary as a reasonable accommodation.

The Security Deposit may not be used to pay rent or other charges while Tenant occupies the dwelling unit. No refund of the Security Deposit will be made until

Tenant has vacated, and the dwelling unit has been inspected by the Authority. The return of a security deposit shall occur within 30 days after Tenant moves out.

The Authority agrees to return the Security Deposit plus accrued interest (subject to applicable laws), if any, to Tenant when he/she vacates, less any deductions for any costs indicated above, so long as Tenant furnishes the Authority with a forwarding address in writing. If any deductions are made, the Authority will furnish Tenant with a written statement describing any such deductions. (The Authority must have possession of the Unit and all of the Tenant's belongings must have been removed from the unit prior to the beginning of the 30 day timetable.)

VI. Utilities and Appliances

(a) Authority Supplied Utilities: If indicated by an (X) on Part II of the Lease Agreement, the Authority will supply the indicated utility for Tenants choosing to pay income-based rent: electricity, natural gas, heating fuel, water, sewer service. The Authority will not be liable for the failure to supply utility service for any cause whatsoever beyond its control. Utility allowance is not included in flat rents.

If indicated by an (X) on Part II of the Lease Agreement, the Authority will provide a cooking range and refrigerator. Other major electrical appliances, air conditioners, freezers, etc., may be installed and operated only with the written approval of the Authority. A monthly service charge will be payable by Tenant for the electricity used in the operation of such appliances, as shown on the Schedule posted in the Project Office.

(b) Tenant paid Utilities: If Tenant resides in a development where the Authority does not supply electricity, natural gas, or heating fuel, an Allowance for Utilities shall be established, appropriate for the size and type of dwelling unit, for utilities Tenant pays directly to the utility supplier. Tenants paying flat rent pay utility costs directly to the utility supplier. In income-based rent, the Total Tenant Payment less the Allowance for Utilities equals Tenant Rent. If the Allowance for Utilities exceeds the Total Tenant Payment, the Authority will pay a Utility Reimbursement to the utility supplier or Tenant each month.

The Authority may change the Allowance at any time during the term of the lease, and shall give Tenant 60 days written notice of the revised Allowance along with any resultant changes in Tenant Rent or Utility Reimbursement. If Tenant's actual utility bill exceeds the Allowance for Utilities, Tenant shall be responsible for paying the actual bill to the supplier. If Tenant's actual utility bill is LESS than the Allowance for Utilities, Tenant shall receive the benefit of such saving.

(c) Tenant Responsibilities: Tenant agrees not to waste the utilities provided by the Authority and to comply with any applicable law, regulation, or guideline of any governmental entity regulating utilities or fuels. Tenant also agrees to abide by any local ordinance or House rules restricting or prohibiting the use of space heaters in multi-dwelling units.

VII. Terms and Conditions

The following terms and conditions of occupancy are made a part of the Lease:

(a) Use and Occupancy of Dwelling: Tenant shall have the right to exclusive use and occupancy of the dwelling unit for Tenant and other household members listed on the lease. With the prior written consent of the Authority, members of the household may engage in legal profit making activities in the dwelling unit incidental to the residential use. Subject to local zoning regulations.

This provision permits accommodation of Tenant's guests or visitors for a period not exceeding 14 days each year. Permission may be granted, upon written request to the Manager, for an extension of this provision.

(b) Ability to comply with Lease terms: If, during the term of this Lease, Tenant, by reason of physical or mental impairment, is no longer able to comply with the material provisions of this lease and cannot make arrangements for someone to aid him/her in complying with the lease, and the Authority cannot make any reasonable accommodation that would enable Tenant to comply with the lease; THEN, the Authority will assist Tenant, or designated member(s) of Tenant's family, to find more suitable housing and move Tenant from the dwelling unit. If there are no family members who can or will take responsibility for moving Tenant, the Authority will work with appropriate agencies to secure suitable housing and will terminate the Lease in accordance with the terms of this lease. At the time of admission, all Tenants must identify the family member(s) to be contacted if they become unable to comply with lease terms.

(c) Re-determination of Rent, Dwelling Size, and Eligibility. The rent amount as fixed in Part II of the Lease Agreement is due each month until changed as described below.

(1) The family composition is to be reexamined at least once a year. The Authority shall re-examine the income of the family at least once a year if Tenant chooses to pay income-based rent. If Tenant chooses to pay flat rent, the Authority shall re-examine the income of the family no less than every three (3) years.

(2) Tenant promises to supply the Authority, when requested, with accurate information about: family composition, age of family members, income and source of income of all family members, assets, and related information necessary to determine eligibility, annual income, adjusted income, and rent. Failure to supply such information when requested is a serious violation of the terms of the lease, and the Authority may terminate the lease for such a violation.

All information must be verified. Tenant agrees to comply with the Authority's requests for verification by signing releases for third party sources, presenting documents for review, or providing other suitable forms of verification.

The Authority shall give Tenant reasonable notice of what actions Tenant must take and of the date by which any such action must be taken for compliance under this section. This information will be used by the Authority to decide whether the amount of the rent should be changed, and whether the dwelling

size is still appropriate for Tenant's needs. This determination will be made in accordance with the Admissions and Occupancy Policy, which is publicly posted in the Development Office. A copy of the policies will be available for review.

(3) Rent will not change during the period between regular reexaminations, UNLESS during such period:

a) For families paying income-based rent:

1. A person with income joins the household.
2. Tenant can verify a change in his/her circumstances (such as decline in or loss of income) that would justify a reduction in rent.
3. Tenant experiences a change in income over \$50 per month. Such changes must be reported to the Housing Manager within 10 days of the occurrence. Failure to report within the 10 days may result in a retroactive rent charge.
4. It is found that the Tenant has misrepresented the facts upon which the rent is based so that the rent Tenant is paying is less than the rent that he/she should have been charged. The Authority then may apply an increase in rent retroactive to the first of the month following the month in which the misrepresentation occurred.
5. Rent formulas or procedures are changed by Federal law or regulation.
6. There is a change in Tenant's source of income. Such changes must be reported to the Housing Manager within 10 days of the occurrence. Failure to report within the 10 days may result in a retroactive rent charge.

b) For families paying flat rent:

1. If the PHA determines that the family is unable to pay the flat rent because of financial hardship.
 - i. Upon such a determination, the Authority shall immediately provide for the family to pay rent in the amount determined under income-based rent.
 - ii. Hardship is defined in the Authority's Admission and Continued Occupancy Policy.
- 2 If the family has switched from paying flat rent to income-based rent because of financial hardship, the family will be given the option at the next annual reexamination whether to choose income-based or flat rent.

(4) All changes in family composition must be reported to the Housing Manager within 10 days of the occurrence. Failure to report within the 10 days may result in a retroactive rent charge.

(d) Rent Adjustments: Tenant will be notified in writing of any rent adjustment due to the situations described above. All notices will state the effective date of the rent adjustment.

(l) In the case of a rent decrease, the adjustment will become effective, for families paying income-based rent and for families switching from flat rent to income-based rent because of financial hardship, on the first day of the month following the reported change in circumstances or change in Federal law or regulations, provided Tenant reported the change in a timely manner, as specified above (when change is based on new circumstances). All information for a rent decrease must be verified prior to a decrease taking effect.

(2) In the case of a rent increase due to a change in income which the tenant has reported within 10 days of the occurrence, the increase will become effective the first day of the 2nd month following the month in which the change was reported.

(3) In the case of a rent increase due to a change in Federal law or regulations, the increase will become effective the first day of the second month following the month in which the Authority notifies the tenant of the law or regulatory change.

(4) In the case of a rent increase due to misrepresentation, failure to report a change in the family composition, or failure to report an increase in income, the Authority shall apply the increase in rent retroactive to the first of the month following the month in which the misrepresentation or failure to report occurred.

(e) Transfers :

(1) If the Authority determines that the size or design of the dwelling unit is no longer appropriate to Tenant's needs, the Authority shall send Tenant written notice of the Authority's intent to transfer Tenant to an appropriate unit. Tenant agrees to accept a new lease for a different dwelling unit of the appropriate size or design.

(2) The Authority may move a Tenant into another unit if the Authority determines it is necessary to rehabilitate or demolish Tenant's unit.

(3) If a Tenant makes a written request for special unit features in support of a documented disability, the Authority shall take appropriate action to the extent necessary to reasonably accommodate the disability. If the cost and extent of the modifications needed are tantamount to those required to create a fully accessible unit, the Authority may transfer Tenant to another unit with the features requested at the Authority's expense.

(4) A tenant without disabilities who is housed in an accessible or adaptable unit must transfer to a unit without such features should a Tenant with disabilities need the unit, at the Authority's expense.

(5) In the case of involuntary transfers, Tenant shall be required to move into the dwelling unit made available by the Authority. Tenant shall be given 15 days time in which to move following delivery of a transfer notice. If Tenant refuses to move, the Authority may terminate the Lease.

(6) Involuntary transfers are subject to the Grievance Procedure, and no such transfers may be made until either the time to request a Grievance has expired or the procedure has been completed.

(7) The Authority will consider any Tenant requests for transfers in accordance with the transfer priorities established in the Admissions and Occupancy Policies.

VIII. Authority Obligations

The Authority shall be obligated:

(a) To maintain the dwelling unit and the project in a condition that is decent, safe, sanitary, and in good repair;

(b) To comply with the requirements of applicable building codes, housing codes, Uniform Physical Condition Standards and other HUD regulations materially affecting health and safety;

(c) To make necessary repairs to the dwelling unit;

(d) To keep project buildings, facilities, and common areas, not otherwise assigned to Tenant for maintenance and upkeep, in a clean and safe condition;

(e) To maintain in good and safe working order and condition electrical, plumbing, sanitary, heating, ventilating, and other facilities and appliances, including elevators supplied or required to be supplied by the Authority;

(f) To provide and maintain appropriate receptacles and facilities (except containers for the exclusive use of Tenant) for the deposit of garbage and to provide disposal service for garbage;

(g) To supply to the dwelling unit running water and reasonable amounts of hot water and reasonable amount of heat at appropriate times of the year according to local custom and usage.

(h) To notify Tenant of the specific grounds for any proposed adverse action by the Authority. (Such adverse action includes, but is not limited to, a proposed lease termination, transfer of Tenant to another unit, imposition of charges for maintenance and repair, or for excess consumption of utilities.) When the Authority is required to afford Tenant the opportunity for a hearing under the Authority's grievance procedure for a grievance concerning a proposed adverse action:

(l) The Notice of the proposed adverse action shall inform Tenant of the right to request such hearing. In the case of lease termination, a notice of lease termination that complies with Federal Regulations shall constitute adequate notice of the proposed adverse action.

(2) In the case of a proposed adverse action other than a proposed lease termination, the Authority shall not take the proposed action until time to request such a hearing has expired and (if hearing was timely requested) the grievance process has been completed.

(i) Reasonable Accommodations for Residents with Disabilities:

Housing providers must make reasonable accommodations in lease and other policy requirements when requested by a qualified resident with disabilities. Accommodations are not reasonable if they require a fundamental alteration in the nature of the program or impose undue financial and administrative burdens on the housing provider.

IX. Tenant's Obligations

Tenant shall be obligated:

(a) Not to assign the Lease, nor sublease the dwelling unit.

(l) Not to give accommodation to boarders or lodgers;

(2) Not to give accommodation to long term guests (in excess of 14 days) without the advance written consent of the Authority.

(b) To use the dwelling unit solely as a private dwelling for Tenant and Tenant's household as identified in PART II of the Lease, and not to use or permit its use for any other purpose.

(c) This lease does not exclude the care of foster children or live-in care of a member of Tenant's family, provided the accommodation of such persons conforms to the Authority's Occupancy standards, and so long as the Authority has granted prior written approval for the foster child(ren), or live-in-aide to reside in the unit.

(d) To abide by necessary and reasonable regulations promulgated by the Authority for the benefit and wellbeing of the housing project and Tenants. These regulations shall be posted in a conspicuous manner in the project office and incorporated by reference in this Lease. Violation of such regulations constitutes a violation of the Lease.

(e) To comply with the requirements of applicable state and local building or housing codes materially affecting health and/or safety.

(f) To keep the dwelling unit and other such areas as may be assigned to Tenant for exclusive use in a clean and safe condition. This includes keeping front and rear entrances and walkways for the exclusive use of Tenant free from hazards and trash and keeping the yard free of debris and litter. Exceptions to this requirement may be made for Tenants who have no household members able to perform such tasks because of age or disability.

(g) To dispose of all garbage from the dwelling unit in a sanitary and safe manner only in containers approved by the Authority. To refrain from, and cause members of Tenant's household or guests to refrain from, littering or leaving trash and debris in common areas.

(h) To use in a reasonable manner all electrical, sanitary, heating, ventilating, air conditioning, and other facilities and appurtenances, including elevators.

(i) To refrain from, and to cause household members and guests to refrain from, destroying, defacing, damaging, or removing any part of the dwelling unit or project.

(j) To pay reasonable charges (other than for wear and tear) for the repair of damages to the dwelling unit, project buildings, facilities, or common areas caused by Tenant, household members or guests.

(k) To act, and cause household members or guests to act, in a manner that will:
(1) Not disturb other residents' peaceful enjoyment of their accommodations; and
(2) Be conducive to maintaining all Authority projects in a decent, safe, and sanitary condition.

(l) To ensure that all members of the family who are subject to the community service requirement are complying with the community service requirement or are no longer residing in the unit.

(1) Community service requires that each non-exempt adult resident shall contribute 8 hours per month of community service (not including political activities), or participate in an economic self-sufficiency program for 8 hours per month.

a) Exemption is provided subject to specific requirements as described in the housing authority's Admissions and Continued Occupancy Policy, upon verification.

b) Tenant must immediately notify the housing authority of any change that affects a household member's exemption from the community service requirement, specifically if the household member no longer meets the exemption requirements.

(2) Noncompliance: The housing authority shall determine annually if nonexempt adult residents are in compliance. This Lease shall not be renewed or extended unless the head of the household and the noncompliant adult, before the lease expiration date, enter into an agreement to make up the hours within the next twelve (12) month period.

(m) To assure that Tenant, any member of the household, a guest, or another person under Tenant's control, shall not engage in:

(1) Any criminal or non-criminal activity that threatens the health, safety, or right to peaceful enjoyment of the Authority's public housing premises by other residents, employees, agents or contractors of the Authority; or

(2) Any drug related criminal activity on or near the premises. Any criminal activity in violation of the preceding sentence shall be cause for termination of tenancy, and for eviction from the unit (For the purposes of this lease, the term drug related criminal activity means the illegal possession, manufacture, sale, distribution, or use with intent to manufacture, sell, distribute, or use, of a controlled substance as defined in Section 102 of the Controlled Substances Act.); or

(3) Any abuse (or pattern of abuse) of alcohol that affects the health, safety, or right to peaceful enjoyment of the premises by other residents or employees of the Authority.

(n) To make no alterations or repairs or redecorations to the interior of the dwelling unit or to the equipment or appliances therein, nor to install additional equipment or major appliances without written consent of the Authority. To make no changes to locks or install new locks on exterior doors without the Authority's written approval. To use no nails, tacks, screws, brackets, or fasteners on any part of the dwelling unit (a reasonable number of picture hangers excepted) without authorization by the Authority.

(o) To give prompt prior notice to the Authority, in accordance with Section VIII hereof, of Tenant's leaving the dwelling unit unoccupied for any period exceeding one calendar week.

(p) To act in a cooperative manner with neighbors and the Authority's employees, agents and contractors. To refrain from and cause members of Tenant's household or guests to refrain from acting or speaking in an abusive or threatening manner toward neighbors and the Authority's employees, agents and contractors.

(q) Not to display, use, or possess or allow members of Tenant's household or guests to display, use or possess any firearms, (operable or inoperable) or other offensive weapons as defined by the laws and courts of the State of New York anywhere in the unit or elsewhere on the property of the Authority.

(r) To take reasonable precautions to prevent fires and to refrain from storing or keeping flammable materials upon the premises.

(1) To not disconnect any smoke alarm in the dwelling unit. Tenant disconnection of any smoke alarm is a health and safety violation.

(2) To notify the housing authority immediately when any smoke alarm is not operable.

(s) To avoid obstructing sidewalks, areaways, galleries, passages, elevators, doorways or stairs, and to avoid using these for purposes other than going in and out of the dwelling unit.

(1) To refrain from erecting or hanging radio or television antennas on or from any part of the dwelling unit, except that roof antennas may be installed in accordance with regulations set forth by the Authority and with the prior written approval of the Authority.

(t) To refrain from placing signs of any type in or about the dwelling except those allowed under applicable zoning ordinances and then only after having received the prior written permission of the Authority.

(u) To insure that no member of the household keeps, maintains, harbors, or boards any dog, cat, livestock, or pet of any nature in the dwelling unit or on the grounds of any Authority development except in accordance with the Authority's pet policy.

(v) To remove from Authority property any vehicles without valid NYS and Elmira Housing Authority registration and inspection stickers. Any inoperable or unlicensed vehicle will be removed from Authority property at Tenant's expense. Automobile repairs are not permitted on the project site. Parking is allowed only in designated Authority Parking areas or on designated city streets per city laws.

(w) To remove any personal property left on Authority property when Tenant leaves, abandons or surrenders the dwelling unit. Property left for more than 30 days shall be considered abandoned and will be disposed of by the Authority. Costs for storage and disposal shall be assessed against the former Tenant.

(x) To use reasonable care to keep the dwelling unit in such condition as to ensure proper health and sanitation standards for Tenant, household members and neighbors. TENANT SHALL NOTIFY THE AUTHORITY PROMPTLY OF A KNOWN NEED FOR REPAIRS TO THE DWELLING UNIT, and of known unsafe or unsanitary conditions in the dwelling unit or in the common areas and grounds of the Project. Tenant's failure to report the need for repairs in a timely manner shall be considered to contribute to any damage that occurs.

(y) Not to:

(1) commit any fraud in connection with any Federal housing assistance program, or

(2) receive assistance for occupancy of any other unit assisted under any Federal housing assistance program during the term of the lease.

(z) To pay promptly any utility bills for utilities supplied to Tenant by a direct connection to the utility company, and to avoid disconnection of utility service for such utilities.

X. Defects Hazardous to Life, Health or Safety

In the event that the dwelling unit is damaged to the extent that conditions are created which are hazardous to the life, health, or safety of the occupants:

Authority Responsibilities:

(a) The Authority shall be responsible for repair of the unit within a reasonable period of time after receiving notice from Tenant, provided, if the damage was caused by Tenant, household members, or guests, the reasonable cost of the repairs shall be charged to Tenant.

(b) The Authority shall offer Tenant a replacement dwelling unit, if available, if necessary repairs cannot be made within a reasonable time. The Authority is not required to offer Tenant a replacement unit if the hazardous condition was caused by Tenant, household members, or guests.

(c) Tenant shall accept any replacement unit offered by the Authority.

(d) In the event repairs cannot be made by the Authority, as described above, or alternative accommodations are not provided, then rent shall abate in proportion to the seriousness of the damage and loss in value as a dwelling. No abatement of rent shall occur if Tenant rejects alternative accommodations or if the damage was caused by Tenant, household members, or guests.

(e) If the Authority determines that the dwelling unit is un-tenantable because of imminent danger to the life, health, and safety of Tenant, and alternative accommodations are refused by Tenant, this Lease shall be terminated, and any rent prepaid will be refunded to Tenant.

Tenant Responsibilities:

(a) Tenant shall immediately notify the Project Manager of the damage and intent to abate rent, when the damage is or becomes sufficiently severe that Tenant believes he/she is justified in abating rent.

(b) Tenant agrees to continue to pay full rent, less the abated portion agreed upon by the Authority, during the time in which the defect remains uncorrected.

XI. Inspections

(a) Move-in Inspection: The Authority and Tenant or a representative of either shall inspect the dwelling unit prior to occupancy by Tenant. The Authority will give Tenant a written statement of the condition of the dwelling unit, both inside

and outside, and note any equipment provided with the unit. The statement shall be signed by the Authority and Tenant and a copy of the statement retained in Tenant's folder. Any deficiencies noted on the inspection report will be corrected by the Authority at no charge to Tenant.

(b) Other Inspections The Authority will inspect the unit at least annually to check needed maintenance, tenant housekeeping, and other lease compliance matters.

Tenant will receive a written statement of the charges, if any, for repairs or removal of non-approved alterations to the unit.

(c) Move-out Inspection: The Authority will inspect the unit at the time Tenant vacates and give Tenant a written statement of the charges, if any, for which Tenant is responsible. Tenant and/or the Tenants representative may join in such inspection.

XII. Entry of Premises During Tenancy

(a) Tenant Responsibilities

(1) Tenant agrees that the duly authorized agent, employee, or contractor of the Authority will be permitted to enter Tenant's dwelling during reasonable hours (7:00 AM to 8:00 PM) for the purpose of performing routine maintenance, making improvements or repairs, inspecting the unit, or showing the unit for releasing.

(2) When Tenant calls to request maintenance on the unit, the Authority shall attempt to provide such maintenance at a time convenient to Tenant. If Tenant is absent from the dwelling unit when the Authority comes to perform maintenance, Tenant's request for maintenance shall constitute permission to enter.

(b) Authority's Responsibilities

(1) Authority shall give Tenant at least 48 hours written notice that the Authority intends to enter the unit. The Authority may enter only at reasonable times.

(2) The Authority may enter Tenant's dwelling unit at any time without advance notification when there is reasonable cause to believe that an emergency exists.

(3) If Tenant and all adult members of the household are absent from the dwelling unit at the time of entry, Authority shall leave in the dwelling unit a written statement specifying the date, time and purpose of entry prior to leaving the dwelling unit.

XIII. Notice Procedures

(a) Tenant Responsibility – Any notice to Authority must be in writing, hand delivered to the Development Office or to Authority's central office, or sent by prepaid first class mail, properly addressed.

(b) Authority Responsibility – Notice to Tenant must be in writing, hand delivered to Tenant or to any adult member of the household residing in the dwelling unit, or sent by prepaid first class mail addressed to Tenant.

(c) Unopened, canceled, first class mail returned by the Post Office shall be sufficient evidence that notice was given, whether signed or unsigned

(d) If Tenant is visually impaired, all notices must be in an accessible format.

XIV. Termination of the Lease

In terminating the Lease, the following procedures shall be followed by the Authority and Tenant:

(a) This Lease shall terminate only for serious or repeated violations of material terms of the Lease, such as failure to make payments due under the lease or to fulfill Tenant obligations set forth above, or for other good cause, or as otherwise set forth herein. A tenant committing serious or repeated violations of material terms of the lease shall be deemed objectionable by the Authority.

(b) Termination will also arise from continued noncompliance with the community service requirement, on the part of any non-exempt adult resident.

1) Continued noncompliance is defined as the 12-month period after the head of household and noncompliant non-exempt adult has signed an agreement that the noncompliant non-exempt adult shall cure the noncompliance by making up the community service hours in the subsequent 12 months.

2) This continued noncompliance will result in eviction of the entire family, unless the noncompliant family member is no longer part of the household.

(c) The Authority shall terminate assistance permanently for persons convicted of manufacturing or producing methamphetamine on premises.

(1) "Premises" includes the building or complex in which the dwelling unit is located, including common areas and grounds.

(d) If Tenant defaults and is in violation of the covenants of this lease, the term of this lease shall expire upon expiration of the applicable notice period set forth below as if the expiration of such notice period were the day herein fixed for the expiration of this lease. The Authority shall give written notice of the proposed termination of the Lease of:

(1) 14 days in the case of failure to pay rent;

(2) A reasonable time, but not to exceed 15 days, considering the seriousness of the situation, when the health or safety of other tenants, guests, visitors or Authority employees, agents and contractors is threatened;

(3) 30 days in any other case.

(e) The notice of termination:

(1) The notice of termination to Tenant shall state specific reasons for the termination, shall inform Tenant of his/her right to make such reply as he/she may wish, and of Tenant's right to examine Authority documents directly relevant to the termination or eviction.

(2) When the Authority is required to offer Tenant the opportunity for a grievance hearing, the notice shall also inform Tenant of the right to request such a hearing in accordance with the Authority's grievance procedures.

(3) Any notice to vacate (or quit) which is required by State or local law may be combined or run concurrently with the notice of lease termination under this section, when permitted by such State or local law. The Notice to Vacate must be in writing, and specify that if Tenant fails to quit the premises within the applicable statutory period, appropriate action will be brought against Tenant, and Tenant may be required to pay the costs of court .

(4) When the Authority is required to offer Tenant the opportunity for a grievance hearing under the Authority's grievance procedure for a grievance concerning the lease termination, the tenancy shall not terminate or expire (even if any Notice to Vacate under State or local law has expired) until the period to request a hearing

has expired, or (if a hearing is requested) the grievance process has been completed. The grievance process shall be complete upon transmittal to Tenant of the written decision of the hearing officer, or applicable Board of the Authority.

(5) When the Authority is not required to offer Tenant the opportunity for a hearing under the grievance procedure and the Authority has decided to exclude such grievance from the Authority grievance procedure, the notice of lease termination shall:

- a) state that Tenant is not entitled to a grievance hearing on the termination;
- b) specify the judicial eviction procedure to be used by the Authority for eviction and state that HUD has determined that this eviction procedure provides the opportunity for a hearing in a court that contains the basic elements of due process as defined in HUD regulations; and
- c) state whether the eviction is for a criminal activity that threatens any person's health or safety or for drug related criminal activity.

(6) The Authority may evict a Tenant from the unit either by bringing a court action; or as an alternative, the Authority may evict by bringing an administrative action if the law of the jurisdiction permits eviction by administrative action, after a due process administrative hearing, and without a court determination of the rights and liabilities of the parties. In order to evict without bringing a court action, the Authority must afford the Tenant the opportunity for a pre-eviction hearing in accordance with the Authority's grievance procedure. The hearing notice will advise persons with disabilities of their rights to request a reasonable accommodation.

(f) Tenant may terminate this Lease at any time by giving 15 days written notice.

(g) In deciding to evict for criminal activity, except for conviction for manufacturing or producing methamphetamine on the premises, the Authority shall have discretion to consider all of the circumstances of the case, including the seriousness of the offense, the extent of participation by or awareness of family members, and the effects that the eviction would have both on family members not involved in the proscribed activity and on the family's neighbors. In appropriate cases, the Authority may permit continued occupancy by remaining family members and may impose a condition that family members who engaged in the proscribed activity will neither reside in nor visit the unit. The Authority may require a family member who has engaged in the illegal use of drugs to present credible evidence of successful completion of a treatment program as a condition to being allowed to reside in the unit.

XV. Waiver

No delay or failure by the Authority in exercising any right under this lease agreement, and no partial or single exercise of any such right under this lease agreement, shall constitute a waiver (retrospectively or prospectively) of that or any other right, unless otherwise expressly provided herein.

XVI. General Provisions

(a) All uses of singular or plural nouns shall be construed to include the other.

(b) All uses of masculine or feminine terms shall be construed to include the other.

(c) All references to Tenant shall include collectively and individually each Tenant who executes this lease.

PART II of the RESIDENTIAL LEASE AGREEMENT:

Elmira Housing Authority of Elmira, New York

THIS AGREEMENT is made between the Elmira Housing Authority of Elmira, New York (herein called "Authority"), and _____

(herein called the "Tenant"), and becomes effective as of the date set forth below.

(1) Unit: The Authority, relying upon the representations of Tenant as to Tenant's income, household composition, and housing need, leases to Tenant the dwelling unit LOCATED at _____ (and hereinafter called the "premises") to be occupied exclusively as a private residence by Tenant and household. The Tenant UNIT NUMBER is: _____.

(2) Household Composition: The Tenant's household is composed of the individuals listed below. Other than the Head or Spouse, each household member should be listed by age, oldest to youngest. All members of the household over age 18 shall execute the lease.

Name Relationship Age & Birthday Social Security #

- 1. HEAD ____ // ____ - ____ - _____
- 2. ____ // ____ - ____ - _____
- 3. ____ // ____ - ____ - _____
- 4. ____ // ____ - ____ - _____
- 5. ____ // ____ - ____ - _____
- 6. ____ // ____ - ____ - _____
- 7. ____ // ____ - ____ - _____
- 8. ____ // ____ - ____ - _____

(3) Term: The term of this lease shall be twelve (12) months, renewable as set forth in Part I of the Lease, beginning on the first day of _____(month) _____(year). The term shall also include, in addition to the twelve (12) month term, a partial month from ____/____/____ to ____/____/____.

(4) Rent:

__ Tenant chooses to pay flat rent. Initial rent (prorated for partial month) shall be \$ _____. Thereafter, flat rent in the amount of \$ _____ per month shall be payable in advance on the first day of each month, and shall be delinquent after the fifth (5) business day of said month. Flat rent does not include a utility allowance or other charges .

__ Tenant chooses to pay income-based rent. Initial rent (prorated for partial month) shall be \$ _____ and, if applicable, the Tenant shall receive the benefit of \$ _____ from the Authority for Utility Reimbursement (for partial month) paid to the utility supplier for the period beginning ____/____/____ and ending at midnight on ____/____/____.

Thereafter, rent in the amount of \$ _____ per month shall be payable in advance on the first day of each month, and shall be delinquent after the fifth (5) business day of said month. A utility reimbursement of \$ _____ per month (if applicable) shall be paid to the utility supplier by the Authority for the Tenant.

(5) Utilities and Appliances: Authority Supplied Utilities

If indicated by an (X) below, Authority provides the indicated utility as part of the rent for the premises:

(X) Electricity (X) Natural Gas (X) Other: Water & Sewer

If indicated by an (X) below, the Authority shall provide the following appliances for the premises:

(X) Cooking Range (X) Refrigerator

(6) Utilities Allowances: Tenant Paid Utilities

If indicated by an (X) below, the Authority shall provide Tenants paying incomebased rent with a Utility Allowance in the monthly amount totaling \$_____ for the following utilities paid directly by the Tenant to the Utility supplier:

() Electricity () Gas () Heat () Water () Sewerage

(7) Charges for Excess Appliances (Not applicable to tenants who pay utilities directly to utility supplier.) Charges for excess appliances are due per the following:

Air Conditioners: An additional charge of \$_____ per year will be payable for each air conditioner in the premises for each year or partial year of occupancy.

Other Appliances: If checked below, an additional charge of \$_____ per month for each month of occupancy for each excess appliance on the premises.

() Freezer, type:_____

() Automatic washer

() Other:_____ ()

Other:_____

(8) Security Deposit: Tenant agrees to pay \$_____ as a security deposit. See Part I of this lease for information on treatment of the Security Deposit.

(9) Execution: By Tenant's signature below, Tenant and household agree to the terms and conditions of Parts I and II of this lease and all additional documents made a part of the lease by reference.

TENANT AGREES THAT ALL THE PROVISIONS OF THIS LEASE IN BOTH PART I AND IN PART II HAVE BEEN READ BY THE TENANT AND ARE UNDERSTOOD AND TENANT FURTHER AGREES TO BE BOUND BY ITS PROVISIONS AND CONDITIONS AS WRITTEN. (SIGNATURE REQUIRED ON PART II OF THE LEASE.) (IN FINAL LEASE COPY—INSERT LEADBASED PAINT WARNING AS REQUIRED BY HUD.)

TENANT: _____ DATE _____

COTENANT: _____ DATE _____

COTENANT: _____ DATE _____

MANAGER: _____ DATE _____

WITNESS: _____ DATE _____

TENANT'S CERTIFICATION

I, _____ hereby certify that I, and other members of my Household, have not committed any fraud in connection with any federal housing assistance program, unless such fraud was fully disclosed to the Authority before execution of the lease, or before the Authority's approval for occupancy of the unit by the Household member.

I further certify that all information or documentation submitted by myself or other Household members to the Authority in connection with any federal housing assistance program (before and during the lease term) are true and complete to the best of my knowledge and belief.

Tenant's Signature _____ Date _____

ATTACHMENTS:

If indicated by an (X) below, the Authority has provided the tenant with the following

attachments and information:

- Part I of this Lease Housekeeping Standards
- Standard Maintenance Charges (May be updated) Pet Policy
- Grievance Procedure (May be updated) Watch Out for Lead Paint Poisoning
- Other: _____

STATEMENT ON RECEIPT OF INFORMATION

We have received a copy of the above information including "The Danger of Lead Poisoning to Homeowners" and "The Danger of Lead Poisoning to Renter." The above information has been thoroughly explained to me/us. We understand the possibility the lead based paint may exist in the unit.

Tenant's Signature _____ Date _____

OFFICE ADDRESS: _____ HOURS _____

TELEPHONE NUMBER: _____

EMERGENCY MAINTENANCE TELEPHONE NUMBER

(Monday through Friday after: _____ p.m., and weekends and holidays.

GRIEVANCE PROCEDURE

I. Informal Conference

If Tenant has any dispute with respect to Landlord's action or failure to act in accordance with this lease or Landlord regulations which adversely affect this Tenant's rights, duties, welfare or status, Tenant shall request an informal conference with Landlord. Upon such request, Landlord's Executive Director, Deputy Director or Management Aide shall meet with Tenant within five days of such request in an informal conference so that the grievance may be discussed informally and settled without a hearing. A summary of such discussion shall be prepared within a reasonable time and one copy shall be given to the Tenant and one retained in the Landlord's Tenant file. The summary shall specify the names of the participants, dates of meeting, the nature of the proposed disposition of the complaint and the specific reasons therefore, and shall specify the procedures by which a hearing may be obtained if the Tenant is not satisfied.

II. Request for Hearing

If the Tenant is not satisfied, Tenant shall submit a written request for a hearing to the project office within five (5) business days after receipt of the Summary of Discussion.

The written request shall specify:

- (1) The reasons for the grievance; and
- (2) The action or relief sought.

If the Tenant does not request such a Grievance hearing, then the Landlord's disposition of the grievance shall become final; provided that failure to request a hearing shall not constitute a waiver by the Tenant of Tenant's right thereafter to contest the Landlord's action in disposing of the complaint in an appropriate judicial proceeding.

III. Conditions Prior to Hearing

All Grievances shall be personally presented either orally or in writing pursuant to the informal procedure set forth above as a condition precedent to a hearing under this section; Provided, That if the Tenant shall show good cause why he failed to use the informal conference to the hearing officer or hearing panel, the requirement of the informal conference may be waived by the hearing officer or hearing panel. Before a hearing is scheduled in any grievance involving the amount of rent, Tenant shall pay to Landlord an amount equal to the amount of the rent due and payable as of the first of the month preceding the month in which the act or failure to act took place. The Tenant shall thereafter deposit the same amount of the monthly rent in an escrow account monthly until the complaint is resolved by decision of the hearing officer. These requirements may be waived by the Landlord in extenuating circumstances and in the application of the HUD requirements for a hardship exemption. Unless so waived, the failure to make such payments shall result in a termination of the grievance procedure. Failure to make payment shall not constitute a waiver of any right the Tenant may have to contest the Landlord's disposition of Tenant's grievance in any appropriate judicial proceeding.

The Grievance Hearing shall be heard by the longest serving Tenant Commissioner on the Housing Authority Board of Commissioners, but if that

person is unavailable, then the matter shall be heard by the next available person on the Housing Authority Board in the following order: other Tenant Commissioner, Chairman, Vice Chairman, the longest serving Commissioner available.

IV. Conduct of Hearing

The hearing officer shall promptly schedule the hearing for a time and place reasonably convenient to both Tenant and Landlord. A written notification specifying the time, place and the procedures governing the hearing shall be delivered to Tenant and Landlord.

Tenant shall be afforded a fair hearing providing the basic safeguards of due process which shall include:

(1) The opportunity to examine before the hearing and, at the expense of the Tenant (at 10 cents per copy), to copy all documents, records and regulations of Landlord that are relevant to the hearing. Any document not so made available after request therefore by the Tenant may not be relied on by the Landlord at the hearing;

(2) The right to be represented by counsel or other person chosen as his or her representative;

(3) The right to a private hearing unless Tenant requests a public hearing.

(4) The right to present evidence and arguments in support of Tenant's complaint, to controvert evidence relied on by Landlord or project management, and to confront

and cross-examine all witnesses on whose testimony or information the Landlord or project management relies; and

(5) A decision based solely and exclusively upon the facts presented at the hearing.

The hearing officer may render a decision without proceeding with the hearing if the hearing officer or hearing panel determines that the issue has been previously decided in another proceeding.

If Tenant or Landlord fails to appear at a scheduled hearing, the hearing officer may make a determination to postpone the hearing for a period not to exceed five (5) business days or make a determination that the party has waived his, or her, or its right to a hearing.

Both Landlord and Tenant shall be notified of the determination by the hearing officer. A determination that Tenant has waived Tenant's right to a hearing shall not constitute a waiver of any right the Tenant may have to contest the Landlord's disposition of the grievance in an appropriate judicial proceeding.

At the hearing Tenant must first make a showing of an entitlement to the relief sought and thereafter the Landlord must sustain the burden of justifying Landlord's action or failure to act against which the Complaint is directed.

The hearing shall be conducted informally by the hearing officer or hearing panel and oral or documentary evidence pertinent to the facts and issues raised by the complaint may be received without regard to admissibility under the rules of evidence applicable to judicial proceedings. The hearing officer or hearing panel

shall require Landlord, Tenant, counsel and other participants or spectators to conduct themselves in an orderly fashion. Failure to comply with the directions of the hearing officer or hearing panel to obtain order may result in exclusion from the proceedings or in a decision adverse to the interest of the disorderly party and granting or denial of the relief sought, as appropriate.

The Tenant or Landlord may arrange, in advance and at the expense of the party making the arrangement, for a transcript of the hearing. Any interested party may purchase a copy of such transcript.

Upon request of Tenant, Landlord will provide reasonable accommodation for persons with disabilities to participate in the hearing, including where necessary qualified sign language interpreters, readers, accessible locations, or attendants. If the Tenant advises Tenant is visually impaired, any notice to the Tenant will be in an accessible format.

V. Decision and Board Review

The hearing officer shall prepare a written decision, together with the reasons therefore, within a reasonable time after the hearing. A copy of the decision shall be sent to Tenant and Landlord. Landlord shall retain a copy of the decision in the Tenant's folder. A copy of such decision, with all names and identifying references deleted, shall also be maintained on file by the Landlord and made available for inspection by prospective complainants or their representatives or the hearing officer. The decision of the hearing officer shall be binding on the Landlord, which shall take all actions, or refrain from any actions, necessary to carry out the decision, unless the Housing Authority's Board of Commissioners determines within a reasonable time, and promptly notifies Tenant of its determination, that

- (1) The grievance does not concern Landlord's action or failure to act in accordance with or involving the complainant's lease or Landlord's regulations, which adversely affect the complainant's rights, duties, welfare or status; or
- (2) The decision of the hearing officer or hearing panel is contrary to applicable Federal, State or local law, HUD regulations or requirements of the annual contributions contract between HUD and the Landlord.

A decision by the hearing officer or Board of Commissioners in favor of the Landlord or which denies the relief requested by the complainant in whole or in part shall not constitute a waiver of, or affect in any manner whatever, any rights the complainant may have to a trial de novo or judicial review in any judicial proceedings, which may thereafter be brought in the matter.

VI. Applicability

This procedure does not apply to any grievance concerning a termination of tenancy or eviction that involves:

- A. Any criminal activity that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or employees of the Authority, or
- B. Any drug-related criminal activity on or near such premises.

At the Board of Commissioners Meeting on June 27, 2002 of the Elmira Housing Authority, the board approved the following amendment to the Housing

Authority's Lease Policy, specifically Section 9G-Rent Payments to take effect as of September 1, 2002:

"All Rent Payments, Security Deposits, Fees, Non Payment Penalties and Other Charges are to be paid in Full upon notice from the Authority. No partial payments will be accepted unless approved by the Executive Director or Deputy Director. All Rent Payments, Security deposits, Fees, Non payment Penalties and Other Charges are to be paid by personal check, bank check, or money Orders. Cash payments will not be accepted."

All residents were notified thru correspondence from the Executive Director of this Amendment to Lease Policy.