

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2007-2011
Annual Plan for Fiscal Year 2007

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan
Agency Identification

PHA Name: HOUSING AUTHORITY OF THE CITY OF UNION, S.C.

PHA Number: SC019

PHA Fiscal Year Beginning: 01/2007

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2007 - 2011
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: The mission of the Housing Authority of the City of Union, South Carolina is to provide quality, affordable housing in a non-discriminatory manner to eligible families in the City of Union and portions of the County of Union.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:

- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

The Housing Authority of the City of Union, SC will strive to achieve a more involved Resident Advisory Board to interact with management and resident families. The PHA will also actively cross-train employees to enable all personnel to better meet the needs of the PHA and its residents.

- PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

To manage the Authority's Section 8 Housing Choice Voucher program in an effective and efficient manner, the PHA will continue to seek means to expand housing choices available to Section 8 participants by attempting to increase the number of landlords willing to participate in this program. We currently have 94 available landlords participating in the program. Our Section 8 waiting list was closed on September 19, 2005, but when open, we advertise daily on the local radio broadcast, frequently in the local newspaper, and we will continue to strive to maintain a utilization rate of at least 98%. Although we are not currently accepting applications for the Section 8 Housing Choice Voucher program, we do have 140 approved families on our list. Based on funding availability, these families will be provided rental assistance as soon as possible. We will increase voucher payment standards as applicable, and we will continue to apply for additional vouchers when available.

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

Due to the limited number of applicants on our public housing waiting list, it is sometimes difficult to alleviate income restraints per individual developments; however, as we have opportunity to correct, we will strive to do so. Our Section 8 participating families have a wide diversity throughout the city and county of Union. Through 2006 Operating Funds, the PHA has initiated a plan to hire off-duty police officers to patrol our Chambers Avenue public housing community during evening/night hours and weekends. We have had several meetings with local police officials to discuss this plan, and we feel this will greatly increase the resident's safety and security, as well as curtail loitering, drugs and other illegal activities in these areas. Other programs which PHA will seek to establish or continue include the following: continue on-site Community Police station, establish Crime Watch Program, encourage more participation from Resident Advisory council, continue Adult Education and after-school homework classes for children, encourage resident participation in Parenting Classes provided by local school system staff, expanding summer reading program for children throughout the year with on-site assistance from the Union County Carnegie Library's Book Mobile in all sites weekly, continue to provide additional vandal-proof street lights in designated areas as needed, and continue to establish partnerships with other local agencies to assist PHA in improving quality of life for all PHA residents. By continuing with 2005 CFP funding, we have been able to remove trees throughout our neighborhoods which has enhanced the safety and security of our residents. We will continue to monitor the situation and will provide additional safety measures as needed, including the proposed installation of speed bumps to slow traffic on some of our more heavily traveled streets and blocking off thru traffic at some intersections. Officials from the City of Union, local Public Safety Department, and other entities which may be impacted are aware of and are contributing to this effort to curtail drug and crime activities in these certain areas. We will continue to promote resident participation in services offered by We Care, Inc. (a program targeting "at-risk" children and youth), Boy Scouts, Girl Scouts, Department of Social Services, Union County Alcohol & Drug Abuse, Health Fair and Screening, etc.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

PHA will work with the Union County Schools system and other agencies to assist residents to receive skill training, achieve high school diploma or GED and job placement; continue partnership with Upstate Workforce Investment

Board to improve training skills for adults and children. Our PHA sponsored the HiTek Learning Program which is a series of lessons designed to help young people build a better financial future by understanding the basic concepts of personal finance, as well as a training course to build self-confidence and motivation that provides academic remediation and training to improve the employability of youth. We plan to continue offering this program to our area youth. PHA will provide facilities and transportation to attract services for residents such as health screening, nutrition education, heating and cooling assistance, and home care through partnership with local agencies such as Council on Aging, Carolina Community Actions and Department of Social Services.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

PHA will continue to emphasize to staff the importance of nondiscriminatory practices, and will solicit assistance from other local agencies in a nondiscriminatory, community-wide manner. Partnerships established with local agencies will provide services to all residents.

Other PHA Goals and Objectives: PHA will affirmatively market to local non-profit agencies providing assistance to individuals with disabilities, and PHA will carry out modifications needed in public housing to assist families with disabilities. In an effort to alleviate the impact of the increasing domestic & sexual violence against women, & to inform survivors of this violence of their housing rights & options, including the ability to obtain & maintain safe & affordable housing, our Agency will work closely in partnership with the local agencies including the Union County Victim's Advocate, Union Co. Department of Social Services, Union Co. Safe Home Rape Crisis, Union Co. Sheriff's Dept. & Union Public Safety Dept. to promote their services & programs designed to enhance victim's safety as provided to children & adult victims of domestic violence, dating violence, sexual assault or stalking. Our Agency's following plans/policies are being amended to include provisions of the Violence Against Women Act: Admissions & Continued Occupancy, Tenant Selection Plan, Section 8 Administrative Plan & Dwelling Lease.

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
 Small Agency (<250 Public Housing Units)
 Administering Section 8 Only

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

PHA goals are to increase interest and participation in Resident Council, establish Community Crime Watch program with resident involvement, instill neighborhood pride, promote communication between residents and management, and to encourage participation by all families in the resident response surveys and in the programs made available to them to improve their quality of life.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- "FINAL" FY 2003 and FY 2004 Capital Fund Program Annual Statements
FY 2005 Capital Fund Program Annual Statement as of 8/30/2005
FY 2006 Capital Fund Program Budget (Awarded 07/18/2006)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2007 Capital Fund Program 5 Year Action Plan (Attachment SC019a01)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (Attachment SC019a02)
- Other (List below, providing each attachment name)
Attachment SC01911 also includes the following documents:
Proposed 2007 Capital Fund Budget (SC16P01950107)
UHA has been approved to participate in the Capital Fund Financing program, pending approval. A budget for this participation is also included.
The following documents are also included as SC019a11:
2003 Capital Fund Annual Statement - FINAL
2004 Capital Fund Annual Statement - FINAL
2005 Capital Fund Annual Statement as of 8/30/2006
Environmental Assessments of all H/A property sites have been prepared in conjunction with proposed CFP programs.

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	A & O Policy	
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency

N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents: (optional) (list individually; use as many lines as necessary) Resident Commissioner Resident Advisory Board & Comments Resident Survey Response Capital Fund Tables Deconcentration Component	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	800	1	1	1	1	1	1
Income >30% but <=50% of AMI	528	1	1	1	1	1	1
Income >50% but <80% of AMI	520	1	1	1	1	1	1
Elderly	444	1	1	1	1	1	1
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Eth-Caucas	925	1	1	1	1	1	1
Race/Eth-Black	884	1	1	1	1	1	1
Race/Eth-Other	26						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year:
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	89		107
Extremely low income <=30% AMI	83	93%	
Very low income (>30% but <=50% AMI)	6	7%	
Low income (>50% but <80% AMI)	0	0	
Families with children	45	51%	
Elderly families	0	0%	
Families with Disabilities	22	25%	
Race/eth-Caucasian	44	50%	
Race/eth-Black	42	47%	

Housing Needs of Families on the Waiting List			
Race/eth-Other	3	3%	
Characteristics by Bedroom Size (Public Housing Only)	PHA BR Size Allocation	BR Size Per Waiting List	
1BR	95	40	
2 BR	84	29	
3 BR	80	16	
4 BR	22	4	
5 BR	6	0	
5+ BR	0	0	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	140		91
Extremely low income <=30% AMI	111	79%	
Very low income (>30% but <=50% AMI)	29	21%	
Low income (>50% but <80% AMI)	0	0	
Families with children	112	80%	
Elderly families	1	1%	

Housing Needs of Families on the Waiting List			
Families with Disabilities	13	9%	
Race/eth-Caucasian	35	25%	
Race/eth-Black	105	75%	
Race/eth-Other	0	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 13 (Since September 19, 2005)			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes- Families displaced by federally declared disasters			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development

- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

NOT APPLICABLE – THERE IS NOT A SHORTAGE OF AVAILABLE, AFFORDABLE HOUSING FOR ALL ELIGIBLE POPULATIONS IN UNION.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

NOT APPLICABLE – THERE IS NOT A SHORTAGE OF AVAILABLE, AFFORDABLE HOUSING FOR ALL ELIGIBLE POPULATIONS IN UNION.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work

Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)
Need: Specific Family Types: Families with Disabilities
ESTABLISH/CONTINUE PARTNERSHIP WITH LOCAL AGENCIES THAT ASSIST THE ELDERLY.

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)
Maintain relationship with local agencies to keep clients informed of programs/services offered by the Housing Authority of Union.

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Our Section 8 waiting list was closed on September 19, 2005. We currently have approximately 140 eligible families on our list. With current funding, we are not able to provide rental assistance to these families at this time. The PHA will plan to apply for additional vouchers when applicable. Also, PHA plans to re-open the waiting list as soon as the current list is reduced; and we can, therefore, expect to provide assistance to eligible families in a timely manner.

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources *Based on FY 2006 funding	Planned \$	Planned Uses
1. Federal Grants (FY 2006 grants)		
a) Public Housing Operating Fund	\$ 444,372	
b) Public Housing Capital Fund	\$ 421,879	
c) HOPE VI Revitalization	-0-	
d) HOPE VI Demolition	-0-	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$ 1,046,999	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	-0-	
g) Resident Opportunity and Self-Sufficiency Grants	-0-	
h) Community Development Block Grant	-0-	
i) HOME	-0-	
Other Federal Grants (list below)	-0-	
Capital Fund Financing Program (Bonds)	1,424,701	Capital Fund Renovations
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$ 183,000	Public Housing General Operations- Administrative & Maintenance
4. Other income (list below)		
Sales & Services	\$ 21,000	PH General Operations
Non-Dwelling Rental	\$ 3,900	PH General Operations
4. Non-federal sources (list below)		
Investment Income-Public Housing	\$ 11,730	PH General Operations
Investment Income-Section 8	\$ 2,800	Sec.8 Tenant Based Administrative Expenses
Total resources	\$ 3,560,381	

Financial Resources: Planned Sources and Uses		
Sources *Based on FY 2006 funding	Planned \$	Planned Uses

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
Eligibility is determined within 1-2 weeks from date of application, with a re-determination within 1-2 weeks from family being offered a unit.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other-Software program- Tenant P.I.- Provides information on credit reports, landlord history, income verification and criminal background checks.

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists

Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
 All PHA development management offices
 Management offices at developments with site-based waiting lists
 At the development to which they would like to apply
 Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Families who are victims of federally declared disasters, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs

- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Families who are victims of federally declared disasters, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- 2 Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- 2 Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: The PHA will strive to meet income targeting requirements from the available pool of applicant families.

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease

- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition?

(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments

- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other – Software program – Tenant P.I.-Provides information on credit reports, landlord history, income verification and criminal background checks.

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity

Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extenuating circumstances such as a need for large number of bedrooms based on family size, disability accessible units, medical emergencies.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Families who are victims of federally declared disasters, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

- 1- Participants in any "special" (non-waiting list) assistance targeted by HUD for families living in specified units;
- 2- Participants displaced because of demolition or disposition of a public or Indian housing project;
- 3- Participants received from another PHA under the Statutory or Regulatory Portability requirements.
- 4- If applicable, applicants willing to participate in the Family Self-Sufficiency program when there are no current program participants willing to enroll in the FSS program;
- 5- Participants who are victims of federally-declared disasters.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- Involuntary Displacement (Families who are Victims of Federally-Declared Disasters, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes

2 Other preference(s) (list below)

- 1- Participants in any "special" (non-waiting list) assistance targeted by HUD for families living in specified units;
- 2- Participants displaced because of demolition or disposition of a public or Indian housing project;
- 3- Participants received from another PHA under the Statutory or Regulatory Portability requirements.
- 4- If applicable, applicants willing to participate in the Family Self Sufficiency program when there are no current program participants willing to enroll in the FSS program.
- 5- Participants who are victims of federally-declared disasters.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: The PHA will strive to meet income targeting requirements from our pool of available applicant families.

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

For certain size units; e.g., larger bedroom sizes

Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

Family must report changes in family composition and/or income at time of change.

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Comparison of local rent reasonableness study and current Fair Market Rent schedule. These "Flat Rents" are reviewed annually at recertification.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 110% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows: The Executive Director serves at will to a seven member Board of Commissioners. The Executive Director supervises five administrative employees and eight maintenance department employees.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	287	90
Section 8 Vouchers	274	60
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program		

(PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

Admissions & Continued Occupancy Policy (includes Equal Housing Opportunity Policy)

Section 8 Administrative Plan (includes Equal Housing Opportunity Policy)

Blood Borne Disease Policy

Capitalization Policy

Community Room Policy

Disposition Policy

Drug Free Workplace policy

Investment Policy

Lease & Grievance Policy

Lease (to include rent collection procedures, inspection procedures)

Maintenance Plan (to include Pest Control Policy, Hazardous Materials Policy)

Pet Policy

Procurement Policy

Community Service Policy

Violence Against Women Act (VAWA)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

1. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statements are provided as an attachment to the PHA Plan at Attachment SC019a011. The Union Housing Authority has also been approved to participate in the Capital Fund Financing Program (CFFP). Information pertaining to this program is also included in this Attachment. Required documents in relation to this application have previously been submitted.

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name SC019a01

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

Revitalization Plan under development

- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (DD/MM/YY)

<p>5. Number of units affected:</p> <p>6. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p>
<p>7. Timeline for activity:</p> <p>a. Actual or projected start date of activity:</p> <p>b. Projected end date of activity:</p>

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
<p>1a. Development name:</p> <p>1b. Development (project) number:</p>
<p>2. Designation type:</p> <p>Occupancy by only the elderly <input type="checkbox"/></p> <p>Occupancy by families with disabilities <input type="checkbox"/></p> <p>Occupancy by only elderly families and families with disabilities <input type="checkbox"/></p>
<p>3. Application status (select one)</p> <p>Approved; included in the PHA’s Designation Plan <input type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>

4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)

4. Status of Conversion Plan (select the statement that best describes the current status)

- Conversion Plan in development
- Conversion Plan submitted to HUD on: (DD/MM/YYYY)
- Conversion Plan approved by HUD on: (DD/MM/YYYY)
- Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- Units addressed in a pending or approved demolition application (date submitted or approved:)
- Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
- Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
- Requirements no longer applicable: vacancy rates are less than 10 percent
- Requirements no longer applicable: site now has less than 300 units
- Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing**

PHA status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

The Housing Authority of Union, South Carolina is designated as a "High Performer".

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Adult Education/Literacy	21	<i>Anyone</i>	<i>PHA Main Office</i>	<i>PH</i>
After School Homework	25	Anyone	PHA Main Office	PH
Buffalo Elementary Parent Training	12	Anyone	PHA Main Office	PH
We Care, Inc.	50	Anyone	H/S Community Room	PH
Boy Scouts	12	Anyone	PHA Main Office	PH
Cub Scouts	11	Anyone	PHA Main Office	PH
Summer Lunch Program	55	Anyone	PHA Main Office/HS Community Room	PH
Teen Pregnancy Prevention	20	Anyone	PHA Main Office	PH
Resident Bingo	30	Anyone	PHA Main Office	PH
Health Screening & Referrals-	53	Anyone	PHA Main Office	PH
Spelling Bee	8	Anyone	PHA Main Office	PH
ABCDE Institute –Training Program	5	Anyone	PHA Main Office	PH
Girl Scouts	42	Anyone	PHA Main Office	PH
Seniors-Spring Fashion Show	13	Age 50+	PHA/Columbia HA	PH

(2) Family Self Sufficiency Program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA’s public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

High Performer – The PHA has reinstated and implemented the Community Service and Self-Sufficiency requirements.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents
(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

The Chambers Avenue area, located in our SC 19-003 development, experiences a larger number of crime and vandalism than the other locations. As indicated on our resident survey, safety and security was the major concern of many residents in the Chambers Avenue/West Henrietta Street area. Over the past few years, the PHA has installed several vandal proof street lights in the designated area. With CFP funding, we have also been able to remove most trees, thereby, increasing the lighting and safety of the residents. The PHA plans to continue installing additional lighting as needed, as well as encourage residents to assist the law enforcement personnel by organizing a Community Crime Watch program. Through 2006 Operating Funds, we have initiated a plan whereby off-duty police officers are being contracted through City of Union Public Safety Department to patrol our property during evening/night hours and weekends, particularly in the Chambers Avenue/West Henrietta Street areas. Also, in conjunction with other City officials, the PHA is planning to block some intersections to stop thru traffic and to limit access to our property. This will also assist police to curtail drug and crime activities and when pursuing offenders. We will also install speed bumps to slow traffic on some of our more heavily traveled streets, providing additional safety for our residents and their children.

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports

- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)
 SC 19-003 (Chambers Avenue/West Henrietta Street)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

PHA will work with local law enforcement personnel and resident council to organize a Community Crime Watch program. Through 2006 operating funding, PHA has contracted through the City of Union Public Safety Department to hire off-duty police officers to work nights and weekends, and we will attempt to have police officers reside in the developments. PHA will also continue to install additional bullet-proof, high intensity lights, as needed, for safety and security of resident families. With CFP funding, we will complete removal of designated trees to provide additional safety and security to residents. PHA is planning to install speed bumps on some of our more heavily traveled streets to slow traffic and to provide additional safety for our residents and their children. We are also planning to block some intersections to limit access to our property. We feel this will curtail drug and crime activities, as well as assisting police when answering calls on our property and pursuing suspects.

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents

- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - Other activities (list below)
2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

The PHA's Pet Policy was adopted on November 7, 2000.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
- 5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - Attached at Attachment SC019@02
 - Provided below:

3. In what manner did the PHA address those comments? (select all that apply)
 - Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)
3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

South Carolina law requires local unit of government to select persons that serve on the PHA's Board of Commissioners. All Commissioners for the Union Housing Authority are appointed by the Mayor and reviewed and approved by City Council members.

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: State of South Carolina
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

The PHA defines “substantial deviation” as follows:

Changes to rent or admissions policies or organization of the waiting list.

Additions of non-emergency work items (not included in the Capital Fund Annual Statement or Five Year Action Plan.)

Any changes to demolition, designation, homeownership programs, or conversion activities.

“Substantial deviations” does not include any changes in HUD regulations or requirements.

Use this section to provide any additional information requested by HUD.

ATTACHMENTS

- ATTACHMENT NO. SC019a01:
2007 CFP and Five-Year Action Plan**
- ATTACHMENT NO. SC019a02:
Comments From Resident Advisory Board (To Be Added)**
- ATTACHMENT NO. SC019a03:
Resident Member of Governing Board**
- ATTACHMENT NO. SC019a04:
Resident Survey Response**
- ATTACHMENT NO. SC019a05:
PHA's Admissions Policy of Deconcentration**
- ATTACHMENT NO. SC019a06:
PHA's Certification of Compliance with the PHA Plans and Related Regulations**
- ATTACHMENT NO. SC019a07:
Certification of Consistency with the Consolidated Plan**
- ATTACHMENT NO. SC019a08:
Certification for Drug-Free Workplace**
- ATTACHMENT NO. SC019a09:
Certification of Payments to Influence Transactions**
- ATTACHMENT NO. SC019a10:
Disclosure of Lobbying Activities**
- ATTACHMENT NO. SC019a11:
Annual Statement/Performance & Evaluation Reports for
Period Ended 9/30/2006:**
 - CFP Grant No. SC16P01950103 (2003)-FINAL (12-31-2005)**
 - CFP Grant No. SC16P01950203 (2003)-FINAL (12-31-2005)**
 - CFP Grant NO. SC16P01950104 (2004)-FINAL (12-31-2005)**
 - CFP Grant NO. SC16P01950105 (2005)**
 - CFFP 2005 (Capital Fund Bond Financing) Budget**
 - CFP Grant NO. SC16P01950106 (2006)**Awarded 07/18/2006**

ATTACHMENT NO. SC019a01

2007 CAPITAL FUND PROGRAM BUDGET AND FIVE YEAR ACTION PLAN

CAPITAL FUND PROGRAM BUDGET

Annual Statement/Performance and Evaluation Report/Original Budget X Revision No. _____

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Union, S. C. 201 Porter Street P.O. Box 664 Union, South Carolina 29379-0664	Grant Type and Number Capital Fund Program Grant No: SC16P01950107 Replacement Housing Factor Grant No:	Federal FY of Grant 2007
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	71,360.00			
10	1460 Dwelling Structures	241,052.12			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1502 Contingency				
19	9000 Capital Fund Borrowing Debt Service				
20	9002 Capital Fund Borrowing Loan Debt Obligation	109,466.88			
21	Amount of Annual Grant: (sum of lines 2 – 20)	421,879.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages – Work Activities

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
SC019-001, 002, 003, & 005	PHA WIDE	8	3%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
BOND PAYMENT			109,467	01/01/2008
A&E FEES			23,000	01/01/2008
OPERATIONS-Maintenance Personnel			44,257	01/01/2008
BOND PAYMENT			109,467	01/01/2009
A&E FEES			12,678	01/01/2009
BOND PAYMENT			109,467	01/01/2010
A&E FEES			22,269	01/01/2010
OPERATIONS-Maintenance Personnel			56,343	01/01/2010
BOND PAYMENT			109,467	01/01/2011
A&E FEES			20,416	01/01/2011
Total estimated cost over next 5 years				

Part II: Supporting Pages – Work Activities

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
SC019-001	19-001	3	3%
Description of Needed Physical Improvements or Management Improvements		Estimated Cost	Planned Start Date (HA Fiscal Year)
Install Cable & Phone Drops in Living Room & Bedroom		17,640	01/01/2009
Install Water Flow Restrictors in Kitchen Sinks, Bathroom Sinks & Shower Heads		1,720	01/01/2009
Relamp All Fixtures With 13 Watt Energy Star Rated Fluorescent Bulbs		5,438	01/01/2009
Replace Base Cabinets in Kitchen Counter Tops, Install New Range Hood, Refinish Other Kitchen Cabinets, Install New Kitchen Sinks		148,800	01/01/2010
Replace Washing Machine Drain Lines, & Install New Outside Faucets.		57,600	01/01/2010
Install New 1.6 Gallon Flush Toilets & New Cast Iron Floor Flange		30,100	01/01/2011
Total estimated cost over next 5 years			

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages – Work Activities

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
SC019-002	19-002	1	2%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Revitalize Community/Rec. Building & Add Office			100,000	01/01/2008
Install Cable & Phone Drops in All Living Rooms & Bedrooms			17,440	01/01/2009
Install Water Flow Restrictors on Kitchen Sinks, Bathroom Sinks, & Shower Heads			1,280	01/01/2009
Relamp all Light Fixtures with 13 Watt Energy Star Rated Fluorescent Bulbs			4,000	01/01/2009
Install New 1.6 Gallon Flush Toilets & New Cast Iron Floor Flange			22,400	01/01/2010
Replace Base Cabinets, Counter Tops, Install New Range Hood, Refinish Existing Cabinets & Install New Kitchen Sinks			114,700	01/01/2011
Replace Washing Machine Drain Lines & Kitchen Sink Drain Lines			44,400	01/01/2011
Total estimated cost over next 5 years				

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages – Work Activities

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
SC019-003	19-003	5	4%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Begin Replacing Roofs			113,805	01/01/2008
Complete Replacing Roofs			90,000	01/01/2009
Install Cable & Phone Drops in Bedrooms and Living Rooms			20,100	01/01/2009
Install Water Flow Restrictors at Kitchen Sinks, Bathroom Sinks & Shower Heads			2,400	01/01/2009
Relamp All Light Fixtures With 13 Watt Energy Star Rated Fluorescent Bulbs			7,500	01/01/2009
Site Improvements			11,796	01/01/2011
Install New 1.6 Gallon Flush Toilets			24,000	01/01/2011
Total estimated cost over next 5 years				

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages – Work Activities

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
SC019-005	19-005 (Hunt Drive Apts.)	0	0%	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Site Improvements-Remove & Resurface Roadway			31,350	01/01/2008
Install Cable & Phone Drops in All Bedrooms & Living Rooms			3,300	01/01/2009
Install Water Flow Restrictors On Kitchen Sinks, Bathroom Sinks & Shower Heads			340	01/01/2009
Relamp All Light Fixtures With 13 Watt Energy Star Rated Fluorescent Bulbs			1,190	01/01/2009
Replace Counter Tops & Kitchen Sinks			13,600	01/01/2011
Install 1.6 Gallon Flush Toilets			3,400	01/01/2011
Total estimated cost over next 5 years				

ATTACHMENT SC019a02: RESIDENT ADVISORY BOARD

Mattie Young.....207 Tillman Street
Carol Dangerfield.....106 Columbus Street
Walletta Epps.....206 Porter Street
Kathy Norman111 New Street
Veronica Harris..... 130 Horseshoe Circle
Kay Frances Young.....135 Horseshoe Circle
Albert Sanders, Jr.104-A Cogdell Plaza
Bennie Starks.....100-C Tiger Court
Lisa Riley.....106-C Cogdell Plaza
Shelly Smith.....Apt. #5 Hunt Drive Apts.
Sarah Stewart.....Apt. # 11 Hunt Drive Apts.

The Resident Advisory Board reviewed the 2007 Annual & Five-Year Action Plan and discussed the proposed work items and planned actions with reference to the Capital Fund Program. Resident comments and concerns are as follows:

- 1- Residents are in favor of installation of air conditioning and planned renovations that must be completed prior to the A/C installation.**
- 2- Residents were pleased with site improvements that have been made, as well as those ongoing. Residents reported the installation of vandal proof, high intensity streetlights and removal of trees have greatly improved their sense of safety. These items have helped reduce loitering and vandalism. Residents will inform management as to any additional areas where lighting may prove beneficial.**
- 3- Residents were pleased with Authority's ongoing and proposed efforts to curtail crime. Extra patrol by off-duty officers on weekends, as well as the proposed plans to block some intersections and reduce vehicle traffic speeds, were appreciated. H/A is continuing to work out details of these two items with local officials.**
- 4- Residents expressed satisfaction with services and programs offered. H/A management encouraged RAB members to encourage other resident families to utilize the services and programs offered.**
- 5- The Resident Advisory Board members were commended for their participation and were urged to encourage other residents from each development to become involved, to establish better communications with management, and to provide input of their suggestions, concerns, comments or complaint.**

Following discussion, members were in agreement with the provisions of the Plans and no changes were necessary to the Annual & Five-Year Plans.

ATTACHMENT SC019a03: Resident Member on the PHA Governing Board

The Housing Authority of Union, South Carolina has one member of the Governing Board who is directly assisted by the PHA, Mrs. Minnie McIver. Mrs. McIver was appointed by the Mayor of the City of Union, Mr. Bruce Morgan. Her term of appointment as a Governing Board member will expire on December 21, 2006.

ATTACHMENT SC019a04: RESIDENT SURVEY RESPONSE

The Housing Authority of Union, South Carolina has made various site improvements to the property, utilizing both Capital Funds and the Authority's operating funds. These site improvements include removal of trees, installation of vandal-proof, high intensity street lights, playground equipment, making playground areas handicapped accessible. Additional site work items were completed through the 2003/2004 CFP, including correction of erosion problems and repair to some walkways in the SC 19-003 development. Additional site improvements are being completed with the 2005 CFP funding. Improvements to dwelling units, including installation of air conditioning, replacement of windows, screens, and screen doors are planned, through CFP programs and the Capital Fund Financing Program.

The UHA has strived diligently to control the problems of trash, broken glass, litter, etc. throughout our developments. Trash bags are furnished at no cost to residents. On a daily basis, our maintenance staff picks up around all dumpsters and surrounding areas for trash not placed in receptacles. Several residents have opted to meet their community service requirements through neighborhood cleanup.

We have an on-site community police office and since it is manned part-time, we have encouraged officers to take their breaks and complete paperwork there. 911 reports are reviewed weekly with Police and Management. Resident interest in forming a neighborhood Crime Watch program has been very low. Resident safety and security is of utmost importance. Over the past several years, the UHA has installed vandal-proof, high-intensity street lights and removed numerous trees to provide extra lighting to reduce crime, and to assist police who are patrolling the area. With 2006 Operating Funds, the UHA will hire off duty police officers to patrol our property, especially in the Chambers Avenue/West Henrietta Street area during evening/night hours and weekends. We feel this will greatly increase the resident's safety and security, as well as curtail loitering, drugs and other crime activities. We are also planning to install speed bumps on some of our more heavily traveled street to slow traffic, providing additional safety for residents and their children. We are also planning to block some intersections to limit access to our property, which we feel will curtail drug and crime activities, as well as assisting police when answering calls on our property. Residents are encouraged to take interest and become more active in the Resident Advisory Board, attend monthly Board meetings, participate more in HUD's surveys, and to increase communication between residents and management.

In 2003, the UHA Resident Services Coordinator, along with individuals from the local community, established UHA-sponsored Cub and Boy Scout troops. These are ongoing with approximately 32 boys participating. During 2004, she has organized a Girl Scout troop, involving approximately 45 girls from our area. These groups are ongoing and are continuing with good participation. Among the other on-going programs which are being offered to residents include the following: Adult Education/Literacy, After School Homework sessions, Health Screening (including free mammograms, prostate screening and HIV screening), Heating & Cooling Assistance, Teen Pregnancy Prevention, Resident Bingo, and We Care (a program for at-risk youth).

Children from UHA also participated in the Summer Lunch program, the ABCDE Institute training for youth, and UHA hosted the annual Spelling Bee, sponsored the

Resident Initiative Coordinators group. A group of our senior residents attended and participated in the Spring Fling Fashion Show in Columbia, S.C. in 2006.

When residents experience problems of excessive noise, loitering, etc., these issues are addressed on an individual basis. UHA management has a good working relationship with local police department, and if there are complaints involving non-residents, trespassing notices are served, posted and enforced. In the past, Resident Advisory Board members have requested that UHA management contact local City officials to determine possibility of establishing curfews to help deter nuisance and crime within our premises. This has been discussed with local officials, but no curfew has been established at this time. UHA management will continue to follow up on this matter to determine if this a possible option to the residents' concerns. If disturbances involve residents and no viable solution can be reached, corrective legal measures will be pursued.

Monthly exterminating services are provided to all resident households, as well as annual termite inspection and treatment, as needed. Call back service is provided when needed. UHA also provides emergency maintenance call back for after hours and weekends/holidays to meet the residents' needs. We feel our current services are meeting the needs of our resident families.

ATTACHMENT SC019A05 - DECONCENTRATION

HOUSING AUTHORITY OF UNION'S STATEMENT FOR MEETING DECONCENTRATION GOALS OF CURRENT FIVE-YEAR PLAN

The admission policy of the Housing Authority of Union, S.C. is designed to provide for de-concentration of poverty and income mixing by leasing higher income families into lower income projects and leasing lower income families into higher income projects. Income limits for admission and income mixing will be based on the family's gross annual income. To skip a family on the waiting list in order to reach another family with a higher or lower income, as needed, will not be considered an adverse action to the family.

At the present time, the Union Housing Authority is able to meet the de-concentration guidelines. Due to the limited number of eligible families on the public housing waiting list, the Union Housing Authority is limited in its ability to restrict tenant selection. However, we will continue to strive to meet and maintain the UHA's de-concentration goals.

The Union Housing Authority will gather and evaluate income data from residents of its public housing developments at least annually to assist in determining the Authority's de-concentration status and to meet its goals.

ATTACHMENT NO. SC019a11

**ANNUAL STATEMENT/PERFORMANCE & EVALUATION REPORTS FOR
PERIOD ENDED 09/30/2006:**

CFP Grant No. SC16P01950103 (2003) - FINAL – As Of 12/31/2005
CFP Grant No. SC16P01950203 (2003) - FINAL - As Of 12/31/2005
CFP Grant No. SC16P01950104 (2004) - FINAL - As Of 12/31/2005
CFP Grant No. SC16P01950105 (2005)
CFFP –CAPITAL FUND FINANCING (BONDS) BUDGET
CFP Grant No. SC16P01950106 (2006)

**Annual Statement/Performance and Evaluation Report –“FINAL”-Submitted to HUD 1/18/2006
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of Union, S.C.	Grant Type and Number Capital Fund Program Grant No: SC16P01950103 Replacement Housing Factor Grant No:	Federal FY of Grant 2003 - “FINAL”
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:12/31/2005 Final Performance and Evaluation Report “FINAL”

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	1,500	1,406	1,406.00	1,406.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,000	30,000	30,000.00	30,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	10,000	10,000	10,000.00	10,000.00
10	1460 Dwelling Structures	336,876	329,802	329,802.00	329,802.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures		7,168	7,168.00	7,168.00
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	378,376	378,376	378,376.00	378,376.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Union, S.C.		Grant Type and Number Capital Fund Program Grant No: SC16P01950103 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003 " FINAL"			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA WIDE	Advertising, Telephone, Postage & Administrative Expense	1410		1,500	1,406	1,406.00	1,406.00	
PHA WIDE	A& E Fees	1430		30,000	30,000	30,000.00	30,000.00	
SC 19-001	Site Improvements	1450		10,000	-0-	-0-	-0-	
	Begin Replacing Roofs	1460	86 DU	38,376	-0-			
SC 19-002	Replace Roofs(36 Bldgs./64 DU)	1460	36 Bldgs.	166,500	182,624	182,624.00	182,624.00	
	Install Security Screen Doors-64 DU/1 Community Rec.Bldg	1460	37 Bldgs.	32,000	-0-			
	Replace Roof-Community Rec. Bldg.	1470	1 Bldg.	-0-	7,168	7,168.00	7,168.00	
SC 19-003	Site Improvements	1450		10,000	10,000	10,000.00	10,000.00	
	Replace Roofs-2 Story Buildings (10 Dwelling Units/5 Bldgs.)	1460	5 Bldgs.	100,000	147,178	147,178.00	147,178.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: Housing Authority of Union, S.C.		Grant Type and Number Capital Fund Program No: SC16P01950103 Replacement Housing Factor No:				Federal FY of Grant: 2003 "FINAL"	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA WIDE	09/16/2005	N/A		09/16/2007	N/A		
SC 19-001	09/16/2005			09/16/2007			
SC 19-002	09/16/2005			09/16/2007			
SC 19-003	09/16/2005			09/16/2007			

**Annual Statement/Performance and Evaluation Report – “FINAL”- Submitted to HUD 1/18/2006
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: Housing Authority of Union, S.C.

Grant Type and Number

Capital Fund Program Grant No: SC16P01950203
Replacement Housing Factor Grant No:

**Federal FY of Grant
2003 “FINAL”**

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:12/31/2005 Final Performance and Evaluation Report “FINAL”

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	70,408	75,408	75,408.00	75,408.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	5,000	-0-		
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	75,408	75,408	75,408.00	75,408.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Union, S.C.		Grant Type and Number Capital Fund Program Grant No: SC16P01950203 Replacement Housing Factor Grant No:				Federal FY of Grant: 2003 "FINAL"		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
SC 19-001	Continue Roof Replacement	1460	86 DU	59,908	-0-			
SC 19-002	Complete Roof Replacement	1460	36 Bldg.	10,500	75,408	75,408.00	75,408.00	
	Replace Roof-Community Rec. Bldg.	1470	1 Bldg.	5,000	-0-			

Annual Statement/Performance and Evaluation Report – “FINAL”- Submitted to HUD 1/18/2006
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Union, S.C.	Grant Type and Number Capital Fund Program Grant No.: SC16P01950104 Replacement Housing Factor Grant No.	Federal FY of Grant 2004
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 12/31/2005 Final Performance and Evaluation Report “FINAL”

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	1,500	520	520.00	520.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	30,000	30,000	30,000.00	30,000.00
8	1440 Site Acquisition				
9	1450 Site Improvement	75,000	75,000	75,000.00	75,000.00
10	1460 Dwelling Structures	317,228	318,208	318,208.00	318,208.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	14,470	14,470	14,470.00	14,470.00
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	438,198	438,198	438,198.00	438,198.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

CAPITAL FUND PROGRAM BUDGET

Annual Statement/Performance and Evaluation Report Original Budget Revision No.

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Union, S. C. 201 Porter Street P.O. Box 664 Union, South Carolina 29379-0664	Grant Type and Number Capital Fund Program Grant No: SC16P01950105 Replacement Housing Factor Grant No:	Federal FY of Grant 2005
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:09/30/2006
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		48,288	48,288.00	39,234.00
8	1440 Site Acquisition				
9	1450 Site Improvement	37,140.00	38,790	38,790.00	36,025.00
10	1460 Dwelling Structures	276,854.00	275,204.12		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1502 Contingency				
19	9000 Capital Fund Borrowing Debt Service	.12			
20	9002 Capital Fund Borrowing Loan Debt Obligation	109,466.88	109,466.88	109,466.88	27,366.72
21	Amount of Annual Grant: (sum of lines 2 – 20)	471,749.00	471,749.00	196,544.88	102,625.72
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

CAPITAL FUND FINANCING PROGRAM-(CFPP BORROWING PROGRAM BUDGET)

Annual Statement/Performance and Evaluation Report/Original Budget X Revision No. _____

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Union, S. C. 201 Porter Street P.O. Box 664 Union, South Carolina 29379-0664	Grant Type and Number Capital Fund Financing Program <u> X </u> Replacement Housing Factor Grant No:	Federal FY of Grant 2005
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: 09/30/2006
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	105,161		105,161.00	81,943.40
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	1,176,989			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1501 Collateralization or Debt Service	125,551			
18	1502 Contingency	17,000			
19	9000 Capital Fund Borrowing Debt Service				
20	9002 Capital Fund Borrowing Loan Debt Obligation				
21	Amount of Annual Grant: (sum of lines 2 – 20)	1,424,701		105,161.00	81,943.40
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

CAPITAL FUND PROGRAM BUDGET

Annual Statement/Performance and Evaluation Report X **Original Budget** **Revision No.**

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Union, S. C. 201 Porter Street P.O. Box 664 Union, South Carolina 29379-0664	Grant Type and Number Capital Fund Program Grant No: SC16P01950106 Replacement Housing Factor Grant No:	Federal FY of Grant 2006
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Original Annual Statement
 Reserve for Disasters/ Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:09/30/2006
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	12,072.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	300,340.12			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1502 Contingency				
19	9000 Capital Fund Borrowing Debt Service				
20	9002 Capital Fund Borrowing Loan Debt Obligation	109,466.88			
21	Amount of Annual Grant: (sum of lines 2 – 20)	421,879.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

