

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2008

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Newport **PHA Number:** KY015

PHA Fiscal Year Beginning: (mm/yyyy) 04/2008

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
 Number of public housing units: 329 Number of S8 units: Number of public housing units:
 Number of S8 units: 522

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Annual PHA Plan
PHA Fiscal Year 2008
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Housing Authority of Newport (HAN) has prepared this Agency Plan in compliance with Section 511 of the Quality Housing and Work Responsibility Act of 1998, and related HUD Requirements.

The HAN is in the process of completing its HOPE VI replacement housing developments. To date, thirty (30) replacement rental units are complete and on-line. Both the Liberty Housing and Central Housing developments are expected to be completed within the next nine (9) months adding an additional 47 units to the Authority's inventory. The HAN is working on the tax credit closing for its final development, City-Wide housing, consisting of 103 units. We anticipate construction to begin on this final phase before the end of the year.

The Agency continues to provide homeownership opportunities to the low-income residents of Newport, also part of the HOPE VI program. The Community Investment Partnership Program (CIPP) was closed at the end of October 2007. Through this program, The HAN has assisted 65 homebuyers, with incomes at 80% of AMI or below, with existing home purchases, using HOPE VI funds to provide soft-second funding.

In addition, construction is well underway on the second phase of new homes (Liberty Housing Homeownership), consisting of 15 newly constructed three (3) and four (4) bedroom homes. In a partnership with the Campbell County Fiscal Court, the Authority is now administering a \$1 million CDBG Grant to assist low to moderate income homebuyers with the purchase of these homes. The homes are being built as part of the Agency's HOPE VI program. The first homes are due to be completed by February 1, 2008, with four (4) already under contract.

The Section 8 Department has also increased its homeownership participation over the past twelve months, and continues to work closely with the areas homeownership counselors to ensure continued success with this program.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- X Admissions Policy for Deconcentration (Attachment A)
- X FY 2005 Capital Fund Program Annual Statement (Attachment B)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- X List of Resident Advisory Board Members (Attachment C)
- X List of Resident Board Member (Attachment D)
- X Community Service Description of Implementation (Attachment E)
- X Information on Pet Policy (Attachment F)
- X Section 8 Homeownership Capacity Statement, if applicable (Attachment G)
- X Description of Homeownership Programs, if applicable (Attachment H)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2005 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	income mixing analysis	
X	Public housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	3,855	N/A	N/A	N/A	N/A	N/A	N/A
Income >30% but <=50% of AMI	1,940	N/A	N/A	N/A	N/A	N/A	N/A
Income >50% but <80% of AMI	891	N/A	N/A	N/A	N/A	N/A	N/A
Elderly	5,138	N/A	N/A	N/A	N/A	N/A	N/A
Families with Disabilities	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year: 2007
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	578		120
Extremely low income <=30% AMI	529	91	
Very low income (>30% but <=50% AMI)	41	7	
Low income (>50% but <80% AMI)	7		
Families with children	301	52	
Elderly families	25	4	
Families with Disabilities	35	6	
Race/ethnicity African American	222	38	
Race/ethnicity Asian	4		
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			

Housing Needs of Families on the Waiting List			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 1			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing Peter G. Noll			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	231		60
Extremely low income <=30% AMI	218	94	
Very low income (>30% but <=50% AMI)	11	5	
Low income (>50% but <80% AMI)	2		
Families with children	133	57	
Elderly families			
Families with Disabilities	1		
Race/ethnicity African American	115	50	
Race/ethnicity Asian	3		
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size			

Housing Needs of Families on the Waiting List			
(Public Housing Only)			
1BR	75	32	12
2 BR	99	43	22
3 BR	41	18	14
4 BR	16	7	10
5 BR			
5+ BR			
Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? X No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
X Public Housing Grand Towers			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	50		30
Extremely low income <=30% AMI	36	72	
Very low income (>30% but <=50% AMI)	11	22	
Low income (>50% but <80% AMI)	2		
Families with children	0		
Elderly families	31		
Families with Disabilities	4	.08	
Race/ethnicity African American	3		
Race/ethnicity			

Housing Needs of Families on the Waiting List			
Race/ethnicity Asian	3		
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	50	100	30
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? X No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
X Public Housing Liberty Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	356		N/A New Development
Extremely low income <=30% AMI	313	88	
Very low income (>30% but <=50% AMI)	34	9	
Low income (>50% but <80% AMI)	9	2	
Families with children	227	64	

Housing Needs of Families on the Waiting List			
Elderly families	11	3	
Families with Disabilities	21	6	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR	356	100	N/A
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? X No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
X Public Housing Corpus Christi			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	22		3
Extremely low income <=30% AMI	18	82	
Very low income (>30% but <=50% AMI)	3	13	
Low income			

Housing Needs of Families on the Waiting List			
(>50% but <80% AMI)			
Families with children	0		
Elderly families	11	50	
Families with Disabilities	1		
Race/ethnicity African American	5	23	
Race/ethnicity			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	22	100	3
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? X No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? X No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X Employ effective maintenance and management policies to minimize the number of public housing units off-line
- X Reduce turnover time for vacated public housing units
Reduce time to renovate public housing units
- X Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- X Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
Adoptrent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- X Employ admissions preferences aimed at families who are working
- X Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- X Other: (list below)
Improve or expand non-housing services at elderly sites.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- X Other: (list below)
Continue with VASH set-aside Vouchers for Veterans with disabilities.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- X Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- X Influence of the housing market on PHA programs
- X Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2008 grants)		
a) Public Housing Operating Fund	1,365,773	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
b) Public Housing Capital Fund	859,590	
c) HOPE VI Revitalization	4,962,263	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	2,674,216	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)	46,872	FSS Coordinator
2. Prior Year Federal Grants (unobligated funds only) (list below)		
2007	839,000	PH Capital Improvmts
3. Public Housing Dwelling Rental Income	572,992	PH Operations
Non-Dwelling Rental Income	7,200	PH Operations
4. Other income (list below)		
Laundry/Vending Receipts	45,000	PH Operations
4. Non-federal sources (list below)		
Investment Income	7,000	PH Operations
Tenant Revenue	32,145	PH Operations
Total resources	11,412,051	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
Within 90 days of expected lease-up.
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
Credit history

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office

Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?4

2. Yes X No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. X Yes No: May families be on more than one list simultaneously
If yes, how many lists? As many as they qualify for.

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

X PHA main administrative office

X All PHA development management offices

X Management offices at developments with site-based waiting lists

X At the development to which they would like to apply

Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

X One

Two

Three or More

b. X Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes X No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness (20% in one development only)
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
Veterans and veterans’ families (VASH program set-aside)
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families whose head or spouse is enrolled full-time in educational/training.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second

priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- 1 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)
Families whose head or spouse is enrolled full-time in an educational/training facility.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- X The PHA-resident lease
- X The PHA’s Admissions and (Continued) Occupancy policy
- X PHA briefing seminars or written materials
- X Other source (list)
Resident handbook or handouts

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- X At an annual reexamination and lease renewal
- X Any time family composition changes
- X At family request for revision
- X Other (list)
At the time of a transfer

(6) Deconcentration and Income Mixing

- a. Yes X No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?
- b. Yes X No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?
- c. If the answer to b was yes, what changes were adopted? (select all that apply)
- Adoption of site based waiting lists
If selected, list targeted developments below:
 - Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
 - Employing new admission preferences at targeted developments
If selected, list targeted developments below:
 - Other (list policies and developments targeted below)
- d. Yes X No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?
- e. If the answer to d was yes, how would you describe these changes? (select all that apply)
- Additional affirmative marketing
 - Actions to improve the marketability of certain developments
 - Adoption or adjustment of ceiling rents for certain developments

- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- X Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

b. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. X Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes X No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

X Criminal or drug-related activity

X Other (describe below)

Past rental history

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

X None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

X PHA main administrative office

Other (list below)

(3) Search Time

a. X Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Only if the family requests extension, they are given up to 60 additional days. Families must show bona fide effort was made to locate a suitable unit.

(4) Admissions Preferences

a. Income targeting

Yes X No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. X Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- X Working families and those unable to work because of age or disability
- X Veterans and veterans' families (For VASH Program only)
- X Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- X Other preference(s) (list below)
Families whose head or spouse is enrolled full-time in an educational/training facility.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

1 Working families and those unable to work because of age or disability

- 1 Veterans and veterans' families (VASH Program only)
- 1 Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)
Families whose head or spouse is enrolled full-time in an educational/training facility.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- X Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- X This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- X Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- X The Section 8 Administrative Plan
- X Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- X Other (list below)
Through case managers at the Veterans' Administration for VASH

Participants.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

X The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
X \$26-\$50

2. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes X No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- For the earned income of a previously unemployed household member
- X For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- Yes for all developments
- Yes but only for some developments
- X No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- X Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) If not currently working
- X Other (list below)
When income decreases.

g. Yes X No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- X Survey of similar unassisted units in the neighborhood
- X Other (list/describe below)
Kentucky State agency for housing tax-credit rents (50% and 60%)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families (Outside areas of high poverty levels)
- Other (list below)
To maintain consistency with another agency operating in the same area.

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families

- X Rent burdens of assisted families
- X Other (list below)
Published FMR's and payment standards of neighboring agencies.

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- X \$26-\$50

b. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- X A brief description of the management structure and organization of the PHA follows: The HAN employs an Executive Director who oversees the entire operations of the authority, with concentration on finance, HOPE VI, and mixed-finance developments. The Deputy Director is directly responsible for the day to day oversight of three public housing sites, homeownership efforts and the Section 8 Department, which employs a Program Coordinator and four full-time staff. Each Site Manager is responsible for daily site operations, with a Chief Maintenance Mechanic and two additional staff members.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover

Public Housing	341	The Authority expects to place an additional 97 units of public housing on-line during this plan year. (32 in Liberty Row II; 15 in Basin Infill I; and 50 in City-Wide Housing)
Section 8 Vouchers	522	
Section 8 Certificates	N/A	N/A
Section 8 Mod Rehab	N/A	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	N/A	N/A
Public Housing Drug Elimination Program (PHDEP)	N/A	N/A
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

- (1) Public Housing Maintenance and Management: (list below)

ACOP

Site Management Manual

- (2) Section 8 Management: (list below)

Administrative Plan

Section 8 Homeownership Plan

FSS Action Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes X No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)
- X PHA main administrative office
 - X PHA development management offices
 - Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes X No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- X PHA main administrative office
 - Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (Attachment B)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (Attachment C)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Peter G. Noll; McDermott/McLane; Booker T. Washington

2. Development (project) number: KY15-001, 002 and 003.

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

X Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:
Peter G. Noll

X Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:
The completion of Liberty Housing (Liberty Row II) and the construction of City-Wide (Basin Infill II).

Yes X No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes X No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)	
5. Number of units affected:	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	
b. Projected end date of activity:	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. X Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing

Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name: Grand Towers	
1b. Development (project) number: KY 15-004	
2. Designation type:	
Occupancy by only the elderly	X
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA’s Designation Plan	X
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (12/18/1995)	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: 139	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing

Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. X Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes X No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

X Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Mimumin Income requirement is greater than HUD requirement; Criminal background and past rental history must be favorable.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (I)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

X Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 07/02/2007.

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- X Client referrals
- X Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- X Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

X Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following

table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Family Self Sufficiency Program</i>	<i>15</i>	<i>Random, No wait list at this time</i>		<i>Public Housing and Section 8</i>

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	0	0
Section 8	0	15 as of 10/18/2007

b. X Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)
Have Cooperation agreement with TANF agency

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents

- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- X Resident reports
- X PHA employee reports
- X Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

Peter G. Noll

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- X Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- X Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- X Other (describe below)
Community awareness meetings with residents and police department and staff.

2. Which developments are most affected? (list below)

Peter G. Noll

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- X Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- X Police provide crime data to housing authority staff for analysis and action
- X Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- X Police regularly testify in and otherwise support eviction cases
- X Police regularly meet with the PHA management and residents
- X Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)
Peter G. Noll

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)
 Site management staff are currently being trained for site based operations.
 Ongoing training will continue
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
- Provided below:
 The Board would like the Authority to consider replacement windows at Grand Towers in future Capital Fund projects.
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
- The PHA changed portions of the PHA Plan in response to comments
 List changes below:
- Other: (list below)
 Will consider the Board's recommendation in future Capital Fund Projects

B. Description of Election process for Residents on the PHA Board

1. Yes X No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes X No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)
Kentucky
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- X Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
Providing affordable housing to those in need in PHA's jurisdiction.
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)
Providing affordable housing to low income residents of the community

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Admissions Policy for Deconcentration	ky015a08
Capital Fund Program Annual Statement and 5-Year Action Plan	ky015b08-f08
List of Advisory Board Members	ky015g08
List of Resident Board Member	ky015h08
Community Service Description of Implementation	ky015i08
Pet Policy	ky015j08
Section 8 Homeownership Capacity Statement	ky015k08
Description of Homeownership Programs	ky015l08

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

10.4 DECONCENTRATION POLICY

It is the HAN's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The HAN will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

10.5 DECONCENTRATION INCENTIVES

The HAN may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

10.6 OFFER OF A UNIT

When the HAN discovers that a unit will become available, we will contact the first family on the waiting list of the development for which they applied, who has the highest priority for this type of unit or development and whose income category would help to meet the deconcentration goal and/or the income-targeting goal.

The HAN will contact the family first by telephone to make the unit offer. If the family cannot be reached by telephone, the family will be notified of a unit offer via first class mail. The family will be given seven (7) days from the date the letter was mailed to contact the HAN regarding the offer.

The family will be offered the opportunity to view the unit. After the opportunity to view the unit, the family will have to either accept or reject the unit. This verbal offer and the family's decision must be documented in the tenant file. If the

family rejects the offer of the unit, the HAN will send the family a letter documenting the offer and the rejection.

**Annual Statement /
Performance and Evaluation Report**

Capital Funds Program (CFP)

Part I: Summary

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Capital Funds Program Grant No:		FFY of Approval	
HOUSING AUTHORITY OF NEWPORT		KY36P01550108		2008	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement/Revision Number # 2 <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$67,459	\$0	\$0	\$0
3	1408 Management Improvements (May not exceed 20% of line 20)	\$99,450	\$0	\$0	\$0
4	1410 Administration (May not exceed 10% of line 20)	\$85,959	\$0	\$0	\$0
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$13,500	\$0	\$0	\$0
8	1440 Site Acquisition	\$204,000	\$0	\$0	\$0
9	1450 Site Improvement	\$114,500	\$0	\$0	\$0
10	1460 Dwelling Structures	\$265,222	\$0	\$0	\$0
11	1465.1 Dwelling Equipment - Nonexpendable	\$2,000	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$7,500	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Mod Used for Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization for Debt Service	\$0	\$0	\$0	\$0
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0	\$0
20	Amount of Annual Grant: (Sum of lines 2 - 20)	\$859,590.00	\$0.00	\$0.00	\$0.00
21	Amount of line 21 Related to LBP Activities				
22	Amount of line 21 Related to Section 504 Compliance				
23	Amount of line 201 Related to Security - Soft Costs	\$35,800	\$0	\$0	\$0
24	Amount of line 21 Related to Security - Hard Costs				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

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Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$67,459.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1408 Management Improvements	1408						
	Community Center staffing, utilities, maintenance			\$43,850.00	\$0.00	\$0.00	\$0.00	
	Newport Police foot patrol			\$35,800.00	\$0.00	\$0.00	\$0.00	
	Lease Warehouse for maintenance operations			\$19,800.00	\$0.00	\$0.00	\$0.00	
	Total 1408			\$99,450.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1410 Administration	1410						
	Pro-rate salaries for Grant Administration			\$85,959.00	\$0.00	\$0.00	\$0.00	
	Total 1410			\$85,959.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1411 Audits	1411		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1415 Liquidated Damages	1415		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1430 Fees and Cost	1430		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1440-Site Acquisition	1440						
	PROPERTY ACQUISITION FOR HOMEOWNERSHIP			\$204,000.00	\$0.00	\$0.00	\$0.00	
	Total 1440			\$204,000.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1460 Dwelling Structures	1460						
	CONSTRUCTION OF NEW PHA UNITS			\$200,000.00	\$0.00	\$0.00	\$0.00	PART OF HOPE VI MIXED FINANCE DVLPMT
	Total 1460			\$200,000.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1470 Administration Building	1470		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1501 Capital Development	1501		\$0.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$656,868	\$0	\$0	\$0	
KY36P015-001	Development #1 Peter G. Noll							
	1450 Site Improvements	1450						
	SIDEWALK REPAIR			\$100,000.00	\$0.00	\$0.00	\$0.00	
	SEAL PARKING LOTS			\$6,000.00	\$0.00	\$0.00	\$0.00	
				\$106,000.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	EMERGENCY CONTRACT REPAIRS			\$19,746.00	\$0.00	\$0.00	\$0.00	
	ROOF & GUTTER REPLACEMENT-5 BLDGS			\$10,476.00	\$0.00	\$0.00	\$0.00	
	Total 1460			\$30,222.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465						
	APPLIANCES			\$2,000.00	\$0.00	\$0.00	\$0.00	
				\$2,000.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /
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Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
	1475 Non-Dwelling Equipment	1475						
	REPLACE EXERCISE EQUIP IN COMM CTR			\$7,500.00	\$0.00	\$0.00	\$0.00	
	Total 1475			\$7,500.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #1			\$145,722.00	\$0.00	\$0.00	\$0.00	
KY36P015-004	Development #2 Grand Towers							
	1430 A & E Fees	1430						
	FOR VARIOUS WORK ITEMS			\$13,500.00	\$0.00	\$0.00	\$0.00	
	Total 1430			\$13,500.00	\$0.00	\$0.00	\$0.00	
	1450 Site Improvements	1450						
	SEAL PARKING LOTS			\$6,000.00	\$0.00	\$0.00	\$0.00	
	Total 1450			\$6,000.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structures							
	REPLACE A/C IN 26 APARTMENTS			\$15,000.00	\$0.00	\$0.00	\$0.00	
	CARPET REPLACEMENT 5 UNITS			\$10,000.00	\$0.00	\$0.00	\$0.00	
	EXTERIOR WINDOW WASHING			\$10,000.00	\$0.00	\$0.00	\$0.00	
	Total 1460			\$35,000.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #2			\$54,500.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /
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Part II: Supporting Pages
Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
KY36P015-008	Development #3 CORPUS							
	1450 Site Improvements	1450						
	SEAL PARKING LOTS			\$2,500.00	\$0.00	\$0.00	\$0.00	
	Total 1450			\$2,500.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structures			\$0.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #3			\$2,500.00	\$0.00	\$0.00	\$0.00	
	GRANT TOTAL			\$859,590	\$0	\$0	\$0	

**Annual Statement /
Performance and Evaluation Report**
Part III: Implementation Schedule
Capital Fund Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PHA-Wide	09/30/10			09/30/12			
Development #1	09/30/10			09/30/12			
Development #2	09/30/10			09/30/12			
Development #3	09/30/10			09/30/12			

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Capital Funds Program (CFP)

Part I: Summary

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Capital Funds Program Grant No:		FFY of Approval	
HOUSING AUTHORITY OF NEWPORT		KY36P01550105		2005	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement/Revision Number # 2 <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$6,977	\$1,996	\$1,996	\$1,996
3	1408 Management Improvements (May not exceed 20% of line 20)	\$61,619	\$37,183	\$37,183	\$37,183
4	1410 Administration (May not exceed 10% of line 20)	\$31,895	\$31,895	\$31,895	\$31,895
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$134,795	\$146,133	\$146,133	\$146,133
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$371,448	\$356,448	\$356,448	\$356,448
10	1460 Dwelling Structures	\$213,176	\$152,813	\$152,813	\$152,813
11	1465.1 Dwelling Equipment - Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$50,000	\$43,442	\$43,442	\$43,442
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Mod Used for Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization for Debt Service	\$0	\$100,000	\$100,000	\$100,000
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0	\$0
20	Amount of Annual Grant: (Sum of lines 2 - 20)	\$869,910.00	\$869,910.00	\$869,910.00	\$869,910.00
21	Amount of line 21 Related to LBP Activities				
22	Amount of line 21 Related to Section 504 Compliance				
23	Amount of line 201 Related to Security - Soft Costs	\$18,000	\$18,000	\$18,000	\$18,000
24	Amount of line 21 Related to Security - Hard Costs				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$6,977.00	\$1,996.00	\$1,996.00	\$1,996.00	
PHA Wide	1408 Management Improvements	1408						
	Community Center staffing, utilities, maintenance			\$43,619.00	\$19,183.00	\$19,183.00	\$19,183.00	
	Newport Police foot patrol			\$18,000.00	\$18,000.00	\$18,000.00	\$18,000.00	
	Total 1408			\$61,619.00	\$37,183.00	\$37,183.00	\$37,183.00	
PHA Wide	1410 Administration	1410						
	Pro-rate salaries for Grant Administration			\$31,895.00	\$31,895.00	31,895.00	31,895.00	
	Total 1410			\$31,895.00	\$31,895.00	\$31,895.00	\$31,895.00	
PHA Wide	1411 Audits	1411		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1415 Liquidated Damages	1415		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1430 Fees and Cost	1430						
	A & E Fees - Admin Building			\$134,795.00	\$146,133.00	\$146,133.00	\$146,133.00	
	Total 1430			\$134,795.00	\$146,133.00	\$146,133.00	\$146,133.00	
PHA Wide	1475 Non-Dwelling Equipment	1475						
	PURCHASE NEW COMPUTER EQUIPMENT			\$50,000.00	\$27,896.00	\$27,896.00	\$27,896.00	
	Total 1475			\$50,000.00	\$27,896.00	\$27,896.00	\$27,896.00	
PHA Wide	1501 Capital Development	1501						
	DEBT SVC ON CAPITAL PROJECTS			\$0.00	\$100,000.00	\$100,000.00	\$100,000.00	
	Total 1501			\$0.00	\$100,000.00	\$100,000.00	\$100,000.00	
	SUBTOTAL			\$285,286	\$345,103	\$345,103	\$345,103	
KY36P015-001	Development #1 Peter G. Noll							
	1450 Site Improvements	1450		\$0.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460		\$0.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
	1475 Non-Dwelling Equipment	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #1			\$0.00	\$0.00	\$0.00	\$0.00	
KY36P015-004	Development #2 Grand Towers							
	1450 Site Improvements	1450						
	EXTERIOR SITE IMPROVEMENTS			\$356,448.00	\$356,448.00	\$356,448.00	\$356,448.00	
	ENCLOSE DUMPSTER AREA			\$15,000.00	\$0.00	\$0.00	\$0.00	
	Total 1450			\$371,448.00	\$356,448.00	\$356,448.00	\$356,448.00	
	1460 Dwelling Structure	1460						
	UNIT CONVERSION FROM EFFICIENCIES TO 1 BR			\$213,176.00	\$152,813.00	\$152,813.00	\$152,813.00	
	Total 1460			\$213,176.00	\$152,813.00	\$152,813.00	\$152,813.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	PURCHASE MAINTENANCE VEHICLE			\$15,546.00	\$15,546.00	\$15,546.00	\$15,546.00	
				\$15,546.00	\$15,546.00	\$15,546.00	\$15,546.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #2			\$600,170.00	\$524,807.00	\$524,807.00	\$524,807.00	

**Annual Statement /
Performance and Evaluation Report**
Part III: Implementation Schedule
Capital Fund Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PHA-Wide	09/30/07			09/30/09	9/30/2007	9/30/2007	
MGT Improvements	09/30/07			09/30/09		9/30/2007	
SALARIES	06/30/07			09/30/09	12/31/2006	12/31/2006	
GRAND TOWERS	09/30/07	12/31/05		09/30/09	12/31/2005	12/31/2005	
ADMIN BLDG	09/30/07			09/30/09	9/30/2007	9/30/2007	
COMPUTERS	9/30/2006			9/30/2006	9/30/2006	9/30/2006	

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Capital Funds Program (CFP)

Part I: Summary

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Capital Funds Program Grant No:		FFY of Approval	
HOUSING AUTHORITY OF NEWPORT		KY36P01550106		2006	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement/Revision Number # 2 <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$60,200	\$0	\$0	\$0
3	1408 Management Improvements (May not exceed 20% of line 20)	\$95,600	\$95,245	\$95,245	\$79,952
4	1410 Administration (May not exceed 10% of line 20)	\$40,000	\$30,966	\$30,966	\$30,966
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$0	\$0	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$0	\$0	\$0	\$0
10	1460 Dwelling Structures	\$211,433	\$0	\$0	\$0
11	1465.1 Dwelling Equipment - Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$424,293	\$464,350	\$464,350	\$413,350
13	1475 Nondwelling Equipment	\$18,300	\$17,124	\$17,124	\$17,124
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Mod Used for Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization for Debt Service	\$0	\$242,141	\$167,141	\$167,141
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0	\$0
20	Amount of Annual Grant: (Sum of lines 2 - 20)	\$849,826.00	\$849,826.00	\$774,826.00	\$708,533.00
21	Amount of line 21 Related to LBP Activities				
22	Amount of line 21 Related to Section 504 Compliance				
23	Amount of line 201 Related to Security - Soft Costs	\$35,800	\$30,699	\$30,699	\$30,699
24	Amount of line 21 Related to Security - Hard Costs				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$60,200.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1408 Management Improvements	1408						
	Community Center staffing, utilities, maintenance			\$40,000.00	\$44,746.00	\$44,746.00	\$29,453.00	
	Newport Police foot patrol			\$35,800.00	\$30,699.00	\$30,699.00	\$30,699.00	
	Lease Warehouse for maintenance operations			\$19,800.00	\$19,800.00	\$19,800.00	\$19,800.00	
	Total 1408			\$95,600.00	\$95,245.00	\$95,245.00	\$79,952.00	
PHA Wide	1410 Administration	1410						
	Pro-rate salaries for Grant Administration			\$40,000.00	\$30,966.00	30,966.00	30,966.00	
	Total 1410			\$40,000.00	\$30,966.00	\$30,966.00	\$30,966.00	
PHA Wide	1411 Audits	1411		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1415 Liquidated Damages	1415		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1430 Fees and Cost	1430		\$0.00	\$0.00	0.00	0.00	
PHA Wide	1470 Administration Building	1470						
	Continue rehab of Admin Offices			\$378,293.00	\$413,350.00	\$413,350.00	\$413,350.00	
				\$378,293.00	\$413,350.00	\$413,350.00	\$413,350.00	
PHA Wide	1501 Capital Development	1501						
	DEBT SVC ON CAPITAL PROJECTS			\$0.00	\$242,141.00	\$167,141.00	\$167,141.00	
	Total 1501			\$0.00	\$242,141.00	\$167,141.00	\$167,141.00	
	SUBTOTAL			\$574,093	\$781,702	\$706,702	\$691,409	
KY36P015-001	Development #1 Peter G. Noll							
	1450 Site Improvements	1450		\$0.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460		\$0.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470						
	CONSTRUCT NEW MAINTENANCE BLDG			\$46,000.00	\$51,000.00	\$51,000.00	\$0.00	
	Total 1470			\$46,000.00	\$51,000.00	\$51,000.00	\$0.00	

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
	1475 Non-Dwelling Equipment	1475						
	PURCHASE MAINTENANCE VEHICLE			\$18,300.00	\$17,124.00	\$17,124.00	\$17,124.00	
	Total 1475			\$18,300.00	\$17,124.00	\$17,124.00	\$17,124.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #1			\$64,300.00	\$68,124.00	\$68,124.00	\$17,124.00	
KY36P015-004	Development #2 Grand Towers							
	1450 Site Improvements	1450		\$0.00	\$0.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	UNIT CONVERSION FROM EFFICIENCIES TO 1 BR			\$211,433.00	\$0.00	\$0.00	\$0.00	MOVED TO BLI 1501
	Total 1460			\$211,433.00	\$0.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #2			\$211,433.00	\$0.00	\$0.00	\$0.00	
	GRANT TOTAL			\$849,826	\$849,826	\$774,826	\$708,533	

**Annual Statement /
Performance and Evaluation Report**
Part III: Implementation Schedule
Capital Fund Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PHA-Wide	07/18/08			07/18/10			
MGT Improvements	07/18/08			07/18/10			
SALARIES	07/18/08			07/18/10			
GRAND TOWERS	07/18/08			07/18/10			
ADMIN BLDG	07/18/08			07/18/10			
EQUIPMENT PURCHASE	07/18/08			07/18/10			

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

**Annual Statement /
Performance and Evaluation Report**

Capital Funds Program (CFP)

Part I: Summary

**U.S. Department of Housing
and Urban Development**

Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

HA Name		Capital Funds Program Grant No:	FFY of Approval		
HOUSING AUTHORITY OF NEWPORT		KY36P01550107	2007		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement/Revision Number # 2 <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending 9/30/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original Revision #	Revised (2)	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations (May not exceed 20% of line 20 for PHAs with 250 or more Units)	\$81,959	\$44,135	\$0	\$0
3	1408 Management Improvements (May not exceed 20% of line 20)	\$103,450	\$141,274	\$141,274	\$1,650
4	1410 Administration (May not exceed 10% of line 20)	\$85,959	\$85,959	\$85,959	\$19,737
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$0	\$0	\$0	\$0
8	1440 Site Acquisition	\$300,000	\$0	\$0	\$0
9	1450 Site Improvement	\$14,500	\$14,500	\$0	\$0
10	1460 Dwelling Structures	\$194,796	\$494,796	\$0	\$0
11	1465.1 Dwelling Equipment - Nonexpendable	\$6,150	\$6,150	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$72,776	\$72,776	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Mod Used for Development Activities	\$0	\$0	\$0	\$0
19	1501 Collateralization for Debt Service	\$0	\$0	\$0	\$0
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0	\$0	\$0
20	Amount of Annual Grant: (Sum of lines 2 - 20)	\$859,590.00	\$859,590.00	\$227,233.00	\$21,387.00
21	Amount of line 21 Related to LBP Activities				
22	Amount of line 21 Related to Section 504 Compliance				
23	Amount of line 201 Related to Security - Soft Costs	\$35,800	\$35,800	\$35,800	\$0
24	Amount of line 21 Related to Security - Hard Costs				
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.			
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

**Annual Statement /
Performance and Evaluation Report**

Part II: Supporting Pages

Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
PHA Wide	1406 Operations	1406		\$81,959.00	\$44,135.00	\$0.00	\$0.00	
PHA Wide	1408 Management Improvements	1408						
	Community Center staffing, utilities, maintenance			\$47,850.00	\$85,674.00	\$85,674.00	\$0.00	
	Newport Police foot patrol			\$35,800.00	\$35,800.00	\$35,800.00	\$0.00	
	Lease Warehouse for maintenance operations			\$19,800.00	\$19,800.00	\$19,800.00	\$1,650.00	
	Total 1408			\$103,450.00	\$141,274.00	\$141,274.00	\$1,650.00	
PHA Wide	1410 Administration	1410						
	Pro-rate salaries for Grant Administration			\$85,959.00	\$85,959.00	85,959.00	19,737.00	
	Total 1410			\$85,959.00	\$85,959.00	\$85,959.00	\$19,737.00	
PHA Wide	1411 Audits	1411		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1415 Liquidated Damages	1415		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1430 Fees and Cost	1430		\$0.00	\$0.00	0.00	0.00	
PHA Wide	1440-Site Acquisition	1440						
	PROPERTY ACQUISITION FOR HOMEOWNERSHIP			\$300,000.00	\$0.00	\$0.00	\$0.00	
	Total 1440			\$300,000.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1460 Dwelling Structures	1460						
	CONSTRUCTION OF NEW PHA UNITS			\$0.00	\$300,000.00	\$0.00	\$0.00	PART OF HOPE VI MIXED FINANCE DVLPMT
	Total 1460			\$0.00	\$300,000.00	\$0.00	\$0.00	
PHA Wide	1470 Administration Building	1470		\$0.00	\$0.00	\$0.00	\$0.00	
PHA Wide	1501 Capital Development	1501		\$0.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$571,368	\$571,368	\$227,233	\$21,387	
KY36P015-001	Development #1 Peter G. Noll							
	1450 Site Improvements	1450						
	SIDEWALK REPAIR			\$6,000.00	\$6,000.00	\$0.00	\$0.00	
				\$6,000.00	\$6,000.00	\$0.00	\$0.00	
	1460 Dwelling Structure	1460						
	EMERGENCY CONTRACT REPAIRS			\$30,000.00	\$30,000.00	\$0.00	\$0.00	
	ROOF & GUTTER REPLACEMENT-5 BLDGS			\$100,000.00	\$100,000.00	\$0.00	\$0.00	
	Total 1460			\$130,000.00	\$130,000.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465						
	APPLIANCES			\$5,000.00	\$5,000.00	\$0.00	\$0.00	
	SPACE HEATERS & WINDOW A/C			\$1,150.00	\$1,150.00	\$0.00	\$0.00	
				\$6,150.00	\$6,150.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /
Performance and Evaluation Report

Part II: Supporting Pages

Capital Funds Program: CFP

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
	1475 Non-Dwelling Equipment	1475						
	UPDATE CAMERA SYSTEM			\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	REPLACE EXERCISE EQUIP IN COMM CTR			\$7,500.00	\$7,500.00	\$0.00	\$0.00	
	PURCHASE LADDER/LIFT			\$8,000.00	\$8,000.00	\$0.00	\$0.00	
	PURCHASE COMPUTERS FOR LAB			\$5,476.00	\$5,476.00	\$0.00	\$0.00	
	Total 1475			\$30,976.00	\$30,976.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #1			\$173,126.00	\$173,126.00	\$0.00	\$0.00	
KY36P015-004	Development #2 Grand Towers							
	1450 Site Improvements	1450						
	SEAL PARKING LOTS			\$6,000.00	\$6,000.00	\$0.00	\$0.00	
	Total 1450			\$6,000.00	\$6,000.00	\$0.00	\$0.00	
	1460 Dwelling Structures							
	REPLACE A/C IN 52 APARTMENTS			\$29,796.00	\$29,796.00	\$0.00	\$0.00	
	CARPET REPLACEMENT 5 UNITS			\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	EXTERIOR WINDOW WASHING			\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	Total 1460			\$49,796.00	\$49,796.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	ENHANCE SECURITY SYSTEM, PA SYSTEM			\$30,000.00	\$30,000.00	\$0.00	\$0.00	
	DUMPSTER REMOVAL SYSTEM			\$5,000.00	\$5,000.00	\$0.00	\$0.00	
	Total 1475			\$35,000.00	\$35,000.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #2			\$90,796.00	\$90,796.00	\$0.00	\$0.00	

**Annual Statement /
Performance and Evaluation Report**
Part II: Supporting Pages
Capital Funds Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)	
KY36P015-008	Development #3 CORPUS							
	1450 Site Improvements	1450						
	SEAL PARKING LOTS			\$2,500.00	\$2,500.00	\$0.00	\$0.00	
	Total 1450			\$2,500.00	\$2,500.00	\$0.00	\$0.00	
	1460 Dwelling Structures							
	UNIT CEILING FANS			\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Total 1460			\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	1465 Dwelling Equipment	1465		\$0.00	\$0.00	\$0.00	\$0.00	
	1470 Non-Dwelling Structures	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	1475 Non-Dwelling Equipment	1475						
	ENHANCE SECURITY SYSTEM			\$6,800.00	\$6,800.00	\$0.00	\$0.00	
	Total 1475			\$6,800.00	\$6,800.00	\$0.00	\$0.00	
	1485 DEMOLITION COSTS	1485		\$0.00	\$0.00	\$0.00	\$0.00	
	1495 RELOCATION COST	1495		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Cost for Development #3			\$24,300.00	\$24,300.00	\$0.00	\$0.00	
	GRANT TOTAL			\$859,590	\$859,590	\$227,233	\$21,387	

**Annual Statement /
Performance and Evaluation Report**
Part III: Implementation Schedule
Capital Fund Program: CFP

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 3/31/2002)

Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
PHA-Wide	09/30/09			09/30/11			
Development #1	09/30/09			09/30/11			
Development #2	09/30/09			09/30/11			
Development #3	09/30/09			09/30/11			

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name				___ Original 5-Year Plan	
HOUSING AUTHORITY OF NEWPORT					
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
HA-Wide	Annual Statement	\$271,268	\$271,268	\$271,368	\$271,368
Grand Towers/ Corpus Christi		\$25,000	\$25,000	\$25,000	\$25,000
Equipment		\$60,000	\$25,000	\$20,000	
Various AMPs		\$298,078	\$75,000	\$297,978	\$297,978
Future Property Acq		\$205,244	\$205,244	\$245,244	\$265,244
Total CFP Funds estimated		\$859,590	\$601,512	\$859,590	\$859,590
Total Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

Activities for Year 1	Activities for Year: 2009 FFY Grant: 2009 PHA FY: 3/31/2010			Activities for Year: 2010 FFY Grant: 2010 PHA FY: 3/31/2011		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA-Wide	Community Center upkeep, security patrols, 10% mgt fee, defer operations	\$271,368	HA-Wide	Community Center upkeep, security patrols, 10% mgt fee, defer operations	\$271,368
Annual	Various AMP's	Purchase new vehicle, computer system	\$60,000	Various AMP's	Purchase new vehicle	\$20,000
Statement	Various AMP's	Carpet/flooring replacement	\$25,000	Various AMP's	Carpet/flooring replacement	\$25,000
	Various AMP's	Emergency contract repairs	\$75,000	Various AMP's	Emergency contract repairs	\$75,000
	Various AMP's	As needed roof, gutter, sewer line replacement	\$222,978	Various AMP's	As needed roof, gutter, sewer line replacement	\$222,978
	Additional homeownership opportunities	Cost associated with site acquisition and construction	\$205,244	Additional homeownership opportunities	Cost associated with site acquisition and construction	\$245,244
Activities for Year 1	Activities for Year: 2011 FFY Grant: 2011 PHA FY: 3/31/2012			Activities for Year: 2012 FFY Grant: 2012 PHA FY: 3/31/2013		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	HA-Wide	Community Center upkeep, security patrols, 10% mgt fee, defer operations	\$271,368	HA-Wide	Community Center upkeep, security patrols, 10% mgt fee, defer operations	\$271,368
Annual	Various AMP's	Purchase new vehicle,	\$20,000			
Statement	Various AMP's	Carpet/flooring replacement	\$25,000	Various AMP's	Carpet/flooring replacement	\$25,000
	Various AMP's	Emergency contract repairs	\$75,000	Various AMP's	Emergency contract repairs	\$75,000
	Various AMP's	As needed roof, gutter, sewer line replacement	\$222,978	Various AMP's	As needed roof, gutter, sewer line replacement	\$222,978
	Additional homeownership opportunities	Cost associated with site acquisition and construction	\$245,244	Additional homeownership opportunities	Cost associated with site acquisition and construction	\$265,244

Resident Advisory Board Members

Pat Tolson	840 Isabella Street, #103	Corpus Christi
Ervin Heiert	1359 Grand Avenue, #514	Grand Towers
Nancy Himes	1359 Grand Avenue, #112	Grand Towers
Erica Oden	524 Brighton Street	Peter G. Noll
Rebecca Whitcomb	534 Brighton Street	Peter G. Noll

Resident Board Member

Ervin Heiert
1359 Grand Avenue, #514
Newport, KY 41074

Resident of Grand Towers Development

Term Expiration: 11/30/2009

14.0 CONTINUED OCCUPANCY AND COMMUNITY SERVICE

14.1 GENERAL

In order for non-working families to be eligible for continued occupancy in public housing units, each adult family member must either (1) contribute eight hours per month of community service (not including political activities), or (2) participate in an economic self-sufficiency program, or (3) perform eight hours per month of combined activities as previously described unless they are exempt from this requirement.

14.2 EXEMPTIONS

The following adult family members of tenant families are exempt from this requirement:

- A. Family members who are 62 or older.
- B. Family members who are blind or disabled as defined under 216(I)(1) or 1614 of the Social Security Act (42 U.S.C. 416(I)(1) and who certifies that because of this disability she or he is unable to comply with the community service requirements.
- C. Family members who are the primary care giver for someone who is blind or disabled as set forth in Paragraph B above.
- D. Family members engaged in work activity.
- E. Family members who are exempt from work activity under part A title IV of the Social Security Act or under any other State welfare program, including the welfare-to-work program.
- F. Family members receiving assistance, benefits or services under a State program funded under part A title IV of the Social Security Act or under any other State welfare program, including welfare-to-work and who are in compliance with that program.

14.3 NOTIFICATION OF THE REQUIREMENT

The HAN shall identify all adult family members who are apparently not exempt from the community service requirement.

The HAN shall notify all such family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status. The HAN shall verify such claims.

The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexamination on or after April 1, 2001. For families paying a flat rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. It will also advise them that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

14.4 VOLUNTEER OPPORTUNITIES

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community.

An economic self sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

The HAN will coordinate with social service agencies, local schools, and the Human Resources Office in identifying a list of volunteer community service positions.

The HAN may create volunteer positions such as hall monitoring, litter patrols, and supervising and record keeping for volunteers.

14.5 THE PROCESS

Upon admission, or at the first annual reexamination on or after April 1, 2001, and each annual reexamination thereafter, the HAN will do the following:

- A. Provide a list of volunteer opportunities to the family members.
- B. Provide information about obtaining suitable volunteer positions.

- C. Provide a volunteer time sheet to the family member. Instructions for the time sheet require the individual to complete the form and have a supervisor date and sign for each period of work.
- D. Assign family members to a volunteer coordinator who will assist the family members in identifying appropriate volunteer positions and in meeting their responsibilities. The volunteer coordinator will track the family member's progress monthly and will meet with the family member as needed to best encourage compliance.
- E. Thirty (30) days before the family's next lease anniversary date, the volunteer coordinator will advise the HAN whether each applicable adult family member is in compliance with the community service requirement.

14.6 NOTIFICATION OF NON-COMPLIANCE WITH COMMUNITY SERVICE REQUIREMENT

The HAN will notify any family found to be in noncompliance of the following:

- A. The family member(s) has been determined to be in noncompliance;
- B. That the determination is subject to the grievance procedure; and
- C. That, unless the family member(s) enter into an agreement to comply, the lease will not be renewed or will be terminated;

14.7 OPPORTUNITY FOR CURE

The HAN will offer the family member(s) the opportunity to enter into an agreement to cure, prior to the anniversary of the lease. The agreement shall state that the family member(s) agrees to enter into an economic self-sufficiency program or agrees to contribute to community service for as many hours as needed to comply with the requirement over the past 12-month period. The cure shall occur over the 12-month period beginning with the date of the agreement and the resident shall at the same time stay current with that year's community service requirement. The first hours a resident earns go toward the current commitment until the current year's commitment is made.

The volunteer coordinator will assist the family member in identifying volunteer opportunities and will track compliance on a monthly basis.

If any applicable family member does not accept the terms of the agreement, does not fulfill their obligation to participate in an economic self-sufficiency program, or falls behind in their obligation under the agreement to perform community service, the HAN shall take action to terminate the lease.

14.8 PROHIBITION AGAINST REPLACEMENT OF AGENCY EMPLOYEES

In implementing the service requirement, the HAN may not substitute community service or self-sufficiency activities performed by residents for work ordinarily performed by its employees, or replace a job at any location where residents perform activities to satisfy the service requirement.

NEWPORT HOUSING AUTHORITY

PET POLICY

18.0 PET POLICY

18.1 EXCLUSIONS

This policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

18.2 PETS IN PUBLIC HOUSING

The Newport Housing Authority allows for pet ownership in its developments with the written pre-approval of the Housing Authority. Residents are responsible for any damage caused by their pets, including the cost of fumigating or cleaning their units. In exchange for this right, resident assumes full responsibility and liability for the pet and agrees to hold the Newport Housing Authority harmless from any claims caused by an action or inaction of the pet.

18.3 APPROVAL

Residents must have the prior written approval of the Housing Authority before moving a pet into their unit. Residents must request approval on the Application for Pet Ownership Form that must be fully completed before the Housing Authority will approve the request. Residents must give the Housing Authority a picture of the pet so it can be identified if it is running loose.

18.4 TYPES AND NUMBER OF PETS

The Newport Housing Authority will allow only common household pets. This means only domesticated animals such as a dog, cat, bird, rodent (including a rabbit), fish in aquariums or a turtle will be allowed in units. Common household pets do not include reptiles (except turtles). If this definition conflicts with a state or local law or regulation, the state or local law or regulation shall govern.

Only one four-legged animal, such as a cat, or a dog or a rabbit, is permitted in each household. Fish tanks are restricted to no more than 20 gallons in size. A resident may have up to two caged birds in their unit.

Any animal deemed to be potentially harmful to the health or safety of others, including attack or fight trained dogs, will not be allowed. Such animals include but are not limited to: pit bulls, Doberman pinschers, and rottweilers.

18.5 RULES AND OBLIGATIONS OF PET OWNERSHIP

A tenant may own a common household pet under the following conditions:

- a. If the pet is a cat or a dog, the animal must be neutered/spayed by the age six (6) months. The evidence can be provided by a statement/bill from a veterinarian and/or staff of the humane society. The evidence must be provided prior the execution of Housing Authority approval of pet ownership.
- b. Tenants must provide waterproof and leak proof litter boxes for cat waste, which must be kept inside the dwelling unit. The tenant must not permit refuse from litter boxes to accumulate nor to become unsightly or unsanitary.
- c. No animal shall exceed 25 pounds in or 12 inches projected to full adult size. Pets must be weighted by a veterinarian or staff of the humane society. A statement containing the weight of the pet must be provided to the Authority prior to the execution of pet authorization. In certain cases, the Authority may request written verification from the veterinarian that the projected adult size of the animal is not expected to exceed the above restrictions. ***NOTE: Any pet that is not fully grown at the time of authorization, which at any time during tenancy exceeds the restricted weight or height requirement will no longer be an eligible pet and must be removed from Authority property.***
- d. If the pet is a cat or a dog, it must have received rabies and distemper, and any other required inoculations or boosters. Evidence of inoculations must be provided prior to the execution of pet authorization, and each year thereafter.
- e. If the pet is a bird, it shall be contained in a birdcage and shall not be let out of the cage at any time.
- f. If the pet is a fish, the aquarium must be twenty (20) gallons or less, and the container must be placed in a safe location in the unit. The tenant is limited to one container for the fish; however, there is no limit on the number of fish that can be maintained in the container as long as the container is maintained in a sanitary, safe and non-hazardous manner.
- g. All pets must be housed within the unit and no facilities can be constructed outside of the unit for any pet. No animal shall be permitted to be loose and if the pet is taken outside, it must be taken outside on a leash and kept off of other tenant's lawns. All pets must wear collars with identification at all times. The Authority will notify the appropriate animal control officers to pick up pets who are without collars.
- h. All authorized pets must be under the control of an adult. An unleashed pet, or one tied to a fixed object is not considered to be under the control

of an adult. Pets which are unleashed, or leashed and unattended on Authority property may be impounded and taken to the local animal control shelter.

- i. Pets may not be left unattended for more than twenty-four consecutive hours. If it is reported to the Authority staff that a pet has been left unattended for more than a twenty-four hour period, the Authority staff may enter the unit and have the pet removed and transferred to the animal control shelter. Any expense to remove and reclaim the pet from any facility will be the responsibility of the tenant. In the case of an emergency, the Authority will work with the resident to allow more than twenty four hours for the resident to make accommodations for the pet.
- j. The tenant shall have pets restrained so that maintenance can be performed in the apartment. Whenever an inspection or maintenance is scheduled, the tenant shall either be at home or shall have the animal restrained or caged. If a maintenance person enters an apartment where an animal is not restrained, the maintenance shall not be performed and the tenant shall be charged a fee of no more than \$25.00. Pets that are not caged or properly restrained may be impounded by animal control and it shall be the tenant's responsibility to reclaim the pet at their own expense.
- k. Pets must be taken to approved areas to excrete waste, such as the residents' own yard, or in the case of multi-unit buildings, grassy (mulched if no grass exists) areas away from the building. The tenant is solely responsible for cleaning up the waste of the pet within the dwelling and on the premises. If the pet is taken outside, it must be on a leash at all times. If there is any visible waste by the pet it must be disposed of immediately in a plastic bag, securely tied and placed in a garbage receptacle. If the Authority staff cleans up any waste left by a pet, the tenant will be charged 5.00 for the waste removal.
- l. Pets at multi-unit developments must be carried through all common areas of the interior of the building, including the hallways, elevators, and lobby areas. Pets are not permitted in areas where food is served or stored. Pets are permitted on common patios or porch areas as long as they are leashed and held, and as long as they do not cause a disturbance to other residents. Under no circumstances are pets permitted to excrete waste of any kind in or around patio areas.
- m. Pets shall not disturb, interfere or diminish the peaceful enjoyment of other tenants. The terms "disturb, interfere or diminish" shall include by not be limited to barking, howling, chirping, biting, scratching and other like activities. This includes any pets who make noise continuously and/or incessantly for a period of 10 minutes or intermittently for one-half hour or more and therefore disturbs any person at any time of day or night. The Housing Manager will terminate the pet authorization if a pet disturbs other tenants under this section and tenant will be given one week to make other arrangements for the care of the pet or the dwelling lease will be terminated.

- n.* If a pet should become destructive, create a nuisance, represent a threat to the safety and security of other persons, or create a problem in the area of cleanliness and sanitation, the Housing Manager will notify the tenant, in writing, that the animal must be removed from the property, within 10 days of the date of the notice. The tenant may request a hearing in accordance with the Authority's grievance procedure. The pet may remain with the tenant during the hearing process unless the Authority has determined that the pet may be a danger or threat to the safety and security of others. If this determination is made, the pet must be immediately removed from the premises upon receipt of such notice from the Authority.

18.6 PET DEPOSIT AND NON-REFUNDABLE FEE

A pet deposit of \$150.00 is required for non-elderly and non-handicapped residents and \$100.00 for elderly and handicapped residents, at the time of registering a cat or a dog. The deposit is refundable when the pet or the family vacates the unit, less any amounts owed due to damage beyond normal wear and tear.

A one-time, non-refundable pet fee of \$200.00 for dogs and \$100.00 for cats is also required for pets registered to non-elderly or non-disabled residents, and at sites not designated for either of the aforementioned groups. This non-refundable fee must be paid at the time of registration.

Both the fee and deposit must be paid in full prior at the time the pet is registered.

Note: *Caged pets and fish are not subject to the above fee or deposit.*

18.7 FINANCIAL OBLIGATION OF RESIDENTS

Any resident who owns or keeps a pet in their dwelling unit will be required to pay for any damages caused by the pet. Also, any pet-related insect infestation in the pet owner's unit will be the financial responsibility of the pet owner and the Newport Housing Authority reserves the right to exterminate and charge the resident.

18.8 VISITING PETS

All unauthorized pets, including visiting pets, are prohibited from all Housing Authority property. Tenants with a visiting pet in or around their unit will be considered in violation of their lease.

It shall be a serious violation of the lease for any tenant to have a pet without proper approval and without complying with the terms of this policy. Such violation of this or

any other terms of this policy shall be considered a violation of the Lease and grounds for termination of tenancy. The tenant will be entitled to a hearing in accordance with the provisions of the Authority's Grievance Procedure, as applicable.

RESIDENT ACKNOWLEDGMENT OF LEASE ADDENDUM

After reading and/or having read to me the Pet Policy, I, _____
_____, do hereby agree to the following:

I agree to abide by the requirements outlined in the Pet Policy for pet ownership and to keep the pet in accordance with the Pet Policy.

I agree and understand that I am liable for any damage or injury whatsoever caused by my pet and shall pay the landlord or applicable party for any damages or injury caused by the pet. I also realize that I should obtain liability insurance for pet ownership and that paying the insurance is my responsibility.

I agree to accept full responsibility and will indemnify and hold harmless the landlord for any claims by or injuries to third parties or their property caused by my pet.

I agree to pay a non-refundable fee of \$_____ to cover some of the additional administrative costs incurred by the Housing Authority. I also understand that this fee is due and payable prior to the execution of this lease addendum.

I agree to pay a refundable pet deposit of \$_____ to the Authority prior to the execution of this lease addendum. The deposit may be used by the Landlord at the termination of the lease toward payment of rent or toward payment of any other costs made necessary because of the Tenant's occupancy of the premises. Otherwise, the pet deposit, or any balance remaining after final inspection, will be returned to the Tenant after the premises are vacated and all keys have been returned.

I AGREE AND UNDERSTAND THAT ALL INFORMATION CONCERNING MY PET MUST BE UPDATED ANNUALLY AND PROVIDED TO THE AUTHORITY AT THE ANNUAL REEXAMINATION, OR AS OTHERWISE REQUESTED.

I AGREE AND UNDERSTAND THAT VIOLATING THIS LEASE ADDENDUM or the Pet Policy MAY RESULT IN THE REMOVAL OF THE PET FROM THE PROPERTY OF THE AUTHORITY AND/OR EVICTION. I ALSO UNDERSTAND THAT I MAY NOT BE ALLOWED TO OWN ANY TYPE OF PET IN THE FUTURE WHILE BEING AN OCCUPANT OF THE NEWPORT HOUSING AUTHORITY.

I ALSO UNDERSTAND THAT I MUST OBTAIN PRIOR APPROVAL FROM THE AUTHORITY BEFORE MAKING A CHANGE OF A PET FOR WHICH THIS POLICY WAS APPROVED. A PICTURE MAY ALSO BE TAKEN BY AUTHORITY STAFF OF THE PET FOR DOCUMENTATION.

Head of Household Signature

Date

Signature of Other Adult

Date

Housing Authority Representative

Date

Section 8 Homeownership Capacity Statement

The Housing Authority of Newport (HAN) has operated a Section 8 Homeownership program since 2004. We currently have 18 homeowners participating in the program.

The HAN works directly with the Brighton Center, Inc., a non-profit organization certified to teach the Yes You Can pre and post homeownership counseling classes. The HAN and the Brighton Center staff meet regularly to evaluate program progress.

The HAN's Section 8 Coordinator is a licensed real estate agent and has over 25 years experience with the Section 8 Program.

Description of Homeownership Programs

The Housing Authority of Newport (HAN) has administered two homeownership programs:

HOPE VI Constructed and Rehabilitated Homes (HCRH)
Community Investment Partnership Program (CIPP)

With the HCRH Program, the HAN provides soft-second financing to qualifying buyers interested in purchasing a new or rehabilitated home within the City of Newport. Since 2005, the HAN has closed on 14 of these loans, and fifteen more new homes are currently under construction. In addition to the HOPE VI financing, the HAN, in partnership with the Campbell County Fiscal Court, is administering a \$1 Million grant to provide soft-second financing to 24 additional qualifying homebuyers.

The CIPP program provided soft second financing to 65 qualifying homebuyers of existing homes within the City of Newport. All homes had to pass an HQS inspection and necessary improvements were made prior to closing where required. The program was financed with HOPE VI funds, and HAN closed 65 of these loans between April of 2005 and December 2007.