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Macoupin County Housing Authority IL047

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2008

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan
Agency Identification

PHA Name: Macoupin County Housing Authority **PHA Number:** IL047
PHA Fiscal Year Beginning: (mm/yyyy) 10/2008

PHA Programs Administered:
☐**Public Housing and Section 8** ☐**Section 8 Only** ☒**Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units: 362
Number of S8 units:

☐**PHA Consortia: (check box if submitting a joint PHA Plan and complete table)**

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)
☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)
☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices
☐ Main administrative office of the local government
☐ Main administrative office of the County government
☐ Main administrative office of the State government
☐ Public library
☐ PHA website
☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)
☒ Main business office of the PHA

- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

☒ The PHA's mission is: (state mission here)

Our goal is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS**. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☐ Apply for additional rental vouchers:
- ☐ Reduce public housing vacancies:
- ☒ Leverage private or other public funds to create additional housing opportunities: Develop and implement homeownership program using state Bond Cap funds.
- ☐ Acquire or build units or developments.
- ☐ Other (list below)

☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score). Achieve and maintain high performer status *The Macoupin County Housing Authority was designated PHAS High Performer on March 13, 2008*
- ☐ Improve voucher management: (SEMAP score)

- ☐ Increase customer satisfaction:
- ☐ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units: Use CFP funds (See annual and five year plans)
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☒ Other: (list below) Add amenities and improve curb appeal for improved quality of life and marketability of units.

☒ PHA Goal: Increase assisted housing choices
Objectives:

- ☐ Provide voucher mobility counseling:
- ☐ Conduct outreach efforts to potential voucher landlords
- ☐ Increase voucher payment standards
- ☐ Implement voucher homeownership program:
- ☒ Implement public housing or other homeownership programs: Develop and implement homeownership program using state Bond Cap funds.
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment
Objectives:

- ☒ Implement measures to de-concentrate poverty by bringing higher income public housing households into lower income developments:
- ☒ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☒ Other: (list below)

  Pursue ROSS and other grants; develop and implement FSS Action Plan; obtain grants to fund a public housing FSS Coordinator; and develop and implement homeownership program.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

☐ Increase the number and percentage of employed persons in assisted families: The Authority has a Public Housing FSS program and one of the program's goals is to add ten to twelve participants to the program each year. Action plans are then custom developed for each FSS program participant which usually involves assistance with job training and placement. The Authority will work closely with TANF and the Illinois Valley Economic Development Corporation to provide job training and placement.

☒ Provide or attract supportive services to improve assistance recipients' employability:

☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.

☒ Other: (list below)

- Manage new independent living with services for the elderly
- Pursue ROSS and other grants
- Expand newly implemented public housing FSS Program
- Establish escrow accounts for FSS program participants
- Pursue funding for public housing FSS Coordinator
- Develop and implement homeownership program

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:

☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:

☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

☒ Other: (list below) Provide training for current residents and applicants

Other PHA Goals and Objectives: (list below)

Pursue alternative funding sources

Annual PHA Plan
PHA Fiscal Year 2008
[24 CFR Part 903.7]

i. Annual Plan Type:
Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan** *The Macoupin County Housing Authority was designated PHAS High Performer on March 13, 2008*

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan
[24 CFR Part 903.7 9 (r)]
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Authority is on schedule with its FY2007 annual plan and is revising its FY2005-2009 five-year plan to eliminate the consideration of demolishing its oldest public housing development (IL047-05) and replacing with new single family detached rental homes. The Authority will continue to implement its $1,500,000 Bond-cap homeownership program with technical assistance from the Illinois Housing Development Authority.

During FY2007 the Authority entered into, and implemented, a Memoranda of Agreement (MOA) with HUD to correct the Authority's designation of "financially troubled" as the result of developing the Village at Morse Farm. The Authority successfully implemented the MOA well ahead of schedule and on March 13, 2008 was once again designated PHAS high performer.

During FY2007 the Authority completed the initial lease-up of the 46 unit independent living with services facility known as The Village at Morse Farm. During FY2008 the Authority will continue to administer the facility.

The Authority is on schedule with all open CFP programs.

iii. Annual Plan Table of Contents
[24 CFR Part 903.7 9 (r)]
Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

☒ Admissions Policy for Deconcentration Attachment A: Deconcentration il047a01
☒ FY 2005 Capital Fund Program Annual Statement Attachment B: il047b01
☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Attachment C: HUD letter removing Authority from Troubled Status and designating Authority as PHAS High Performer il047c01

☒ List of Resident Advisory Board Members Attachment D: il047d01
☒ List of Resident Board Member Attachment E: il047e01
☒ Community Service Description of Implementation Attachment F: il047f01 (Currently only one family must provide Community service)
☒ Information on Pet Policy Attachment G: il047g01
☐ Section 8 Homeownership Capacity Statement, if applicable
☐ Description of Homeownership Programs, if applicable

Optional Attachments:

☐ PHA Management Organizational Chart
☒ FY 2008 Capital Fund Program 5 Year Action Plan Attachment H: il047h01
☐ Public Housing Drug Elimination Program (PHDEP) Plan
☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
☒ Other (List below, providing each attachment name)

Attachment I: Definition of Substantial Deviation il047i01
Attachment J: Town Based Waiting List il047j01
Attachment K: Organizational Structure il047k01
Attachment L: Capital Fund 06 Cover Letter il047l01
Attachment M: Capital Fund 06 P&E Report il047m01
Attachment N: Capital Fund 06 Section 3 Report il047n01
Attachment O: Capital Fund 07 Cover Letter il047ol01
Attachment P: Capital Fund 07 P&E Report il047p01
Attachment Q: Capital Fund 07 Section 3 Report il047q01

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with de-	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
	concentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/*99 Quality Housing and Work Responsibility Act Initial Guidance; Notice* and any further HUD guidance) and 2. Documentation of the required de-concentration and income mixing analysis	
X	Public housing rent determination policies, including the methodology for setting public housing flat rents ☒ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development ☒ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
N/A	Section 8 rent determination (payment standard) policies ☐ check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures ☐ check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
N/A	Section 8 informal review and hearing procedures ☐ check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
N/A	Policies governing any Section 8 Homeownership program ☐ check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	759	4	3	4	3	3	2
Income >30% but <=50% of AMI	501	3	3	3	3	3	2
Income >50% but <80% of AMI	249	2	2	3	3	3	2
Elderly	60	3	2	2	2	2	2
Families with Disabilities	20	3	4	4	3	4	2
Race/Ethnicity W	1485	3	3	4	3	3	2
Race/Ethnicity B	18	3	3	4	3	3	2
Race/Ethnicity O							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☒ Consolidated Plan of the Jurisdiction/s State of Illinois
Indicate year: Draft 2008

☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

☐ American Housing Survey data
Indicate year:

☐ Other housing market study
Indicate year:

☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s**. Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1,277		84
Extremely low income <=30% AMI	1,011	79.17%	
Very low income (>30% but <=50% AMI)	232	18.17%	
Low income (>50% but <80% AMI)	31	2.43%	
Families with children	851	66.64%	
Elderly families	426	33.36%	
Families with Disabilities	187	14.64%	
Race/ethnicity W	1,246	97.57%	
Race/ethnicity B	31	2.43%	
Race/ethnicity NH	1,266	99.14%	
Race/ethnicity H	11	0.86%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	426	33.36%	19
2 BR	541	42.36%	30
3 BR	265	20.75%	27
4 BR	45	3.52%	8

Housing Needs of Families on the Waiting List			
5 BR			
5+ BR			

Is the waiting list closed (select one)? ☒ No ☐ Yes
If yes:
How long has it been closed (# of months)?
Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

See Attachment K il047j01 for waiting list analysis by town.

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
☐ Reduce turnover time for vacated public housing units
☐ Reduce time to renovate public housing units
☐ Seek replacement of public housing units lost to the inventory through mixed finance development
☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
☐ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
☐ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☐ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☐ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☒ Other: (list below) The Authority completed construction of a new 46 unit independent living with services facility for the elderly in 2006 and completed initial lease-up in 2007.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
Select all that apply

☐ Seek designation of public housing for families with disabilities
☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
☒ Affirmatively market to local non-profit agencies that assist families with disabilities
☒ Other: (list below) Upon request the Authority alters units to accommodate families with disabilities.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
Select if applicable

☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing
Select all that apply

☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies
Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

☒ Funding constraints
☐ Staffing constraints
☐ Limited availability of sites for assisted housing

☒ Extent to which particular housing needs are met by other organizations in the community
☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
☒ Influence of the housing market on PHA programs
☐ Community priorities regarding housing assistance
☐ Results of consultation with local or state government
☒ Results of consultation with residents and the Resident Advisory Board
☐ Results of consultation with advocacy groups
☒ Other: (list below) Results of Housing Needs Assessment conducted by the Authority in 2004.

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. **Federal Grants (FY 2007 grants)**		
a) Public Housing Operating Fund	$716,625	
b) Public Housing Capital Fund	$643,974	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance		
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	$20,085	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
CFP FY 2005	$199,693	Modernization
CFP FY 2006	$629,123	Modernization
CFP FY 2007	See 1 (b)	Modernization
3. Public Housing Dwelling Rental Income	$496,113	
Nondwelling rental	$900	Operations
4. Other income (list below)		
Interest on investments	$6,381	Operations
4. Non-federal sources (list below)		
Village at Morse Farm Mgmnt Fee	$55,080	Operations
Total resources	$2,767,974	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

☐ When families are within a certain number of being offered a unit: (state number)
☒ When families are within a certain time of being offered a unit: (state time) When the family makes application and then within 90 days of unit offer.
☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

☒ Criminal or Drug-related activity
☐ Rental history
☐ Housekeeping
☒ Other (describe) Credit history

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

☐ Community-wide list
☐ Sub-jurisdictional lists
☐ Site-based waiting lists
☒ Other (describe) By the nine towns served by the Authority as previously approved by HUD.

b. Where may interested persons apply for admission to public housing?

☒ PHA main administrative office
☐ PHA development site management office
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 9

2. ☐ Yes ☒ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☒ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists? All nine town lists

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

☒ PHA main administrative office
☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

☐ One
☐ Two
☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

☒ Emergencies
☐ Over-housed
☐ Under-housed
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

☐ Involuntary Displacement (Disaster, Government Action, Action of Housing

Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:
Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:
☐ The PHA applies preferences within income tiers
☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)
☒ The PHA-resident lease
☒ The PHA's Admissions and (Continued) Occupancy policy
☒ PHA briefing seminars or written materials
☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)
☐ At an annual reexamination and lease renewal
☒ Any time family composition changes
☒ At family request for revision
☐ Other (list)

(6) Deconcentration and Income Mixing (See Attachment il047a01)

a. ☒ Yes ☐ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote de-concentration of poverty or income mixing?

b. ☒ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote de-concentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)
☐ Adoption of site based waiting lists
If selected, list targeted developments below:

☒ Employing waiting list "skipping" to achieve de-concentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below: (See Attachment il047a01)

Development Name:	**Number of Units**
IL047-03	24
IL047-04	40
IL047-06	6
IL047-12	18
IL047-14	20

☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☐ Yes ☒ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for de-concentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

☐ Additional affirmative marketing
☐ Actions to improve the marketability of certain developments
☐ Adoption or adjustment of ceiling rents for certain developments
☐ Adoption of rent incentives to encourage de-concentration of poverty and income-mixing
☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)
☐ Not applicable: results of analysis did not indicate a need for such efforts
☒ List (any applicable) developments below: (See Attachment il047a01)

Development Name:	**Number of Units**
IL047-04	40
IL047-14	20

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)
☐ Not applicable: results of analysis did not indicate a need for such efforts
☒ List (any applicable) developments below: (See Attachment il047a01)

Development Name:	Number of Units
IL047-03	24
IL047-06	6
IL047-12	18

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

☐ Criminal or drug-related activity only to the extent required by law or regulation
☐ Criminal and drug-related activity, more extensively than required by law or regulation
☐ More general screening than criminal and drug-related activity (list factors below)
☐ Other (list below)

b. ☐ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☐ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☐ Criminal or drug-related activity
☐ Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☐ None
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program

☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
☐ PHA main administrative office
☐ Other (list below)

(3) Search Time

a. ☐ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

☐ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☐ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences
☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families

☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

☐ Date and time of application
☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

☐ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

☐ The PHA applies preferences within income tiers
☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

☐ The Section 8 Administrative Plan
☐ Briefing sessions and written materials
☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

☐ Through published notices
☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or

minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)
☐ $0
☐ $1-$25
☒ $26-$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below**:**

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
☐ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

☐ For household heads
☐ For other family members
☐ For transportation expenses

☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☒ Yes for all developments
☐ Yes but only for some developments
☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☒ For all developments
☐ For all general occupancy developments (not elderly or disabled or elderly only)
☐ For specified general occupancy developments
☐ For certain parts of developments; e.g., the high-rise portion
☐ For certain size units; e.g., larger bedroom sizes
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

☒ Market comparability study
☐ Fair market rents (FMR)
☐ 95th percentile rents
☐ 75 percent of operating costs
☐ 100 percent of operating costs for general occupancy (family) developments
☐ Operating costs plus debt service
☐ The "rental value" of the unit
☒ Other (list below) Ceiling rents are the same as flat rents, which were established based upon a local market study.

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

☐ Never
☒ At family option
☐ Any time the family experiences an income increase

☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
☒ Other (list below) When family composition changes

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

☐ The section 8 rent reasonableness study of comparable housing
☒ Survey of rents listed in local newspaper
☒ Survey of similar unassisted units in the neighborhood
☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies**.**

a. What is the PHA's payment standard? (select the category that best describes your standard)

☐ At or above 90% but below100% of FMR
☐ 100% of FMR
☐ Above 100% but at or below 110% of FMR
☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ The PHA has chosen to serve additional families by lowering the payment standard
☐ Reflects market or submarket

☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ Reflects market or submarket
☐ To increase housing options for families
☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

☐ Annually
☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

☐ Success rates of assisted families
☐ Rent burdens of assisted families
☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

☐ $0
☐ $1-$25
☐ $26-$50

b. ☐ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

☒ An organization chart showing the PHA's management structure and organization is attached. Attachment K: Organizational Structure il047k01

☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	362 units	84 units
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		
Public Housing FSS	8	4
Housing Counseling	50	N/A

In addition to the above Federal programs the Authority administers a 46 unit independent living with services facility, the Village at Morse Farms, and a state funded homeownership program ($1,500,000 Bond-Cap). The Authority will begin construction and sales of the homes during FY 2008 with technical assistance from the Illinois Housing Development Authority.

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- Public Housing Lease
- Rent Collection Policy
- Pet Policy
- Grievance Procedure
- Pest Control Policy
- Maintenance Plan
- Personnel Policy
- Procurement Policy
- Capitalization Policy
- Disposition Policy
- Operating Budget
- Capital Budget
- Capital Grant Program Plan
- Deconcentration Policy
- Community Service Requirement Policy
- Investment Policy
- Public Housing Master Book
- Rec-Hall Policy
- Emergency Handbook for Employees

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

☒ PHA main administrative office
☐ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☐ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

☐ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) Attachment B: il047b01

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) Attachment H: il047h01

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
   - ☐ Revitalization Plan under development
   - ☐ Revitalization Plan submitted, pending approval
   - ☐ Revitalization Plan approved
   - ☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition ☐ Disposition ☐
3. Application status(select one) Approved ☐ Submitted, pending approval ☐ Planned application ☐
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)
5. Number of units affected: 6. Coverage of action (select one) ☐ Part of the development ☐ Total development

7. Timeline for activity:
   a. Actual or projected start date of activity:
   b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly ☐ Occupancy by families with disabilities ☐ Occupancy by only elderly families and families with disabilities ☐
3. Application status (select one) Approved; included in the PHA's Designation Plan ☐ Submitted, pending approval ☐ Planned application ☐
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one)

☐ New Designation Plan ☐ Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) ☐ Part of the development ☐ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? ☐ Assessment underway ☐ Assessment results submitted to HUD ☐ Assessment results approved by HUD (if marked, proceed to next question) ☐ Other (explain below)
3. ☐ Yes ☐ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status)

☐ Conversion Plan in development ☐ Conversion Plan submitted to HUD on: (DD/MM/YYYY) ☐ Conversion Plan approved by HUD on: (DD/MM/YYYY) ☐ Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) ☐ Units addressed in a pending or approved demolition application (date submitted or approved: ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) ☐ Requirements no longer applicable: vacancy rates are less than 10 percent ☐ Requirements no longer applicable: site now has less than 300 units ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: ☐ HOPE I ☐ 5(h) ☐ Turnkey III ☐ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ☐ Approved; included in the PHA's Homeownership Plan/Program ☐ Submitted, pending approval ☐ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) ☐ Part of the development ☐ Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria
☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:
☒ Yes ☐ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 8/31/2000

2. Other coordination efforts between the PHA and TANF agency (select all that apply)
☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
☐ Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size (Once the program is implemented)	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Public Housing FSS Program	25	Specific Criteria	PHA main office	Public Housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation

Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	N/A	14 as of 12/31/2007
Section 8		

The Authority is in the process of implementing its newly established Public Housing FSS Program and estimates it will serve up to 25 families once the program is established.

b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
☒ Informing residents of new policy on admission and reexamination
☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
☐ Residents fearful for their safety and/or the safety of their children
☐ Observed lower-level crime, vandalism and/or graffiti
☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
☒ Other (describe below)

The Authority does not have an actual or perceived crime problem due to the proactive measures already in place and the on-going close relationship with local law enforcement agencies. Any problem, no matter how small, is reported daily to the Authority by local law enforcement. The Authority also has regularly scheduled weekly contact with local law enforcement. Because of the Authority's proactive measures and policies (one strike and you are out and banned policies) that are strictly enforced; applicants, residents and the community at large know crime and drug related activity will not be tolerated. All applicants are thoroughly screened for criminal background through local law enforcement and the FBI, including in-house finger printing.

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

☐ Safety and security survey of residents
☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
☒ Resident reports
☐ PHA employee reports
☒ Police reports
☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
☐ Other (describe below)

3. Which developments are most affected? (list below)

The Authority has 19 developments located in 9 towns and has taken action to ensure the safety of all residents. Safety measures in place include:

- One strike and you are out policy that is strictly enforced
- Banned policy that is strictly enforced with the full support of local law enforcement

- Regular patrols of all developments by local law enforcement
- Reports from local law enforcement as incidents occur
- Safety and anti-crime/drug articles in the Authority's monthly newsletter to all Residents
- Security lighting at every building
- Aggressive and thorough criminal background checks of all applicants

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
☐ Crime Prevention Through Environmental Design
☐ Activities targeted to at-risk youth, adults, or seniors
☐ Volunteer Resident Patrol/Block Watchers Program
☒ Other (describe below) See above A., 3.

2. Which developments are most affected? (list below) See above A., 3.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
☒ Police provide crime data to housing authority staff for analysis and action
☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
☐ Police regularly testify in and otherwise support eviction cases
☒ Police regularly meet with the PHA management and residents
☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
☐ Other activities (list below)

2. Which developments are most affected? (list below) All developments

D. Additional information as required by PHDEP/PHDEP Plan (Not Applicable)

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
☐ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: ___)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))? (If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?
4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain?____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

☐ Not applicable
☐ Private management

☒ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)

3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
☐ Attached at Attachment (File name)
☒ Provided below: The PHA Plan was developed in conjunction with the Resident Advisory Board therefore their input was incorporated as the PHA Plan was developed.

3. In what manner did the PHA address those comments? (select all that apply)
☐ Considered comments, but determined that no changes to the PHA Plan were necessary.
☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☒ Other: (list below) The PHA Plan was developed in conjunction with the Resident Advisory Board therefore their input was incorporated as the PHA Plan was developed.

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

Note: Mr. Don B. Denby, Jr., County Board Chair, reappointed our resident commissioner, Ms. Ilene Winters, August, 2004 for a five-year term as all other commissioners. Appointments are made during August of each year for five-year terms.

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

☐ Candidates were nominated by resident and assisted family organizations
☐ Candidates could be nominated by any adult recipient of PHA assistance
☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
☐ Other: (describe)

b. Eligible candidates: (select one)

☐ Any recipient of PHA assistance
☐ Any head of household receiving PHA assistance
☐ Any adult recipient of PHA assistance
☐ Any adult member of a resident or assisted family organization
☐ Other (list)

c. Eligible voters: (select all that apply)

☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
☐ Representatives of all PHA resident and assisted family organizations
☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) State of Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- Preserve existing housing stock
- Provide more affordable housing for low- income families

☐ Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The state provides loans, grants and technical assistance with developing affordable housing for low-income families. The Authority has received $1,500,000 in bond-cap funds and technical assistance from the state to develop and implement a low-income homeownership program during FY 2008.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment A: il047a01
Deconcentration and Income Mixing

(6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the de-concentration rule? If no, this section is complete. If yes, continue to the next question.

b. ☒ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	**Number of Units**	**Explanation (if any) [see step 4 at §903.2(c)(1)((iv)]**	**Deconcentration policy (if no explanation) [see step 5 at§903.2(c)(1)(v)]**
IL047-03	24	Above 115%	Incentives/skipping
IL047-04	40	Below 85%	Incentives/skipping
IL047-06	6	Above 115%	Incentives/skipping
IL047-12	18	Above 115%	Incentives/skipping
IL047-14	20	Below 85%	Incentives/skipping

Attachment A: il047a01
Deconcentration and Income Mixing

Deconcentration Analysis FY 2008:

Development	Total Income	# Units	Avg Income	Below 85%	Above 115%
IL47-01	$127,364	12	$10,614		
IL47-02	$562,245	46	$12,223		
IL47-03	$349,322	24	$14,555		**Y**
IL47-04	$414,934	40	$10,373	**Y**	
IL47-05	$253,538	20	$12,677		
IL47-06	$84,729	6	$14,122		**Y**
IL47-08	$263,833	20	$13,192		
IL47-09	$255,918	20	$12,796		
IL47-10	$268,508	20	$13,425		
IL47-12	$261,408	18	$14,523		**Y**
IL47-13	$139,636	12	$11,636		
IL47-14	$187,936	20	$9,397	**Y**	
IL47-15	$238,877	20	$11,944		
IL47-16	$272,586	20	$13,629		
IL47-17	$225,267	20	$11,263		
IL47-18	$159,307	14	$11,379		
IL47-19	$119,889	10	$11,989		
IL47-20	$122,664	10	$12,266		
HA-Wide	$4,307,960	352	$12,239		
85% HA-Wide			$10,403		
115% HA-Wide			$14,074		

Attachment A: il047a01
Deconcentration and Income Mixing

MACOUPIN COUNTY HOUSING AUTHORITY

DECONCENTRATION POLICY

PUBLIC HOUSING:

In an ongoing effort for the Housing Authority to meet or exceed the laws and regulations regarding its public housing programs, the following Deconcentration Policy has been developed in order to comply with the Quality Housing and Work Responsibility Act of 1998, Section 513.

INCOME MIX TARGETING: To meet the requirements of the Act and subsequent HUD regulations, at least 40 percent of families admitted to public housing by the Housing Authority must have incomes that do not exceed 30% of the area median. If 40% or more of the Housing Authority units are occupied by families whose incomes do not exceed 30% of the area median income, this requirement shall be considered as being met.

PROHIBITION OF CONCENTRATION OF LOW-INCOME FAMILIES: The Housing Authority will not, in meeting this income mix targeting, concentrate very low-income families, or other families with relatively low incomes, in public housing units in certain projects or certain buildings. The Housing Authority will review the income and occupancy characteristics of the housing projects and the buildings of each project to ensure that a low-income concentration does not occur.

DECONCENTRATION: The Housing Authority will make every effort to deconcentrate families of certain income characteristics within the Authority development. To achieve this, the Housing Authority will offer incentives for eligible families having higher incomes to occupy dwelling units in projects predominantly occupied by eligible families having lower incomes, and provide for occupancy of eligible families having lower incomes in project predominantly occupied by eligible families having higher incomes. Incentives by the Housing Authority allow for the eligible family to have the sole discretion in determining whether to accept the incentive and the Housing Authority will not take any adverse action toward any eligible family for choosing not to accept these incentives. The skipping of a family on the waiting list to reach another family to implement this Deconcentration Policy shall not be considered an adverse action. As such, the Housing Authority will continue to accept application and place the individuals on a waiting list. Selection will be made based on a combination of the local preferences and an income target mix.

Attachment A: il047a01
Deconcentration and Income Mixing

The Housing Authority will track the income mix within each project as an effort to avoid a concentration of higher or lower income families in any one building or development.

Monitoring will be conducted to confirm that at least forty (40) percent of all leased units will be within thirty (30) percent of median income.

Efforts through marketing and outreach shall be made to increase the number of families with incomes greater than thirty (30) percent of median income in the projects noted above in order to avoid concentrations of very low-income families in the projects as per the requirements of the QHWRA of 1998.

Attachment B: il047b01
CAPITAL FUND PROGRAM ANNUAL PLAN

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:	**Grant Type and Number**	**Federal FY of Grant:**
Macoupin County Housing Authority	Capital Fund Program Grant No: **IL06P04750108** Replacement Housing Factor Grant No:	**2008**

☒ **Original Annual Statement** ☐ **Reserve for Disasters/ Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line No.	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost**	
		Original	**Revised**	**Obligated**	**Expended**
1	Total non-CFP Funds				
2	1406 Operations	$63,047			
3	1408 Management Improvements	$63,047			
4	1410 Administration	$63,047			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$62,500			
8	1440 Site Acquisition				
9	1450 Site Improvement	$75,000			
10	1460 Dwelling Structures	$265,000			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	$1,000			
13	1475 Nondwelling Equipment	$37,835			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collaterization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	$630,476			
22	Amount of line 21 Related to LBP Activities	$20,000			
23	Amount of line 21 Related to Section 504 compliance	$10,000			
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				

Attachment B: il047b01
CAPITAL FUND PROGRAM ANNUAL PLAN

Annual Statement/Performance and Evaluation Report **Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**					
PHA Name: **Macoupin County Housing Authority**		**Grant Type and Number** Capital Fund Program Grant No: **IL06P04750108** Replacement Housing Factor Grant No:			**Federal FY of Grant: 2008**
☒**Original Annual Statement** ☐**Reserve for Disasters/ Emergencies** ☐**Revised Annual Statement (revision no:)** ☐**Performance and Evaluation Report for Period Ending:** ☐**Final Performance and Evaluation Report**					
Line No.	**Summary by Development Account**	**Total Estimated Cost**		**Total Actual Cost**	
		Original	**Revised**	**Obligated**	**Expended**
26	Amount of line 21 Related to Energy Conservation Measures				

Attachment B: il047b01
CAPITAL FUND PROGRAM ANNUAL PLAN

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Macoupin County Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: **IL06P04750108** Replacement Housing Factor Grant No:					**Federal FY of Grant: FY2008**		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Units	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
HA-Wide	Service contracts	LS	1406		$23,047				
HA-Wide	Vacancy reduction plan activities	LS	1406		$40,000				
HA Wide	Resident Services Coordinator	LS	1408		$42,000				
HA Wide	Commissioner /Staff Training	LS	1408		$12,000				
HA Wide	Technical Assistance with operations and affordable housing	LS	1408		$9,047				
HA Wide	Grant Administrator/Clerical Support	LS	1410		$63,047				
HA Wide	A/E Fees	LS	1430		$25,000				
HA Wide	Agency plan technical assistance	LS	1430		$22,500				
HA Wide	LBP/asbestos inspections/risk assessments	LS	1430		$15,000				
HA Wide	Repair/replace sidewalks & drives	LS	1450		$75,000				
HA Wide	Section 504 upgrade to full UFAS	LS	1460		$10,000				
HA Wide	LBP/Asbestos removal	LS	1460		$5,000				

Attachment B: il047b01
CAPITAL FUND PROGRAM ANNUAL PLAN

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Macoupin County Housing Authority		**Grant Type and Number** Capital Fund Program Grant No: **IL06P04750108** Replacement Housing Factor Grant No:					**Federal FY of Grant: FY2008**		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Units	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
IL047-02	Replace roof	DU	1460	46	$184,000				
IL 47-02	Wall deterioration repair/stabilization	Bldg	1460	24	$36,000				
IL 47-04	Wall deterioration repair/stabilization	Bldg	1460	21	$30,000				
HA Wide	Upgrade facilities and furniture	LS	1470		$1,000				
HA Wide	Computer/communications equipment	LS	1475		$10,000				
HA Wide	Maintenance, vehicles and tools	LS	1475		$27,835				

Attachment B: il047b01
CAPITAL FUND PROGRAM ANNUAL PLAN

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Macoupin County Housing Authority	**Grant Type and Number** Capital Fund Program No: **IL06P04750108** Replacement Housing Factor No:	**Federal FY of Grant: FY2008**

Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA-Wide	9/30/2010			9/30/2011			
IL047-02	9/30/2010			9/30/2011			
IL047-04	9/30/2010			9/30/2011			

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Chicago Regional Office
77 W. Jackson Blvd.
Chicago, Illinois 60604-3507

OFFICE OF PUBLIC HOUSING

Phone: (312) 353-1915 Fax: (312) 886-4060

March 13, 2008

RECEIVED
MAR 24 2008
MACOUPIN COUNTY
HOUSING AUTHORITY

Ms. Margaret Barkley, Executive Director
Macoupin County Housing Authority
760 Anderson St.
Carlinville, Illinois 62626

Dear Ms. Barkley:

Subject: Removal from Troubled Status

This letter is to confirm that the Macoupin County Housing Authority (MCHA) has been officially removed from the "Substandard Financial" Status, you have completed the tasks outlined in the MOA and you are no longer required to submit monthly reports to this office. The 2005 Financial Assessment Subsystem Score (FASS) was 12 points out of a possible 30, which designated the MCHA as "Substandard Financial". The 2007 FASS score is 26 points out of 30 and the overall designation of this housing authority is now "High Performer". Please continue to provide a quality Low-Rent Program to the residents of Macoupin County.

Should you have any questions, please contact Cynthia Mitchell, PHRS, at (312) 353-1915, extension 2349.

Sincerely,

Steven Meiss, Director
Office of Public Housing
Illinois State Office
Region V

P.S. Congratulations
SEM.

ATTACHMENT D: il047d01

Macoupin County Housing Authority
Resident Advisory Board

November 1, 2006

Ilene Winters 20 Olroyd Court Carlinville, IL 62626	(217) 854-3646	Carlinville
Mary Weeks 213 Deneen St. Staunton, IL 62088	(618) 635-2708	Staunton
Robert Hozian 724B East Pleasant Street Bunker Hill, IL 62014	(618) 585-6164	Bunker Hill
Sandra Johnson 216 N. Main St, Apt #7 Palmyra, IL 62033		Palmyra
Bernice Miller 504 Lynn St. Gillespie, IL 62033	(217) 839-2631	Gillespie
Leta Frailey 105 N. Walnut St. Mt. Olive, IL 62069	(217) 999-7341	Mt. Olive
Vacant		Virden
Vacant		Benld
Treva Juare 339 South Lincoln St. Girard, IL 62640	(217) 627-3248	Girard

ATTACHMENT E: il047e01

Resident Board Member

Resident Commissioner:	Ilene Winters
How Selected:	Reappointed by County Board Chair Mr. Don B. Denby, Jr. All Commissioners are appointed by the Macoupin County Board Chair
Term:	Reappointed August 2004 for a five year term that will end August 2009

Attachment F: il047f01

COMMUNITY SERVICE REQUIREMENT POLICY

MACOUPIN COUNTY HOUSING AUTHORITY

SERVICE REQUIREMENT

Except for any family member who is an exempt individual, each adult resident of public housing must:

1) Contribute 8 hours per month of community service (does not include political activities); or

2) Participate in an economic self-sufficiency program for 8 hours per month; or

3) Perform 8 hours per month of combined community service and economic self-sufficiency.

COVERED RESIDENTS

All public housing residents between the ages of 18 and 62 years of age who are not exempt.

EXEMPT RESIDENTS

Any public housing resident who is:

1) 62 years or older;

2) Blind or disabled and who certifies that because of this disability she or he is unable to comply with the requirement of the policy;

3) Primary caretaker of a person who is 62 years or older or who is blind or disabled;

4) Engaged in work activities;

5) Engaged in a work activity under the state program funded under Part A of Title IV of the Social Security Act, or under any other welfare program of the state, including a state administered welfare-to-work program;

6) Member of a family receiving assistance, benefits or services under a state program funded under Part A of Title IV of the Social Security Act, or under any other welfare program of the state, including a state administered welfare-to-work program and has not been found by the state to be in noncompliance with such a program;

7) Primary caregiver for a sibling five years of age or younger.

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Attachment F: il047f01

VIOLATION OF SERVICE REQUIREMENT

Violation of the service requirement is grounds for nonrenewal of the twelve (12) months lease agreement, but not for termination of tenancy during the course of the twelve (12) months lease term.

COMMUNITY SERVICE

The performance of volunteer work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. Community service is not employment and may not include political activities.

QUALIFIED COMMUNITY SERVICE ORGANIZATIONS AND ACTIVITIES

As a convenience to covered residents, the Housing Authority will develop, post on the Authority's bulletin boards and provide to covered residents a list of qualified organizations (and activities) that have agreed to work with residents in helping them satisfy their community service requirement. Residents are not limited to the published list and are encouraged to identify other organizations and activities. To ensure compliance with this community service policy, residents should seek the Housing Authority's approval prior to volunteering for organizations or performing activities not included on the published list. It is the Housing Authority's policy to provide great latitude in approving community service organizations and activities.

DETERMINING RESIDENT STATUS

Per the Housing Authority's approved Admission and Continued Occupancy Policy (ACOP):

- The status of all applicant family members will be determined and families notified during the application process.

- During annual (or every three years for residents paying flat rents) recertifications, the status of each family member will be reviewed and determined.

- Between recertifications, residents are required to notify the Housing Authority within ten (10) days when there is a change in employment, income, family composition or welfare-to-work training or employment activities. The Housing Authority will use this information to determine changes, if any, in family member status.

- Members will be informed verbally and in writing of their community service requirement.

Attachment F: il047f01

ASSURING RESIDENT COMPLIANCE

The Housing Authority shall review and verify family compliance with service requirements annually at least thirty (30) days before the end of the twelve (12) month lease term. The Housing Authority will retain reasonable documentation of service requirement performance or exemption in resident family files.

If the Housing Authority determines that a covered family member has not complied with their service requirement, the Housing Authority will notify the family of this determination, describe the noncompliance and state the Authority will not renew the lease at the end of the twelve (12) month lease term unless:

- The family enters into an agreement with the Authority that the noncompliant family member will cure such noncompliance within the twelve (12) month term of the new lease while also satisfying the on-going service requirement.

- Or the family provides written assurance satisfactory to the Authority that the noncompliant family member no longer resides in the unit.

Families may request a grievance hearing on the Housing Authority's determination in accordance with the Authority's approved Grievance Procedure.

SIGNED CERTIFICATIONS

The Housing Authority management staff will provide signed certifications of any community service activities administered by the Authority. In a similar manner, organizations other than the Authority, who administer qualifying activities, must provide signed certifications.

The Housing Authority will provide covered residents with three part, prepaid post card size certification forms that the residents will present to the organization administering the qualifying activities for certification approval and signatures. The person(s) approved for signing the certifications will tear the three part, prepaid mailer form apart, give one copy to the resident, keep one copy, and drop the prepaid (and pre-addressed) part in the mail back to the Authority. The Authority will file the returned cards in each covered resident's file.

ATTACHMENT G: il047g01

PET POLICY

This Statement of Pet Policy is established for the Macoupin County Housing Authority (MCHA) on October 1, 2000 and revised October 1, 2007.

Per the requirements of 24 CFR Part 5.35 and 24 CFR Part 960, "a resident of a dwelling unit in public housing may own one (1) or more common household pets or have one (1) or more common household pets present in the dwelling unit of such resident, subject to the reasonable requirements of the public housing agency, if the resident maintains each pet responsibly and in accordance with applicable state and local public health, animal control and animal anti-cruelty laws and regulations and with the following policies".

1.0 Application for Pet Permit

Prior to housing any pet on the premises, the resident shall apply to MCHA for a pet permit which shall be accompanied by the following:

1.1 A current license issued by the appropriate authority, if applicable; and

1.2 Evidence that the pet has been spayed or neutered, as applicable; and

1.3 Evidence that the pet has received current rabies and distemper inoculations or boosters, as applicable, and

1.4 Evidence of payment of a $100 refundable pet deposit for each dog or cat. Pet deposits are not required for birds, fish aquariums, hamsters, guinea pigs and gerbils. Pet deposits are non-interest bearing and may be gradually paid at the rate of $50 when the cat or dog is brought on the premise then $10 per month until the deposit is paid. This refundable pet deposit must be paid in addition to MCHA's standard security deposit; and

1.5 Current picture of dog or cat, taken by MCHA; and

1.6 Residents must identify an alternate custodian for pets in the event of resident illness or other absence from the dwelling unit. This identification of an alternate custodian must occur prior to the Housing Authority issuing a pet registration permit and must be kept current. Custodian must state in writing to MCHA that they are willing to be the pet care giver. MCHA must have custodian's name. address and phone number.

ATTACHMENT G: il047g01

2.0 All residents with pets permitted to be kept under this policy shall comply with the following rules:

2.1 Permitted pets are domesticated dogs, cats, birds in cages, fish aquariums, hamsters, guinea pigs and gerbils. All other animals not listed are specifically excluded.

2.2 Birds, hamsters, guinea pigs, and gerbils are considered caged animals and must be kept in a cage.

2.3 The weight of the dog or cat may not exceed thirty (30) pounds (adult size) and the height of the dog or cat may not exceed fifteen inches at the shoulder (adult size).

2.4 A maximum total of two pets are allowed; however combinations of two dogs, two cats or a dog and a cat are not allowed. Examples of acceptable combinations include, but are not limited to: two caged animals, two aquariums, one caged animal and one aquarium, one caged animal and a dog, one caged animal and a cat, one aquarium and a dog, and one aquarium and a cat.

2.5 Dogs and cats must be licensed yearly with the County and/or City and residents must show proof of annual rabies and distemper booster inoculations required by state or local law.

2.6 Vicious and/or intimidating dogs will not be allowed, including, but not limited to such breeds as Dobermans, German Shepherds, Chows, Pit Bulls, Rockweilers, etc

2.7 All dogs and cats must be spayed or neutered, as applicable.

2.8 Dogs and cats shall remain inside the resident's unit. No animal shall be permitted to be loose in hallways, lobby areas, laundromats, community rooms, yards or other common areas of the facility.

2.9 When taken outside the unit, dogs and cats must be kept on a leash, controlled by an adult.

2.10 Birds, hamsters, guinea pigs and gerbils must be confined to a cage at all times. Fish must be confined to an aquarium not to exceed 55 gallons in size.

2.11 Residents shall not permit their pet to disturb, interfere, or diminish the peaceful enjoyment of other residents. The terms, "disturb, interfere or diminish" shall include but not be limited to barking, howling, chirping, biting, scratching and other like activities.

ATTACHMENT G: il047g01

2.12 Residents must provide litter boxes for cat waste, which must be kept in the dwelling unit. Residents shall not permit refuse from litter boxes to accumulate nor to become unsightly or unsanitary.

2.13 Residents are solely responsible for cleaning up pet droppings, if any, outside the unit and on facility grounds. Droppings must be disposed of by being placed in a sack and then placed in a refuse container outside the building.

2.14 Residents shall take adequate precautions and measures necessary to eliminate pet odors within or around the unit and shall maintain the unit in a sanitary condition at all times.

2.15 If pets are left unattended for a period of twenty-four (24) hours or more, MCHA may enter the dwelling unit, remove the pet and transfer it to the designated custodian or if unavailable, to the proper authorities, subject to the provision of state law and pertinent local ordinances. MCHA accepts no responsibility for the animal under such circumstances.

2.16 Residents shall not alter their unit, patio or grounds area in order to create an enclosure for any pet.

2.17 Residents are responsible for all damages caused by their pets, including the cost of cleaning of carpets and/or fumigation of units.

2.18 Residents are prohibited from feeding or harboring stray animals. The feeding of any stray animals shall constitute having a pet without written permission of MCHA.

2.19 At recertifications, residents must identify an alternate custodian for pets in the event of resident illness or other absence from the dwelling unit. The identification of an alternate custodian must occur prior to MCHA issuing a pet registration permit and then must be kept current.

2.20 Visitors are not allowed to bring pets and the residents shall not engage in “pet-sitting”.

2.21 No animals shall be tied up on the outside or left unattended. No dog houses, animal runs, etc., will be permitted.

2.22 These rules may be amended from time to time, as necessary, by MCHA and such amendments shall be binding on the residents upon notice thereof.

ATTACHMENT G: il047g01

2.23 Exception: The above rules may be waived in the case of an animal required by Doctor's written authorization to aid in the care of a visually, hearing or other impaired handicapped resident. Proper certification will be required if the animal is indeed properly trained to serve in this capacity.

3.0 Residents who violate these rules are subject to:

3.1 Being required to get rid of the pet within 14 days of written notice by MCHA; and/or

3.2 Eviction.

4.0 The privilege of maintaining a pet in a facility owned and/or operated by MCHA shall be subject to the rules set forth above. This privilege may be revoked at any time, subject to MCHA's Hearing Procedures, if the animal should become destructive, create a nuisance, represent a threat to the safety, health and security of other residents, or create a problem in the area of cleanliness and sanitation.

5.0 A breach of any of the foregoing rules constitutes a breach of the resident's lease and can result in not only in the revocation of the privilege of keeping a pet, but may result in any of the sanctions set forth in the resident's lease for breach thereof, including forfeiture of further leasehold rights and termination of the lease. Further, the resident is subject to the Animal Control Act, Section 351 et. seq. of Chapter 8 of the Illinois Revised Statutes. The election of a remedy by MCHA for a resident's breach of the forgoing rules is not exclusive and MCHA may thereafter pursue any of the various remedies set forth in the lease as MCHA may, in its discretion, decide.

_____ _____

Resident's Signature Date

_____ _____

Chief Executive Officer's Signature Date

ATTACHMENT H: il047h01
CAPITAL FUND PROGRAM FIVE-YEAR PLAN

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name Macoupin County Housing Authority				☒ **Original 5-Year Plan** ☐ **Revision No:**	
Development Number/Name/ HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011	Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012
IL047-001	Annual Statement	$10,500		$60,000	
IL047-002				$145,000	$165,000
IL047-003		19,500			$120,000
IL047-004		$5,000			
IL047-005		$70,000	$317,573	$70,000	
IL047-006		$6,000			
IL047-008					
IL047-009		$147,000			
IL047-010		$17,000			
IL047-011		$3,000			
IL047-012		$7,500			
IL047-013		$7,500			
IL047-014		$5,000	$10,500		
IL047-015		$5,000		$10,500	
IL047-016				$10,500	
IL047-017		$5,000		$9,000	
IL047-018				$7,500	
IL047-019				$7,500	
IL047-020		$12,500			
1450 HA-Wide		$5,000	$5,000	$5,000	$5,000
1460 HA-Wide		$15,000	$15,000	$15,000	$15,000
1406 Operations		$63,047	$63,047	$63,047	$63,047
1408 Mgt Improve		$63,047	$63,047	$63,047	$63,047
1410 Administration		$63,047	$63,047	$63,047	$63,047
1430 Fees and Costs		$62,500	$62,500	$62,500	$62,500
1470 Non-Dwelling Structures		$1,000	$1,000	$1,500	$1,500
1475 Vehicles/tools		$27,335	$19,762	$27,335	$42,335
1475 Computer		$10,000	$10,000	$10,000	$30,000
Total CFP Funds (Est.)		$630,476	$630,476	$630,476	$630,476
Replacement Housing Factor Funds					

ATTACHMENT H: il047h01
CAPITAL FUND PROGRAM FIVE-YEAR PLAN

ATTACHMENT H: il047h01
CAPITAL FUND PROGRAM FIVE-YEAR PLAN

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :__2__ FFY Grant: 2009 PHA FY: 2009			Activities for Year: __3_ FFY Grant: 2010 PHA FY: 2010		
	Project #	**Major Work Categories**	**Estimated Cost**	**Project #**	**Major Work Categories**	**Estimated Cost**
See	HA-Wide	1406 Operations	$63,047	HA-Wide	1406 Operations	$63,047
Annual	HA-Wide	1408 Management Improvements	$63,047	HA-Wide	1408 Management Improvements	$63,047
Statement	HA-Wide	1410 CFP Administration	$63,047	HA-Wide	1410 CFP Administration	$63,047
	HA-Wide	1430A/E Fees	$25,000	HA-Wide	1430A/E Fees	$25,000
	HA-Wide	1430 CFP Tech Assistance	$22,500	HA-Wide	1430 CFP Tech Assistance	$22,500
	HA-Wide	1430 LBP/Asbestos inspect/assess	$15,000	HA-Wide	1430 LBP/Asbestos inspect/assess	$15,000
	HA-Wide	1450 Repair/replace walks/drives	$5,000	HA-Wide	1450 Repair/replace walks/drives	$5,000
	IL047-04	1450 Remove playground	$5,000	HA-Wide	1460 Section 504 upgrade, full UFAS	$10,000
	IL047-14	1450 Remove playground	$5,000	HA-Wide	1460 LBP/Asbestos removal	$5,000
	IL047-17	1450 Remove playground	$5,000	IL047-05	1460 Renovate bathrooms	$97,573
	IL047-19	1450 Remove playground	$5,000	IL047-05	1460 Renovate kitchens	$150,000
	IL047-10	1450 Upgrade pavilion	$5,000	IL047-05	1460 Replace floors	$70,000
	IL047-15	1450 Upgrade pavilion	$5,000	IL047-14	1460 Wall deterioration repair/stabilization	$10,500
	HA-Wide	1460 Section 504 upgrade, full UFAS	$10,000	HA-Wide	1470 Upgrade nondwelling structures	$1,000
	HA-Wide	1460 LBP/Asbestos removal	$5,000	HA-Wide	1475 Vehicles, tools and equipment	$19,762
	IL047-09	1460 Re-roof	$135,000	HA-Wide	1475 Computer/communicate systems	$10,000
	IL047-05	1460 Replace interior doors	$55,000			
	IL047-06	1460 Wall deterioration repair/stabilization	$6,000			
	IL047-03	1460 Wall deterioration repair/stabilization	$19,500			
	IL047-20	1460 Wall deterioration repair/stabilization	$7,500			
	IL047-12	1460 Wall deterioration repair/stabilization	$7,500			
	IL047-11	1460 Wall deterioration repair/stabilization	$3,000			
	IL047-05	1460 Wall deterioration repair/stabilization	$15,000			
	IL047-10	1460 Wall deterioration repair/stabilization	$12,000			
	IL047-01	1460 Wall deterioration repair/stabilization	$10,500			
	IL047-09	1460 Wall deterioration repair/stabilization	$12,000			
	IL047-13	1460 Wall deterioration repair/stabilization	$7,500			
	HA-Wide	1470 Upgrade nondwelling structures	$1,000			
	HA-Wide	1475 Vehicles, tools and equipment	$27,335			

ATTACHMENT H: il047h01
CAPITAL FUND PROGRAM FIVE-YEAR PLAN

	HA-Wide	1475 Computer/communicate systems	$10,000			
Total CFP Estimated Cost			$630,476			$630,476

ATTACHMENT H: il047h01
CAPITAL FUND PROGRAM FIVE-YEAR PLAN

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :__4__ FFY Grant: 2011 PHA FY: 2011			Activities for Year: __5_ FFY Grant: 2012 PHA FY: 2012		
	Project Name/ Number	**Major Work Categories**	**Estimated Cost**	**Project Name/ Number**	**Major Work Categories**	**Estimated Cost**
See	HA-Wide	1406 Operations	$63,047	HA-Wide	1406 Operations	$63,047
Annual	HA-Wide	1408 Management Improvements	$63,047	HA-Wide	1408 Management Improvements	$63,047
Statement	HA-Wide	1410 CFP Administration	$63,047	HA-Wide	1410 CFP Administration	$63,047
	HA-Wide	1430A/E Fees	$25,000	HA-Wide	1430A/E Fees	$25,000
	HA-Wide	1430 CFP Tech Assistance	$22,500	HA-Wide	1430 CFP Tech Assistance	$22,500
	HA-Wide	1430 LBP/Asbestos inspect/assess	$15,000	HA-Wide	1430 LBP/Asbestos inspect/assess	$15,000
	HA-Wide	1450 Repair/replace walks/drives	$5,000	HA-Wide	1450 Repair/replace walks/drives	$5,000
	HA-Wide	1460 Section 504 upgrade, full UFAS	$10,000	IL047-02	1450 Replace playground	$80,000
	HA-Wide	1460 LBP/Asbestos removal	$5,000	HA-Wide	1460 Section 504 upgrade, full UFAS	$10,000
	IL047-05	1460 Paint interior and exterior	$70,000	HA-Wide	1460 LBP/Asbestos removal	$5,000
	IL047-01	1460 Renovate bathrooms	$60,000	IL047-02	1460 Renovate bathrooms	$85,000
	IL047-02	1460 Renovate bathrooms	$145,000	IL047-03	1460 Renovate bathrooms	$120,000
	IL047-15	1460 Wall deterioration repair/stabilization	$10,500	HA-Wide	1470 Upgrade nondwelling structures	$1,500
	IL047-16	1460 Wall deterioration repair/stabilization	$10,500	HA-Wide	1475 Vehicles, tools and equipment	$42,335
	IL047-17	1460 Wall deterioration repair/stabilization	$9,000	HA-Wide	1475 Computer/communicate systems	$30,000
	IL047-18	1460 Wall deterioration repair/stabilization	$7,500			
	IL047-19	1460 Wall deterioration repair/stabilization	$7,500			
	HA-Wide	1470 Upgrade nondwelling structures	$1,500			
	HA-Wide	1475 Vehicles, tools and equipment	$27,335			
	HA-Wide	1475 Computer/communicate systems	$10,000			
Total CFP Estimated Cost			$630,476			$630,476

ATTACHMENT: il047i01

Definition of Substantial Deviation and Significant Amendment or Modification for the Macoupin County Housing Authority

The following actions are defined as substantial deviation or significant amendment or modification:

GOALS

- Aditions or deletions of Strategic Goals

PROGRAMS

- Adding new programs not included in the Housing Agency Plan
- Any change with regard to demolition or disposition, designation of housing, homeownership programs or conversion activities

CAPITAL BUDGET

- Additions of non-emergency work items in excess of $25,000 (items not included in the current Annual Statement or Five Year Action Plan) or change in use of replacement reserve funds in excess of $25,000.

POLICIES

- Changes to rent or admissions policies or organization of the waiting list

An exception to the above definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements since HUD does not consider such changes significant amendments.

WAITING LIST ANALYSIS FY2008 HOUSING AGENCY PLAN

	Benld		Bunker Hill		Carlinville		Gillespie		Girard		Staunton		Mt. Olive		Palmyra		Virden		Total	
	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%
Extremely low income <=30% AMI	143	83.14%	92	80.00%	155	73.46%	173	79.00%	73	76.84%	134	83.23%	72	81.82%	19	73.08%	75	78.95%	1,011	79.17%
Very low income (>30% but <=50% AMI)	28	16.28%	22	19.13%	46	21.80%	40	18.26%	21	22.11%	22	13.66%	14	15.91%	7	26.92%	16	16.84%	232	18.17%
Low income (>50% but <80% AMI)	1	0.58%	1	0.87%	8	3.79%	5	2.28%	1	1.05%	5	3.11%	2	2.27%	0	0.00%	4	4.21%	31	2.43%
Families over 80% AMI	0	0.00%	0	0.00%	2	0.95%	1	0.46%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	3	0.23%
Total	172	100.00%	115	100.00%	211	100.00%	219	100.00%	95	100.00%	161	100.00%	88	100.00%	26	100.00%	95	100.00%	1,277	100.00%
Families with children	121	70.35%	83	72.17%	126	59.72%	152	69.41%	61	64.21%	110	68.32%	56	63.64%	14	53.85%	64	67.37%	851	66.64%
Elderly families	51	29.65%	32	27.83%	85	40.28%	67	30.59%	34	35.79%	51	31.68%	32	36.36%	12	46.15%	31	32.63%	426	33.36%
Total	172	100.00%	115	100.00%	211	100.00%	219	100.00%	95	100.00%	161	100.00%	88	100.00%	26	100.00%	95	100.00%	1,277	100.00%
Families with Disabilities	17	9.88%	16	13.91%	41	19.43%	23	10.50%	19	20.00%	19	11.80%	12	13.64%	6	23.08%	17	17.89%	187	14.64%
Race/ethnicity White	170	98.84%	106	92.17%	203	96.21%	212	96.80%	93	97.89%	160	99.38%	88	100.00%	26	100.00%	94	98.95%	1,246	97.57%
Race/ethnicity Black	2	1.16%	9	7.83%	8	3.79%	7	3.20%	2	2.11%	1	0.62%	0	0.00%	0	0.00%	1	1.05%	31	2.43%
Race/ethnicity O	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Total	172	100.00%	115	100.00%	211	100.00%	219	100.00%	95	100.00%	161	100.00%	88	100.00%	26	100.00%	95	100.00%	1,277	100.00%
Race/ethnicity NH	170	98.84%	113	98.26%	209	99.05%	218	99.54%	94	98.95%	160	99.38%	88	100.00%	26	100.00%	94	98.95%	1,266	99.14%
Race/ethnicity H	2	1.16%	2	1.74%	2	0.95%	1	0.46%	1	1.05%	1	0.62%	0	0.00%	0	0.00%	1	1.05%	11	0.86%
Total	172	100.00%	115	100.00%	211	100.00%	219	100.00%	95	100.00%	161	100.00%	88	100.00%	26	100.00%	95	100.00%	1,277	100.00%
1BR	51	29.65%	32	27.83%	85	40.28%	67	30.59%	34	35.79%	51	31.68%	32	36.36%	12	46.15%	31	32.63%	426	33.36%
2 BR	81	47.09%	50	43.48%	75	35.55%	96	43.84%	39	41.05%	73	45.34%	43	48.86%	8	30.77%	38	40.00%	541	42.36%
3 BR	35	20.35%	27	23.48%	46	21.80%	50	22.83%	16	16.84%	31	19.25%	12	13.64%	6	23.08%	21	22.11%	265	20.75%
4 BR	5	2.91%	6	5.22%	5	2.37%	6	2.74%	6	6.32%	6	3.73%	1	1.14%	0	0.00%	5	5.26%	45	3.52%
Total	172	100.00%	115	100.00%	211	100.00%	219	100.00%	95	100.00%	161	100.00%	88	100.00%	26	100.00%	95	100.00%	1,277	100.00%

MACOUPIN COUNTY HOUSING AUTHORITY

TOWN BASED WAITING LIST ANALYSIS FOR FY 2008 AGENCY PLAN

Town	White 2008		Black 2008		Hispanic 2008		Elderly 2008		Family 2008		Disabled 2008		Total Wait List
	#	%	#	%	#	%	#	%	#	%	#	%	
Benld	170	98.84%	2	1.16%	2	1.16%	51	29.65%	121	70.35%	17	9.88%	172
Bunker Hill	106	92.17%	9	7.83%	0	0.00%	32	27.83%	83	72.17%	16	13.91%	115
Carlinville	209	96.31%	8	3.69%	2	0.92%	85	39.17%	126	58.06%	41	18.89%	217
Gillespie	212	96.80%	7	3.20%	1	0.46%	67	30.59%	152	69.41%	23	10.50%	219
Girard	93	97.89%	2	2.11%	1	1.05%	34	35.79%	61	64.21%	19	20.00%	95
Staunton	160	99.38%	1	0.62%	1	0.62%	51	31.68%	110	68.32%	19	11.80%	161
Mt. Olive	88	100.00%	0	0.00%	0	0.00%	32	36.36%	56	63.64%	12	13.64%	88
Palmyra	26	100.00%	0	0.00%	0	0.00%	12	46.15%	14	53.85%	6	23.08%	26
Virden	94	98.95%	1	1.05%	1	1.05%	31	32.63%	64	67.37%	17	17.89%	95
Totals	1,158	97.47%	30	2.53%	8	0.67%	395	33.25%	787	66.25%	170	14.31%	1,188

MACOUPIN COUNTY HOUSING AUTHORITY

TOWN BASED WAITING LIST ANALYSIS, COMPARISON OF FY2007 TO FY2008

Town	White					Black					Hispanic					Elderly					Family					Disabled					FY2008 Total Applicants	FY2007 Total Applicants	Difference FY2008/FY2007	
	FY2008		FY2007		% Diff	FY2008		FY2007		% Diff	FY2008		FY2007		% Diff	FY2008		FY2007		% Diff	FY2008		FY2007		% Diff	FY2008		FY2007		% Diff				
	#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%				#	%
Benld	170	98.84%	147	98.66%	0.2%	2	1.16%	2	1.34%	-0.2%	2	1.16%	2	1.34%	-0.2%	51	29.65%	5	3.36%	26.3%	121	70.35%	144	96.64%	-26.30%	17	9.88%	12	8.05%	1.83%	172	149	23	15.44%
Bunker Hill	106	92.17%	86	93.48%	-1.3%	9	7.83%	6	6.52%	1.3%	0	0.00%	1	1.09%	-1.1%	32	27.83%	5	5.43%	22.4%	83	72.17%	87	94.57%	-22.39%	16	13.91%	13	14.13%	-0.22%	115	92	23	25.00%
Carlinville	209	96.31%	170	95.51%	0.8%	8	3.69%	8	4.49%	-0.8%	2	0.92%	1	0.56%	0.4%	85	39.17%	11	6.18%	33.0%	126	58.06%	167	93.82%	-35.76%	41	18.89%	34	19.10%	-0.21%	217	178	39	21.91%
Gillespie	212	96.80%	185	96.86%	-0.1%	7	3.20%	6	3.14%	0.1%	1	0.46%	1	0.52%	-0.1%	67	30.59%	13	6.81%	23.8%	152	69.41%	178	93.19%	-23.79%	23	10.50%	19	9.95%	0.55%	219	191	28	14.66%
Girard	93	97.89%	83	98.81%	-0.9%	2	2.11%	1	1.19%	0.9%	1	1.05%	1	1.19%	-0.1%	34	35.79%	5	5.95%	29.8%	61	64.21%	79	94.05%	-29.84%	19	20.00%	18	21.43%	-1.43%	95	84	11	13.10%
Staunton	160	99.38%	139	99.29%	0.1%	1	0.62%	1	0.71%	-0.1%	1	0.62%	1	0.71%	-0.1%	51	31.68%	17	12.14%	19.5%	110	68.32%	123	87.86%	-19.53%	19	11.80%	14	10.00%	1.80%	161	140	21	15.00%
Mt. Olive	88	100.00%	71	100.00%	0.0%	0	0.00%	0	0.00%	0.0%	0	0.00%	0	0.00%	0.0%	32	36.36%	8	11.27%	25.1%	56	63.64%	63	88.73%	-25.10%	12	13.64%	9	12.68%	0.96%	88	71	17	23.94%
Palmyra	26	100.00%	25	100.00%	0.0%	0	0.00%	0	0.00%	0.0%	0	0.00%	0	0.00%	0.0%	12	46.15%	3	12.00%	34.2%	14	53.85%	22	88.00%	-34.15%	6	23.08%	6	24.00%	-0.92%	26	25	1	4.00%
Virden	94	98.95%	88	98.88%	0.1%	1	1.05%	1	1.12%	-0.1%	1	1.05%	1	1.12%	-0.1%	31	32.63%	7	7.87%	24.8%	64	67.37%	82	92.13%	-24.77%	17	17.89%	16	17.98%	-0.08%	95	89	6	6.74%
Totals	1,158	97.47%	994	97.55%	-0.1%	30	2.53%	25	2.45%	0.1%	8	0.67%	8	0.79%	-0.1%	395	33.25%	74	7.26%	26.0%	787	66.25%	945	92.74%	-26.49%	170	14.31%	141	13.84%	0.47%	1,188	1,019	169	16.58%

WAITING LIST ANALYSIS FY2007 HOUSING AGENCY PLAN

	Benld		Bunker Hill		Carlinville		Gillespie		Girard		Staunton		Mt. Olive		Palmyra		Virden		Total	
	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%
Extremely low income <=30% AMI	127	85.23%	74	80.43%	136	76.40%	154	80.63%	66	78.57%	118	84.29%	58	81.69%	19	76.00%	72	80.90%	896	80.87%
Very low income (>30% but <=50% AMI)	21	14.09%	18	19.57%	37	20.79%	33	17.28%	16	19.05%	19	13.57%	13	18.31%	6	24.00%	11	12.36%	185	16.70%
Low income (>50% but <80% AMI)	1	0.67%	0	0.00%	4	2.25%	3	1.57%	2	2.38%	3	2.14%	0	0.00%	0	0.00%	6	6.74%	25	2.26%
Families over 80% AMI	0	0.00%	0	0.00%	1	0.56%	1	0.52%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	2	0.18%
Total	149	100.00%	92	100.00%	178	100.00%	191	100.00%	84	100.00%	140	100.00%	71	100.00%	25	100.00%	89	100.00%	1,108	100.00%
Families with children	144	96.64%	87	94.57%	167	93.82%	178	93.19%	79	94.05%	123	87.86%	63	88.73%	22	88.00%	82	92.13%	1,027	92.69%
Elderly families	5	3.36%	5	5.43%	11	6.18%	13	6.81%	5	5.95%	17	12.14%	8	11.27%	3	12.00%	7	7.87%	81	7.31%
Total	149	100.00%	92	100.00%	178	100.00%	191	100.00%	84	100.00%	140	100.00%	71	100.00%	25	100.00%	89	100.00%	1,108	100.00%
Families with Disabilities	12	8.05%	13	14.13%	34	19.10%	19	9.95%	18	21.43%	14	10.00%	9	12.68%	6	24.00%	16	17.98%	157	14.17%
Race/ethnicity White	147	98.66%	86	93.48%	170	95.51%	185	96.86%	83	98.81%	139	99.29%	71	100.00%	25	100.00%	88	98.88%	1,082	97.65%
Race/ethnicity Black	2	1.34%	6	6.52%	8	4.49%	6	3.14%	1	1.19%	1	0.71%	0	0.00%	0	0.00%	1	1.12%	26	2.35%
Race/ethnicity O	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Total	149	100.00%	92	100.00%	178	100.00%	191	100.00%	84	100.00%	140	100.00%	71	100.00%	25	100.00%	89	100.00%	1,108	100.00%
Race/ethnicity NH	147	98.66%	91	98.91%	177	99.44%	190	99.48%	1	1.19%	139	99.29%	71	100.00%	25	100.00%	88	98.88%	1,017	91.79%
Race/ethnicity H	2	1.34%	1	1.09%	1	0.56%	1	0.52%	83	98.81%	1	0.71%	0	0.00%	0	0.00%	1	1.12%	91	8.21%
Total	149	100.00%	92	100.00%	178	100.00%	191	100.00%	84	100.00%	140	100.00%	71	100.00%	25	100.00%	89	100.00%	1,108	100.00%
1BR	39	26.17%	23	25.00%	69	38.76%	54	28.27%	31	36.90%	41	29.29%	22	30.99%	12	48.00%	29	32.58%	349	31.50%
2 BR	69	46.31%	39	42.39%	61	34.27%	87	45.55%	34	40.48%	64	45.71%	36	50.70%	6	24.00%	34	38.20%	464	41.88%
3 BR	36	24.16%	23	25.00%	44	24.72%	44	23.04%	16	19.05%	30	21.43%	12	16.90%	7	28.00%	23	25.84%	258	23.29%
4 BR	5	3.36%	7	7.61%	4	2.25%	6	3.14%	3	3.57%	5	3.57%	1	1.41%	0	0.00%	3	3.37%	37	3.34%
Total	149	100.00%	92	100.00%	178	100.00%	191	100.00%	84	100.00%	140	100.00%	71	100.00%	25	100.00%	89	100.00%	1,108	100.00%

MACOUPIN COUNTY HOUSING AUTHORITY

TOWN BASED WAITING LIST ANALYSIS

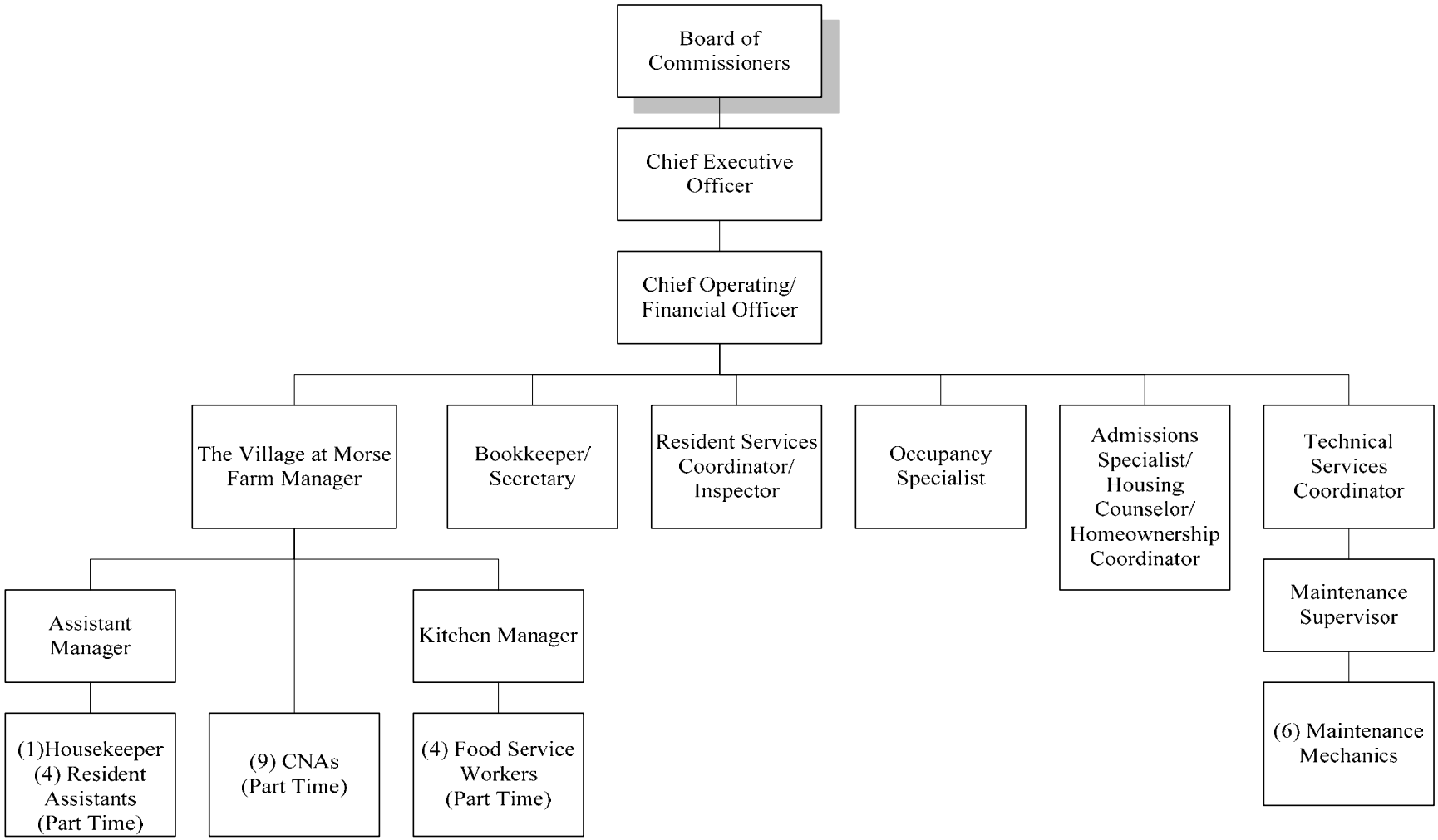
Town	White 2007		Black 2007		Hispanic 2007		Elderly 2007		Family 2007		Disabled 2007		Total Wait List
	#	%	#	%	#	%	#	%	#	%	#	%	
Benld	147	98.66%	2	1.34%	2	1.34%	5	3.36%	144	96.64%	12	8.05%	149
Bunker Hill	86	93.48%	6	6.52%	1	1.09%	5	5.43%	87	94.57%	13	14.13%	92
Carlinville	170	95.51%	8	4.49%	1	0.56%	11	6.18%	167	93.82%	34	19.10%	178
Gillespie	185	96.86%	6	3.14%	1	0.52%	13	6.81%	178	93.19%	19	9.95%	191
Girard	83	98.81%	1	1.19%	1	1.19%	5	5.95%	79	94.05%	18	21.43%	84
Staunton	139	99.29%	1	0.71%	1	0.71%	17	12.14%	123	87.86%	14	10.00%	140
Mt. Olive	71	100.00%	0	0.00%	0	0.00%	8	11.27%	63	88.73%	9	12.68%	71
Palmyra	25	100.00%	0	0.00%	0	0.00%	3	12.00%	22	88.00%	6	24.00%	25
Virden	88	98.88%	1	1.12%	1	1.12%	7	7.87%	82	92.13%	16	17.98%	89
Totals	994	97.55%	25	2.45%	8	0.79%	74	7.26%	945	92.74%	141	13.84%	1,019

MACOUPIN COUNTY HOUSING AUTHORITY

TOWN BASED WAITING LIST ANALYSIS, COMPARISON OF FY2006 TO FY2007

Town	White					Black					Hispanic					Elderly					Family					Disabled					FY2007 Total Applicants	FY2006 Total Applicants	Difference FY2007/FY2006	
	FY2007		FY2006		% Diff	FY2007		FY2006		% Diff	FY2007		FY2006		% Diff	FY2007		FY2006		% Diff	FY2007		FY2006		% Diff	FY2007		FY2006		% Diff				
	#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%		#	%	#	%				#	%
Benld	147	98.66%	26	100.00%	-1.3%	2	1.34%	0	0.00%	1.3%	2	1.34%	0	0.00%	1.3%	5	3.36%	1	3.85%	-0.5%	144	96.64%	22	84.62%	12.03%	12	8.05%	3	11.54%	-3.48%	149	26	123	473.08%
Bunker Hill	86	93.48%	16	100.00%	-6.5%	6	6.52%	0	0.00%	6.5%	1	1.09%	0	0.00%	1.1%	5	5.43%	2	12.50%	-7.1%	87	94.57%	12	75.00%	19.57%	13	14.13%	2	12.50%	1.63%	92	16	76	475.00%
Carlinville	170	95.51%	40	97.56%	-2.1%	8	4.49%	1	2.44%	2.1%	1	0.56%	1	2.44%	-1.9%	11	6.18%	6	14.63%	-8.5%	167	93.82%	27	65.85%	27.97%	34	19.10%	7	17.07%	2.03%	178	41	137	334.15%
Gillespie	185	96.86%	30	96.77%	0.1%	6	3.14%	1	3.23%	-0.1%	1	0.52%	1	3.23%	-2.7%	13	6.81%	1	3.23%	3.6%	178	93.19%	28	90.32%	2.87%	19	9.95%	2	6.45%	3.50%	191	31	160	516.13%
Girard	83	98.81%	15	93.75%	5.1%	1	1.19%	1	6.25%	-5.1%	1	1.19%	1	6.25%	-5.1%	5	5.95%	3	18.75%	-12.8%	79	94.05%	10	62.50%	31.55%	18	21.43%	3	18.75%	2.68%	84	16	68	425.00%
Staunton	139	99.29%	28	100.00%	-0.7%	1	0.71%	0	0.00%	0.7%	1	0.71%	0	0.00%	0.7%	17	12.14%	3	10.71%	1.4%	123	87.86%	21	75.00%	12.86%	14	10.00%	4	14.29%	-4.29%	140	28	112	400.00%
Mt. Olive	71	100.00%	10	100.00%	0.0%	0	0.00%	0	0.00%	0.0%	0	0.00%	0	0.00%	0.0%	8	11.27%	0	0.00%	11.3%	63	88.73%	8	80.00%	8.73%	9	12.68%	2	20.00%	-7.32%	71	10	61	610.00%
Palmyra	25	100.00%	9	100.00%	0.0%	0	0.00%	0	0.00%	0.0%	0	0.00%	0	0.00%	0.0%	3	12.00%	2	22.22%	-10.2%	22	88.00%	6	66.67%	21.33%	6	24.00%	1	11.11%	12.89%	25	9	16	177.78%
Virden	88	98.88%	18	100.00%	-1.1%	1	1.12%	0	0.00%	1.1%	1	1.12%	0	0.00%	1.1%	7	7.87%	6	33.33%	-25.5%	82	92.13%	10	55.56%	36.58%	16	17.98%	2	11.11%	6.87%	89	18	71	394.44%
Totals	994	97.55%	192	98.46%	-0.9%	25	2.45%	3	1.54%	0.9%	8	0.79%	3	1.54%	-0.8%	74	7.26%	24	12.31%	-5.0%	945	92.74%	144	73.85%	18.89%	141	13.84%	26	13.33%	0.50%	1,019	195	824	422.56%

MACOUPIN COUNTY HOUSING AUTHORITY
Organizational Chart
February 2008



ATTACHMENT L

Margaret (Peg) Barkley
Executive Director

Paul W. Bloomer
Attorneys

MACOUPIN COUNTY
HOUSING AUTHORITY
Post Office Box 226, Carlinville, Illinois 62626

760 Anderson Street
Telephone (217) 854-8606
FAX (217) 854-8749

April 14, 2008

Performance and Evaluation Report
2006 Capital Fund Plan

This report is submitted to describe the activities, obligations and expenditures of this authority's 2006 Capital Fund Plan for fiscal year 2008 as of March 31, 2008. The allocated funds have been obligated and expended within the prescribed implementation plan and schedule and changes have been made within the prescribed activities as stated in the Comprehensive Grant Handbook 7485.3.

1. **Emergency Needs**
   There were no funds used for emergency needs.

2. **Deviations within the 10% cap for major changes.**
   Al changes were within the "Fungibility" standards adopted for the 200 6 Capital Fund Plan. These standards allow the movement of work items throughout the approved Five-Year Action Plan.

3. **Deviations between years one and two of the approved Annual Statement.**
   There are no deviations between years one and two at this reporting period.

4. **Actual fund obligations and expenditures as compared to the budgeted amounts.**
   The actual fund obligations and expenditures are within the budgeted amounts.

5. **Performance with respect to the target dates established in the implementation schedule.** Target dates established in the implementation schedule are being maintained.

Summary of resident and local/tribal government comments.

The Macoupin County Housing Authority distributed the Performance and Evaluation Draft Report to the county board chairman, the Macoupin County Housing Board of Commissioners and the resident advisory board members. In addition the housing residents were notified that a copy of the draft report was available for their review and comments at the housing office. The Macoupin County Housing Board of Directors will review the Draft Performance and Evaluation Report included as part of the Agency Plan at their June board meeting.

Sincerely,

Margaret (Peg) Barkley
Chief Executive Officer

Annual Statement /
Performance and Evaluation Report

U.S. Department of Housing
and Urban Development

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Comprehensive Grant Program (CGP) **Part 1 Summary**

Office of Public and Indian Housing

HA NAME
MACOUPIN COUNTY HOUSING AUTHORITY

Comprehensive Grant Number
IL06P04750106

FFY of Grant Approval
2006

[ ] Original Annual Statement [ ] Revised Annual Statement/Revision Number [X] Performance and Evaluation Report for Period Ending 3/31/2008
[ ] Reserve for Disaster/Emergencies [ ] Final Performance and Evaluation Report

Line No.		Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised (2)	Total Actual Cost (2) Obligated	Total Actual Cost (2) Expended
1	Total Non-CGP Funds		$0.00			
2	1406	Operations	$61,107.00	$62,912.00	$50,530.82	$50,530.82
3	1408	Management Improvements	$61,107.00	$70,700.00	$85,721.91	$85,721.91
4	1410	Administration	$61,107.00	$62,912.00	$59,664.52	$59,664.52
5	1411	Audit				
6	1415	Liquidated Damages				
7	1430	Fees and Costs	$47,500.00	$69,690.00	$18,983.23	$18,983.23
8	1440	Site Acquisition				
9	1450	Site Improvement	$93,000.00	$43,389.11	$43,389.11	$43,389.11
10	1460	Dwelling Structures	$191,000.00	$249,519.89	$17,544.00	$17,544.00
11	1465.1	Dwelling Equipment - Nonexpendable				
12	1470	Nondwelling Structures	$1,000.00	$0.00	$0.00	$0.00
13	1475	Nondwelling Equipment	$92,249.00	$70,000.00	$7,387.98	$7,387.98
14	1485	Demolition	$1,000.00	$0.00	$0.00	$0.00
15	1490	Replacement Reserve				
16	1492	Moving to Work Demonstration				
17	1495.1	Relocation Costs				
18	1499	Development activities for homeownership plan	$2,000.00	$0.00	$0.00	$0.00
19	1502	Contingency (may not exceed 8% of line 19)				
20	**Amount of Annual Grant (Sum of lines 2-18)**		$611,070.00	$629,123.00	$283,221.57	$283,221.57
21	Amount of line 20 Related to LBP Activities		$15,000.00	$15,000.00	$0.00	$0.00
22	Amount of line 20 Related to Section 504 Complience		$25,000.00	$20,000.00	$0.00	$0.00
23	Amount of line 20 Related to Security					
24	Amount of line 20 Related to Conservation Measures					

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director Date (mm/dd/yyyy)

Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook7485.3

Annual Statement / Performance and Evaluation Report
Part II : Supporting Pages
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
PHA Wide Operating	Vacancy reduction plan activities	1406		$40,000.00	$40,000.00	$41,182.56	$41,182.56	
	Maintenance Contracts			$21,107.00	$22,912.00	$9,348.26	$9,348.26	
	Subtotal			**$61,107.00**	**$62,912.00**	**$50,530.82**	**$50,530.82**	
PHA Wide Management Improvement	Resident services coordinator/Fringes	1408		$40,000.00	$40,000.00	$43,869.18	$43,869.18	
	Commissioner Training			$10,000.00	$19,593.00	$17,379.58	$17,379.58	
	Technical Assistance with operations and affordable housing			$11,107.00	$11,107.00	$24,473.15	$24,473.15	
	Subtotal			**$61,107.00**	**$70,700.00**	**$85,721.91**	**$85,721.91**	
PHA Wide Administration	CFP Administrator/fringes	1410		$40,000.00	$40,000.00	$33,875.01	$33,875.01	
	Clerical Support/fringes			$21,107.00	$22,912.00	$25,789.51	$25,789.51	
	Subtotal			**$61,107.00**	**$62,912.00**	**$59,664.52**	**$59,664.52**	
Fees & Costs	A/E Fees	1430		$25,000.00	$44,690.00	$925.87	$925.87	
	Capital Program Update			$7,500.00	$25,000.00	$17,612.35	$17,612.35	
	LBP inspections/risk assesments			$15,000.00	$0.00	$445.01	$445.01	
	Subtotal			**$47,500.00**	**$69,690.00**	**$18,983.23**	**$18,983.23**	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook7485.3

Annual Statement / Performance and Evaluation Report
Part II : Supporting Pages
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
PHA Wide	Repair/replace sidewalks & drives	1450		$93,000.00	$43,389.11	$43,389.11	$43,389.11	
	Subtotal			**$93,000.00**	**$43,389.11**	**$43,389.11**	**$43,389.11**	
PHA Wide	Section 504 Upgrade to full UFAS standards	1460		$25,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$25,000.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide	Asbestos containing material removal	1460		$10,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$10,000.00**	**$0.00**	**$0.00**	**$0.00**	
IL 47-14 Gillespie	Re-roof	1460		$84,000.00	$0.00	$17,544.00	$17,544.00	
	Subtotal			**$84,000.00**	**$0.00**	**$17,544.00**	**$17,544.00**	
IL 47-6 Bunker HIll	Re-roof	1460		$0.00	$17,544.00	$0.00	$0.00	
	Subtotal			**$0.00**	**$17,544.00**	**$0.00**	**$0.00**	
IL 47-8 Girard	Replace Exterior Wall Panels	1460		$72,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$72,000.00**	**$0.00**	**$0.00**	**$0.00**	

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Signature of Executive Director Date (mm/dd/yyyy)

Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
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Annual Statement / Performance and Evaluation Report
Part II : Supporting Pages
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
IL 47-9,10,11,12, 13,14,20	Replace Utility Room Doors	1460		$0.00	$100,710.00	$0.00	$0.00	
	Subtotal			**$0.00**	**$100,710.00**	**$0.00**	**$0.00**	
IL 47-15,16,17	Repair/Replace Windows	1460		$0.00	$131,265.89	$0.00	$0.00	
	Subtotal			**$0.00**	**$131,265.89**	**$0.00**	**$0.00**	
PHA Wide	Office,Maint building, Rec Rooms	1470		$1,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$1,000.00**	**$0.00**	**$0.00**	**$0.00**	
	Non-Dwelling Equipment	1475						
	Computer Hardware			$70,000.00	$70,000.00	$7,387.98	$7,387.98	
	Maintenance vehicles and tools			$22,249.00	$0.00	$0.00	$0.00	
	Subtotal			**$92,249.00**	**$70,000.00**	**$7,387.98**	**$7,387.98**	
IL 47-05	Demolition - 2 units	1485		$1,000.00	$0.00	$0.00		
	Subtotal			**$1,000.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide	Development Activies	1499						
	Purchase Property			$1,000.00	$0.00	$0.00		
	New Development			$1,000.00	$0.00	$0.00		
	Subtotal			**$2,000.00**	**$0.00**	**$0.00**	**$0.00**	

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Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)

Annual Statement / Performance and Evaluation Report
Part III : Implementation Schedule
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	All Funds Obligated (Quarter Ending Da			All Funds Expended (Quarter Ending Date)				Reason for Revised Target Date (2)
	Original	Revised (1)	Actual (2)	Original		Revised (1)	Actual (2)	
IL 47 - 3	9/30/2008			9/30/2010				
IL 47-4	9/30/2008			9/30/2010				
IL 47-14	9/30/2008			9/30/2010				
1406	9/30/2008			9/30/2010				
1408	9/30/2008			9/30/2010				
1410	9/30/2008			9/30/2010				
1430	9/30/2008			9/30/2010				
1450	9/30/2008			9/30/2010				
1460	9/30/2008			9/30/2010				
1475	9/30/2008			9/30/2010				

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Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook 7485.3

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 8/31/2007)

Hud Field Office:

See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (contract/award no.)	3. Dollar Amount of Award:
Macoupin County Housing Authority PO Box 226 Carlinville, IL 62626	IL 06P04750106	$629,123.00
	4. Contact Person Roger Sarginson	5. Phone: (Include area code) (217)854-8606
	6. Reporting Period: as of 3/31/2008	7. Date Report Submitted: 04/14/2008
8. Program Code * 3C (Use a separate sheet for each program code)	9. Program Name: Capital Fund Program	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregrate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List) Trade	0				
Trade	0				
Trade	0				
Trade	0				
Trade	0				
Other (List)	0				
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

form HUD 60002 (6/2001)
Ref 24 CFR 135

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	$ 60,933.11
B. Total dollar amount of contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	$ 26,371.21
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_☐__ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

_☒__ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

_☒__ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_☐__ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_☒__ Other; describe below.

The Section 3 Clause is included in all contracts. The contractors are instructed to offer new hires to "Section 3 Residents." There are no Section 3 Contractors in this area.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any P***ublic and Indian Housing programs*** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of $200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to ***contracts and subcontracts in excess of $100,000*** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to ***employment and training***, The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to ***contracting***, and Part III summarizes recipients' ***efforts*** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. ***Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.***

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.

4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.

6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.
8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities
Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.
Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.
Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.
Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.
Part II: Contract Opportunities
Block 1: Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Block 2: Non-Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. ***Low-income persons*** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area , as determined by the Secretary, with adjustments for smaller and larger families, except that The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. ***Very low-income persons*** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

ATTACHMENT O

Margaret (Peg) Barkley
Executive Director

Paul W. Bloomer
Attorneys

MACOUPIN COUNTY
HOUSING AUTHORITY
Post Office Box 226, Carlinville, Illinois 62626

760 Anderson Street
Telephone (217) 854-8606
FAX (217) 854-8749

April 14, 2008

Performance and Evaluation Report
2007 Capital Fund Plan

This report is submitted to describe the activities, obligations and expenditures of this authority's 2007 Capital Fund Plan for fiscal year 2008 as of March 31, 2008. The allocated funds have been obligated and expended within the prescribed implementation plan and schedule and changes have been made within the prescribed activities as stated in the Comprehensive Grant Handbook 7485.3.

1. **Emergency Needs**
   There were no funds used for emergency needs.

2. **Deviations within the 10% cap for major changes.**
   Al changes were within the "Fungibility" standards adopted for the 200 6 Capital Fund Plan. These standards allow the movement of work items throughout the approved Five-Year Action Plan.

3. **Deviations between years one and two of the approved Annual Statement.**
   There are no deviations between years one and two at this reporting period.

4. **Actual fund obligations and expenditures as compared to the budgeted amounts.**
   The actual fund obligations and expenditures are within the budgeted amounts.

5. **Performance with respect to the target dates established in the implementation schedule.** Target dates established in the implementation schedule are being maintained.

Summary of resident and local/tribal government comments.

The Macoupin County Housing Authority distributed the Performance and Evaluation Draft Report to the county board chairman, the Macoupin County Housing Board of Commissioners and the resident advisory board members. In addition the housing residents were notified that a copy of the draft report was available for their review and comments at the housing office. The Macoupin County Housing Board of Directors will review the Draft Performance and Evaluation Report included as part of the Agency Plan at their June board meeting.

Sincerely,

Margaret (Peg) Barkley
Chief Executive Officer

Annual Statement /
Performance and Evaluation Report

U.S. Department of Housing
and Urban Development

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Comprehensive Grant Program (CGP) **Part 1 Summary**

Office of Public and Indian Housing

HA NAME
MACOUPIN COUNTY HOUSING AUTHORITY

Comprehensive Grant Number
IL06P04750107

FFY of Grant Approval
2007

[ ] Original Annual Statement [ ] Revised Annual Statement/Revision Number [X] Performance and Evaluation Report for Period Ending 3/31/2008
[ ] Reserve for Disaster/Emergencies [ ] Final Performance and Evaluation Report

Line No.		Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised (1)	Total Actual Cost (2) Obligated	Total Actual Cost (2) Expended
1	Total Non-CGP Funds					
2	1406	Operations	$61,107.00	$0.00	$0.00	$0.00
3	1408	Management Improvements	$61,107.00	$64,397.00	$15,579.62	$15,579.62
4	1410	Administration	$61,107.00	$64,397.00	$0.00	$0.00
5	1411	Audit				
6	1415	Liquidated Damages				
7	1430	Fees and Costs	$47,500.00	$47,500.00	$9,516.97	$9,516.97
8	1440	Site Acquisition	$2,500.00	$0.00		
9	1450	Site Improvement	$87,567.00	$35,000.00	$0.00	$0.00
10	1460	Dwelling Structures	$256,000.00	$391,935.00	$0.00	$0.00
11	1465.1	Dwelling Equipment - Nonexpendable				
12	1470	Nondwelling Structures	$1,000.00	$0.00	$0.00	$0.00
13	1475	Nondwelling Equipment	$28,182.00	$40,745.00	$0.00	$0.00
14	1485	Demolition	$35,404.00	$0.00	$0.00	$0.00
15	1490	Replacement Reserve				
16	1492	Moving to Work Demonstration				
17	1495.1	Relocation Costs				
18	1499	Development activities for homeownership plan	$2,500.00	$0.00	$0.00	$0.00
19	1502	Contingency (may not exceed 8% of line 19)				
20	**Amount of Annual Grant (Sum of lines 2-18)**		$643,974.00	$643,974.00	$25,096.59	$25,096.59
21	Amount of line 20 Related to LBP Activities		$15,000.00	$15,000.00	$0.00	$0.00
22	Amount of line 20 Related to Section 504 Complience		$0.00	$20,000.00	$0.00	$0.00
23	Amount of line 20 Related to Security					
24	Amount of line 20 Related to Conservation Measures					

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Signature of Executive Director Date (mm/dd/yyyy)

Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
PHA Wide Operating	Vacancy reduction plan activities	1406		$40,000.00	$0.00	$0.00	$0.00	
	Maintenance Contracts			$21,107.00	$0.00	$0.00	$0.00	
	Subtotal			**$61,107.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide Management Improvement	Resident services coordinator/Fringes	1408		$40,000.00	$42,000.00	$0.00	$0.00	
	Commissioner Training			$10,000.00	$15,000.00	$0.00	$0.00	
	Technical Assistance with operations and affordable housing			$11,107.00	$7,397.00	$0.00	$0.00	
	Subtotal			**$61,107.00**	**$64,397.00**	**$0.00**	**$0.00**	
PHA Wide Administration	CFP Administrator/fringes	1410		$40,000.00	$42,000.00	$0.00	$0.00	
	Clerical Support/fringes			$21,107.00	$22,397.00	$0.00	$0.00	
	Subtotal			**$61,107.00**	**$64,397.00**	**$0.00**	**$0.00**	
Fees & Costs	A/E Fees	1430		$25,000.00	$25,000.00	$0.00	$0.00	
	Agency Plan/Operations Tech Assist			$7,500.00	$22,500.00	$0.00	$0.00	
	LBP inspections/risk assesments			$15,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$47,500.00**	**$47,500.00**	**$0.00**	**$0.00**	

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
PHA Wide	Site Acquisition	1440		$2,500.00	$0.00	$0.00	$0.00	
	Subtotal			**$2,500.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide	Repair/replace sidewalks & drives	1450		$87,567.00	$35,000.00	$0.00	$0.00	
	Subtotal			**$87,567.00**	**$35,000.00**	**$0.00**	**$0.00**	
PHA Wide	Section 504 Upgrade to full UFAS standards	1460		$10,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$10,000.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide	Asbestos containing material removal	1460		$15,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$15,000.00**	**$0.00**	**$0.00**	**$0.00**	
IL 47-04 Virden	Re-roof	1460		$91,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$91,000.00**	**$0.00**	**$0.00**	**$0.00**	
IL 47-12 Staunton	Re-roof	1460		$0.00	$46,000.00	$0.00	$0.00	
	Subtotal			**$0.00**	**$46,000.00**	**$0.00**	**$0.00**	

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Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
IL 47-09	Replace Utility Room Doors	1460		$21,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$21,000.00**	**$0.00**	**$0.00**	**$0.00**	
IL 47-12	Install Carpet 1BR Elderly	1460		$17,500.00	$17,500.00	$0.00	$0.00	
IL 47-13	Install Carpet 1BR Elderly	1460		$14,000.00	$14,000.00	$0.00	$0.00	
IL 47-14	Install Carpet 1BR Elderly	1460		$17,500.00	$17,500.00	$0.00	$0.00	
	Subtotal			**$49,000.00**	**$49,000.00**	**$0.00**	**$0.00**	
IL 47-15	Replace Kitchen Cabinets	1460		$70,000.00	$0.00	$0.00	$0.00	
IL 47-15	Replace Kitchen Cabinets	1460		$0.00	$40,000.00	$0.00	$0.00	
IL 47-16	Replace Kitchen Cabinets	1460		$0.00	$40,000.00	$0.00	$0.00	
IL 47-19	Replace Kitchen Cabinets	1460		$0.00	$8,000.00	$0.00	$0.00	
	Subtotal			**$70,000.00**	**$88,000.00**	**$0.00**	**$0.00**	
IL 47-17	Replace/Repair Windows			$0.00	$12,735.00	$0.00	$0.00	
IL 47-18	Replace/Repair Windows			$0.00	$33,600.00	$0.00	$0.00	
IL 47-19	Replace/Repair Windows			$0.00	$24,000.00	$0.00	$0.00	
IL 47-20	Replace/Repair Windows			$0.00	$30,000.00	$0.00	$0.00	
	Subtotal			**$0.00**	**$100,335.00**	**$0.00**	**$0.00**	
PHA Wide	Install Lighted Adress Signs	1460		$0.00	$108,600.00	$0.00	$0.00	
	Subtotal			**$0.00**	**$108,600.00**	**$0.00**	**$0.00**	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director Date (mm/dd/yyyy)

Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook7485.3

Annual Statement / Performance and Evaluation Report
Part II : Supporting Pages
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Costs		Total Actual Cost		Statues of proposed work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended (2)	
PHA Wide	Office,Maint building, Rec Rooms	1470		$1,000.00	$0.00	$0.00	$0.00	
	Subtotal			**$1,000.00**	**$0.00**	**$0.00**	**$0.00**	
	Non-Dwelling Equipment	1475						
	Computer Hardware			$10,000.00	$745.00	$0.00	$0.00	
	Maintenance vehicles and tools			$18,182.00	$40,000.00	$0.00	$0.00	
	Subtotal			**$28,182.00**	**$40,745.00**	**$0.00**	**$0.00**	
IL 47-05	Demolition - 2 units	1485		$2,500.00	$0.00	$0.00	$0.00	
	Subtotal			**$2,500.00**	**$0.00**	**$0.00**	**$0.00**	
PHA Wide	Development Activies	1499						
	New Development			$2,500.00	$0.00	$0.00	$0.00	
	Subtotal			**$2,500.00**	**$0.00**	**$0.00**	**$0.00**	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook7485.3

Annual Statement / Performance and Evaluation Report
Part III : Implementation Schedule
Comprehensive Grant Program (CGP)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157
(exp. 11/30/2008)

Development Number/Name HA - Wide Activities	All Funds Obligated (Quarter Ending Da			All Funds Expended (Quarter Ending Date)				Reason for Revised Target Date (2)
	Original	Revised (1)	Actual (2)	Original		Revised (1)	Actual (2)	
IL 47 - 3	9/30/2008			9/30/2010				
IL 47-4	9/30/2008			9/30/2010				
IL 47-14	9/30/2008			9/30/2010				
1406	9/30/2008			9/30/2010				
1408	9/30/2008			9/30/2010				
1410	9/30/2008			9/30/2010				
1430	9/30/2008			9/30/2010				
1450	9/30/2008			9/30/2010				
1460	9/30/2008			9/30/2010				
1475	9/30/2008			9/30/2010				

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report

Signature of Executive Director	Date (mm/dd/yyyy)	Signature of Public Housing Director/Office American Programs Administrator Date(mm/dd/yyyy

form **HUD-52837** (9/98)
ref Handbook 7485.3

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 8/31/2007)

Hud Field Office:

See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Macoupin County Housing Authority PO Box 226 Carlinville, IL 62626	2. Federal Identification: (contract/award no.) IL 06P04750107	3. Dollar Amount of Award: $643,974
	4. Contact Person Roger Sarginson	5. Phone: (Include area code) (217)854-8606
	6. Reporting Period: as of 3/31/2008	7. Date Report Submitted: 04/14/2008
8. Program Code * 3C (Use a separate sheet for each program code)	9. Program Name: Capital Fund Program	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregrate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List) Trade	0				
Trade	0				
Trade	0				
Trade	0				
Trade	0				
Other (List)	0				
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

form HUD 60002 (6/2001)
Ref 24 CFR 135

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	$ 9,516.97
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_☐__ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

_☒__ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

_☒__ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_☐__ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_☒__ Other; describe below.

The Section 3 Clause is included in all contracts. The contractors are instructed to offer new hires to "Section 3 Residents." There are no Section 3 Contractors in this area.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any P***ublic and Indian Housing programs*** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of $200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to ***contracts and subcontracts in excess of $100,000*** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to ***employment and training***, The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to ***contracting***, and Part III summarizes recipients' ***efforts*** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. ***Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.***

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.

4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.

6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.
8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities
Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.
Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.
Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.
Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.
Part II: Contract Opportunities
Block 1: Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Block 2: Non-Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. ***Low-income persons*** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area , as determined by the Secretary, with adjustments for smaller and larger families, except that The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. ***Very low-income persons*** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, *DeShana L. Forney, Exec. Director of* the *Illnois Housing Development Authority* certify that the Five Year and Annual PHA Plan of the *Macoupin County Housing Authority* is consistent with the Consolidated Plan of *the State of Illinois* prepared pursuant to 24 CFR Part 91.

DeShana L Forney 5/2/08

Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Macoupin County Housing Authority

Program/Activity Receiving Federal Grant Funding

Housing Agency Plan, FY2008 Annual Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Margaret (Peg) Barkley	Chief Executive Officer
Signature: X Margaret Barkley	Date: 4/1/2008

form **HUD-50070** (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Applicant Name

Macoupin County Housing Authority

Program/Activity Receiving Federal Grant Funding

Housing Agency Plan, FY2008 Annual Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Margaret (Peg) Barkley	Chief Executive Officer

Signature	Date (mm/dd/yyyy)
Margaret Barkley	4/1/2008

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handboooks 7417.1, 7475.13, 7485.1, & 7485.3

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and Streamlined 5-Year/Annual* PHA Plans

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X standard Annual, __ standard 5-Year/Annual or __streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning October 1, 2007, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
· The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
· The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
· Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
· The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
· The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Macoupin county Housing Authority — PHA Name

IL047 — PHA Number/HA Code

X Standard PHA Plan for Fiscal Year: 2008

___ Standard Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

___ Streamlined Five-Year PHA Plan for Fiscal Years 20__ - 20__, including Annual Plan for FY 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Robert Fulton	Board Chairman
Signature X Robert Fulton	Date 04/01/2008

a. From F/Y 2005 funds, 100% of the funds have been obligated. It's unknown as to how much grant money was called down for any of the grants due to Lori being ill.
b. No one attended today's Public Hearing on the F/Y 2008 Annual Plan. Commissioners have a copy of the plan. RAB members and residents have both been notified of the plan and have discussed it. Following discussion, Commissioner Kratochvil presented Resolution No. 1142 and moved its adoption; second by Commissioner Griffel.

RESOLUTION NO. 1142

Whereas, a F/Y 2008 Housing Agency Plan has been written; and

Whereas, a comment period and Public Hearing were held; and

Whereas, commissioners reviewed the Plan and have had questions answered; now, therefore, be it

Resolved, that the F/Y 2008 Housing Agency Plan is hereby approved, and a template and attachment will be submitted to HUD as soon as possible.

Vote on the motion was as follows: Ayes—Fulton, Kratochvil, Winters, Thomas and Griffel. Nays—None. Absent—Taylor and Lobue. Chairman Fulton declared the motion passed and the resolution adopted.

CEO Barkley updated Commissioners on the Village at Morse Farm.

a. The occupancy for the Village on March 31, 2008 was 43 of the 46 apartments occupied.
b. Commissioners discussed a resident illness. The resident is back at the facility.

CEO Barkley gave an update on the Homeownership Center and Credit Counseling. Thirteen housing residents are involved in the Family Self-Sufficiency Program. Three clients are receiving 1st Time Homebuyer Counseling. Two clients received pre-foreclosure prevention/budget counseling. Three clients are currently receiving refinancing/credit counseling.

Commissioners discussed the MOA. The HUD Chicago Public Housing Director sent CEO Barkley a letter stating the Authority no longer had to submit monthly reports due to being designated as a high performer and taken off the troubled list.

Twenty-five vacant tenant accounts deemed uncollectible by the office staff and attorney need to be written off. The total write-off is $7051.26. Following discussion, Commissioner Kratochvil presented Resolution No. 1143 and moved its adoption; second by Commissioner Griffel.

RESOLUTION NO. 1143

Whereas, twenty-five vacant tenant accounts were first pursued by PHA staff and then by the PHA attorney and subsequently deemed uncollectible; now, therefore, be it

Resolved, that the vacant accounts in the total amount of $7051.26 are hereby written off as collection losses; and be it

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:
[b] a. contract
b. grant
c. cooperative agreement
d. loan
e. loan guarantee
f. loan insurance

2. Status of Federal Action:
[b] a. bid/offer/application
b. initial award
c. post-award

3. Report Type:
[a] a. initial filing
b. material change
For Material Change Only:
year _____ quarter _____
date of last report _____

4. Name and Address of Reporting Entity:
[x] **Prime** [ ] **Subawardee**
Tier , *if known*:

Macoupin County Housing Authority
760 Anderson Street
Carlinville, Illinois 62626

Congressional District, *if known*: 17th

5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:

Congressional District, *if known*:

6. Federal Department/Agency:
US Department of Housing and Urban Development

7. Federal Program Name/Description:
Housing Agency Plan FY2008 Capital Funds
CFDA Number, *if applicable*: _____

8. Federal Action Number, *if known*:

9. Award Amount, *if known*:
$

10. a. Name and Address of Lobbying Registrant
(*if individual, last name, first name, MI*):
N/A

b. Individuals Performing Services (*including address if different from No. 10a*)
(*last name, first name, MI*):
N/A

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less that $10,000 and not more than $100,000 for each such failure.

Signature: Margarit Barkley
Print Name: Margaret (Peg) Barkley
Title: Chief Executive Officer
Telephone No.: 217-854-8606 Date: 4/1/08

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