

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2008

ROCKFORD HOUSING AUTHORITY IL-022
223 SOUTH WINNEBAGO STREET
ROCKFORD, ILLINOIS 61102

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H:\DEV\Agency Plans\Agency Plan FFY08 PHA-IL22

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rockford Housing Authority

PHA Number: IL06P022

PHA Fiscal Year Beginning: (mm/yyyy) 10/2008

PHA Programs Administered:

Public Housing and Section 8
Number of public housing units: 2005
Number of S8 units: 1860

Section 8 Only
Number of S8 units:

Public Housing Only
Number of public housing units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government

- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

A quality home is the Foundation of Society & Community: Quality home life provides hope and the pathway to our goals. Responsible and respectful people deserve the opportunity to contribute to attaining a comfortable, quality home and neighborhood all can have pride in. RHA Partners with the Community and Responsible and Respectful Families to transform Houses into Homes.

The Rockford Housing Authority –

Quality People,
Quality Homes,
Quality Neighborhoods
– For a Quality Rockford

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score) 87
 - Improve voucher management: (SEMAP score) 93
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public

- housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling: Contracting in 2008 with vendor to improve mobility counseling services offered by the RHA
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below) Undertake Redevelopment activities targeting mixed-income developments.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below) Implement a scholarship program for training assistance to public housing residents with Section 3 funds

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing.

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

Other PHA Goals and Objectives: (list below)

1. Develop non-HUD income streams
2. Continue to expand case management capabilities in our Resident Services Departments
3. Implement measures to require greater accountability for public housing residents and participants and landlords of all HCV programs
4. Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.
5. Continue to focus on curb appeal of the exterior and interior of all of our developments.
6. Provide a safe environment for our residents.

Annual PHA Plan
PHA Fiscal Year 2008

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Rockford Housing Authority Profile – The Rockford Housing Authority currently manages 1921 units of Low Income Public Housing located in fifteen developments throughout the City of Rockford. The Rockford Housing Authority’s non-profit affiliate, the Rockford Housing Development Corporation, owns a 216 unit multi-family Section Eight property known as Concord Commons Apartments. The Rockford Housing Authority also serves as the managing agent of Concord Commons.

The Rockford Housing Authority also administers a Housing Choice Voucher program with a jurisdiction to contract with landlords within the city limits of Rockford. The Housing Choice Voucher program serves approximately 1800 families through several HCV programs including; tenant based assistance, project based developments and a project based “Moderate Rehabilitation” program.

Overall the Rockford Housing Authority and the Rockford Housing Development Corporation owns and/or administers affordable housing, which serves approximately 3.25% of Rockford’s population. In addition our housing stock and HCV assistance programs comprise approximately 9.5% of the Rockford rental housing market.

Objectives for FY2008

During FY2007, the Rockford Housing Authority began to develop a “Strategic Plan” which included a new vision and mission statement. The planning process continued into FY2008 and when completed will also contain a long term strategy for converting the Rockford Housing Authority’s obsolete housing stock into new affordable housing opportunities. This will be accomplished by identifying developments, which are no longer cost effective to manage and/or are not serving the needs of our residents. Multi-family properties such as Blackhawk Courts, Fairgrounds Valley and Concord Commons are all

considered candidates for potential redevelopment activities in the upcoming year. Partnerships with the private sector are considered key components in the redevelopment process. The RHA will explore mixed-income and mixed-financing options to accomplish these goals. Timelines will be developed for transitioning these developments, either through demolition or redevelopment, into more viable housing. Resident relocation efforts will include mobility counseling services designed to encourage residents to move to opportunity areas within the City where poverty rates are lower and there are better employment, schools and transportation options.

High Rise buildings designed for the elderly and persons with disabilities will also be assessed with timelines for upgrading, redeveloping and/or modernizing their appearance and functionality. Our “scattered site” housing will be analyzed from an asset management perspective as to each unit’s cost effectiveness and viability.

The ultimate goal of the strategic planning process is to identify housing developments and housing stock, which no longer provide a quality living environment for our residents and are determined to be no longer economically viable. When identified, plans will be developed for the transition of these developments and the creation of alternative housing options. Emphasis will be placed on providing quality living environments to responsible residents with the availability of programming, which offers opportunities for developing self-sufficiency and economic independence. We intend to accomplish these goals through a variety of creative ventures including public/private partnerships, tax credit programs, Capital Fund bond financing, HOPE VI applications (if the program is available), project based Section Eight, and other funding and affordable housing resources to be determined.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- A Admissions Policy for Deconcentration
- B FY 2008 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- F List of Resident Advisory Board Members
- G List of Resident Board Member
- I Community Service Description of Implementation
- Information on Pet Policy (Included under Part 14 above)
- M Section 8 Homeownership Capacity Statement, if applicable
- N Description of Homeownership Programs, if applicable

Optional Attachments:

- P PHA Management Organizational Chart
- C FY 2007 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
 - D Performance and Evaluation Reports for Capital Fund Programs
 - E Public Housing Asset Management Tables
 - H Voluntary Conversion Assessment
 - J Section 3 Reports
 - K Progress Report on Goals and Objectives
 - L Definition of Substantial Deviation/Modifications
 - O Site Based Waiting Lists

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
XX	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
XX	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
XX	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
XX	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
XX	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
XX	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
XX	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
XX	Public housing management and maintenance policy	Annual Plan: Operations

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	and Maintenance
XX	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
XX	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
XX	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
XX	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
XX	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
XX	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
XX	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
XX	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
XX	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
XX	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	6,713	2	2	4	3	5	4
Income >30% but <=50% of AMI	4,323	2	2	4	3	3	3
Income >50% but <80% of AMI	5,337	2	2	4	3	3	2
Elderly	21,109	3	2	3	3	1	4
Families with Disabilities	28,470	5	4	5	5	4	5
Race/Ethnicity-W	109303	2	2	5	3	3	2
Race/Ethnicity-B	26,072	3	3	3	3	3	2
Race/Ethnicity-I	15,278	3	3	3	3	3	2
Race/Ethnicity-A	3,301	3	3	3	3	3	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2005 - 2010
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2298		381
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity W	747	33%	
Race/ethnicity B	1532	67%	
Race/ethnicity A	6	0%	
Race/ethnicity Other	13	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	806	35%	
2 BR	927	40%	
3 BR	436	19%	
4 BR	116	5%	
5 BR	8	0%	
5+ BR	5	0%	

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly (North Main Manor done).
Olesen Plaza next
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

(There is a 504 Accessibility list)

- Seek designation of public housing for families with disabilities: Low rises/high rises
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below) Local preference for disabled

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

Affirmatively market to races/ethnicities shown to have disproportionate housing needs: Camaraderie Arts after school and summer youth program, flyers to La Voz Latina, Illinois Employment and Training Resource Center representative, Job Fairs, Mini Resource Fairs, Information Manuals for job search and resources, Rock Valley College GED and Community and Continuing Education classes, Service Coordinators for elderly and disabled, Neighborhood Network Centers, Neighborhood Network Academy, Community Assistance Program, University of Illinois Cooperative Extension housekeeping and homeownership classes, Shelter Care Ministries, University of Illinois Health Fairs, and YouthBuild youth skills learning program.

Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

Market the section 8 program to owners outside of areas of poverty /minority concentrations

Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

Funding constraints

Staffing constraints

Limited availability of sites for assisted housing

Extent to which particular housing needs are met by other organizations in the community

Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA

Influence of the housing market on PHA programs

Community priorities regarding housing assistance

Results of consultation with local or state government

Results of consultation with residents and the Resident Advisory Board

Results of consultation with advocacy groups

Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2008 grants)		
a) Public Housing Operating Fund	\$6,958,665	
b) Public Housing Capital Fund 108	\$3,304,202	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$9,630,900	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	\$100,000	PHSS
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CFP- #501-05	\$122,105	
CFP- #501-06	\$188,651	
CFP- #501-07	\$1,233,007	
3. Public Housing Dwelling Rental Income	\$2,039,000	
4. Other income (list below)		
4. PH FSS Coordinator	\$63,112	PHSS
Graduation Incentive	\$40,000	
HCV FSS Coordinator	\$182,928	
4. Non-federal sources (list below)		
Total resources	\$23,862,570	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection

(3) Assignment

1. How many site-based waiting lists will the PHA operate in the coming year? A maximum of 7
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? All seven are new and part of Project Based Management
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? Two lists are available to each applicant
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
 - PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
 - One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
 - Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)
 - Emergencies
 - Overhoused
 - Underhoused
 - Medical justification
 - Administrative reasons determined by the PHA (e.g., to permit modernization work)

- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly, near elderly, and persons with disabilities.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: The pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Resident Handbook/Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

IL022-001 Blackhawk

IL022-007 Fairgrounds

IL022-005 Brewington Oaks

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to “d” was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

Adoption or adjustment of ceiling rents for certain developments

Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

IL022-001 Blackhawk

IL022-007 Fairgrounds

IL022-005 Brewington Oaks

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

- A) No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last (36) months.
- B) Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision" will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.
- C) The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within sixty (60) months of the date of the initial application will be denied admission. Drug related criminal activity is defined as: "The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance". "Violent criminal activity" is defined as: "Any criminal activity that has as one of its elements, the use, attempted use or threatened use of physical force against a person or property of another."
- D) No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve (12) months.
- E) No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

If requested in writing, we provide current and previous landlord name.

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)
When the wait list is opened, we select 2-3 various locations throughout the City of Rockford to serve the people.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Elderly and persons with disabilities.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)
Newsletter to landlord and participant
Housing Choice Voucher Guidebook

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices
 Other (list below)
Newspapers, flyers, community agencies, churches, postings and RHA website.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

- i) The family has lost eligibility or is awaiting an eligibility determination for federal, state, or local assistance, including a family with a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.
- ii) The family would be evicted as a result of the imposition of the minimum rent requirement. The income of the family has decreased because of changed circumstances, including loss of employment, death in the family, or other circumstances as determined by the RHA or HUD.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
Flat rent is the option.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No (Flat Rents Only)

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)
- Flat rents only

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) **\$201.00**
- Other (list below)

Anytime the family has previously been at an income level of zero (0)
Change in family composition.

g. Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

- Yes No:

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning 10/1/07	Expected Turnover
Public Housing	1,979	500
Section 8 Vouchers	1,463	120
Section 8 Enhanced	68 Valley View	10
Section 8 Mod Rehab	321	30
Special Purpose Section 8 Certificates/Vouchers (list individually)	22	5
Other Federal Programs Public Housing FSS Coordinator	51	5
Home Ownership Choice Vouchers	14	0
CGP	2005	NA
Service Coordinator	648	On going program
Section 8 FSS Coordinator	119	12
Neighborhood Network	Avg. 597 per month	0

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- RHA Lease Agreement
- Resident Handbook
- Pest Control Policy
- Emergency Handbook
- LBP Guidebook
- Pet Policy
- Mold Policy (New York Standard)
- Preventative Maintenance Plan
- Property Manager Basics Desk Guide

(2) Section 8 Management: (list below)

- Administrative Plan
- HCV Lease Agreement
- HAP Contract
- Housing Choice Voucher Handbook Guide (HUD)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment B

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment C

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:
Brewington Oaks/Fairgrounds are potential candidates for HOPE VI

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:
Activities will involve constructing, acquiring and/or redeveloping mixed-income housing

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:
Brewington Oaks, Blackhawk Courts, Fairgrounds, Orton Keyes and Scattered Sites
Implement the Jane Addams consent decree dated 01/24/08 to provide 77 units of Replacement Housing

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)
Demolition and/or disposition activities are under consideration for all family public housing developments including, Blackhawk 22-1, Orton Keyes 22-3, and Fairgrounds Valley 22-7 as well as some selective Scattered Sites.

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
Development name:	
Development (project) number:	
Development name:	
Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission	
5. Number of units affected:	
6. Coverage of action (select one)	
<input type="checkbox"/> Part of the development –	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity :	
b. Projected end date of activity:	

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities [24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	
5. If approved, will this designation constitute a (select one)	<input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 187	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan

(date submitted or approved:)

- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission:	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is

eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description: The home ownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:

Are currently enrolled in the Rockford Housing Authority's Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

- Minimum income of \$10,300,
- Currently employed (not less than 30 hours per week),
- Employed at least one year (continuously),
- First time homebuyer,
- Attended pre and post counseling,
- Signed statement of homeownership.
- Must participate in the FSS Program

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe) In-kind seminars for staff and residents.

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (Waiting list/random selection/specific criteria/other)	Access (Development office / PHA main office / other provider name)	Eligibility (Public housing or Section 8 participants or both)
Community Assistance Program	35 per month	Voluntary	Brewington Oaks	LIPH
Job Fairs/Mini Fairs	2X per year	Voluntary	Developments	Both
Work Experience	5 residents per year	Voluntary	MELD, INC Rock River Training	Both
Budgeting	9 residents per	Voluntary	All RHA	Both

	year			
Health Fairs	150 residents per year	Voluntary	All RHA	Both
Transportation	As needed	Voluntary	GED classes, Housekeeping classes, Camaraderie Arts Resident Events Senior Activities Neighborhood Network Job Fairs	Both
LOVE, INC Project	30 per month	Voluntary	All lowrises	LIPH
Emotional and physical wellness workshops/fairs	200 per year	Voluntary	High-rises/Low rises	Both
Camaraderie Arts Programs	50 residents per year	Voluntary	Fairgrounds	Both
Outreach Services	200 residents per month	Voluntary	High and Low-rises Family Developments	LIPH
Homeownership Classes	40 residents per year	Voluntary	Main Office	Both
Opportunity Fair	75 families 1x per year	Voluntary	All RHA	Both
Resident Council Training	35 residents per year	Mandatory	Main Office	LIPH
Neighborhood Network	330 residents per month	Voluntary	Fairgrounds/Orton Keyes Developments	LIPH
Information Centers & Developments	200 residents per year	Voluntary	LIPH Developments	LIPH
Dental Mobile	270 residents per year	Voluntary	All RHA	Both
GED Classes	50 per year	Voluntary	All RHA	Both
<u>Crisis Intervention Teams</u> <u>Employment Kiosk</u> <u>Post Homeownership Classes</u>	<u>As Needed</u> <u>100 people/yr</u> <u>25 per year</u>	<u>Voluntary</u> <u>Mandatory S8</u>	<u>All RHA</u> <u>NNC/Fairgrounds</u> <u>CO-1/Scatt. Sites</u>	<u>Both</u> <u>Both</u> <u>Both</u>
ROSS HMS/Family Grant	146 per year	Voluntary	All Developments	LIPH

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2008 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	11	47 as of 09/30/07
Section 8	129	116 as of 09/30/07
WCHA Section 8	25	21 as of 9/30/07

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:
We will send out mass mailings, hold open houses and accept referrals from current participants.

We will strive to obtain minimum program size by 07/30/07

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports

- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below) Review and monitoring of several CCTV systems

3. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-007 Fairgrounds Valley
- IL022-005 Brewington Oaks & Jane Addams

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below) Installation of CCTV Cameras

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-004 Park Terrace
- IL022-005 Brewington Oaks & Jane Addams
- IL022-006 North Main Manor
- IL022-007 Fairgrounds Valley
- IL022-009 Olesen Plaza

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)
Host safety and awareness fairs for children.

2. Which developments are most affected? (list below)
- IL022-001 Blackhawk Courts
 - IL022-003 Orton Keyes
 - IL022-005 Brewington Oaks & Jane Addams
 - IL022-007 Fairgrounds Valley

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

RHA PET POLICY

In compliance with the Quality Housing & Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to service animals that are used to assist persons with disabilities. The RHA will provide formal applications to residents or applicants who desire to have common household pets.

Common Household Pets Are Defined As Follows:

Bird-including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. CFR 952.20b1

- A) Fish-in tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1
- B) Dog – not to exceed 20 pounds. Weight at maturity. CFR 942.20b1
- C) Cat – species commonly used for household pets (*felis catus*). CFR 942.20b1
- D) Rabbit, rodent, Guinea pig, gerbils and hamsters CFR 942.20b1
- E) Turtle – Common household pet does not include reptiles, except turtle CFR 942.20b1

Rules For Owners of Pets

The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents. A resident that has a dog or cat he/she may apply for a transfer to a building or section of a building where pets are permitted. The Rockford Housing Authority will consider requests for transfer in the same order they are received.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

- a) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however; may keep a bird or aquarium in addition. CFR 942.02b2
- b) All common household pets are not to exceed a weight 20 pounds at maturity. CFR 942.02b2
- b) Dogs are to be licensed yearly with the City of Rockford, and residents must
- c) show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.02b1
- d) All cats are to be neutered and all dogs should be spayed prior to issuance of the written permit. CFR 942.20a2
- e) Resident must identify an alternate custodian for their pet(s) in the event of absence from the

premises including employed residents, or resident's illness, which would prevent the resident from properly caring for the pet.

- f) Rockford Housing Authority may require the removal of any pet from a project, if the pet's conduct or condition is duly determined to constitute, under the provisions of State or local law, a nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

If pets are left unattended for twenty-four (24) hours or more, the Rockford Housing Authority may enter to remove the pet and transfer the pet to the proper authorities subject to the provisions of the Humane Care for Animals Act 510 ILCS 70/1 et seq. of the City of Rockford, the County of Winnebago, and the State of Illinois. The Rockford Housing Authority accepts no responsibility for the pet under such circumstances. No pet may be kept in violation of humane or health laws of the City, County, or State.

Residents shall not permit any disturbance by their pet, which would interfere with the quiet enjoyment of other residents, whether by loud barking, howling, biting, scratching or other such activities.

The resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet.

Dogs and cats shall remain inside a resident's premises unless they are on a leash and directly controlled by an adult.

Cats are to use litter boxes kept in resident's premises. The resident is not allowed to let waste accumulate, waste must be removed daily, and litter changed a minimum of twice a week. The resident must dispose of the litter in the first floor dumpster, no waste or litter is to be put down the garbage chute.

One pet (dog or cat) will be permitted on an elevator at any time. Pets (excluding service animals) are not allowed in common areas such as lobbies, laundry room, and social rooms except for directly entering or existing the building.

The owner of a dog or cat must properly remove and dispose of all waste caused by the animal in the building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accidents"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste.

Resident shall take adequate precautions to eliminate any pet odors within or around the premises and maintain premises in a sanitary condition at all times.

Resident shall pay a \$100.00 Pet Security Deposit for a dog or a cat; there is no deposit required for any other listed common household pet. This deposit is refundable if no damage occurs, as verified by the Rockford Housing Authority, after the resident disposes of the pet, or moves out.

The resident is responsible for damage in excess of the combined total security deposit. A minimum payment of \$50.00 is to be paid when the pet is brought onto the premises, and subsequent monthly payments of \$10.00 or more until the balance is paid.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below) Project Based Management
Section 202 Viability Analysis
Selective Real Estate Appraisals
5 Yr Strategic Plan
Ameresco Asset Planner Software
Energy Performance Contracting
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

Public Meeting Comments from Residents and Staff

Public Meeting
June 18, 2008 – 10:00 A.M.
Rockford Housing Authority
PHA Annual Plan
Agenda

Opening Comments	Steve Anderson
Executive Statement	Steve Anderson
Progress Report on Goals & Objectives	Steve Anderson
Capital Fund Program	Sherri Tracy
Work Items Budgeted by Development	Sherri Tracy
Questions or Comments to Staff	
Closing Statement	Sherri Tracy

Rockford Housing Authority 2008 Annual Plan/5 Yr Plan Public Hearing Minutes

Date: June 18, 2008

Time: 10:00 a.m.

Location: 223 South Winnebago Street, Rockford, IL

Attendees: Sharon Schwengels, Ben Miller, Reverend Poole, Paul Miller, Paul Hackerson (RHA), Jeri Billo (RHA), Jodi Stromberg (RHA), C. Ann Higgins (RHA), Mark Shoemaker (RHA), Cynthia Witt (RHA), Sherri Tracy (RHA) and Steve Anderson (RHA).

Steve Anderson opened the meeting by welcoming everyone to the RHA PHA Plan Public Meeting of 2008. He stated that RHA had held a series of meetings with residents and staff reviewing their wants and physical needs, which assists our plans for the year 2009. He gave a summary of the background of RHA stating that we have 1900 units including family and elderly sites in different areas of the city. We have 200 units that are Project Based, which are Faust Landmark and Auburn Manor and 1600 Housing Choice Vouchers that are available to our tenants. RHA serves about 9000 tenants in the city of Rockford.

Steve discussed the new mission of RHA. As a result of the RHA's Strategic Plan, our new mission statement is included the Agency Plan which covers the vision, goals and objectives of the Authority. It states in part that RHA will partner with the community to provide responsible, respectable, quality homes for our residents.

This year we completed the demolition of the Jane Addams. We also completed the conversion to Project Based Accounting and we're working on a Strategic Plan for RHA.

Elevators are upgraded now, in all of our high rise and low-rise buildings. We also installed a new intercom system in all of our low-rise buildings that will be installed in all of our high rises as we move forward with this project.

One of our goals for the near future is to develop replacement housing for our residents. As a result of the Jane Addams litigation, we have hired a mobility counselor from Chicago to assist us with mobility counseling to encourage residents to move to areas where they may have a better chance of success. We will also be entering into an Energy Performance Contract, which will help us in upgrading our water systems, lighting and furnaces. We are trying to get away from being solely dependent on HUD for funding. This may include redeveloping and possibly demolishing Fairgrounds and Concord Commons and some of our scattered sites, while upgrading our scattered site program and selling off some of these properties. Upgrading and modernizing our high rise sites will be a part of the strategic plan and the Energy contract, or a HOPE IV grant would help us with the financing on this project. Expanding our Case Management capabilities at all of our sites while implementing measures to require higher accountability for the people in our programs are more of our goals. Implementing training programs with stricter rules for landlords and tenants will help to achieve this goal for RHA. We are also focusing on our curb appeal for a better public relations image and overall appearance. Providing a safe environment for our residents is a constant goal of the RHA.

Question/Comment: Reverend Poole said that he was disappointed that HOME Board was not asked for their ideas or thoughts in the participation of the PHA Plan. He also asked if RHA is considering privatizing? Steve Anderson said that all ideas are up for consideration in putting together our Strategic Plan for RHA. It was discussed that Paul Lindstrom had conducted site visits to all of the sites prior to putting together the Capital Budget portion of our plan. Steve also encouraged Reverend Poole, Resident Councils and any resident to send their questions or comments in writing to Sherry Tracy before the final plan is submitted to HUD. The plan is currently in draft status and can be modified until submitted to HUD.

Question/Comment: Sharon Schwengels asked what the term "transitioning", means when speaking about Fairgrounds, Blackhawk and Concord? She also asked what is the amount of our Annual Grant? Sherri answered it is just over 3 million dollars. Sherri explained that this would not be a typical demolition, it could just be a disposition of some units. We are looking for creative ways to reposition or redevelop assets, however Concord would likely be a complete demolition program.

Question/Comment: Ben Miller asked if Senior Housing could be built on the Jane Addams site? Sherri Tracy commented that this site was being re-seeded for green space, and is intended to serve as a park through the Rockford Park District, however that does not mean that RHA cannot build future Senior Housing in Rockford.

Question/Comment: Reverend Poole asked if these sites are demolished, would the RHA redevelop into smaller units for families or elderly buildings? Steve replied yes, this is a consideration.

Steve introduced Sherri and she continued by reviewing the Capital Fund Work Items for the next five years for each sites. She discussed how the monies were distributed between sites according to their needs.

Sherri apologized to the HOME Board for not meeting with them on a one to one basis, and reassured them that in the future HOME, Resident Councils, and residents would all be invited to RHA site meetings concerning physical needs and our PHA Plan. She closed the meeting by thanking everyone for attending the public hearing and for their input.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Rockford

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Information is included in the attachments to the Plan.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Rockford's 2005-2009 Consolidated Plan states in part,

"The ...objectives the City will pursue over the next five years, based on the research and analysis ...are as follows:

- Reduce housing blight and blighting influences in neighborhoods;
- Increase the provision of affordable housing."

Additionally, two of the guiding principles in the aforesaid Consolidated Plan are:

- Implement strategies with sustainable long-term impacts, such as cost-effective rehabilitation and new construction that complements surrounding properties and;
- Seek opportunities to form partnerships with other agencies within the City, as well as outside of the City, generating beneficial activities for the larger economic region.

These objectives and guiding principles support the activities proposed by the Rockford Housing Authority in this agency plan.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment A

Rockford Housing Authority Deconcentration of Poverty

DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominately comprised of “lower income” residents and “lower income” residents into developments that are predominately comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

Developments that are not subject to the deconcentration rule are:

- A) Developments that house only elderly persons, or persons with disabilities, or both;
- B) Developments approved for demolition or for conversion to tenant based assistance; and
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.

In implementing this rule the Rockford Housing Authority shall:

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.
- B) Determine the average income of all families residing in each covered development.
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan.

For Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority's deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority's deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority's Deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's Deconcentration of poverty requirements in its "family" low-income public housing developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.
- D) Is developing a marketing plan that targets working families.
- E) Utilities Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Self-Sufficiency".
- H) Continues to modernize RHA's housing stock through the "Capital Fund".

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its Deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

Attachment B

**PHA Plan
Table Library**

Component 7

**Capital Fund Program Annual Statement
Parts I, II, and III**

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No:	Federal FY of Grant: 2008
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations	660,840			
3	1408 Management Improvements	281,400			
4	1410 Administration	330,420			
5	1411 Audit	7,000			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	174,500			
8	1440.5 Appraisals	35,000			
9	1450 Site Improvement	295,000			
10	1460 Dwelling Structures	1,310,000			
11	1465.1 Dwelling Equipment—Nonexpendable	26,000			
12	1470 Non-dwelling Structures	55,000			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	45,000			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	5,000			
18	1499 Development Activities	0			
19	1501 Collectivization or Debt Service	0			
20	1502 Contingency	79,042			
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,304,202			
22	Amount of line 21 Related to LBP Activities	0			
23	Amount of line 21 Related to Section 504 comp	0			
24	Amount of line 21 Related to Security – Soft	0			
25	Amount of Line 21 Related to Security – Hard	0			
26	Amount of line 21 Related to Energy Conservation Measures	0			

Signature of Executive Director and Date:	Signature of Public Housing Director and Date:
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1. To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
2. To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
001 Blackhawk	1406 Mod for Operations	001		67,426				
001 Blackhawk	1408 Security / Camera Replacement	001		5,000				
001 Blackhawk	1408 Training/Staff Resident	001		1,021				
001 Blackhawk	1408 Computer Upgrades	001		2,550				
001 Blackhawk	1408 Safety & Employee Wellness	001		1,556				
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,954				
001 Blackhawk	1408 Marketing Plan	001		6,633				
001 Blackhawk	1408 Upgrade Maint Fleet - Pick Up/Plow	001		25,000				
001 Blackhawk	1430 CFP Inspections	001		3,000				
001 Blackhawk	1430 A&E Consulting/Redev Activities	001		10,000				
001 Blackhawk	1440 Appraisals	001		7,500				
001 Blackhawk	1450 Site Impr./Sidewalks, Stoops, Handrails	001		50,000				
001 Blackhawk	1450 Site Impr./Storm Drain Repairs	001		25,000				
003 Orton Keyes	1406 Mod for Operations	003		60,202				
003 Orton Keyes	1408 Security / Camera Replacement	003		5,000				
003 Orton Keyes	1408 Training/Staff Resident	003		912				
003 Orton Keyes	1408 Computer Upgrades	003		2,277				
003 Orton Keyes	1408 Safety & Employee Wellness	003		1,389				
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,745				
003 Orton Keyes	1408 Marketing Plan	003		5,922				
003 Orton Keyes	1430 A & E	003		7,500				
003 Orton Keyes	1430 CFP Inspections	003		5,000				
003 Orton Keyes	1450 Site Impr/1 st Story Ext Stone Repair	003		50,000				
003 Orton Keyes	1450 Site Impr/Sidewalk & Patio Replace	003		20,000				
003 Orton Keyes	1460 Ext Rehab / Fascia & Soffit Repairs	003		10,000				
003 Orton Keyes	1470 Non Dwelling/Off-Com Room Roof	003		25,000				
003 Orton Keyes	1470 Non Dwelling/Head Start Roof Replace.	003		25,000				
004 Park Terrace	1406 Mod for Operations	004		62,954				
004 Park Terrace	1408 Security / Camera Replacement	004		2,500				
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,568				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
004 Park Terrace	1408 Training/Staff Resident	004		953				
004 Park Terrace	1408 Computer Upgrades	004		2,381				
004 Park Terrace	1408 Safety & Employee Wellness	004		1,453				
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,824				
004 Park Terrace	1408 Marketing Plan	004		6,193				
004 Park Terrace	1408 Upgrade Maint Fleet – Pickup/Plow	004		10,000				
	Split with Lowrises							
004 Park Terrace	1430 A & E	004		15,000				
004 Park Terrace	1430 CFP Inspections	004		5,000				
004 Park Terrace	1460 Int Rehab/Apt Door Hrdwr-closer	004		60,000				
004 Park Terrace	1460 Int Rehab/Riser Replacement 8&9 lines	004		340,000				
004 Park Terrace	1465 Non-Dwell Eq. Appliances for 8 & 9 Renovations	004		26,000				
004 Park Terrace	1495 Relocation	004		5,000				
006 North Main	1406 Mod for Operations	006		64,330				
006 North Main	1408 Security / Camera Replacement	006		2,500				
006 North Main	1408 Service Coordinators / Elderly	006		10,799				
006 North Main	1408 Training/Staff Resident	006		974				
006 North Main	1408 Computer Upgrades	006		2,433				
006 North Main	1408 Safety & Employee Wellness	006		1,484				
006 North Main	1408 Maintenance Tools & Equipment	006		1,864				
006 North Main	1408 Marketing Plan	006		6,328				
006 North Main	1430 CFP Inspections	006		2,000				
006 North Main	1460 Int Rehab/Door Hardware-Closers	006		60,000				
007 Fairgrounds	1406 Mod for Operations	007		72,242				
007 Fairgrounds	1408 Security / Camera Replacement	007		5,000				
007 Fairgrounds	1408 Training/Staff Resident	007		1,094				
007 Fairgrounds	1408 Computer Upgrades	007		2,732				
007 Fairgrounds	1408 Safety & Employee Wellness	007		1,667				
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,094				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
007 Fairgrounds	1408 Marketing Plan	007		7,106				
007 Fairgrounds	1430 CFP Inspections	007		2,000				
007 Fairgrounds	1430 A&E Consulting/Redev Activities	007		10,000				
007 Fairgrounds	1440 Appraisals	007		7,500				
007 Fairgrounds	1450 Site Imp/Basement Windows-PVC Downspouts	007		10,000				
007 Fairgrounds	1450 Site Imp/Parking Lot Sealcoat-Striping	007		25,000				
007 Fairgrounds	1470 Non-Dwelling/Office Carpet Replace	007		5,000				
009 Olesen Plaza	1406 Mod for Operations	009		51,945				
009 Olesen Plaza	1408 Security / Camera Replacement	009		2,500				
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,720				
009 Olesen Plaza	1408 Training/Staff Resident	009		787				
009 Olesen Plaza	1408 Computer Upgrades	009		1,965				
009 Olesen Plaza	1408 Safety & Employee Wellness	009		1,199				
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,505				
009 Olesen Plaza	1408 Marketing Plan	009		5,110				
009 Olesen Plaza	1430 A & E	009		20,000				
009 Olesen Plaza	1430 CFP Inspections	009		6,000				
009 Olesen Plaza	1460 Interior Rehab-Common Hall Floors	009		50,000				
009 Olesen Plaza	1460 Exterior Rehab-Roof Replacement	009		130,000				
014 Low Rises	1406 Mod for Operations	014		34,401				
014 Low Rises	1408 Service Coordinators / Elderly	014		5,775				
014 Low Rises	1408 Training/Staff Resident	014		521				
014 Low Rises	1408 Computer Upgrades	014		1,301				
014 Low Rises	1408 Safety & Employee Wellness	014		794				
014 Low Rises	1408 Maintenance Tools & Equipment	014		997				
014 Low Rises	1408 Marketing Plan	014		3,384				
014 Low Rises	1408 Upgrade Maint Fleet – Pickup/Plow	014		10,000				
	Split with Park Terrace							
014 Low Rises	1430 A & E	014		12,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250108 Replacement Housing Factor Grant No:				Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Low Rises	1430 CFP Inspections	014		14,000				
014 Low Rises	1460 Interior Rehab-Hall Carpet/Common Area Furniture – All 3	014		70,000				
014 Low Rises	1460 Interior Rehab-2 nd Story Laundry BB	014		10,000				
014 Low Rises	1460 Interior Rehab-Trash Compactor-all 3	014		30,000				
014 Low Rises	1460 Interior Rehab-Fire Alarm Upgrade - 3	014		155,000				
020 Scattered Sites West	1406 Mod for Operations	020		50,569				
020 Scattered Sites West	1408 Training/Staff Resident	020		766				
020 Scattered Sites West	1408 Computer Upgrades	020		1,912				
020 Scattered Sites West	1408 Safety & Employee Wellness	020		1,167				
020 Scattered Sites West	1408 Maintenance Tools & Equipment	020		1,466				
020 Scattered Sites West	1408 Marketing Plan	020		4,974				
020 Scattered Sites West	1408 Upgrade Maint Fleet/Mgr Vehicle	020		7,500				
020 Scattered Sites West	1430 CFP Inspections	020		5,000				
020 Scattered Sites West	1430 A&E Consulting/Redev Activities	020		10,000				
020 Scattered Sites West	1440 Appraisals	020		10,000				
020 Scattered Sites West	1450 Site Improvements-Sidewalks & Drives	020		20,000				
020 Scattered Sites West	1450 Site Improvements-Tree Removal	020		5,000				
020 Scattered Sites West	1460 Exterior Rehab-Roofs (008)	020		165,000				
020 Scattered Sites West	1485 Demo/3926 Latham,	020		15,000				
021 Scattered Sites East	1406 Mod for Operations	021		52,977				
021 Scattered Sites East	1408 Training/Staff Resident	021		802				
021 Scattered Sites East	1408 Computer Upgrades	021		2,004				
021 Scattered Sites East	1408 Safety & Employee Wellness	021		1,223				
021 Scattered Sites East	1408 Maintenance Tools & Equipment	021		1,535				
021 Scattered Sites East	1408 Marketing Plan	021		5,211				
021 Scattered Sites East	1408 Upgrade Maint Fleet/Mgr Vehicle	021		7,500				
021 Scattered Sites East	1430 A & E	021		20,000				
021 Scattered Sites East	1430 CFP Inspections	021		5,000				
021 Scattered Sites East	1430 A&E Consulting/Redev Activities	021		10,000				

Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: Rockford Housing Authority			Grant Type and Number Capital Fund Program No: IL06P02250108 Replacement Housing Factor No:			Federal FY of Grant: 2008	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL22-001 Blackhawk	06/12/10			06/12/12			
IL22-003 Orton Keyes	06/12/10			06/12/12			
IL22-004 Park Terrace	06/12/10			06/12/12			
IL22-006 N. Main Manor	06/12/10			06/12/12			
IL22-007 Fairgrounds	06/12/10			06/12/12			
IL22-009 Olesen Plaza	06/12/10			06/12/12			
IL22-014 Low Rises	06/12/10			06/12/12			
IL22-020 Scattered Sites West	06/12/10			06/12/12			
IL22-021 Scattered Sites East	06/12/10			06/12/12			
IL22-051 Jane Addams	06/12/10			06/12/12			
IL22-052 Brewington Oaks	06/12/10			06/12/12			
PHA Wide	06/12/10			06/12/12			

Attachment C

Capital Fund Program Five Year Action Plan Parts I, II, and III

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name ROCKFORD HOUSING AUTHORITY		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
A. Development Number/Name/HA-Wide	Year 1 FY: 2008	Work Statement for Year 2 FFY Grant: IL06P02250-109 PHA FY: 2009	Work Statement for Year 3 FFY Grant: IL06P02250-110 PHA FY: 2010	Work Statement for Year 4 FFY Grant: IL06P02250-111 PHA FY: 2011	Work Statement for Year 5 FFY Grant: IL06P02250-112 PHA FY: 2012
PHA Wide		\$	\$	\$	\$
IL22-001 Blackhawk		40,000	40,000	40,000	70,000
IL22-003 Orton Keys		170,000	40,000	10,000	90,000
IL22-004 Park Terrace		150,000	685,000	358,000	695,000
IL22-006 N. Main Manor		115,000	30,000	20,000	20,000
IL22-007 Fairgrounds		30,000	30,000	20,000	40,000
IL22-009 Olesen Plaza		105,500	210,000	15,000	40,000
IL22-014 Low Rises		210,000	230,000	230,000	150,000
IL22-020 Scattered Sites West		227,000	56,000	415,000	170,000
IL22-021 Scattered Sites East		230,000	100,000	235,000	550,000
IL22-052 Brewington Oaks		510,000	320,000	420,000	145,000
B. Physical Improvements Sub Total		1,787,500	1,741,000	1,763,000	1,970,000
C. Management Improvements		264,400	264,400	264,400	264,400
D. HA Wide Non Dwelling Structures		0	10,000	0	0
E. Administration		330,420	330,420	330,420	330,420
F. Other		261,042	297,542	285,542	438,542
G. Operations		660,840	660,840	660,840	660,840
H. Demolition		0	0	0	0
K. Total CGP Funds		3,304,202	3,304,202	3,304,202	3,664,202
M. Grand Total		3,304,202	3,304,202	3,304,202	3,664,202
Signature of Executive Director	Date	Sign. of Public Housing Dir.		Date	

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages— Physical Needs

Activities for Year 1 2008	Activities for Year :02 FFY Grant: IL06P022501-09 PHA FY: 2009			Activities for Year: 03 FFY Grant: IL06P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	IL22-001 Blackhawk	Sidewalks, Stoops, Rails	\$40,000	IL22-001 Blackhawk	Sidewalks, Stoops, Rails	\$40,000
Annual	IL22-003 Orton Keys	Concrete Dumpster Pads	80,000	IL22-003 Orton Keys	Drainage, Landscaping	40,000
Statement	IL22-003 Orton Keys	ADA Apt Upgrades	90,000	IL22-004 Park Terrace	Weatherization	85,000
	IL22-004 Park Terrace	1 st Floor Renovation	150,000	IL22-004 Park Terrace	Riser Replacement	600,000
	IL22-006 North Main	Parking Lot Seal/Stripe	15,000	IL22-006 North Main	Closet Door Replace.	10,000
	IL22-006 North Main	Paint Hallways	50,000	IL22-006 North Main	Paint Stairwells	20,000
	IL22-006 North Main	Hallway Flooring	40,000	IL22-007 Fairgrounds	Sidewalk Replacement	20,000
	IL22-006 North Main	Closet Door Replacement	10,000	IL22-007 Fairgrounds	Drainage/Down Spouts, Landscaping	10,000
	IL22-007 Fairgrounds	Bsmt Windows/PVC Downspout Replace	10,000	IL22-009 Olesen	Intercom System	180,000
	IL22-007 Fairgrounds	Sidewalk Replacement	20,000	IL22-009 Olesen	Closet Door Replace.	10,000
	IL22-009 Olesen	Closet Door Replace.	10,000	IL22-009 Olesen	Paint Stairwells	20,000
	IL22-009 Olesen	Paint Hallways	40,000	IL22-014 Low Rises BB	Landscape	5,000
	IL22-009 Olesen	Hrdwr-Apt Closers	55,500	IL22-014 Low Rises BB	PTAC Replacement	10,000
	IL22-014 Low Rises	Parking Lot Seal/Stripe	30,000	IL22-014 Low Rises SG	Siding	70,000
	IL22-014 Low Rises	Sidewalk Repair & Landscape	30,000	IL22-014 Low Rises SG	Roof Replacement	50,000
	IL22-014 Low Rises	Closet Door Replace.	30,000	IL22-014 Low Rises SG	Door Closer Replace.	10,000
	IL22-014 Low Rises	Roof Replace MV	45,000	IL22-014 Low Rises MV	Kitchen Cabs/ Counters	85,000
	IL22-014 Low Rises	Siding MV	75,000	IL22-020 SS West	Drives/Walks Replaced	20,000
	IL22-020 SS West	Driveway/Sidewalks	20,000	IL22-020 SS West	Roofs (019's)	6,000
	IL22-020 SS West	Windows (013's)	130,000	IL22-020 SS West	Roofs (017's)	30,000
	IL22-020 SS West	Siding (013's)	77,000	IL22-021 SS East	Drives/Walks Replaced	20,000
	IL22-021 SS East	Driveway/Sidewalks	20,000	IL22-021 SS East	Roofs (017's)	30,000
	IL22-021 SS East	Windows (013's)	120,000	IL22-021 SS East	Roofs (019's)	20,000
	IL22-021 SS East	Siding (013's)	90,000	IL22-021 SS East	Roofs (020's)	30,000
	IL22-052 Brewington-A	Riser Replacement	500,000	IL22-052 Brewington A	Site/Sidewalk Replace.	5,000
	IL22-052 Brewington-B	Sitework	10,000	IL22-052 Brewington A	First Floor Renovations	200,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages— Physical Needs

Activities for Year 1 2008	Activities for Year :02 FFY Grant: IL06P022501-09 PHA FY: 2009			Activities for Year: 03 FFY Grant: II06P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See				IL22-052 Brewington A	Apt Door Hardware	60,000
Annual				IL22-052 Brewington B	Seal/Restripe Lot	10,000
Statement				IL22-052 Brewington B	PTAC Replacement	45,000
	Total CFP Estimated Cost		\$1,787,500			\$1,741,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages – Physical Needs

Activities for Year 1 2008	Activities for Year :04 FFY Grant: IL06P022501-111 PHA FY: 2011			Activities for Year: 05 FFY Grant: II06P022501-12 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	IL22-001 Blackhawk	Sidewalks, Stoops, Rails	\$40,000	IL22-001 Blackhawk	Sidewalk/Stoops/Rails	\$40,000
Annual	IL22-003 Orton Keys	Prkg Lot Seal/Stripe	10,000	IL22-001 Blackhawk	Roofs	10,000
Statement	IL22-004 Park Terrace	Site Work	10,000	IL22-001 Blackhawk	Furnace Replacement	20,000
	IL22-004 Park Terrace	Riser Replacement	340,000	IL22-003 Orton Keys	Sidewalks	30,000
	IL22-004 Park Terrace	Replace N. Maint Doors	8,000	IL22-003 Orton Keys	Landscape/Drainage	10,000
	IL22-006 North Main	Sitework-Landscaping	10,000	IL22-003 Orton Keys	Windows	15,000
	IL22-006 North Main	Closet Door Replace.	10,000	IL22-003 Orton Keys	Siding	15,000
	IL22-007 Fairgrounds	Sidewalks	10,000	IL22-003 Orton Keys	Furnace Replacement	20,000
	IL22-007 Fairgrounds	Drainage/Dnspts	10,000	IL22-004 Park Terrace	Riser Replacement	650,000
	IL22-009 Olesen	Landscape	5,000	IL22-004 Park Terrace	Kitchen Cabinets-ADA	20,000
	IL22-009 Olesen	Closet Door Replace.	10,000	IL22-004 Park Terrace	Replace Wtr Softener	25,000
	IL22-014 Low Rises BB	Siding	85,000	IL22-006 North Main	Prkg Lot Seal/Stripe	10,000
	IL22-014 Low Rises BB	Roof Replacement	65,000	IL22-006 North Main	Closet Door Replace.	10,000
	IL22-014 Low Rises SG	Kitch Cabs/Counters	75,000	IL22-007 Fairgrounds	Sidewalks	10,000
	IL22-014 Low RisesMV	Site Work	5,000	IL22-007 Fairgrounds	Downspouts/Landscape	10,000
	IL22-020 SS West	Drive/Sidewalks	15,000	IL22-007 Fairgrounds	Furnace Replacement	20,000
	IL22-020 SS West	Ext Ren (016's)	380,000	IL22-009 Olesen	Prkg Lot Seal/Stripe	10,000
	IL22-020 SS West	Mold Remediation	10,000	IL22-009 Olesen	Closet Door Replace,	10,000
	IL22-020 SS West	Lead Paint Abatement	10,000	IL22-009 Olesen	Kitchen Cabinets	20,000
	IL22-021 SS East	Drive/Sidewalks	15,000	IL22-014 Low Rises BB	Kitchens/Countertops	140,000
	IL22-021 SS East	Ext Renov (016's)	200,000	IL22-014 Low Rises SG	Site Work	5,000
	IL22-021 SS East	Lead Paint Abatement	10,000	IL22-014 Low RisesMV	Water Heater	5,000
	IL22-021 SS East	Mold Remediation	10,000	IL22-020 SS West	Drives/Sidewalks	20,000
	IL22-052 Brewington	Paint Hallways	60,000	IL22-020 SS West	Furnace w/Air Cond.	150,000
	IL22-052 Brewington	Hallway Flooring	90,000	IL22-021 SS East	Drives/Sidewalks	20,000
	IL22-052 Brewington B	Sidewalk Replacement	10,000	IL22-021 SS East	Ext Ren. 016 (8 of 41)	380,000
	IL22-052 Brewington B	First Floor Renovations	200,000	IL22-021 SS East	Furnace w/Air Cond.	150,000
	IL22-052 Brewington B	Apt Door Hardware	60,000	IL22-052 Brewington A	Site/Sidewalk Replace.	20,000
				IL22-052 Brewington	Paint Stairwells	60,000
				IL22-052 Brewington B	Seat Coat/Restripe	20,000
				IL22-052 Brewington B	PTAC Replacement	45,000
	Total CFP Estimated Cost		\$1,763,000			\$1,970,000

Capital Fund Program Five-Year Action Plan
Part III: Supporting Pages – Management Needs

Activities for Year 1 2008	Activities for Year :02 FFY Grant: IL06P022501-09 PHA FY: 2009			Activities for Year: 03 FFY Grant: IL06P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	IL22-001 Blackhawk	Security/Camera Replacement	\$5,000	IL22-001 Blackhawk	Security/Camera Replacement	\$5,000
See	IL22-001 Blackhawk	Training	1,021	IL22-001 Blackhawk	Training	1,021
Annual	IL22-001 Blackhawk	Computer Upgrades	2,550	IL22-001 Blackhawk	Computer Upgrades	2,550
Statement	IL22-001 Blackhawk	Safety Program	535	IL22-001 Blackhawk	Safety Program	535
	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,954	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,954
	IL22-001 Blackhawk	Marketing Plan	6,121	IL22-001 Blackhawk	Marketing Plan	6,121
	IL22-001 Blackhawk	Upgrade Maint Fleet	25,000	IL22-001 Blackhawk	Upgrade Maint Fleet	25,000
	IL22-003 Orton Keys	Security/Camera Replacement	5,000	IL22-003 Orton Keys	Security/Camera Replacement	5,000
	IL22-003 Orton Keys	Training	912	IL22-003 Orton Keys	Training	912
	IL22-003 Orton Keys	Computer Upgrades	2,277	IL22-003 Orton Keys	Computer Upgrades	2,277
	IL22-003 Orton Keys	Safety Program	478	IL22-003 Orton Keys	Safety Program	478
	IL22-003 Orton Keys	Maintenance Tools/Eq.	1,745	IL22-003 Orton Keys	Maintenance Tools/Eq.	1,745
	IL22-003 Orton Keys	Marketing Plan	5,465	IL22-003 Orton Keys	Marketing Plan	5,465
	IL22-004 Park Terrace	Security/Camera Replacement	2,500	IL22-004 Park Terrace	Security/Camera Replacement	2,500
	IL22-004 Park Terrace	Service Coordinators	10,568	IL22-004 Park Terrace	Service Coordinators	10,568
	IL22-004 Park Terrace	Training	953	IL22-004 Park Terrace	Training	953
	IL22-004 Park Terrace	Computer Upgrades	2,381	IL22-004 Park Terrace	Computer Upgrades	2,381
	IL22-004 Park Terrace	Safety Program	500	IL22-004 Park Terrace	Safety Program	500
	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,824	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,824
	IL22-004 Park Terrace	Marketing Plan	5,715	IL22-004 Park Terrace	Marketing Plan	5,715
	IL22-004 Park Terrace	Upgrade Maint Fleet	10,000	IL22-004 Park Terrace	Upgrade Maint Fleet	10,000
	IL22-006 North Main	Security/Camera Upgrades	2,500	IL22-006 North Main	Security/Camera Upgrades	2,500
	IL22-006 North Main	Service Coordinators	10,799	IL22-006 North Main	Service Coordinators	10,799
	IL22-006 North Main	Training	974	IL22-006 North Main	Training	974
	IL22-006 North Main	Computer Upgrades	2,433	IL22-006 North Main	Computer Upgrades	2,433
	IL22-006 North Main	Safety Program	511	IL22-006 North Main	Safety Program	511
	IL22-006 North Main	Maintenance Tools/Eq.	1,864	IL22-006 North Main	Maintenance Tools/Eq.	1,864

Capital Fund Program Five-Year Action Plan
Part III: Supporting Pages – Management Needs

Activities for Year 1 2008	Activities for Year :02 FFY Grant: IL06P022501-09 PHA FY: 2009			Activities for Year: 03 FFY Grant: II06P022501-10 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	IL22-006 North Main	Marketing Plan	5,840	IL22-006 North Main	Marketing Plan	5,840
	IL22-007 Fairgrounds	Security/Camera Replacement	5,000	IL22-007 Fairgrounds	Security/Camera Replacement	5,000
	IL22-007 Fairgrounds	Training	1,094	IL22-007 Fairgrounds	Training	1,094
	IL22-007 Fairgrounds	Computer Upgrades	2,732	IL22-007 Fairgrounds	Computer Upgrades	2,732
See Statement	IL22-007 Fairgrounds	Safety Program	573	IL22-007 Fairgrounds	Safety Program	573
	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,094	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,094
	IL22-007 Fairgrounds	Marketing Plan	6,558	IL22-007 Fairgrounds	Marketing Plan	6,558
	IL22-009 Olesen Plaza	Security/Camera Replacement	2,500	IL22-009 Olesen Plaza	Security/Camera Replacement	2,500
	IL22-009 Olesen Plaza	Service Coordinators	8,720	IL22-009 Olesen Plaza	Service Coordinators	8,720
	IL22-009 Olesen Plaza	Training	787	IL22-009 Olesen Plaza	Training	787
	IL22-009 Olesen Plaza	Computer Upgrades	1,965	IL22-009 Olesen Plaza	Computer Upgrades	1,965
	IL22-009 Olesen Plaza	Safety Program	412	IL22-009 Olesen Plaza	Safety Program	412
	IL22-009 Olesen Plaza	Maintenance Tools/Eq.	1,505	IL22-009 Olesen Plaza	Maintenance Tools/Eq.	1,505
	IL22-009 Olesen Plaza	Marketing Plan	4,716	IL22-009 Olesen Plaza	Marketing Plan	4,716
	IL22-014 Low Rises	Service Coordinators	5,775	IL22-014 Low Rises	Service Coordinators	5,775
	IL22-014 Low Rises	Training	521	IL22-014 Low Rises	Training	521
	IL22-014 Low Rises	Computer Upgrades	1,301	IL22-014 Low Rises	Computer Upgrades	1,301
	IL22-014 Low Rises	Safety Program	273	IL22-014 Low Rises	Safety Program	273
	IL22-014 Low Rises	Maintenance Tools/Eq.	997	IL22-014 Low Rises	Maintenance Tools/Eq.	997
	IL22-014 Low Rises	Marketing Plan	3,123	IL22-014 Low Rises	Marketing Plan	3,123
	IL22-014 Low Rises	Upgrade Maint Fleet	10,000	IL22-014 Low Rises	Upgrade Maint Fleet	10,000
	IL22-020 SS West	Training	766	IL22-020 SS West	Training	766
	IL22-020 SS West	Computer Upgrades	1,912	IL22-020 SS West	Computer Upgrades	1,912
	IL22-020 SS West	Safety Program	401	IL22-020 SS West	Safety Program	401
	IL22-020 SS West	Maintenance Tools/Eq.	1,466	IL22-020 SS West	Maintenance Tools/Eq.	1,466
	IL22-020 SS West	Marketing Plan	4,590	IL22-020 SS West	Marketing Plan	4,590
	IL22-020 SS West	Upgrade Maint Fleet	7,500	IL22-020 SS West	Upgrade Maint Fleet	7,500
	IL22-021 SS East	Training	802	IL22-021 SS East	Training	802
	IL22-021 SS East	Computer Upgrades	2,004	IL22-021 SS East	Computer Upgrades	2,004

Capital Fund Program Five-Year Action Plan
Part III: Supporting Pages – Management Needs

Activities for Year 1 2008	Activities for Year :04 FFY Grant: IL06P022501-11 PHA FY: 2011			Activities for Year: 05 FFY Grant: II06P022501-12 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	IL22-001 Blackhawk	Security/Camera Replacement	\$5,000	IL22-001 Blackhawk	Security/Camera Replacement	\$5,000
Annual	IL22-001 Blackhawk	Training	1,021	IL22-001 Blackhawk	Training	1,021
Statement	IL22-001 Blackhawk	Computer Upgrades	2,550	IL22-001 Blackhawk	Computer Upgrades	2,550
	IL22-001 Blackhawk	Safety Program	535	IL22-001 Blackhawk	Safety Program	535
	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,954	IL22-001 Blackhawk	Maintenance Tools/Eq.	1,954
	IL22-001 Blackhawk	Marketing Plan	6,121	IL22-001 Blackhawk	Marketing Plan	6,121
	IL22-001 Blackhawk	Upgrade Maint Fleet	25,000	IL22-001 Blackhawk	Upgrade Maint Fleet	25,000
	IL22-003 Orton Keys	Security/Camera Replacement	5,000	IL22-003 Orton Keys	Security/Camera Replacement	5,000
	IL22-003 Orton Keys	Training	912	IL22-003 Orton Keys	Training	912
	IL22-003 Orton Keys	Computer Upgrades	2,277	IL22-003 Orton Keys	Computer Upgrades	2,277
	IL22-003 Orton Keys	Safety Program	478	IL22-003 Orton Keys	Safety Program	478
	IL22-003 Orton Keys	Maintenance Tools/Eq.	1,745	IL22-003 Orton Keys	Maintenance Tools/Eq.	1,745
	IL22-003 Orton Keys	Marketing Plan	5,465	IL22-003 Orton Keys	Marketing Plan	5,465
	IL22-004 Park Terrace	Security/Camera Replacement	2,500	IL22-004 Park Terrace	Security/Camera Replacement	2,500
	IL22-004 Park Terrace	Service Coordinators	10,568	IL22-004 Park Terrace	Service Coordinators	10,568
	IL22-004 Park Terrace	Training	953	IL22-004 Park Terrace	Training	953
	IL22-004 Park Terrace	Computer Upgrades	2,381	IL22-004 Park Terrace	Computer Upgrades	2,381
	IL22-004 Park Terrace	Safety Program	500	IL22-004 Park Terrace	Safety Program	500
	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,824	IL22-004 Park Terrace	Maintenance Tools/Eq.	1,824
	IL22-004 Park Terrace	Marketing Plan	5,715	IL22-004 Park Terrace	Marketing Plan	5,715
	IL22-004 Park Terrace	Upgrade Maint Fleet	10,000	IL22-004 Park Terrace	Upgrade Maint Fleet	10,000
	IL22-006 North Main	Security/Camera Upgrades	2,500	IL22-006 North Main	Security/Camera Upgrades	2,500
	IL22-006 North Main	Service Coordinators	10,799	IL22-006 North Main	Service Coordinators	10,799
	IL22-006 North Main	Training	974	IL22-006 North Main	Training	974
	IL22-006 North Main	Computer Upgrades	2,433	IL22-006 North Main	Computer Upgrades	2,433
	IL22-006 North Main	Safety Program	511	IL22-006 North Main	Safety Program	511
	IL22-006 North Main	Maintenance Tools/Eq.	1,864	IL22-006 North Main	Maintenance Tools/Eq.	1,864

Capital Fund Program Five-Year Action Plan
Part III: Supporting Pages – Management Needs

Activities for Year 1 2008	Activities for Year :04 FFY Grant: IL06P022501-11 PHA FY: 2011			Activities for Year: 05 FFY Grant: II06P022501-12 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	IL22-006 North Main	Marketing Plan	5,840	IL22-006 North Main	Marketing Plan	5,840
Annual	IL22-007 Fairgrounds	Security/Camera Replacement	5,000	IL22-007 Fairgrounds	Security/Camera Replacement	5,000
Statement	IL22-007 Fairgrounds	Training	1,094	IL22-007 Fairgrounds	Training	1,094
	IL22-007 Fairgrounds	Computer Upgrades	2,732	IL22-007 Fairgrounds	Computer Upgrades	2,732
	IL22-007 Fairgrounds	Safety Program	573	IL22-007 Fairgrounds	Safety Program	573
	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,094	IL22-007 Fairgrounds	Maintenance Tools/Eq.	2,094
	IL22-007 Fairgrounds	Marketing Plan	6,558	IL22-007 Fairgrounds	Marketing Plan	6,558
	IL22-009 Olesen Plaza	Security/Camera Replacement	2,500	IL22-009 Olesen Plaza	Security/Camera Replacement	2,500
	IL22-009 Olesen Plaza	Service Coordinators	8,720	IL22-009 Olesen Plaza	Service Coordinators	8,720
	IL22-009 Olesen Plaza	Training	787	IL22-009 Olesen Plaza	Training	787
	IL22-009 Olesen Plaza	Computer Upgrades	1,965	IL22-009 Olesen Plaza	Computer Upgrades	1,965
	IL22-009 Olesen Plaza	Safety Program	412	IL22-009 Olesen Plaza	Safety Program	412
	IL22-009 Olesen Plaza	Maintenance Tools/Eq.	1,505	IL22-009 Olesen Plaza	Maintenance Tools/Eq.	1,505
	IL22-009 Olesen Plaza	Marketing Plan	4,716	IL22-009 Olesen Plaza	Marketing Plan	4,716
	IL22-014 Low Rises	Service Coordinators	5,775	IL22-014 Low Rises	Service Coordinators	5,775
	IL22-014 Low Rises	Training	521	IL22-014 Low Rises	Training	521
	IL22-014 Low Rises	Computer Upgrades	1,301	IL22-014 Low Rises	Computer Upgrades	1,301
	IL22-014 Low Rises	Safety Program	273	IL22-014 Low Rises	Safety Program	273
	IL22-014 Low Rises	Maintenance Tools/Eq.	997	IL22-014 Low Rises	Maintenance Tools/Eq.	997
	IL22-014 Low Rises	Marketing Plan	3,123	IL22-014 Low Rises	Marketing Plan	3,123
	IL22-014 Low Rises	Upgrade Maint Fleet	10,000	IL22-014 Low Rises	Upgrade Maint Fleet	10,000
	IL22-020 SS West	Training	766	IL22-020 SS West	Training	766
	IL22-020 SS West	Computer Upgrades	1,912	IL22-020 SS West	Computer Upgrades	1,912
	IL22-020 SS West	Safety Program	401	IL22-020 SS West	Safety Program	401
	IL22-020 SS West	Maintenance Tools/Eq.	1,466	IL22-020 SS West	Maintenance Tools/Eq.	1,466
	IL22-020 SS West	Marketing Plan	4,590	IL22-020 SS West	Marketing Plan	4,590
	IL22-020 SS West	Upgrade Maint Fleet	7,500	IL22-020 SS West	Upgrade Maint Fleet	7,500
	IL22-021 SS East	Training	802	IL22-021 SS East	Training	802
	IL22-021 SS East	Computer Upgrades	2,004	IL22-021 SS East	Computer Upgrades	2,004

Attachment D

Capital Fund Program Performance and Evaluation Reports Parts I, II, and III

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 3/31/08
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations	647,431	647,431	647,431	323,715
3	1408 Management Improvements	454,470	407,468	102,509	20,041
4	1410 Administration	323,716	323,716	323,716	161,858
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	134,500	182,544	52,490	12,490
8	1440 Site Acquisition	0			
9	1450 Site Improvement	150,000	150,000	2,800	0
10	1460 Dwelling Structures	878,500	752,853	178,664	55,911
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	0			
14	1485 Demolition	500,000	710,000	696,540	6,122
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	0	25,000	0	0
18	1499 Development Activities	0			
19	1501 Collectivization or Debt Service	0			
20	1502 Contingency	148,539	38,144	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,237,156	3,237,156	2,004,149	580,140
22	Amount of line 21 Related to LBP Activities	148,500			
23	Amount of line 21 Related to Section 504 comp	75,000			
24	Amount of line 21 Related to Security – Soft	0			
25	Amount of Line 21 Related to Security – Hard	271,317			
26	Amount of line 21 Related to Energy Conservation Measures	170,000			

Signature of Executive Director and Date:	Signature of Public Housing Director and Date:
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1. To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
2. To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
001 Blackhawk	1406 Mod for Operations	001		123,155	123,156	123,156	61,578	In Process
001 Blackhawk	1408 Training/Staff Resident	001		2,219	2,219	175	175	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		6,022	6,022	3,777	0	In Process
001 Blackhawk	1408 Safety Program	001		397	397	51	49	In Process
001 Blackhawk	1408 Resident Screening/Orientation	001		528	528	0	0	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,955	1,955	0	0	In Process
001 Blackhawk	1408 Marketing-Vacancy/Reduction	001		980	980	0	0	In Process
001 Blackhawk	1408 Security	001		0	5,000	630	630	In Process
001 Blackhawk	1430 Construction Supervisor	001		0	599	599	599	Complete
001 Blackhawk	1450 Site Improvements	001		25,000	25,000	2,800	0	In Process
003 Orton Keys	1406 Mod for Operations	003		41,655	41,655	41,655	20,828	In Process
003 Orton Keys	1408 Training/Staff Resident	003		1,981	1,981	0	0	In Process
003 Orton Keys	1408 Computer Software/Hardware	003		5,378	5,378	3,372	0	In Process
003 Orton Keys	1408 Safety Program	003		354	356	45	43	In Process
003 Orton Keys	1408 Resident Screening/Orientation	003		473	473	0	0	In Process
003 Orton Keys	1408 Maintenance Tools & Equipment	003		1,745	1,745	0	0	In Process
003 Orton Keys	1408 Marketing-Vacancy/Reduction	003		875	875	0	0	In Process
003 Orton Keys	1430 A & E	003		10,000	10,000	0	0	In Process
003 Orton Keys	1430 Construction Supervisor/Inspections	003		5,000	5,000	614	614	In Process
003 Orton Keys	1460 Interior Rehab-ADA Apt Upgrades	003		75,000	75,000	0	0	In Process
004 Park Terrace	1406 Mod for Operations	004		172,107	172,107	172,107	86,054	In Process
004 Park Terrace	1408 Security Cameras and Hardware	004		20,742	20,742	0	0	In Process
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,485	10,568	10,568	2,642	In Process
004 Park Terrace	1408 Training/Staff Resident	004		2,037	2,037	1,374	1,374	In Process
004 Park Terrace	1408 Computer Software/Hardware	004		5,108	5,108	3,526	0	In Process
004 Park Terrace	1408 Safety Program	004		485	485	47	45	In Process
004 Park Terrace	1408 Resident Screening/Orientation	004		818	818	0	0	In Process
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,811	1,811	0	0	In Process
004 Park Terrace	1408 Marketing-Vacancy/Reduction	004		905	905	0	0	In Process
004 Park Terrace	1430 Construction Supervisor	004		0	95	95	95	Complete
004 Park Terrace	1450 Site Improvements -Security Cameras	004		20,000	20,000	0	0	In Process
006 North Main	1406 Mod for Operations	006		0	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
006 North Main	1408 Security Cameras and Hardware	006		21,353	21,353	0	0	In Process
006 North Main	1408 Service Coordinators / Elderly	006		10,831	10,779	10,779	2,700	In Process
006 North Main	1408 Training/Staff Resident	006		2,103	2,103	175	175	In Process
006 North Main	1408 Computer Software/Hardware	006		5,643	5,643	3,603	0	In Process
006 North Main	1408 Safety Program	006		415	415	48	46	In Process
006 North Main	1408 Resident Screening/Orientation	006		471	471	0	0	In Process
006 North Main	1408 Maintenance Tools & Equipment	006		1,870	1,870	0	0	In Process
006 North Main	1408 Marketing-Vacancy/Reduction	006		935	935	0	0	In Process
006 North Main	1430 A & E	006		3,500	3,500	0	0	In Process
006 North Main	1430 Construction Supervisor/Inspections	006		3,500	3,500	32	32	In Process
006 North Main	1450 Site Improvements-Security Camera	006		10,000	10,000	0	0	In Process
006 North Main	1460 Interior Rehab-Ventilation System	006		15,000	15,000	0	0	In Process
007 Fairgrounds	1406 Mod for Operations	007		94,155	94,155	94,155	47,078	In Process
007 Fairgrounds	1408 Training/Staff Resident	007		2,400	2,400	1,511	1,511	In Process
007 Fairgrounds	1408 Computer Software/Hardware	007		6,300	6,300	4,047	0	In Process
007 Fairgrounds	1408 Safety Program	007		750	750	54	52	In Process
007 Fairgrounds	1408 Resident Screening/Orientation	007		1,049	1,049	0	0	In Process
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,098	2,098	0	0	In Process
007 Fairgrounds	1408 Marketing-Vacancy/Reduction	007		1,049	1,049	0	0	In Process
007 Fairgrounds	1430 A & E	007		4,500	4,500	0	0	In Process
007 Fairgrounds	1430 Consulting Services for Redev.	007		15,000	15,000	0	0	In Process
007 Fairgrounds	1430 Construction Supervisor/Inspections	007		1,000	1,000	599	599	In Process
007 Fairgrounds	1450 Site Improvements-Sidewalks	007		20,000	20,000	0	0	In Process
009 Olesen Plaza	1406 Mod for Operations	009		0	0	0	0	Complete
009 Olesen Plaza	1408 Security Cameras and Hardware	009		17,241	17,241	0	0	In Process
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,744	8,720	8,720	2,180	In Process
009 Olesen Plaza	1408 Training/Staff Resident	009		1,697	1,697	0	0	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		4,557	4,557	2,910	0	In Process
009 Olesen Plaza	1408 Safety Program	009		335	335	39	37	In Process
009 Olesen Plaza	1408 Resident Screening/Orientation	009		379	379	0	0	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,510	1,510	0	0	In Process
009 Olesen Plaza	1408 Marketing-Vacancy/Reduction	009		755	755	0	0	In Process

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
009 Olesen Plaza	1430 A & E	009		21,500	21,500	0	0	In Process
009 Olesen Plaza	1430 Construction Supervisor/Inspections	009		6,500	6,500	205	205	In Process
009 Olesen Plaza	1450 Site Improvements-Prking Lot Seal	009		5,000	5,000	0	0	In Process
009 Olesen Plaza	1450 Site Improvements – Security Camera	009		10,000	10,000	0	0	In Process
009 Olesen Plaza	1460 Interior Rehab-1 st Floor Renovation	009		175,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab-Kitchen Prototype	009		7,500	7,500	0	0	In Process
014 Low Rises-Buckbee	1406 Mod for Operations	014		40,441	40,441	40,441	20,220	In Process
014 Low Rises-Buckbee	1408 Security Cameras and Hardware	014		4,875	0	0	0	Complete
014 Low Rises-Buckbee	1408 Service Coordinators / Elderly	014		2,464	2,483	2,483	621	In Process
014 Low Rises-Buckbee	1408 Training/Staff Resident	014		479	479	88	88	In Process
014 Low Rises-Buckbee	1408 Computer Software/Hardware	014		1,201	1,201	829	0	In Process
014 Low Rises-Buckbee	1408 Safety Program	014		114	114	11	10	In Process
014 Low Rises-Buckbee	1408 Resident Screening/Orientation	014		192	192	0	0	In Process
014 Low Rises-Buckbee	1408 Maintenance Tools & Equipment	014		425	425	0	0	In Process
014 Low Rises-Buckbee	1408 Marketing-Vacancy/Reduction	014		213	213	0	0	In Process
014 Low Rises-Buckbee	1430 A & E	014		4,300	4,300	0	0	In Process
014 Low Rises-Buckbee	1430 Construction Supervisor/Inspections	014		2,150	2,150	646	646	In Process
014 Low Rises-Buckbee	1460 Interior Rehab-Intercom Replacement	014		25,000	53,459	53,459	12,847	In Process
014 Low Rises-Buckbee	1460 Interior Rehab-PTAC Replacement	014		3,334	3,334	0	0	In Process
014 Low Rises-Buckbee	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		110,000	110,000	7,318	7,318	In Process
014 Low Rises-Summit	1406 Mod for Operations	014		25,393	25,393	25,393	12,696	In Process
014 Low Rises-Summit	1408 Security Cameras and Hardware	014		3,061	0	0	0	Complete
014 Low Rises-Summit	1408 Service Coordinators / Elderly	014		1,547	1,559	1,559	390	In Process
014 Low Rises-Summit	1408 Training/Staff Resident	014		301	301	88	88	In Process
014 Low Rises-Summit	1408 Computer Software/Hardware	014		754	754	520	0	In Process
014 Low Rises-Summit	1408 Safety Program	014		72	72	6	6	In Process
014 Low Rises-Summit	1408 Resident Screening/Orientation	014		121	121	0	0	In Process
014 Low Rises-Summit	1408 Maintenance Tools & Equipment	014		267	267	0	0	In Process
014 Low Rises-Summit	1408 Marketing – Vacancy/Reduction	014		134	134	0	0	In Process
014 Low Rises-Summit	1430 A & E	014		2,700	2,700	0	0	In Process
014 Low Rises-Summit	1430 Construction Supervisor/Inspections	014		1,350	1,350	914	914	In Process
014 Low Rises-Summit	1460 Interior Rehab-Intercom Replacement	014		25,000	39,763	39,763	11,301	In Process

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Low Rises-Summit	1460 Interior Rehab-PTAC Replacement	014		3,333	37,940	37,940	9,485	In Process
014 Low Rises-Summit	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		32,000	32,000	3,659	3,659	In Process
014 Low Rises-Midvale	1406 Mod for Operations	014		28,214	28,214	28,214	14,107	In Process
014 Low Rises-Midvale	1408 Security Cameras and Hardware	014		3,401	0	0	0	Complete
014 Low Rises-Midvale	1408 Service Coordinators / Elderly	014		1,719	1,733	1,733	433	In Process
014 Low Rises-Midvale	1408 Training/Staff Resident	014		334	334	88	88	In Process
014 Low Rises-Midvale	1408 Computer Software/Hardware	014		838	838	578	0	In Process
014 Low Rises-Midvale	1408 Safety Program	014		80	80	7	7	In Process
014 Low Rises-Midvale	1408 Resident Screening/Orientation	014		134	134	0	0	In Process
014 Low Rises-Midvale	1408 Maintenance Tools & Equipment	014		297	297	0	0	In Process
014 Low Rises-Midvale	1408 Marketing – Vacancy/Reduction	014		149	149	0	0	In Process
014 Low Rises-Midvale	1430 A & E	014		3,000	3,000	0	0	In Process
014 Low Rises-Midvale	1430 Construction Supervisor/Inspections	014		1,500	1,500	520	520	In Process
014 Low Rises-Midvale	1460 Interior Rehab-Intercom Replacement	014		25,000	36,524	36,524	11,301	In Process
014 Low Rises-Midvale	1460 Interior Rehab-PTAC Replacement	014		3,333	3,333	0	0	In Process
014 Low Rises-Midvale	1460 Interior Rehab-1 st Floor Apt Bathrooms	014		103,000	103,000	0	0	In Process
020 Scattered Sites West	1406 Mod for Operations	020		0	0	0	0	Complete
020 Scattered Sites West	1408 Training/Staff Resident	020		3,375	3,375	175	175	In Process
020 Scattered Sites West	1408 Computer Software/Hardware	020		4,500	4,500	2,833	0	In Process
020 Scattered Sites West	1408 Safety Program	020		750	750	38	36	In Process
020 Scattered Sites West	1408 Resident Screening/Orientation	020		750	750	0	0	In Process
020 Scattered Sites West	1408 Maintenance Tools & Equipment	020		1,500	1,500	0	0	In Process
020 Scattered Sites West	1408 Marketing-Vacancy/Reduction	020		750	750	0	0	In Process
020 Scattered Sites West	1408 Upgrade Maintenance Fleet	020		40,000	40,000	0	0	In Process
020 Scattered Sites West	1430 A & E	020		12,000	12,000	0	0	In Process
020 Scattered Sites West	1430 A & E Consulting	020		0	20,000	19,535	0	In Process
020 Scattered Sites West	1430 Construction Supervisor/Inspections	020		5,000	5,000	158	158	In Process
020 Scattered Sites West	1450 Site Improvements-Sidewalks	020		20,000	20,000	0	0	In Process
020 Scattered Sites West	1460 Interior Rehab-Lead Abatement	020		74,250	74,250	0	0	In Process
021 Scattered Sites East	1406 Mod for Operations	021		89,155	89,155	89,155	44,577	In Process
021 Scattered Sites East	1408 Training/Staff Resident	021		3,375	3,375	525	525	In Process
021 Scattered Sites East	1408 Computer Software/Hardware	021		4,500	4,500	2,968	0	In Process

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
021 Scattered Sites East	1408 Safety Program	021		750	750	40	38	In Process
021 Scattered Sites East	1408 Resident Screening/Orientation	021		750	750	0	0	In Process
021 Scattered Sites East	1408 Maintenance Tools & Equipment	021		1,500	1,500	0	0	In Process
021 Scattered Sites East	1408 Marketing-Vacancy/Reduction	021		750	750	0	0	In Process
021 Scattered Sites East	1408 Upgrade Maintenance Fleet	021		20,000	20,000	0	0	In Process
021 Scattered Sites East	1430 A & E	021		12,000	12,000	0	0	In Process
021 Scattered Sites East	1430 A & E Consulting	021		0	20,500	20,465	0	In Process
021 Scattered Sites East	1430 Construction Supervisor/Inspections	021		5,000	5,000	284	284	In Process
021 Scattered Sites East	1450 Site Improvements-Sidewalks	021		20,000	20,000	0	0	In Process
021 Scattered Sites East	1460 Interior Rehab-Lead Abatement	021		74,250	74,250	0	0	In Process
051 Jane Addams	1430 Construction Supervisor	051		0	6,851	6,851	6,851	In Process
051 Jane Addams	1485 Demolition	051		500,000	710,000	696,540	6,122	In Process
051 Jane Addams	1495 Relocation	051		0	25,000	0	0	In Process
052 Brewington Oaks	1406 Mod for Operations	052		33,155	33,155	33,155	16,578	In Process
052 Brewington Oaks	1408 Security	052		140,645	100,000	0	0	In Process
052 Brewington Oaks	1408 Service Coordinators / Elderly	052		24,210	24,137	24,137	5,592	In Process
052 Brewington Oaks	1408 Training/Staff Resident	052		4,700	4,700	175	175	In Process
052 Brewington Oaks	1408 Computer Software/Hardware	052		12,600	12,600	8,063	0	In Process
052 Brewington Oaks	1408 Safety Program	052		750	750	114	110	In Process
052 Brewington Oaks	1408 Resident Screening/Orientation	052		1,100	1,100	0	0	In Process
052 Brewington Oaks	1408 Maintenance Tools & Equipment	052		4,172	4,172	0	0	In Process
052 Brewington Oaks	1408 Marketing-Vacancy/Reduction	052		2,086	2,086	0	0	In Process
052 Brewington Oaks	1430 A & E	052		10,000	10,000	0	0	In Process
052 Brewington Oaks	1430 Construction Supervisor/Inspections	052		5,000	5,000	977	977	In Process
052 Brewington Oaks	1450 Site Improvements-Cameras	052		20,000	20,000	0	0	In Process
052 Brewington Oaks	1460 Interior Rehab-Remove Emer. Call Sys.	052		40,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab-Trash Compactor	052		12,500	12,500	0	0	In Process
052 Brewington Oaks	1460 Interior Rehab-1 st Floor Bathrooms	052		75,000	75,000	0	0	In Process
PHA Wide	1410 Management Fees	000		323,716	323,716	323,716	161,858	In Process
PHA Wide	1502 Contingency	000		148,539	38,144	0	0	In Process

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250107 Replacement Housing Factor Grant No:				Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
				3,237,156	3,237,156	2,004,149	580,137	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P02250107 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL22-001 Blackhawk	09/12/09			09/12/11			
IL22-003 Orton Keyes	09/12/09			09/12/11			
IL22-004 Park Terrace	09/12/09			09/12/11			
IL22-006 N. Main Manor	09/12/09			09/12/11			
IL22-007 Fairgrounds	09/12/09			09/12/11			
IL22-009 Olesen Plaza	09/12/09			09/12/11			
IL22-014 Low Rises	09/12/09			09/12/11			
IL22-020 Scattered Sites	09/12/09			09/12/11			
IL22-021 Scattered Sties	09/12/09			09/12/11			
IL22-051 Jane Addams	09/12/09			09/12/11			
IL22-052 Brewington Oaks	09/12/09			09/12/11			
PHA Wide	09/12/09			09/12/11			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:	Federal FY of Grant: 2006
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/08
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	632,769	632,769	632,769	369,115
3	1408 Management Improvements	417,000	330,279	330,279	325,780
4	1410 Administration	158,192	325,732	325,732	325,732
5	1411 Audit	0	4,063	4,063	4,063
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	199,640	446,114	446,114	352,980
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	72,000	60,764	60,764	54,164
10	1460 Dwelling Structures	1,325,851	934,371	934,371	845,701
11	1465.1 Dwelling Equipment—Nonexpendable	2,500	0	0	0
12	1470 Non-dwelling Structures	15,000	34,687	34,687	23,111
13	1475 Non-dwelling Equipment	37,973	180,978	180,978	180,978
14	1485 Demolition	150,000	210,363	30,363	30,363
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	114,000	97,198	88,547	88,547
18	1499 Development Activities				0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	38,920	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,163,845	3,257,318	3,068,667	2,600,535
22	Amount of line 21 Related to LBP Activities				0
23	Amount of line 21 Related to Section 504 comp				0
24	Amount of line 21 Related to Security – Soft				
25	Amount of Line 21 Related to Security – Hard				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
001 Blackhawk	1406 Mod for Operations	001		61,740	61,740	61,740	36,084	In Process
001 Blackhawk	1408 Training/Staff Resident	001		4,400	4,379	4,379	4,379	Complete
001 Blackhawk	1408 Computer Software	001		6,000	2,305	2,305	1,931	In Process
001 Blackhawk	1408 UPCS Inspections	001		2,150	0	0	0	Complete
001 Blackhawk	1408 Resident Screening/Orientation	001		980	0	0	0	Complete
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,960	1,383	1,383	1,383	Complete
001 Blackhawk	1408 Marketing-Vacancy/Reduction	001		980	0	0	0	Complete
001 Blackhawk	1408 Security	001		0	42,500	42,500	42,500	Complete
001 Blackhawk	1410 Management Fee	001		0	31,842	31,842	31,842	Complete
001 Blackhawk	1430 A & E	001		0	4,594	4,594	4,575	In Process
001 Blackhawk	1430 A & E Consulting	001		0	15,373	15,373	14,070	In Process
001 Blackhawk	1430 Construction Supervisor/Inspections	001		0	0	0	0	Complete
001 Blackhawk	1450 Site Improvements	001		0	16,150	16,150	16,150	Complete
001 Blackhawk	1460 Interior Rehab	001		5,000	8,116	8,116	8,116	Complete
001 Blackhawk	1460 Exterior Rehab	001		10,000	0	0	0	Complete
	1470 Non-Dwelling Structures	001		0	7,482	7,482	7,482	Complete
001 Blackhawk	1475 Computers/Office Equipment	001		0	18,082	18,082	18,082	Complete
003 Orton Keys	1406 Mod for Operations	003		55,125	55,125	55,125	32,218	In Process
003 Orton Keys	1408 Training/Staff Resident	003		3,930	3,418	3,418	3,418	Complete
003 Orton Keys	1408 Computer Software	003		5,400	2,058	2,058	1,724	In Process
003 Orton Keys	1408 UPCS Inspections	003		1,920	0	0	0	Complete
003 Orton Keys	1408 Resident Screening/Orientation	003		875	0	0	0	Complete
003 Orton Keys	1408 Maintenance Tools & Equipment	003		1,750	1,588	1,588	1,588	Complete
003 Orton Keys	1408 Marketing-Vacancy/Reduction	003		875	0	0	0	Complete
003 Orton Keys	1410 Management Fee	003		0	28,431	28,431	28,431	Complete
003 Orton Keys	1430 A & E	003		0	3,266	3,266	3,248	In Process
003 Orton Keys	1430 A & E Consulting	003		0	13,768	13,768	12,605	In Process
003 Orton Keys	1430 Construction Supervisor/Inspections	003		0	0	0	0	Complete
003 Orton Keys	1450 Site Improvements	003		10,000	7,075	7,075	7,075	Complete
003 Orton Keys	1460 Interior Rehab	003		5,000	8,454	8,454	8,454	Complete
003 Orton Keys	1470 Non-Dwelling Structures	003		0	12,204	12,204	12,204	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
003 Orton Keyes	1475 Computers/Office Equipment	003		0	10,240	10,240	10,240	Complete
004 Park Terrace	1406 Mod for Operations	004		56,700	56,700	56,700	33,690	In Process
004 Park Terrace	1408 Security	004		11,700	14,090	14,090	14,090	Complete
004 Park Terrace	1408 Service Coordinators / Elderly	004		10,425	11,039	11,039	11,039	Complete
004 Park Terrace	1408 Training/Staff Resident	004		4,040	1,299	1,299	1,299	Complete
004 Park Terrace	1408 Computer Software	004		5,400	2,152	2,152	1,803	In Process
004 Park Terrace	1408 Upgrade Maintenance Fleet	004		25,000	0	0	0	Complete
004 Park Terrace	1408 UPCS Inspections	004		1,975	0	0	0	Complete
004 Park Terrace	1408 Resident Screening/Orientation	004		900	0	0	0	Complete
004 Park Terrace	1408 Maintenance Tools & Equipment	004		1,800	1,249	1,249	1,249	Complete
004 Park Terrace	1408 Marketing-Vacancy/Reduction	004		900	0	0	0	Complete
004 Park Terrace	1410 Management Fee	004		0	29,730	29,730	29,730	Complete
004 Park Terrace	1430 A & E	004		0	3,415	3,415	3,396	In Process
004 Park Terrace	1430 A & E Consulting	004		0	14,380	14,380	13,163	In Process
004 Park Terrace	1430 Construction Supervisor/Inspector	004		0	0	0	0	Complete
004 Park Terrace	1450 Site Improvements	004		5,000	0	0	0	Complete
004 Park Terrace	1475 Computers/Office Equipment	004		0	10,347	10,347	10,347	Complete
006 North Main	1406 Mod for Operations	006		58,905	58,905	58,905	34,427	In Process
006 North Main	1408 Security	006		12,100	14,398	14,398	14,398	Complete
006 North Main	1408 Service Coordinators / Elderly	006		10,830	18,685	18,685	18,685	Complete
006 North Main	1408 Training/Staff Resident	006		4,200	3,476	3,476	3,476	Complete
006 North Main	1408 Computer Software	006		5,600	2,199	2,199	1,842	In Process
006 North Main	1408 UPCS Inspections	006		2,073	0	0	0	Complete
006 North Main	1408 Resident Screening/Orientation	006		935	0	0	0	Complete
006 North Main	1408 Maintenance Tools & Equipment	006		1,870	1,283	1,283	1,283	Complete
006 North Main	1408 Marketing-Vacancy/Reduction	006		935	0	0	0	Complete
006 North Main	1410 Management Fee	006		0	30,380	30,380	30,380	Complete
006 North Main	1430 A & E	006		15,000	28,689	28,689	28,671	In Process
006 North Main	1430 A & E Consulting	006		0	14,685	14,685	13,442	In Process
006 North Main	1430 Construction Supervisor/Inspections	006		0	0	0	0	Complete
006 North Main	1450 Site Improvements	006		5,000	6,600	6,600	0	In Process
006 North Main	1460 Interior Rehab-Elevator Upgrades	006		381,540	387,088	387,088	350,550	In Process

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
006 North Main	1460 Interior Rehab-Trash Compactor	006		12,000	8,375	8,375	8,375	Complete
006 North Main	1460 Interior Rehab-Make Up Air Handler	006		25,000	2,400	2,400	2,400	Complete
006 North Main	1460 Exterior Rehab	006		5,000	825	825	825	Complete
006 North Main	1475 Computers/Office Equipment	006		0	9,677	9,677	9,677	Complete
007 Fairgrounds	1406 Mod for Operations	007		66,150	66,150	66,150	38,661	In Process
007 Fairgrounds	1408 Training/Staff Resident	007		4,750	5,339	5,339	5,339	Complete
007 Fairgrounds	1408 Computer Software	007		6,300	2,470	2,470	2,069	In Process
007 Fairgrounds	1408 UPCS Inspections	007		2,305	0	0	0	Complete
007 Fairgrounds	1408 Resident Screening/Orientation	007		1,049	0	0	0	Complete
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,098	1,786	1,786	1,786	Complete
007 Fairgrounds	1408 Marketing-Vacancy/Reduction	007		1,049	0	0	0	Complete
007 Fairgrounds	1410 Management Fees	007		0	34,117	34,117	34,117	Complete
007 Fairgrounds	1430 A & E	007		0	3,919	3,919	3,898	In Process
007 Fairgrounds	1430 A & E Consulting	007		0	16,443	16,443	15,047	In Process
007 Fairgrounds	1430 Construction Supervisor/Inspections	007		0	0	0	0	Complete
007 Fairgrounds	1450 Site Improvements	007		0	9,300	9,300	9,300	Complete
007 Fairgrounds	1460 Interior/Exterior Rehab	007		0	9,588	9,588	9,588	Complete
007 Fairgrounds	1475 Computers/Office Equipment	007		0	9,762	9,762	9,762	Complete
008 Scattered Sites	1406 Mod for Operations	008		40,005	40,005	40,005	23,013	In Process
008 Scattered Sites	1408 Training/Staff Resident	008		2,850	3,783	3,783	3,783	Complete
008 Scattered Sites	1408 Computer Software	008		3,600	1,470	1,470	1,231	In Process
008 Scattered Sites	1408 UPCS Inspections	008		1,346	0	0	0	Complete
008 Scattered Sites	1408 Resident Screening/Orientation	008		595	0	0	0	Complete
008 Scattered Sites	1408 Maintenance Tools & Equipment	008		1,260	1,293	1,293	1,293	Complete
008 Scattered Sites	1408 Marketing-Vacancy/Reduction	008		595	0	0	0	Complete
008 Scattered Sites	1410 Management Fee	008		0	20,308	20,308	20,308	Complete
008 Scattered Site	1430 A & E	008		0	2,333	2,333	2,320	In Process
008 Scattered Sites	1430 A & E Consulting	008		0	9,947	9,947	9,117	In Process
008 Scattered Sites	1430 Construction Supervisor/Inspections	008		0	0	0	0	Complete
008 Scattered Sites	1450 Site Improvements	008		5,000	0	0	0	Complete
008 Scattered Sites	1460 Interior Rehab	008		7,500	4,143	4,143	4,143	Complete
008 Scattered Sites	1460 Exterior Rehab	008		15,000	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
008 Scattered Sites	1475 Computers/Office Equipment	008		0	768	768	768	Complete
009 Olesen Plaza	1406 Mod for Operations	009		47,565	47,565	47,565	27,799	In Process
009 Olesen Plaza	1408 Security	009		9,800	11,626	11,626	11,626	Complete
009 Olesen Plaza	1408 Service Coordinators / Elderly	009		8,745	15,087	15,087	15,087	Complete
009 Olesen Plaza	1408 Training/Staff Resident	009		3,400	3,241	3,241	3,241	Complete
009 Olesen Plaza	1408 Computer Software	009		4,600	1,776	1,776	1,487	In Process
009 Olesen Plaza	1408 UPCS Inspections	009		1,675	0	0	0	Complete
009 Olesen Plaza	1408 Resident Screening/Orientation	009		755	0	0	0	Complete
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,510	964	964	964	Complete
009 Olesen Plaza	1408 Marketing-Vacancy/Reduction	009		755	0	0	0	Complete
009 Olesen Plaza	1410 Management Fee	009		0	24,531	24,531	24,531	Complete
009 Olesen Plaza	1430 A & E	009		20,000	2,818	2,818	2,803	In Process
009 Olesen Plaza	1430 A & E Consulting	009		0	11,934	11,934	10,931	In Process
009 Olesen Plaza	1430 Construction Supervisor/Inspections	009		0	0	0	0	Complete
009 Olesen Plaza	1450 Site Improvements	009		5,000	13,490	13,490	13,490	Complete
009 Olesen Plaza	1460 Interior Rehab	009		5,000	2,780	2,780	2,780	Complete
009 Olesen Plaza	1460 Interior Rehab-Kitchen Cabinets	009		5,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab-Community Room	009		100,000	0	0	0	Complete
009 Olesen Plaza	1465 Appliances	009		2,500	0	0	0	Complete
009 Olesen Plaza	1475 Computers/Office Equipment	009		0	9,193	9,193	9,193	Complete
012 Scattered Sites	1406 Mod for Operations	012		3,150	3,150	3,150	1,841	In Process
012 Scattered Sites	1408 Training/Staff Resident	012		220	320	320	320	Complete
012 Scattered Sites	1408 Computer Software	012		250	118	118	99	In Process
012 Scattered Sites	1408 UPCS Inspections	012		110	0	0	0	Complete
012 Scattered Sites	1408 Resident Screening/Orientation	012		50	0	0	0	Complete
012 Scattered Sites	1408 Maintenance Tools & Equipment	012		90	104	104	104	Complete
012 Scattered Sites	1408 Marketing-Vacancy/Reduction	012		50	0	0	0	Complete
012 Scattered Sites	1410 Management Fee	012		0	1,625	1,625	1,625	Complete
012 Scattered Sites	1430 A & E	012		0	187	187	186	In Process
012 Scattered Sites	1430 A & E Consulting	012		0	1,159	1,159	1,093	In Process
012 Scattered Sites	1430 Construction Supervisor/Inspections	012		0	0	0	0	Complete
012 Scattered Sites	1460 Interior Rehab	012		4,000	338	338	338	Complete

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
012 Scattered Sites	1460 Exterior Rehab	012		5,000	0	0	0	Complete
012 Scattered Sites	1475 Computers/Office Equipment	012		0	768	768	768	Complete
013 Scattered Sites	1406 Mod for Operations	013		15,120	15,120	15,120	8,469	In Process
013 Scattered Sites	1408 Training/Staff Resident	013		1,075	1,338	1,338	1,338	Complete
013 Scattered Sites	1408 Computer Software	013		1,370	541	541	453	In Process
013 Scattered Sites	1408 UPCS Inspections	013		525	0	0	0	Complete
013 Scattered Sites	1408 Resident Screening/Orientation	013		240	0	0	0	Complete
013 Scattered Sites	1408 Maintenance Tools & Equipment	013		450	485	485	485	Complete
013 Scattered Sites	1408 Marketing-Vacancy/Reduction	013		240	0	0	0	Complete
013 Scattered Sites	1410 Management Fee	013		0	7,473	7,473	7,473	Complete
013 Scattered Sites	1430 A & E	013		10,000	858	858	854	In Process
013 Scattered Sites	1430 A & E Consulting	013		0	3,910	3,910	3,605	In Process
013 Scattered Sites	1430 Construction Supervisor/Inspections	013		0	0	0	0	Complete
013 Scattered Sites	1460 Interior Rehab	013		4,000	1,596	1,596	1,596	Complete
013 Scattered Sites	1460 Exterior Rehab-Window Replacement	013		20,000	8,000	8,000	8,000	Complete
013 Scattered Sites	1475 Computers/Office Equipment	013		0	768	768	768	Complete
014 Low Rises-Buckbee	1406 Mod for Operations	014		13,545	13,545	13,545	7,916	In Process
014 Low Rises-Buckbee	1408 Security	014		2,800	3,311	3,311	3,311	Complete
014 Low Rises-Buckbee	1408 Service Coordinators / Elderly	014		2,490	4,296	4,296	4,296	Complete
014 Low Rises-Buckbee	1408 Training/Staff Resident	014		965	971	971	971	Complete
014 Low Rises-Buckbee	1408 Computer Software	014		1,075	506	506	424	In Process
014 Low Rises-Buckbee	1408 UPCS Inspections	014		470	0	0	0	Complete
014 Low Rises-Buckbee	1408 Resident Screening/Orientation	014		215	0	0	0	Complete
014 Low Rises-Buckbee	1408 Maintenance Tools & Equipment	014		430	403	403	403	Complete
014 Low Rises-Buckbee	1408 Marketing-Vacancy/Reduction	014		215	0	0	0	Complete
014 Low Rises-Buckbee	1410 Management Fee	014		0	6,986	6,986	6,986	Complete
014 Low Rises-Buckbee	1430 A & E	014		0	802	802	798	In Process
014 Low Rises-Buckbee	1430 A & E Consulting	014		0	3,681	3,681	3,395	In Process
014 Low Rises-Buckbee	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Buckbee	1450 Site Improvement	014		5,000	0	0	0	Complete
014 Low Rises-Buckbee	1460 Interior Rehab	014		15,000	3,853	3,853	0	In Process
014 Low Rises-Buckbee	1460 Interior Rehab-PTAC Replacement	014		3,200	3,915	3,915	3,915	Complete

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Low Rises-Buckbee	1460 Interior Rehab-Hallway Carpet	014		20,000	0	0	0	Complete
014 Low Rises-Buckbee	1475 Computers/Office Equipment	014		0	7,924	7,924	7,924	Complete
014 Low Rises-Buckbee	1475 Non-Dwelling Structures	014		0	950	950	950	Complete
014 Low Rises-Summit	1406 Mod for Operations	014		8,505	8,505	8,505	4,971	In Process
014 Low Rises-Summit	1408 Security	014		1,800	2,079	2,079	2,079	Complete
014 Low Rises-Summit	1408 Service Coordinators / Elderly	014		1,563	2,697	2,697	2,697	Complete
014 Low Rises-Summit	1408 Training/Staff Resident	014		605	971	971	971	Complete
014 Low Rises-Summit	1408 Computer Software	014		675	317	317	266	In Process
014 Low Rises-Summit	1408 UPCS Inspections	014		295	0	0	0	Complete
014 Low Rises-Summit	1408 Resident Screening/Orientation	014		135	0	0	0	Complete
014 Low Rises-Summit	1408 Maintenance Tools & Equipment	014		270	403	403	403	Complete
014 Low Rises-Summit	1408 Marketing – Vacancy/Reduction	014		135	0	0	0	Complete
014 Low Rises-Summit	1410 Management Fee	014		0	4,386	4,386	4,386	Complete
014 Low Rises-Summit	1430 A & E	014		0	504	504	501	In Process
014 Low Rises-Summit	1430 A & E Consulting	014		0	2,458	2,458	2,279	In Process
014 Low Rises-Summit	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Summit	1450 Site Improvements	014		5,000	0	0	0	Complete
014 Low Rises-Summit	1460 Interior Rehab	014		15,000	3,853	3,853	0	In Process
014 Low Rises-Summit	1460 Interior Rehab-PTAC Replacement	014		3,200	2,610	2,610	2,610	Complete
014 Low Rises-Summit	1460 Interior Rehab-Hallway Carpet	014		15,000	0	0	0	Complete
014 Low Rises-Summit	1475 Computers/Office Equipment	014		0	7,025	7,025	7,025	Complete
014 Low Rises-Midvale	1406 Mod for Operations	014		9,450	9,450	9,450	5,523	In Process
014 Low Rises-Midvale	1408 Security	014		1,800	2,310	2,310	2,310	Complete
014 Low Rises-Midvale	1408 Service Coordinators / Elderly	014		1,737	2,997	2,997	2,997	Complete
014 Low Rises-Midvale	1408 Training/Staff Resident	014		673	971	971	971	Complete
014 Low Rises-Midvale	1408 Computer Software	014		750	353	353	296	In Process
014 Low Rises-Midvale	1408 UPCS Inspections	014		320	0	0	0	Complete
014 Low Rises-Midvale	1408 Resident Screening/Orientation	014		150	0	0	0	Complete
014 Low Rises-Midvale	1408 Maintenance Tools & Equipment	014		300	403	403	403	Complete
014 Low Rises-Midvale	1408 Marketing – Vacancy/Reduction	014		150	0	0	0	Complete
014 Low Rises-Midvale	1410 Management Fee	014		0	4,874	4,874	4,874	Complete
014 Low Rises-Midvale	1430 A & E	014		0	560	560	557	In Process

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
014 Low Rises-Midvale	1430 A & E Consulting	014		0	2,687	2,687	2,488	In Process
014 Low Rises-Midvale	1430 Construction Supervisor/Inspections	014		0	0	0	0	Complete
014 Low Rises-Midvale	1450 Site Improvement	014		5,000	5,889	5,889	5,889	Complete
014 Low Rises-Midvale	1460 Interior Rehab	014		15,000	3,853	3,853	0	In Process
014 Low Rises-Midvale	1460 Interior Rehab-PTAC Replacement	014		3,200	2,610	2,610	2,610	Complete
014 Low Rises-Midvale	1460 Interior Rehab-Hallway Carpet	014		15,000	0	0	0	Complete
014 Low Rises-Midvale	1475 Computers/Office Equipment	014		0	7,497	7,497	7,497	Complete
016 Scattered Sites	1406 Mod for Operations	016		15,750	15,750	15,750	9,020	In Process
016 Scattered Sites	1408 Training/Staff Resident	016		1,122	1,238	1,238	1,238	Complete
016 Scattered Sites	1408 Computer Software	016		1,350	576	576	482	In Process
016 Scattered Sites	1408 UPCS Inspections	016		550	0	0	0	Complete
016 Scattered Sites	1408 Resident Screening/Orientation	016		250	0	0	0	Complete
016 Scattered Sites	1408 Maintenance Tools & Equipment	016		480	514	514	514	Complete
016 Scattered Sites	1408 Marketing-Vacancy/Reduction	016		250	0	0	0	Complete
016 Scattered Sites	1410 Management Fee	016		0	7,961	7,961	7,961	Complete
016 Scattered Sites	1430 A & E	016		15,000	914	914	909	In Process
016 Scattered Sites	1430 A & E Consulting	016		0	4,139	4,139	3,814	In Process
016 Scattered Sites	1430 Construction Supervisor/Inspections	016		0	0	0	0	Complete
016 Scattered Sites	1450 Site Improvements	016		12,000	0	0	0	Complete
016 Scattered Sites	1460 Interior Rehab	016		10,000	2,090	2,090	2,090	Complete
016 Scattered Sites	1460 Exterior Rehab	016		70,921	0	0	0	Complete
016 Scattered Sites	1475 Computers/Office Equipment	016		0	768	768	768	Complete
017 Scattered Sites	1406 Mod for Operations	017		9,765	9,765	9,765	5,523	In Process
017 Scattered Sites	1408 Training/Staff Resident	017		695	1,062	1,062	919	In Process
017 Scattered Sites	1408 Computer Software	017		1,100	353	353	296	In Process
017 Scattered Sites	1408 UPCS Inspections	017		330	0	0	0	Complete
017 Scattered Sites	1408 Resident Screening/Orientation	017		155	0	0	0	Complete
017 Scattered Sites	1408 Maintenance Tools & Equipment	017		300	331	331	331	Complete
017 Scattered Sites	1408 Marketing-Vacancy/Reduction	017		155	0	0	0	Complete
017 Scattered Sites	1410 Management Fee	017		0	4,874	4,874	4,874	Complete
017 Scattered Sites	1430 A & E	017		0	559	559	556	In Process
017 Scattered Sites	1430 A & E Consulting	017		0	2,687	2,687	2,488	In Process

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
017 Scattered Sites	1430 Construction Supervisor/Inspections	017		0	0	0	0	Complete
017 Scattered Sites	1450 Site Improvements	017		5,000	0	0	0	Complete
017 Scattered Sites	1460 Interior Rehab	017		5,000	1,043	1,043	1,043	Complete
017 Scattered Sites	1460 Exterior Rehab	017		5,000	0	0	0	Complete
017 Scattered Sites	1475 Computers/Office Equipment	017		0	768	768	768	Complete
019 Scattered Sites	1406 Mod for Operations	019		6,300	6,300	6,300	3,682	In Process
019 Scattered Sites	1408 Training/Staff Resident	019		380	524	524	524	Complete
019 Scattered Sites	1408 Computer Software	019		650	235	235	197	In Process
019 Scattered Sites	1408 UPCS Inspections	019		210	0	0	0	Complete
019 Scattered Sites	1408 Resident Screening/Orientation	019		100	0	0	0	Complete
019 Scattered Sites	1408 Maintenance Tools & Equipment	019		200	237	237	237	Complete
019 Scattered Sites	1408 Marketing-Vacancy/Reduction	019		100	0	0	0	Complete
019 Scattered Sites	1410 Management Fee	019		0	3,249	3,249	3,249	Complete
019 Scattered Sites	1430 A & E	019		0	373	373	371	In Process
019 Scattered Sites	1430 A & E Consulting	019		0	2,323	2,323	2,191	In Process
019 Scattered Sites	1430 Construction Supervisor/Inspections	019		0	0	0	0	Complete
019 Scattered Sites	1460 Interior Rehab	019		5,000	840	840	840	Complete
019 Scattered Sites	1460 Exterior Rehab	019		5,000	0	0	0	Complete
019 Scattered Sites	1475 Computers/Office Equipment	019		0	768	768	768	Complete
020 Scattered Sites	1406 Mod for Operations	020		6,300	6,300	6,300	3,682	In Process
020 Scattered Sites	1408 Training/Staff Resident	020		380	503	503	503	Complete
020 Scattered Sites	1408 Computer Software	020		650	235	235	197	In Process
020 Scattered Sites	1408 UPCS Inspections	020		210	0	0	0	Complete
020 Scattered Sites	1408 Resident Screening/Orientation	020		100	0	0	0	Complete
020 Scattered Sites	1408 Maintenance Tools & Equipment	020		200	237	237	237	Complete
020 Scattered Sites	1408 Marketing-Vacancy/Reduction	020		100	0	0	0	Complete
020 Scattered Sites	1410 Management Fee	020		0	3,249	3,249	3,249	Complete
020 Scattered Sites	1430 A & E	020		0	373	373	371	In Process
020 Scattered Sites	1430 A & E Consulting	020		0	1,812	1,812	1,680	In Process
020 Scattered Sites	1430 Construction Supervisor/Inspections	020		0	0	0	0	Complete
020 Scattered Sites	1460 Interior Rehab	020		5,000	840	840	840	Complete
020 Scattered Sites	1460 Exterior Rehab	020		5,000	0	0	0	Complete

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
020 Scattered Sites	1475 Computer Hardware	020		0	768	768	768	Complete
023 Scattered Sites	1406 Mod for Operations	023		315	315	315	184	In Process
023 Scattered Sites	1408 Training/Staff Resident	023		25	24	24	24	Complete
023 Scattered Sites	1408 Computer Software	023		30	12	12	10	In Process
023 Scattered Sites	1408 UPCS Inspections	023		11	0	0	0	Complete
023 Scattered Sites	1408 Resident Screening/Orientation	023		5	0	0	0	Complete
023 Scattered Sites	1408 Maintenance Tools & Equipment	023		10	14	14	14	Complete
023 Scattered Sites	1408 Marketing-Vacancy/Reduction	023		5	0	0	0	Complete
023 Scattered Sites	1410 Management Fee	023		0	162	162	162	Complete
023 Scattered Sites	1430 A & E	023		0	19	19	19	Complete
023 Scattered Sites	1430 A & E Consulting	023		0	471	471	465	In Process
023 Scattered Sites	1430 Construction Supervisor/Inspections	023		0	0	0	0	Complete
023 Scattered Sites	1460 Interior Rehab	023		0	61	61	61	Complete
023 Scattered Sites	1475 Computers/Office Equipment	023		0	768	768	768	Complete
051 Jane Addams	1406 Mod for Operations	051		26,709	26,709	26,709	15,464	In Process
051 Jane Addams	1408 Training / Staff & Residents	051		0	1,951	1,951	1,951	Complete
051 Jane Addams	1408 UPCS Inspections	051		935	0	0	0	Complete
051 Jane Addams	1408 Maintenance Tools & Equipment	051		0	424	424	424	Complete
051 Jane Addams	1410 Management Fee	051		0	13,647	13,647	13,647	Complete
051 Jane Addams	1430 A & E	051		0	73,159	73,159	71,819	In Process
051 Jane Addams	1430 Developer Fee's	051		50,000	0	0	0	Complete
051 Jane Addams	1430 A & E Consulting	051		0	76,580	76,580	57,700	In Process
051 Jane Addams	1430 Construction Supervisor/Inspections	051		0	0	0	0	Complete
051 Jane Addams	1450 Site Improvements	051		0	2,260	2,260	2,260	Complete
051 Jane Addams	1460 Interior Rehab	051		0	2,578	2,578	2,578	Complete
051 Jane Addams	1475 Computers/Office Equipment	051		0	768	768	768	Complete
051 Jane Addams	1485 Demolition	051		150,000	210,363	30,363	30,363	In Process
051 Jane Addams	1495 Relocation	051		114,000	97,198	88,547	88,547	In Process
052 Brewington Oaks	1406 Mod for Operations	052		131,670	131,670	131,670	76,949	In Process
052 Brewington Oaks	1408 Security	052		120,000	32,186	32,186	32,186	Complete
052 Brewington Oaks	1408 Service Coordinators / Elderly	052		24,210	44,175	44,175	44,175	Complete
052 Brewington Oaks	1408 Training/Staff Resident	052		11,290	4,705	4,705	4,705	Complete

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250106 Replacement Housing Factor Grant No:				Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
052 Brewington Oaks	1408 Computer Software	052		15,200	4,915	4,915	3,429	In Process
052 Brewington Oaks	1408 UPCS Inspections	052		4,590	0	0	0	Complete
052 Brewington Oaks	1408 Resident Screening/Orientation	052		2,511	0	0	0	Complete
052 Brewington Oaks	1408 Maintenance Tools & Equipment	052		5,022	2,471	2,471	2,471	Complete
052 Brewington Oaks	1408 Marketing-Vacancy/Reduction	052		2,511	0	0	0	Complete
052 Brewington Oaks	1410 Management Fee	052		0	67,907	67,907	67,907	Complete
052 Brewington Oaks	1430 A & E	052		20,640	33,000	33,000	27,999	In Process
052 Brewington Oaks	1430 Developer Fee's	052		25,000	0	0	0	Complete
052 Brewington Oaks	1430 A & E Consulting	052		0	32,333	32,333	29,558	In Process
052 Brewington Oaks	1430 Construction Supervisor/Inspections	052		0	0	0	0	Complete
052 Brewington Oaks	1450 Site Improvements	052		10,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab – (A)	052		5,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab-HVAC Upgrade (B)	052		15,100	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab-Elevator Upgrades	052		416,190	456,123	456,123	415,549	In Process
052 Brewington Oaks	1460 Interior Rehab-Fire Code Renovations	052		25,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab- Public Restrooms	052		40,000	0	0	0	Complete
052 Brewington Oaks	1460 Int Rehab/Remove Em. Call System	052		0	8,400	8,400	8,400	Complete
052 Brewington Oaks	1475 Computers/Office Equipment	052		0	18,471	18,471	18,471	Complete
PHA Wide	1408 Safety Supplies	000		5,000	3,120	3,120	3,120	Complete
PHA Wide	1408 Computer Software Upgrades	000		0	28,005	28,005	28,005	Complete
PHA Wide	1410 Non-Technical Salaries	000		98,000	0	0	0	Complete
PHA Wide	1410 Travel/Training	000		9,000	0	0	0	Complete
PHA Wide	1410 Telephone Expense	000		1,200	0	0	0	Complete
PHA Wide	1410 Sundry	000		7,500	0	0	0	Complete
PHA Wide	1410 Employee Benefit Package	000		42,492	0	0	0	Complete
PHA Wide	1411 Auditing	000		0	4,063	4,063	4,063	Complete
PHA Wide	1430 Energy Audit	000		24,000	55,000	55,000	0	In Process
PHA Wide	1430 Consultant Fees	000		20,000	0	0	0	Complete
PHA Wide	1470 Computer Room Fire Control	000		15,000	15,000	15,000	3,425	In Process
PHA Wide	1475 Computers/Office Equipment	000		37,973	64,898	64,898	64,898	Complete
PHA Wide	1502 Contingency	000		38,920	0	0	0	Complete

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P02250106 Replacement Housing Factor No:				Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
IL22-001 Blackhawk	07/17/08			07/17/10			
IL22-003 Orton Keyes	07/17/08			07/17/10			
IL22-004 Park Terrace	07/17/08			07/17/10			
IL22-006 N. Main Manor	07/17/08			07/17/10			
IL22-007 Fairgrounds	07/17/08			07/17/10			
IL22-008 Scattered Sites	07/17/08			07/17/10			
IL22-009 Olesen Plaza	07/17/08			07/17/10			
IL22-012 Scattered Sites	07/17/08			07/17/10			
IL22-013 Scattered Sites	07/17/08			07/17/10			
IL22-014 Low Rises	07/17/08			07/17/10			
IL22-016 Scattered Sites	07/17/08			07/17/10			
IL22-017 Scattered Sites	07/17/08			07/17/10			
IL22-019 Scattered Sites	07/17/08			07/17/10			
IL22-020 Scattered Sites	07/17/08			07/17/10			
IL22-021 Scattered Sties	07/17/08			07/17/10			
IL22-051 Jane Addams	07/17/08			07/17/10			
IL22-052 Brewington Oaks	07/17/08			07/17/10			
PHA Wide	07/17/08			07/17/10			

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Rockford Housing Authority	Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 03/31/08
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations	330,534	661,068	661,068	661,068
3	1408 Management Improvements	661,060	442,720	441,910	430,315
4	1410 Administration	330,534	330,534	330,534	330,534
5	1411 Audit	0	6,563	6,563	6,563
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	281,579	228,039	203,809	171,703
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	143,000	147,041	147,041	144,841
10	1460 Dwelling Structures	1,104,209	1,161,172	1,161,172	1,161,172
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Non-dwelling Structures	113,791	229,277	132,213	129,224
13	1475 Non-dwelling Equipment	40,000	95,366	95,366	95,366
14	1485 Demolition	200,000	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	54,400	3,566	3,566	3,566
18	1499 Development Activities	0	0	0	0
19	1501 Collectivization or Debt Service	0	0	0	0
20	1502 Contingency	46,240	0	0	0
21	Amount of Annual Grant: (sum of lines 2 – 20)	3,305,347	3,305,347	3,183,242	3,134,353
22	Amount of line 21 Related to LBP Activities	53,000	60,000	0	0
23	Amount of line 21 Related to Section 504 comp	33,000	19,513	0	0
24	Amount of line 21 Related to Security – Soft	240,000	211,985	0	0
25	Amount of Line 21 Related to Security – Hard	0	70,533	0	0
26	Amount of line 21 Related to Energy Conservation Measures	52,500	28,638		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
001 Blackhawk	1430 Lead Testing A & E	001		40,000	9,709	9,709	9,709	Complete
001 Blackhawk	1450 Site Improvements	001		0	4,186	4,186	1,986	In Process
001 Blackhawk	1450 Camera's & Security Lights	001		25,000	188	188	188	Complete
001 Blackhawk	1450 ADA Compliance / Parking Lots	001		0	0	0	0	Complete
001 Blackhawk	1460 Interior Rehab	001		10,000	0	0	0	Complete
001 Blackhawk	1460 Exterior Rehab	001		20,000	3,775	3,775	3,775	Complete
001 Blackhawk	1460 LBP Abatement	001		5,000	0	0	0	Complete
001 Blackhawk	1460 ADA Compliance	001		5,000	0	0	0	Complete
003 Orton Keyes	1430 Hazardous A & E	003		3,000	0	0	0	Complete
003 Orton Keyes	1430 Lead Testing A & E	003		0	1,449	1,449	1,449	Complete
003 Orton Keyes	1450 Site Improvements	003		0	3,656	3,656	3,656	Complete
003 Orton Keyes	1450 Camera's & Security Lights	003		25,000	72,700	72,700	72,700	Complete
003 Orton Keyes	1450 Interior Rehab	003		3,000	0	0	0	Complete
003 Orton Keyes	1460 Interior Rehab	003		0	0	0	0	Complete
003 Orton Keyes	1460 ADA Compliance / Apartments	003		2,000	0	0	0	Complete
003 Orton Keyes	1470 Community Room Painting	003		0	5,026	5,026	5,026	Complete
003 Orton Keyes	1470 ADA Compliance / Office	003		10,000	0	0	0	Complete
004 Park Terrace	1450 ADA Compliance / Parking Lots	004		0	0	0	0	Complete
004 Park Terrace	1460 Elevator Upgrades	004		408,600	412,389	412,389	412,389	Complete
004 Park Terrace	1460 Fire Code Renovations	004		20,000	7,301	7,301	7,301	Complete
004 Park Terrace	1460 ADA Compliance / Apartments	004		3,000	0	0	0	Complete
006 North Main	1430 Hazard A & E	006		2,000	0	0	0	Complete
006 North Main	1450 ADA Compliance / Parking Lots	006		2,000	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
006 North Main	1450 Site Improvements	006		15,000	16,165	16,165	16,165	Complete
006 North Main	1460 Interior Rehab	006		10,000	170,548	170,548	170,548	Complete
006 North Main	1460 Fire Code Renovations	006		20,000	2,667	2,667	2,667	Complete
006 North Main	1495 Relocation	006		0	3,566	3,566	3,566	Complete
007 Fairgrounds	1430 Lead Testing A & E	007		3,000	0	0	0	Complete
007 Fairgrounds	1450 Site Improvements	007		20,000	14,736	14,736	14,736	Complete
007 Fairgrounds	1450 Camera's & Security Lights	007		25,000	2,462	2,462	2,462	Complete
007 Fairgrounds	1450 ADA Compliances / Parking Lots	007		3,000	0	0	0	Complete
007 Fairgrounds	1460 Interior Rehab	007		0	27,228	27,228	27,228	Complete
007 Fairgrounds	1460 Exterior Rehab	007		0	685	685	685	Complete
007 Fairgrounds	1460 LBP Abatement	007		5,000	0	0	0	Complete
007 Fairgrounds	1470 Non-Dwelling Structures	007		0	1,487	1,487	1,487	Complete
008 Scattered Sites	1430 Hazard A & E	008		2,000	0	0	0	Complete
008 Scattered Sites	1430 Lead Testing A & E	008		0	7,172	7,172	7,172	Complete
008 Scattered Sites	1450 Site Improvements	008		10,000	25,868	25,868	25,868	Complete
008 Scattered Sites	1460 Interior Rehab	008		18,919	0	0	0	Complete
008 Scattered Sites	1460 Exterior Rehab	008		25,000	24,740	24,740	24,740	Complete
009 Olesen Plaza	1450 ADA Compliance / Parking Lots	009		3,000	0	0	0	Complete
009 Olesen Plaza	1460 Interior Rehab	009		10,000	3,786	3,786	3,786	Complete
012 Scattered Sites	1430 Lead Testing A & E	012		2,000	4,049	4,049	4,049	Complete
012 Scattered Sites	1460 Interior Rehab	012		5,000	0	0	0	Complete
012 Scattered Sites	1460 Exterior Rehab	012		5,000	0	0	0	Complete
013 Scattered Sites	1430 Hazard A & E	013		5,000	0	0	0	Complete
013 Scattered Sites	1450 Site Improvements	013		5,000	0	0	0	Complete
013 Scattered Sites	1460 Interior Rehab	013		5,000	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
013 Scattered Sites	1460 Exterior Rehab	013		0	6,300	6,300	6,300	Complete
014 Low Rises	1430 Hazard A & E - Midvale	014		2,000	0	0	0	Complete
014 Low Rises	1450 Site Improvements	014		0	2,436	2,436	2,436	Complete
014 Low Rises	1460 Interior Rehab	014		0	45,174	45,174	45,174	Complete
014 Low Rises	1460 Interior Rehab PTAC Buckbee	014		7,500	3,805	3,805	3,805	Complete
014 Low Rises	1460 Interior Rehab PTAC Midvale	014		7,500	2,537	2,537	2,537	Complete
014 Low Rises	1460 Interior Rehab PTAC Summit	014		7,500	2,537	2,537	2,537	Complete
014 Low Rises	1475 Non-Dwelling Equipment	014		0	2,200	2,200	2,200	Complete
014 Low Rises	1495 Relocation	014		2,000	0	0	0	Complete
016 Scattered Sites	1430 Hazard A & E	016		2,000	0	0	0	Complete
016 Scattered Sites	1450 Site Improvements	016		0	2,715	2,715	2,715	Complete
016 Scattered Sites	1460 Interior Rehab	016		5,000	0	0	0	Complete
016 Scattered Sites	1460 Exterior Rehab	016		40,000	0	0	0	Complete
017 Scattered Sites	1430 Hazard A & E	017		4,000	0	0	0	Complete
017 Scattered Sites	1430 Lead Testing A & E	017		0	12,081	12,081	12,081	Complete
017 Scattered Sites	1460 Interior Rehab	017		10,000	3,270	3,270	3,270	Complete
017 Scattered Sites	1460 Exterior Rehab	017		10,000	0	0	0	Complete
019 Scattered Sites	1430 Hazard A & E	019		4,000	0	0	0	Complete
019 Scattered Sites	1430 Lead Testing A & E	019		0	7,874	7,874	7,874	Complete
019 Scattered Sites	1460 Interior Rehab	019		5,000	0	0	0	Complete
019 Scattered Sites	1460 Exterior Rehab	019		5,000	0	0	0	Complete
020 Scattered Sites	1430 Hazard A & E	020		2,000	0	0	0	Complete
020 Scattered Sites	1430 Lead Testing A & E	020		0	5,579	5,579	5,579	Complete
020 Scattered Sites	1460 Interior Rehab	020		5,000	0	0	0	Complete
020 Scattered Sites	1460 Exterior Rehab	020		5,000	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
051 Jane Addams	1430 A & E	051		0	1,500	1,500	1,500	Complete
051 Jane Addams	1430 Hazard A & E	051		3,579	0	0	0	Complete
051 Jane Addams	1430 Lead Testing A & E	051		0	7,338	7,338	7,338	Complete
051 Jane Addams	1450 Cameras & Security Lights	051		5,000	1,930	1,930	1,930	Complete
051 Jane Addams	1485 Demolition	051		200,000	0	0	0	Complete
051 Jane Addams	1495 Relocation	051		50,400	0	0	0	Complete
052 Brewington Oaks	1430 Hazard A & E	052		5,000	0	0	0	Complete
052 Brewington Oaks	1450 ADA Compliance / Parking Lots	052		5,000	0	0	0	Complete
052 Brewington Oaks	1460 Interior Rehab	052		0	14,544	14,544	14,544	Complete
052 Brewington Oaks	1460 Elevator Upgrades	052		416,190	422,778	422,778	422,778	Complete
052 Brewington Oaks	1460 Fire Code Renovations	052		0	7,111	7,111	7,111	Complete
052 Brewington Oaks	1495 Relocation	052		2,000	0	0	0	Complete
PHA Wide	1406 Capital for Operations	All		330,534	661,068	661,068	661,068	Complete
PHA Wide	1408 Service Coordinators – High rises	All		60,000	59,875	59,875	59,875	Complete
PHA Wide	1408 Training – Staff/Residents	All		97,000	97,000	97,000	94,275	In Process
PHA Wide	1408 Computer Software/Office Equipment	All		115,000	15,715	15,715	15,715	Complete
PHA Wide	1408 Security	All		160,000	211,985	211,985	211,985	Complete
PHA Wide	1408 Upgrade Maintenance/Admin Fleet	All		50,000	0	0	0	Complete
PHA Wide	1408 UPCS Inspections	All		22,000	19,910	19,910	19,910	Complete
PHA Wide	1408 Resident Screening/Orientation	All		10,000	2,324	2,324	2,324	Complete
PHA Wide	1408 Maintenance Tools & Equipment	All		35,640	1,431	1,431	1,431	Complete
PHA Wide	1408 Marketing – Vacancy/Reduction	All		10,000	4,480	3,670	3,670	In Process
PHA Wide	1408 Resident/Section 3 Initiatives	All		25,000	25,000	25,000	16,131	In Process
PHA Wide	1408 Safety Supplies	All		5,000	5,000	5,000	5,000	Complete
PHA Wide	1408 Inventory Upgrade/Security System	All		56,420	0	0	0	Complete
PHA Wide	1408 Safety/Loss Prevention	All		15,000	0	0	0	Complete
PHA Wide	1408 Automated Time & Attendance	All		0	0	0	0	Complete
PHA Wide	1410 Non Technical Salaries	All		325,534	323,715	323,715	323,715	Complete
PHA Wide	1410 Sundry	All		5,000	6,819	6,819	6,819	Complete
PHA Wide	1411 Auditing	All		0	6,563	6,563	6,563	Complete
PHA Wide	1430 A&E Costs	All		72,000	48,661	48,661	16,555	In Process
PHA Wide	1430 A&E Costs – Energy Audit	All		30,000	0	0	0	Complete

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program Grant No: IL06P02250105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	1430 A&E Costs – Consulting	All		100,000	122,628	98,398	98,398	In Process
PHA Wide	1470 Main Office Elevator	All		103,791	21,256	21,256	21,256	Complete
PHA Wide	1470 Main Office Int/Ext. Upgrades	All		0	201,508	104,444	101,455	In Progress
PHA Wide	1475 Computer Hardware	All		40,000	93,166	93,166	93,166	Complete
PHA Wide	1502 Contingency	All		46,240	0	0	0	Complete
				3,305,347	3,305,347	3,183,242	3,134,353	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Rockford Housing Authority		Grant Type and Number Capital Fund Program No: IL06P02250105 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide	08/17/07			08/17/09			
IL22-001 Blackhawk	08/17/07			08/17/09			
IL22-003 Orton Keyes	08/17/07			08/17/09			
IL22-004 Park Terrace	08/17/07			08/17/09			
IL22-006 N. Main Manor	08/17/07			08/17/09			
IL22-007 Fairgrounds	08/17/07			08/17/09			
IL22-008 Scattered Sites	08/17/07			08/17/09			
IL22-009 Olesen Plaza	08/17/07			08/17/09			
IL22-012 Scattered Sites	08/17/07			08/17/09			
IL22-013 Scattered Sites	08/17/07			08/17/09			
IL22-014 Low Rises	08/17/07			08/17/09			
IL22-016 Scattered Sites	08/17/07			08/17/09			
IL22-017 Scattered Sites	08/17/07			08/17/09			
IL22-019 Scattered Sites	08/17/07			08/17/09			
IL22-020 Scattered Sites	08/17/07			08/17/09			
IL22-021 Scattered Sites	08/17/07			08/17/09			
IL22-051 Jane Addams	08/17/07			08/17/09			
IL22-052 Brewington Oaks	08/17/07			08/17/09			
Mgmt Improvements	08/17/07			08/17/09			
PHA Wide	08/17/07			08/17/09			

Attachment E

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management

Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL220-001 Blackhawk Courts*	196	Attachment B	Under Review for potential redevelopment	Under Review for potential demolition and/or disposition	NA	06 Viability Assessment revealed PH costs exceed Voucher costs – 08 Viability Assessment underway	NA	5-yr strategic plan
IL22-003 Orton Keyes*	175	Attachment B	Under Review for potential redevelopment	Under Review	NA	2008 Viability Assessment underway	NA	5-yr strategic plan
IL22-004 Park Terrace*	183	Attachment B	NA	NA	NA	NA	NA	5-yr strategic plan
IL22-051 Jane Addams	84	Attachment B	77 Replacement units in Development	Demolition completed in 2008	NA	NA	NA	5-yr strategic Plan
IL22-052 Brewington Oaks*	418	Attachment B	Under Review for potential redevelopment	Under Review for potential demolition and/or disposition	NA	2008 Viability Assessment underway	NA	5-yr strategic plan

IL22-006 North Main Manor*	187	Attachment B	NA	NA	Elderly Only Designation	NA	NA	5-yr strategic plan
IL22-007 Fairgrounds Valley*	210	Attachment B	Under Review for potential redevelopment	Under Review for potential demolition and/or disposition	NA	2008 Viability Assessment underway	NA	5-yr strategic plan
IL22-008 Scattered Sites*	120	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeown ershipPgm review in process	5-yr strategic plan
IL22-009 Olesen Plaza*	151	Attachment B	NA	NA	Elderly Only Designation Application Pending	NA	NA	5-yr strategic plan
IL22-012* Scattered Sites	10	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	06 Viability Assessment revealed PH costs exceed Voucher costs – 08 Viability Assessment underway	Public Housing Homeown ershipPgm review in process	5-yr strategic plan
IL22-013* Scattered Sites	46	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeown ershipPgm review in process	5-yr strategic plan
IL22-014 Low Rises*	100	Attachment B	NA	NA	Disabled Only Designation	NA	NA	5-yr strategic plan

IL22-016 Scattered Sites-New*	49	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeowne rshipPgm review in process	5-yr strategic plan
IL22-017 Scattered Sites- Rehab*	30	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeowne rshipPgm review in process	5-yr strategic plan
IL22-019 Scattered Sites-FSS*	20	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeowne rshipPgm review in process	5-yr strategic plan
IL22-020 Scattered Sites*	20	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeowne rshipPgm review in process	5-yr strategic plan
IL 22-023 Scattered Site	1	Attachment B	Under Review	Under Review for Potential for Selective Demolition and/or Disposition Application	NA	2008 Viability Assessment underway	Public Housing Homeowne rshipPgm review in process	5-yr strategic plan

- See New Amp numbers per attached list on next page

Rockford Housing Authority

New AMP Numbers

Old Development	Old Number	New AMP Group	New Number	Number of Units
Blackhawk Courts	IL022-001	Blackhawk Courts	IL022000001P	196
Orton Keys	IL022-003	Orton Keys	IL022000003P	175
Park Terrace	IL022-004	Park Terrace		
Buckbee	IL022-014	Buckbee		
Midvale	IL022-014	Midvale	IL022000414P	283
Summit Green	IL022-014	Summit Green		
Brewington Oaks A Brewington Oaks B	IL022-052	Brewington Oaks A&B	IL0220005253P	418
North Main Manor	IL022-006	North Main Manor	IL022000006P	187
Olesen Plaza	IL022-009	Olesen Plaza	IL022000009P	151
Fairgrounds Valley	IL022-007	Fairgrounds Valley	IL022000007P	210
Scattered Sites	IL022-008	Scattered Sites West	IL022000020P	
Scattered Sites	012	Scattered Sites West	IL022000020P	
Scattered Sites	013	Scattered Sites West	IL022000020P	
Scattered Sites	016	Scattered Sites West	IL022000020P	
Scattered Sites	017	Scattered Sites West	IL022000020P	
Scattered Sites	019	Scattered Sites West	IL022000020P	
Scattered Sites	020	Scattered Sites West	IL022000020P	151

Scattered Sites	IL022-008	Scattered Sites East	IL022000021P	
Scattered Sites	012	Scattered Sites East	IL022000021P	
Scattered Sites	013	Scattered Sites East	IL022000021P	
Scattered Sites	016	Scattered Sites East	IL022000021P	
Scattered Sites	017	Scattered Sites East	IL022000021P	151
Scattered Sites	019	Scattered Sites East	IL022000021P	
Scattered Sites	020	Scattered Sites East	IL022000021P	
Scattered Sites	023	Scattered Sites East	IL022000021P	
Main Office		Central Office Cost Center	IL022009999P	

Attachment F

List of Resident Advisory Board Members

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Goldie Carpenter, Pres.	515 Seminary St. Apt 914B, Rockford, IL 61104
H.O.M.E. Board	Thomas Mc Caffery, Treas.	515 Seminary St. Apt 1104B, Rockford, IL 61104
H.O.M.E. Board	Benny Miller	505 Seminary St. Apt. 205A, Rockford, IL 61104
H.O.M.E. Board	Yvette Miller, V.Pres.	505 Seminary St. Apt 416A, Rockford, IL 61104
H.O.M.E. Board	Antineo Joseph, Sec.	505 Seminary St. Apt 801A, Rockford, IL 61104
H.O.M.E. Board	Robert King, V.Pres.	1000 Chamberlain Apt. 601, Rockford, IL 61107
H.O.M.E. Board	Kasper Blake, Pres.	1000 Chamberlain Apt 411, Rockford, IL 61107
H.O.M.E. Board	Charles Fletcher	1000 Chamberlain Apt 1203 Rockford, IL 61107
H.O.M.E. Board	Fran Jones, Treas.	505 N. Main St. Apt. 707, Rockford, IL 61103
H.O.M.E. Board	Edward Johnson, V.Pres.	505 N. Main St. Apt. 904, Rockford, IL 61103
H.O.M.E. Board	Diane Foster	337 Underwood St., Rockford, IL 61101
H.O.M.E. Board	Tiwanda Jones, Sec.	327 Underwood St., Rockford, Il 61101
H.O.M.E. Board	Laura Wright, Treas	511 N. Church St Apt 512 Rockford, IL 61103
H.O.M.E. Board	Alice Jenkins, V.Pres.	511 N. Church St. Apt 202 Rockford, IL 61103
H.O.M.E. Board	Johnny Simmons, Pres.	511 N. Church St. Apt 611 Rockford, IL 61103
H.O.M.E. Board	Pat Whitman, Pres.	4124 Harrison Ave Apt. 101, Rockford, Il 61108
H.O.M.E. Board	Sharon Kitsemble, Treas.	4124 Harrison Ave Apt 303 Rockford, IL 61108
H.O.M.E. Board	Yvonne Fonseca, V.Pre.	4124 Harrison Ave Apt 214 Rockford, IL 61108
H.O.M.E. Board	Lorrie Hicks, Sec.	4124 Harrison Ave Apt. 208 Rockford, Il 61108
H.O.M.E. Board	Jackline Leu, Treas.	5410 Midvale Dr. Apt 200, Rockford, Il 61108
H.O.M.E. Board	Ken Howard, V. Pres.	5410 Midvale Dr. Apt. 106, Rockford, Il 61108
H.O.M.E. Board	John Tyler, Pres.	5410 Midvale Dr. #108, Rockford, IL 61108
H.O.M.E. Board	George Poole, Pres.	320 Kenilworth Dr., Rockford, IL 61108

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year

Attachment G

List of Resident Board Member



Rockford Housing Authority Board of Commissioners FY 2008

Ricardo Cervantes	Chairman
Virginia Starks (Resident Commissioner)	Vice Chairperson
Phyllis Ginestra	Commissioner
Chandler Anderson	Commissioner
David Sidney	Commissioner

Attachment H
Rockford Housing Authority
Component 10 (B) Voluntary Conversion
Initial Assessments

- a. How many of the PHA’s developments are subject to the Required Initial Assessments? 14
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 2
- c. How many Assessments were conducted for the PHA’s covered developments? 14
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: Blackhawk Courts Scattered Sites, 22-12

Development Name	Number of Units
Blackhawk Courts IL-022-001 IL022000103P	196
Orton Keyes IL-022-003 IL022000103P	175
Park Terrace IL-022-004 IL022000609P	183
Brewington Oaks A&B IL-022-052 IL022005253P	418
Fairgrounds Valley IL-022-007 IL022000007P	210
Scattered Sites IL-022-008 IL022000020P & IL022000021P	127
Olesen Plaza IL-022-009 IL022000609P	151
Scattered Sites IL-022-012 IL022000020P & IL022000021P	10
Scattered Sites IL-022-013 IL022000020P & IL022000021P	46
Scattered Sites IL-022-016 IL022000020P & IL022000021P	49
Scattered Sites IL-022-017 IL022000020P & IL022000021P	30
Scattered Sites IL-022-019 IL022000020P & IL022000021P	20
Scattered Sites IL-022-020 IL022000020P & IL022000021P	20
Scattered Sites IL-022-023 IL022000021P	1

Attachment I

Community Service Requirement Policy & Status

The Rockford Housing Authority met with employers that could use intermittent workers to find out what their needs are. A list of those agencies wishing to participate was created for resident distribution.

RHA staff has made the initial contact with all 528 residents that are required to fulfill this mandate and we have documented those in compliance as well as those not in compliance. To date, 131 residents have begun to fulfill the mandate.

Quarterly reminder notices will be sent to residents that are not in compliance prior to their lease expiration. The following is our newly created policy:

1. COMMUNITY SERVICE REQUIREMENT POLICY

INTRODUCTION

Section 512 of the “Quality Housing and Work Responsibility Act” of 1998, entitled “Public Housing Community Service Requirements”, imposes a requirement on all adult housing residents, with important exceptions, to participate for a minimum of eight (8) hours per month in community service or in an economic self-sufficiency program. The Rockford Housing Authority may not renew any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who is subject to these requirements and has failed to comply with his or her obligation under this provision.

REQUIREMENTS

All adult public housing household members shall contribute:

1. Eight (8) hours per month of community service (not including political activities) within the local community in which the adult resident resides; or
- Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.

TYPES OF COMMUNITY SERVICE

Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include, but are not limited to the following.

1. Resident Council membership activities;
- Participation in local community clean up activities;
- Participation in PTA, church, school activities or other local community service organization or governmental body;
- Any other local community service activity approved in advance by the Rockford Housing Authority.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 986,841
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 000
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	000 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 70,000
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 000
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	000 %
D. Total number of Section 3 businesses receiving non-construction contracts	000

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Met with Home Board Members to make them aware of the importance of this program.
Direct contact with residents and follow-up correspondence.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 1,041,145
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 145,450
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	6.85%
D. Total number of Section 3 businesses receiving contracts	1

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 000
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	000 %
D. Total number of Section 3 businesses receiving non-construction contracts	000

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.
Met with Home Board Members to make them aware of the importance of this Program.
Direct contact with residents and follow-up correspondence.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. **Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted.** Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

- The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that
- 8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
- 9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low -income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Attachment K

Progress Report on Goals and Objectives

The Rockford Housing Authority has made significant progress during the past year on our goals and objectives for the agency. The major items are summarized below.

Project Based Accounting and Project Based Management

The Rockford Housing Authority has continued to make progress in the transition to project based accounting and management in the past year. Identified as a “stop-loss agency” by HUD, the RHA has worked diligently and strived to meet the goals and objectives set forth by HUD. Accordingly, there has been a sense of urgency across the board and from all staff positions to implement project-based management as soon as possible. Through the collective efforts of our dedicated staff, the RHA was able to certify to HUD in October 2007 of its compliance with this initiative. This has resulted in a major reorganization as the agency has shifted from a mostly centralized operation to the site-based model. The Site Managers have taken on more responsibility and accountability in the performance of the properties and the Administrative staff at the Central Office is working closely with the site staff to insure their success. The end result is a team effort that is improving efficiency, reducing costs and providing a better product to our end users, the residents. Truly a win-win situation for all.

The second phase of project based management at the RHA is the development of a 5-year Strategic Plan. Over the course of the past year, the RHA Board of Commissioners has held several in-depth planning sessions with our major stakeholder, the City of Rockford, to define a new mission for the agency. The outcome of strategic planning process is expected to be finalized in mid-July and will be exciting as it will set a new direction for the RHA in how it provides affordable housing in the community. The focus will shift away from housing developments with high concentrations of low-income persons and into more mixed-income properties through a variety of public/private partnerships utilizing various financing options including tax credits, project-based Section 8 vouchers, and the Capital Fund Bond Financing just to name a few. Acquisition, construction and redevelopment activities are expected to increase dramatically to meet the goals of the strategic plan. Additionally, the RHA will seek to expand homeownership opportunities for eligible residents of the Scattered Site public housing properties where feasible. Any properties that are not socially and/or economically viable will be considered for potential redevelopment, demolition and/or disposition as appropriate. The strategic plan will include goals, objectives and guiding principles to be applied to each property to assist in making the investment decisions required for the on-going asset management of the entire RHA portfolio.

Demolition of Jane Addams

In the past year, the Rockford Housing Authority has completed the relocation of residents from the Jane Addams property. Demolition commenced in February 2008 with all demolition activities completed by May 2008. Due to the demolition of Jane Addams, the RHA has agreed to provide 77 units of replacement housing, public housing or Section 8 project based housing, through a combination of construction of new, acquisition, and redevelopment of existing property for mixed-income housing, construction and acquisition of property for scattered-site public housing units and redevelopment of existing privately owned units by converting tenant protection vouchers into project-based Section 8 housing. This will provide more affordable housing options for the former Jane Addams and also meet the goals of the strategic plan for a new RHA. The site of the former Jane Addams property will be converted to greenspace and potentially developed into a park for the entire neighborhood to enjoy.

Energy Performance Contracting

Energy performance contracting is looming on the horizon at the RHA. Ameresco completed the investment grade energy audits in 2007 for the entire RHA portfolio. With the completion of the strategic plan, the RHA will evaluate which properties are strong candidates for energy performance contracting. Accordingly, energy performance contracts are expected to be executed in 2008 for select properties.

A side benefit of the energy audit was a preliminary assessment of the facility condition index for the entire RHA portfolio utilizing Ameresco's Asset Planner software. This software allows the RHA to compare its current backlog of capital needs against the replacement value of the property and project how investment decisions will affect the facility rating index over a 30 year period. The RHA has recently purchased this software and is in the process of validating property data at all sites. Additionally, as we complete each capital improvement project and enter the information into the system, the facility condition index will change accordingly and provide us with an updated and constantly changing picture of our housing stock. This is a great planning tool that will assist in our asset decision-making process. Again, it moves us farther down the continuum of property asset management professionals.

Capital and Management Improvements

Elevator upgrades at all high-rise and low-rise buildings have been completed in the past year. A major benefit of this improvement project is that all buildings with elevators now also have emergency generators installed to supply power to the elevators in the event of a power outage. This enhancement will improve the quality of life for the RHA residents in these buildings.

Another capital improvement that has been implemented in the past year is the replacement of the entrance intercom system in the Low-rise buildings for persons with disabilities. This upgrade was direly needed since repairs had become nearly

impossible due to the obsolete equipment. Additional intercom upgrades are planned in the remaining high-rise buildings over the next five years as well.

Also, the RHA completed a major network system upgrade in the past year utilizing the Capital Fund Program. The expanded network not only provides for greater speed and efficiency, it also includes an upgrade of the phone system to Voice over IP. In conjunction with the network upgrade, the RHA also invested Capital Fund dollars in the network systems storage room by making the equipment more secure, climatically controlled and fire safe. These systems are reducing our operating costs and improving efficiencies at the agency.

In the upcoming year, the RHA will be concentrating its Capital Fund program on upgrades at the Central Office, bathroom renovations and fire systems upgrades in the Low-rise units, and roof replacement at Oleson Plaza just to name a few.

Carbon Monoxide Alarm Detector Act

The State of Illinois enacted a new law in 2006 that required carbon monoxide alarms to be installed in residential dwelling units by January 1, 2007. After careful review by legal counsel, the RHA quickly coordinated efforts between vendors and staff to insure all units requiring a carbon monoxide alarm detector had said units installed by the specified deadline. Inspection processes for public housing and Section 8 will include verification of carbon monoxide detectors where applicable.

Violence Against Women Act

On January 5, 2006, President Bush signed Violence Against Women Act into law as Public Law 109-162, which protects women who have been victimized by domestic violence, dating violence and stalking. As a result, the Rockford Housing Authority has updated its Admissions and Occupancy Plan for public housing and its Administrative Plan for Section 8 to reflect the revised policies related to eligibility and continued occupancy. All RHA residents under both the Public Housing and Section 8 programs will be notified of their rights under this law including their right to confidentiality and the limits thereof.

In summary, the Rockford Housing Authority has accomplished a number of key goals for the agency over the past year and is positioning itself to become a role model of delivering affordable housing in new and innovative ways in the upcoming year.

Attachment L

Significant Amendments and Substantial Deviations/Modifications to the Plan

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence which meets one or more of the following criteria:

- Any changes to the organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

Attachment M

Section 8 Homeownership Capacity Statement

The Rockford Housing Authority is administering a Section 8 Homeownership Program which was Approved by the RHA Board of Commissioners in April 2001. The first Homebuyer closing occurred on September 1, 2001. The RHA has the capacity and resources to operate a successful program through the Family Self Sufficiency Program, a partnership that includes local lenders within the community, Rockford Affordable Housing Coalition and Rockford Area Association of Realtors (RAAR).

The RHA is presently administering the homeownership program with the requirement that the participants must make a \$1000 down payment using their own money. Homeowner Classes are held and must be completed prior to seeking, locating and purchasing a unit through the Family Self Sufficiency Program.

The RHA Admin Plan requirements are:

- a minimum cash payment of five (5%) percent of purchased price and
- a minimum of \$1000 of family own funds.

Attachment N

Description of Homeownership Programs

The Section 8 Homeownership program was initiated to assist families to become homeowners in the city of Rockford; thereby giving our Section 8 participants another opportunity to acquire better housing. HUD allows PHA's to use rental vouchers under the Section 8 program for mortgage payments, which helps first time homebuyer's bridge the gap from being a renter to homeownership. The RHA plan provides assistance for up to 25 families in a year. The plan further requires any adult member to be employed at least 30 hours per week with no break in employment within the last 12 calendar months or qualify as elderly or disabled. Families must have an annual income of at least \$10,300 unless they are elderly or disabled.

Participants in the Section 8 Homeownership Program must also attend Pre and Post Counseling provided through the Family Self Sufficiency Program. The maximum term of assistance under this program is directly related to the length of the mortgage. If the mortgage is less than 20 years, participants may receive 10 years of assistance. If the mortgage is more than 20 years, participants are eligible for 15 years of assistance.

Attachment O

RHA Site Based Waiting Lists

Number of Applicants on Waiting Lists by Site as of 03/31/08

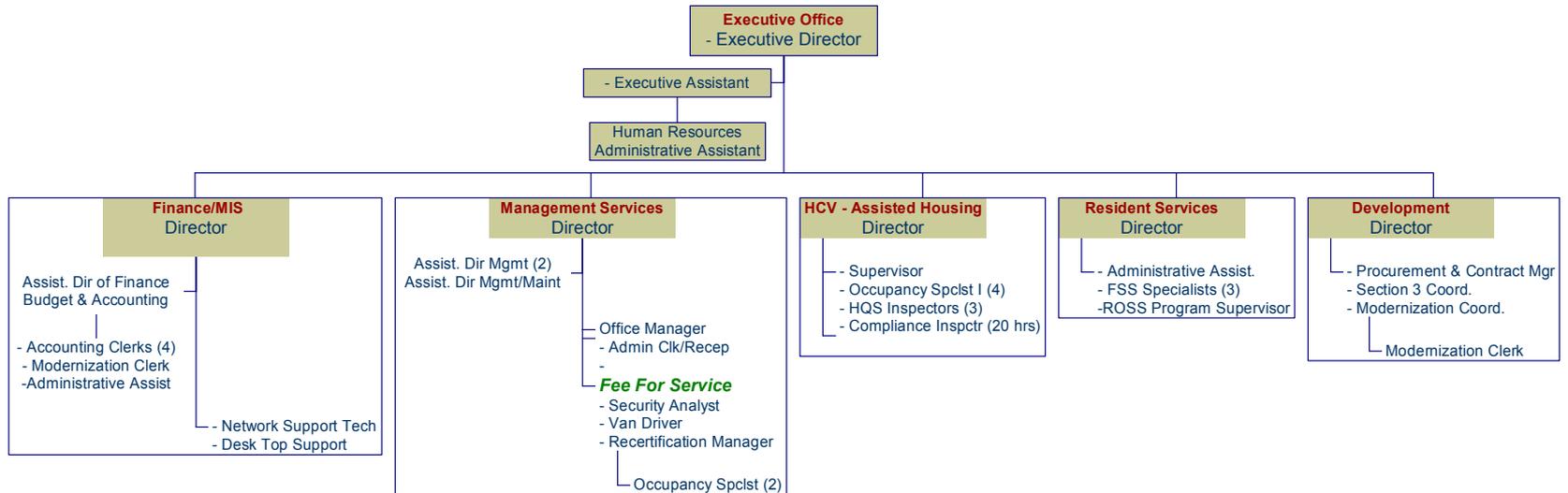
SITE	1 BR	2 BR	3 BR	4 BR	5 BR	6 BR
Blackhawk	314	252	99	6		
Fairgrounds		140	63	19		1
Orton Keyes	185	186	67	26	3	4
Brewington A	24	0				
Brewington B	14	4				
North Main Manor	53	0				
Olesen Plaza	60					
Park Terrace	101	3				
Scattered Sites-East		197	130	41	5	
Scattered Sites-West		141	77	24	0	

Attachment P
RHA Organizational Chart

RHA 2008 Organization - Project Based Management

April 2008

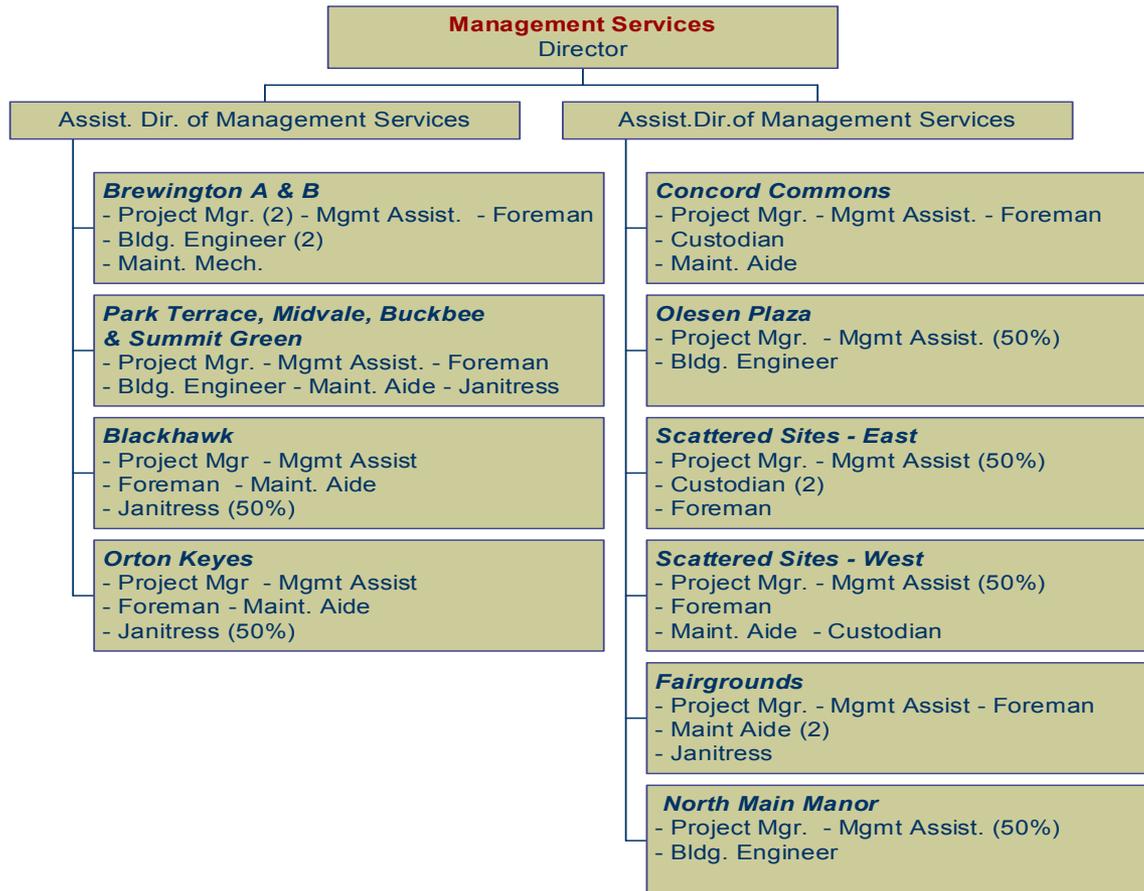
RHA Board of Commissioners



RHA 2008 Organization – Project Based Management

April 2008

Management Services With Project Based Site Staffing



RHA 2008 Organization – Project Based Management

April 2008

Resident Services - Site Staffing (Grant Funded)

