

PHA Plans

Streamlined Annual Version

**U.S. Department of Housing and
Urban Development**
Office of Public and Indian
Housing

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

for Fiscal Year: 2008

**PHA Name: Schenectady Municipal
Housing Authority**

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Schenectady Municipal Housing Authority
PHA Number: NY028

PHA Fiscal Year Beginning: 04/2008

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units:
Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: Richard E. Homenick Phone: (518)386-7000
TDD: (518)372-0148 Email (if available): richardh@smha1.org

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

- Main administrative office of the PHA
- PHA development management offices
- Main administrative office of the local, county or State government
- Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA PHA development management offices
 Other (list below)

Streamlined Annual PHA Plan

Fiscal Year 2008

[24 CFR Part 903.12(c)]

Table of Contents

[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A. PHA PLAN COMPONENTS

<input type="checkbox"/>	1. Site-Based Waiting List Policies	N/A
903.7(b)(2) Policies on Eligibility, Selection, and Admissions		
<input checked="" type="checkbox"/>	2. Capital Improvement Needs	5
903.7(g) Statement of Capital Improvements Needed		
<input checked="" type="checkbox"/>	3. Section 8(y) Homeownership	6
903.7(k)(1)(i) Statement of Homeownership Programs		
<input type="checkbox"/>	4. Project-Based Voucher Programs	N/A
<input checked="" type="checkbox"/>	5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.	8
<input checked="" type="checkbox"/>	6. Supporting Documents Available for Review	10
<input checked="" type="checkbox"/>	7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report	13
<input checked="" type="checkbox"/>	8. Capital Fund Program 5-Year Action Plan	43

Attachment

- X** NY028a01 Substantial Deviation Criteria and Amendments
- X** NY028b01 Violence against Women Act (VAWA) Policy for Public Housing, Section 8, and Shelter Plus Care Program

B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace:

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL & SF-LLL a, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B. **No**

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component. **N/A**

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).

2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:

4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description: **The Schenectady Municipal Housing Authority began its Section 8 Voucher Homeownership Program on January 1, 2002, based on the final rule published in the Federal Register on September 12, 2002.**

We currently have 22 families that have purchased homes, 107 applicants, no families are currently under contract to purchase, no families are looking for homes to purchase, and three families are close to being eligible to purchase. The rest of our applicants are working on credit and budget issues.

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria: **Minimum income requirement for working families of \$16,000 per year.**

c. What actions will the PHA undertake to implement the program this year (list)?

Already implemented.

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below): **Better Neighborhoods, Inc. – Housing counseling. BNI is a certified HUD counseling agency since 1974.**
- Demonstrating that it has other relevant experience (list experience below): **We are currently operating a Section 8 home ownership program. Past programs include HOPE III, Aim for Ownership, Schenectady Home Ownership Program (SHOP), Homeward Bound, Vale Homeownership, Schenectady Home Affordable Purchase Enhancement (SHAPE) program.**

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below:)
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here)
City of Schenectady
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Other: (list below)
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Specific Housing Objectives (91.215(b))

Needs of Public Housing (91.210(b))

Public Housing Strategy (91.210)

Providing home ownership opportunities for low-moderate income persons

SMHA enrolls and supports public housing residents as they strive to become home owners. After referral to, and completion of, a local housing counseling program, they will be given a Section 8 preference, and transferred to our Section 8 Program in order to be given a HC Voucher which can be used in our Section 8 homeownership program. Our

public housing and Section 8 residents may also choose to purchase homes through other local first time home buyer programs.

SMHA intends to operate and carry forward its Section 8 Homeownership Program, supporting present enrollees, enrolling new families, and issuing Housing Choice Vouchers to those who are bankable and in a position to close on city homes.

Providing assistance to tenants to keep rents within 30% of income.

SMHA will continue to lease 1,006 units of public housing (currently 99% occupancy rate), issue 1,328 housing choice vouchers (currently at 98% utilization) to assist families to rent or buy homes and apartments, and issue 30 certificates to individuals/families enrolled in our new Shelter Plus Care program. We will also discuss and carefully consider our ability to apply for and utilize additional Section 8 vouchers, if and when incremental additional voucher applications are issued.

Providing security deposits, mortgage payments, rental payments to at-risk population to prevent homelessness.

This work is done locally through the Community Crisis Network. The lead agency for this program is the Schenectady Community Action Program, with whom we cooperate fully. SCAP is our prime partner in the Shelter Plus Care program, doing services coordination.

Providing single room occupancy transitional housing units for homeless individuals.

SMHA will continue to administer the housing end of a 41 unit Section 8 SRO program with the YMCA supplying the physical units.

Providing transition housing for homeless families.

SMHA is a member of the Housing and Support Services Network, a consortium of provider agencies that work together to meet needs in the field of homeless housing.

We are also represented on the Homeless Services Planning Board which is the entity responsible for devising and implementing the local 10 Year Plan to Eliminate Chronic Homelessness.

Provide necessary housing assistance, both for owners and renters, for special population groups such as elderly, physically and/or mentally disadvantaged, substance abusers, etc.

SMHA will operate a new Shelter Plus Care program serving 30-50 individuals/families who are homeless at the time of application, and who have a history of mental illness, substance abuse problems, or HIV/AIDS.

Organizing Tenant Associations

After many years of partial organization, all SMHA developments (excepting Maryvale – 8 units and MacGathan – 50 units) have certified Resident Associations in place actively representing the interests of residents, collaborating with SMHA and others in instituting and carrying our activities (i.e., formation of Neighborhood Watch Chapters, playground patrols, international dinners, youth intervention projects, resident program promotion,

co-sponsoring training programs, etc.). We have also invited elected leaders from four site associations, and the two elected Tenant Commissioners, to form a City Wide Resident Leadership Council, which meets every four months to consider matters of mutual concern.

Educating community members about expectations for renter/home owners.

SMHA serves with many other agencies as members of the Eviction Task Force, initially brought together by the County Sheriff and subsequently convened by the local CAP agency, to deal with rapidly escalating rates of eviction. One of the activities projected by this group is to conduct both landlord and tenant training sessions in Schenectady County.

Specific housing/community development five year investment goals.

A. Outcome sought: A viable housing supply in the City, adequate to the needs of the population, attractive and readily maintainable.

B. Investor Results Targets:

- 500 additional units will meet, at a minimum Housing Quality Standards.**
- Return of presently subdivided housing units to their original size/use.**
- Reduction of substantially substandard housing units.**

The Section 8 HQS inspection program plays an important role in the total City code enforcement activities. Since a good proportion of all apartments in the City are Section 8 assisted, this inspection program forms a major part of the effort to insure quality rental stock in the City.

Our state funded SHAPE program is geared to the rehabilitating margin, but affordable and basically sound housing units for discounted purchase by Section 8 Home Buyers. Our present grant allows for the eventual rehabilitation of 20 units of housing, or which 13 have been completed as of this writing.

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
N/A	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
N/A	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
N/A	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/ Performance and Evaluation Report					
Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850108 Replacement Housing Factor Grant No:		Federal FY of Grant: 2008
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	333,265			
3	1408 Management Improvements	148,000			
4	1410 Administration	166,632			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	605,000			
10	1460 Dwelling Structures	208,430			
11	1465.1 Dwelling Equipment—Nonexpendable	20,000			
12	1470 Nondwelling Structures	25,000			
13	1475 Nondwelling Equipment	85,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,666,327			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	80,000			
25	Amount of Line 21 Related to Security – Hard				

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/ Performance and Evaluation Report					
Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850108 Replacement Housing Factor Grant No:		Federal FY of Grant: 2008
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Costs				
26	Amount of line 21 Related to Energy Conservation Measures	18,430			

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11B Steinmetz Homes	Upgrade Porch Entries	1450	50%	150,000				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11B Steinmetz Homes	Roadway Renovation	1450	25%	35,000				
NY28-11C Ten Eyck	Update Tenant Hallways	1460	33%	200,000				
NY28-11C Ten Eyck	Sidewalks, parking lot, ext lighting added	1450	100%	70,000				
NY28-11D Yates Village	Roadway Renovation	1450	25%	50,000				
NY28-11D Yates Village	Upgrade Porch, Railings, Steps throughout	1450	35%	300,000				
NY28-11D Yates Village	Construct a vehicle garage for maintenance	1470	100%	25,000				
NY28-7 Maryvale Apts	Replace Furnaces	1460	100%	8,430				
HA Wide	Operations	1406	100%	333,265				
HA Wide	Security Coordinator	1408	100%	35,000				
HA Wide	Off Duty Foot Patrols	1408	100%	20,000				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Tenant Investigator	1408	100%	25,000				
HA Wide	Congregate Housing Services Coordinator	1408	50%	40,000				
HA Wide	Staff Training	1408	100%	8,000				
HA Wide	Computer Software	1408	100%	15,000				
HA Wide	Board Commissioner Training	1408	100%	5,000				
HA Wide	Dwelling Equipment	1465	100%	20,000				
HA Wide	Computer, Telephone, Copier, Equip.	1475	100%	35,000				
HA Wide	Maintenance Equip	1475	100%	50,000				
HA Wide	A/E Fee's	1430	100%	75,000				
HA Wide	Administration	1410	100%	166,632				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program No: NY06P02850108 Replacement Housing Factor No:				Federal FY of Grant: 2008	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY28-1	9/12/10			9/12/12			
NY28-3	9/12/10			9/12/12			
NY28-7	9/12/10			9/12/12			
NY28-11A	9/12/10			9/12/12			
NY28-11B	9/12/10			9/12/12			
NY28-11C	9/12/10			9/12/12			
NY28-11D	9/12/10			9/12/12			

Annual Statement / Performance and Evaluation Report Comprehensive Grant Program (CGP) Part I: Summary			U.S. Department of Housing and Urban Development Office of Public and Indian Housing		OMB Approval No. 2577-0157 (exp. 3/31/2010)
HA NAME SCENECTADY MUNICIPAL HOUSING AUTHORITY			Comprehensive Grant Number NY06P02850206		FFY of Grant Approval 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number <input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending <u>9/30/07</u> <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost 2	
		Original	Revised 1	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 19)	26,729	26,729	26,729	26,729
3	1408 Management Improvements	1,555	1,555	0	0
4	1410 Administration	13,364	13,364	8,368	8,368
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	5,000	5,000	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	23,000	18,000	0	0
10	1460 Dwelling Structures	49,000	59,000	19,773	13,098
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Nondwelling Structures	10,000	5,000	0	0
13	1475 Nondwelling Equipment	5,000	5,000	0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development				
19	1502 Contingency (May not exceed 8% of line 19)				
20	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2 - 19)	133,648	133,648	59,866	48,195
21	Amount of Line 19 Related to LBP Activities				
22	Amount of Line 19 Related to Section 504 Compliance				
23	Amount of Line 19 Related to Security	1,555	1,555	0	0
24	Amount of Line 19 Related to Energy Conservation Measures				

Annual Statement / Performance and Evaluation Report						U.S. Department of Housing and Urban Development Office of Public and Indian Housing		
Comprehensive Grant Program (CGP) Part II: Supporting Pages								
Development Number / Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11C Ten Eyck Apts	Upgrade Elevators	1460	100%	15,000	15,000	0	0	
NY28-11C Ten Eyck Apts.	Metal Roof Area around entrance	1460	100%	12,000	12,000	0	0	
NY28-11C Ten Eyck Apts.	Update Tenant hallway & Lobby	1470	100%	10,000	5,000	0	0	
NY28-1 Schonowee Village	Domestic Hot Water Replacement	1460	100%	0	22,000	19,773	13,098	
Schonowee Village NY28-1	Replace/Repair Metal Roofs	1460	100%	10,000	10,000	0	0	
Schonowee Village NY28-1	Brick Sealing of 5 Buildings	1450	100%	15,000	10,000	0	0	
Schonowee Village NY28-1	Masonry/Brick Repairs	1450	100%	8,000	8,000	0	0	
NY28-11A Lincoln Heights	Replace Shingles on Canopy Roofs & Valleys on all Buildings	1460	100%	12,000	0	0	0	
HA Wide	Operations	1406	100%	26,729	26,729	26,729	26,729	
HA Wide	Mod Staff Salaries & Benefits	1410	100%	13,364	13,364	13,364	13,364	
HA Wide	A/E Fees	1430	100%	5,000	5,000	0	0	
HA Wide	Computers, Printers, Wiring, etc...	1475	100%	5,000	5,000	0	0	
HA Wide	Off Duty Foot Patrols	1408	100%	1,555	1,555	0	0	

Annual Statement / Performance and Evaluation Report						U.S. Department of Housing and Urban Development Office of Public and Indian Housing	
Comprehensive Grant Program (CGP) Part III: Implementation Schedule							
Development Number / Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates ²
	Original	Revised 1	Actual 2	Original	Revised 1	Actual 2	
NY28-1	5/4/09			5/4/11			
NY 28-3	5/4/09			5/4/11			
NY28-7	5/4/09			5/4/11			
NY28-11A	5/4/09			5/4/11			
NY28-11B	5/4/09			5/4/11			
NY28-11C	5/4/09			5/4/11			
NY28-11D	5/4/09			5/4/11			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:9/30/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	315,927	315,927	315,927	315,927
3	1408 Management Improvements	215,680	179,206		
4	1410 Administration	157,963	157,963		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000	75,000		
8	1440 Site Acquisition				
9	1450 Site Improvement	546,763	271,347		
10	1460 Dwelling Structures	151,325	426,140		
11	1465.1 Dwelling Equipment—Nonexpendable	20,000	20,000		
12	1470 Non dwelling Structures		1		
13	1475 Non dwelling Equipment	96,977	123,451		
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	0	10,600		
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,579,635	1,579,635		
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	87,680	95,680		
25	Amount of Line 21 Related to Security – Hard Costs	15,000	25,000		

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending:9/30/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
26	Amount of line 21 Related to Energy Conservation Measures	97,325	42,321	31,719	26,587

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11C Ten Eyck Apts.	Upgrade Elevators	1460	100%	5,000	10,000	3,225	3,225	
NY28-11C Ten Eyck Apts	Metal Roof Area around Entrance	1450	100%	12,000	0			
NY28-11C Ten Eyck Apts.	Main Roof Replacement	1460	100%	0	173,952	156,969	3,113	
NY28-11C Ten Eyck Apts	Upgrade Tenant Accounting Heat	1460	100%	0	12,000	0	0	
NY28-11C Ten Eyck Apts.	Replace Simplex Panel upgrade smokes	1460	100%	0	33,000	4,300	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11C Ten Eyck Apts	Parking Lot upgrade	1450	100%	0	22,118	1,700	0	
NY28-11C Ten Eyck Apts	Install HC Accessible Door equipment on admin door	1470	100%	0	1	0	0	
NY28-11D Yates Village	Concrete/Railing Restoration throughout development	1450	100%	170,000	1	0	0	
NY28-11D Yates Village	Replace Roadway	1450	30%	50,000	34,227	34,227	30,804	
NY28-11C Yates Village	Exterior Lighting	1450	100%	10,000	0	0	0	
NY28-11D Yates Village	Emergency Boiler Repairs/Replace	1460	100%	0	22,319	22,319	22,319	
NY28-11D Yates Village	Upgrade Boilers	1460	100%	0	9,400	9,400	9,400	
NY28-11C Yates Village	Upgrade Boiler, & energy audit items	1460	5%	77,325	1	0	0	
Schonowee Village NY28-1	Upgrade Elevators	1460	100%	22,000	3,000	0	0	
Schonowee Village NY28-1	Demo chimneys and cap Force Account	1450	33%	13,000	12,400	3,831	3,831	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Schonowee Village NY28-1	Masonry/Brick Repairs	1450	100%	25,000	30,000	0	0	
Schonowee Village NY28-1	Brick Sealing of 5 Buildings	1450	100%	40,000	60,000	0	0	
Schonowee Village NY28-1	Paint Lintels	1450	100%	65,000	65,000	0	0	
Schonowee Village NY28-1	Replace/Repair Metal Roofs	1450	20%	5,000	5,000	0	0	
Schonowee Village NY28-1	Paint Stairwells	1460	100%	0	65,161	65,161	36,449	
Schonowee Village NY28-1	Install Insecticide Sprayers on Trash Compactors	1460	0%	1	1	0	0	
NY28-11A Lincoln Heights	Brick Seal 8 Buildings	1450	100%	50,000	60,000	0	0	
NY 28-11A Lincoln Heights	Concrete/Railing Site Restoration	1450	100%	40,000	1	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY 28-11A Lincoln Heights	Replace Chimneys	1450	100%	40,000	28,840	28,840	11,989	
NY 28-11A Lincoln Heights	Replace shingles on canopy roofs & valleys on buildings	1460	100%	0	20,000	20,000	20,000	
NY 28-11A Lincoln Heights	Replace Metal in Valley of Roofs	1450	100%	25,000	31,063	31,063	21,705	
NY 28-11A Lincoln Heights	Window Replaced/Bath vent	1460	0%	0	1	0	0	
NY 28-11A Lincoln Heights	Relocation of tenants during CFP work	1495 .1	100%	0	10,600	3,448	3,448	
NY 28-11A Lincoln Heights	Attic Hatch Drop Down Ladders throughout	1460	12%	1,999	0	0	0	
NY 28-3 MacGathan Townhouses	Replace Bathroom Vanities Force Account	1460	100%	25,000	1	0	0	
NY 28-3 MacGathan Townhouses	Exterior Lighting	1450	20%	1,763	0	0	0	
NY 28-3 MacGathan Townhouses	Replace Kitchen Countertops throughout Force Account	1460	100%	20,000	1	0	0	
HA Wide	Operations	1406	100%	315,927	315,927	315,927	315,927	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Board Of Commissioner Training	1408	100%	10,000	0	0	0	
HA Wide	Staff Training for Computer, Accounting, Occupancy	1408	100%	10,000	10,000	395	395	
HA Wide	Family Self Sufficiency	1408	100%	45,000	32,814	21,365	21,365	
HA Wide	Tenant Investigator	1408	100%	25,000	25,000	25,000	20,325	
HA Wide	Senior Service Coordinator	1408	100%	35,000	35,000	35,000	35,000	
HA Wide	Security Coordinator Contract	1408	100%	29,680	25,680	25,680	22,970	
HA Wide	Off Duty Police for Foot Patrols	1408	100%	31,000	20,000	12,598	12,598	
HA Wide	Public Housing Use Vehicle	1408	100%	15,000	15,712	15,712	0	
HA Wide	Mod Staff Salaries & Benefits	1410	100%	157,963	157,963	157,963	157,963	
HA Wide	A/E Fee's	1430	100%	75,000	75,000	0	0	
HA Wide	Stove and refrigerators	1465	100%	20,000	20,000	11,034	5,992	
HA Wide	Maintenance Equipment	1475	100%	51,977	51,977	2,189	993	
HA Wide	Security Cameras & Key FOB's	1475	100%	15,000	25,000	0	0	
HA Wide	Personal Computers, Printers, Phone Upgrade, Wiring, Risograph	1475	100%	30,000	46,474	46,474	30,000	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Computer Software	1408	100%	15,000	15,000	0	0	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:		Grant Type and Number Capital Fund Program No: NY06P02850106 Replacement Housing Factor No:					Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
NY28-1	7/18/08			7/18/10				
NY28-3	7/18/08			7/18/10				
NY28-7	7/18/08			7/18/10				
NY28-11A	7/18/08			7/18/10				
NY28-11B	7/18/08			7/18/10				
NY28-11C	7/18/08			7/18/10				
NY28-11D	7/18/08			7/18/10				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Lin e	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	357,504	357,504	357,504	357,504
3	1408 Management Improvements	287,504	257,237	251,217	244,616
4	1410 Administration	178,752	178,752	178,752	178,752
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	75,000	75,000	60,391	47,111
8	1440 Site Acquisition				
9	1450 Site Improvement	168,828	161,864	161,864	161,864
10	1460 Dwelling Structures	599,934	642,156	642,156	642,156
11	1465.1 Dwelling Equipment— Nonexpendable	40,000	40,000	40,000	40,000
12	1470 Non dwelling Structures	1	0	0	0
13	1475 Non dwelling Equipment	80,000	75,010	75,010	75,010
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,787,523	1,787,523	1,766,894	1,747,013
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	84,680	86,000	86,000	86,000
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	166,700	166,700	166,700	166,700

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11C Ten Eyck Apts.	Replace Compactor	1460	100%	35,000	21,293	21,293	21,293	
NY28-11C Ten Eyck Apts	Install HC Accessible Door Equipment on Admin Entrance	1460	100%	6,000	0	0	0	
NY28-11C Ten Eyck Apts	Repair/Replace EFIS-Parging at various locations on the building	1450	100%	7,000	12,716	12,716	12,716	
NY28-11C Ten Eyck Apts	Replace Simplex System/Upgrade smokes	1460	100%	44,000	0	0	0	
NY28-11D Yates Village	Upgrade Boilers	1460	100%	50,000	48,284	48,284	48,284	
Schonowee Village NY28-1	Upgrade lighting throughout	1460	100%	123,000	48,600	48,600	48,600	
Schonowee Village NY28-1	Upgrade Flooring in Stairwells & Hallways	1460	100%	27,283	314,960	314,960	314,960	
Schonowee Village NY28-1	Emergency Domestic Hot Water	1460	100%	0	28,725	28,725	28,725	
Schonowee Village NY28-1	Hallways Painted in All Building	1460	100%	20,000	14,000	14,000	14,000	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Schonowee Village NY28-1	Paint Stairwells	1460	100%	0	130,391	130,391	130,391	
Schonowee Village NY28-1	Sewer Replacement	1450	100%	0	12,614	12,614	12,614	
Schonowee Village NY28-1	Replace Boilers in Building 6	1460	100%	65,000	0	0	0	
NY28-11A Lincoln Heights	Replace Shingles on Canopy Roofs & Valleys on all Buildings	1450	100%	75,000	58,662	58,662	58,662	
NY28-11A Lincoln Heights	Window Replacement/Bath Ventilation	1460	100%	141,480	0	0	0	
NY28-11A Lincoln Heights	Replace Chimneys	1460	100%	40,000	0	0	0	
NY28-11A Lincoln Heights	Upgrade Smoke Detector in Apts	1460	100%	75,000	0	0	0	
NY28-11A Lincoln Heights	Hillside Drainage	1450	100%	0	24,400	24,400	24,400	
NY28-11A Lincoln Heights	Repair Structural Deficiencies Blg A	1460	100%	0	23,500	23,500	23,500	
NY28-7 Maryvale Apts	Energy Audit Items	1460	100%	0	12,403	12,403	12,403	
NY28-3 MacGathans	Resurface roadways & parking spaces	1450	100%	0	53,472	53,472	53,472	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	a) Continuing Anti-Drug Program through Project Pride, which includes programming such as Boys & Girls Club	1408	100%	5,000	0	0	0	
HA Wide	Staff Training for Computer, Accounting, Occupancy	1408	100%	20,000	11,413	11,413	11,413	
HA Wide	Family Self-Sufficiency	1408	100%	58,000	58,000	58,000	58,000	
HA Wide	Tenant Investigator	1408	100%	25,000	45,000	45,000	45,000	
HA Wide	Security Coordinator Contract	1408	100%	31,680	10,000	10,000	10,000	
HA Wide	Off-Duty Police for Foot Patrols	1408	100%	31,000	31,000	31,000	31,000	
HA Wide	Computer Software	1408	100%	106,824	66,824	60,803	54,203	
HA Wide	Senior Services Coordinator	1408	100%	35,000	35,000	35,000	35,000	
HA Wide	Administration	1410	100%	178,752	178,752	178,752	178,752	
HA Wide	Architect Fees	1430	100%	65,000	75,000	60,391	47,111	
HA Wide	Refrigerators and Stoves	1465	100%	40,000	40,000	40,000	40,000	
HA Wide	Maintenance Equipment	1475	100%	55,000	50,010	50,010	50,010	
HA Wide	Computers & Equipment	1475	100%	25,000	25,000	25,000	25,000	
HA Wide	Operations	1406	100%	357,504	357,504	357,504	357,504	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program No: NY06P02850105 Replacement Housing Factor No:					Federal FY of Grant: 2005
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY 28-1	8/18/07			8/18/09			
NY 28-3	8/18/07			8/18/09			
NY 28-7	8/18/07			8/18/09			
NY 28-11A	8/18/07			8/18/09			
NY 28-11B	8/18/07			8/18/09			
NY 28-11C	8/18/07			8/18/09			
NY 28-11D	8/18/07			8/18/09			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Lin e	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	374,591	374,591	374,591	374,591
3	1408 Management Improvements	374,591	332,528	332,528	332,528
4	1410 Administration	187,295	187,295	187,295	187,295
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	65,000	64,811	64,811	64,811
8	1440 Site Acquisition				
9	1450 Site Improvement	0	202,363	202,363	202,363
10	1460 Dwelling Structures	733,102	564,991	564,991	564,991
11	1465.1 Dwelling Equipment— Nonexpendable	28,378	28,378	28,378	28,378
12	1470 Non dwelling Structures				
13	1475 Non dwelling Equipment	110,000	118,000	118,000	118,000
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 –	1,872,957	1,872,957	1,872,957	1,872,957

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs	130,769	125,769	125,769	125,769
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	5,000	180,994	180,994	180,994

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide Operations	Operations	1406	100%	374,591	374,591	374,591	374,591	
PHA Wide Mgt. Improvements	a. Continuing Anti-drug Program through Project Pride which includes programming such as Boys & Girls Club	1408	100%	100,000	68,497	68,497	68,497	
	b. Provide training for staff; computer, accounting, and occupancy	1408	100%	15,000	9,440	9,440	9,440	
	c. Family Self Sufficiency Program	1408	50%	32,000	32,000	32,000	32,000	
	d. Security Coordinator – includes Salary & Fringe	1408	100%	31,680	31,680	31,680	31,680	
	e. Off-duty police for foot patrols	1408	100%	43,500	38,500	38,500	38,500	
	f. Computer software	1408	100%	61,822	61,822	61,822	61,822	
	g. Senior Services Coordinator	1408	60%	35,000	35,000	35,000	35,000	
	h. Tenant Investigator	1408	100%	55,589	55,589	55,589	55,589	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide Administrative Expense	100% Salary & Fringe for construction Department Housing Finance Assistant-85% Two Inspectors 100% Modernization Coordinator-85% Executive Director-10%	1410	100%	187,295	187,295	187,295	187,295	
PHA Wide Fees/Costs	Architect & Engineering services for planning, drawing, specifications and plans	1430	100%	65,000	64,811	64,811	64,811	
NY28-1 Schonowee Village	Paint all stairwells in 5 Buildings	1460	100%	125,000	1,130	1,130	1,130	
	Boiler Replacement	1460	100%	75,000	0	0	0	
	Emergency Water Heater Force Account	1460	100%	4,029	4,029	4,029	4,029	
	Asphalt Sealing & Paving	1450	100%	0	22,188	22,188	22,188	
NY28-11D Yates Village	Boiler Replacement	1460	100%	101,625	101,625	101,625	101,625	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY28-11D Yates Village	Upgrade Smoke Detectors in Apts.	1460	100%	202,448	69,846	69,846	69,846	
	Resurface Roadway & Parking Spaces	1450	100%	0	84,946	84,946	84,946	
	Upgrade Boilers	1460	100%	0	60,503	60,503	60,503	
	Sewer Replacement	1450	100%	0	11,800	11,800	11,800	
NY28-11B Steinmetz Homes	Upgrade Smoke Detectors in Apts.	1460	100%	225,000	179,360	179,360	179,360	
NY28-11A Lincoln Heights	Repair/Replace Traffic Areas & Road Work	1450	100%	0	8,100	8,100	8,100	
	Upgrade Smoke Detectors	1460	100%	0	56,512	56,512	56,512	
NY28-11C Ten Eyck Apts	Sidewalk installed parking Island & Curbing in parking lot	1450	100%	0	18,675	18,675	18,675	
	Replace Simplex System/Upgrade Smokes	1460	100%	0	91,987	91,987	91,987	
NY28-3 MacGathan Townhouses	Resurface Roadway & Parking	1450	100%	0	56,654	56,654	56,654	
HA Wide	Refrigerators & Stoves	1465	100%	28,378	28,378	28,378	28,378	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P02850104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA Wide	Maintenance Equipment – New Plows and recycling equipment	1475	100%	85,000	85,000	85,000	85,000	
HA Wide	Personal Computers, printers, wiring, etc.	1475	100%	25,000	33,000	33,000	33,000	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program No: NY06P02850104 Replacement Housing Factor No:					Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
1408 Management Improvement	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
1410 Administrative Expense	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
1430 Architect/Engineer Fees	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
NY28-1 Schonowee Village	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
NY28-3 MacGathan Townhouses	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
NY28-7 Maryvale Apartments	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		
NY28-11A Lincoln Heights Apartments	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Schenectady Municipal Housing Authority		Grant Type and Number Capital Fund Program No: NY06P02850104 Replacement Housing Factor No:					Federal FY of Grant: 2004
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY28-11B Steinmetz Homes	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07	
NY28-11C Ten Eyck Apartments	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07	
NY28-11D Yates Village	9/6/06	9/6/06		9/5/08	9/5/08	7/13/07	

8. Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name Schenectady Municipal Housing Authority			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:		
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 2008	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 2011
	Annual Statement				
NY 28-1 Schonowee Village		305,500	105,000	122,500	70,000
NY 28-3 MacGathan		0	200,000	200,000	72,745
NY 28-7 Maryvale		8,500	0	15,000	29,000
NY 28-11A Lincoln Heights		228,000	20,000	194,000	110,000
NY 28-11B Steinmetz		266,430	93,430	0	230,000
NY 28-11C Ten Eyck		0	298,000	222,467	151,000
NY 28-11D Yates		30,000	122,000	84,463	175,685
HA-Wide (Soft Costs)		722,897	722,897	722,897	722,897
HA-Wide (1465, 1475)		105,000	105,000	105,000	105,000
CFP Funds Listed for 5-year planning		1,666,327	1,666,327	1,666,327	1,666,327
Replacement Housing Factor Funds		0	0	0	0

8. Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: 2009 PHA FY: 2009			Activities for Year: <u> 3 </u> FFY Grant: 2010 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	Lincoln Heights / NY28-11A	Replace registers and clean ductwork	8,000	MacGathans/NY28-3	Basement Drainage and Plumbing	20,000
See		Upgrade Windows	100,000		Convert heat/ hot water from Electric to Gas	180,000
Annual Statement		Flooring Replacement in Apts Force Acct	70,000	Subtotal		200,000
		Update Furnaces & address energy audit items	50,000	Schonowee Village NY28-1	Remodel Elevator lobbies/Replace roofs	50,000
	Subtotal		228,000		Install Battery back up on elevators	30,000
					Upgrade interior lighting	25,000
	Yates Village / NY28-11D	Playground Renovate	30,000	Subtotal		105,000
	Subtotal		30,000	Ten Eyck NY28-11C	Update Bathrooms	50,000
	Schonowee Village NY28-1	Upgrade Interior Lighting	15,000		Exterior Doors Replaced	15,000
		Resurface Parking areas	15,500		Upgrade Heating system & energy audit items	144,000
		Replace Apt Door Hinges – Force Acct	7,500		Update Main Electrical panel	25,000
		Paint Apt doors – Force Acct	18,000		Closet doors replaced in apts – Force Acct	24,000
		Domestic Hot water upgrade	22,000		Rug Replaced Admin Office	5,000

		Improve Drainage at Building 5 Entrance	30,000		Admin Side Stairwell Painted	5,000
		Extend roof line at entries	27,500		Brick Up Doorways No longer Used	5,000
		Replace Exterior Doors	30,000		New Emergency Lighting	25,000
		Replace Smoke detectors in common areas	40,000	Subtotal		298,000
		Replace Apt. Windows	100,000	Yates Village / NY28-11D	Upgrade Boilers & balance system	100,000
	Subtotal		305,500		Community Room A/C Replaced	12,000
	Steinmetz Homes NY28-11B	Replace Flooring	52,335		Refinish Basketball Courts/Fencing	10,000
		Upgrade Furnaces & energy audit items	2,000	Subtotal		122,000
		Update Kitchens	175,000	Steinmetz Homes / NY28-11B	Roadway Paving	40,000
		Porch Roofs	20,595		Upgrade Furnaces & address energy audit items	38,430
		Domestic Hot water in Maint garage	1,500		Convert Drying areas to parking	15,000
		Insulate Pipes in Crawlspace	15,000	Subtotal		93,430
	Subtotal		266,430	Lincoln Heights/ NY28-11A	Roadway Paving	20,000
	Maryvale Apts NY28-7	Upgrade furnaces	8,500	Subtotal		20,000
	Subtotal		8,500			
	HA – Wide	1406,1408,1410, 1430,(Soft Costs)	722,897	HA - Wide	1406, 1408, 1410, 1430, (Soft Costs)	722,897
	HA – Wide	Stoves, Refrigerators	20,000	HA - Wide	Stoves, Refrigerators	20,000
	HA – Wide	Computers, printers, etc	30,000	HA - Wide	Computers, printers, etc.	30,000

	HA – Wide	Maint. Equipment	55,000	HA - Wide	Maint. Equipment	55,000
	Subtotal		827,897	Subtotal		827,897
Total CFP Estimated Cost			\$1,666,327			\$1,666,327

8. Capital Fund Program Five-Year Action Plan					
Part II: Supporting Pages—Work Activities					
Activities for Year : <u>4</u> FFY Grant: 2011 PHA FY: 2011			Activities for Year: <u>5</u> FFY Grant: 2012 PHA FY: 2012		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Ten Eyck / NY28-11C	Replace/Repair admin roof	65,467	Maryvale/ NY28-7	Update Windows	12,000
	Update Hallway & Apt Lighting	30,000		Paving & Sealcoat	17,000
	Parking Lot Repaving	30,000	Subtotal		29,000
	Update Kitchens	50,000			
	Site Renovation of concrete, curbs, tree trimming	35,000	Lincoln Heights NY28-11A	Update Kitchens & Baths	80,000
	Install Sump Pump System in Boiler Room	12,000		Attic hatches in Apts	15,000
Subtotal		222,467		Parking lot drainage	15,000
Schonowee Village / NY28-1	Upgrade garbage chutes & Compactor System	19,500	Subtotal		110,000
	Replace Intercom System for Apts	100,000	Steinmetz NY28-11B	Bath Renovation Project in Apts.	50,000
	Replace Antenna System	3,000		Playground Upgrade	35,000
Subtotal		122,500		Replace Entrance Doors and Locks	20,000
MacGathan / NY28-3	Convert Electric Heat to Gas	200,000		Replace Furnaces	30,000

Subtotal		200,000	Steinmetz NY28-11B	Update Windows	15,000
Lincoln Heights NY28-11A	Hillside Drainage	5,000	Subtotal		150,000
	Replace sidewalks	65,000	Schonowee Village / NY28-1	Upgrade Boilers and energy audit items	65,000
	Replace Furnaces in Apts	50,000		Exterior Lighting	5,000
	Replace Doors	8,000	Subtotal		70,000
	Rebuild Chimneys	15,000	Yates / NY28-11D	Replace Shingles on Buildings	50,000
	Comm Center Roof	8,000		Replace Water lines in crawlspaces	20,000
	Replace Shingles	40,000		Domestic Hot water upgrade	22,000
	Replace Antenna System	3,000		Upgrade Exterior Lighting	3,685
Subtotal		194,000		Zone Heating in Apts	50,000
				Upgrade Windows	30,000
			Subtotal		175,685
Maryvale Apts NY28-7	Replace Roof Shingles	15,000	Ten Eyck Apt NY28-11C	Upgrade Windows	40,000
Subtotal		15,000		Replace Metal Flashing around roof	15,000
				Antenna replaced	2,000
				Apartment Conversions	69,000
				Remodel Admin area for mod offices	25,000
			Subtotal		151,000
Yates / NY28-11D	Upgrade Boilers	61,533	MacGathan / NY28-3	Kitchen & Bath Renovation	72,745
	Maint Garage Roof Replacement	22,930	Subtotal		72,745

Subtotal		84,463	FIC at Steinmetz	Paint inside throughout	15,000
				Replace carpeting throughout	10,000
				Upgrade lighting – energy efficient	15,000
			FIC at Steinmetz	Add magnets to existing fire alarm	10,000
			Subtotal		50,000
			SH B&G Club	Replace A/C units and fence area-Force Acct	15,000
				Flooring update	15,000
			Subtotal		30,000
HA – Wide	1406,1408,1410,1430	722,897	HA – Wide	1406,1408,1410,1430	722,897
HA – Wide	1465	20,000	HA – Wide	1465	20,000
HA – Wide	1475	85,000	HA – Wide	1475	85,000
Subtotal		827,897	Subtotal		827,897
Total CFP Estimated Cost		1,666,327			1,666,327

ATTACHMENTS

NY028a01 Substantial Deviation Criteria and Amendments

Criteria: Any proposed activity by the Schenectady Municipal Housing Authority that would have the effect of changing the manner in which monies are shown to be expended by more than 10% of the total approved budget shall constitute a substantial deviation and/or significant amendment to this Annual Plan. Such proposed deviations and/or amendments require a full public hearing and HUD review prior to implementation.

ATTACHMENTS

NY028b01

Violence against Women Act (VAWA) Policy for Public Housing, Section 8, and Shelter Plus Care Program

The Schenectady Municipal Housing Authority adopted a policy on the Violence Against Women Act on September 25, 2007 (Resolution #45/09/07), for the purpose of reducing domestic violence, dating violence and stalking, and to prevent the victims of such violence from becoming homeless. This policy has been incorporated into the SMHA Admissions and Continued Occupancy Policy, the SMHA Shelter Plus Care Policy, and the SMHA Housing Choice Voucher Administrative Plan.

It is the Schenectady Municipal Housing Authority's goal to support and assist victims of domestic violence, dating violence, sexual assault, or stalking, in that prior to taking adverse action against an applicant or tenant, any reported domestic situation is reviewed and considered against the rights afforded to them by the Violence Against Women Act. The Schenectady Municipal Housing Authority collaborates with, and makes referrals to, domestic violence professionals at the local YWCA, City Mission and Department of Social Services.

1) Provision of services to victims:

General Services: There are approximately three million dollars worth of human services provided to all tenants and in some cases neighbors on one or more of our seven public housing sites in the City of Schenectady. Most, but not all, of these services are offered at our Family Investment Center at Steinmetz Homes, or our Family Self Sufficiency Center at Yates Village (Directory of Services kept in main Administrative office).

Specialized Services of particular importance to victims of domestic violence, dating violence, sexual assault or stalking: Foremost among these would have to be our referrals to the YMCA Domestic Violence Program, which includes a Domestic Violence Shelter, a long-term housing placement program, and domestic violence counseling. It is the preeminent domestic violence program in the City. They receive special notification from the Schenectady Police Department whenever a domestic violence problem is discovered. Domestic violence counselors can see victims on site when desired, although we have found that often victims do not want to be seen visiting with a counselor on-site and prefer to be counseled at the main YWCA.

We provide space for a YWCA run licensed child care center at the FSS Center at Yates Village, and a YWCA sponsored Pre-K classroom at the FIC at Steinmetz Homes. As a part of our ROSS training voucher program, we underwrite residual costs to the tenant for child care after other available dollars are accounted for (YWCA/SMHA Memorandum of Agreement is available for public review at the main Administrative Office). This program which combines training subsidies, child care and transportation assistance is ideally suited to assist victims of violence get back on their feet and has been used as such.

2) Helping victims maintain housing: Of prime importance would have to be our VAWA Policy which we have incorporated as part of our PH ACOP, our Section 8 Administrative Plan,

ATTACHMENTS

and our Shelter Plus Care Policy Manual (VAWA policy statement is available for review at main Administrative office). This policy provides assurance that SMHA has considered the ramifications of the Violence Against Women Act, and has made local provisions to insure compliance and more. We also have many MOA/Referral Agreements with various agencies dealing with domestic violence victims, troubled families, foster care prevention programs, Headstart and early childhood development programs, etc. to encourage their clients to fill out housing applications.

3) Preventing violence and enhancing victim safety: We have for many years encouraged and assisted victims of domestic violence in seeking orders of protection. We have also complemented their efforts by filing “Persona Non Grata” letters affecting violent spouses and other violent criminals with the Schenectady Police Department. This allows police to arrest at will these individuals on public housing property.

We consider victim requests for apartment transfer when tenant safety matters are concerned.

As far as prevention is concerned, we emphasize referral of ALL public housing tenants to needed service (The Office SMHA Quicky Referral Guide is available for review at the main Administrative Office). We encourage all staff persons to assist tenants in acquiring the services they need. This effort is magnified in the case of those families we enroll in the Family Self Sufficiency Program. We have open, unlimited enrollment in this program with HUD approved voluntary capacity levels far above the required slots.

We also co-sponsor a “Father’s Housing Program” along with Parsons Child and Family Center and Family and Child Services of Schenectady (Father’s Housing Program Agreement available for review at the Administrative office). Together we staff and provide meeting space to a group of custodial and non-custodial fathers living in Schenectady County, who are determined to be and become responsible and supportive fathers, regularly paying court ordered child support, spending time with their children, etc.

ATTACHMENTS

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