PHA Plans

Streamlined Annual Version

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan for Fiscal Year: 2008 PHA Name: Sanford Housing Authority (Maine)

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan
Agency Identification

PHA Name: Sanford Housing Authority **PHA Number:** ME011

PHA Fiscal Year Beginning: (mm/yyyy) 04/2008

PHA Programs Administered:

☒ **Public Housing and Section 8**
Number of public housing units: 123
Number of S8 units: 487

☐ **Section 8 Only**
Number of S8 units:

☐ **Public Housing Only**
Number of public housing units:

☐ PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: William G. Keefer
Phone: 207-324-6747
TDD: 800-545-1833 x514
Email (if available): bkeefer@sanfordhousing.org

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

☒ PHA's main administrative office ☐ PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. ☒ Yes ☐ No.
If yes, select all that apply:

☒ Main administrative office of the PHA
☐ PHA development management offices
☐ Main administrative office of the local, county or State government
☐ Public library ☐ PHA website ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

☒ Main business office of the PHA ☐ PHA development management offices
☐ Other (list below)

Streamlined Annual PHA Plan
Fiscal Year 2008
[24 CFR Part 903.12(c)]

Table of Contents
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

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B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*: *Board Resolution to Accompany the Streamlined Annual Plan* identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, *Certification for a Drug-Free Workplace;*
Form HUD-50071, *Certification of Payments to Influence Federal Transactions*; and
Form SF-LLL &SF-LLLa, *Disclosure of Lobbying Activities*.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	**Date Initiated**	**Initial mix of Racial, Ethnic or Disability Demographics**	**Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL**	**Percent change between initial and current mix of Racial, Ethnic, or Disability demographics**
Sunset Towers ME36-011/002 941 Main Street	5/31/72	100% Caucasian; Disability records not kept at that time	100% Caucasian 89% Disabled	0%
East Side Acres ME36-011/001 Emery, Bates & Bowdoin Streets	1/31/71	100% Caucasian; Disability records not kept at that time	100% Caucasian, 13% Disabled	0%

2. What is the number of site based waiting list developments to which families may apply at one time? 1

3. How many unit offers may an applicant turn down before being removed from the site-based waiting list? 2

4. ☐ Yes ☒ No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year? 2

2. ☐ Yes ☒ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
   If yes, how many lists?
3. ☐ Yes ☒ No: May families be on more than one list simultaneously
   If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
   ☒ PHA main administrative office
   ☐ All PHA development management offices
   ☐ Management offices at developments with site-based waiting lists
   ☐ At the development to which they would like to apply
   ☒ Other (list below)

   Town Hall, Local Maine Department of Human Services offices

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. ☒ Yes ☐ No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.

2. ☐ Yes ☒ No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. ☐ Yes ☒ No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).

2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status
a. Development Name: b. Development Number:
c. Status of Grant: ☐ Revitalization Plan under development ☐ Revitalization Plan submitted, pending approval ☐ Revitalization Plan approved ☐ Activities pursuant to an approved Revitalization Plan underway

3. ☐ Yes ☒ No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:

4. ☐ Yes ☒ No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5. ☐ Yes ☒ No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program

(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. ☒ Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program
☒ Yes ☐ No: Will the PHA limit the number of families participating in the Section 8 homeownership option? 5

If the answer to the question above was yes, what is the maximum number of participants this fiscal year?

b. PHA-established eligibility criteria

☐ Yes ☒ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

c. What actions will the PHA undertake to implement the program this year (list)?

- Continue to partner with York County Community Action to provide homeownership education program.

- Market the program to the approximately forty Section 8 tenants that have been prescreened for financial eligibility.

- Hold orientation sessions for interested Section 8 tenants.

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

☐ Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.

☒ Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

☒ Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below): *York County Community Action – 7 years experience*

☐ Demonstrating that it has other relevant experience (list experience below):

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

☒ Yes ☐ No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1. ☒ Yes ☐ No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:

☐ low utilization rate for vouchers due to lack of suitable rental units
☐ access to neighborhoods outside of high poverty areas
☒ other (describe below:)
To support the development of new affordable housing units.

2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):
13 units at Mayflower Drive, Sanford, Maine

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: State of Maine

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

☒ The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

The Sanford Housing Authority will apply for any Section 8 housing allocation available.

☒ Other: (list below)

Work with the Town of Sanford in the development of a comprehensive housing strategy.

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The Consolidated Plan supports the Sanford Housing Authority Agency Plan by emphasizing:
- High priority for working poor and families
- High priority for the development of affordable housing for moderate and low income persons living and working in our jurisdiction.

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Related Plan Component**
NA	*PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans*;	5 Year and Annual Plans
X	*PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan*	Streamlined Annual Plans
NA	*Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.*	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA's public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
NA	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. ☒ Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. ☒ Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. ☒ Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. ☒ Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Related Plan Component**
		Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
X	Any policies governing any Section 8 special housing types ☒ Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures ☒ Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. ☒ Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
NA	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
NA	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
NA	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
NA	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
NA	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program (Section _20_of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs ☒ Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
NA	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
NA	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
NA	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
NA	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). ☒ Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
NA	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
NA	Consortium agreement(s) and for Consortium Joint PHA Plans Only: Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-08 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008

☒ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	$35,787			
3	1408 Management Improvements	$35,787			
4	1410 Administration	$17,894			
5	1411 Audit	$4,000			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$1,500			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	$43,500			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	$38,469			
13	1475 Nondwelling Equipment	$37,533			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$178,034			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-08 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	14 06		$35,787				
	Management improvements	14 08		$35,787				
	Computer upgrade	14 75		$2,000				
	Administration	14 10		$17,894				
	Audit	14 11		$4,000				
	Fees & costs	14 30		$1,500				
East Side Acres ME36-011/001	Replace roofs at 124 / 128 Emery Street & 22 / 25 Bates Street	14 60		$43,500				
Sunset Towers ME36-011/002	Build new maintenance garage	14 70		$37,533				
	*The cost of this work item will be split between the 2008 & 2009 CFP grants. Building a new maintenance garage will enable the SHA to create additional parking for the residents.							
	TOTAL			$178,034				

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-08 Replacement Housing Factor No:	**Federal FY of Grant:** 2008

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9-1-2010			9-1-2012			
East Side Acres ME36-011/001	9-1-2010			9-1-2012			
Sunset Towers ME36-011/002	9-1-2010			9-1-2012			

9. Capital Fund Program Annual Statements

Capital Fund Program Five-Year Action Plan **Part I: Summary**					
PHA Name Sanford Housing Authority				☒**Original 5-Year Plan** ☐**Revision No:**	
Development Number/Name/ HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
	Annual Statement				
PHA-Wide		$178,937	$178,937	$178,937	$178,937
CFP Funds Listed for 5-year planning		$178,937	$178,937	$178,937	$178,937
Replacement Housing Factor Funds					

9. Capital Fund Program Annual Statements

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities						
Activities for Year 1	Activities for Year: 2 FFY Grant: 2009 PHA FY: 2009			Activities for Year: 3 FFY Grant: 2010 PHA FY: 2010		
	Development Name/Number	**Major Work Categories**	**Estimated Cost**	**Development Name/Number**	**Major Work Categories**	**Estimated Cost**
See						
Annual	PHA-Wide	Operations	$36,000	PHA-Wide	Operations	$36,000
Statement	PHA-Wide	Management Improvements	$36,000	PHA-Wide	Management Improvements	$36,000
	PHA-Wide	Administration	$18,000	PHA-Wide	Administration	$18,000
	PHA-Wide	Audit	$4,000	PHA-Wide	Audit	$4,000
	PHA-Wide	Fees & Costs	$1,500	PHA-Wide	Fees & Costs	$1,500
	PHA-Wide	Computer Upgrades	$2,000	PHA-Wide	Computer Upgrades	$2,000
	Sunset Towers ME36-011/002	Build new maintenance garage	$19,000	Sunset Towers ME36-011/002	Brick Seal Coating	$25,000
	East Side Acres ME36-011/001	Install Attic Insulation	$40,000	PHA-Wide	Step / Sidewalk Replacement	$15,000
	PHA-Wide	Step / Sidewalk Replacement	$15,000	East Side Acres ME36-011/001	Window Replacement	$50,000
	Sunset Towers ME36-011/002	Generator Upgrade	$10,000	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	$16,000
	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	$16,000			
	Sunset Towers ME36-011/002	Domestic Hot Water Upgrade	$15,000			
Total CFP Estimated Cost			$212,500			$203,500

9. Capital Fund Program Annual Statements

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities					
Activities for Year : 4 FFY Grant: 2011 PHA FY: 2011			Activities for Year: 5 FFY Grant: 2012 PHA FY: 2012		
Development Name/Number	**Major Work Categories**	**Estimated Cost**	**Development Name/Number**	**Major Work Categories**	**Estimated Cost**
PHA-Wide	Operations	$36,000	PHA-Wide	Operations	$36,000
PHA-Wide	Management Improvements	$36,000	PHA-Wide	Management Improvements	$36,000
PHA-Wide	Administration	$18,000	PHA-Wide	Administration	$18,000
PHA-Wide	Audit	$4,000	PHA-Wide	Audit	$4,000
PHA-Wide	Fees & Costs	$1,500	PHA-Wide	Fees & Costs	$1,500
PHA-Wide	Computer Upgrades	$2,000	PHA-Wide	Computer Upgrades	$2,000
East Side Acres ME36-011/001	Smoke Detector Upgrade	$20,000	East Side Acres ME36-011/001	Smoke Detector Upgrade	$20,000
East Side Acres ME36-011/001	Window Replacement	$50,000	East Side Acres ME36-011/001	Window Replacement	$50,000
PHA-Wide	New Toilets	$25,000	East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	$16,000
East Side Acres ME36-011/001	Apt. Ceiling, Doors & Kitchen Rehab	$16,000	Sunset Towers ME36-011/002	Fire Alarm Upgrades	$15,000
Total CFP Estimated Cost		$208,500			$198,500

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-07 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 9/30/2007 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	$43,384		$43,384	$43,384
3	1408 Management Improvements	$35,384		$2,949	$2,949
4	1410 Administration	$17,692			
5	1411 Audit	$2,800			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$1,479	$1,500		
8	1440 Site Acquisition				
9	1450 Site Improvement	$15,384	$15,354	$3,500	$3,500
10	1460 Dwelling Structures	$4,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$58,814	$58,823	$2,473.46	$2,473.46
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$178,937	$178,937	$52,306.46	$52,306.46
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-07 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	14 06		$43,384		$43,384	$43,384	Complete
	Management improvements	14 08		$35,384		$2,949	$2,949	In Progress
	Computer upgrade	14 75		$5,000		$2,473.46	$2,473.46	In Progress
	Administration	14 10		$17,692				
	Audit	14 11		$2,800				
	Fees & costs	14 30		$1,479	$1,500			
East Side Acres ME36-011/001	Replace furnace (with 2006 funds, total = $61,008)	14 75		$36,310				
	Overlay or repave Emery Street parking lot	14 50		$11,854				
Sunset Towers ME36-011/002	Rehab elevator interiors	14 60		$4,000				
	Crack seal parking lot	14 50		$3,500		$3,500	$3,500	Complete
	Automatic entry doors	14 75		$6,000				
	Intercom system	14 75		$9,513				
	DVR for security cameras	14 75		$2,000				
	TOTAL			$178,937		$52,306.46	$52,306.46	

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-07 Replacement Housing Factor No:	**Federal FY of Grant:** 2007

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9-12-2009			9-12-2011			
East Side Acres ME36-011/001	9-12-2009			9-12-2011			
Sunset Towers ME36-011/002	9-12-2009			9-12-2011			

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-06 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 9/30/2007 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	$35,384.80	$35,384.80	$35,384.80	$35,384.80
3	1408 Management Improvements	$35,384.80	$35,384.80	$35,384.80	$35,384.80
4	1410 Administration	$17,692.40	$17,692.40	$17,692.40	$17,692.40
5	1411 Audit	$2,800	$3,698	$3,698	$3,698
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$8,568	$9,853.02	$9,853.02	$9,853.02
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	$32,396	$29,525.98	$12,129.18	
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$44,698	$45,385	$45,385	$11,917.83
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$176,924	$176,924	$159,527.20	$113,930.85
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-06 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		$35,384.80	$35,384.80	$35,384.80	$35,384.80	Complete
	Management improvements	1408		$35,384.80	$35,384.80	$35,384.80	$35,384.80	Complete
	Administration	1410		$17,692.40	$17,692.40	$17,692.40	$17,692.40	Complete
	Audit	1411		$2,800	$3,698	$3,698	$3,698	Complete
	Fees & Costs	1430		$8,568	$9,853.02	$9,853.02	$9,853.02	Complete
	Computer Equipment Upgrades	1475		$10,000	$10,687	$10,687	$10,687	Complete
	Contingency	1502		$0	$0	$0	$0	Omitted
East Side Acres ME36-011/001	Replace furnace (with 2007 funds, total = $61,008)	1475		$24,698	$24,698	$24,698	$20.81	On Schedule
Sunset Towers ME36-011/002	Re-point exterior brick	1460		$15,000	$15,000			On Schedule
	Lighting upgrades	1460	74 units	$17,396	$14,525.98	$12,129.18		On Schedule
	Domestic hot water boiler	1475		$10,000	$10,000	$10,000	$1,210.02	On Schedule

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-06 Replacement Housing Factor No:	**Federal FY of Grant:** 2006

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	7-17-2008			7-17-2010			
East Side Acres ME36-011/001	7-17-2008			7-17-2010			
Sunset Towers ME36-011/002	7-17-2008			7-17-2010			

* The $6,176 drawn from the CFP for BLI 1502 (Contingency) was spent as follows:
1411 - $898.00 (audit fees)
1430 - $1,832.00 (attorney fees)
1430 - $2,550.00 (accounting fees)
1430 - $150.00 (Nat'l Center for Housing)
1430 - $59.94 (other fees)
1475 - $687.00 (printer)

These expenses have been reallocated across the appropriate BLIs in this Performance and Evaluation Report to correct this issue.

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-502-06 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending: ☒ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$5,227		$5,227	$5,227
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$5,227		$5,227	$5,227
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-06 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Computer / Network Upgrades	1475		$5,227		$5,227	$5,227	Complete

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-06 Replacement Housing Factor No:	**Federal FY of Grant:** 2006

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	5-3-2009		5-31-2007	5-3-2011		5-31-2007	

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-05 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005

☐ **Original Annual Statement** ☐ **Reserve for Disasters/ Emergencies** ☒ **Revised Annual Statement (revision no:** 2)
☒ **Performance and Evaluation Report for Period Ending: 9/30/2007** ☐ **Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	$41,827	$41,827	$41,827	$41,827
3	1408 Management Improvements	$41,827	$41,827	$41,827	$41,827
4	1410 Administration	$20,913	$20,913	$20,913	$20,913
5	1411 Audit	$2,793	$2,793	$2,793	$2,793
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$100	$2,100	$2,100	$100
8	1440 Site Acquisition				
9	1450 Site Improvement	$1,008	$3,008	$1,008	$1,008
10	1460 Dwelling Structures	$53,316	$51,316	$51,316	$6,085.71
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$30,000	$30,000	$30,000	$30,000
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$191,784	$191,784	$191,784	$144,553.71
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-05 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		$41,827	$41,827	$41,827	$41,827	Complete
	Administrative Sal & Benefits	1408		$33,000	$33,000	$33,000	$33,000	Complete
	Software contract	1408		$6,327	$6,327	$6,327	$6,327	Complete
	Staff Training	1408		$2,500	$2,500	$2,500	$2,500	Complete
	Administration	1410		$20,913	$20,913	$20,913	$20,913	Complete
	Audit	1411		$2,793	$2,793	$2,793	$2,793	Complete
	Fees/Costs	1430		$100	$2,100	$2,100	$100	Complete
	New pick-up to replace 1994 vehicle	1475	1	$30,000	$30,000	$30,000	$30,000	Complete
East Side Acres	Replace sidewalk at Bowdoin & Emery Streets	1450		$1,008	$1,008	$1,008	$1,008	Complete
ME36-011/002	Replace Parking Lot at Emery Street	1450		$2,000	$0	$0	$0	Omitted
Sunset Towers	Canvas canopy on rear doors	1460	2	$3,492	$3,492	$3,492	$2,450	On Schedule
ME36-011/002	Re-wallpaper lower floor (common hallways)	1460		$6,000	$9,000	$9,000	$0	On Schedule
	Rehab elevator interiors	1460	2	$0	$5,000	$5,000	$3,150	On Schedule

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-05 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Replace light fixtures all hallways	1460	~96	$15,324	$20,324	$20,324	$0	On Schedule
	Paint interior walls (halls and stairways)	1460	8 floors	$13,500	$13,500	$13,500	$485.71	On Schedule
	Upgrade Entry Doors for ADA	1460	2	$15,000	$0	$0	$0	Omitted

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-05 Replacement Housing Factor No:	**Federal FY of Grant:** 2005

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	8/17/2007			8/17/2009			
East Side Acres	8/17/2007			8/17/2009			
ME36-011/002							
Sunset Towers	8/17/2007			8/17/2009			
ME36-011/002							

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Sanford Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P011-501-04 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004

☐ Original Annual Statement ☐ Reserve for Disasters/ Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 9/30/2007 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	$41,827	$41,832	$41,832	$41,832
3	1408 Management Improvements	$41,827	$41,827	$41,827	$41,827
4	1410 Administration	$20,913	$20,913	$20,913	$20,913
5	1411 Audit	$2,793	$2,793	$2,793	$2,793
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$500	$500	$500	$500
8	1440 Site Acquisition				
9	1450 Site Improvement	$16,000	$15,995	$15,995	$15,995
10	1460 Dwelling Structures	$62,277	$63,091.15	$63,091.15	$40,841.76
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment	$23,000	$22,185.85	$22,185.85	$22,185.85
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$209,137	$209,137	$209,137	$186,887.61
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures	$80,277	$80,277	$80,277	$58,027.61

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: **Sanford Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: ME36P011-501-04 Replacement Housing Factor Grant No:				**Federal FY of Grant:** 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
East Side Acres	Replace furnace in community room	1475		$18,000	$17,185.85	$17,185.85	$17,185.85	Complete
ME36-011-001	Upgrade community room electrical service	1475		$5,000	$5,000	$5,000	$5,000	Complete
	Replace Windows	1460	12 units	$22,000	$0	$0	$0	Omitted
	Roof Repairs	1460		$0	$22,814.15	$22,814.15	$564.76	On schedule
	Replace furnaces	1460	12 units	$22,940	$22,940	$22,940	$22,940	Complete
	Replace water heaters	1460	12 units	$11,337	$11,337	$11,337	$11,337	Complete
	Duct work associated with above	1460	12 units	$6,000	$6,000	$6,000	$6,000	Complete
	Replace playground equipment	1450		$16,000	$15,995	$15,995	$15,995	Complete
PHA Wide	Operations	1406		$41,827	$41,832	$41,832	$41,832	Complete
	Management Improvements	1408		$41,827	$41,827	$41,827	$41,827	Complete
	Administration	1410		$20,913	$20,913	$20,913	$20,913	Complete
	Fees/Costs	1430		$500	$500	$500	$500	Complete
	Audit	1411		$2,793	$2,793	$2,793	$2,793	Complete

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Sanford Housing Authority**	**Grant Type and Number** Capital Fund Program No: ME36P011-501-04 Replacement Housing Factor No:	**Federal FY of Grant:** 2004

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	9-13-2006		6-30-2006	9-13-2008			
East Side Acres ME36-011/001	9-13-2006		6-30-2006	9-13-2008			
Sunset Towers ME36-011/002	NA		NA	NA			

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: The Housing Authority of the Town of Sanford (Maine)	**Grant Type and Number** Capital Fund Program Grant No: ME36P011-502-03 Replacement Housing Factor Grant No:	**Federal FY of Grant: 2003**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/ Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

Line No.	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost**	
		Original	**Revised**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations	$7,198		$7,198	$7,198
3	1408 Management Improvements	$7,198		$7,198	$7,198
4	1410 Administration	$3,599		$3,599	$3,599
5	1411 Audit	$1,000		$1,000	$1,000
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures*	$6,000*		$6,000*	$6,000*
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment*	$10,995*		$10,995*	$10,995*
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$35,990		$35,990	$35,990
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				
		*See Part II			

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: The Housing Authority of the Town of Sanford (Maine)	**Grant Type and Number** Capital Fund Program Grant No: ME36P011-502-03 Replacement Housing Factor Grant No:	**Federal FY of Grant: 2003**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/ Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

Line No.	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost**	
		Original	**Revised**	**Obligated**	**Expended**

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: The Housing Authority of the Town of Sanford (Maine)	**Grant Type and Number** Capital Fund Program Grant No: ME36P011-502-03 Replacement Housing Factor Grant No:	**Federal FY of Grant:** 2003

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Sunset Towers	Apartment Reconfiguration	1460	2 eff to 1 one BR	$6,000*		$6,000*	$6,000*	Completed
ME36-011-002	Trash Compactor-total $27,000 in 501-02 and 501-03	1475	1	$6,000		$0	$0	Completed with other funds
PHA Wide	Operations	1406		$7,198		$7,198	$7,198	Completed
	Management Improvements	1408		$7,198		$7,198	$7,198	Completed
	Administration	1410		$3,599		$3,599	$3,599	Completed
	Audit	1411		$1,000		$1,000	$1,000	Completed

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: The Housing Authority of the Town of Sanford (Maine)	**Grant Type and Number** Capital Fund Program Grant No: ME36P011-502-03 Replacement Housing Factor Grant No:	**Federal FY of Grant: 2003**

☐ **Original Annual Statement** ☐ **Reserve for Disasters/ Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☒ **Final Performance and Evaluation Report**

Line No.	Summary by Development Account			Total Estimated Cost		Total Actual Cost		
				Original	Revised	Obligated	Expended	
	Office Furniture, Software Contract, Etc.**	1475	1	$4,995**		$10,995**	$10,995**	Completed
	* See Attachment A (at end of Plan).							
	** Items such as "Software Contract" will be allocated to BLI 1408 in the future.							

9. Capital Fund Program Annual Statements

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: The Housing Authority of the Town of Sanford (Maine)	**Grant Type and Number** Capital Fund Program No: ME36P011-502-03 Replacement Housing Factor No:	**Federal FY of Grant:** 2003

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	2/12/06		6/30/04	2/12/08		9/30/05	
East Side Acres	2/12/06		6/30/04	2/12/08		9/30/05	
ME36-011/001							
Sunset Towers	2/12/06		6/30/04	2/12/08		9/30/05	
ME36-011/002							

Attachment A – Statement Relating to the Violence Against Women Act

The SHA offers the same activities, services and programs to child and adult victims of domestic violence, dating violence, sexual assault and stalking as it does to all other community members. Referrals to outside agencies are offered by program staff as appropriate. Confidentiality and safety are always priorities in the planning and implementation of policies and programs.

The SHA also has project-based 12 vouchers to Caring Unlimited in support of a transitional housing program. The program is designed to help formally battered woman rebuild their lives, and the services are provided to families who have been involved in a domestic violence situation. A family can stay in the facility for up to 24 months, and upon graduation from the program, the family is eligible to receive a Housing Choice Voucher if one is available.