

# PHA Plans

## Streamlined Annual Version

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 08/31/2009)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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# Streamlined Annual PHA Plan for Fiscal Year: 2008

## PHA Name:

**Herkimer  
Housing Authority**

**NOTE:** This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

## Streamlined Annual PHA Plan Agency Identification

**PHA Name:** Herkimer Housing Authority

**PHA Number:** NY019

**PHA Fiscal Year Beginning: (mm/yyyy)** 07/2008

### PHA Programs Administered:

**Public Housing and Section 8**       **Section 8 Only**       **Public Housing Only**

Number of public housing units: 175

Number of S8 units:

Number of public housing units:

Number of S8 units: 52

**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

### PHA Plan Contact Information:

Name: Donna Daniels, Executive Director

Phone: (315) 866 2252

TDD: (315) 866-2252

Email (if available): dmdaniel@twcny.rr.com

### Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

PHA's main administrative office       PHA's development management offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection.       Yes       No.

If yes, select all that apply:

- Main administrative office of the PHA  
 PHA development management offices  
 Main administrative office of the local, county or State government  
 Public library       PHA website       Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA       PHA development management offices  
 Other (list below)

**Streamlined Annual PHA Plan**  
**Fiscal Year 2008**  
[24 CFR Part 903.12(c)]

**Table of Contents**  
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

<b>A. PHA PLAN COMPONENTS</b>	<b>Page</b>
<input type="checkbox"/> 1. Site-Based Waiting List Policies <b>903.7(b)(2) Policies on Eligibility, Selection, and Admissions</b>	4
<input checked="" type="checkbox"/> 2. Capital Improvement Needs <b>903.7(g) Statement of Capital Improvements Needed</b>	5
<input type="checkbox"/> 3. Section 8(y) Homeownership <b>903.7(k)(1)(i) Statement of Homeownership Programs</b>	6
<input type="checkbox"/> 4. Project-Based Voucher Programs	7
<input checked="" type="checkbox"/> 5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.	8
<input checked="" type="checkbox"/> 6. Supporting Documents Available for Review	11
<input checked="" type="checkbox"/> 7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report	14
<input checked="" type="checkbox"/> 8. Capital Fund Program 5-Year Action Plan	20
9. Attachments:  2006 Capital Fund Performance and Evaluation Report (ny019a01) 2007 Capital Fund Performance and Evaluation Report (ny019b01) Violence Against Women Act Report (ny019c01)	

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations. Board Resolution to Accompany the Streamlined Annual Plan** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, Certification of Payments to Influence Federal Transactions; and**

**Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.**

# **1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

## **A. Site-Based Waiting Lists-Previous Year – Not Applicable**

**The Herkimer Housing Authority has not operated site-based waiting lists in the previous year.**

1. Has the PHA operated one or more site-based waiting lists in the previous year?  
**No!** If yes, complete the following table; if not skip to B.

<b>Site-Based Waiting Lists</b>				
<b>Development Information</b> (Name, number, location)	<b>Date Initiated</b>	<b>Initial mix of Racial, Ethnic or Disability Demographics</b>	<b>Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL</b>	<b>Percent change between initial and current mix of Racial, Ethnic, or Disability demographics</b>

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

## **B. Site-Based Waiting Lists – Coming Year – Not Applicable.**

**The Herkimer Housing Authority does not plan to operate any site-based waiting lists in the coming year.**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

## **2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

### **A. Capital Fund Program**

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).

2. Status of HOPE VI revitalization grat(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
	<input type="checkbox"/> Revitalization Plan under development
	<input type="checkbox"/> Revitalization Plan submitted, pending approval
	<input type="checkbox"/> Revitalization Plan approved
	<input type="checkbox"/> Activities pursuant to an approved Revitalization Plan underway

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:

4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

### **3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**

(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

#### **4. Use of the Project-Based Voucher Program**

##### **Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:

- low utilization rate for vouchers due to lack of suitable rental units
- access to neighborhoods outside of high poverty areas
- other (describe below:)

2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (State of New York)
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - The Herkimer Housing Authority will continue to maintain and renovate its public housing units.
  - The Herkimer Housing Authority will continue to market its public housing and Section 8 program to make families and individuals aware of the availability of decent, safe, sanitary and affordable housing in the Herkimer.
- Other: (list below)

The Herkimer Housing Authority Admission and Continued Occupancy Policy (ACOP) requirements are established and designed to:

- (1) Provide improved living conditions for very low and low income families while maintaining their rent payments at an affordable level.
- (2) To operate a socially and financially sound public housing agency that is violence and drug-free, decent, safe and sanitary housing with a suitable living environment for residents and their families.
- (3) To avoid concentrations of economically and socially deprived families in any of our public housing developments.

- (4) Deny the admission of applicants, or the continued occupancy of residents, whose habits and practices reasonably may be expected to adversely affect the health, safety, comfort or welfare of other residents or the physical environment of the neighborhood, or create a danger to our employees.
  - (5) To attempt to house a tenant body in each development that is composed of families with a broad range of incomes and rent-paying abilities that is representative of the range of incomes of low income families in our jurisdiction.
  - (6) To ensure compliance with Title VI of the Civil Rights Act of 1964 and all other applicable Federal fair housing laws and regulations so that the admissions and continued occupancy are conducted without regard to race, color, religion, creed, sex, national origin, handicap or familial status.
- We have similar principles for our Section 8 program:
    - (1) To provide decent, safe and sanitary housing for very low income families while maintaining their rent payments at an affordable level.
    - (2) To ensure that all units meet Housing Quality Standards and families pay fair and reasonable rents.
    - (3) To promote fair housing and the opportunity for very low-income families of all ethnic backgrounds to experience freedom of housing choice.
    - (4) To promote a housing program which maintains quality service and integrity while providing an incentive to private property owners to rent to very low-income families.
    - (5) To assist the local economy by maintaining a high occupancy rate and the amount of money flowing into the community.
    - (6) To create positive public awareness and expand the level of family, owner, and community support in accomplishing the Herkimer Housing Authority's mission.
    - (7) To attain and maintain a high level of standards and professionalism in our day to day management of all program components.
    - (8) To administer an efficient, high-performing agency through continuous improvement of the Housing Authority support systems and commitment to our employees and their development.

Our agency is part of the entire effort undertaken by the Village of Herkimer, Herkimer County and the State of New York to address our jurisdiction's affordable housing needs. When appropriate and feasible, we will apply for additional grants and loans from federal, state and local sources, including private sources to enhance the affordable housing available in our community. We intend to continue working with our local partners to try and meet these identified needs.

As in past years, we expect in the ensuing fiscal year to continue to utilize the funds we receive for our existing programs. We will continue to use those resources to house people. We do not intend to apply for additional units because we believe we have sufficient housing resources for the applicants on our waiting lists for the public housing and Section 8 programs. Instead we will be focusing on management improvements, modernization and increasing the number of owners willing to participate in our Section 8 program. Priorities and guidelines for programs often change from year to year and our decisions to pursue certain opportunities and resources may change over the coming year if there are program changes beyond our control.

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The New York State Consolidated Plan establishes three strategic objectives that are of equal importance and form the basis of New York State's strategy:

**Preserve and increase the supply of decent, safe and affordable housing available to all low and moderate income households, and help identify and develop available resources to assist in the development of housing.**

While not specifically targeting funds in the Consolidated Plan for such programs, New York State believes it has a vested interest in the federal government's commitment to continue to provide resources for the operations, maintenance and preservation of Section 8 and public housing. The Consolidate Plan states: "The preservation of this irreplaceable low-income housing asset should remain a federal priority. Specifically, the federal government should maintain its commitment to rental assistance, preservation of housing eligible for mortgage prepayment and funding for operations, repairs, maintenance and modernization of public housing."

**Improve the ability of low and moderate income New Yorkers to access rental and home-ownership opportunities.**

The Consolidate Plan includes the provision of rental assistance where possible and also to providing home-ownership opportunities to low income and minority households. State housing agencies are encouraged to apply for Section 8 program funding.

The Consolidated Plan notes that there are insufficient Federal and State capital subsidies to increase the supply of affordable housing to address the problems of all those with cost burdens.

**Address the shelter, housing, and service needs of the homeless poor and others with special needs.**

Among the programs to be utilized, are the various Section 8 programs.

The Consolidated Plan addresses Public Housing Resident Initiatives. The Plan states that “the State of New York does not directly own or administer Federal public housing. Therefore the requirements of this section of the Consolidated Plan do not apply to the State of New York.”

“The State does have a State public housing program as noted in the Needs Assessment. Tenant participation in the management of housing authorities is not only encouraged in this State, but mandated in New York’s Public Housing Law, which provides that authorities having a population under one million be composed of up to seven members, including two tenants elected by public housing residents. The underlying philosophy has been to ensure that tenants’ needs and concerns are effectively communicated to the governing body of the authority and, when necessary, to DHCR, as the supervising State agency.”

In summary, the New York State Consolidated Plan strategies are consistent with and support the goals and objectives of the Herkimer Housing Authority.

**6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
NA	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
NA	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’	5 Year and Annual Plans

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input checked="" type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
NA	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
XX	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
NA	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
NA	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
NA	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
NA	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
X	Other supporting documents (optional) (list individually; use as many lines as necessary) Resident Member on the PHA Governing Board Membership of the Resident Advisory Board Definition of Substantial Deviation and Significant Amendment or Modification	(specify as needed)  Annual Plan Annual Plan Annual Plan
NA	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name: Herkimer Housing Authority</b>			<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P019050108 Replacement Housing Factor Grant No:		<b>Federal FY of Grant: 2008</b>
<input checked="" type="checkbox"/> <b>Original Annual Statement</b> <input type="checkbox"/> <b>Reserve for Disasters/ Emergencies</b> <input type="checkbox"/> <b>Revised Annual Statement (revision no:    )</b> <input type="checkbox"/> <b>Performance and Evaluation Report for Period Ending:</b> <input type="checkbox"/> <b>Final Performance and Evaluation Report</b>					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	22,823			
3	1408 Management Improvements	2,000			
4	1410 Administration	22,823			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	11,578			
8	1440 Site Acquisition				
9	1450 Site Improvement	5,540			
10	1460 Dwelling Structures	160,463			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	3,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	228,227			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance	35,000			
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
PHA Name: <b>Herkimer Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: NY06P019050108 Replacement Housing Factor Grant No:		Federal FY of Grant: <b>2008</b>
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
	Measures				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part II: Supporting Pages</b>								
PHA Name: Herkimer Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P01950108 Replacement Housing Factor Grant No:			<b>Federal FY of Grant: 2008</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA Wide</b>	<b><u>Operations</u></b>	1406						
	P H Operations		LS	22,823				
	<b>Subtotal Acct 1406</b>			<b>22,823</b>				
<b>HA Wide</b>	<b><u>Management Improvements</u></b>	1408						
	Computer software upgrades/maintenance		LS	2,000				
	<b>Subtotal Acct 1408</b>			<b>2,000</b>				
<b>HA Wide</b>	<b><u>Administration</u></b>	1410						
	Salaries/benefits for administration of CFP Program		LS	22,823				
	<b>Subtotal Acct 1410</b>			<b>22,823</b>				
<b>HA Wide</b>	<b><u>Fees and Costs</u></b>	1430						
	A&E Fees; reimbursable costs		LS	11,578				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Subtotal Acct 1430</b>			<b>11,578</b>				
	<b><u>Site Improvements</u></b>	1450						
HA Wide	Landscaping		LS	540				
NY019-3 Mid Town Apts.	Resurface and stripe parking lot		1	5,000				
	<b>Subtotal Acct 1450</b>			<b>5,540</b>				
	<b><u>Dwelling Structures</u></b>	1460						
HA Wide	Handicapped access improvements		LS	35,000				
NY019-1 Eastern Gardens	Replace hot water tanks		7	20,000				
NY019-1 Eastern Gardens	Replace Roofs		8	61,677				
NY019-2 Creekside Courts	Replace floors at J and K buildings		5	10,000				
NY019-2 Creekside Courts	Replace hot water tanks		6	20,000				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY019-3 Mid Town Apts.	Replace hot water tank		1	5,000				
NY019-3 Mid Town Apts.	Trash compactor		1	8,786				
	<b>Subtotal Acct 1460</b>			<b>160,463</b>				
	<b><u>Non-dwelling Equipment 1475</u></b>	1475.1						
<b>HA Wide</b>	Upgrade computer hardware		LS	3,000				
	<b>Subtotal Acct 1475</b>			<b>3,000</b>				
	<b>Grand Total</b>			<b>228,227</b>				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part III: Implementation Schedule</b>							
PHA Name: Herkimer Housing Authority			Grant Type and Number Capital Fund Program No: NY06P01950108 Replacement Housing Factor No:			Federal FY of Grant: <b>2008</b>	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	07/17/10			07/17/12			
NY019-1 Eastern Gardens	07/17/10			07/17/12			
NY019-2 Creekside Courts	07/17/10			07/17/12			
NY019-3 Mid Town Apts	07/17/10			07/17/12			

## 8. Capital Fund Program Five-Year Action Plan

<b>Capital Fund Program Five-Year Action Plan</b>					
<b>Part I: Summary</b>					
PHA Name: Herkimer Housing Authority				<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2  FFY Grant: 2009 PHA FY: 07/2009	Work Statement for Year 3  FFY Grant: 2010 PHA FY: 07/2010	Work Statement for Year 4  FFY Grant: 2011 PHA FY: 07/2011	Work Statement for Year 5  FFY Grant: 2012 PHA FY: 07/2012
	Annual Statement				
HA Wide		62,764	73,283	71,624	82,369
NY019-1 Eastern Gardens		33,000	6,000	52,540	56,454
NY019-2 Creekside Courts		33,000	134,481	49,000	50,952
NY019-3 Mid Town Apts		99,463	14,463	55,063	38,452
CFP Funds Listed for 5-year planning		228,227	228,227	228,227	228,227
Replacement Housing Factor Funds					

## 8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year: <u>  2  </u> FFY Grant: 2009 PHA FY: 07/2009			Activities for Year: <u>  3  </u> FFY Grant: 2010 PHA FY: 07/2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	<u>Operations (1406)</u>		HA Wide	<u>Operations (1406)</u>	
Annual		P H Operations	22,823		P H Operations	22,823
Statement	HA Wide	<u>Mgt Improvements (1408)</u>		HA Wide	<u>Mgt Improvements (1408)</u>	
		Computer software upgrades/maintenance	3,000		Computer software upgrades/maintenance	2,000
	HA Wide	<u>Administration (1410)</u>		HA Wide	<u>Administration (1410)</u>	
		Salaries/benefits for administration of CFP	22,823		Salaries/benefits for administration of CFP	22,823
	HA Wide	<u>Fees and Costs (1430)</u>		HA Wide	<u>Fees and Costs (1430)</u>	
		A&E Fees; reimbursable costs	11,578		A&E Fees; reimbursable costs	23,097
	HA Wide	<u>Site Improvements 1450</u>		HA Wide	<u>Site Improvements 1450</u>	
	HA Wide	Landscaping	540		Landscaping	540
	HA Wide	<u>Non-Dwelling Equipment 1475</u>		HA Wide	<u>Non Dwelling Equipment 1475</u>	
		Upgrade computer hardware	2,000		Upgrade computer hardware	2,000
		<b>Total HA Wide</b>	<b>62,764</b>		<b>Total HA Wide</b>	<b>73,283</b>
	NY019-1 Eastern Gardens	<u>Dwelling Structures 1460</u>		NY019-1 Eastern Gardens	<u>Dwelling Structures (1460)</u>	
		Replace kitchen cabinets and counter tops	30,000		Cyclic painting	3,000
		<u>Dwelling Equipment 1465.1</u>		NY019-1 Eastern Gardens	<u>Dwelling Equipment 1465.1</u>	

## 8. Capital Fund Program Five-Year Action Plan

		Replace ranges and refrigerators (10)	3,000		Replace ranges & refrigerators (10)	3,000
		<b>Total NY019-1</b>	<b>33,000</b>		<b>Total NY019-1</b>	<b>6,000</b>
	<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures</u> 1460</b>		<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures</u> 1460</b>	
		Replace kitchen cabinets and counter tops	30,000		Cyclic painting	3,000
	<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>			Upgrade electrical panel	58,481
					Roofs	70,000
		Replace ranges and refrigerators (10)	3,000	<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
		<b>Total NY019-2</b>	<b>33,000</b>		Replace ranges and refrigerators (10)	3,000
					<b>Total NY019-2</b>	<b>134,481</b>
	<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Structures</u> 1460</b>		<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Structures</u></b>	
		Replace kitchen cabinets and counter tops	30,000		Cyclic painting	2,786
		Purchase and install a generator	66,463	<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
	<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>			Replace ranges & refrigerators (10)	3,000
		Replace ranges and refrigerators (10)	3,000	<b>NY019-3 Mid Town Apts</b>	<b><u>Non-Dwelling Equipment</u> 1475</b>	
		<b>Total NY019-3</b>	<b>99,463</b>		Install security cameras	8,677
					<b>Total NY019-3</b>	<b>14,463</b>
	<b>Total CFP Estimated Cost</b>		<b>\$228,227</b>			<b>\$228,227</b>

## 8. Capital Fund Program Five-Year Action Plan

<b>Capital Fund Program Five-Year Action Plan</b>					
<b>Part II: Supporting Pages—Work Activities</b>					
Activities for Year: <u>4</u> FFY Grant: 2011 PHA FY: 07/2011			Activities for Year: <u>5</u> FFY Grant: 2012 PHA FY: 07/2012		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
<b>HA Wide</b>	<b><u>Operations (1406)</u></b>		<b>HA Wide</b>	<b><u>Operations (1406)</u></b>	
	P H Operations	22,823		P H Operations	22,823
<b>HA Wide</b>	<b><u>Mgt Improvements (1408)</u></b>		<b>HA Wide</b>	<b><u>Mgt Improvements (1408)</u></b>	
	Computer software upgrades/maintenance	2,000		Computer software upgrades/maintenance	3,000
<b>HA Wide</b>	<b><u>Administration (1410)</u></b>		<b>HA Wide</b>	<b><u>Administration (1410)</u></b>	
	Salaries/benefits for administration of CFP	22,823		Salaries/benefits for administration of CFP	22,823
<b>HA Wide</b>	<b><u>Fees and Costs (1430)</u></b>		<b>HA Wide</b>	<b><u>Fees and Costs (1430)</u></b>	
	A&E Fees; reimbursable costs	11,578		A&E Fees; reimbursable costs	20,823
<b>HA Wide</b>	<b><u>Non Dwelling Equipment 1475</u></b>		<b>HA Wide</b>	<b><u>Non-Dwelling Equipment 1475</u></b>	
	Upgrade computer hardware	2,000		Upgrade computer hardware	3,000
	Truck	10,400		Lawn mowers (2)	1,000
	<b>Total HA Wide</b>	<b>71,624</b>		Snow blowers (2)	2,000
<b>NY019-1 Eastern Gardens</b>	<b><u>Site Improvements 1450</u></b>			Floor scrubber	3,000
	Landscaping and sidewalks	3,540		Vacuum cleaner (2)	900
<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Structures 1460</u></b>			Floor polisher (1)	3000

## 8. Capital Fund Program Five-Year Action Plan

	Bathroom remodel and tub surrounds (5 units)	11,500		<b>Total HA Wide</b>	<b>82,369</b>
	Kitchen cabinets and counter tops (5 units)	10,000			
	Flooring (5 units)	4,000	<b>NY019-1 Eastern Gardens</b>	<b><u>Site Improvements 1450</u></b>	
	Cyclic painting (5 units)	3,000		Sidewalks/driveways/landscaping/playgrounds	6,604
<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Equipment 1465.1</u></b>				
	Replace ranges and refrigerators (10)	3,000	<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Structures 1460</u></b>	
	Security cameras (10)	15,000		Replace interior doors/hardware (50)	5,000
	Mail boxes (50)	2,500		Window/screen replacement (15)	2,000
	<b>Total NY019-1</b>	<b>52,540</b>		Exterior door replacement/hardware (5)	1,500
<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures 1460</u></b>			Replace radiators (30)	15,000
	Bathroom remodel and tub surrounds (5 units)	11,500		Cyclic painting (5)	3,000
	Flooring (5 units)	4,000		Flooring upgrade (5)	3,250
	Kitchen cabinets and counter tops (5 units)	10,000		Lighting upgrade (10)	1,600
	Cyclic painting (5 units)	3,000		Tub surrounds (5 units)	1,500
<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment 1465.1</u></b>			Flooring (5 units)	3,000
				Apartment renovation	5,000
				Electrical upgrade	2,500
				Plumbing upgrade	2,500
	Replace ranges and refrigerators (10)	3,000	<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Equipment 1465.1</u></b>	
	Security cameras (10)	15,000		Replace ranges and refrigerators (12)	4,000
	Mail boxes (50)	2,500		<b>Total NY019-1</b>	<b>56,454</b>
	<b>Total NY019-2</b>	<b>49,000</b>			

## 8. Capital Fund Program Five-Year Action Plan

<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Structures</u> 1460</b>		<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures</u> (1460)</b>	
	Bathroom remodel and tub surrounds (5 units)	11,500		Replace interior doors and hardware (50)	5,000
	Flooring (5 units)	5,000		Window/screen replacement (15)	2,000
	Kitchen cabinets and counter tops (5 units)	10,000		Replace exterior doors/hardware (15)	5,000
	Cyclic painting (5 units)	3,000		Replace radiators (21)	10,500
<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>			Cyclic painting (5)	3,000
	Replace ranges and refrigerators (10)	3,000		Flooring upgrade (5)	3,250
	Inter-com system	20,000		Lighting upgrade (10)	1,600
	Mail boxes and Drop box (75)	2,563		Apartment renovation (1)	5,000
	<b>Total NY019-3</b>	<b>55,063</b>		Electrical upgrades	2,500
				Plumbing upgrades	2,500
			<b>NY019-2 Creekside Courts</b>	<b><u>Site Improvements 1450</u></b>	
				Sidewalks/driveways/Landscaping/playgrounds	6,602
			<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
				Replace ranges & refrigerators (12)	4,000
				<b>Total NY019-2</b>	<b>50,952</b>
			<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment</u> 1460</b>	
				Replace interior doors /hardware(20)	2,000
				Window/screen replacement (15)	2,000
				Replace exterior doors/hardware (5)	4,000
				Cyclical painting (5)	3,000



**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

<b>PHA Name: Herkimer Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P019050106 Replacement Housing Factor Grant No:	<b>Federal FY of Grant: 2006</b>
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Original Annual Statement 
  Reserve for Disasters/ Emergencies 
  Revised Annual Statement (revision no: 2) 
  Performance and Evaluation Report for Period Ending: 12/31/07 
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Revision #1	Revision #2	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	21,657	25,507	25,507	25,507
3	1408 Management Improvements	2,000	2,000	20	20
4	1410 Administration	21,657	21,657	21,657	21,657
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	21,657	0	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	20,677	20,677	14,698	14,698
10	1460 Dwelling Structures	87,919	118,058	65,003	65,003
11	1465.1 Dwelling Equipment—Nonexpendable	9,000	0	0	0
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	32,000	28,668	4,290	4,290
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	216,567	216,567	131,175	131,175
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: <b>Herkimer Housing Authority</b>	Grant Type and Number Capital Fund Program Grant No: NY06P019050106 Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2006</b>
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Original Annual Statement  
  Reserve for Disasters/ Emergencies  
  Revised Annual Statement (revision no: 2)  
 Performance and Evaluation Report for Period Ending: 12/31/07  
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Revision #1	Revision #2	Obligated	Expended
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
<b>HA Wide</b>	<b><u>Operations</u></b>	1406						
	P H Operations		LS	21,657	25,507	25,507	25,507	
	<b>Subtotal Acct 1406</b>			<b>21,657</b>	<b>25,507</b>	<b>25,507</b>	<b>25,507</b>	
<b>HA Wide</b>	<b><u>Management Improvements</u></b>	1408						
	Computer software upgrades		LS	2,000	2,000	20	20	
	<b>Subtotal Acct 1408</b>			<b>2,000</b>	<b>2,000</b>	<b>20</b>	<b>20</b>	
<b>HA Wide</b>	<b><u>Administration</u></b>	1410						
	Salaries/benefits for administration of CFP Program		LS	21,657	21,657	21,657	21,657	
	<b>Subtotal Acct 1410</b>			<b>21,657</b>	<b>21,657</b>	<b>21,657</b>	<b>21,657</b>	

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
<b>HA Wide</b>	<b><u>Fees and Costs</u></b>	1430						
	A&E Fees; reimbursable costs		LS	21,657	0	0	0	
	<b>Subtotal Acct 1430</b>			<b>21,657</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b><u>Site Improvements</u></b>	1450						
<b>HA Wide</b>	Landscaping, fencing and benches		LS	4,000	4,000	2,098	2,098	
<b>NY019-1 Eastern Gardens</b>	Resurface parking lots		LS	12,677	12,677	12,600	12,600	
<b>NY019-3 Midtown Apts</b>	Sidewalk Replacement		LS	4,000	4,000	0	0	
	<b>Subtotal Acct 1450</b>			<b>20,677</b>	<b>20,677</b>	<b>14,698</b>	<b>14,698</b>	
	<b><u>Dwelling Structures</u></b>	1460						

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
NY019-1 Eastern Gardens	Light fixtures		30 units	3,000	3,000	665	665	
NY019-1 Eastern Gardens	Cycle Painting		6 units	3,000	3,000	1,425	1,425	
NY019-2 Creekside Courts	Upgrade electrical panels		50 units	39,919	1,560	1,560	1,560	
NY019-2 Creekside Courts	Light fixtures		30 units	3,000	3,000	945	945	
NY019-2 Creekside Courts	Replace floors at J & K buildings		4 units	10,000	10,000	5,924	5,924	
NY019-2 Creekside Courts	Cycle Painting		6 units	3,000	3,000	305	305	
NY019-3 Mid Town Apts	Light fixtures		30 units	3,000	3,000	921	921	
NY019-3 Mid Town Apts	Point and Seal Brickwork		LS	20,000	20,000	0	0	

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
NY019-3 Mid Town Apts	Upgrade electrical panels (pull cord)		75 units	0	12,000	0	0	
NY019-3 Mid Town Apts	Replace door hinges (emergency item based on REAC Inspection – fire safety)		180	0	3,240	0	0	
NY019-3 Mid Town Apts	Cycle Painting		6 units	3,000	3,000	0	0	
NY019-3 Mid Town Apts	Roofing Replacement			0	52,432	52,432	52,432	
NY019-3 Mid Town Apts	Bathroom surrounds			0	623	623	623	
NY019-3 Mid Town Apts	Kitchen upgrades			0	203	203	203	
	<b>Subtotal Acct 1460</b>			<b>87,919</b>	<b>118,058</b>	<b>65,003</b>	<b>65,003</b>	
	<b>Dwelling Equipment</b>	1465.1						

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				<b>Federal FY of Grant: 2006</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
<b>NY019-1 Eastern Gardens</b>	Replace ranges/refrigerators @ 300		10	3,000	0			
<b>NY019-2 Creekside Courts</b>	Replace ranges/refrigerators @ 300		10	3,000	0			
<b>NY019-3 Mid Town Apts</b>	Replace ranges/refrigerators @ 300		10	3,000	0			
	<b>Subtotal Acct 1465.1</b>			<b>9,000</b>	<b>0</b>			
	<b><u>Non-dwelling Equipment</u></b>	1475.1						

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Herkimer Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P01950106 Replacement Housing Factor Grant No:				<b>Federal FY of Grant: 2006</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Revision #1	Revision #2	Funds Obligated	Funds Expended	
<b>HA Wide</b>	Computer Hardware		LS	2,000	2,000	2,000	2,000	
<b>NY019-1</b>	Security Cameras			15,000	15,000	0	0	
<b>NY019-2</b>	Security Cameras			15,000	9,378	0	0	
<b>NY019-3</b>	Security Cameras			0	2,290	2,290	2,290	
	<b>Subtotal Acct 1475.1</b>			<b>32,000</b>	<b>28,668</b>	<b>4,290</b>	<b>4,290</b>	
	<b>Grand Total</b>			<b>216,567</b>	<b>216,567</b>	<b>131,175</b>	<b>131,175</b>	



## 7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary</b>					
<b>PHA Name: Herkimer Housing Authority</b>			<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P019050107 Replacement Housing Factor Grant No:		<b>Federal FY of Grant: 2007</b>
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	22,823		22,823	22,823
3	1408 Management Improvements	2,000		0	0
4	1410 Administration	22,823		22,823	22,823
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	11,578		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	40,000		0	0
10	1460 Dwelling Structures	90,003		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	10,000		0	0
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	29,000		0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	228,227		45,646	45,646
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: <b>Herkimer Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: NY06P019050107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/07 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
26	Amount of line 21 Related to Energy Conservation Measures					

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part II: Supporting Pages</b>								
PHA Name: Herkimer Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P01950107 Replacement Housing Factor Grant No:			<b>Federal FY of Grant: 2007</b>			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA Wide</b>	<b><u>Operations</u></b>	1406						
	P H Operations		LS	22,823		22,823	22,823	
	<b>Subtotal Acct 1406</b>			<b>22,823</b>		<b>22,823</b>	<b>22,823</b>	
<b>HA Wide</b>	<b><u>Management Improvements</u></b>	1408						
	Computer software upgrades		LS	2,000		0	0	
	<b>Subtotal Acct 1408</b>			<b>2,000</b>		0	0	
<b>HA Wide</b>	<b><u>Administration</u></b>	1410						
	Salaries/benefits for administration of CFP Program		LS	22,823		22,823	22,823	
	<b>Subtotal Acct 1410</b>			<b>22,823</b>		<b>22,823</b>	<b>22,823</b>	
<b>HA Wide</b>	<b><u>Fees and Costs</u></b>	1430						
	A&E Fees; reimbursable costs		LS	11,578		0	0	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report                      Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)                      Part II: Supporting Pages</b>								
PHA Name: Herkimer Housing Authority		Grant Type and Number Capital Fund Program Grant No: NY06P01950107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b>Subtotal Acct 1430</b>			<b>11,578</b>		0	0	
	<b>Site Improvements</b>	1450						
NY019-1 Eastern Gardens	Resurface and stripe parking lot		LS	10,000		0	0	
NY019-2 Creekside Courts	Resurface and stripe parking lot		LS	10,000		0	0	
NY019-3 Mid Town Apts	Replace sidewalks		LS	20,000		0	0	
	<b>Subtotal Acct 1450</b>			<b>40,000</b>		0	0	
	<b>Dwelling Structures</b>	1460						
NY019-2 Creekside Courts	Replace doors and entrances		50 units	16,277		0	0	
HA Wide	Lighting Fixtures		30 units	1,500		0	0	
NY019-2 Creekside Courts	Replace floors at J and K building		5	10,000		0	0	

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b>								
<b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b>								
<b>Part II: Supporting Pages</b>								
PHA Name: Herkimer Housing Authority			Grant Type and Number Capital Fund Program Grant No: NY06P01950107 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NY019-2 Creekside Courts	Roofs		4 Bldgs	62,226		0	0	
	<b>Subtotal Acct 1460</b>			<b>90,003</b>		0	0	
	<b>Dwelling Equipment</b>	1465.1						
NY019-1 Eastern Gardens	Replace ranges/refrigerators @300		10	3,000		0	0	
NY019-2 Creekside Courts	Replace ranges/refrigerators @300		10	3,000		0	0	
NY019-3 Mid Town Apts	Replace ranges/refrigerators @300		10	3,000		0	0	
HA Wide	Furniture			1,000		0	0	
	<b>Subtotal Acct 1465.1</b>			<b>10,000</b>		0	0	
	<b>Non-dwelling Equipment 1475</b>	1475.1						

**7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor**

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
PHA Name: Herkimer Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: NY06P01950107 Replacement Housing Factor Grant No:			<b>Federal FY of Grant: 2007</b>		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b>HA Wide</b>	Computer Hardware		LS	2,000		0	0	
<b>HA Wide</b>	Replace truck #2		1	15,,000		0	0	
<b>HA Wide</b>	Replace 3 tractors		3	12,000		0	0	
	<b>Subtotal Acct 1475.1</b>			<b>29,000</b>		0	0	
	<b>Grand Total</b>			<b>228,227</b>		<b>45,646</b>	<b>45,646</b>	



## 8. Capital Fund Program Five-Year Action Plan

<b>Capital Fund Program Five-Year Action Plan</b>					
<b>Part I: Summary</b>					
PHA Name: Herkimer Housing Authority				<input checked="" type="checkbox"/> <b>Original 5-Year Plan</b> <input type="checkbox"/> <b>Revision No:</b>	
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/2008	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/2011
	Annual Statement				
HA Wide		94890	117,567	70,409	69,290
NY019-1 Eastern Gardens		81,677	33,000	3,000	13,500
NY019-2 Creekside Courts		30,000	33,000	131,481	10,500
NY019-3 Mid Town Apts		10,000	33,000	11,677	123,277
CFP Funds Listed for 5-year planning		216,567	216,567	216,567	216,567
Replacement Housing Factor Funds					

## 8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year: <u>  2  </u> FFY Grant: 2008 PHA FY: 07/2008			Activities for Year: <u>  3  </u> FFY Grant: 2009 PHA FY: 07/2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA Wide	<u>Operations (1406)</u>		HA Wide	<u>Operations (1406)</u>	
Annual		P H Operations	21,656		P H Operations	21,656
Statement	HA Wide	<u>Mgt Improvements (1408)</u>		HA Wide	<u>Mgt Improvements (1408)</u>	
		Computer software upgrades	2,000		Computer software upgrades	3,000
	HA Wide	<u>Administration (1410)</u>		HA Wide	<u>Administration (1410)</u>	
		Salaries/benefits for administration of CFP	21,656		Salaries/benefits for administration of CFP	21,656
	HA Wide	<u>Fees and Costs (1430)</u>		HA Wide	<u>Fees and Costs (1430)</u>	
		A&E Fees; reimbursable costs	11,578		A&E Fees; reimbursable costs	11,578
	HA Wide	<u>Dwelling Structures 1460</u>		HA Wide	<u>Non-Dwelling Structures 1470</u>	
	HA Wide	Handicapped access improvements	35,000		Construct maintenance shed (50% of cost)	57,677
	HA Wide	<u>Non-Dwelling Equipment 1475</u>		HA Wide	<u>Non Dwelling Equipment 1475</u>	
		Upgrade computer hardware	3,000		Upgrade computer hardware	2,000
		<b>Total HA Wide</b>	<b>94,890</b>		<b>Total HA Wide</b>	<b>117,567</b>
	NY019-1 Eastern Gardens	<u>Dwelling Structures 1460</u>		NY019-1 Eastern Gardens	<u>Dwelling Structures (1460)</u>	
		Replace hot water tanks	20,000		Replace kitchen cabinets and counter tops	30,000

## **8. Capital Fund Program Five-Year Action Plan**

		Replace roofs (8 buildings)	61,677	<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
		<b>Total NY019-1</b>	<b>81,677</b>		Replace ranges & refrigerators (10 @ \$300)	3,000
					<b>Total NY019-1</b>	<b>33,000</b>
				<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures</u> 1460</b>	
	<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures</u> 1460</b>			Replace kitchen cabinets and counter tops	30,000
		Replace hot water tanks	20,000	<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
		Replace floors at J & K buildings (5 @ 2,000)	10,000		Replace ranges and refrigerators (10 @ \$300)	3,000
		<b>Total NY019-2</b>	<b>30,000</b>		<b>Total NY019-2</b>	<b>33,000</b>
				<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Structures</u></b>	
					Replace kitchen cabinets and counter tops	30,000
	<b>NY019-3 Mid Town Apts</b>	<b><u>Site Improvements</u> 1450</b>		<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment</u> 1465.1</b>	
		Resurface and stripe parking lot	5,000		Replace ranges & refrigerators (10 @ \$300)	3,000
		<b><u>Dwelling Structures</u> (1460)</b>			<b>Total NY019-3</b>	<b>33,000</b>
		Replace hot water tanks	5,000			
		<b>Total NY019-3</b>	<b>10,000</b>			
<b>Total CFP Estimated Cost</b>			<b>216,567</b>			<b>\$216,567</b>

## 8. Capital Fund Program Five-Year Action Plan

<b>Capital Fund Program Five-Year Action Plan</b> <b>Part II: Supporting Pages—Work Activities</b>					
Activities for Year: <u>4</u> FFY Grant: 2010 PHA FY: 07/2010			Activities for Year: <u>5</u> FFY Grant: 2011 PHA FY: 07/2011		
<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>	<b>Development Name/Number</b>	<b>Major Work Categories</b>	<b>Estimated Cost</b>
<b>HA Wide</b>	<b><u>Operations (1406)</u></b>		<b>HA Wide</b>	<b><u>Operations (1406)</u></b>	
	P H Operations	21,656		P H Operations	21,656
<b>HA Wide</b>	<b><u>Mgt Improvements (1408)</u></b>		<b>HA Wide</b>	<b><u>Mgt Improvements (1408)</u></b>	
	Computer software upgrades	2,000		Computer software upgrades	2,000
<b>HA Wide</b>	<b><u>Administration (1410)</u></b>		<b>HA Wide</b>	<b><u>Administration (1410)</u></b>	
	Salaries/benefits for administration of CFP	21,656		Salaries/benefits for administration of CFP	21,656
<b>HA Wide</b>	<b><u>Fees and Costs (1430)</u></b>		<b>HA Wide</b>	<b><u>Fees and Costs (1430)</u></b>	
	A&E Fees; reimbursable costs	23,097		A&E Fees; reimbursable costs	11,578
<b>HA Wide</b>	<b><u>Non Dwelling Equipment 1475</u></b>		<b>HA Wide</b>	<b><u>Non-Dwelling Equipment 1475</u></b>	
	Upgrade computer hardware	2,000		Truck	10,400
	<b>Total HA Wide</b>	<b>70,409</b>		Upgrade computer hardware	2,000
<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Equipment 1465.1</u></b>			<b>Total HA Wide</b>	<b>69,290</b>
	Replace ranges and refrigerators (10 @ \$300)	3,000	<b>NY019-1 Eastern Gardens</b>	<b><u>Site Improvements 1450</u></b>	
	<b>Total NY019-1</b>	<b>3,000</b>		Landscaping And sidewalks	3,000

## **8. Capital Fund Program Five-Year Action Plan**

<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures 1460</u></b>		<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Structures 1460</u></b>	
	Upgrade electrical panels	58,481		Tub surrounds (5 units)	1,500
	Roofs	70,000		Flooring (5 units)	3,000
<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment 1465.1</u></b>			Kitchen cabinets and counter tops (5 units)	3,000
	Replace ranges and refrigerators (10 @ \$300)	3,000	<b>NY019-1 Eastern Gardens</b>	<b><u>Dwelling Equipment 1465.1</u></b>	
	<b>Total NY019-2</b>	<b>131,481</b>		Replace ranges & refrigerators: 10 @ \$300	3,000
<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment 1465.1</u></b>			<b>Total NY019-1</b>	<b>13,500</b>
	Replace ranges and refrigerators (10 @ \$300)	3,000			
<b>NY019-3 Mid Town Apts</b>	<b><u>Non-Dwelling Equipment 1475</u></b>		<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Structures (1460)</u></b>	
	Install security cameras	8,677		Tub surrounds (5 units)	1,500
	<b>Total NY019-3</b>	<b>11,677</b>		Flooring (5 units)	3,000
				Kitchen cabinets and counter tops (5 units)	3,000
			<b>NY019-2 Creekside Courts</b>	<b><u>Dwelling Equipment 1465.1</u></b>	
				Replace ranges & refrigerators: 10 @ \$300	3,000
				<b>Total NY019-2</b>	<b>10,500</b>
			<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment 1460</u></b>	
				Tub surrounds (5 units)	1,500

**8. Capital Fund Program Five-Year Action Plan**

				Building heat conversion (75 units)	113,277
				Flooring (5 units)	4,000
				Kitchen cabinets and counter tops (5 units)	1,500
			<b>NY019-3 Mid Town Apts</b>	<b><u>Dwelling Equipment 1465.1</u></b>	
				Replace ranges & refrigerators: 10 @ \$300	3,000
				<b>Total NY019-3</b>	<b>123,277</b>
<b>Total CFP Estimated Cost</b>		<b>\$216,567</b>			<b>\$216,567</b>

## **Attachment ny019c01**

### **Herkimer Housing Authority**

#### **Agency Plan**

**Fiscal Year 07/01/2008 – 06/30/2009**

### **Violence Against Women Act Report**

**A goal of the Herkimer Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.**

The Herkimer Housing Authority has provided notices in compliance with HUD guidance to each resident and Section 8 participant and has attached proper notice to all applicants advising them of the new law that President Bush signed in January 2006 known as the Violence Against Women Act and Department of Justice Reauthorization Act of 2005.

**The Herkimer Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.**

The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by case basis.

**The Herkimer Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.**

The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by case basis.

**The Herkimer Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.**

The Herkimer Housing Authority does not offer any activities, services or programs either directly or in partnership with other service agencies. Although, the Herkimer Housing Authority will assist any family who reports having domestic violence, dating violence, sexual assault, or stalking by providing the appropriate referrals on a case-by case basis.