

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2007 - 2011

Annual Plan for Fiscal Year 2007

LaFollette Housing Authority TN012

Version 3

Submitted to HUD

September 11, 2007

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: LaFollette Housing Authority

PHA Number: TN012

PHA Fiscal Year Beginning: (mm/yyyy) 10/2007

PHA Programs Administered:

Public Housing and Section 8 **Section 8 Only** **Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units:
Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

Available on request.

5-YEAR PLAN
PHA FISCAL YEARS 2007 - 2011
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

LaFollette Housing Authority
“A Community Partner”

“LaFollette Housing believes that every person with whom we have contact is our customer and that our mission is to deliver value to every customer. Our customers, whether they are residents, members of the public or fellow coworkers, are not an interruption of our work, but rather the purpose for it. We show that by respecting their time, money, and goals. We listen, focus on their needs, express a genuine concern and then orient our systems, policies, and procedures to provide a level of customer service that we hope will exceed their expectations.”

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

Apply for additional rental vouchers:

Reduce public housing vacancies:

Leverage private or other public funds to create additional housing opportunities:

Acquire or build units or developments

Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

Improve public housing management: (PHAS score)

Improve voucher management: (SEMAP score)

Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)
- Continue to implement Public Housing sub-jurisdictional waiting lists and conduct outreach efforts to potential realtors.**

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements: **LHA will install additional lighting in developments as needed.**
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
- Objectives:
- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (**tn012a03**)
- FY 2007 Capital Fund Program Annual Statement (**tn012b03**)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members (**included in plan**)
- List of Resident Board Member (**included in plan**)
- Community Service Description of Implementation (**tn012j03**)
- Information on Pet Policy (**tn012i03**)
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable

Optional Attachments:

- PHA Management Organizational Chart
- FY 2007 Capital Fund Program 5 Year Action Plan (**tn012c03**)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (**included in plan**)
- Other (List below, providing each attachment name)
- Deconcentration Information (**tn012h03**)
- TN37-PO12-501-04 P & E Report, Dated 3/31/2007 (**tn012d03**)
- TN37-PO12-501-05 P & E Report, Dated 3/31/2007 (**tn012e03**)
- TN37-PO12-501-06 P & E Report, Dated 3/31/2007 (**tn012f03**)
- Statement of Progress in Meeting 5-Year Mission and Goals (**tn012g03**)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation:	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Violence Against Women Policy	

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type – Anderson County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1372	5	3	2	1	3	1
Income >30% but <=50% of AMI	757	5	3	2	1	3	1
Income >50% but <80% of AMI	435	5	3	2	1	3	1
Elderly	550	5	3	2	1	3	1
Families with Disabilities	5	5	4	1	5	1	1
White	1864	5	3	2	1	3	1
Black	395	5	3	2	1	3	1
Hispanic	305	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Campbell County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	751	5	3	2	1	3	1
Income >30% but <=50% of AMI	310	5	3	2	1	3	1
Income >50% but <80% of AMI	206	5	3	2	1	3	1
Elderly	212	5	3	2	1	3	1
Families with Disabilities	14	5	4	1	5	1	1
White	685	5	3	2	1	3	1
Black	379	5	3	2	1	3	1
Hispanic	233	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Claiborne County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	466	5	3	2	1	3	1
Income >30% but <=50% of AMI	145	5	3	2	1	3	1
Income >50% but <80% of AMI	107	5	3	2	1	3	1
Elderly	164	5	3	2	1	3	1
Families with Disabilities	5	5	4	1	5	1	1
White	512	5	3	2	1	3	1
Black	370	5	3	2	1	3	1
Hispanic	0	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Fentress County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	180	5	3	2	1	3	1
Income >30% but <=50% of AMI	105	5	3	2	1	3	1
Income >50% but <80% of AMI	26	5	3	2	1	3	1
Elderly	65	5	3	2	1	3	1
Families with Disabilities	1	5	4	1	5	1	1
White	311	5	3	2	1	3	1
Black	0	5	3	2	1	3	1
Hispanic	0	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Morgan County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	81	5	3	2	1	3	1
Income >50% but <80% of AMI	91	5	3	2	1	3	1
Elderly	96	5	3	2	1	3	1
Families with Disabilities	3	5	4	1	5	1	1

Housing Needs of Families in the Jurisdiction by Family Type – Morgan County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Disabilities							
White	295	5	3	2	1	3	1
Black	0	5	3	2	1	3	1
Hispanic	98	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Scott County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	412	5	3	2	1	3	1
Income >30% but <=50% of AMI	150	5	3	2	1	3	1
Income >50% but <80% of AMI	76	5	3	2	1	3	1
Elderly	120	5	3	2	1	3	1
Families with Disabilities	2	5	4	1	5	1	1
White	758	5	3	2	1	3	1
Black	0	5	3	2	1	3	1
Hispanic	0	5	3	2	1	3	1

Housing Needs of Families in the Jurisdiction by Family Type – Union County							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	221	5	3	2	1	3	1
Income >30% but <=50% of AMI	114	5	3	2	1	3	1
Income >50% but <80% of AMI	30	5	3	2	1	3	1
Elderly	57	5	3	2	1	3	1
Families with Disabilities	0	5	4	1	5	1	1
White	422	5	3	2	1	3	1
Black	0	5	3	2	1	3	1
Hispanic	0	5	3	2	1	3	1

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
 Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
 Indicate year:
- Other housing market study
 Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: Caryville			
	# of families	% of total families	Annual Turnover
Waiting list total	7		11
Extremely low income <=30% AMI	3	43	
Very low income (>30% but <=50% AMI)	4	57	
Low income (>50% but <80% AMI)	0	0	
Families with children	3	43	
Elderly families	0	0	
Families with Disabilities	1	14	
White	7	100	
Characteristics by Bedroom Size (Public Housing Only)			

Housing Needs of Families on the Waiting List			
1BR	4	57	5
2 BR	2	29	6
3 BR	1	14	0
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Helenwood			
	# of families	% of total families	Annual Turnover
Waiting list total	4		12
Extremely low income <=30% AMI	4	100	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	4	100	
Elderly families	0	0	
Families with Disabilities	1	25	
White	4	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0

Housing Needs of Families on the Waiting List			
2 BR	4	100	8
3 BR	0	0	4
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Huntsville			
	# of families	% of total families	Annual Turnover
Waiting list total	6		18
Extremely low income <=30% AMI	6	100	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	5	83	
Elderly families	1	17	
Families with Disabilities	1	17	
White	6	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1	17	5
2 BR	5	83	10

Housing Needs of Families on the Waiting List			
3 BR	0	0	2
4 BR	0	0	1
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Jacksboro			
	# of families	% of total families	Annual Turnover
Waiting list total	4		8
Extremely low income <=30% AMI	1	25	
Very low income (>30% but <=50% AMI)	3	75	
Low income (>50% but <80% AMI)	0	0	
Families with children	1	25	
Elderly families	0	0	
Families with Disabilities	1	25	
White	4	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3	75	2
2 BR	1	25	4

Housing Needs of Families on the Waiting List			
3 BR	0	0	2
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Jamestown			
	# of families	% of total families	Annual Turnover
Waiting list total	3		23
Extremely low income <=30% AMI	3	100	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	2	67	
Elderly families	0	0	
Families with Disabilities	1	33	
White	3	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1	53.33	8
2 BR	1	53.33	8
3 BR	0	0	7

Housing Needs of Families on the Waiting List			
4 BR	1	33.34	0
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: LaFollette			
	# of families	% of total families	Annual Turnover
Waiting list total	29		108
Extremely low income <=30% AMI	24	83	
Very low income (>30% but <=50% AMI)	2	7	
Low income (>50% but <80% AMI)	3	10	
Families with children	9	31	
Elderly families	3	10	
Families with Disabilities	9	31	
White	29	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	19	66	42
2 BR	7	24	80
3 BR	3	10	11
4 BR	0	0	1

Housing Needs of Families on the Waiting List			
5 BR	0	0	0
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Lake City			
	# of families	% of total families	Annual Turnover
Waiting list total	7		51
Extremely low income <=30% AMI	5	71	
Very low income (>30% but <=50% AMI)	2	29	
Low income (>50% but <80% AMI)	0	0	
Families with children	5	71	
Elderly families	1	6	
Families with Disabilities	1	14	
White	7	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2	29	25
2 BR	5	71	15
3 BR	0	0	11
4 BR	0	0	0
5 BR	0	0	0

Housing Needs of Families on the Waiting List			
5+ BR	0	0	0
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Luttrell			
	# of families	% of total families	Annual Turnover
Waiting list total	2		27
Extremely low income <=30% AMI	2	100	
Very low income (>30% but <=50% AMI)	0	0	
Low income (>50% but <80% AMI)	0	0	
Families with children	1	50	
Elderly families	1	50	
Families with Disabilities	1	50	
White	2	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	0	0	0
2 BR	2	100	17
3 BR	0	0	8
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input checked="" type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
	If used, identify which development/subjurisdiction: Oneida		
	# of families	% of total families	Annual Turnover
Waiting list total	11		46
Extremely low income <=30% AMI	8	73	
Very low income (>30% but <=50% AMI)	3	27	
Low income (>50% but <80% AMI)	0	0	
Families with children	8	73	
Elderly families	0	0	
Families with Disabilities	4	36	
White	11	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	3	27	13
2 BR	6	55	15
3 BR	2	18	18
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: Tazewell/New Tazewell			
	# of families	% of total families	Annual Turnover
Waiting list total	29	61	
Extremely low income <=30% AMI	23	79	
Very low income (>30% but <=50% AMI)	5	17	
Low income (>50% but <80% AMI)	1	4	
Families with children	9	31	
Elderly families	1	4	
Families with Disabilities	7	24	
White	29	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	16	55	19
2 BR	13	45	25
3 BR	0	0	14
4 BR	0	0	3
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input checked="" type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction: Wartburg			
	# of families	% of total families	Annual Turnover
Waiting list total	11	21	21
Extremely low income <=30% AMI	5	45	
Very low income (>30% but <=50% AMI)	5	45	
Low income (>50% but <80% AMI)	1	10	
Families with children	8	73	
Elderly families	2	18	
Families with Disabilities	3	27	
White	11	100	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1	9	8
2 BR	9	82	7
3 BR	1	9	6
4 BR	0	0	0
5 BR	0	0	0
5+ BR	0	0	0

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes	
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes	

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	58	103	
Extremely low income <=30% AMI	52	84	
Very low income (>30% but <=50% AMI)	6	16	
Low income (>50% but <80% AMI)	0	0	
Families with children	43	74	
Elderly families	1	0	
Families with Disabilities	16	14	
White	54	93	
Black	4	1	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
If yes:
How long has it been closed (# of months)?
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Complete modifications needed in public housing based on the Section 504 Needs Assessment for Public Housing. Will review and update as necessary to meet needs of residents as much as feasible.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA

- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2007 grants)		
a) Public Housing Operating Fund	2,414,065	
b) Public Housing Capital Fund	1,699,021	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,210,055	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
TN37-PO12-501-05	99,297	Capital Improvements
TN37-PO12-501-06	1,311,485	Capital Improvements
3. Public Housing Dwelling Rental Income	2,288,070	Operations

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
4. Other income (list below)		
Interest, sales & services, utilities, laundry fees, misc.	160,630	Operations
4. Non-federal sources (list below)		
Total resources	9,182,623	Capital Improvements, Operations

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) **At time of application.**

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) **Credit History**

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in the jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs

- Victims of reprisals or hate crimes
- Other preference(s) (list below) **Elderly disabled at designated locations**

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing? (**tn012i01**)

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
 Criminal and drug-related activity, more extensively than required by law or regulation
 More general screening than criminal and drug-related activity (list factors below)
 Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other (describe below)

Family's current address as shown on the PHA's records and, if known to the PHA, the name and address of the landlord at the family's current and prior address; Rental history to include evictions and damage to the rental units; and Tenancy history for the past 2 years (upon written request from prospective landlords).

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 Federal public housing

- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below) **At the Section 8 Administrative Office**

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

The PHA will extend the term up to 120 days from the beginning of the initial term if the family needs and requests an extension as a reasonable accommodation to make the program accessible to and usable by a family member with a disability or other good cause as determined by the PHA. If the family needs an extension in excess of 120 days, the PHA will extend the voucher term for the amount of time reasonably required for said reasonable accommodation.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
 Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

When adjusted incomes are higher than ceiling rents or flat rents.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
 Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
 For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families
 Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
 Yes but only for some developments
 No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)

Same as flat rents

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- Other (list below)

Anytime there is a change in family composition that affects family income and anytime there is a new source of income by any household member.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper

- Survey of similar unassisted units in the neighborhood
 Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
 100% of FMR
 Above 100% but at or below 110% of FMR
 Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
 The PHA has chosen to serve additional families by lowering the payment standard
 Reflects market or submarket
 Other (list below)

Due to budgetary constraints, it may be necessary to reduce the payment standard.

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
 Reflects market or submarket
 To increase housing options for families
 Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
 Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
 Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal		

Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office

Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) (*tn012b03*)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) (tn012c01)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
 Revitalization Plan submitted, pending approval
 Revitalization Plan approved
 Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip

to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	(DD/MM/YY)
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
--

1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved

HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high

performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
 If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
 - Informing residents of new policy on admission and reexamination
 - Actively notifying residents of new policy at times in addition to admission and reexamination.
 - Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - Establishing a protocol for exchange of information with all appropriate TANF agencies
 - Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)
- High incidence of violent and/or drug-related crime in some or all of the PHA's developments

- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
 - Residents fearful for their safety and/or the safety of their children
 - Observed lower-level crime, vandalism and/or graffiti
 - People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
 - Other (describe below)
2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).
- Safety and security survey of residents
 - Analysis of crime statistics over time for crimes committed “in and around” public housing authority
 - Analysis of cost trends over time for repair of vandalism and removal of graffiti
 - Resident reports
 - PHA employee reports
 - Police reports
 - Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
 - Other (describe below)
3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)
- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
 - Crime Prevention Through Environmental Design
 - Activities targeted to at-risk youth, adults, or seniors
 - Volunteer Resident Patrol/Block Watchers Program
 - Other (describe below)
2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)
- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
 - Police provide crime data to housing authority staff for analysis and action

- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
 - Police regularly testify in and otherwise support eviction cases
 - Police regularly meet with the PHA management and residents
 - Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

See attachment tn012h03

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
 Provided below:

TN12-004 – Alexander Circle in LaFollette

- *Residents expressed concern with visitors and passerbys speeding through their development. – LHA has placed “Slow Down” and “Children at Play” signs in their development to help with this concern.*

TN12-019 – Caryville

- *Residents expressed concern with visitors and passerbys speeding through their development. – LHA has placed “Slow Down” and “Children at Play” signs in their development to help with this concern.*

3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (**State of Tennessee**)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Please refer to the Executive Summary of the Consolidated Plan for the State of Tennessee.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definition of “Substantial Deviation” and “Significant Amendment or Modification”

The following are considered to be significant amendments or modifications:

1. Changes to rent or admissions policies or organization of the waiting list.
2. Additions of non-emergency work items (items not included in the current Annual Statement or 5-year Action plan) or change in use of replacement reserve funds under the Capital Fund.
3. Additions of new activities not included in the current PHDEP Plan (if applicable)
4. Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements; such changes will not be considered significant amendments by HUD.

Attachments

Required Attachment A: Resident Member on the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Ava P. Walden**

B. How was the resident board member selected: (select one)?

- Elected
 Appointed

C. The term of appointment is (include the date term expires): serving out five year term - 3/19/2010

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

B. Date of next term expiration of a governing board member: January 9, 2008

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Wartburg – Joey Williams
Huntsville – Mayor George W. Potter

Required Attachment B: Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Bernice Austin
Martha Mayo
Casper Dunn

Required Attachment C: Voluntary Conversion Initial Assessment

- a. How many of the PHA's developments are subject to the Required Initial Assessments?

Twenty-Four (24)

- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)?

Four (4)

- c. How many Assessments were conducted for the PHA's covered developments?

One, the initial assessment

- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

There are no developments that are appropriate for conversion at this time

Required Attachment D: Results of Resident Survey

Survey Section	Score	Response
Maintenance & Repair	92.6%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Communication	78.4%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Safety	82.6%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Services	95.3%	No response necessary – LHA will continue to serve residents at a level they have come to expect
Neighborhood Appearance	79.9%	No response necessary – LHA will continue to serve residents at a level they have come to expect

THE LAFOLLETTE HOUSING AUTHORITY DECONCENTRATION POLICY

The LaFollette Housing Authority's policy to provide for deconcentration of poverty will consist of the following:

- A. Targeting: The income levels of families on the waiting list will be analyzed so that not less than 40% of admissions in any fiscal year will be families whose income does not exceed 30% of median income for the area.
- B. Income Mixing: Prior to the beginning of each fiscal year the LHA will analyze the income levels of families residing in each development to bring higher income families into lower income developments and lower income families into higher income developments.

The LHA will strive to insure that no individual development has a concentration of higher or lower income families. The LHA may skip families on the waiting list to reach other families with a lower or higher income. This will be accomplished in a uniform and non-discriminatory manner.

The LHA will affirmatively market public housing to all eligible income groups. If necessary, the LHA will determine the level of additional marketing strategies and deconcentration incentives to implement the objective of this policy.

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:		Federal FY of Grant: 2007	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	1,000			
3	1408 Management Improvements	152,000			
4	1410 Administration	181,868			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	54,930			
8	1440 Site Acquisition				
9	1450 Site Improvement	456,922			
10	1460 Dwelling Structures	529,796			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	192,060			
13	1475 Nondwelling Equipment	10,000			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	2,500			
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	34,867			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,615,943			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security	92,000			
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)					Part II: Supporting Pages			
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN12-002	Site Improvements	1450	LS	32,000				
Alexander Heights								
Addition – LaFollette			Subtotal	32,000				
TN12-003	A/E Fees	1430	LS	26,900				
Wortham Park	Site Improvements	1450	LS	25,000				
Lake City	Pedestal Mailboxes	1450	LS	6,875				
	Sewer Replacement	1450	LS	62,206				
	Porches/Sidewalks	1450	LS	5,000				
	Play Structures	1450	LS	25,000				
	Parking	1450	LS	25,000				
	Landscaping	1450	LS	25,000				
	Electrical Upgrade	1460	9 Units	35,000				
	Re-roofing/Guttering	1460	9 Units	50,000				
	Windows	1460	9 Units	16,500				
	Kitchens	1460	9 Units	35,000				
	Bathrooms inc. tub surrounds	1460	9 Units	24,000				
	Water Heaters	1460	9 Units	2,000				
	Flooring	1460	9 Units	20,000				
	Closet Doors/Interior Doors	1460	9 Units	5,000				
	Interior Walls	1460	9 Units	10,000				
	Smoke/Carbon Monoxide Detectors	1460	9 Units	1,500				
	Siding Façade	1460	9 Units	30,000				
	Replace Exterior Doors/Screen Doors	1460	9 Units	4,800				
	Ranges inc. hoods	1460	9 Units	1,000				
	Refrigerators	1460	9 Units	1,000				
	Tek-Rail/Shutters	1460	9 Units	6,000				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Painting	1460	9 Units	10,000				
	Signage	1470	LS	2,000				
	Remodel office/maintenance bldg.	1470	1 Building	190,060				
	Relocation Cost	1495.1	LS	2,500				
			Subtotal	647,341				
TN12-005	A/E Fees	1430	LS	2,040				
South Village	504 Access	1460	LS	5,500				
Jamestown	Floor Tile	1460	10 Units	5,000				
	Replace ext. doors/screen doors	1460	10 Units	5,000				
	Smoke/Carbon Monoxide Detectors	1460	10 Units	2,300				
	Water heaters	1460	15 Units	4,600				
	Cool guards	1460	15 Units	3,025				
			Subtotal	27,465				
TN12-006	Porches/Sidewalks	1450	20 Units	20,000				
North Village	Tub Surrounds	1460	30 Units	5,000				
Oneida			Subtotal	25,000				
TN12-012	Pedestal Mailbox	1450		13,750				
Oneida			Subtotal	13,750				
TN12-013	Sewer Line Replacement	1450	20 Units	26,000				
Huntsville	Pedestal Mailbox	1450	LS	3,750				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Drainage/Landscaping	1450	LS	15,000				
	Porches/Sidewalks	1450	LS	10,000				
			Subtotal	54,750				
TN12-016	A/E Fees	1430	LS	1,000				
New Tazewell	Floor Tile	1460	30 Units	1,231				
	Smoke Detectors	1460	30 Units	1,500				
	Re-roofing/Guttering	1460	30 Units	30,000				
			Subtotal	33,731				
TN12-017	A/E Fees	1430	LS	2,000				
Wortham Park II	Drainage/Landscaping	1450	25 Units	12,500				
Lake City	Porches/Landscaping	1450	25 Units	20,000				
	Sewer Line Replacement	1450	25 Units	14,593				
	Floor Tile	1460	25 Units	1,231				
	Exterior doors/screen doors	1460	25 Units	12,500				
	Smoke Detectors	1460	25 Units	4,250				
	Closet Doors	1460	25 Units	9,000				
			Subtotal	76,074				
TN12-018	A/E Fees	1430	LS	1,080				
Jamestown	Cool guards	1460	35 Units	2,250				
	Floor Tile	1460	LS	1,230				
	Smoke/Carbon Monoxide Detectors	1460	50 Units	1,800				
			Subtotal	6,360				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN12-019	A/E Fees	1430	LS	1,000				
Caryville	Back porches/Sidewalks	1450	LS	20,000				
	Floor Tile	1460	30 Units	15,230				
	Smoke Detectors	1460	30 Units	1,500				
			Subtotal	37,730				
TN12-020	A/E Fees	1430	LS	600				
Jacksboro	Porches/Sidewalks	1450	LS	20,000				
	Floor Tile	1460	20 Units	10,000				
	Smoke Detectors	1460	20 Units	1,000				
			Subtotal	31,600				
TN12-021	A/E Fees	1430	LS	1,560				
Huntsville	Drainage/Landscaping	1450	LS	15,000				
	Porches/Sidewalks	1450	LS	20,000				
	504 Access	1460	2 Units	11,000				
	Exterior/Screen Doors	1460	30 Units	15,000				
	Smoke/Carbon Monoxide Detectors	1460	30 Units	4,000				
	Water Heaters	1460	30 Units	3,000				
			Subtotal	69,560				
TN12-022	A/E Fees	1430	LS	1,250				
Oneida	Porches/Sidewalks	1450	10 Units	10,000				
	504 Access	1460	3 Units	2,750				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	Floor Tile	1460	30 Units	16,624				
	Smoke Detectors	1460	59 Units	1,475				
	Closet Doors	1460	59 Units	11,000				
			Subtotal	43,099				
TN12-023	A/E Fees	1430	LS	4,500				
Wartburg	Sewer Line Replacement	1450	LS	26,498				
	Pedestal Mailbox	1450	LS	3,750				
	Smoke/Carbon Monoxide Detectors	1460	50 Units	5,000				
			Subtotal	39,748				
TN12-033	A/E Fees	1430	LS	1,000				
New Tazewell	Exterior/Screen Doors	1460	30 Units	15,000				
			Subtotal	16,000				
TN12-037	A/E Fees	1430	LS	4,000				
Shady Grove Estates	Re-Roofing	1460	30 units	60,000				
Helenwood			Subtotal	64,000				
TN12-038	Smoke Detectors	1460	50 Units	5,000				
Luttrell			Subtotal	5,000				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
TN12-041	504 mod. To 2 nd Floor Restroom	1460	1 Unit	5,000				
Russell Towers								
LaFollette			Subtotal	5,000				
PHA-Wide	a. Operations	1406	LS	1,000				
Management	b. Provide extra security	1408	12 locations	92,000				
Improvements	TN12-001	21,650						
	TN12-003	15,000						
	TN12-005	6,800						
	TN12-006	13,500						
	TN12-008	10,500						
	TN12-009	5,200						
	TN12-013	4,200						
	TN12-019	2,550						
	TN12-020	1,800						
	TN12-023	4,200						
	TN12-037	2,500						
	TN12-038	4,100						
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000				
	d. PHA staff/commissioner training	1408		10,000				
			Subtotal	153,000				
PHA-Wide	a. Advertising	1410	LS	5,000				
Administrative Costs	b. Admin/CFP Clerk	1410	1 position	15,274				
	c. Management Fee	1410	LS	161,594				
			Subtotal	181,868				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-07 Replacement Housing Factor Grant No:			Federal FY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	a. Agency Plan	1430	LS	5,000				
Fees and Costs	b. Environmental Review	1430	LS	3,000				
			Subtotal	8,000				
PHA-Wide Non-Dwelling Equipment	Office furniture, equipment, computers	1475	LS	10,000				
			Subtotal	10,000				
	Contingency	1502		34,867				
			Subtotal	34,867				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-07 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
TN12-001 Alexander Heights	9/13/2009			09/13/2011			
TN12-002 Alexander Hgts. Addition	9/13/2009			09/13/2011			
TN12-003 Wortham Park Lake City	9/13/2009			09/13/2011			
TN12-004 Alexander Circle	9/13/2009			09/13/2011			
TN12-009 Tazewell	9/13/2009			09/13/2011			
TN12-010 Sharp Circle, LaFollette	9/13/2009			09/13/2011			
TN12-014 Tazewell	9/13/2009			09/13/2011			
TN12-024 Oneida	9/13/2009			09/13/2011			
TN12-037 Helenwood	9/13/2009			09/13/2011			

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-07 Replacement Housing Factor No:				Federal FY of Grant: 2007	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide Management							
Improvements							
a.	9/13/2009			09/13/2011			
b.	9/13/2009			09/13/2011			
c.	9/13/2009			09/13/2011			
d.	9/13/2009			09/13/2011			
PHA-Wide							
Administrative Costs							
a.	9/13/2009			09/13/2011			
b.	9/13/2009			09/13/2011			
PHA-Wide							
Fees and Costs							
a.	9/13/2009			09/13/2011			
b.	9/13/2009			09/13/2011			
PHA-Wide	9/13/2009			09/13/2011			
Non-Dwelling							
Equipment							

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name LaFollette Housing Authority		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: TN37-PO12-501-08 PHA FY: 2008	Work Statement for Year 3 FFY Grant: TN37-PO12-501-09 PHA FY: 2009	Work Statement for Year 4 FFY Grant: TN37-PO12-501-10 PHA FY: 2010	Work Statement for Year 5 FFY Grant: TN37-PO12-501-11 PHA FY: 2011
	Annual Statement				
1. TN12-001		0	0	115,629	70,000
2. TN12-002		0	0	0	0
3. TN12-003		376,863	0	0	0
4. TN12-004		39,250	137,633	63,500	121,000
5. TN12-005		0	92,000	53,750	0
6. TN12-006		0	63,750	108,604	75,000
7. TN12-007		70,861	10,000	157,055	30,000
8. TN12-008		0	77,991	35,020	98,909
9. TN12-009		62,479	35,000	36,380	98,909
10. TN12-010		53,750	45,000	115,000	0
11. TN12-012		0	63,750	51,000	0
12. TN12-013		52,550	10,000	11,000	75,000
13. TN12-014		50,498	66,521	76,860	0
14. TN12-015		0	71,200	85,600	0

15. TN12-016		50,186	10,000	44,000	0
16. TN12-017		43,250	19,000	90,600	0
17. TN12-018		50,065	10,000	0	75,000
18. TN12-019		82,840	35,000	0	0
19. TN12-020		49,250	25,000	0	0
20. TN12-021		46,550	60,000	53,000	0
21. TN12-022		49,250	140,000	10,000	100,000
22. TN12-023		53,750	90,000	53,000	75,000
23. TN12-024		53,750	80,000	0	0
24. TN12-025		43,250	104,473	76,320	450,500
25. TN12-033		42,250	10,000	0	0
26. TN12-037		36,250	10,000	0	20,000
27. TN12-038		43,750	15,000	53,000	0
28. TN12-041		0	8,000	0	0
29. PHA-Wide Management Costs		153,000	153,000	153,000	153,000
30. PHA-Wide Administrative Costs		177,379	68,801	238,703	238,703
31. PHA-Wide Fees And Costs		8,000	177,902	8,000	8,000

32. PHA-Wide Non-Dwelling Equipment		10,000	10,000	10,000	10,000
33. PHA-Wide Signage					
34. Contingency		0	0	0	0
CFP Funds Listed for 5-year planning		1,699,021	1,699,021	1,699,021	1,699,021
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	1. TN12-001	No work this year		1. TN12-001	No work this year	0
Annual	Alexander Hgts.			Alexander Hgts.		
Statement	LaFollette	Subtotal	0	LaFollette	Subtotal	0
	2. TN12-002	No work this year		2. TN12-002	No work this year	0
	Alexander Hgts.			Alexander Hgts.		
	Addition - LaFollette	Subtotal	0	Addition - LaFollette	Subtotal	0
	3. TN12-003	A/E Fees	26,900	3. TN12-003	No work this year	0
	Wortham Park	Electrical Upgrade	35,000	Wortham Park		
	Lake City	Re-roofing/guttering	50,000	Lake City	Subtotal	0
		Windows	16,500			
		Kitchens	35,000	4. TN12-004	Exterior renovations – 10 units	42,633
		Bathrooms	24,000	Alexander Circle	Tek-Rail/Shutters	20,000
		Water Heaters	2,000	LaFollette	Vinyl around shrouds	75,000
		Flooring	20,000			
		Closet doors/interior doors	5,000		Subtotal	137,633
		Interior Walls	10,000			
		Smoke/Carbon Monoxide Detectors	1,500	5. TN12-005	Exterior Renovations	46,000
		Siding Façade	40,000	South Village	Tek-Rail/Shutters	46,000
		Site Improvements	35,000	Jamestown		
		Relocation Cost	2,500		Subtotal	92,000
		Pedestal Mailboxes	6,875			
		Replace exterior doors/Screen doors	18,293	6. TN12-006	Exterior Renovations	5,000
		Ranges	1,000	North Village	Tek-Rail/Shutters	5,000
		Refrigerators	1,000	Oneida	Ranges	1,050
		Sewer Replacement	35,295		Refrigerators	1,500
		Tek-Rail/Shutters	6,000		Bathroom Renovations	3,000
		Porches/Sidewalks	5,000		Kitchen Renovations	6,000
					Parking	1,000
		Subtotal	376,863		Exterior doors/Screen doors	6,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	4. TN12-004	Ranges	1,050		Window Replacement	4,500
Annual	Alexander Circle	Refrigerators	1,500		Closet doors/Interior doors	3,000
Statement	LaFollette	Bathroom Renovations	3,000		Flooring	14,500
		Kitchen Renovations	6,000		Water heaters	1,200
		Parking	1,000		Interior walls/ceilings	12,000
		Exterior doors/screen doors	6,000			
		Window Replacement	4,500		Subtotal	63,750
		Closet doors/interior doors	3,000			
		Water heaters	1,200	7. TN12-007	Exterior Renovations	5,000
		Interior walls/ceilings	12,000	Wortham Park	Tek-Rail/Shutters	5,000
				Lake City		
		Subtotal	39,250		Subtotal	10,000
	5. TN12-005	No work this year	0	8. TN12-008	Exterior Renovations	5,000
	South Village			New Tazewell	Tek-Rail/Shutters	5,000
	Jamestown	Subtotal	0		Ranges	1,050
					Refrigerators	1,500
	6. TN12-006	No work this year	0		Bathroom Renovations	3,000
	North Village				Kitchen Renovations	6,000
	Oneida	Subtotal	0		Parking	11,000
					Exterior doors/screen doors	6,000
	7. TN12-007	A/E Fees	1,560		Window Replacement	4,500
	Wortham Park	Re-roofing/guttering -	29,051		Closet doors/interior doors	3,000
	Lake City	Ranges	1,050		Water heaters	1,200
		Refrigerators	1,500		Interior walls/ceilings	12,000
		Bathroom Renovations	3,000		A/E Fees	1,061
		Kitchen Renovations	6,000		Roofing/guttering	17,680
		Parking	11,000			
		Window Replacement	4,500		Subtotal	77,991
		Water heaters	1,200			
		Interior walls/ceilings	12,000	9. TN12-009	Exterior Renovations	5,000
				Cumberland Heights	Playstructure – toddler	25,000

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u> 3 </u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement		Subtotal	70,861	Tazewell	Tek-Rail/Shutters	5,000
	8. TN12-008	No Work this year	0		Subtotal	35,000
	New Tazewell					
		Subtotal	0	10. TN12-010	Exterior Renovations	20,000
	9. TN12-009	Ranges	1,050	Sharp Circle	Outdoor Park/Benches/Picnic Tables	5,000
	Cumberland Hgts.	Refrigerators	1,500	LaFollette	Tek-Rail/Shutters	20,000
	Tazewell	Bathroom Renovations	3,000		Subtotal	45,000
		Kitchen Renovations	6,000			
		Parking	11,000	11. TN12-012	Exterior Renovations	5,000
		Exterior doors/screen doors	6,000	Oneida	Tek-Rail/Shutters	5,000
		Window Replacement	4,500		Ranges	1,050
		Closet doors/interior doors	3,000		Refrigerators	1,500
		Water heaters	1,200		Bathroom Renovations	3,000
		Interior walls/ceilings	12,000		Kitchen Renovations	6,000
		A/E Fees	749		Parking	1,000
		Roofing/guttering	12,480		Exterior doors/screen doors	6,000
					Window Replacement	4,500
		Subtotal	62,479		Closet doors/interior doors	3,000
					Flooring	14,500
	10. TN12-010	Ranges	1,050		Water heaters	1,200
	Sharp Circle	Refrigerators	1,500		Interior walls/ceilings	12,000
	LaFollette	Bathroom Renovations	3,000			
		Kitchen Renovations	6,000		Subtotal	63,750
		Parking	1,000			
		Exterior doors/screen doors	6,000	12. TN12-013	Exterior Renovations	5,000
		Window Replacement	4,500	Huntsville	Tek-Rail/Shutters	5,000
		Closet doors/interior doors	3,000			
		Flooring	14,500		Subtotal	10,000
		Water heaters	1,200			
		Interior walls/ceilings	12,000	13. TN12-014	Exterior Renovations	36,521

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement		Subtotal	53,750	Cumberland Heights Tazewell	Tek-Rail/Shutters	30,000
	11. TN12-012 Oneida	No work this year	0		Subtotal	66,521
		Subtotal	0	14. TN12-015 Alexander Heights Addition – LaFollette	Exterior Renovations Tek-Rail/Shutters Bathroom Renovations	5,000 5,000 3,000
	12. TN12-013 Huntsville Housing	Ranges Refrigerators Bathroom Renovations Kitchen Renovations Parking Exterior doors/screen doors Window Replacement Closet doors/interior doors Flooring Interior walls/ceilings	1,050 1,500 3,000 6,000 1,000 6,000 4,500 3,000 14,500 12,000		Kitchen Renovations Parking Exterior doors/screen doors Closet doors/interior doors Flooring Water Heaters Interior walls/ceilings	6,000 11,000 6,000 3,000 14,500 1,200 12,000
		Subtotal	52,550		Subtotal	71,200
	13. TN12-014 Cumberland Hgts. Tazewell	Ranges Refrigerators Bathroom Renovations Kitchen Renovations Parking	1,050 1,500 3,000 6,000 11,000	15. TN12-016 New Tazewell	Exterior Renovations Tek-Rail/Shutters	5,000 5,000
		Subtotal	10,000		Subtotal	10,000
		Subtotal	19,000	16. TN12-017 Wortham Park Lake City	Exterior Renovations Re-roofing/guttering – 50 units Tek-Rail/Shutters	5,000 9,000 5,000
		Subtotal	19,000		Subtotal	19,000
		Subtotal	12,000	17. TN12-018	Exterior Renovations	5,000
		Subtotal	1,248			

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual Statement		Subtotal	50,498	Jamestown	Tek-Rail/Shutters	5,000
	14. TN12-015	No work this year	0		Subtotal	10,000
	Alexander Hgts. Add.					
	LaFollette	Subtotal	0	18. TN12-019	Exterior Renovations	5,000
				Caryville	Tek-Rail/Shutters – 30 units	30,000
	15. TN12-016	Ranges	1,050		Subtotal	35,000
	New Tazewell	Refrigerators	1,500			
		Bathroom Renovations	3,000			
		Kitchen Renovations	6,000	19. TN12-020	Exterior Renovations	5,000
		Parking	11,000	Jacksboro	Tek-Rail/Shutters – 20 units	20,000
		Exterior doors/screen doors	6,000		Subtotal	25,000
		Window Replacement	4,500			
		Closet doors/interior doors	3,000			
		Water heaters	1,200	20. TN12-021	Exterior Renovations	30,000
		Interior walls/ceilings	12,000	Huntsville	Tek-Rail/Shuttering	30,000
		A/E Fees	936		Subtotal	60,000
		Subtotal	50,186			
				21. TN12-022	Exterior Renovations	60,000
	16. TN12-017	Ranges	1,050	Oneida	Tek-Rail/Shuttering	80,000
	Wortham Park II	Refrigerators	1,500		Subtotal	140,000
	Lake City	Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	11,000	22. TN12-023	Exterior Renovations	50,000
		Window Replacement	4,500	Wartburg	Tek-Rail/Shuttering	40,000
		Interior doors	3,000		Subtotal	90,000
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	43,250	23. TN12-024	Exterior Renovations	75,000
				Oneida	Tek-Rail/Shuttering	5,000

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	17. TN12-018	Ranges	1,050		Subtotal	80,000
Annual	Jamestown	Refrigerators	1,500			
Statement		Bathroom Renovations	3,000	24. TN12-025	Exterior Renovations	64,473
		Kitchen Renovations	6,000	Pleasant Ridge	Tek-Rail/Shutters	40,000
		Parking	11,000	LaFollette		
		Exterior doors/screen doors	6,000		Subtotal	104,473
		Window Replacement	4,500			
		Closet doors/interior doors	3,000	25. TN12-033	Exterior Renovations	5,000
		Water heaters	1,200	New Tazewell	Tek-Rail/Shutters	5,000
		Interior walls/ceilings	12,815			
					Subtotal	10,000
		Subtotal	50,065			
				26. TN12-037	Exterior Renovations	5,000
	18. TN12-019	A/E Fees	2,090	Shady Grove Estates	Tek-Rail/Shutters	5,000
	Caryville	Re-Roofing/guttering	1,500			
		Ranges	1,050		Subtotal	10,000
		Refrigerators	1,500			
		Bathroom Renovations	3,000	27. TN12-038	Exterior Renovations	5,000
		Kitchen Renovations	6,000	Luttrell	Landscaping	5,000
		Parking	11,000		Tek-Rail/Shutters	5,000
		Exterior doors/screen doors	6,000			
		Window Replacement	4,500		Subtotal	15,000
		Closet doors/interior doors	3,000			
		Water heaters	1,200	28. TN12-041	Commercial washers/gas dryers	8,000
		Interior walls/ceilings	12,000	Russell Towers		
		Storage Sheds	30,000	LaFollette		
					Subtotal	8,000
		Subtotal	82,840	29. PHA-Wide	a. Operations	1,000
				Management	b. Provide extra security	92,000
	19. TN12-020	Ranges	1,050	Improvements	TN12-001	21,650
	Jacksboro Housing	Refrigerators	1,500		TN12-003	15,000
		Bathroom Renovations	3,000		TN12-005	6,800

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u> 2 </u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u> 3 </u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See		Kitchen Renovations	6,000		TN12-006	13,500
Annual		Parking	11,000		TN12-008	10,500
Statement		Exterior doors/screen doors	6,000		TN12-009	5,200
		Window Replacement	4,500		TN12-013	4,200
		Closet doors/interior doors	3,000		TN12-019	2,550
		Water heaters	1,200		TN12-020	1,800
		Interior walls/ceilings	12,000		TN12-023	4,200
					TN12-037	2,500
		Subtotal	49,250		TN12-038	4,100
					c. Provide Vista workers for resident programs	50,000
	20. TN12-021	Ranges	1,050		d. PHA Staff-Commissioner training	10,000
	Huntsville	Refrigerators	1,500			
		Bathroom Renovations	3,000		Subtotal	153,000
		Kitchen Renovations	6,000			
		Parking	11,000	30. PHA-Wide	a. Advertising	5,000
		Window Replacement	4,500	Administrative	b. Admin/CFP Clerk	63,800
		Closet doors/interior doors	3,000	Costs	c. Management Fee	169,903
		Flooring	4,500			
		Interior walls/ceilings	12,000		Subtotal	238,703
		Subtotal	46,550			
				31. PHA-Wide	a. Agency Plan	5,000
				Fees/Costs	b. Environmental Review	3,000
	21. TN12-022	Ranges	1,050		c. Management Fee	169,902
	Oneida	Refrigerators	1,500			
		Bathroom Renovations	3,000		Subtotal	177,902
		Kitchen Renovations	6,000			
		Parking	11,000	32. PHA-Wide	Office Furniture, Equipment, computers	10,000
		Exterior doors/screen doors	6,000	Non-Dwelling		
		Window Replacement	4,500	Equipment	Subtotal	10,000
		Interior doors	3,000			
		Water heaters	1,200		Contingency	0

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See		Interior walls/ceilings	12,000		Subtotal	0
Annual						
Statement		Subtotal	49,250			
	22. TN12-023	Ranges	1,050			
	Wartburg	Refrigerators	1,500			
		Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	11,000			
		Exterior doors/screen doors	6,000			
		Window Replacement	4,500			
		Closet doors/interior doors	3,000			
		Flooring	4,500			
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	53,750			
	23. TN12-024	Ranges	1,050			
	Oneida Housing	Refrigerators	1,500			
		Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	11,000			
		Exterior doors/screen doors	6,000			
		Window Replacement	4,500			
		Closet doors/interior doors	3,000			
		Flooring	4,500			
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	53,750			

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	24. TN12-025	Ranges	1,050			
Annual	Pleasant Ridge	Refrigerators	1,500			
Statement	LaFollette	Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	11,000			
		Window Replacement	4,500			
		Interior doors	3,000			
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	43,250			
	25. TN12-033	Ranges	1,050			
	New Tazewell	Refrigerators	1,500			
		Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	10,000			
		Window Replacement	4,500			
		Closet doors/interior doors	3,000			
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	42,250			
	26. TN12-037	Ranges	1,050			
	Shady Groves Estates	Refrigerators	1,500			
	Helenwood	Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	1,000			
		Exterior doors/screen doors	3,000			
		Closet doors/interior doors	3,000			
		Flooring	4,500			

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Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See		Water heaters	1,200			
Annual		Interior walls/ceilings	12,000			
Statement						
		Subtotal	36,250			
	27. TN12-038	Ranges	1,050			
	Luttrell	Refrigerators	1,500			
		Bathroom Renovations	3,000			
		Kitchen Renovations	6,000			
		Parking	1,000			
		Exterior doors/screen doors	6,000			
		Window Replacement	4,500			
		Closet doors/interior doors	3,000			
		Flooring	4,500			
		Water heaters	1,200			
		Interior walls/ceilings	12,000			
		Subtotal	43,750			
	28. TN12-041	No work this year	0			
	Russell Towers					
	LaFollette	Subtotal	0			
	29. PHA-Wide	a. Operations	1,000			
	Management	b. Provide Extra Security	92,000			
	Improvements	TN12-001	21,650			
		TN12-003	15,000			
		TN12-005	6,800			
		TN12-006	13,500			
		TN12-008	10,500			
		TN12-009	5,200			
		TN12-013	4,200			

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :__2__ FFY Grant: TN37-PO12-501-08 PHA FY: 2008			Activities for Year: __3__ FFY Grant: TN37-PO12-501-09 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See		TN12-019	2,550			
Annual		TN12-020	1,800			
Statement		TN12-023	4,200			
		TN12-037	2,500			
		TN12-038	4,100			
		c. Provide VISTA workers for resident programs	50,000			
		d. PHA Staff-Commissioner training	10,000			
		Subtotal	153,000			
	30. PHA-Wide	a. Advertising	5,000			
	Administrative Costs	b. Admin/CFP Clerk	46,026			
		c. Management Fee	126,353			
		Subtotal	177,379			
	31. PHA-Wide	a. Agency Plan	5,000			
	Fees /Costs	b. Environmental Review	3,000			
		Subtotal	8,000			
	32. PHA-Wide Non-Dwelling Equipment	Office Furniture, Equipment, Computers	10,000			
		Subtotal	10,000			
	33. Contingency		0			
	Total CFP Estimated Cost		\$1,699,021			\$1,699,021

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Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-10 PHA FY: 2010			Activities for Year: _5__ FFY Grant: TN37-PO12-501-11 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
1. TN12-001	Playground	65,629	1. TN12-001	Complete Recreation pavilion	70,000
Alexander Hgts.	Recreation pavilion	50,000	Alexander Hgts.		
LaFollette			LaFollette	Subtotal	70,000
	Subtotal	115,629			
2. TN12-002	No work this year	0	2. TN12-002	No work this year.	0
Alexander Hgts.			Alexander Hgts.		
Addition - LaFollette	Subtotal	0	Addition – LaFollette	Subtotal	0
3. TN12-003	No work this year	0	3. TN12-003	No work this year.	0
Wortham Park			Wortham Park		
0Lake City	Subtotal	0	Lake City	Subtotal	0
4. TN12-004	A/E Fees	1,000	4. TN12-004	A/E Fees	1,000
Alexander Circle	Re-roofing/guttering	6,000	Alexander Circle	Sidewalk/Porches	100,000
LaFollette	A/E Fees	1,500	LaFollette	Landscaping	20,000
	Range hood w/cabinet & stainless steel backsplashes	20,000		Subtotal	121,000
	Floor tile	10,000			
	Replace exterior doors/screen doors	10,000	5. TN12-005	No work this year	0
	Smoke detectors	5,000	South Village		
	Water heaters	10,000	Jamestown	Subtotal	0
	Subtotal	63,500			
			6. TN12-006	Remodel office/Community Space	75,000
5. TN12-005	Ranges	1,050	North Village		
South Village	Refrigerators	1,500	Oneida	Subtotal	75,000
Jamestown	Bathroom Renovations	3,000			
	Kitchen Renovations	6,000	7. TN12-007	Playstructure	30,000
	Parking	1,000	Wortham Park		
	Exterior doors/screen doors	6,000	Lake City	Subtotal	30,000

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Part II: Supporting Pages—Work Activities

Activities for Year :_4___ FFY Grant: TN37-PO12-501-10 PHA FY: 2010			Activities for Year: _5___ FFY Grant: TN37-PO12-501-11 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	Window Replacement	4,500	8. TN12-008	Remodel office/Community Space	98,909
	Closet doors/interior doors	3,000	New Tazewell		
	Flooring	14,500		Subtotal	98,909
	Water heaters	1,200			
	Interior walls/ceilings	12,000	9. TN12-009	Remodel office/Community Space	98,909
			Cumberland Heights		
	Subtotal	53,750	Tazewell	Subtotal	98,909
6. TN12-006	A/E Fees	16,854	10. TN12-010	No work this year	0
North Village	Re-roofing/guttering	60,000	Sharp Circle		
Oneida	504 Access	25,000	LaFollette	Subtotal	0
	Pedestal Mailboxes	6,750			
			11. TN12-012	No work this year	0
	Subtotal	108,604	Oneida		
				Subtotal	0
7. TN12-007	A/E Fees	11,075			
Wortham Park	504 Access	5,500	12. TN12-013	Remodel office/Community Space	75,000
Lake City	Range hood w/cabinet	10,000	Huntsville		
	Floor tile	31,000		Subtotal	75,000
	Exterior doors & screen doors	25,000			
	Closet doors/interior doors	18,750	13. TN12-014	No work this year	0
	Porches/sidewalks	20,000	Cumberland Heights		
	Drainage/landscaping	12,500	Tazewell	Subtotal	0
	Sewer replacement	23,230			
			14. TN12-015	No work this year	0
	Subtotal	157,055	Alexander Hgts.		
			Addition – LaFollette	Subtotal	0
8. TN12-008	A/E Fees	1,020			
New Tazewell	Floor Tile	34,000	15. TN12-016	No work this year	0
			New Tazewell		
	Subtotal	35,020		Subtotal	0

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Activities for Year :_4__ FFY Grant: TN37-PO12-501-10 PHA FY: 2010			Activities for Year: _5__ FFY Grant: TN37-PO12-501-11 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
9. TN12-009	A/E Fees	1,380	16. TN12-017	No work this year	0
Cumberland Heights	504 Access	11,000	Wortham Park		
Tazewell	Floor Tile	24,000	Lake City		
				Subtotal	0
	Subtotal	36,380	17. TN12-018	Remodel office/Community Space	75,000
			Jamestown		
10. TN12-010	A/E Fees	5,000		Subtotal	75,000
Sharp Circle	Re-roofing/guttering	110,000			
LaFollette			18. TN12-019	No work this year	0
			Caryville		
	Subtotal	115,000		Subtotal	0
11. TN12-012	A/E Fees	1,000			
Oneida	Re-roofing/guttering	50,000	19. TN12-020	No work this year	0
			Jacksboro		
	Subtotal	51,000		Subtotal	0
12. TN12-013	Bus Stop	11,000	20. TN12-021	No work this year	0
Huntsville			Huntsville		
	Subtotal	11,000		Subtotal	0
13. TN12-014	A/E Fees	1,860	21. TN12-022	Bathrooms	100,000
Cumberland Heights	504 Access	11,000	Oneida		
Tazewell	Floor tile	40,000		Subtotal	100,000
	Smoke Detectors	4,000			
	Re-roofing/guttering	20,000	22. TN12-023	Remodel office/Community Space	75,000
			Wartburg		
	Subtotal	76,860		Subtotal	75,000
14. TN12-015	A/E Fees	15,600	23. TN12-024	No work this year	0
Alexander Hgts.	Re-roofing/guttering	60,000	Oneida		

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-10 PHA FY: 2010			Activities for Year: _5__ FFY Grant: TN37-PO12-501-11 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Addition - LaFollette	Range Hood w/ cabinet	10,000		Subtotal	0
	Subtotal	85,600	24. TN12-025	A/E Fees	25,500
15. TN12-016	A/E Fees	1,000	Pleasant Ridge	Sidewalks and steps	150,000
New Tazewell	Floor Tile	30,000	LaFollette	Porches	50,000
	Smoke Detectors	3,000		Handrails/Guardrails	100,000
	Re-roofing/guttering	10,000		Landscaping	50,000
	Subtotal	44,000		Install individual meters	75,000
				Subtotal	450,500
16. TN12-017	A/E Fees	15,600	25. TN12-033	No work this year	0
Wortham Park	Re-roofing/guttering	75,000	New Tazewell		
Lake City				Subtotal	0
	Subtotal	90,600			
			26. TN12-037	Screen doors	20,000
17. TN12-018	No work this year	0	Shady Grove Estates		
Jamestown			Helenwood	Subtotal	20,000
	Subtotal	0			
			27. TN12-038	No work this year	0
18. TN12-019	No work this year	0	Luttrell		
Caryville				Subtotal	0
	Subtotal	0			
			28. TN12-041	No work this year	0
19. TN12-020	No work this year	0	Russell Towers		
Jacksboro			LaFollette	Subtotal	0
	Subtotal	0			

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year :_4__ FFY Grant: TN37-PO12-501-10 PHA FY: 2010			Activities for Year: _5__ FFY Grant: TN37-PO12-501-11 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Helenwood	Subtotal	0	32. PHA-Wide	Office Furniture, Equipment, Computers	10,000
			Non-dwelling		
27. TN12-038	A/E Fees	3,000	equipment	Subtotal	10,000
Luttrell	Re-roofing/guttering	50,000		Contingency	0
	Subtotal	53,000			
28. TN12-041	No work this year	0			
Russell Towers					
LaFollette	Subtotal	0			
29. PHA-Wide	a. Operations	1,000			
Management	b. Provide Extra Security	92,000			
Improvements	TN12-001	21,650			
	TN12-003	15,000			
	TN12-005	6,800			
	TN12-006	13,500			
	TN12-008	10,500			
	TN12-009	5,200			
	TN12-013	4,200			
	TN12-019	2,550			
	TN12-020	1,800			
	TN12-023	4,200			
	TN12-037	2,500			
	TN12-038	4,100			
	c. Provide VISTA workers for resident programs	50,000			
	d. PHA Staff-Commissioner training	10,000			
	Subtotal	153,000			

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2007 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	0				
3	1408 Management Improvements	107,182	76,682	76,682	76,682	
4	1410 Administration	572		572	572	
5	1411 Audit	0				
6	1415 Liquidated Damages	0				
7	1430 Fees and Costs	76,949		76,949	76,949	
8	1440 Site Acquisition	0				
9	1450 Site Improvement	123,962		123,962	123,962	
10	1460 Dwelling Structures	1,420,923	1,451,698	1,451,698	1,451,698	
11	1465.1 Dwelling Equipment—Nonexpendable	0				
12	1470 Nondwelling Structures	0				
13	1475 Nondwelling Equipment	0				
14	1485 Demolition	0				
15	1490 Replacement Reserve	0				
16	1492 Moving to Work Demonstration	0				
17	1495.1 Relocation Costs	2,000	1,725	1,725	1,725	
18	1499 Development Activities	0				
19	1501 Collateralization or Debt Service	0				
20	1502 Contingency	0				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,731,588		1,731,588	1,731,588	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security	57,182		57,182	57,182	
25	Amount of line 21 Related to Energy Conservation Measures					

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	A/E Fees	1430	1	68,949		68,949	68,949	Work Complete
Alexander Hgts.	Porches/sidewalks	1450	LS	120,212		120,212	120,212	Work Complete
	Pedestal Mailboxes	1450	LS	3,750		3,750	3,750	Work Complete
	HVAC	1460	20 units	160,000		160,000	160,000	Work Complete
	Electrical Upgrade	1460	20 units	141,074	140,000	140,000	140,000	Work Complete
	Re-roofing/guttering	1460	20 units	250,000		250,000	250,000	Work Complete
	Windows	1460	20 units	50,000		50,000	50,000	Work Complete
	Kitchens	1460	20 units	212,640	215,641	215,641	215,641	Work Complete
	Bathrooms	1460	20 units	75,000		75,000	75,000	Work Complete
	Water Heaters	1460	20 units	18,600		18,600	18,600	Work Complete
	Flooring	1460	20 units	53,026		53,026	53,026	Work Complete
	Closet Doors/ Interior Doors	1460	20 units	75,000		75,000	75,000	Work Complete
	Interior walls/ceiling	1460	20 units	75,000		75,000	75,000	Work Complete
	Smoke Detectors	1460	20 units	6,200		6,200	6,200	Work Complete
	Siding, façade	1460	20 units	304,383	333,231	333,231	333,231	Work Complete
	Appliances	1465.1	62 units	0				Deferred
	Relocation Assistance	1495.1	LS	2,000	1,725	1,725	1,725	Work Complete
	SUB-TOTAL			1,615,834	1,646,334	1,646,334	1,646,334	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:				Federal FY of Grant: 2004		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
2. PHA-Wide Management Improvements	a. Operations	1406		0				Deferred
	SUB-TOTAL			0				
	b. Provide Extra Security	1408	12 locations	57,182		57,182		Work Complete
	TN12-001		19,828				19,828	
	TN12-003		5,924				5,924	
	TN12-005		0				0	
	TN12-006		9,000				9,000	
	TN12-008		6,578				6,578	
	TN12-009		4,004				4,004	
	TN12-013		3,898				3,898	
	TN12-019		1,590				1,590	
	TN12-020		840				840	
	TN12-023		2,915				2,915	
	TN12-037		2,605				2,605	
	TN12-038		0				0	
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000	19,500	19,500	19,500	Work Complete
	d. PHA Staff/Commissioner training	1408		0				Deferred
	SUB-TOTAL			107,182	76,682	76,682	76,682	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-04 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
3. PHA-Wide Administrative Costs	a. Advertising	1410		572		572	572	Work Complete
	b. Admin/CFP Clerk	1410		0				Deferred
	SUB-TOTAL			572		572	572	
4. PHA-Wide Fees and Costs	a. Agency Plan	1430		5,000		5,000	5,000	Work Complete
	b. Environmental Review	1430		3,000		3,000	3,000	Work Complete
	SUB-TOTAL			8,000		8,000	8,000	Work Complete
5. PHA-Wide Non-Dwelling Equipment	Office Furniture/Equipment/Computers	1475		0				Deferred
	SUB-TOTAL			0				
6. PHA-Wide	Contingency	1502		0				
	SUB-TOTAL			0				

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-04 Replacement Housing Factor No:					Federal FY of Grant: 2004	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
1. TN12-001 Alexander Hgts.	9/06/2006		03/31/2005	09/05/2008		06/30/2006		
2. PHA-Wide Management Improvements								
a.	9/06/2006		03/31/2005	09/05/2008			Deferred	
b.	9/06/2006		03/31/2005	09/05/2008	3/31/2006	06/30/2006		
c.	9/06/2006		12/31/2005	09/05/2008		06/30/2006		
d.	9/06/2006		03/31/2005	09/05/2008			Deferred	
3. PHA-Wide Administrative Costs								
a.	9/06/2006		03/31/2005	09/05/2008	6/30/2005			
b.	9/06/2006		03/31/2005	09/05/2008		06/30/2006	Deferred	
4. PHA-Wide Fees And Costs								
a.	9/06/2006		12/31/2004	09/05/2008		12/31/2004		
b.	9/06/2006		12/31/2004	09/05/2008		12/31/2004		
5. PHA-Wide Non- Dwelling Equipment	9/06/2006		03/31/2005	09/05/2008			Deferred	
6. PHA-Wide Contingency	9/06/2006		03/31/2005	09/05/2008			Deferred	

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:		Federal FY of Grant: 2005	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2007 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	0			
3	1408 Management Improvements	152,000		152,000	94,538
4	1410 Administration	2,000		2,000	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	107,814	117,814	117,814	108,892
8	1440 Site Acquisition				
9	1450 Site Improvement	251,029		251,029	241,029
10	1460 Dwelling Structures	954,802	970,133	870,836	567,181
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	172,870	147,539	147,539	142,870
13	1475 Nondwelling Equipment	10,000		10,000	9,555
14	1485 Demolition	100,000		100,000	59,071
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	3,600		3,600	0
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency	0			
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,754,115		1,654,818	1,223,136
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security	92,000		92,000	68,538
25	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	A/E Fees	1430	1	75,000	85,000	85,000	84,392	Work in progress
Alexander Hgts.	Site Improvements	1450	LS	241,029		241,029	241,029	Work Complete
	HVAC	1460	6 units	90,000	105,331	105,331	105,331	Work Complete
	Electrical Upgrade	1460	6 units	70,000		70,000	64,093	Work in progress
	Re-roofing/guttering	1460	6 units	199,297	199,297	145,000	144,245	Work in progress
	Windows	1460	6 units	33,000		33,000	33,000	Work complete
	Kitchens	1460	6 units	70,000		70,000	70,000	Work complete
	Bathrooms	1460	6 units	40,000		40,000	40,000	Work complete
	Water Heaters	1460	6 units	10,000		10,000	0	Work in progress
	Flooring	1460	6 units	40,000		40,000	24,109	Work in progress
	Closet doors/interior doors	1460	6 units	10,000		10,000	10,000	Work complete
	Interior Walls	1460	6 units	50,932		50,932	50,932	Work complete
	Smoke Detectors	1460	6 units	3,000		3,000	0	Work in progress
	Siding Façade	1460	6 units	100,000		55,000	25,471	Work in progress
	Demolition	1485	6 units	100,000		100,000	59,071	Work in progress
	Relocation Cost	1495.1	6 units	3,600		3,600	0	Work in progress
	SUB-TOTAL			1,135,858	1,161,189	1,061,892	951,673	
2. TN12-004	A/E Fees (DEFER)	1430	1	0	0	0	0	Deferred
Alexander Circle	Re-roofing/guttering (DEFER)	1460	2 units	0	0	0	0	Deferred
	SUB-TOTAL			0	0			
3. TN12-007	Playstructure	1450	LS	5,000		5,000	0	No Work to Date
Wortham Park								
	SUB-TOTAL			5,000		5,000	0	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
4. TN12-010	A/E Fees (DEFER)	1430	1	0		0	0	Deferred
Sharp Circle	Re-roofing/guttering (DEFER)	1460	10 units	0		0	0	Deferred
	SUB-TOTAL			0				
5. TN12-012	A/E Fees (DEFER)	1430	1	0		0	0	Deferred
LaFollette HA	Re-roofing/guttering (DEFER)	1460	8 units	0		0	0	Deferred
Oneida								
	SUB-TOTAL			0				
6. TN12-013	A/E Fees	1430	1	14,314		14,314	6,000	Work in progress
Huntsville	Re-roofing/guttering	1460	3 units	238,573		238,573	0	No work to date
Housing								
	SUB-TOTAL			252,887		252,887	6,000	
7. TN12-023	A/E Fees	1430	1	5,250		5,250	5,250	Fung'07 Comp.
Wartburg	Metal Maintenance Building	1470	LS	73,346		73,346	73,346	Fung'07 Comp.
	SUB-TOTAL			78,596		78,596	78,596	
8. TN12-037	A/E Fees	1430	1	5,250		5,250	5,250	Fung'07 Comp.
Helenwood	Metal Maintenance Building	1470	LS	69,524		69,524	69,524	Fung'07 Comp.
	SUB-TOTAL			74,774		74,774	74,774	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part II: Supporting Pages**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
9. TN12-038	A/E Fees (DEFER)	1430	1	0		0	0	Deferred
Luttrell	Playstructure	1450	LS	5,000		5,000	0	No work to date
	Re-roofing/guttering (DEFER)	1460	3 units	0		0	0	Deferred
	SUB-TOTAL			5,000		5,000	0	
10. PHA-Wide Management Improvements	a. Operations (DEFER)	1406	LS	0		0	0	Deferred
	SUB-TOTAL			0				
	b. Provide extra security	1408	12 locations	92,000		92,000	68,538	Work in progress
	TN12-001	21,650					20,883	
	TN12-003	15,000					10,594	
	TN12-005	6,800					0	
	TN12-006	13,500					13,104	
	TN12-008	10,500					8,385	
	TN12-009	5,200					4,829	
	TN12-013	4,200					2,385	
	TN12-019	2,550					2,550	
	TN12-020	1,800					630	
	TN12-023	4,200					3,588	
	TN12-037	2,500					1,590	
	TN12-038	4,100					0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-05 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
13. PHA-Wide Non-Dwelling Equipment	Office furniture, equipment, computers	1475	LS	10,000		10,000	9,555	Work in progress
	SUB-TOTAL			10,000		10,000	9,555	
14. PHA-Wide	a. Signage – 28 Developments	1470		30,000	4,669	4,669	0	Work in progress
	b. Contingency (DEFER)	1502		0		0	0	Deferred
	SUB-TOTAL			30,000	4,669	4,669	0	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-05 Replacement Housing Factor No:					Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
1. TN12-001 Alexander Hgts.	9/30/2007			09/30/2009				
X TN12-002 Alexander Hgts. Addition	09/30/2007			09/30/2009			Entered thru error	
X TN12-003 Wortham Park	09/30/2007			09/30/2009			Entered thru error	
2. TN12-004 Alexander Circle	09/30/2007			09/30/2009			Deferred	
3. TN12-007 Wortham Park	09/30/2007		03/31/2007	09/30/2009				
4. TN12-010 Sharp Circle	09/30/2007			09/30/2009			Deferred	
5. TN12-012 LaFollette HA Oneida	09/30/2007			09/30/2009			Deferred	
6. TN12-013 Huntsville Housing	09/30/2007		03/31/2007	09/30/2009				
X TN12-022 Oneida Housing	09/30/2007			09/30/2009			Entered thru error	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-05 Replacement Housing Factor No:					Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
7. TN12-023 Wartburg		12/31/2005	12/31/2005		12/31/2005	9/30/2006	Fungibility 2007	
8. TN12-037 Helenwood		12/31/2005	12/31/2005		12/31/2005	9/30/2006	Fungibility 2007	
9. TN12-038 Luttrell	09/30/2007		3/31/2007	09/30/2009				
10. PHA-Wide Management Improvements								
a.	09/30/2007			09/30/2009			Deferred	
b.	09/30/2007		12/31/2005	09/30/2009		3/31/2007		
c.	09/30/2007		3/31/2007	09/30/2009				
d.	09/30/2007		3/31/2007	09/30/2009				
11. PHA-Wide Administrative Costs								
a.	9/30/2007		3/31/2007	09/30/2009				
b.	9/30/2007			09/30/2009			Deferred	
12. PHA-Wide Fees And Costs								
a.	9/30/2007		12/31/2005	09/30/2009		3/31/2006		
b.	9/30/2007		12/31/2005	09/30/2009		3/31/2006		

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-05 Replacement Housing Factor No:				Federal FY of Grant: 2005	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
13. PHA-Wide Non-Dwelling Equipment	9/30/2007		3/31/2007	09/30/2009			
14. PHA-Wide							
a.	9/30/2007		3/31/2007	09/30/2009			
b.	9/30/2007			09/30/2009			Deferred

Annual Statement/Performance and Evaluation Report						
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary						
PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/07 <input type="checkbox"/> Final Performance and Evaluation Report						
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost		
		Original	Revised	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations	1,000		0	0	
3	1408 Management Improvements	152,000		92,000	5,222	
4	1410 Administration	17,274		0	0	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	100,000		23,000	19,460	
8	1440 Site Acquisition					
9	1450 Site Improvement	314,711	319,711	10,000	0	
10	1460 Dwelling Structures	942,500	937,500	120,000	0	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Nondwelling Structures	20,000		0	0	
13	1475 Nondwelling Equipment	11,000		10,000	7,785	
14	1485 Demolition					
15	1490 Replacement Reserve					
16	1492 Moving to Work Demonstration					
17	1495.1 Relocation Costs	8,000		0	0	
18	1499 Development Activities					
19	1501 Collateralization or Debt Service					
20	1502 Contingency					
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,566,485	1,566,485	255,000	32,467	
22	Amount of line 21 Related to LBP Activities					
23	Amount of line 21 Related to Section 504 compliance					
24	Amount of line 21 Related to Security	92,000		92,000	5,222	
25	Amount of line 21 Related to Energy Conservation Measures					

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1. TN12-001	Playstructure	1450	LS	0	5,000	5,000	0	Fung. '10
Alexander Heights	HVAC @ Dewey Hunter Center	1470	LS	20,000		0	0	No Work to Date
			Subtotal	20,000	25,000	5,000	0	
2. TN12-002	A/E Fees	1430	LS	26,200		0	0	No Work to Date
Alexander Hgts.	Site Improvements	1450	LS	34,000		0	0	No Work to Date
Addition - LaFollette	Parking	1450	LS	6,000		0	0	No Work to Date
	Pedestal Mailboxes	1450	LS	3,750		0	0	No Work to Date
	Porches/sidewalks	1450	LS	2,000		0	0	No Work to Date
	Replace Ceiling/Firewall	1460	LS	40,000		0	0	No Work to Date
	Electrical Upgrade	1460	6 units	14,000		0	0	No Work to Date
	Re-roofing/guttering	1460	6 units	28,000		0	0	No Work to Date
	Windows	1460	6 units	6,600		0	0	No Work to Date
	Kitchens	1460	6 units	40,000		0	0	No Work to Date
	Bathrooms	1460	6 units	24,000		0	0	No Work to Date
	Water Heaters	1460	6 units	3,000		0	0	No Work to Date
	Flooring	1460	6 units	20,000		0	0	No Work to Date
	Closet doors/interior doors	1460	6 units	5,000		0	0	No Work to Date
	Interior Walls	1460	6 units	10,000		0	0	No Work to Date
	Smoke/Carbon Monoxide Detectors	1460	6 units	3,000		0	0	No Work to Date
	Siding Façade	1460	6 units	39,000		0	0	No Work to Date
	504 Access	1460	2 units	10,000		0	0	No Work to Date
	Replace Exterior Doors/Screen Doors	1460	6 units	4,500		0	0	No Work to Date
	Tek-Rail/Shutters	1460	6 units	4,000		0	0	No Work to Date
	Relocation Cost	1495.1	6 units	3,000		0	0	No Work to Date
			Subtotal	326,050		0	0	

TN37-PO12-501-06 P&E

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Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
3. TN12-003	A/E Fees	1430	LS	53,800	44,800	0	0	No Work to Date
Wortham Park	Site Improvements	1450	LS	45,000		0	0	No Work to Date
Lake City	Pedestal Mailboxes	1450	LS	13,750		0	0	No Work to Date
	Sewer Replacement (12 units)	1450	12 units	125,211		0	0	No Work to Date
	Porches/Sidewalks	1450	LS	10,000		0	0	No Work to Date
	Electrical Upgrade	1460	12 units	70,000		0	0	No Work to Date
	Re-roofing/guttering	1460	12 units	100,000	15,000	0	0	No Work to Date
	Windows	1460	12 units	33,000		0	0	No Work to Date
	Kitchens	1460	12 units	70,000		0	0	No Work to Date
	Bathrooms	1460	12 units	48,000		0	0	No Work to Date
	Water Heaters	1460	12 units	4,000		0	0	No Work to Date
	Flooring	1460	12 units	40,000		0	0	No Work to Date
	Closet doors/interior doors	1460	12 units	10,000		0	0	No Work to Date
	Interior Walls	1460	12 units	20,000		0	0	No Work to Date
	Smoke/Carbon Monoxide Detectors	1460	12 units	3,000		0	0	No Work to Date
	Siding/Façade	1460	12 units	55,000		0	0	No Work to Date
	Replace Exterior Doors/Screen Doors	1460	12 units	9,600		0	0	No Work to Date
	Tek-Rail/Shutters	1460	12 units	12,000		0	0	No Work to Date
	504 Access	1460	5 units	10,000		0	0	No Work to Date
	Relocation Cost	1495.1	12 units	5,000		0	0	No Work to Date
			Subtotal	737,361	643,361	0	0	
4. TN12-004	Playstructure	1450	LS	25,000		0	0	No Work to Date
Alexander Circle								
LaFollette			Subtotal	25,000		0	0	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
5. TN12-009	Playstructure – Toddler	1450	LS	25,000		5,000	0	Work in Progress
Cumberland Hgts. Tazewell			Subtotal	25,000		5,000	0	
6. TN12-010	Smoke Detectors	1475	LS	1,000		0	0	No Work to Date
Sharp Circle LaFollette			Subtotal	1,000		0	0	
7. TN12-014	Playstructure – Toddler	1450	LS	25,000		0	0	No Work to Date
Cumberland Hgts. Tazewell			Subtotal	25,000		0	0	
8. TN12-021	A&E Fees	1430	LS	0	9,000	9,000	9,000	Fung. '10
Baker Street	Re-Roofing	1460	13 Bldgs.	0	80,000	26,000	0	Fung. '10
			Subtotal	0	89,000	35,000	9,000	
9. TN12-024	A/E Fees	1430	LS	6,000		0	0	No Work to Date
Oneida	Re-roofing/guttering	1460	8 units	106,800		0	0	No Work to Date
			Subtotal	112,800		0	0	
10. TN12-037	A/E Fees	1430	LS	6,000		6,000	5,460	Work in Progress
Shady Grove Estates Helenwood	Windows (from 2009)	1460	LS	100,000		94,000	0	Work in Progress
			Subtotal	106,000		100,000	5,460	

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)					Part II: Supporting Pages			
PHA Name: LaFollette Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37-PO12-501-06 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
11. PHA-Wide Management Improvements	a. Operations	1406	LS	1,000		0	0	No Work to Date
			Subtotal	1,000		0	0	
	b. Provide extra security	1408	12 locations	92,000		92,000		Work in Progress
	TN12-001	21,650					1,734	
	TN12-003	15,000					0	
	TN12-005	6,800					0	
	TN12-006	13,500					800	
	TN12-008	10,500					1,560	
	TN12-009	5,200					514	
	TN12-013	4,200					0	
	TN12-019	2,550					180	
	TN12-020	1,800					135	
	TN12-023	4,200					299	
	TN12-037	2,500					0	
	TN12-038	4,100					0	
	c. Provide VISTA workers for resident programs	1408	4 positions	50,000		0	0	No Work to Date
	d. PHA staff/commissioner training	1408		10,000		0	0	No Work to Date
			Subtotal	152,000		92,000	5,222	
12. PHA-Wide Administrative Costs	a. Advertising	1410	LS	2,000		0	0	No Work to Date
	b. Admin/CFP Clerk	1410		15,274		0	0	No Work to Date
			Subtotal	17,274		0	0	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: LaFollette Housing Authority		Grant Type and Number			Federal FY of Grant: 2006			
		Capital Fund Program Grant No: TN37-PO12-501-06						
		Replacement Housing Factor Grant No:						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
13. PHA-Wide	a. Agency Plan	1430	LS	5,000		5,000	5,000	Work Complete
Fees and Costs	b. Environmental Review	1430	LS	3,000		3,000	0	Work in Progress
			Subtotal	8,000		8,000	5,000	
14. PHA-Wide Non-	Office furniture, equipment, computers	1475	LS	10,000		10,000	7,785	Work in Progress
Dwelling Equipment			Subtotal	10,000		10,000	7,785	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: LaFollette Housing Authority		Grant Type and Number Capital Fund Program No: TN37-PO12-501-06 Replacement Housing Factor No:					Federal FY of Grant: 2006	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
1. TN12-001 Alexander Heights	9/30/2008			09/30/2010				
2. TN12-002 Alexander Hgts. Addition	9/30/2008			09/30/2010				
3. TN12-003 Wortham Park Lake City	9/30/2008			09/30/2010				
4. TN12-004 Alexander Circle	9/30/2008			09/30/2010				
5. TN12-009 Tazewell	9/30/2008			09/30/2010				
6. TN12-010 Sharp Circle, LaFollette	9/30/2008			09/30/2010				
7. TN12-014 Tazewell	9/30/2008			09/30/2010				
8. TN12-021 Huntsville		9/30/2008			09/30/2010		Fungibility '10	
9. TN12-024 Oneida	9/30/2008			09/30/2010				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule**

PHA Name: LaFollette Housing Authority	Grant Type and Number Capital Fund Program No: TN37-PO12-501-06 Replacement Housing Factor No:	Federal FY of Grant: 2006
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Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
10. TN12-037 Helenwood	9/30/2008			09/30/2010			
11. PHA-Wide Management Improvements							
a.	9/30/2008			09/30/2010			
b.	9/30/2008		3/31/2007	09/30/2010			
c.	9/30/2008			09/30/2010			
d.	9/30/2008			09/30/2010			
12. PHA-Wide Administrative Costs							
a.	9/30/2008			09/30/2010			
b.	9/30/2008			09/30/2010			
13. PHA-Wide Fees And Costs							
a.	9/30/2008		3/31/2007	09/30/2010			
b.	9/30/2008		3/31/2007	09/30/2010			
14. PHA-Wide Non- Dwelling Equipment	9/30/2008			09/30/2010			

**STATEMENT OF PROGRESS IN MEETING
5-YEAR PLAN MISSION AND GOALS
LAFOLLETTE HOUSING AUTHORITY**

The LaFollette Housing Authority's goal is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.

The LaFollette Housing Authority mission statement is as follows:

- The LaFollette Housing Authority is committed to providing quality, affordable housing that is decent and safe, to eligible families in this community.
 - We strive to make the best use of all available resources so that our residents may live in an environment that is clean, well-maintained and attractive.
 - Our goal is to manage our public housing units in a manner that is consistent with good, financially sound property management practices.
 - By taking advantage of available community and government resources, we intend to provide our residents with as many opportunities for economic self-sufficiency as we can identify.
 - We endeavor to instill pride and a desire for an enhanced quality of life for our residents and their families.
 - We are committed to serving our residents and their entire community in a manner that demonstrates professional courtesy, respect and caring.
1. The LHA continues to improve the quality of assisted housing in our jurisdiction by renovating our public housing units. Windows have been replaced at TN12-037, rerouting is underway at TN12-013 and TN12-021; we are currently preparing an application for submission to HUD to demolish 8 substandard dwelling units at TN12-024. Major renovations at TN12-003 are scheduled to start in August 2007.
 2. The LHA continues to implement public housing security improvements. Additional security lighting has been installed. The LHA also has a good working relationship with all local law enforcement.
 3. The LHA continues to undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability. The LHA staff has continuing education and training in civil rights, drug elimination activities and equal housing opportunities.
 4. The LHA continues to be a high-performer. It is the intention of the LHA to continuously strive daily to maintain high quality management standards thus providing a better quality of living for all residents. The LHA continues to involve residents in the decision making process through the Resident Advisory Board.

Component 3, (6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. Yes No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
TN012-004	50	135% - very stable neighborhood, many long-time working residents	See attachment tn012a03
TN012-016	30	80% - stable neighborhood	See attachment tn012a03
TN012-025	72	82% - stable neighborhood	See attachment tn012a03
TN012-033	59	126% - stable neighborhood	See attachment tn012a03

PET POLICY

LAFOLLETTE HOUSING AUTHORITY

The LaFollette Housing Authority (LHA) has established guidelines for implementing a Pet Policy. Below is an abbreviated list. A complete Pet Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Written request for pet by Head of Household required.
2. Written approval by LHA prior to housing pet.
3. Resident is required to sign a Pet Lease
4. Definition of household/companion animal established
5. Pets must be controlled by leash, pet carrier or cage at all times. They are not permitted to be outside unattended.
6. One dog or one cat or other allowable pet.
7. Size of dogs –20 lbs. (max.) when fully mature. Animals that assist, support or provide service to person with disabilities are exempt from this requirement.
8. No animals of aggressive disposition permitted.
9. Animals must be spayed or neutered.
10. Residents responsible for animal's proper care.
11. Residents to clean up after pet.
12. No alterations to be made to dwelling unit – inside or outside
13. Registration of pets required.
14. Responsible for supplying names of two adult caretakers, in case of emergency
15. Pet deposit of \$50.00.

IMPLEMENTATION OF PUBLIC HOUSING RESIDENT COMMUNITY SERVICE REQUIREMENTS

LAFOLLETTE HOUSING AUTHORITY

The LaFollette Housing Authority (LHA) has established guidelines for implementing the Community Service Requirement. Below is an abbreviated list. A complete Community Service Policy is available upon request, at the Main Office of the LaFollette Housing Authority.

1. Notification

Resident's file is checked at Re-Certification to see if they are exempt from completing this requirement. If the resident is not exempt, they are given a list of Community Service options.

2. Community Service Options

The list of Community Service options is given as a suggestion. The residents are allowed to do anything that meets the requirement.

3. Community Service Reporting

The LHA gives resident a list of Community Service Options that meet the requirement. Resident is responsible for selecting an activity and fulfilling their eight (8) hour per month requirement. Resident is given a form to be filled out monthly and turned back in to the LHA.

4. Failure to Comply

If Resident fails to comply, they are given an opportunity to enter into an agreement prior to the anniversary date of the lease. If no attempt has been made to fulfill this requirement, the Resident is in violation of their lease and the lease will not eligible for renewal.