

PHA Plans

Streamlined Annual Version

**U.S. Department of Housing and
Urban Development**
Office of Public and Indian
Housing

OMB No. 2577-0226
(exp. 08/31/2009)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

for Fiscal Year: 2008

PHA Name: HOUSING AUTHORITY OF CITY OF RATON

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Housing Authority of City of Raton **PHA Number:** NM008

PHA Fiscal Year Beginning: 07/2008

PHA Programs Administered:

- Public Housing and Section 8** **Section 8 Only** **Public Housing Only**
 Number of public housing units: Number of S8 units: Number of public housing units:
 Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: Housing Authority of City of Raton Phone: 505-445-8021
TDD: Email : ritalflores@qwestoffice.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- PHA’s main administrative office PHA’s development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

- Main administrative office of the PHA
 PHA development management offices
 Main administrative office of the local, county or State government
 Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA PHA development management offices
 Other (list below)

Streamlined Annual PHA Plan
Fiscal Year 2008
[24 CFR Part 903.12(c)]

Table of Contents
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

A.	PHA PLAN COMPONENTS	PAGE
<input type="checkbox"/>	1. Site-Based Waiting List Policies 903.7(b)(2) Policies on Eligibility, Selection, and Admissions	4
<input checked="" type="checkbox"/>	2. Capital Improvement Needs 903.7(g) Statement of Capital Improvements Needed	5
<input type="checkbox"/>	3. Section 8(y) Homeownership 903.7(k)(1)(i) Statement of Homeownership Programs	6
<input type="checkbox"/>	4. Project-Based Voucher Programs	7
<input type="checkbox"/>	5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has 8changed any policies, programs, or plan components from its last Annual Plan.	
<input checked="" type="checkbox"/>	6. Supporting Documents Available for Review	9
<input checked="" type="checkbox"/>	7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report	11
<input checked="" type="checkbox"/>	8. Capital Fund Program 5-Year Action Plan	26
B.	SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE	

Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, Certification for a Drug-Free Workplace;

Form HUD-50071, Certification of Payments to Influence Federal Transactions; and

Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies) N/A

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:

4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)] N/A

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: Colfax County

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
N/A	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
N/A	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Sufficiency
N/A	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures. <input type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
N/A	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
N/A	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input checked="" type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
N/A	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
N/A	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of City of Raton			Grant Type and Number Capital Fund Program Grant No: NM02P00850104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	31,000.00	31,000.00	31,000.00	31,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	146,304.06	146,304.06	146,304.06	146,304.06
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	120,742.36	120,742.36	120,742.36	120,742.36
13	1475 Nondwelling Equipment	15,176.58	15,176.58	15,176.58	14,974.54
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	313,223.00	313,223.00	313,223.00	313,020.96
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program Grant No: NM02P00850104 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Fees & Costs	1430		31,000.00	31,000.00	31,000.00	31,000.00	100%
	For Work as Follows	1460		146,304.06	146,304.06	146,304.06	146,304.06	100%
HA-Wide	Replace Toilets & Flanges All Sites – 149 Units							
NM008003	Sound Barrier Interior Walls – 18 Bldgs. Site W							
	Build FSS Resident Council Office	1470		120,742.36	120,742.36	120,742.36	120,742.36	100%
HA-Wide	Purchase Snow Removal Equip. Lawn Equip. &Tools	1475		15,176.58	15,176.58	15,176.58	14,974.54	99%

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program No: NM02P00850104 Replacement Housing Factor No:					Federal FY of Grant: 2004
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NM008001	12/31/06			12/31/07			
NM008002	12/31/06			12/31/07			
NM008003	12/31/06			12/31/07			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of City of Raton	Grant Type and Number Capital Fund Program Grant No: NM02P00850105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	23,000.00	23,000.00	23,000.00	23,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	4,800.00	4,800.00	4,800.00	3,049.62
10	1460 Dwelling Structures	250,608.00	250,608.00	250,608.00	250,608.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	278,408.00	278,408.00	278,408.00	276,657.62
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program Grant No: NM02P00850105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Fees & Costs	1430		23,000.00	23,000.00	23,000.00	23,000.00	100%
NM008002	Seal Coat Parking – Site G	1450		4,800.00	4,800.00	4,800.00	3,049.62	90%
	For Work as Follows	1460		250,608.00	250,608.00	250,608.00	250,608.00	100%
NM008-001-002-003	Install Flooring 33 Units							
NM008-001-002-003	Install Grab Bars							
NM008-001-002-002	Install Dryer Plugs							
NM008003	Replace Windows							

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program No: NM02P00850105 Replacement Housing Factor No:					Federal FY of Grant: 2005
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NM008001	12/31/07			12/31/08			
NM008002	12/31/07			12/31/08			
NM008003	12/31/07			12/31/08			

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of City of Raton			Grant Type and Number Capital Fund Program Grant No: NM02P00850106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/07 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit	3,000.00	3,000.00	3,000.00	3,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	7,000.00	7,000.00	7,000.00	4,841.45
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	251,973.00	251,973.00	230,758.00	210,179.08
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	10,000.00	10,000.00	10,000.00	.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	271,973.00	271,973.00	250,758.00	218,020.53
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program Grant No: NM02P00850106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Audit	1411		3,000.00	3,000.00	3,000.00	3,000.00	100%
HA-Wide	Fees & Costs	1430		7,000.00	7,000.00	7,000.00	4,841.45	70%
	For Work as Follows	1460		251,973.00	251,973.00	230,758.00	210,179.08	70%
NM008001	Replace Windows – Site B							
NM008002	Build Laundry Bldg. – Site G							
HA-Wide	Hose Bibs							
HA-Wide	Purchase Snow Removal Equip., Lawn Equip. & Tools	1475		10,000.00	10,000.00	10,000.00	.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program No: NM02P00850106 Replacement Housing Factor No:					Federal FY of Grant: 2006
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NM0080014	12/31/08			12/31/09			
NM008002	12/31/08			12/31/09			
NM008003	12/31/08			12/31/09			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of City of Raton	Grant Type and Number Capital Fund Program Grant No: NM02P00850107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit	3,000.00	3,000.00	3,000.00	3,000.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000.00	25,000.00	.00	.00
8	1440 Site Acquisition				
9	1450 Site Improvement	15,000.00	30,000.00	.00	.00
10	1460 Dwelling Structures	179,085.00	167,915.00	.00	.00
11	1465.1 Dwelling Equipment—Nonexpendable	18,680.00	18,680.00	.00	.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	9,993.00	10,226.00	.00	.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	250,758.00	254,821.00	3,000.00	3,000.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26					

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program Grant No: NM02P00850107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Audit	1411		3,000.00	3,000.00	3,000.00	3,000.00	100%
HA-Wide	Fees & Costs	1430		25,000.00	25,000.00	.00	.00	
HA-Wide	Sidewalk Removal & Replacemet	1450		15,000.00	30,000.00	.00	.00	
	For Work as Follows	1460		179,085.00	167,915.00	.00	.00	
HA-Wide	Outside Receptacles All Units							
NM008002	Replace Floor Tile One Bedroom Units							
NM008001	Replace Windows Site C – 9 Units							
NM008002	Build Laundry Bldg. Site A2							
NM008002	Washer/Dryer For Laundry							
HA-Wide	15 Energy Star Refrigerators	1465.1		18,680.00	18,680.00	.00	.00	
Ide	Tools & Equip.	1475		9,993.00	10,226.00	.00	.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program No: NM02P00850107 Replacement Housing Factor No:					Federal FY of Grant: 02007
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NM008001	12/31/09			12/31/10			
NM008002	12/31/09			12/31/10			
NM008003	12/31/09			12/31/10			

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of City of Raton	Grant Type and Number Capital Fund Program Grant No: NM02P00850108 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit	3,000.00	.00	.00	.00
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,000.00	.00	.00	.00
8	1440 Site Acquisition				
9	1450 Site Improvement	15,000.00	.00	.00	.00
10	1460 Dwelling Structures	165,140.00	.00	.00	.00
11	1465.1 Dwelling Equipment—Nonexpendable	36,750.00	.00	.00	.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	9,931.00	.00	.00	.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	254,821.00	.00	.00	.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26					

**Annual Statement/Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program Grant No: NM02P00850108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Audit	1411		3,000.00	.00	.00	.00	
HA-Wide	Fees & Costs	1430		25,000.00	.00	.00	.00	
HA-Wide	Playground Equip.	1450		15,000.00	.00	.00	.00	
	For Work as Follows	1460		165,140.00	.00	.00	.00	
NM008003	Replace Windows Site W							
NM008002	Replace Floor Tile One Bedroom Units							
HA-Wide	Replace Water Heaters & Supply & Gas Flex Lines							
HA-Wide	50 Gas Ranges & 50 Electric Ranges	1465.1		36,750.00	.00	.00	.00	
HA-Wide	Tools & Equip.	1474		9,931.00	.00	.00	.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of City of Raton		Grant Type and Number Capital Fund Program No: NM02P00850108 Replacement Housing Factor No:					Federal FY of Grant: 2008
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NM008001	12/31/10			12/31/11			
NM008002	12/31/10			12/31/11			
NM008003	12/31/10			12/31/11			

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name Housing Authority of City of Raton		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
<i>NM008003</i>	Annual Statement	68,805.00	48,015.00		
NM008001		104,880.00	30,000.00		
HA-Wide		7,000.00	42,500.00	145,000.00	265,000.00
NM008002		2,250.00	22,500.00		
CFP Funds Listed for 5-year planning		182,935.00	143,015.00	145,000.00	265,000.00
Replacement Housing Factor Funds					

Required Attachment F: Comments of Resident Advisory Board and Explanation of PHA Response:

We had one resident attend the Resident Advisory Board Meeting on March 11, 2008. We reviewed the Annual Plan starting with 2004 Capital Funding which has all been expended, and used for replacing toilets and flanges in all units, installing sound barriers on interior walls in 18 units, using funding to build the day care center which is complete and now being used by Headstart. Reviewed the work that has been completed with 2005 and 2006 Capital Funds, which was to seal coat the parking lot in the Grant Area, Install flooring, install grab bars, install dryer plugs, replace windows, build a laundry facility in the Grant Area, and to replace hose bibs. Then went over what is planned for 2007 Capital Funds, which is for sidewalk removal and replacement, outside receptacles on all units, replace windows, build a small laundry facility in our South Third Site, purchase washer/dryer for laundry building and purchase some new refrigerators. Also, went over our plans for future Capital Funds for 2008, 2009, 2010, 2011 and 2012. Asked our resident for comments and suggestions, and first of all he said that he is very happy with the work that we have done to the units, he explained that is on a fixed income and it is so important to keep his utility costs down, and feels we are doing a good job as far as making the units more energy efficient. He did suggest that we look at installing new exterior doors as he feels the doors in place now are a little drafty. He also, suggested installing garbage disposals, and new kitchen cabinets. We felt that his suggestions were very good and will certainly look into them, and possibly include them in our future plans.

HOUSING AUTHORITY OF THE CITY OF RATON
P.O. BOX 297
RATON, NEW MEXICO 87740
MARCH 18, 2008

AMENDED PLAN OF ACTION
HOUSING LAW ENFORCEMENT OFFICERS

Units to Place Officers and Rent to be charged:

NM008-003 – Site R Unit #108 – 108 Adams – 2 Bedroom – Rent \$137.50

Waiver Period – 7/1/2008 – 6/30/2011

NM008-003 – Site W Unit #142 – 120 Nick Cimino – 3 Bedroom – Rent \$167.00

Waiver Period – 7/1/2008 – 6/30/2011

Utilities and Maintenance of the Unit:

The Housing Authority will provide utilities (lights, water and gas) for an amount not to exceed the HA's adopted utility allowances. Housing Authority Maintenance Personnel will provide maintenance of the Units.

The above provisions are included due to the difficulty of attracting Police Officers to reside in the Units. Housing two (2) Law Enforcement Officers at the two sites identified will not result in a significant reduction of units available for eligible families and will not result in a significant loss of income to the HA.

Existing Physical and Social Conditions of Development:

Physical: Existing Physical Conditions at both sites are good with on-going improvements constantly underway which are funded by the Capital Fund Program.

Social: Social conditions have really improved by having Law Enforcement Officers living at 108 Adams and 120 Nick Cimino. Our police reports indicate a real decrease in police calls to these areas, and the families who reside there do feel safer and more secure by the presence of these officers. In reviewing incident reports there has been a decrease in all of the following:

- 1) Domestic Violence
- 2) Security Issues
- 3) Un-supervised Children
- 4) Under-Age children involving alcohol consumption
- 5) Disturbance of Peace
- 6) Criminal Damage to property
- 7) Illegal Drug Use

Plan of Action to Address Identified Issues:

Visibility of Law Enforcement Officers alone serve as a great deterrent to criminal activity and increase security. Officers are readily available for immediate response to activity occurring in their respective area. Officers will be asked to train residents on ways to improve security in their home and create awareness of illegal drug use, sales, and telephone solicitation. Officers will issue citations for unlicensed vehicles and vehicles parked in illegal parking spaces. Officers will be asked to refer, when necessary to the following Agencies:

- 1) Children Youth and Family Department
- 2) Alternatives to Violence
- 3) Alcoholics Anonymous (Adults) and Service for Youth Organizations (SOY) for Youth

This Plan of Action is intended to be directed toward and for the benefit of the Residents of the Housing Authority. The Housing Authority would support efforts of the Officers to establish a Neighborhood Watch and encourages participation in the School DARE Programs.

Lease agreement:

A copy of the Lease Agreement between the HA and Law Enforcement Officers is attached to this "Plan of Action". The Officers right of occupancy is dependent on continued employment with his/her respective Law Enforcement Organization. Should the Officer terminate employment with the respective Law Enforcement Organization, the lease shall provide that the Officer move out of the unit within 30 days of Notice of Termination of Employment.

Rent to be paid is listed in this Plan and a security deposit will be held by the Housing Authority toward reimbursement of the cost of cleaning and repairing any damages beyond normal wear and tear to the unit and/or premises caused by the family or guests. All other dwelling rental agreement provisions shall be the same as stated in a Residents Dwelling Lease and Officers and families agree to comply with Rules and Regulations of the Housing Authority.

Attachment H: Notice to Residents on serving on the Board

CERTIFICATION ON NOTICE TO RESIDENT ADVISORY BOARD
AND ALL RESIDENTS OF THE RATON HOUSING AUTHORITY
IN REGARD TO RESIDENT SERVING ON
BOARD OF COMMISSIONERS

This is to certify that the Housing Authority has complied with Federal Register/Vol.64, No. 203/Thursday, October 21, 1999, and the Final Rule in regard to “Small PHA”:

Specifically the PHA must (a) have less than 300 public housing units; (b) provide reasonable notice to the Resident Advisory Board of the opportunity for residents to serve on the governing board; (c) not be notified of the intention of any resident to participate on the governing board within a reasonable time; (d) repeat notification to the Resident Advisory Board at least once every year.

CERTIFICATION:

- (a) The Housing Authority has 156 units; (b) on January 1, 2008 did repeat notification to the Resident’s and Resident Advisory Board, and gave them until February 1, 2008, to express interest on serving on the Board; (c) The Housing Authority was not notified of the intention of any resident willing to participate on the governing board; (d) The Housing Authority will repeat the notification to the Resident’s and Resident Advisory Board again on January 1, 2009.

Certified to this on the 15^h day of February 2008

Rita Flores, Executive Director