

# Housing Authority of the City of Arlington



## **FY2007 Annual Plan**

**July 11, 2007**

### **Board of Commissioners**

**Mary Jean Moloney**  
**Victor Weir**  
**Charles Clawson**  
**Robert Gamblin**  
**Sabrina Young**

**Chair**  
**Vice Chair**  
**Commissioner**  
**Commissioner**  
**Resident Commissioner**

**David Zappasodi**

**Executive Director**

## MEMORANDUM

**TO:** AHA Board of Commissioners  
**FROM:** David Zappasodi, Executive Director  
**DATE:** July 11, 2007  
**SUBJECT:** Approval of FY2007 Annual Plan

### **BACKGROUND**

Section 511 of the Quality Housing and Work Responsibility Act (QHWRA) of 1998 created the requirement for public housing agencies to produce an Annual and Five-Year plan. The Annual and Five-Year plan describes agencies' missions, goals and objectives over a five-year period, and their approach to managing programs and providing services for the upcoming year. The Annual Plan is an update to the five year plan.

The U.S. Department of Housing and Urban Development (HUD) regulates both the type of information that should be included in the plan and the steps a public housing agency must complete to obtain resident and public involvement in the plan. In addition, the plan must be consistent with the housing and community development plans of the community as described in the jurisdiction's Consolidated Plan. To ensure public participation in the process, Agency Plans, including attachments and supporting documents, must be available for inspection by the public. The Housing Authority of the City of Arlington posted the Agency Plan at its local office during normal business hours and on the web site during the public review period, prior to the board hearing and submission to HUD. The Board of Commissioners' approved Agency Plan will also be posted to the Housing Authority web site.

### **DISCUSSION**

In FY2005, the Housing Authority of the City of Arlington submitted its Five Year Plan for 2005-2009 and Annual Plan for FY2005 which was approved by HUD in 2006. As required by HUD, the Housing Authority of the City of Arlington prepared the yearly update of its Agency Plan for FY2007 which is due to be submitted to HUD by July 18, 2007.

The Housing Authority of the City of Arlington prepared and distributed a draft of its FY2007 Agency Plan for public review and comment. The Agency Plan has been available during this 45-day review and comment period at the central office of the Housing Authority, on the Housing Authority website, and at the main branch of the City of Arlington Public Library. To date, no public comments have been received. The Housing Authority of the City of Arlington also met with the Resident Advisory Board to discuss the draft of the proposed FY2007 Annual Plan. At this meeting, the Resident Advisory Board discussed the Agency Plan and issued their full support. No additions or revisions were recommended. The Resident Advisory Board submitted a letter of support which will be incorporated into the Agency Plan submitted to HUD.

The Housing Authority of the City of Arlington issued proper notice for a public hearing regarding the Agency Plan. This public hearing provides the community with a final opportunity to present comments to the Board of Commissioners prior to action taken by the Board to consider and adopt the Agency Plan. After adoption by the Board, the Annual Plan will be transmitted to HUD in a timely manner, as required.

### **RECOMMENDATION**

Housing Authority of the City of Arlington staff recommends the adoption of Resolution 07-07- Adopting the Housing Authority of the City of Arlington's FY 2007 Annual Plan.

RESOLUTION 07-07

A RESOLUTION APPROVING THE HOUSING AUTHORITY OF THE CITY OF ARLINGTON'S FY2007 ANNUAL PLAN

- WHEREAS,** in accordance with the Quality Housing and Work Responsibility Act of 1998, public housing agencies are responsible to prepare and submit an Annual and Five Year Plan to the U.S. Department of Housing and Urban Development (HUD); and
- WHEREAS,** public housing agencies must annually update their Annual and Five Year Plan Agency Plan and submit their updated Agency Plan to HUD; and
- WHEREAS,** the Housing Authority of the City of Arlington submitted its Agency Plan as required in the past; and
- WHEREAS,** the Housing Authority of the City of Arlington has prepared its Annual and Five Year Plan for FY2007, and provided an opportunity for public review and public comment as required by HUD. The Board of Commissioners has conducted a public hearing in accordance with HUD regulations; the Housing Authority of the City of Arlington's Annual Plan is submitted to the Board of Commissioners for final approval.

**NOW THEREFORE,**

**BE IT RESOLVED BY THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF ARLINGTON, TEXAS:**

**THAT** the Board of Commissioners hereby approves the FY2007 Annual Plan as an update to the Five Year Plan identified herein as "Attachment A" and authorizes the Executive Director to submit this plan to HUD as required.

**PRESENTED AND PASSED** on this the 11<sup>th</sup> day of July, 2007, by a vote of 4 ayes and 0 nays at a regular meeting of the Board of Commissioners of the Housing Authority of the City of Arlington, Texas.

  
Chair

ATTEST:

SEAL:

  
David Zappasodi, Executive Director/Secretary

**ATTACHMENT A**

**ANNUAL PLAN**

**Arlington Housing Authority Board of Commissioners  
501 W. Sanford, Suite 20  
Arlington, Texas 76011**

**AGENDA**

**Public Hearing on Arlington Housing Authority  
FY2007 Annual Plan  
Wednesday, July 11, 2007**

- I. Call to Order
- II. Welcome and opening Statement(s)
- III. Overview of the FY2007 Annual Plan
- IV. Public Comments
- V. Closing Statements by the Board and AHA
- VI. Adjournment

Meeting will be open to the public as required by the Open Meetings Law  
found in Chapter 551 et. seq. of the Texas Government Code

**PHA Plans**  
**Streamlined Annual**  
**Version**

**U.S. Department of Housing and  
Urban Development**  
Office of Public and Indian  
Housing

OMB No. 2577-0226  
(exp. 08/31/2009)

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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

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**Streamlined Annual PHA Plan**  
**for Fiscal Year: 2007**

**PHA Name: Housing Authority of the**  
**City of Arlington\***

\* also known as Arlington Housing Authority (AHA)

**NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.**



**Streamlined Annual PHA Plan**  
**Fiscal Year 2007**  
[24 CFR Part 903.12(c)]

**Table of Contents**  
[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

**A. PHA PLAN COMPONENTS**

1. Site-Based Waiting List Policies

**903.7(b)(2) Policies on Eligibility, Selection, and Admissions**

2. Capital Improvement Needs

**903.7(g) Statement of Capital Improvements Needed**

3. Section 8(y) Homeownership

**903.7(k)(1)(i) Statement of Homeownership Programs**

4. Project-Based Voucher Programs

5. PHA Statement of Consistency with Consolidated Plan. Complete only if PHA has changed any policies, programs, or plan components from its last Annual Plan.

6. Supporting Documents Available for Review

7. Capital Fund Program and Capital Fund Program Replacement Housing Factor, Annual Statement/Performance and Evaluation Report

8. Capital Fund Program 5-Year Action Plan

**B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE**

**Form HUD-50076, PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan** identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

**Form HUD-50070, Certification for a Drug-Free Workplace;**

**Form HUD-50071, Certification of Payments to Influence Federal Transactions;** and

**Form SF-LLL & SF-LLLa, Disclosure of Lobbying Activities.**

**1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)**

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**A. Site-Based Waiting Lists-Previous Year**

**-NOT APPLICABLE-**

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4.  Yes  No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

**B. Site-Based Waiting Lists – Coming Year**

**-NOT APPLICABLE-**

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2.  Yes  No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?
3.  Yes  No: May families be on more than one list simultaneously

If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

## **2. Capital Improvement Needs**

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

**-NOT APPLICABLE-SECTION 8 ONLY PHA**

### **A. Capital Fund Program**

1.  Yes  No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2.  Yes  No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

### **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

**-NOT APPLICABLE-**

1.  Yes  No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

<b>HOPE VI Revitalization Grant Status</b>	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3.  Yes  No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name(s) below:

4.  Yes  No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:

5.  Yes  No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

**3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program**  
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982. (If "No", skip to the next component; if "yes", complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? \_\_\_\_

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria:

**Arlington Housing Authority (AHA) Program Eligibility Criteria:**

- Family must meet all eligibility requirements of the Housing Choice Voucher (Section 8) Program and be a member of Arlington Housing Authority's (AHA's) Family Self-Sufficiency Program.
  - AHA will determine the family's eligibility for the Section 8 Homeownership Assistance Program through briefing and pre-home ownership counseling sessions, review of employment history and credit worthiness.
  - Eligible families must attend and satisfactorily complete a homeownership program required by the AHA.
  - Head of household, co-head, or spouse is currently employed on a full-time basis and has been continuously employed during the year before commencement of homeownership assistance. Households where the head of household, co-head or spouse is elderly or a person with a disability are exempt from this employment requirement.
  - Annual income of the adult members who will own the home at the commencement of homeownership assistance must be equal to or greater than the federal minimum hourly wage multiplied by 2000 hours. Except in the case of elderly or disabled families, welfare assistance cannot be counted in determining if the family meets the minimum income requirement. This minimum income requirement is applied to determine initial qualification to purchase a particular home, not as a continuing requirement. Public assistance income is counted in determining income-eligibility and in calculating the amount of the monthly homeownership assistance payment.
  - The home being purchased must be the family's principal place of residence.
  - Minimum homeownership down payment requirement of at least 3 percent of the purchase price, with at least 1 percent of the purchase price coming from the family's personal resources.
  - Family must be a "first-time homebuyer". The assisted family cannot include any person who owned a "present property ownership interest" in the residence of any family member in the past three years, or be a family that owns shares in a cooperative. "First-time homeowner" includes a single parent or displaced homemaker who, while married, owned a home with his or her spouse or resided in a home owned by his or her spouse.
  - Head-of-household, co-head, or spouse cannot have previously defaulted on a mortgage obtained through the homeownership option.
- c. What actions will the PHA undertake to implement the program this year (list)?
- The AHA will increase participation in the FSS Homeownership Program and inform participants about the AHA Homeownership Program. The AHA is currently working from a waiting list for the FSS Program.
  - The AHA will attend all scheduled HUD training sessions on homeownership and will continue to develop contacts with local realtors and lenders who assist low income clients.

**3. Capacity of the PHA to Administer a Section 8 Homeownership Program:**

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner downpayment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):

The AHA administers the Arlington Homebuyers' Assistance program, a HOME-funded program that provides down payment and closing cost assistance to income eligible first time homebuyers. The AHA also provides staff support to the Arlington Housing Finance Corporation. The AHFC provides homebuyer assistance to income eligible homebuyers in the form of low interest rate loans and down payment and closing cost assistance.

The above two programs can be used in conjunction with the Section 8 Homeownership Program, which the AHA has operated since October 1, 2000.

In 2006, the AHA began working with a Community Housing Development Organization (CHDO) certified to work in the City of Arlington. The Arlington CHDO assists Family Self-Sufficiency (FSS) participants become homeowners through educational events and pre-purchase homeownership housing counseling.

**4. Use of the Project-Based Voucher Program**

**Intent to Use Project-Based Assistance**

Yes  No: Does the PHA plan to "project-base" any tenant-based Section 8 vouchers in the coming year? If the answer is "no," go to the next component. If yes, answer the following questions.

1.  Yes  No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:

- low utilization rate for vouchers due to lack of suitable rental units
- access to neighborhoods outside of high poverty areas
- other (describe below):

2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

## **5. PHA Statement of Consistency with the Consolidated Plan**

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here)  
City of Arlington, Texas
  
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
  - The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
  - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
  - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
  - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
  - Other: (list below)
  
3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

### **1. Increase the Affordability of Homeownership**

The City of Arlington 2005-2010 Consolidated Plan identified a mismatch between housing that is affordable to households earning between 51 and 80% of the median family income. They noted that the City's highest income households (earning more than 120% of the median family income) are occupying about two thirds of the units affordable to middle income families and about one-third of the units affordable to low income households. Additionally, the vast majority of the city's lowest income households are occupying units that are not affordable to them because of a lack of units in their price ranges.

To expand the supply of affordable housing for low-income families, in November of 2002, the AHA established a homeownership program for Family Self-Sufficiency participants. This program is consistent with the Consolidated Plan's stated needs for additional housing opportunities for persons with incomes below 30% of the area median income who would be cost burdened without such assistance.

To be eligible for participation in the Section 8 Homeownership Program, the family must meet all eligibility requirements of the Section 8 Program and be a participant or graduate of the AHA's Family Self-Sufficiency Program. The AHA determines the family's eligibility for the Section 8 Homeownership Assistance Program through briefing and pre-purchase homeownership counseling sessions, review of employment history and credit worthiness. Eligible families must attend and satisfactorily complete a homeownership training program. The head of household, co-head or spouse must be currently employed on a full-time basis and has been continuously employed during the year before commencement of homeownership assistance. Households where the head of household, co-head or spouse is elderly or a person with a disability are exempt from this employment requirement. The annual income of the adult

members who will own the home at the commencement of homeownership assistance must be equal to or greater than the federal minimum hourly wage multiplied by 2,000 hours. Except in the case of elderly or disabled families, welfare assistance cannot be counted in determining if the family meets the minimum income requirement.

This minimum income requirement is applied to determine initial qualification to purchase a particular home, not as a continuing requirement. Public assistance income is counted in determining income-eligibility and in calculating the amount of the monthly homeownership assistance payment. The home being purchased must be the family's principal place of residence.

The minimum homeownership down payment requirement is at least 3 percent of the purchase price, with at least 1 percent of the purchase price coming from the family's personal resources. The family must be a "first-time homebuyer" (an assisted family cannot include any person who owned a "present property ownership interest" in the residence of any family member in the past three years) or be a family that owns shares in a cooperative. "First-time homeowner" includes a single parent or displaced homemaker who, while married, owned a home with his or her spouse or resided in a home owned by his or her spouse. Head-of-household, co-head, or spouse cannot have previously defaulted on a mortgage obtained through the homeownership option.

The Homeownership Program assists Family Self-Sufficiency (FSS) participants in becoming homeowners by linking them with homeownership housing counseling and mortgage lenders who work with low-income clients in helping them to become homeowners. FSS participants may also use their escrows towards their downpayments. The AHA provides on-site classes on budgeting which help prepare FSS participants in become homeowners and refers FSS participants to other programs such as the Arlington Housing Finance Corporation and Arlington Homebuyers' Assistance Program to assist them with their closing costs. Since October 1, 2000, three (3) Housing Choice Voucher Family Self Sufficiency participants have become homeowners while participating in the Section 8 Homeownership Program and 25 other Arlington Housing Authority Section 8 participants have become homeowners through other qualifying homeownership programs. In 2007, four FSS participants are enrolled in the homeownership program.

## **2. Maintain and Improve Existing Housing**

The Consolidated Plan notes that approximately 1,210 elderly residents, or 6 percent of the city's elderly population, are likely to live in substandard housing that need rehabilitation. Additionally, seniors also live in homes that need modifications to accommodate their physical disabilities or other mobility limitations. The number of these that are also substandard is not known. It is assumed that many of the seniors needing home repairs or improvements have low incomes and limited ability to make needed changes. The AHA administers a HOME funded program designed to rehabilitate owner occupied housing for income eligible persons. The AHA's Housing Rehabilitation program provides housing rehabilitation assistance to bring a substandard home up to standards, emergency repairs, and architectural barrier removal for persons with disabilities.

The AHA Board of Commissioners, through an Inter-Local Agreement with the City of Arlington, has responsibility and oversight of the Owner-Occupied Housing Rehabilitation Program which is consistent with the needs identified in the Consolidated Plan. Through this program, low income citizens (including the elderly and disabled) are provided forgivable loans to repair their homes and to correct substandard conditions. The goal is to maintain and improve existing housing stock preserving affordable housing for residents who cannot afford costly repairs. In 2007, \$1.4 million was devoted to this program.

In 2007, the AHA plans to complete 40 full/moderate rehabilitations, 35 emergency and architectural barrier removal jobs. Sixty-two units have been rehabilitated as of the end of March 2007. The average amount spent per rehabilitation job was \$15,119. AHA maintains an applicant waiting list for this program.

### **3. Expand the Availability of Affordable Rental Housing.**

The City of Arlington's 2005-2010 Consolidated Plan (p.43) identified a need to develop more affordable housing for approximately 2,600 new households by 2010. These households will be the city's lowest income households, earning less than \$15,000 per year, and will be renters because of their low incomes. The Consolidated Plan also noted a current gap of nearly 6,000 rental units for extremely low-income households. Many extremely low income individuals are occupying units that are beyond their income means. 31% of the city's renter households were considered to be cost-burdened and spending beyond 30% of their income on housing and related costs. Additionally, nearly 6,800 rental units are overcrowded. 3,100 low income households are at risk because they live in older units containing lead-based paint.

Per the 2005-2010 Consolidated Plan p.38, approximately three-quarters of households (76 percent) earning \$35,000 and more are occupying rental units whose rents are lower than what they can afford. The rental units occupied by these households are mostly in the \$400 to \$799 price range. These units are also in demand by lower-income households who are overpaying for housing. Renter households in this and higher income ranges who are underpaying for housing may be doing so because there is a lack of higher end rental housing. Most of these renters earn enough to purchase a single-family home Arlington. Overpayment occurs when a household occupies a unit that is too expensive for their income category. These households are cost burdened. Underpayment occurs when a household is occupying a unit that costs less than what they can afford.

The AHA partially meets the needs of affordable rental housing identified in the Consolidated Plan through the Housing Choice Voucher Program. 3,300 families are provided tenant based rental assistance where participants pay approximately 30% of their adjusted income for rent. In addition, through its HOME funded Tenant-Based Rental Assistance Program (TBRA), Shelter Plus Care, and Supportive Housing programs, the AHA also provides affordable rental housing to 111 additional families with special needs as of March of 2007. This is consistent with the City of Arlington's five year priorities and objectives (p. 109) which includes increased needs for tenant based housing assistance and assistance for individuals with special needs (e.g. the elderly, disabled, substance abuse problems, mental illness, and individuals with HIV/AIDS).

### **4. Prevent Homelessness and Eliminate Chronic Homelessness**

AHA staff actively participates in the Tarrant County Homeless Coalition, Continuum of Care planning process, the annual homeless count and survey and is currently working to develop a 10 year plan to end chronic homelessness (which is expected to be completed by December 2007).

The Consolidated Plan (p.79) estimated the needs for homeless populations based on point in time surveys completed in 2002 and 2004. The AHA's current and planned activities are consistent with the needs identified in the Consolidated Plan to reduce homelessness by proactively expanding the supply of affordable housing for homeless single persons and families. The AHA submits its application for funding of the Shelter Plus Care and Supportive Housing Programs through a community wide application process headed by the Tarrant County Continuum of Care. In Fiscal Year 2007, the AHA received renewal grant funds from HUD for the 2003 Supportive Housing Program (SHP) which serves 28 families. The AHA also received an extension of its 2000 Shelter Plus Care Grant until 2011 which

will allow the AHA to utilize the remaining grant balance. In March of 2007 the AHA received notice that it had received a contract renewal of its 2001 Shelter Plus Care Grant (\$123,480).

The SHP program has a planned service component provided by the AHA's partner agency (the Arlington Life Shelter). ALS monitors participant progress on a monthly basis and reports on their progress in meeting their goals towards self-sufficiency.

In 2007, the AHA also operated a tenant based rental assistance program for families at risk of homelessness funded through the Home Investment Partnership Act (HOME program). In February of 2007 the target number of families to be served was increased from 30 to 60 households. This is consistent with the City of Arlington's 2006 Action Plan (p. 13) specifying the continuance of HOME funds for Tenant-Based Rental Assistance (TBRA) citywide to maintain the availability of affordable housing to low income renters. In 2006, the available funding for the 2002 TBRA Program was increased by \$259,643 in FY2007.

#### **5. Develop Programs and Services for Special Needs Citizens.**

One of the five-year priorities and objectives of the 2005-2010 Consolidated Plan is to serve individuals with special needs (e.g. elderly, disabled, substance abuse problems, mental illness, and individuals with HIV/AIDS).

In FY2007, the AHA helped to meet the needs of this population by operating grants for special needs clients (1 TBRA grant; and 1 Supportive Housing Program, and 2 Shelter Plus Care grants which are part of McKinney-Vento Homeless Act designed to provide supportive housing and services to persons experiencing homelessness who have special needs). The Shelter Plus Care grants provide transitional housing coupled with case management aimed at self-sufficiency for homeless families and singles with disabilities. These grants serve homeless singles and families who include victims of domestic violence, persons with mental health disabilities and with HIV/AIDS in stabilizing their lives through a combination of case management provided by partner agencies (Tarrant County MHMR and AIDS Outreach Center).

#### **Reduce the Number of Poverty Level Families**

The 2006 City of Arlington Action Plan (p.21) identifies a need to help reduce the number of families under the poverty level in Arlington through the use of HUD's CDBG entitlement grant for case management, transportation, economic development, child care, meals, emergency shelter, and other supportive services.

Through its yearly FSS grant application to HUD, the AHA has obtained funding for case management and supportive services, and to increase housing options for FSS participants. In April of 2007, 144 families are participating in the AHA's Family Self-Sufficiency Program, fully meeting the mandatory minimum requirement.

## **6. Supporting Documents Available for Review for Streamlined Annual PHA Plans**

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
<input checked="" type="checkbox"/>	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
<input checked="" type="checkbox"/>	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
<input checked="" type="checkbox"/>	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
<input checked="" type="checkbox"/>	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
<input checked="" type="checkbox"/>	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
<input checked="" type="checkbox"/>	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
Not Applicable	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
<input type="checkbox"/>	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
Not Applicable	Public housing rent determination policies, including the method for setting public housing flat rents. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
Not Applicable	Schedule of flat rents offered at each public housing development. <input type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
Not Applicable	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
Not Applicable	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
Not Applicable	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
<input checked="" type="checkbox"/>	Any policies governing any Section 8 special housing types <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
Not Applicable	Public housing grievance procedures <input type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
Not Applicable	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
Not Applicable	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
Not Applicable	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
Not Applicable	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
Not Applicable	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
Not Applicable	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
Not Applicable	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
Not Applicable	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
Not Applicable	Approved or submitted public housing homeownership programs/plans.	Annual Plan: Homeownership
<input checked="" type="checkbox"/>	Policies governing any Section 8 Homeownership program <u>Chapter 20-Special Housing Programs</u> of the Section 8 Administrative Plan	Annual Plan: Homeownership
Not Applicable	Public Housing Community Service Policy/Programs <input type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	FSS Action Plan(s) for public housing and/or Section 8.	Annual Plan: Community Service & Self-Sufficiency
Not Applicable	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
Not Applicable	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
Not Applicable	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G).	Annual Plan: Pet Policy

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Related Plan Component</b>
	<input type="checkbox"/> Check here if included in the public housing A & O Policy.	
<input checked="" type="checkbox"/>	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
Not Applicable	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
Not Applicable	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	Federal FY of Grant:	
PHA Name:				
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line No.	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost Obligated	Expended
1	Total non-CFP Funds			
2	1406 Operations			
3	1408 Management Improvements			
4	1410 Administration			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment— Nonexpendable			
12	1470 Nondwelling Structures			
13	1475 Nondwelling Equipment			
14	1485 Demolition			
15	1490 Replacement Reserve			
16	1492 Moving to Work Demonstration			
17	1495.1 Relocation Costs			
18	1499 Development Activities			
19	1501 Collateralization or Debt Service			
20	1502 Contingency			
21	Amount of Annual Grant: (sum of lines 2 – 20)			
22	Amount of line 21 Related to LBP Activities			
23	Amount of line 21 Related to Section 504 compliance			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary			
PHA Name:		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	
Federal FY of Grant:			
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimated Cost	Total Actual Cost
		Original	Revised
24	Amount of line 21 Related to Security – Soft Costs		
25	Amount of Line 21 Related to Security – Hard Costs		
26	Amount of line 21 Related to Energy Conservation Measures		
		Obligated	Expended

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages			
PHA Name:		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	
Federal FY of Grant:			
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Status of Work
		Quantity	Total Actual Cost
		Total Estimated Cost	













# Arlington Housing Authority

501 W. Sanford Street, Suite 20  
Arlington, Texas 76011

Phone: 817-275-3351 • Fax: 817-861-8097 • [www.arlingtonhousing.us](http://www.arlingtonhousing.us)  
Relay Texas (for hearing impaired): Dial 711

June 8, 2007

*To Whom It May Concern:*

We, the undersigned members of the Arlington Housing Authority (AHA) Resident Advisory Board have met on June 7, 2007 to discuss the Arlington Housing Authority's proposed 2007 Annual Plan, which is an update to the 2005-2009 Five Year Plan previously submitted to HUD.

We are in full support of the Arlington Housing Authority's plan, especially efforts being made to increase participation in the Homeownership and Family Self-Sufficiency programs.

We also support the AHA's efforts to end homelessness through the Supportive Housing, Shelter Plus Care and Tenant-Based Rental Assistance Programs.

*Artis O. Lang*  
\_\_\_\_\_  
Printed Name

*Artis O. Lang*  
\_\_\_\_\_  
Signature

*Sabrina K. Young*  
\_\_\_\_\_  
Printed Name

*Sabrina K. Young*  
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Signature

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Printed Name

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Signature

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Printed Name

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Signature



**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the Streamlined Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the streamlined Annual PHA Plan for PHA fiscal year beginning October 2006, hereinafter referred to as the Streamlined Annual Plan, of which this document is a part and make the following certifications, agreements with, and assurances to the Department of Housing and Urban Development (HUD) in connection with the submission of the Streamlined Plan and implementation thereof:*

1. The streamlined Annual Plan is consistent with the applicable comprehensive housing affordability strategy (or any streamlined Plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, and provided this Board or Boards an opportunity to review and comment on any program and policy changes since submission of the last Annual Plan.
3. The PHA made the proposed streamlined Annual Plan, including policy and program revisions since submission of the last Annual Plan, and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the streamlined Plan and invited public comment.
4. The PHA will carry out the streamlined Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
5. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
6. For streamlined Annual Plans that include a policy or change in policy for site-based waiting lists:  
The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(b)(2).
7. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
8. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
9. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
10. The PHA has submitted with the streamlined Plan a certification with regard to a drug-free workplace required by 24 CFR Part 24, Subpart F.
11. The PHA has submitted with the streamlined Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105( a).
14. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.

17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
19. The PHA will undertake only activities and programs covered by the streamlined Annual Plan in a manner consistent with its streamlined Annual Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its streamlined Plan.
20. All certifications and attachments (if any) to the streamlined Plan have been and will continue to be available at all times and all locations that the PHA streamlined Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the streamlined Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its streamlined Annual Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA certifies that the following policies, programs, and plan components have been revised since submission of its last Annual PHA Plan (check all policies, programs, and components that have been changed):

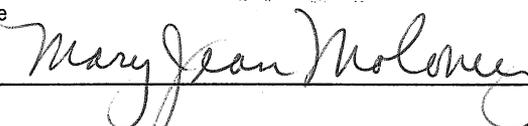
- 903.7a Housing Needs
- 903.7b Eligibility, Selection, and Admissions Policies
- 903.7c Financial Resources
- 903.7d Rent Determination Policies
- 903.7h Demolition and Disposition
- 903.7k Homeownership Programs
- 903.7r Additional Information
  - A. Progress in meeting 5-year mission and goals
  - B. Criteria for substantial deviation and significant amendments
  - C. Other information requested by HUD
    - 1. Resident Advisory Board consultation process
    - 2. Membership of Resident Advisory Board
    - 3. Resident membership on PHA governing board

22. The PHA provides assurance as part of this certification regarding its streamlined annual PHA Plan that:
- (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA board of directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.

Housing Authority of the City of Arlington      TX433  
 PHA Name      PHA Number

Streamlined Annual PHA Plan for Fiscal Year: 2007

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official <b>Mary Jean Moloney</b>	Title Board Chair
Signature X 	Date July 11, 2007

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the City of Arlington, Texas

Program/Activity Receiving Federal Grant Funding

Housing Choice Voucher Agency Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

David Zappasodi

Title

Executive Director

Signature

X



Date

July 11, 2007

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 6;12;24;26	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known: 6;12;14;26	
<b>6. Federal Department/Agency:</b> U. S. Dept. of HUD	<b>7. Federal Program Name/Description:</b> Housing Choice Voucher Agency Plan CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$22,878,336 HCV Prog	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  -Not Applicable-	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>David Zappasodi</u> Print Name: <u>David Zappasodi</u> Title: <u>Executive Director</u> Telephone No.: <u>817-276-6790</u> Date: <u>July 11, 2007</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Housing Authority of the City of Arlington, Texas

Program/Activity Receiving Federal Grant Funding

Agency Plan for Housing Choice Voucher Program. Other HUD funding includes: HOME Tenant-Based Rental Assistance, SHP, SPC and CDBG programs.

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

David Zappasodi

Title

Executive Director

Signature



Date (mm/dd/yyyy)

July 11, 2007

Previous edition is obsolete

form HUD 50071 (3/98)  
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

# Certification of Consistency with the Consolidated Plan

U.S. Department of Housing  
and Urban Development

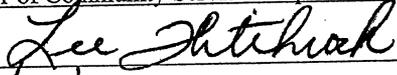
I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Consolidated Plan.  
(Type or clearly print the following information:)

Applicant Name: Housing Authority of the City of Arlington, Texas  
Project Name: Housing Choice Voucher FY 2007 Annual Plan  
Location of the Project: Arlington, Texas

Name of the Federal  
Program to which the  
applicant is applying: Housing Choice Voucher

Name of  
Certifying Jurisdiction: City of Arlington, Texas

Certifying Official  
of the Jurisdiction

Name: Lee Hitchcock  
Title: Director of Community Services Department  
Signature:   
Date: 3/20/07