OMB Approval No: 2577-0226
Expires: 08/31/2009

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2007

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan
Agency Identification

PHA Name: Municipal Housing Authority of the City of Utica, New York
PHA Number: NY006

PHA Fiscal Year Beginning: (mm/yyyy) 07/2007

PHA Programs Administered:

☒**Public Housing and Section 8** ☐**Section 8 Only** ☐**Public Housing Only**
Number of public housing units: Number of S8 units: Number of public housing units:
Number of S8 units:

☐PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices
☐ Main administrative office of the local government
☐ Main administrative office of the County government
☐ Main administrative office of the State government
☐ Public library
☐ PHA website
☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

☒ Main business office of the PHA

☐ PHA development management offices

☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

☒ The PHA's mission is: (state mission here)

The mission of the Utica Municipal Housing Authority of the City of Utica, New York (UMHA) is to provide decent, safe and affordable housing for all low-income residents of Utica through development, management, and sale of housing and related projects and programs.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS**. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers:
- ☒ Reduce public housing vacancies:
- ☒ Leverage private or other public funds to create additional housing opportunities:
- ☒ Acquire or build units or developments
- ☐ Other (list below)

☐ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score)
- ☒ Improve voucher management: (SEMAP score)
- ☒ Increase customer satisfaction:

- ☒ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units:
- ☒ Demolish or dispose of obsolete public housing:
- ☒ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

☒ PHA Goal: Increase assisted housing choices

Objectives:

- ☒ Provide voucher mobility counseling:
- ☒ Conduct outreach efforts to potential voucher landlords
- ☒ Increase voucher payment standards
- ☒ Implement voucher homeownership program:
- ☒ Implement public housing or other homeownership programs:
- ☒ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☒ Implement public housing security improvements: Increase visibility of security personnel at senior/disabled developments
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☒ Increase the number and percentage of employed persons in assisted families:

- ☒ Provide or attract supportive services to improve assistance recipients' employability:
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:

- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

GOAL: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING PROGRAM IN AN EFFICIENT AND EFFECTIVE MANNER

Objectives:

1. **The Municipal Housing Authority of the City of Utica is changing its operations to utilize asset management, project-based accounting and performance budgeting in order to survive worsening subsidy cuts.**

2. **The Municipal Housing Authority of the City of Utica will attempt to maintain standard performance status under PHAS and an appropriate level of service to public housing residents in the face of worsening subsidy cuts.**

GOAL: EXPAND THE RANGE AND QUALITY OF HOUSING CHOICES AVAILABLE TO PARTICIPATE IN OUR TENANT-BASED RENT SUBSIDY PROGRAM

Objectives:

1. **The Municipal Housing Authority of the City of Utica shall strive to maintain a high Section 8 lease-up rate within current financial constraints of the Voucher program.**

2. **The Municipal Housing Authority of the City of Utica shall attract ten (10) new landlords who want to participate in the tenant-based assistance program. This objective will be accomplished by June 30, 2009.**

GOAL: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S TENANT-BASED RENT SUBSIDY PROGRAMS IN AN EFFICIENT AND EFFECTIVE MANNER

Objectives:

1. **The Municipal Housing Authority of the City of Utica shall maintain high performer status under SEMAP for each fiscal year through June 30, 2009.**

GOAL: MAINTAIN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PROPERTIES IN A DECENT CONDITION

Objective:

1. **The Municipal Housing Authority of the City of Utica will continue to deliver timely and high quality maintenance services to the residents.**

2. **The Municipal Housing Authority of the City of Utica will continue to maintain an appealing, up to date environment it its developments using available funds.**

GOAL: IMPROVE ACCESS OF PUBLIC HOUSING RESIDENTS TO SERVICES THAT SUPPORT ECONOMIC OPPORTUNITY AND QUALITY OF LIFE

Objectives:

1. **The Housing Authority will apply for appropriate HUD grants as they become available through the NOFA process.**

2. **In consideration of current and pending budget cutbacks, strive to maintain appropriate levels of resident programs and services.**

GOAL: PROVIDE A SAFE AND SECURE ENVIRONMENT IN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING DEVELOPMENTS

Objectives:

The Municipal Housing Authority of the City of Utica shall strive to provide a greater visibility of security personnel at the elderly/disabled housing sites.

Annual PHA Plan
PHA Fiscal Year 07/01/2007 – 06/30/2008
[24 CFR Part 903.7]

i. Annual Plan Type:
Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan
[24 CFR Part 903.7 9 (r)]
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Municipal Housing Authority of the City of Utica, New York (UMHA) has prepared this Agency Plan in compliance with the Quality Housing and Work Responsibility Act (QHWRA) of 1998 and related HUD requirements. The plan was developed with the input of residents of public housing and the public. Two meetings of our Resident Advisory Board were held to discuss the plan. The UMHA provided a 45-day public review period and held a public hearing for residents and the public to comment on the draft plan. In addition, the public had an opportunity to submit written comments on the draft plan. Finally, a public hearing was held to gather input on the plan prior to submission to HUD.

The final Agency plan will be available for public review at the Authority's main office. Copies of the final plan will also be available at each development's Management Office and have been provided to the Resident Advisory Board. A copy of the final plan will be available on the UMHA's web page, which is located at www.uticamha.org.

We have adopted the following mission statement to guide the activities of the Municipal Housing Authority of the City of Utica:

The mission of the Municipal Housing Authority of the City of Utica, New York is to provide decent, safe and affordable housing for all low-income residents of Utica through development, management, and sale of housing and related projects and programs.

In an effort to achieve our stated mission, we have established relevant goals and objectives for the Housing Authority's Five-Year Plan for the period 2005 – 2009. Those goals and objectives are listed on pages 1 through 5 of the Five-Year Plan located in the previous section.

Our Annual Plan is based on the premise that if we accomplish our goals and objectives we will be working towards the achievement of our mission. The plans, statements, budget summary, policies, etc. set forth in the Annual Plan all lead towards the accomplishment of our goals and objectives and are consistent with the Consolidated Plan for the City of Utica.

The Municipal Housing Authority of the City of Utica promotes adequate and affordable housing, economic opportunity, and a suitable living environment for the families that we serve, without discrimination.

The Housing Authority continues to meet or exceed established industry standards in the areas of asset management, maintenance, fiscal responsibility and development. We have implemented some innovative programs that have served to further improve our service delivery as a result of asset management.

The ever-decreasing federal funds create obstacles that make strategic planning even more vital if the Authority is to continue in the achievement of its overall mission. Our primary goal is the provision of safe and affordable housing. In partnership with the City of Utica, our HOPE VI Revitalization initiative helps to serve as the catalyst in revitalizing neighborhoods, and improve the condition and availability of affordable housing in the City of Utica. In tandem with other Authority programs, the housing needs of Utica's low-income residents will continue to be addressed.

Following are a few components of our Annual Plan that are either not mentioned elsewhere in the Plan Template or that warrant further reference:

SUMMARY OF POLICY CHANGES

We have made the following changes to our policies based on changes in statutes and/or HUD regulations that have occurred in the past year.

- ***ADMISSIONS AND CONTINUED OCCUPANCY POLICY:***

  The UMHA intends to amend its ACOP plan to include the Violence Against Women Act provisions.

- ***SECTION 8 PROGRAM ADMINISTRATIVE PLAN:***

  Adopted policy alterations honoring the Violence Against Women Act (VAWA).

In addition we are making the following discretionary changes to public housing in response to operating budget regulatory changes:

- ***PUBLIC HOUSING PROPERTY GROUPINGS:***

By resolution of the UMHA Board, public housing subsidized buildings have been grouped into four (4) cost centers. An update to UMHA's transition to site-based management is provided on Page 6 under the heading, "Redesignation of Housing Authority Development Sites."

NEW 2007 INITIATIVES

Domestic Violence Requirements

The UMHA will continue with its efforts to be responsive to the needs of victims of domestic violence (VDV) applying for or residing in public housing while balancing the need to manage the availability of a scarce housing resource. Victims of Domestic Violence are provided with a preference for public housing residency. The 2005 Violence Against Women Act has housing implications that may impact UMHA and require additional modifications to our policies and procedures. UMHA must await HUD issuance of effectuating regulations before taking further action.

Funding Shortfalls

UMHA's federal operating subsidy has not been fully funded since FY 2002. Additionally, the national Public Housing Capital Fund allocation continues to decline.

In 1998, Congress mandated that HUD work with the public housing industry to create a new formula for allocating operating subsidies. The final rule on the new formula was issued in September 2005 and HUD guidance was issued in March 2006. The new formula includes a requirement that the UMHA use property-based budgeting, accounting and management (also called "asset management") or be subject to a significant reduction in subsidy.

In order to maintain its financial stability, UMHA will request that HUD provide relief from unfunded mandates such as Community Service, streamline federal rules and regulations, provide relief from nonessential administrative costs and authorize UMHA to combine all federal funding streams (public housing and Section 8) for maximum financial flexibility.

The UMHA has witnessed a decline in the number of its Section 8 vouchers. It will submit proposals to New York State Division of Housing and Community Renewal and other funders to request that unused vouchers be transferred to the UMHA.

The Housing Authority is considering incorporating a separate tax-exempt nonprofit corporation which can qualify as a recipient of grants, gifts, and contributions. This new corporation is integral to our strategy of increasing funding and diversifying our donor base. The corporation may serve as a conduit for the funding of Housing Authority initiatives and provide opportunities to create new programs and services for

local residents. Through this corporation, the Housing Authority management and Board of Commissioners will have a corporate entity in place that can apply for new funding streams from government agencies, foundations, and corporations which normally would not be available to housing authorities.

Limited English Proficiency Requirements

Executive Order 13166, signed on August 11, 2000, directs all federal agencies, including the Department of Housing and Urban Development (HUD), to work to ensure that programs receiving federal financial assistance provide meaningful access to Limited English Proficient (LEP) persons. The UMHA is committed to ensuring compliance with its Limited English Proficiency obligations and is requesting further HUD guidance on this matter.

Expanding Entrepreneurial Capacity

The UMHA is committed to maximizing our effectiveness in achieving our mission by making the best use of our real estate assets, diversifying funding, developing partnerships, expanding our entrepreneurial capabilities and reducing dependence on federal funding. The UMHA will continue to renovate and fully develop our public housing properties to improve management cost-effectiveness and competitiveness in the low-income housing market, strengthen communities and broaden affordable housing options in the City of Utica. We will explore using bonding, tax credits, and other funding mechanisms to revitalize our public housing stock in order to comprehensively address long-term maintenance needs.

During the next fiscal year, the UMHA will undertake revenue generating partnerships, programs and entrepreneurial activities. It will pursue strategic acquisition of residential properties for rehabilitation, repositioning and resale with income restrictions in order to produce revenue for cross-subsidy of low-income housing.

The UMHA will implement fund development strategies to support our resident and community service programs and reduce reliance on federal support of these programs. These programs are vital to our mission of providing affordable housing. Resident service programs foster resident self-sufficiency, increase rent revenue, reduce vandalism costs, and lower tenant turnover.

SUMMARY OF PROGRAM HIGHLIGHTS

In April 2003, the Utica Municipal Housing Authority (UMHA) was approved for a grant of $11,501,039 from the United States Department of Housing and Urban Development (HUD) to demolish the Washington Courts development and provide replacement rental and homeownership housing.

With the HOPE VI Grant award, the UMHA has relocated all residents of the Washington Courts public housing complex in compliance with all regulatory statutes. The Washington Courts property has been demolished and has been transferred to the City of Utica for economic development purposes. As of March 1, 2007, a total of 109 rental and 18 homeownership units have been developed. At least an additional 21 homeownership units are planned to be developed.

In March 2007, the UMHA submitted an amended HOPE VI Revitalization Plan to adjust the number of planned homeownership units. We anticipate that HUD will provide a response to our plan by May 1, 2007.

Due to increases in construction costs, it is not feasible to develop the original number of planned units. Instead of creating 194 replacement units, the UMHA requested to develop a total of 149 rental and homeownership units.

Our HOPE VI application called for the development of 24 lease to purchase units. The UMHA has determined that these types of units are not suitable for development in our area because of the low sales prices of existing homes and the length of time needed to transfer these units to homeownership.

The HOPE VI Project consists of the following phases:

Phase I - Kembleton consists of 27 non-ACC rental units which were primarily financed by Low Income Housing Tax Credit (LIHTC) equity through our HOPE VI rental developer, Housing Visions Consultants, Inc. Construction was completed in January 2004. Full occupancy was attained in November 2004.

Phase II - Steuben Village consists of 49 units of residential rental housing which was developed on scattered in-fill sites in the Cornhill neighborhood. All 49 units are LIHTC and 25 are public housing (ACC). The project was completed in December 2004.

Phase III - Rutger Manor consists of 33 units. Eleven buildings were newly constructed and one existing structure was substantially rehabbed. Of the 33 units developed, 21 are to be public housing (ACC) units and 8 HOME units. All units will have Low Income Housing Tax Credits. Construction was completed in December 2006. The closing is expected to be completed by July 2007. Leasing is expected to be completed by December 2007.

Phase IV - Oneida Homes consist of 40 single-family homeownership units constructed within the HOPE VI development area. All the units will be intended for fee-simple sale. To date, 18 homes have been developed. Financing for Phase IV is provided by the Federal Home Loan Bank of New York, the City of Utica HOME

Program, New York State Division of Housing and Community Renewal, private bank mortgages and construction loans, homeowner equity, and HOPE VI funds. Oneida Homes consists of four subphases: A – 11 units (completed); B – 8 units (nearing completion); C – 12 units; and D- 9 units. The precise number of units will be contingent upon final development costs and available financing.

Our original HOPE VI application called for the development over a ten-year period of the Cornhill Commons - a major community asset containing a new elementary school, a community center, and recreational facilities. The UMHA was committed to realizing the Cornhill Commons concept, but had to recognize the financing challenges involved in making it a reality. Although we will continue to support efforts of the Utica City School District, the Cosmopolitan Center, and St. Paul's Baptist Church to establish a hub for community activity, we concur with HUD that our major priority should be to meet HOPE VI housing production unit goals. Therefore, the UMHA shall undertake only those activities related to housing production.

- ***RE-DESIGNATION OF HOUSING AUTHORITY DEVELOPMENT SITES:***

  The Utica Municipal Housing Authority has started the transition to Site Based Asset Management. The Authority's existing developments have been re-designated to become a cluster of four (4) property groups for the purposes of project-based accounting and management. The decision on which developments to group together was based on the following factors: Location (contiguous sites); elderly sites versus family sites; HOPE VI/Mixed-Finance development, etc.

  In terms of financial management, UMHA's income/expense ledger have been broken down for each development. UMHA's goal is to create a breakdown of its balance sheet for each development.

  In Phase I of the transition to asset management, maintenance supervisory personnel have each been assigned to a specific property group. Each has been assigned the responsibility, and the authority to carry out that responsibility, for the direct accountability of the maintenance of that property group.

  In 2006, materials inventory have been moved from UMHA's central warehouse to individual warehouses at each site.

  Phase II will transition in site management functions. When fully implemented, there will be one Asset Manager for public housing. That position will be charged with the responsibility and accountability for the property management services of all property groups. Supporting management staff will have an increased level of responsibility including supervision of site maintenance, security and related management functions.

- ***REPLACEMENT HOUSING FACTOR FUNDS:***

  The UMHA continues to use Replacement Housing Factor Funds (RHF) for the purpose of developing single-family fee simple homes as outlined in the Authority's Section 32 Homeownership Plan (Section 32 of the USHA of 1937 enabling legislation). Homes are sold to income eligible public housing and non-public housing residents.

  First and Second Increment funding enabled the construction of two homes in Cornhill and one home in East Utica. Third Increment funding as well as City of Utica HOME funding assisted in the financing of the construction of eight homes in West Utica.

  As funds permit, future plans include construction of additional single-family homes in the City of Utica. The UMHA intends to use program income generated from the mortgage proceeds of the homes developed using First, Second, and Third Increments to construct additional homes for low-income families.

- ***OTHER HOUSING PROGRAMS:***

  Through funding provided by the New York State Division of Housing & Community Renewal, UMHA has acquired a property at 819 Hamilton Street, Utica, consisting of nine (9) studio apartments. The Authority is in the process of renovating the units. Project-Based Housing Choice vouchers are provided by Mohawk Valley Community Action, Inc. to ensure that the project has a consistent rent stream. Units will be targeted to households with incomes below 60% of area median income.

  The New York State Housing Trust Fund Corporation has granted permission to the UMHA to end its mortgage and regulatory agreement commitment in connection with the property located at 164-166 Eagle Street, Utica. This will enable the Authority to either demolish this vacant six-unit apartment building or transfer it to another entity. The regulatory agreement obligations will be transferred to a HOPE VI affordable housing site.

  The Utica Municipal Housing Authority and its nonprofit developer has received funding from the County of Oneida, Empire State Development, and the New York State Division of Housing and Community Renewal to implement a home improvement program targeted to the residents of the HOPE VI Target Area. This program will safeguard the public investment in the HOPE VI program by ensuring that adjacent properties are improved and maintained.

- ***ENERGY PERFORMANCE CONTRACTING:***

  On January 27, 2006 the Municipal Housing Authority of the City of Utica received preliminary approval from the U.S. Department of Housing & Urban Development (HUD) to perform over three (3) million dollars in energy conservation measures Authority wide. This agreement if executed would conserve $315, 550 a year in energy costs. Additionally, this agreement would benefit the Authority financially by approximately $1,891,504 in cost avoidance and shared energy savings.

  The Authority Board of Commissioner's approval to proceed with the Energy Performance Contract work is contingent upon final approval by HUD. The Authority has selected an architectural/engineering firm for design, bidding and implementation services. The physical work may commence as soon as spring/summer 2007 with completion of all work occurring in approximately 18 to 24 months.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Admissions Policy for Deconcentration (**Attachment A)**
- ☒ FY 2007 Capital Fund Program Annual Statement (**Attachment B)**
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- ☒ List of Resident Advisory Board Members (**Attachment C)**
- ☒ List of Resident Board Member (**Attachment D)**
- ☒ Community Service Description of Implementation (**Attachment E)**
- ☒ Information on Pet Policy (**Attachment F)**
- ☒ Section 8 Homeownership Capacity Statement, if applicable

☒ Description of Homeownership Programs, if applicable **(Attachment G)**

Optional Attachments:
☐ PHA Management Organizational Chart
☒ FY 2007 Capital Fund Program 5 Year Action Plan (**Attachment H)**
☐ Public Housing Drug Elimination Program (PHDEP) Plan
☒ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (**Attachment I)**
☒ Other (List below, providing each attachment name

Attachment J: Capital Fund Program FY 2007 RHF Statement
Attachment K: Capital Fund Program FY 2006 P & E Report
Attachment L: Capital Fund Program FY 2006 RHF Statement
Attachment M: Capital Fund Program FY 2005 P & E Report
Attachment N: Capital Fund Program FY 2005 RHF Statement
Attachment O: Capital Fund Program FY 2004 RHF Statement
Attachment P: Capital Fund Program FY 2004 P & E Report
Attachment Q: Capital Fund Program FY 2003(2) P & E Report
Attachment R: Capital Fund Program FY 2003 P & E Report
Attachment S: Capital Fund Program FY 2003 RHF Statement
Attachment T: Statement of Progress in Meeting Goals and Objectives
Attachment U: Assessment of Site-Based Waiting List

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/*99 Quality Housing and Work Responsibility Act Initial Guidance; Notice* and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents ☒ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development ☐ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies ☐ check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures ☒ check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures ☐ check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
NA	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
NA	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
NA	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program ☒ check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
NA	The most recent Public Housing Drug Elimination Program (PHEDEP) semi- annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) (list individually; use as many lines as necessary) Deconcentration/Income Mixing Documenentation Master Development Agreement with Housing Visions Consultants, Inc. (HOPE VI)	(specify as needed) ACOP/Annual Plan

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	3,334	5	5	4	4	4	3
Income >30% but <=50% of AMI	1,755	4	4	3	4	4	4
Income >50% but <80% of AMI	466	3	4	2	3	3	3
Elderly	1,200	4	2	2	3	3	3
Families with Disabilities	1,985	4	4	3	5	3	4
Race/Ethnicity White – Non-Hispanic	9,110	3	3	4	4	3	4
Race/Ethnicity Black – Non-Hispanic	2,173	3	3	4	4	3	4
Race/Ethnicity – Hispanic	848	3	3	4	4	3	4
Race/Ethnicity – Native American	45	3	3	4	4	3	4
Race/Ethnicity – Asian	259	3	3	4	4	3	4
Race/Ethnicity – Pacific Islander	4	3	3	4	4	3	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 2007-2008

U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

☐ American Housing Survey data
Indicate year:

☐ Other housing market study
Indicate year:

☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s**. Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ Public Housing **(Authority-wide)** ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	56		102 units
Extremely low income <=30% AMI	11	19.64%	
Very low income (>30% but <=50% AMI)	44	78.57%	
Low income (>50% but <80% AMI)	1	1.78%	
Families with children	46	30.35%	
Elderly families			
Families with Disabilities	10	17.85%	
Race/ethnicity (White)	34	60.71%	
Race/ethnicity (Black)	21	37.50%	
Race/ethnicity (Asian)	1	1.78%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	30	53.57%	
2 BR	14	25.00%	
3 BR	4	7.14%	
4 BR	8	14.28%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Perretta Twin Towers**			
	# of families	% of total families	Annual Turnover
Waiting list total	7		15 units
Extremely low income <=30% AMI	1	14.28%	
Very low income (>30% but <=50% AMI)	6	85.71%	
Low income (>50% but <80% AMI)			
Families with children			
Elderly families	3	42.85%	
Families with Disabilities	4	57.14%	
Race/ethnicity			
Race/ethnicity			
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	7	100%	
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Gillmore Village**			
	# of families	% of total families	Annual Turnover
Waiting list total	36		18
Extremely low income <=30% AMI	1	2.77%	
Very low income (>30% but <=50% AMI)	34	94.44%	
Low income (>50% but <80% AMI)	1	2.77%	
Families with children	28	30.55%	
Elderly families			
Families with Disabilities	8	22.22%	
Race/ethnicity (White)	22	61.11%	
Race/ethnicity (Black)	14	38.88%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	14	38.88%	
2 BR	15	41.66%	
3 BR	4	11.11%	
4 BR	3	8.33%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **N.D. Peters Manor**			
	# of families	% of total families	Annual Turnover
Waiting list total	14		6 units
Extremely low income <=30% AMI	2	14.28%	
Very low income (>30% but <=50% AMI)	10	11.42%	
Low income (>50% but <80% AMI)	2	14.28%	
Families with children	11	28.51%	
Elderly families			
Families with Disabilities	3	21.42%	
Race/ethnicity (White)	7	50.00%	
Race/ethnicity (Black)	6	42.85%	
Race/ethnicity	1	7.14%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	8	57.14%	
2 BR	3	21.42%	
3 BR	2	14.28%	
4 BR	1	7.14%	
5 BR			

Housing Needs of Families on the Waiting List			
5+ BR			

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Marino Ruggerio**			
	# of families	% of total families	Annual Turnover
Waiting list total	3		4
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	3	100%	
Low income (>50% but <80% AMI)			
Families with children			
Elderly families			
Families with Disabilities	3	100%	
Race/ethnicity (White)	2	66.66%	
Race/ethnicity (Black)	1	33.33%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2	66.66%	
2 BR	1	33.33%	
3 BR			
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Humphrey Gardens**			
	# of families	% of total families	Annual Turnover
Waiting list total	54		18
Extremely low income <=30% AMI	5	9.25%	
Very low income (>30% but <=50% AMI)	45	83.33%	
Low income (>50% but <80% AMI)	4	7.40%	
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (White)	40	74.07%	
Race/ethnicity (Black)	13	24.07%	
Race/ethnicity (Asian)	1	1.85%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	31	57.40%	
2 BR	13	24.07%	
3 BR	6	11.11%	
4 BR	4	7.40%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction**: FX Matts Apts.**			
	# of families	% of total families	Annual Turnover
Waiting list total	11		9 units
Extremely low income <=30% AMI	1	9.09%	
Very low income (>30% but <=50% AMI)	8	72.72%	
Low income (>50% but <80% AMI)	2	18.18%	
Families with children	9	45.45%	
Elderly families			
Families with Disabilities	2	18.18%	
Race/ethnicity (White)	6	54.54%	
Race/ethnicity (Black)	5	45.45%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	6	54.54%	
2 BR	3	27.27%	
3 BR	0	0	
4 BR	2	18.18%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Duplex Homes**			
	# of families	% of total families	Annual Turnover
Waiting list total	2		4 units
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)	1	50.00%	
Low income (>50% but <80% AMI)	1	50.00%	
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (Black)	1	50.00%	
Race/ethnicity (Asian)	1	50.00%	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR	2	100%	
4 BR			
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Adrean Terrace**			
	# of families	% of total families	Annual Turnover
Waiting list total	34		28 units
Extremely low income <=30% AMI	5	14.70	
Very low income (>30% but <=50% AMI)	27	79.41%	
Low income (>50% but <80% AMI)	2	5.88%	
Families with children	34	44.11%	
Elderly families			
Families with Disabilities	6	17.64%	
Race/ethnicity (White)	21	61.76%	
Race/ethnicity (Black)	12	35.29%	
Race/ethnicity (Asian)	1	2.94%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	18	52.94%	
2 BR	10	29.41%	
3 BR	1	2.94%	
4 BR	5	14.70%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☒ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: **Steuben Village HOPE VI Mixed Finance Development (Only public housing units reported)**			
	# of families	% of total families	Annual Turnover
Waiting list total	2		New Development; data not yet available
Extremely low income <=30% AMI			
Very low income (>30% but <=50% AMI)			
Low income (>50% but <80% AMI)	2	100%	
Families with children			
Elderly families			
Families with Disabilities			
Race/ethnicity (White)			
Race/ethnicity (Black)	1	50%	
Race/ethnicity (Hispanic)	1	50%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR	1	50.00%	
3 BR	1	50.00%	
4 BR			
5 BR			

Housing Needs of Families on the Waiting List			
5+ BR			

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☒ **Section 8 tenant-based assistance** ☐ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	204		10 vouchers
Extremely low income <=30% AMI	23	11.27%	
Very low income (>30% but <=50% AMI)	176	86.27%	
Low income (>50% but <80% AMI)	5	1.47%	
Families with children	140	68.63%	
Elderly families	48	23.53%	
Families with Disabilities	55	26.96%	
Race/ethnicity – White	118	57.84%	
Race/ethnicity – Black	85	41.66%	
Race/ethnicity – Hispanic - Asian	46	22.54%	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)	**Not Applicable**	**Not Applicable**	**Not Applicable**
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☒ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☒ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☒ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI
Select all that apply

☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
☐ Employ admissions preferences aimed at families with economic hardships
☐ Adopt rent policies to support and encourage work
☒ Other: (list below)

Use targeting requirements to reach very low-income, homeless, and disabled households in connection with HOPE VI development.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI
Select all that apply

☒ Employ admissions preferences aimed at families who are working
☐ Adopt rent policies to support and encourage work
☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:
Select all that apply

☒ Seek designation of public housing for the elderly **(UMHA may consider the feasibility of designating a public housing development as elderly only)**
☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
Select all that apply

☒ Seek designation of public housing for families with disabilities **(UMHA may consider the feasibility of designating a public housing development as disabled only)**
☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
☒ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
☒ Affirmatively market to local non-profit agencies that assist families with disabilities
☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
☒ Other: (list below) The UMHA conducts marketing targeted to Utica's large refugee population and requests resident referrals from the local refugee assistance organization.

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

☒ Funding constraints
☒ Staffing constraints
☒ Limited availability of sites for assisted housing
☒ Extent to which particular housing needs are met by other organizations in the community

☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
☒ Influence of the housing market on PHA programs
☐ Community priorities regarding housing assistance
☐ Results of consultation with local or state government
☒ Results of consultation with residents and the Resident Advisory Board
☐ Results of consultation with advocacy groups
☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. **Federal Grants (FY 2006 grants)**		
a) Public Housing Operating Fund	3,116,688	
b) Public Housing Capital Fund	1,925,685	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	701,531	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
Replacement Housing Factor	137,075	PH Capital Improvements
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Resident Opportunity & Self-Sufficiency Grants	1,020,028	PH Supportive Services
HOPE VI-Revitalization	5,898,107	PH Capital Improvements

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
Public Housing Capital Fund	131,832	PH Capital Improvements
3. Public Housing Dwelling Rental Income	2,180,313	P H Operations
4. Other income (list below)		
Interest on General Fund Investments	65,855	PH Operations
Other Income/Fraud Recovery	147,171	PH Operations
4. Non-federal sources (list below)		
Total resources	15,324,285	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

☐ When families are within a certain number of being offered a unit: (state number)

☐ When families are within a certain time of being offered a unit: (state time)

☒ Other: (describe)

When families are nearing the top of the waiting list.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

☒ Criminal or Drug-related activity

☒ Rental history

☐ Housekeeping

☒ Other (describe)

Section 8.3 of our adopted Admissions and Continued Occupancy Policy discusses factors of suitability (criminal background, credit check, rental history, etc.).

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

☒ Community-wide list
☐ Sub-jurisdictional lists
☒ Site-based waiting lists
☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

☒ PHA main administrative office
☒ PHA development site management office
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? **8**

2. ☐ Yes ☒ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☒ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists? **8**

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

☒ PHA main administrative office
☒ All PHA development management offices
☒ Management offices at developments with site-based waiting lists
☒ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

☐ One
☐ Two
☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

☒ Emergencies
☐ Overhoused
☐ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☒ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☒ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:
1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
2 Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☒ Residents who live and/or work in the jurisdiction **(City of Utica)**
☒4 Residents who live and/or work in the jurisdiction **(County of Oneida)**
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs

☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:
☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)
☒ The PHA-resident lease
☒ The PHA's Admissions and (Continued) Occupancy policy
☒ PHA briefing seminars or written materials
☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)
☐ At an annual reexamination and lease renewal
☒ Any time family composition changes
☐ At family request for revision
☐ Other (list)

(6) Deconcentration and Income Mixing
(Per PIH 2001-4 and other HUD guidance, the following questions replace the former ones in the Agency Plan template. Rather than adding this as an additional attachment, the Municipal Housing Authority of the City of Utica, New York has deleted the former questions and inserted the current questions from the HUD website)

a. ☒ Yes ☐ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☒ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

☒ Adoption of site based waiting lists
If selected, list targeted developments below:

☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

☐ Additional affirmative marketing
☐ Actions to improve the marketability of certain developments
☐ Adoption or adjustment of ceiling rents for certain developments
☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

☐ Not applicable: results of analysis did not indicate a need for such efforts
☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

☐ Not applicable: results of analysis did not indicate a need for such efforts
☐ List (any applicable) developments below:

Deconcentration Policy for Covered Developments

Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)((iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
NY 006-1	85 Occupied	See Below	N/A
NY 006-3	7 Occupied	See Below	N/A

Explanation:

The Deconcentration analysis was conducted on February 8, 2006. The current Median Family Income for Oneida County, New York is $51,400. Thirty (30) percent of the Median Family Income is $15,420.

The Deconcentration analysis indicated that two developments have income ranges outside of the Established Income Range (EIR) of 85% to 115%:

> NY 006-1, Adrean Terrace, has an income range that is above the EIR. However, HUD regulations provide that any development that has an average income of less than 30% of the Area Median Income (AMI) is exempt from Deconcentration and Income Mixing requirements. When the Housing Authority applies the Analysis Based on Bedroom Adjustment Factors, this development is below 30% AMI.

NY 006-3, Duplex Homes, has an income range that is above the EIR. However, HUD regulations provide that any development that has an average income of less than 30% of the Area Median Income (AMI) is exempt from Deconcentration and Income Mixing requirements. When the Housing Authority applies the Analysis Based on Bedroom Adjustment Factors, this development is below 30% AMI.

> Further, per the regulations at 903.2 (c) (1) (iv) in the December 22, 2000 Final Rule, a PHA may explain or justify an income profile outside of the EIR. For reasons of this development's size *(small – Has less than 10 units*), and configuration *(scattered site),* this development is exempt.

All other covered developments are within the acceptable EIR and are below 30% of the Median Income for Oneida County, NY.

As a result, the Housing Authority is currently exempt from Deconcentration and Income Mixing requirements.

Documentation of the required deconcentration and income mixing analysis is a Supporting Document to the Annual Plan.

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

☒ Criminal or drug-related activity only to the extent required by law or regulation

☐ Criminal and drug-related activity, more extensively than required by law or regulation

☐ More general screening than criminal and drug-related activity (list factors below)

☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☒ Criminal or drug-related activity

☒ Other (describe below)

If requested in writing, the current address of the family, name/address of the current landlord (if known), and documented information regarding tenancy history for the past five years (if known).

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☒ None

☐ Federal public housing

☐ Federal moderate rehabilitation

☐ Federal project-based certificate program
☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
☒ PHA main administrative office
☒ Other (list below)

Interested persons may request an application form be mailed to them.

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Hospitalization or a family emergency (documented)
Evidence the family has made a reasonable effort to locate a unit, including seeking the assistance of the PHA
A person/family with disabilities is having a difficult time locating an accessible and suitable unit.
(Details are found in our adopted Section 8 Administrative Plan)

(4) Admissions Preferences

a. Income targeting

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences
1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences
☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence

☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☒ Residents who live and/or work in your jurisdiction **(City of Utica and County of Oneida)**
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☒ Other preference(s) (list below) (**Families who fall within the VAWA Act of 1994)**

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences
Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)
☐ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☒ Residents who live and/or work in your jurisdiction **(City of Utica and County of Oneida)**
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)

☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)
☒ Date and time of application
☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)
☒ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)
☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs – (Not Applicable)

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
☐ The Section 8 Administrative Plan
☐ Briefing sessions and written materials
☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?
☐ Through published notices
☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

☐ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

☐ $0
☐ $1-$25
☒ $26-$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below**:**

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

☐ For household heads
☐ For other family members
☐ For transportation expenses
☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☐ Yes for all developments
☐ Yes but only for some developments
☒ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☐ For all developments
☐ For all general occupancy developments (not elderly or disabled or elderly only)
☐ For specified general occupancy developments
☐ For certain parts of developments; e.g., the high-rise portion
☐ For certain size units; e.g., larger bedroom sizes
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

☐ Market comparability study
☐ Fair market rents (FMR)
☐ 95th percentile rents
☐ 75 percent of operating costs
☐ 100 percent of operating costs for general occupancy (family) developments
☐ Operating costs plus debt service
☐ The "rental value" of the unit
☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

☐ Never
☐ At family option
☒ Any time the family experiences an income increase
☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
☒ Other (list below)

Any time there is a new <u>source</u> of income. A change in family composition must be reported any time it occurs. Details are in Section 15.6 of our adopted Admissions and Continued Occupancy Policy.

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

<u>(2) Flat Rents</u>

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

☐ The section 8 rent reasonableness study of comparable housing
☐ Survey of rents listed in local newspaper
☐ Survey of similar unassisted units in the neighborhood
☒ Other (list/describe below)

We utilize the current FMR as a basis for determining our flat rents.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

☐ At or above 90% but below100% of FMR
☒ 100% of FMR
☐ Above 100% but at or below 110% of FMR
☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ The PHA has chosen to serve additional families by lowering the payment standard
☐ Reflects market or submarket
☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ Reflects market or submarket
☐ To increase housing options for families
☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

☒ Annually
☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

☒ Success rates of assisted families
☒ Rent burdens of assisted families

☒ Other (list below)

Annual interviews with residents

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)
☐ $0
☐ $1-$25
☒ $26-$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

☐ An organization chart showing the PHA's management structure and organization is attached.

☒ A brief description of the management structure and organization of the PHA follows:

The Authority's Chief Executive Officer is the Executive Director who is answerable to the seven-member Board of Commissioners. Under the Executive Director's guidance and supervision, the Authority's management team consists of Housing Project Managers, a Finance Administrator, a Community Resource Coordinator, a Director of Maintenance, an Information Systems Administrator, an Office Manager, a Modernization Coordinator, and a Grant Writer. The Authority's Executive Director is also President of Rebuild Mohawk Valley, Inc., a not for profit corporation which operates limited portions of the HOPE VI Revitalization Grant Program.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families	Expected

	Served at Year Beginning	Turnover
Public Housing	932 units	102 units
Section 8 Vouchers	145	10
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
NYSDHCR Section 8 Project-Based Units – Chancellor Apts. -	93 units	8
Section 8 Project-Based units – 819 Hamilton Street	9	2
Other Federal Programs(list individually)		
HOPE VI Mixed Finance (ACC, LIHTC, HOME)	82	10
Section 8 11 B Units Contract Administrator for 7 developments	520	40

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Agency Plan
Admissions and Continued Occupancy Policy
Capitalization Policy
Capital Fund Program Annual Statement and 5-Year Action Plan
Check Signing Policy
Community Space Policy
Criminal Records Management Policy
Deconcentration Policy

Disposition Policy
Drug-Free Workplace Policy
Dwelling Lease
Equal Housing Opportunity Policy
Ethics Policy
Funds Transfer Policy
Grievance Procedures
Personnel Policy
Investment Policy
Maintenance Policy
Procurement Policy
Harassment Policy
Trespassing Policy
Pet Policy
Pest Control Policy
Schedule of Flat Rents
Schedule of Standard Charges to Residents

(2) Section 8 Management: (list below)

Administrative Plan
Voucher Holders Packet

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

☒ PHA main administrative office
☒ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

☒ PHA main administrative office

☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

Attachment B: Capital Fund Program Annual Statement

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name

Attachment C: Capital Fund Program 5 Year Action Plan

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☒ Yes ☐ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: **Washington Courts**
2. Development (project) number: **NY-06-URD-006-I102**
3. Status of grant: (select the statement that best describes the current status)
   - ☐ Revitalization Plan under development
   - ☐ Revitalization Plan submitted, pending approval
   - ☐ Revitalization Plan approved
   - ☒ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

☒ Yes ☐ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:
HOPE VI: Steuben Village, Rutger Manor, Oneida Homes (homeownership), other projects as identified

☒ Yes ☐ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

The UMHA will continue to develop homeownership units through the HOPE VI Program and program income generated through the sale of Replacement Housing Factor Homes. It will apply for new sources of funding to develop new rental and homeownership units.

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]
Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

Partial demolition of NY006-1 Adrean Terrace will be under consideration.

2. Activity Description

☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description

1a. Development name: **Washington Courts** 1b. Development (project) number: **NY006-10**
2. Activity type: Demolition ☒ Disposition ☒
3. Application status (select one) Approved ☒ **Part of HOPE VI Revitalization Plan** Submitted, pending approval ☐ Planned application ☐
4. Date application **approved**, submitted, or planned for submission: (10/13/2005)
5. Number of units affected: 111 6. Coverage of action (select one) ☐ Part of the development ☒ Total development
7. Timeline for activity: a. Actual or projected start date of activity: **Demolition has been completed.** b. Projected end date of activity: **The land has been conveyed to the City of Utica.**

Demolition/Disposition Activity Description
1a. Development name: **Goldbas Homes** 1b. Development (project) number: **NY006-10 (Federalization)**
2. Activity type: Demolition ☐ Disposition ☒
3. Application status (select one) Approved ☒ Submitted, pending approval ☐ Planned application ☐
4. Date application **approved**, submitted, or planned for submission: (10/13/2005)
5. Number of units affected: **0** 6. Coverage of action (select one) ☐ Part of the development ☒ Total development (units have been demolished – site dispostion only
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: **The land has been conveyed to the City of Utica.**

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly ☐ Occupancy by families with disabilities ☐ Occupancy by only elderly families and families with disabilities ☐
3. Application status (select one) Approved; included in the PHA's Designation Plan ☐ Submitted, pending approval ☐ Planned application ☐
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) ☐ New Designation Plan ☐ Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one)

☐ Part of the development ☐ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? ☐ Assessment underway ☐ Assessment results submitted to HUD ☐ Assessment results approved by HUD (if marked, proceed to next question) ☐ Other (explain below)
3. ☐ Yes ☐ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) ☐ Conversion Plan in development ☐ Conversion Plan submitted to HUD on: (DD/MM/YYYY) ☐ Conversion Plan approved by HUD on: (DD/MM/YYYY) ☐ Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- ☐ Units addressed in a pending or approved demolition application (date submitted or approved:
- ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
- ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
- ☐ Requirements no longer applicable: vacancy rates are less than 10 percent
- ☐ Requirements no longer applicable: site now has less than 300 units
- ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☒ Yes ☐ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: **Second Increment Replacement Housing Factor** 1b. Development (project) number: **NY06P006014**
2. Federal Program authority: ☐ HOPE I ☐ 5(h) ☐ Turnkey III ☒ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ☒ Approved; included in the PHA's Homeownership Plan/Program ☐ Submitted, pending approval ☐ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: **(02/07/2007)**
5. Number of units affected: **8 (including 2 HOME financed units)** 6. Coverage of action: (select one) ☐ Part of the development ☒ Total development

Note: On August 9. 2006, the UMHA submitted to the HUD Special Applications Center a Section 32 Homeownership Plan to sell six new construction public housing units to income eligible public housing and non-public housing residents. All the units are owner occupied, fee simple title, single family homes. None of the units have been previously occupied. The HUD Second Increment Replacement Housing Factor Program provided construction and permanent financing for this project. Construction was completed in January 2007. Utilizing City of Utica HOME funds, two additional single family units were built for purchase as part of this development. The HOME funding helped the UMHA meet RHF match requirements. A total of 8 units were developed through the Second Increment Replacement Housing Factor project. Pending funding availability, the UMHA plans to build one to four homeownership units using program income from the sale of the first eight homes. The precise number of units will be contingent upon funding availability. Construction on these units is planned to commence in 2007/2008. If necessary, a separate Section 32 term sheet will be submitted in connection with the sale of these additional units.

Public Housing Homeownership Activity Description (Complete one for each development affected)

1a. Development name: HOPE VI Oneida Homes 1b. Development (project) number: Washington Courts Replacement Housing - NY006-10 (Federalization) – HOPE VI Grant #: NY06URD006I102
2. Federal Program authority: ☒ HOPE I (Section 24/9 of U.S. Housing Act of 1937) ☐ 5(h) ☐ Turnkey III ☐ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ☒ Approved; included in the PHA's Homeownership Plan/Program ☐ Submitted, pending approval ☐ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (08/29/2005)
5. Number of units affected: up to 40 6. Coverage of action: (select one) ☐ Part of the development ☒ Total development

NOTE: The Housing Authority's HOPE VI project includes an annual homeownership production program called Oneida Homes. It is anticipated that a total of up to 40 homes will be developed through this initiative during the HOPE VI grant term. The homes will be sold to low-income homebuyers. To date, 18 homes have been developed. Financing for Phase IV is provided by the Federal Home Loan Bank of New York, the City of Utica HOME Program, New York State Division of Housing and Community Renewal, private bank mortgages and construction loans, homeowner equity, and HOPE VI funds. Oneida Homes consists of four subphases: A – 11 units (completed); B – 8 units (nearing completion); C – 12 units; and D- 9 units. The precise number of units to be developed will be contingent upon final construction/development costs as well as remaining financing. The UMHA submitted an amendment to its HOPE VI Revitalization Plan to adjust the homeownership production schedule and is awaiting HUD approval.

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to

high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

NOTE: The UMHA was awarded a grant of $250,000 from the United States Department of Housing and Urban Development to establish the Utica Homeownership Supportive Services (HSS) Program to help residents of our public housing projects to become homeowners. In partnership with local financial institutions, and other community and faith-based organizations, UMHA will offer provide coordinated outreach resident assessment, homeownership training, individual savings accounts, homeownership vouchers, supportive services, and on-going participant monitoring.

The project will target populations such as HOPE VI residents, immigrants, persons with limited English proficiency, minorities, and the disabled. Services will be tailored to meet the individual needs of residents. Resident recruitment will be on-going through the life of the grant. It will serve a total of 50 participants. Ten homeownership vouchers will be provided on an annual basis to participating households during the three year grant term.

We planned to make Homeownership Vouchers available on an annual basis to 10 eligible families who successfully complete training under this program. The term of each voucher is expected to be three years. However, due to cuts in Section 8 voucher authority, we may not be able to make these vouchers available. The UMHA is exploring the possibility of securing additional vouchers from agencies with unused voucher authority or entering into Memorandum of Agreement with other Section 8 agencies to make their vouchers available to our residents. In addition, we are making

a concerted effort to market and sell homes developed through the HOPE VI and Replacement Housing Factor Programs to public housing residents. These homes have deep subsidies included in the financing which help to make the homes affordable to our residents. These subsides effectively serve the same purpose as a Section 8 homeownership voucher.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
☐ Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

☒ Public housing rent determination policies
☐ Public housing admissions policies
☐ Section 8 admissions policies
☐ Preference in admission to section 8 for certain public housing families

☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
☐ Preference/eligibility for public housing homeownership option participation
☐ Preference/eligibility for section 8 homeownership option participation
☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Resident Opportunities & Self-Sufficiency Program (ROSS) for the Elderly & Disabled	100 Residents	Specific criteria	Elderly & Disabled developments	Public Housing
Relatives as Parents Program – (non HUD funded)	15 Residents	Specific criteria	UMHA Community Resource Dept., Family Nurturing Center	Public Housing Section 8
Utica HOPE VI Neighborhood Network Center	125 Residents	Other – Open Access	Utica City School District, Oneida County Workforce Development	Public Housing, Section 8, HOPE VI Target Area Residents
Neighborhood Networks Center, ND Peters Development – computer technology training, job training, life skills instruction	200 Residents	Other – Open Access	Oneida County Workforce Development, City of Utica School District	Public Housing Setion 8
Gillmore Village Neighborhood Network Center Partnership– computer training, job readiness, life skills training	150 Residents	Other – Open Access	Gillmore Village, Oneida County Workforce Development	Public Housing Section 8
Public Housing Family Self-Sufficiency Program – coordinated case management/escrow incentive program	50 Households	Fist come/First Serve – Families will be screened for interest/motivation	MHA Community Resources Department	Public Housing

Pathways to Independence Partnership – ROSS Family – Employment Readiness/Job Placement	50 Households	Fist come/First Serve – Families will be screened for interest/motivation	MHA Community Resources Department – Oneida County Workforce	Public Housing
Utica Homeownership Supportive Services Program – Homeownership training/vouchers/supportive services	50 Households	Specific criteria	MHA Community Resources Department, Rebuild Mohawk Valley, HomeOwnership Center	Conventional Family Public Housing
Teens & Dreams Program (non-HUD funded) – college preparation program	50 Residents	Specific criteria	MHA Community Resources Dept., Oneida County Youth Bureau	Adrean Terrace, N.D. Peters, Matt Apts.

(2) Family Self Sufficiency program/s

On April 7, 2006, the Municipal Housing Authority of the City of Utica, New York (UMHA) was awarded a grant of $65,000 from the United States Department of Housing and Urban Development to establish a new Public Housing Family Self-Sufficiency Program to help link families to the supportive services they need to achieve self-sufficiency. The program will serve 50 families who reside in UMHA family developments. The Buffalo HUD Field Office approved our FSS Action Plan on June 7, 2005.

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	50	15
Section 8	NA	NA

b. ☐ Yes ☒ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:
The UMHA has requested and the HUD Buffalo Field Office has approved a six-month extension for the program from April 6, 2007 to October 5, 2007. The UMHA intends to submit an extension request for an additional six month period to HUD Washington. This extension will enable the program to meet its resident recruitment objectives.

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
☒ Informing residents of new policy on admission and reexamination
☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
☒ Residents fearful for their safety and/or the safety of their children
☒ Observed lower-level crime, vandalism and/or graffiti
☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

☐ Safety and security survey of residents
☐ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
☒ Resident reports
☒ PHA employee reports
☐ Police reports
☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
☐ Other (describe below)

3. Which developments are most affected? (list below)

Gillmore Village
Adrean Terrace
F.X. Matts
N.D. Peters
Humphrey Gardens
Steuben Village (HOPE VI)
Rutger Manor (HOPE VI)

Economic self-sufficiency programs are available to residents of all family developments.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
☐ Crime Prevention Through Environmental Design
☒ Activities targeted to at-risk youth, adults, or seniors
☐ Volunteer Resident Patrol/Block Watchers Program
☒ Other (describe below)

Housing Authority Security Patrols

2. Which developments are most affected? (list below)

Gillmore Village
Adrean Terrace
F.X. Matts

N.D. Peters
Humphrey Gardens
Steuben Village (HOPE VI)
Rutger Manor (HOPE VI)

Economic self-sufficiency programs are available to residents of all family developments.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
☒ Police provide crime data to housing authority staff for analysis and action
☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
☐ Police regularly testify in and otherwise support eviction cases
☒ Police regularly meet with the PHA management and residents
☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
☒ Other activities (list below)
Police participating in the "suitability" component of the eligibility and admissions process.

2. Which developments are most affected? (list below)

All developments.

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
☐ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: ___)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))?
   (If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?
4. ☒ Yes ☐ No: If there were any findings, do any remain unresolved?
   If yes, how many unresolved findings remain?_1___
5. ☒ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
   If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

☒ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)

3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

☒ Attached at Attachment (File name) Attachment K

☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

☒ Considered comments, but determined that no changes to the PHA Plan were necessary.

☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☒ Yes ☐ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

☐ Candidates were nominated by resident and assisted family organizations

☐ Candidates could be nominated by any adult recipient of PHA assistance

☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot

☒ Other: (describe)

It is the policy of the Municipal Housing Authority of the City of Utica, New York that nominations of candidates for tenant representatives on the Board of Commissioners shall be made by a petition (which shall be supplied by the Authority) containing the signatures of qualified residents from all of the Housing Authority's developments.

b. Eligible candidates: (select one)

☐ Any recipient of PHA assistance
☐ Any head of household receiving PHA assistance
☒ Any adult recipient of PHA assistance
☐ Any adult member of a resident or assisted family organization
☒ Other (list)

All residents in good standing whose names are listed with the Authority, who have resided in an Authority project for at least ninety days prior to the date set for election and are eighteen (18) years of age or older on the date of election, shall be eligible to nominate, vote for and be a tenant representative.

c. Eligible voters: (select all that apply)

☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
☐ Representatives of all PHA resident and assisted family organizations
☒ Other (list)

All heads of households in good standing whose names are listed with the Authority, who have resided in an Authority development for at least ninety days prior to the date set for election and are eighteen (18) years of age or older on the date of election, shall be eligible to nominate, vote for and be a tenant representative.

See Attachment D: Resident Member on the PHA Governing Board

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **The City of Utica, an Entitlement City**.

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Utica is a major partner in the HOPE VI project. It provides match funding through its HOME and CDBG allocations for housing development activities, infrastructure improvements, community policing, codes enforcement, and other activities to ensure the success of HOPE VI redevelopment activities.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

The final Agency Plan Rule contains a requirement in 24 CFR 903.7® that agency plans contain a locally derived definition of "substantial deviation" and "significant amendment or modification."

The Housing Authority of the City of Utica has adopted a definition of substantial deviation and significant amendment or modification:

A. Substantial Deviation from the 5-year Plan:

A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.

B. Significant Amendment or Modification to the Annual Plan:

Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.

Attachments

Use this section to provide any additional attachments referenced in the Plans
.

All attachments are listed in the Table of Contents.

PHA Plan
Table Library

Component 7
Capital Fund Program Annual Statement
Parts I, II, and II

Annual Statement
Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

☐ Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	**Amount of Annual Grant (Sum of lines 2-19)**	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	**Development Name (or indicate PHA wide)**	**Number Vacant Units**	**% Vacancies in Development**

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years		

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		**Activity Description**						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III *Component 7a*	Development Activities *Component 7b*	Demolition / disposition *Component 8*	Designated housing *Component 9*	Conversion *Component 10*	Home-ownership *Component 11a*	Other (describe) *Component 17*

Attachment A

Municipal Housing Authority of the City of Utica

Annual Plan

Fiscal Year 07/01/2007 – 06/30/2008

Deconcentration Policy

DECONCENTRATION POLICY

It is the Municipal Housing Authority of the City of Utica's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Toward this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The Municipal Housing Authority of the City of Utica will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

DECONCENTRATION INCENTIVES

The Municipal Housing Authority of the City of Utica may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and nondiscriminatory manner.

OFFER OF A UNIT

When the Municipal Housing Authority of the City of Utica discovers that a unit will become available, we will contact the first family on the waiting list who has the highest

priority for this type of unit or development and whose income category would help to meet the deconcentration goal and/or the income targeting goal.

The Municipal Housing Authority of the City of Utica will contact the family first by telephone to make the unit offer. If the family cannot be reached by telephone, the family will be notified of a unit offer via first class mail. The family will be given five (5) business days from the date the family was contacted by telephone or from the date the letter was mailed to contact the Housing Authority of the City of Utica regarding the offer.

The family will be offered the opportunity to view the unit. After the opportunity to view the unit, the family will have two (2) business days to accept or reject the unit. This verbal offer and the family's decision must be documented in the tenant file. If the family rejects the offer of the unit, the Municipal Housing Authority of the City of Utica will send the family a letter documenting the offer and the rejection.

REJECTION OF UNIT

If in making the offer to the family the Municipal Housing Authority of the City of Utica skipped over other families on the waiting list in order to meet their deconcentration goal or offered the family any other deconcentration incentive and the family rejects the unit, the family will not lose their place on the waiting list and will not be otherwise penalized.

If the Municipal Housing Authority of the City of Utica did not skip over other families on the waiting list to reach this family, did not offer any other deconcentration incentive, and the family rejects the unit without good cause, the family will forfeit their application's date and time. The family will keep their preferences, but the date and time of application will be changed to the date and time the unit was rejected.

If the family rejects with good cause any unit offered, they will not lose their place on the waiting list. Good cause includes reasons related to health, proximity to work, school, and childcare (for those working or going to school). The family will be offered the right to an informal review of the decision to alter their application status.

ACCEPTANCE OF UNIT

The family will be required to sign a lease that will become effective no later than three (3) business days after the date of acceptance or the business day after the day the unit becomes available, whichever is later.

Prior to signing the lease, all families (head of household) and other adult family members will be required to attend the Lease and Occupancy Orientation when they are initially accepted for occupancy. The family will not be housed if they have not attended the orientation. Applicants who provide prior notice of an inability to attend the orientation will be rescheduled. Failure of an applicant to attend the orientation, without good cause, may result in the cancellation of the occupancy process.

The applicant will be provided a copy of the lease, the grievance procedure, utility allowances, utility charges, the current schedule of routine maintenance charges, tenant handbook, and a request for reasonable accommodation form. These documents will be explained in detail. The applicant will sign a certification that they have received these documents and that they have reviewed them with Housing Authority personnel. The certification will be filed in the resident's file.

The signing of the lease and the review of financial information are to be privately handled. The head of household and all adult family members will be required to execute the lease prior to admission. One executed copy of the lease will be furnished to the head of household and the Municipal Housing Authority of the City of Utica will retain the original executed lease in the resident's file. A copy of the grievance procedure will be attached to the resident's copy of the lease.

(This Deconcentration policy is an extract of Section 10.4 through 10.8 of the Housing Authority's adopted Admissions and Continued Occupancy Policy.)

Attachment R

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06R00650207 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.:)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations		$ -	$ -	$ -
3	1408 Management Improvement Soft Cost		$ -	$ -	$ -
4	1410 Administration		$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements		$ -	$ -	$ -
10	1460 Dwelling Structures		$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable		$ -	$ -	$ -
12	1470 Non-dwelling Structures		$ -	$ -	$ -
13	1475 Non-dwelling Equipment		$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs		$ -	$ -	$ -
18	1499 Development Activities	$ 137,075			
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 137,075**	**$ -**	**$ -**	**$ -**

Attachment R

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

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	Amount of line 21 Related to LBP Activities				
	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation				
	Collateralization Expenses or Debt Services	$ 137,075			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Development Activities							
NY006-13	Oak Street	New Construction of Single Family Detached Replacement Housing Units	1499	1 Dev.	$ 137,075				
		Subtotal Acct 1499			**$ 137,075**				
		Grand Total			**$ 137,075**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number :** **NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY006-13/Oak St. Development	4/16/2007			4/16/2008			

Attachment B

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06P00650107 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.)
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Line No.	Summary by Development Account	Total Estimated Cost			
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations	$ 10,000	$ -	$ -	$ -
3	1408 Management Improvement Soft Cost	$ 168,189	$ -	$ -	$ -
4	1410 Administration	$ 192,568	$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$ 50,034	$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements	$ 78,887	$ -	$ -	$ -
10	1460 Dwelling Structures	$ 1,241,507	$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable	$ 58,500	$ -	$ -	$ -
12	1470 Non-dwelling Structures	$ 115,000	$ -	$ -	$ -
13	1475 Non-dwelling Equipment	$ 9,000	$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	$ 2,000	$ -	$ -	$ -
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 1,925,685**	**$ -**	**$ -**	**$ -**
	Amount of line 21 Related to LBP Activities	$ 12,000			

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	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation Measures	$ 226,955			
	Collateralization Expenses or Debt Services				

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
	HA-Wide	**Operations**	**1406**						
		HA-Wide Operating expenses normally paid by operating subsidy		8 Dev	$ 10,000				
		Subtotal Acct 1406			**$ 10,000**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Management Improvements**	**1408**						
		Grant Writer		1	$ 84,551				
		System Adminstrator		1	$ 76,813				
		Training		1	$ 4,000				
		Hardware & Software		1	$ 2,825				
		Subtotal Acct 1408			**$ 168,189**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Administration**	**1410**						
		Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits		5	$ 192,568				
		Subtotal Acct 1410			**$ 192,568**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Fees and Costs**	**1430**						
		A&E Services and Testing	1430	HA-Wide	$ 50,034				
		Subtotal Acct 1430			**$ 50,034**	**$ -**	**$ -**	**$ -**	
		Site Improvements	**1450**						
NY006-1	Adrean Terrace	Sidewalks, Parking Lot Improvements, Sewer laterals & Landscaping	1450	1 Dev.	$ 25,000				
NY006-2	N.D. Peters Manor	Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 10,000				
NY006-4	Perretta Twin Towers	Sidewalk Replacement & Landscaping	1450	1Dev	$ 4,000				

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

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Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-7	Marino-Ruggiero & Duplexes	Sidewalk Replacement, Landscaping and Exterior Lighting	1450	1 Dev.	$ 4,000				
NY006-10	Gillmore Village	Exterior Lighting, Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 14,078				
NY006-10	FX Matts Apts	Sidewalk Replacement & Parking Lot Improvements, Landscaping	1450	1 Dev.	$ 10,000				
NY006-10	Humphrey Gardens	Exterior LightingSidewalk Replacement & Landscaping	1450	1 Dev.	$ 11,809				
		Subtotal Acct 1450			**$ 78,887**	**$ -**	**$ -**	**$ -**	
		Dwelling Structures	**1460**						
NY006-10	Gillmore Village	Apartment Renovations-Force Account & Contracted Services	1460	8 Apts	$ 144,676				
NY006-10	Gillmore Village	Cycle Painting	1460	34 Apts	$ 23,332				
NY006-10	Gillmore Village	Tub Valves & Surrounds-Force Account	1460	12 Apts	$ 17,085				
NY006-10	Gillmore Village	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	Gillmore Village	Flooring	1460	8 Apts	$ 12,000				
NY006-10	Gillmore Village	Oil Remediation	1460	1 Dev	$ 50,000				
NY006-10	Gillmore Village	Abatement Cost - Asbestos & Lead	1460	8 Apts	$ 40,000				
NY006-10	Gillmore Village	Crawl Space Improvements	1460	1 Dev	$ 1,000				

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Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	Humphrey Gardens	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 72,338				
NY006-10	Humphrey Gardens	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-10	Humphrey Gardens	Flooring	1460	4 Apts	$ 6,000				
NY006-10	Humphrey Gardens	Roof Replacement	1460	1 Dev	$ 200,000				
NY006-10	Humphrey Gardens	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-11	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 20,000				
NY006-10	Humphrey Gardens	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,302				
NY006-10	Humphrey Gardens	Tub Valves & Surrounds-Force Account & Contracted Services	1460	16 Apts	$ 22,779				
NY006-10	Humphrey Gardens	Replacement Windows	1460	1 Bldg	$ 197,703				
NY006-10	Humphrey Gardens		1460						
NY006-10	FX Matts Apts	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	FX Matts Apts	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	$ 54,253				
NY006-10	FX Matts Apts	Tub Valves & Surrounds-Force Account & Contracted Services	1460	8 Apts	$ 11,390				
NY006-10	FX Matts Apts	Cycle Painting	1460	11 Apts	$ 7,548				

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Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

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Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Flooring	1460	3 Apts	$ 4,500				
NY006-10	FX Matts Apts	Abatement Cost - Asbestos & Lead	1460	3 Apts	$ 15,000				
NY006-2	N.D. Peters Manor	Concrete Porch Replacement	1460	1 Apt.	$ 10,000				
NY006-2	N.D. Peters Manor	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-2	N.D. Peters Manor	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-2	N.D. Peters Manor	Cycle Painting	1460	16 Apts	$ 10,980				
NY006-1	Adrean Terrace	Apartment Renovations-Force Account & Contracted Services	1460	5 Apts	$ 90,422				
NY006-1	Adrean Terrace	Flooring	1460	5 Apts	$ 7,500				
NY006-1	Adrean Terrace	Cycle Painting	1460	35 Apts	$ 24,018				
NY006-1	Adrean Terrace	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 25,000				
NY006-1	Adrean Terrace	Tub Valves & Surrounds-Force Account & Contracted Services	1460	50	$ 14,237				
NY006-1	Adrean Terrace	Tubs & Surrounds- Contracted Services	1460	30	$ 52,500				
NY006-1	Adrean Terrace	Locks	1460	400	$ 17,236				
NY006-1	Adrean Terrace	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 2,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 17,390				
NY006-4	Perretta Twin Towers		1460						
NY006-4	Perretta Twin Towers	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-4	Perretta Twin Towers	Flooring	1460	2 Apts	$ 6,000				
NY006-4	Perretta Twin Towers	Abatement Cost - Asbestos & Lead	1460	2 Apts	$ 20,000				
NY006-7	Marino-Ruggiero & Duplexes		1460						
NY006-7	Marino-Ruggiero & Duplexes	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-7	Marino-Ruggiero & Duplexes	Cycle Painting	1460	11 Apts	$ 7,548				
		Subtotal Acct 1460			**$ 1,241,507**	**$ -**	**$ -**	**$ -**	
		Dwelling Equipment	**1465.1**						
NY006-7	Marino-Ruggiero & Duplexes	Appliances - Stoves & Refrigerators, Delivered	1465.1	4 Each	$ 1,800.00				
NY006-4	Perretta Twin Towers	Appliances - Stoves & Refrigerators, Delivered	1465.1	6 Each	$ 2,700.00				
NY006-10	Gillmore Village	Appliances - Stoves & Refrigerators, Delivered	1465.1	38 Each	$ 17,100				
NY006-2	N.D. Peters Manor	Appliances - Stoves & Refrigerators, Delivered	1465.1	8 Each	$ 3,600				

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Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Appliances - Stoves & Refrigerators, Delivered	1465.1	12 Each	$ 5,400				
NY006-10	Humphrey Gardens	Appliances - Stoves & Refrigerators, Delivered	1465.1	22 Each	$ 9,900				
NY006-1	Adrean Terrace	Appliances - Stoves & Refrigerators, Delivered	1465.1	40 Each	$ 18,000				
		Subtotal Acct 1465.1			**$ 58,500**	**$ -**	**$ -**	**$ -**	
		<u>Non-Dwelling Structures</u>	**1470**						
NY006-10	Gillmore Village	New Two Stall Garage w/ Storage	1470	"B" Bldg	$ 35,000				
NY006-9	Gillmore Village	Community Bldg/Computor Lab	1460	1 Dev.	$ 30,000				
NY006-10	Gillmore Village	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	Humphrey Gardens	Maintenance Garage Improvements w/ Storage	1470	"B" Bldg	$ 30,000				
NY006-10	Humphrey Gardens	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	FX Matts Apts	Maintenance Shop Improvements	1470	"J" Bldg	$ 1,000				
NY006-1	Adrean Terrace	Site Office & Community Bldg. Improvements	1470	Vega Center	$ 3,000				
NY006-1	Adrean Terrace	Maintenance Shop Improvements	1470	Bldgs 13 & 20	$ 1,000				
NY006-2	N.D. Peters Manor	Maintenance Shop/Garage Improvements	1470	"J" Bldg	$ 3,000				
NY006-4	Perretta Twin Towers	Administrative & Community Room Improvements	1470	1 Bldg	$ 5,000				

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Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Maintenance Facility Improvements	1470	1 Bldg	$ 5,000				
		Subtotal Acct 1470			**$ 115,000**	**$ -**	**$ -**	**$ -**	
		Non-Dwelling Equipment	**1475**						
NY006-10	Gillmore Village	Tools and Equipment	1475		$ 1,500				
NY006-4	Perretta Twin Towers	Office Furniture, Tools & Equipment	1475		$ 2,500				
NY006-1	Adrean Terrace	Tools and Equipment	1475		$ 1,500				
NY006-10	FX Matts Apts	Tools and Equipment	1475		$ 1,000				
NY006-10	Humphrey Gardens	Tools and Equipment	1475		$ 1,500				
NY006-2	N.D. Peters Manor	Tools and Equipment	1475		$ 1,000				
		Subtotal Acct 1475			**$ 9,000**	**$ -**	**$ -**	**$ -**	
		Relocation Costs	**1495.1**						
NY006-10	FX Matts Apts	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Humphrey Gardens	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Gillmore Village	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-2	N.D. Peters Manor	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-1	Adrean Terrace	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				

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Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Subtotal Acct 1495.1			**$ 2,000**	**$ -**	**$ -**	**$ -**	
		Grand Total			**$ 1,925,685**				

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Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	6/30/2009			6/30/2011			
NY06P006010 - G.V.	6/30/2009			6/30/2011			
NY06P006010 - H.G.	6/30/2009			6/30/2011			
NY06P006010 - F.X.M.	6/30/2009			6/30/2011			
NY06P006007 - M.R. /Duplexes	6/30/2009			6/30/2011			
NY06P006004 - P.T.T.	6/30/2009			6/30/2011			
NY06P006002 - N.D.P	6/30/2009			6/30/2011			
NY06P006001 - A.T.	6/30/2009			6/30/2011			

Attachment C

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 FFY 2006	Work Statement for Year 2 FFY Grant: NY06P00650108 PHA FY: 2008	Work Statement for Year 3 FFY Grant: NY06P00650109 PHA FY: 2009	Work Statement for Year 4 FFY Grant: NY06P00650110 PHA FY: 2010	Work Statement for Year 5 FFY Grant: NY06P00650111 PHA FY: 2011
B. Phsyical Improvements Subtotal	Annual Statement	$ 1,474,333	$ 1,480,504	$ 1,466,879	$ 1,474,333
C. Management Improvements		$ 168,189	$ 168,189	$ 168,189	$ 168,189
D. HA-Wide Non-dwelling Structures and Equipment					
E. Adminstration		$ 192,569	$ 192,569	$ 192,569	$ 192,569
F. Other Fees and Cost		$ 80,594	$ 74,424	$ 75,000	$ 80,594
G. Operations		$ 10,000	$ 10,000	$ 23,048	$ 10,000
H. Demolition					
I. Replacement Reserves					
J. Mod Used for Development					
K. Total CFP Funds		$ 1,925,685	$ 1,925,686	$ 1,925,685	$ 1,925,685
L. Total Non-Total CFP Funds					
M. Grand Total		$ 1,925,685	$ 1,925,686	$ 1,925,686	$ 1,925,685
Signature of Executive Director		Date	Signature of Public Housing Director		Date

Attachment C

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 2006	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 07/01/07	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 07/01/08	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 07/01/09	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 07/01/10
	Annual Statement				
HA-Wide		$ 451,352	$ 445,182	$ 458,806	$ 451,352
NY06-01		$ 420,184	$ 501,989	$ 284,228	$ 420,184
NY06-02		$ 391,795	$ 51,257	$ 373,911	$ 391,795
NY06-04		$ 67,273	$ 226,286	$ 248,022	$ 67,273
NY06-07		$ 10,395	$ 10,395	$ 7,548	$ 10,395
NY06-10		$ 584,686	$ 690,576	$ 553,170	$ 584,686
CFP Funds Listed for 5-year planning		$ 1,925,685	$ 1,925,685	$ 2,060,922	$ 2,060,922
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 74,424
		Operations (1406)	$ 10,000		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 451,352**		**Subtotal HA Wide**	**$ 445,182**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 144,666	Adrean Terrace	Apartment Renovations	$ 126,582
	Adrean Terrace	Playground Improvements	$ 21,450		Cycle Painting	$ 24,016
	Adrean Terrace	Cycle Painting	$ 24,016		Bath Ventilation, Tub Surround/Valves	$ 40,694
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Flooring	$ 10,500
	Adrean Terrace	Flooring	$ 12,000		Exterior Porch Improvements/Railings	$ 11,370
	Adrean Terrace	Bath Ventilation, Tub Surround/Valves	$ 7,694		Abatement - Asbestos and LBP	$ 35,000
	Adrean Terrace	Masonry Porches	$ 112,748		Replace Drain Lines	$ 4,697
	Adrean Terrace	Replace Drain Lines	$ 54,010		Roof Replacement	$ 245,080
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 4,050
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace	Adminstrative/Community Rm Upgrades	$ -			$ -
		Subtotal NY06-01	**$ 420,184**		**Subtotal NY06-01**	**$ 501,989**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Exterior Porch Improvements/ Railings	$ 65,506	N.D. Peters	Cycle Painting	$ 10,979
	N.D. Peters	Cycle Painting	$ 10,979		Bath Ventilation, Tub Surround/Valves	$ 2,846

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Replacement Roofing	$ 138,600			
	N.D. Peters	Abatement-Asbestos & LBP	$ 14,363	N.D. Peters	Exterior Porch Improvements/Railings	$ 37,432
	N.D. Peters	Replacement Windows	$ 134,917			
	N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847			
	N.D. Peters	Apartment Renovations	$ 18,083			
	N.D. Peters	Flooring	$ 6,500			
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters					$ -
		Subtotal NY06-02	**$ 391,795**		**Subtotal NY06-02**	**$ 51,257**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Cycle Painting	$ 13,037	Perretta Twin	Cycle Painting	$ 13,037
	Towers	Flooring	$ 7,500	Towers		$ -
		Abatement-Asbestos & LBP	$ 25,000		Kitchen Cabinets, counters, sink, faucet	$ 180,749
		Apartment Renovations	$ 21,736		Flooring	$ 7,500
					Abatement - Asbestos and LBP	$ 25,000
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 67,273**		**Subtotal NY06-04**	**$ 226,286**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero			Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Bath Ventilation, Tub Surround/Valves	$ 2,847		Bath Ventilation, Tub Surround/Valves	$ 2,847
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
		Subtotal NY06-07	**$ 10,395**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village			Gillmore Village	Apartment Renovations	$ 235,082
	Humphrey Gard	Cycle Painting	$ 43,914	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Flooring	$ 22,500	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Abatement-Asbestos & LBP	$ 75,000		Flooring	$ 19,500
		Bath Ventilation, Tub Surround/Valves	$ 51,254		Abatement - Asbestos and LBP	$ 90,002
		Apartment Renovations	$ 271,248		Replacement Windows	$ 237,774
		Playground Improvements	$ 64,350			
		Replace Drain Lines	$ 46,770			
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -			$ -
			$ -			$ -
			$ -			$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 9,650		Appliances	$ 13,050
		Subtotal NY06-10	**$ 584,686**		**Subtotal NY06-10**	**$ 690,576**
		Total CFP Funds	$ 1,925,685			$ 1,925,685
			$ -			$ -

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 75,000		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594
		Operations (1406)	$ 23,048		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 458,806**		**Subtotal HA Wide**	**$ 451,352**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 126,582	Adrean Terrace	Apartment Renovations	$ 144,666
	Adrean Terrace	Replace Drain Lines	$ 54,010		Replace Drain Lines	$ 54,010
	Adrean Terrace	Flooring	$ 12,000		Flooring	$ 12,000
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Abatement - Asbestos and LBP	$ 40,000
	Adrean Terrace	Exterior Porch Improvements/Railings	$ 24,020		Exterior Porch Improvements/Railings	$ 112,748
	Adrean Terrace	Cycle Painting	$ 24,016		Cycle Painting	$ 24,016
					Bath Ventilation, Tub Surround/Valves	$ 7,694
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 3,600
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace				Playground Equipment	$ 21,450
		Subtotal NY06-01	**$ 284,228**		**Subtotal NY06-01**	**$ 420,184**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Masonry Porch/ Railings	$ 61,080	N.D. Peters	Masonry Porches/Railings	$ 70,506
	N.D. Peters	Cycle Painting	$ 10,979	N.D. Peters	Cycle Painting	$ 10,979

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Abatement-Asbestos & LBP	$ 26,598	N.D. Peters	Roof Replacement	$ 138,600
	N.D. Peters	Replacement Windows	$ 253,804	N.D. Peters	Apartment Renovations	$ 18,083
				N.D. Peters	Abatement-Asbestos & LBP	$ 14,363
				N.D. Peters	Replacement Windows	$ 134,917
				N.D. Peters	Flooring	$ 1,500
				N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
	N.D. Peters	Playground Improvements	$ 21,450			$ -
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters		$ -			$ -
		Subtotal NY06-02	**$ 373,911**		**Subtotal NY06-02**	**$ 391,795**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Flooring	$ 7,500	Perretta Twin	Flooring	$ 7,500
	Towers	Abatement-Asbestos & LBP	$ 25,000	Towers	Abatement - Asbestos and LBP	$ 25,000
		Cycle Painting	$ 13,037		Cycle Painting	$ 13,037
		Kitchen Renovations	$ 180,749		Apartment Renovations	$ 21,736
		Apartment Renovations	$ 21,736			
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 248,022**		**Subtotal NY06-04**	**$ 67,273**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero		$ -	Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
					Bath Ventilation, Tub Surround/Valves	$ 2,847
		Subtotal NY06-07	**$ 7,548**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village	Apartment Renovations	$ 235,081	Gillmore Village	Apartment Renovations	$ 271,248
	Humphrey Gard	Windows	$ 128,238	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Abatement-Asbestos & LBP	$ 70,817	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Replace Drain Lines	$ 46,770		Abatement - Asbestos and LBP	$ 75,000
		Flooring	$ 18,000		Replace Drain Lines	$ 46,770
		Cycle Painting	$ 43,914		Flooring	$ 22,500
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
					Playground Improvements	$ 64,350
						$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 10,350		Appliances	$ 9,650
		Subtotal NY06-10	**$ 553,170**		**Subtotal NY06-10**	**$ 584,686**
			$ 1,925,685			$ 1,925,685
			$ -			$ -

Attachment C

Municipal Housing Authority of the City of Utica

Annual Plan

Fiscal Year 07/01/2007 – 06/30/2008

Required Attachment: Membership of the Resident Advisory Board or Boards

i. List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

Linda Mesagna

Terry Sheri

Diane Suarez

Damaris Meladando

Doris Meladando

Marsha Baye

Attachment D

Municipal Housing Authority of the City of Utica

Annual Plan

Fiscal Year 07/01/2007 - 06/30/2008

Required Attachment: Resident Member on the PHA Governing Board

1. ☒ Yes ☐ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

Linda Mesagna
Marsha Baye

B. How was the resident board member selected: (select one)?
☒Elected
☐Appointed

C. The term of appointment is (include the date term expires):
Linda Mesagana - 2 year term expiring 06/30/07
Marsha Baye – 2 year term expiring 06/30/08

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not? - **NA**
☐ the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
☐ the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
☐ Other (explain):

B. Date of next term expiration of a governing board member: **06/30/2007**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Timothy Julian, Mayor, City of Utica

Attachment E

Municipal Housing Authority of the City of Utica

Annual Plan

Fiscal Year 07/01/2007 – 06/30/2008

Implementation of Public Housing Resident Community Service Requirements

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The administrative steps that we will take to implement the Community Service Requirements include the following:

1. Development of Written Description of Community Service Requirement:

The Municipal Housing Authority of the City of Utica has a written developed policy of Community Service Requirements as a part of the Admissions and Continued Occupancy Policy and has completed the required Resident Advisory Board review and public comment period.

2. Scheduled Changes in Leases:

The Municipal Housing Authority of the City of Utica has made the necessary changes to the lease and has completed the required Resident Advisory Board review and public comment period.

3. Written Notification to Residents of Exempt Status to each Adult Family Member:

The Municipal Housing Authority of the City of Utica will notify residents at the time of their recertification.

4. Cooperative Agreements with TANF Agencies:

The Municipal Housing Authority of the City of Utica has a Cooperative Agreement with the TANF Agency (Oneida County Department of Social Services).

5. Programmatic Aspects:

Community service includes performing work or duties in the public benefit that serve to improve the quality of life and/or enhance resident self-sufficiency, and/or increase the self-responsibility of the resident within the community.

An economic self-sufficiency program is one that is designed to encourage, assist, train or facilitate the economic independence of participants and their families or to provide work for participants. These programs may include programs for job training, work placement, basic skills training, education, English proficiency, work fare, financial or household management, apprenticeship, and any program necessary to ready a participant to work (such as substance abuse or mental health treatment).

The Municipal Housing Authority of the City of Utica will coordinate with social service agencies, local schools, and the Human Resources Office in identifying a list of volunteer community service positions.

Together with the Resident Advisory Board, the Municipal Housing Authority of the City of Utica may create volunteer positions such as, litter patrols, and supervising and record keeping for volunteers.

Attachment F

Municipal Housing Authority of the City of Utica

Annual Plan

Fiscal Year 07/01/2007 – 06/30/2008

Pet Policy Statement

The Municipal Housing Authority of the City of Utica allows for pet ownership in its developments with the written pre-approval of the Housing Authority.

The Municipal Housing Authority of the City of Utica adopts the following reasonable requirements as part of the Pet Policy:

1. Residents are responsible for any damage caused by their pets, including the cost of fumigating or cleaning their units.

2. In exchange for this right, resident assumes full responsibility and liability for the pet and agrees to hold the Municipal Housing Authority of the City of Utica harmless from any claims caused by an action or inaction of the pet.

3. Residents must have the prior written approval of the Housing Authority before moving a pet into their unit.

4. Residents must request approval on the Authorization for Pet Ownership Form that must be fully completed before the Housing Authority will approve the request.

5. Residents must give the Housing Authority a picture of the pet so it can be identified if it is running loose.

6. A pet deposit of $350 is required at the time of registering a pet.

7. The Municipal Housing Authority of the City of Utica will allow only common household pets. This means only domesticated animals such as a dog, cat, bird, rodent (including a rabbit), fish in aquariums or a turtle will be allowed in units. Common household pets do not include reptiles (except turtles).

   All dogs and cats must be spayed (female) or neutered (male) before they become six months old. A licensed veterinarian must verify this fact.

   Only one pet per unit will be allowed according to this schedule.

Any animal deemed to be potentially harmful to the health or safety of others, including attack or fight-trained dogs, will not be allowed (Rottweilers, Pit Bulls, Dobermans, Chows)

No animal may exceed forty (40) pounds in weight projected to full adult size.

8. Dogs and cats must be kept on a lease accompanied by the owner at all times when outside the unit. Pets are not to be left outside by themselves.
9. No guests are allowed to bring pets on community premises (no pet sitting).

10. Pets shall not be permitted in any common areas within the buildings except when directly leaving and entering the building.

11. Before acquiring a pet, the resident must also provide the Project Manager with a notarized statement signed by the resident and his representative who will be responsible for the care of the residents' pet in case of the residents' illness, hospitalization, death or other emergency.

12. In order to be registered, pets must be appropriately inoculated against rabies, distemper and other conditions prescribed by state and/or local ordinances. They must comply with all other state and local public health, animal control, and anti-cruelty laws including any licensing requirements. A certification signed by a licensed veterinarian or state or local official shall be annually filed with the Municipal Housing Authority of the City of Utica to attest to the inoculations.

13. The Municipal Housing Authority of the City of Utica, or an appropriate community authority, shall require the removal of any pet from a project if the pet's conduct or condition is determined to be a nuisance or threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

14. This pet policy does not apply to animals that are used to assist persons with disabilities. Assistive animals are allowed in all public housing facilities with no restrictions other than those imposed on all residents to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors.

The statutes, regulations and policy regarding pet ownership in public housing are as follows:

- Section 31 of the United States Housing Act
- 24 CFR 960.701 – 707
- Section 227 of the Housing and Urban-Rural Recovery Act of 1983
- 24 CFR 5.300 – 5.327 and 5.380
- Municipal Housing Authority of the City of Utica Admissions and Continued Occupancy Policy
- Municipal Housing Authority of the City of Utica Public Housing Dwelling Lease

Attachment G

DESCRIPTION OF UMHA HOMEOWNERSHIP PROGRAMS:

The Utica Municipal Housing Authority (UMHA) currently implements three homeownership programs funded by HUD:

The HOPE VI Oneida Homes Project consists of the development of at least 40 single-family homeownership units constructed within the HOPE VI development area. All the units are intended for fee-simple sale. To date, 18 homes have been developed. Financing for the HOPE VI project is provided by the Federal Home Loan Bank of New York, the City of Utica HOME Program, New York State Division of Housing and Community Renewal, private bank mortgages and construction loans, homeowner equity, and HOPE VI funds. Oneida Homes consists of four subphases: A – 11 units (completed); B – 8 units (nearing completion); C – 12 planned units; and Ð 9 planned units. The precise number of units will be contingent upon final development costs and available financing.

West Utica Replacement Housing Factor Homes Project - The UMHA has received HUD Section 32 approval to make available six public housing units for purchase by eligible public housing and non-public housing residents as their principal residences. A total of 8 units have been constructed; of the number, six were financed by Replacement Housing Factor (RHF) Funds and two were funded by the City of Utica HOME Program. All of the homes are new construction detached single-family homes which were built on a model block in the West Utica area of the City of Utica. The UMHA intends to build at least two additional units using project program income.

Homeownership Supportive Services Program - On April 7, 2006, the Municipal Housing Authority of the City of Utica, New York (UMHA) was awarded a grant of $250,000 from the United States Department of Housing and Urban Development to establish the establish the Utica Homeownership Supportive Services (HSS) Program to help residents of our public housing projects to become homeowners. In partnership with local financial institutions, and other community and faith-based organizations, UMHA will offer provide coordinated outreach resident assessment, homeownership training, individual savings accounts, homeownership vouchers, supportive services, and on-going participant monitoring.

The project will target populations such as HOPE VI residents, immigrants, persons with limited English proficiency, minorities, and the disabled. Services will be tailored to meet the individual needs of residents. Resident recruitment will be on-going through the life of the grant. It will serve a total of 50 participants. Ten homeownership vouchers will be provided on an annual basis to participating households during the three year grant term. However, due

to deobligation of Section 8 voucher authority, we may not be able to make these vouchers available.

Attachment R

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06R00650207 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.:)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations		$ -	$ -	$ -
3	1408 Management Improvement Soft Cost		$ -	$ -	$ -
4	1410 Administration		$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements		$ -	$ -	$ -
10	1460 Dwelling Structures		$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable		$ -	$ -	$ -
12	1470 Non-dwelling Structures		$ -	$ -	$ -
13	1475 Non-dwelling Equipment		$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs		$ -	$ -	$ -
18	1499 Development Activities	$ 137,075			
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 137,075**	**$ -**	**$ -**	**$ -**

Attachment R

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06R00650207 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.:)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

	Amount of line 21 Related to LBP Activities				
	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation				
	Collateralization Expenses or Debt Services	$ 137,075			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Development Activities							
NY006-13	Oak Street	New Construction of Single Family Detached Replacement Housing Units	1499	1 Dev.	$ 137,075				
		Subtotal Acct 1499			**$ 137,075**				
		Grand Total			**$ 137,075**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number :** **NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY006-13/Oak St. Development	4/16/2007			4/16/2008			

Attachment B

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06P00650107 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost			
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations	$ 10,000	$ -	$ -	$ -
3	1408 Management Improvement Soft Cost	$ 168,189	$ -	$ -	$ -
4	1410 Administration	$ 192,568	$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$ 50,034	$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements	$ 78,887	$ -	$ -	$ -
10	1460 Dwelling Structures	$ 1,241,507	$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable	$ 58,500	$ -	$ -	$ -
12	1470 Non-dwelling Structures	$ 115,000	$ -	$ -	$ -
13	1475 Non-dwelling Equipment	$ 9,000	$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	$ 2,000	$ -	$ -	$ -
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 1,925,685**	**$ -**	**$ -**	**$ -**
	Amount of line 21 Related to LBP Activities	$ 12,000			

Attachment B

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06P00650107 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation Measures	$ 226,955			
	Collateralization Expenses or Debt Services				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
	HA-Wide	**Operations**	**1406**						
		HA-Wide Operating expenses normally paid by operating subsidy		8 Dev	$ 10,000				
		Subtotal Acct 1406			**$ 10,000**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Management Improvements**	**1408**						
		Grant Writer		1	$ 84,551				
		System Adminstrator		1	$ 76,813				
		Training		1	$ 4,000				
		Hardware & Software		1	$ 2,825				
		Subtotal Acct 1408			**$ 168,189**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Administration**	**1410**						
		Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits		5	$ 192,568				
		Subtotal Acct 1410			**$ 192,568**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Fees and Costs**	**1430**						
		A&E Services and Testing	1430	HA-Wide	$ 50,034				
		Subtotal Acct 1430			**$ 50,034**	**$ -**	**$ -**	**$ -**	
		Site Improvements	**1450**						
NY006-1	Adrean Terrace	Sidewalks, Parking Lot Improvements, Sewer laterals & Landscaping	1450	1 Dev.	$ 25,000				
NY006-2	N.D. Peters Manor	Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 10,000				
NY006-4	Perretta Twin Towers	Sidewalk Replacement & Landscaping	1450	1Dev	$ 4,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-7	Marino-Ruggiero & Duplexes	Sidewalk Replacement, Landscaping and Exterior Lighting	1450	1 Dev.	$ 4,000				
NY006-10	Gillmore Village	Exterior Lighting, Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 14,078				
NY006-10	FX Matts Apts	Sidewalk Replacement & Parking Lot Improvements, Landscaping	1450	1 Dev.	$ 10,000				
NY006-10	Humphrey Gardens	Exterior LightingSidewalk Replacement & Landscaping	1450	1 Dev.	$ 11,809				
		Subtotal Acct 1450			**$ 78,887**	**$ -**	**$ -**	**$ -**	
		Dwelling Structures	**1460**						
NY006-10	Gillmore Village	Apartment Renovations-Force Account & Contracted Services	1460	8 Apts	$ 144,676				
NY006-10	Gillmore Village	Cycle Painting	1460	34 Apts	$ 23,332				
NY006-10	Gillmore Village	Tub Valves & Surrounds-Force Account	1460	12 Apts	$ 17,085				
NY006-10	Gillmore Village	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	Gillmore Village	Flooring	1460	8 Apts	$ 12,000				
NY006-10	Gillmore Village	Oil Remediation	1460	1 Dev	$ 50,000				
NY006-10	Gillmore Village	Abatement Cost - Asbestos & Lead	1460	8 Apts	$ 40,000				
NY006-10	Gillmore Village	Crawl Space Improvements	1460	1 Dev	$ 1,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	Humphrey Gardens	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 72,338				
NY006-10	Humphrey Gardens	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-10	Humphrey Gardens	Flooring	1460	4 Apts	$ 6,000				
NY006-10	Humphrey Gardens	Roof Replacement	1460	1 Dev	$ 200,000				
NY006-10	Humphrey Gardens	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-11	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 20,000				
NY006-10	Humphrey Gardens	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,302				
NY006-10	Humphrey Gardens	Tub Valves & Surrounds-Force Account & Contracted Services	1460	16 Apts	$ 22,779				
NY006-10	Humphrey Gardens	Replacement Windows	1460	1 Bldg	$ 197,703				
NY006-10	Humphrey Gardens		1460						
NY006-10	FX Matts Apts	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	FX Matts Apts	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	$ 54,253				
NY006-10	FX Matts Apts	Tub Valves & Surrounds-Force Account & Contracted Services	1460	8 Apts	$ 11,390				
NY006-10	FX Matts Apts	Cycle Painting	1460	11 Apts	$ 7,548				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Flooring	1460	3 Apts	$ 4,500				
NY006-10	FX Matts Apts	Abatement Cost - Asbestos & Lead	1460	3 Apts	$ 15,000				
NY006-2	N.D. Peters Manor	Concrete Porch Replacement	1460	1 Apt.	$ 10,000				
NY006-2	N.D. Peters Manor	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-2	N.D. Peters Manor	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-2	N.D. Peters Manor	Cycle Painting	1460	16 Apts	$ 10,980				
NY006-1	Adrean Terrace	Apartment Renovations-Force Account & Contracted Services	1460	5 Apts	$ 90,422				
NY006-1	Adrean Terrace	Flooring	1460	5 Apts	$ 7,500				
NY006-1	Adrean Terrace	Cycle Painting	1460	35 Apts	$ 24,018				
NY006-1	Adrean Terrace	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 25,000				
NY006-1	Adrean Terrace	Tub Valves & Surrounds-Force Account & Contracted Services	1460	50	$ 14,237				
NY006-1	Adrean Terrace	Tubs & Surrounds- Contracted Services	1460	30	$ 52,500				
NY006-1	Adrean Terrace	Locks	1460	400	$ 17,236				
NY006-1	Adrean Terrace	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 2,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 17,390				
NY006-4	Perretta Twin Towers		1460						
NY006-4	Perretta Twin Towers	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-4	Perretta Twin Towers	Flooring	1460	2 Apts	$ 6,000				
NY006-4	Perretta Twin Towers	Abatement Cost - Asbestos & Lead	1460	2 Apts	$ 20,000				
NY006-7	Marino-Ruggiero & Duplexes		1460						
NY006-7	Marino-Ruggiero & Duplexes	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-7	Marino-Ruggiero & Duplexes	Cycle Painting	1460	11 Apts	$ 7,548				
		Subtotal Acct 1460			**$ 1,241,507**	**$ -**	**$ -**	**$ -**	
		Dwelling Equipment	**1465.1**						
NY006-7	Marino-Ruggiero & Duplexes	Appliances - Stoves & Refrigerators, Delivered	1465.1	4 Each	$ 1,800.00				
NY006-4	Perretta Twin Towers	Appliances - Stoves & Refrigerators, Delivered	1465.1	6 Each	$ 2,700.00				
NY006-10	Gillmore Village	Appliances - Stoves & Refrigerators, Delivered	1465.1	38 Each	$ 17,100				
NY006-2	N.D. Peters Manor	Appliances - Stoves & Refrigerators, Delivered	1465.1	8 Each	$ 3,600				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Appliances - Stoves & Refrigerators, Delivered	1465.1	12 Each	$ 5,400				
NY006-10	Humphrey Gardens	Appliances - Stoves & Refrigerators, Delivered	1465.1	22 Each	$ 9,900				
NY006-1	Adrean Terrace	Appliances - Stoves & Refrigerators, Delivered	1465.1	40 Each	$ 18,000				
		Subtotal Acct 1465.1			**$ 58,500**	**$ -**	**$ -**	**$ -**	
		<u>Non-Dwelling Structures</u>	**1470**						
NY006-10	Gillmore Village	New Two Stall Garage w/ Storage	1470	"B" Bldg	$ 35,000				
NY006-9	Gillmore Village	Community Bldg/Computor Lab	1460	1 Dev.	$ 30,000				
NY006-10	Gillmore Village	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	Humphrey Gardens	Maintenance Garage Improvements w/ Storage	1470	"B" Bldg	$ 30,000				
NY006-10	Humphrey Gardens	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	FX Matts Apts	Maintenance Shop Improvements	1470	"J" Bldg	$ 1,000				
NY006-1	Adrean Terrace	Site Office & Community Bldg. Improvements	1470	Vega Center	$ 3,000				
NY006-1	Adrean Terrace	Maintenance Shop Improvements	1470	Bldgs 13 & 20	$ 1,000				
NY006-2	N.D. Peters Manor	Maintenance Shop/Garage Improvements	1470	"J" Bldg	$ 3,000				
NY006-4	Perretta Twin Towers	Administrative & Community Room Improvements	1470	1 Bldg	$ 5,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Maintenance Facility Improvements	1470	1 Bldg	$ 5,000				
		Subtotal Acct 1470			**$ 115,000**	**$ -**	**$ -**	**$ -**	
		Non-Dwelling Equipment	**1475**						
NY006-10	Gillmore Village	Tools and Equipment	1475		$ 1,500				
NY006-4	Perretta Twin Towers	Office Furniture, Tools & Equipment	1475		$ 2,500				
NY006-1	Adrean Terrace	Tools and Equipment	1475		$ 1,500				
NY006-10	FX Matts Apts	Tools and Equipment	1475		$ 1,000				
NY006-10	Humphrey Gardens	Tools and Equipment	1475		$ 1,500				
NY006-2	N.D. Peters Manor	Tools and Equipment	1475		$ 1,000				
		Subtotal Acct 1475			**$ 9,000**	**$ -**	**$ -**	**$ -**	
		Relocation Costs	**1495.1**						
NY006-10	FX Matts Apts	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Humphrey Gardens	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Gillmore Village	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-2	N.D. Peters Manor	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-1	Adrean Terrace	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Subtotal Acct 1495.1			**$ 2,000**	**$ -**	**$ -**	**$ -**	
		Grand Total			**$ 1,925,685**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number :** **NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	6/30/2009			6/30/2011			
NY06P006010 - G.V.	6/30/2009			6/30/2011			
NY06P006010 - H.G.	6/30/2009			6/30/2011			
NY06P006010 - F.X.M.	6/30/2009			6/30/2011			
NY06P006007 - M.R. /Duplexes	6/30/2009			6/30/2011			
NY06P006004 - P.T.T.	6/30/2009			6/30/2011			
NY06P006002 - N.D.P	6/30/2009			6/30/2011			
NY06P006001 - A.T.	6/30/2009			6/30/2011			

Attachment H

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 FFY 2006	Work Statement for Year 2 FFY Grant: NY06P00650108 PHA FY: 2008	Work Statement for Year 3 FFY Grant: NY06P00650109 PHA FY: 2009	Work Statement for Year 4 FFY Grant: NY06P00650110 PHA FY: 2010	Work Statement for Year 5 FFY Grant: NY06P00650111 PHA FY: 2011
B. Phsyical Improvements Subtotal	Annual Statement	$ 1,474,333	$ 1,480,504	$ 1,466,879	$ 1,474,333
C. Management Improvements		$ 168,189	$ 168,189	$ 168,189	$ 168,189
D. HA-Wide Non-dwelling Structures and Equipment					
E. Adminstration		$ 192,569	$ 192,569	$ 192,569	$ 192,569
F. Other Fees and Cost		$ 80,594	$ 74,424	$ 75,000	$ 80,594
G. Operations		$ 10,000	$ 10,000	$ 23,048	$ 10,000
H. Demolition					
I. Replacement Reserves					
J. Mod Used for Development					
K. Total CFP Funds		$ 1,925,685	$ 1,925,686	$ 1,925,685	$ 1,925,685
L. Total Non-Total CFP Funds					
M. Grand Total		$ 1,925,685	$ 1,925,686	$ 1,925,686	$ 1,925,685
Signature of Executive Director		Date	Signature of Public Housing Director		Date

Attachment C

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 2006	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 07/01/07	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 07/01/08	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 07/01/09	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 07/01/10
	Annual Statement				
HA-Wide		$ 451,352	$ 445,182	$ 458,806	$ 451,352
NY06-01		$ 420,184	$ 501,989	$ 284,228	$ 420,184
NY06-02		$ 391,795	$ 51,257	$ 373,911	$ 391,795
NY06-04		$ 67,273	$ 226,286	$ 248,022	$ 67,273
NY06-07		$ 10,395	$ 10,395	$ 7,548	$ 10,395
NY06-10		$ 584,686	$ 690,576	$ 553,170	$ 584,686
CFP Funds Listed for 5-year planning		$ 1,925,685	$ 1,925,685	$ 2,060,922	$ 2,060,922
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 74,424
		Operations (1406)	$ 10,000		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 451,352**		**Subtotal HA Wide**	**$ 445,182**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 144,666	Adrean Terrace	Apartment Renovations	$ 126,582
	Adrean Terrace	Playground Improvements	$ 21,450		Cycle Painting	$ 24,016
	Adrean Terrace	Cycle Painting	$ 24,016		Bath Ventilation, Tub Surround/Valves	$ 40,694
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Flooring	$ 10,500
	Adrean Terrace	Flooring	$ 12,000		Exterior Porch Improvements/Railings	$ 11,370
	Adrean Terrace	Bath Ventilation, Tub Surround/Valves	$ 7,694		Abatement - Asbestos and LBP	$ 35,000
	Adrean Terrace	Masonry Porches	$ 112,748		Replace Drain Lines	$ 4,697
	Adrean Terrace	Replace Drain Lines	$ 54,010		Roof Replacement	$ 245,080
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 4,050
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace	Adminstrative/Community Rm Upgrades	$ -			$ -
		Subtotal NY06-01	**$ 420,184**		**Subtotal NY06-01**	**$ 501,989**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Exterior Porch Improvements/ Railings	$ 65,506	N.D. Peters	Cycle Painting	$ 10,979
	N.D. Peters	Cycle Painting	$ 10,979		Bath Ventilation, Tub Surround/Valves	$ 2,846

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Replacement Roofing	$ 138,600			
	N.D. Peters	Abatement-Asbestos & LBP	$ 14,363	N.D. Peters	Exterior Porch Improvements/Railings	$ 37,432
	N.D. Peters	Replacement Windows	$ 134,917			
	N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847			
	N.D. Peters	Apartment Renovations	$ 18,083			
	N.D. Peters	Flooring	$ 6,500			
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters					$ -
		Subtotal NY06-02	**$ 391,795**		**Subtotal NY06-02**	**$ 51,257**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Cycle Painting	$ 13,037	Perretta Twin	Cycle Painting	$ 13,037
	Towers	Flooring	$ 7,500	Towers		$ -
		Abatement-Asbestos & LBP	$ 25,000		Kitchen Cabinets, counters, sink, faucet	$ 180,749
		Apartment Renovations	$ 21,736		Flooring	$ 7,500
					Abatement - Asbestos and LBP	$ 25,000
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 67,273**		**Subtotal NY06-04**	**$ 226,286**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero			Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Bath Ventilation, Tub Surround/Valves	$ 2,847		Bath Ventilation, Tub Surround/Valves	$ 2,847
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
		Subtotal NY06-07	**$ 10,395**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village			Gillmore Village	Apartment Renovations	$ 235,082
	Humphrey Gard	Cycle Painting	$ 43,914	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Flooring	$ 22,500	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Abatement-Asbestos & LBP	$ 75,000		Flooring	$ 19,500
		Bath Ventilation, Tub Surround/Valves	$ 51,254		Abatement - Asbestos and LBP	$ 90,002
		Apartment Renovations	$ 271,248		Replacement Windows	$ 237,774
		Playground Improvements	$ 64,350			
		Replace Drain Lines	$ 46,770			
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -			$ -
			$ -			$ -
			$ -			$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 9,650		Appliances	$ 13,050
		Subtotal NY06-10	**$ 584,686**		**Subtotal NY06-10**	**$ 690,576**
		Total CFP Funds	$ 1,925,685			$ 1,925,685
			$ -			$ -

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 75,000		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594
		Operations (1406)	$ 23,048		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 458,806**		**Subtotal HA Wide**	**$ 451,352**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 126,582	Adrean Terrace	Apartment Renovations	$ 144,666
	Adrean Terrace	Replace Drain Lines	$ 54,010		Replace Drain Lines	$ 54,010
	Adrean Terrace	Flooring	$ 12,000		Flooring	$ 12,000
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Abatement - Asbestos and LBP	$ 40,000
	Adrean Terrace	Exterior Porch Improvements/Railings	$ 24,020		Exterior Porch Improvements/Railings	$ 112,748
	Adrean Terrace	Cycle Painting	$ 24,016		Cycle Painting	$ 24,016
					Bath Ventilation, Tub Surround/Valves	$ 7,694
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 3,600
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace				Playground Equipment	$ 21,450
		Subtotal NY06-01	**$ 284,228**		**Subtotal NY06-01**	**$ 420,184**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Masonry Porch/ Railings	$ 61,080	N.D. Peters	Masonry Porches/Railings	$ 70,506
	N.D. Peters	Cycle Painting	$ 10,979	N.D. Peters	Cycle Painting	$ 10,979

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Abatement-Asbestos & LBP	$ 26,598	N.D. Peters	Roof Replacement	$ 138,600
	N.D. Peters	Replacement Windows	$ 253,804	N.D. Peters	Apartment Renovations	$ 18,083
				N.D. Peters	Abatement-Asbestos & LBP	$ 14,363
				N.D. Peters	Replacement Windows	$ 134,917
				N.D. Peters	Flooring	$ 1,500
				N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
	N.D. Peters	Playground Improvements	$ 21,450			$ -
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters		$ -			$ -
		Subtotal NY06-02	**$ 373,911**		**Subtotal NY06-02**	**$ 391,795**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Flooring	$ 7,500	Perretta Twin	Flooring	$ 7,500
	Towers	Abatement-Asbestos & LBP	$ 25,000	Towers	Abatement - Asbestos and LBP	$ 25,000
		Cycle Painting	$ 13,037		Cycle Painting	$ 13,037
		Kitchen Renovations	$ 180,749		Apartment Renovations	$ 21,736
		Apartment Renovations	$ 21,736			
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 248,022**		**Subtotal NY06-04**	**$ 67,273**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero		$ -	Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
					Bath Ventilation, Tub Surround/Valves	$ 2,847
		Subtotal NY06-07	**$ 7,548**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village	Apartment Renovations	$ 235,081	Gillmore Village	Apartment Renovations	$ 271,248
	Humphrey Gard	Windows	$ 128,238	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Abatement-Asbestos & LBP	$ 70,817	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Replace Drain Lines	$ 46,770		Abatement - Asbestos and LBP	$ 75,000
		Flooring	$ 18,000		Replace Drain Lines	$ 46,770
		Cycle Painting	$ 43,914		Flooring	$ 22,500
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
					Playground Improvements	$ 64,350
						$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 10,350		Appliances	$ 9,650
		Subtotal NY06-10	**$ 553,170**		**Subtotal NY06-10**	**$ 584,686**
			$ 1,925,685			$ 1,925,685
			$ -			$ -

ATTACHMENT I
Fiscal Year 2007 Annual Plan
Resident Advisory Board Meeting Comments

March 13, 2007 RESIDENT ADVISORY BOARD MEETING

MINUTES

Attendance: Diane Suarez, Marsha Baye, John Furman, Terry Scheri, Linda Mesagna, Dave Zogby, Mary Lou Bojdak,

Information regarding the Housing Authority's Five Year and Annual Plan was distributed to all in attendance.

Mr. Furman provided an overview of the Plan, the reason the Plan is created and what the Housing Authority is required by the U.S. Department of Housing and Urban Development (HUD) to submit. He also distributed handouts of information from the HUD website regarding the Annual Plan development process. He explained the parts of the Annual Plan, the needs for resident involvement, and the public hearing requirement. Mr. Furman stressed the importance of residents becoming engaged in the Annual Plan Development process.

Mr. Furman indicated that since the UMHA changed its fiscal year from July 1 to January 1, we would need to submit to HUD two Annual Plans this year.

In addition, an explanation was provided as to how the Plan is formulated. It was explained that two (2) meetings of the Resident Advisory Council would be required. The first meeting provided a general explanation, the purpose and requirements of the Plan and the importance of the Resident Advisory Councils involvement.

Mr. Furman advised the Resident Advisory Board members that as they review the Plan, they should contact him if they have any questions or require further information.

The second Resident Advisory Board meeting is scheduled for March 29, 2006 at 1:30 PM in the Municipal Housing Authority Board Room, 509 Second Street.

March 29, 2007 RESIDENT ADVISORY BOARD MEETING

MINUTES

Attendance: Doris Maldonado, Diane Suarez, Marsha Baye, John Furman, Terry Scheri, Linda Mesagna, Brian Jennings Mary Lou Bojdak, Eric Fulmer (Annual Plan Advisor)

On March 29, 2007, the above tenants and staff attended the second meeting of the Resident Advisory Board in connection with the 2007 Annual and Five Year Plan.

John Furman, UMHA Grant Writer, summarized the Annual Plan development process, the importance of seeking resident participation and input, and how residents can become involved. Prior to the meeting, Resident Advisory Board members received copies of the draft annual plan. He reviewed each portion of the Annual Plan.

Mr. Furman discussed the mission of the Utica Municipal Housing Authority and how our mission statement should govern our activities and services to residents.

John Furman also explained the Five Year Goals & Objectives as outlined in the Annual Plan.

After reviewing the goals of the Plan, Mr. Furman discussed new developments and initiatives of the UMHA including the following:

1. HOPE VI
2. Violence Against Women Act
3. Asset Based Management
4. Energy Performance Contracting
5. Funding Shortfalls
6. Community Service Requirement
7. Limited English Proficiency Requirements
8. Need to diversify funding

Mr. Furman discussed the other parts of the Annual Plan including housing needs, financial resources, eligibility, selection, and admissions policies, rent determination policies, operations and management policies, grievances procedures, capital improvement needs, homeownership, resident service programs, crime and safety, pets, and demolition and disposition.

Mr. Furman asked the residents for their comments on the Annual Plan.

Resident Concerns

The main concern of residents in attendance was the maintenance of family sector and senior sector apartments. Two of the residents indicated specific maintenance concerns regarding their units. Mr. Brian Jennings, UMHA Modernization Coordinator, indicated that he would have his staff immediately address the resident concerns and make appropriate repairs.

Mr. Furman indicated that continued cuts in HUD funding have resulted in reductions of maintenance staff. Those in attendance said that residents should contact their representatives to petition Congress for increased HUD funding

Another concern expressed by residents was the lack of security around family developments. The residents gave one example showing the need for increased security. They indicated that a van was parked in front of the Vega Martinez Center with no back tire. Residents also stated that a motor from a car was left in the parking lot. These issues lower the quality of life for residents and endanger the safety of children.

Residents said that HUD should provide funding for increased security personnel and reinstitute grants for drug reduction efforts.

The Resident Advisory Board members indicated that people who are not residents of the complexes often come and drop off their garbage in the UMHA dumpsters. The residents feel that more security and a community policing program are greatly needed to reduce crime and vandalism. They also feel that Security personnel should be more visible, especially with summer coming. Residents said that security personnel should “walk the beat” in the developments and institute crime prevention programs.

The residents asked about lease violations. Residents feel that the Housing Authority should work with the Refugee Center to help new residents from other countries adapt to our culture. Some residents who are recent arrivals to this country have been observed throwing garbage out the window and have problems maintaining the cleanliness of their apartments.

Residents from the Senior Sites are upset that other residents are washing clothes for people that do not live in their building. (There are only 4 washers and dryers available in the Perretta Twin Towers Development.) It was suggested that each resident should be issued a laundry card that they have to deposit money to use it. This would be a way to limit the use of laundry to residents and ensure that nonresidents are not using the equipment.

The group stated that the plan appeared to be well developed. They agreed with the actions that the Authority planned to take on many issues. They hope that the Authority will follow up on their items of concern.

Mr. Furman summarized the major concerns of the RAB as it pertains to the Annual Plan. The primary needs to be addressed by Housing Authority staff are:

1) Stronger maintenance and follow-up on work orders
2) Increased Security
3) Lease enforcement regarding laundry privileges, garbage disposal, and other issues
4) Resident services to help refuges and immigrant to better adapt to housing development rules.

On behalf of the Resident Advisory Board, Linda Mesagna will speak at the Annual Plan Public Hearing that will be held on Monday, April 16, 2007 at 4:00 PM at the UMHA Main Office. She will summarize the concerns of UMHA residents who spoke at this meeting.

April 16, 2007 ANNUAL PLAN PUBLIC HEARING

Minutes

Attendance: UMHA Board members including resident representatives on the Board. In addition, the following residents attended the hearing: Carmela Catalano, Theresa Wild, Julia Spina, Annette Main, Fred Angelo

Mr. David Williams, UMHA Board Chairperson, called the pubic hearing to order. He explained that the public hearing was the first agenda item on today's Board of Commissioners meeting. Mr. Williams asked for resident comments on the Annual Plan.

Ms. Linda Mesagna, one of the resident members of the UMHA Board of Commissioners, spoke on behalf of the Resident Advisory Board. She indicated that the major concern of the residents was the need for increased maintenance personnel. Due to cutbacks in HUD funding, the UMHA has found it necessary to reduce maintenance staff.

Concerns were also expressed regarding a need for greater visibility of security personnel in the developments. Residents feel that we need an increase in public safety staff as well.

Residents indicated a need for computer labs at the senior developments where residents can learn computer skills as well as use computers for email and other functions.

Mr. Williams asked for additional public comments. There were none. The public hearing was adjourned.

Respectfully submitted,

Mary Lou Bojdak

Attachment R

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06R00650207 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.:)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations		$ -	$ -	$ -
3	1408 Management Improvement Soft Cost		$ -	$ -	$ -
4	1410 Administration		$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements		$ -	$ -	$ -
10	1460 Dwelling Structures		$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable		$ -	$ -	$ -
12	1470 Non-dwelling Structures		$ -	$ -	$ -
13	1475 Non-dwelling Equipment		$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs		$ -	$ -	$ -
18	1499 Development Activities	$ 137,075			
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 137,075**	**$ -**	**$ -**	**$ -**

Attachment R

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06R00650207 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.:)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

	Amount of line 21 Related to LBP Activities				
	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation				
	Collateralization Expenses or Debt Services	$ 137,075			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Development Activities							
NY006-13	Oak Street	New Construction of Single Family Detached Replacement Housing Units	1499	1 Dev.	$ 137,075				
		Subtotal Acct 1499			**$ 137,075**				
		Grand Total			**$ 137,075**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number :** **NY06R00650207** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NY006-13/Oak St. Development	4/16/2007			4/16/2008			

Attachment B

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06P00650107 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost			
1	Total non-CFP Funds	Original	Revised	Obligated	Expended
2	1406 Operations	$ 10,000	$ -	$ -	$ -
3	1408 Management Improvement Soft Cost	$ 168,189	$ -	$ -	$ -
4	1410 Administration	$ 192,568	$ -	$ -	$ -
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$ 50,034	$ -	$ -	$ -
8	1440 Site Acquisitions				
9	1450 Site Improvements	$ 78,887	$ -	$ -	$ -
10	1460 Dwelling Structures	$ 1,241,507	$ -	$ -	$ -
11	1465.1 Dwelling Equipment-Nonexpendable	$ 58,500	$ -	$ -	$ -
12	1470 Non-dwelling Structures	$ 115,000	$ -	$ -	$ -
13	1475 Non-dwelling Equipment	$ 9,000	$ -	$ -	$ -
14	1485 Demolition				
15	1490 Replacement Reserves				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	$ 2,000	$ -	$ -	$ -
18	1499 Development Activities				
19	1502 Contingency				
	Amount of Annual Grant: (Sum of Lines…)	**$ 1,925,685**	**$ -**	**$ -**	**$ -**
	Amount of line 21 Related to LBP Activities	$ 12,000			

Attachment B

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	Capital Fund Program Grant Number : NY06P00650107 Replacement Housing Factor Grant No:	2007

[X] Original Annual Statement [ ] Reserve for Disasters/Emergencies [ ] Revised Annual Statement (Revision No.)
[ ] Performance and Evaluation Report for Period Ending [ ] Final Performance and Evaluation Report

	Amount of line 21 Related to Section 504 Compliance				
	Amount of line 21 Related to Security - Soft Cost				
	Amount of line 21 Related to Security - Hard Cost				
	Amount of line 21 Related to Energy Conservation Measures	$ 226,955			
	Collateralization Expenses or Debt Services				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
	HA-Wide	**Operations**	**1406**						
		HA-Wide Operating expenses normally paid by operating subsidy		8 Dev	$ 10,000				
		Subtotal Acct 1406			**$ 10,000**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Management Improvements**	**1408**						
		Grant Writer		1	$ 84,551				
		System Adminstrator		1	$ 76,813				
		Training		1	$ 4,000				
		Hardware & Software		1	$ 2,825				
		Subtotal Acct 1408			**$ 168,189**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Administration**	**1410**						
		Modernization, 2 Asst Mod Coordinator, Sr. Bookkeeper, Executive Director w/ Benefits		5	$ 192,568				
		Subtotal Acct 1410			**$ 192,568**	**$ -**	**$ -**	**$ -**	
	HA-Wide	**Fees and Costs**	**1430**						
		A&E Services and Testing	1430	HA-Wide	$ 50,034				
		Subtotal Acct 1430			**$ 50,034**	**$ -**	**$ -**	**$ -**	
		Site Improvements	**1450**						
NY006-1	Adrean Terrace	Sidewalks, Parking Lot Improvements, Sewer laterals & Landscaping	1450	1 Dev.	$ 25,000				
NY006-2	N.D. Peters Manor	Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 10,000				
NY006-4	Perretta Twin Towers	Sidewalk Replacement & Landscaping	1450	1Dev	$ 4,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-7	Marino-Ruggiero & Duplexes	Sidewalk Replacement, Landscaping and Exterior Lighting	1450	1 Dev.	$ 4,000				
NY006-10	Gillmore Village	Exterior Lighting, Sidewalk Replacement & Landscaping	1450	1 Dev.	$ 14,078				
NY006-10	FX Matts Apts	Sidewalk Replacement & Parking Lot Improvements, Landscaping	1450	1 Dev.	$ 10,000				
NY006-10	Humphrey Gardens	Exterior LightingSidewalk Replacement & Landscaping	1450	1 Dev.	$ 11,809				
		Subtotal Acct 1450			**$ 78,887**	**$ -**	**$ -**	**$ -**	
		Dwelling Structures	**1460**						
NY006-10	Gillmore Village	Apartment Renovations-Force Account & Contracted Services	1460	8 Apts	$ 144,676				
NY006-10	Gillmore Village	Cycle Painting	1460	34 Apts	$ 23,332				
NY006-10	Gillmore Village	Tub Valves & Surrounds-Force Account	1460	12 Apts	$ 17,085				
NY006-10	Gillmore Village	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	Gillmore Village	Flooring	1460	8 Apts	$ 12,000				
NY006-10	Gillmore Village	Oil Remediation	1460	1 Dev	$ 50,000				
NY006-10	Gillmore Village	Abatement Cost - Asbestos & Lead	1460	8 Apts	$ 40,000				
NY006-10	Gillmore Village	Crawl Space Improvements	1460	1 Dev	$ 1,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	Humphrey Gardens	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 72,338				
NY006-10	Humphrey Gardens	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-10	Humphrey Gardens	Flooring	1460	4 Apts	$ 6,000				
NY006-10	Humphrey Gardens	Roof Replacement	1460	1 Dev	$ 200,000				
NY006-10	Humphrey Gardens	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-11	Humphrey Gardens	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 20,000				
NY006-10	Humphrey Gardens	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,302				
NY006-10	Humphrey Gardens	Tub Valves & Surrounds-Force Account & Contracted Services	1460	16 Apts	$ 22,779				
NY006-10	Humphrey Gardens	Replacement Windows	1460	1 Bldg	$ 197,703				
NY006-10	Humphrey Gardens		1460						
NY006-10	FX Matts Apts	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 1,000				
NY006-10	FX Matts Apts	Apartment Renovations-Force Account & Contracted Services	1460	3 Apts	$ 54,253				
NY006-10	FX Matts Apts	Tub Valves & Surrounds-Force Account & Contracted Services	1460	8 Apts	$ 11,390				
NY006-10	FX Matts Apts	Cycle Painting	1460	11 Apts	$ 7,548				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Flooring	1460	3 Apts	$ 4,500				
NY006-10	FX Matts Apts	Abatement Cost - Asbestos & Lead	1460	3 Apts	$ 15,000				
NY006-2	N.D. Peters Manor	Concrete Porch Replacement	1460	1 Apt.	$ 10,000				
NY006-2	N.D. Peters Manor	Crawl Space Improvements	1460	1 Dev	$ 1,000				
NY006-2	N.D. Peters Manor	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-2	N.D. Peters Manor	Cycle Painting	1460	16 Apts	$ 10,980				
NY006-1	Adrean Terrace	Apartment Renovations-Force Account & Contracted Services	1460	5 Apts	$ 90,422				
NY006-1	Adrean Terrace	Flooring	1460	5 Apts	$ 7,500				
NY006-1	Adrean Terrace	Cycle Painting	1460	35 Apts	$ 24,018				
NY006-1	Adrean Terrace	Abatement Cost - Asbestos & Lead	1460	5 Apts	$ 25,000				
NY006-1	Adrean Terrace	Tub Valves & Surrounds-Force Account & Contracted Services	1460	50	$ 14,237				
NY006-1	Adrean Terrace	Tubs & Surrounds- Contracted Services	1460	30	$ 52,500				
NY006-1	Adrean Terrace	Locks	1460	400	$ 17,236				
NY006-1	Adrean Terrace	Plumbing - Supply Lines and Valves	1460	1 Dev	$ 2,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Apartment Renovations-Force Account & Contracted Services	1460	4 Apts	$ 17,390				
NY006-4	Perretta Twin Towers		1460						
NY006-4	Perretta Twin Towers	Cycle Painting	1460	19 Apts	$ 13,038				
NY006-4	Perretta Twin Towers	Flooring	1460	2 Apts	$ 6,000				
NY006-4	Perretta Twin Towers	Abatement Cost - Asbestos & Lead	1460	2 Apts	$ 20,000				
NY006-7	Marino-Ruggiero & Duplexes		1460						
NY006-7	Marino-Ruggiero & Duplexes	Tub Valves & Surrounds-Force Account & Contracted Services	1460	2 Apts	$ 2,847				
NY006-7	Marino-Ruggiero & Duplexes	Cycle Painting	1460	11 Apts	$ 7,548				
		Subtotal Acct 1460			**$ 1,241,507**	**$ -**	**$ -**	**$ -**	
		Dwelling Equipment	**1465.1**						
NY006-7	Marino-Ruggiero & Duplexes	Appliances - Stoves & Refrigerators, Delivered	1465.1	4 Each	$ 1,800.00				
NY006-4	Perretta Twin Towers	Appliances - Stoves & Refrigerators, Delivered	1465.1	6 Each	$ 2,700.00				
NY006-10	Gillmore Village	Appliances - Stoves & Refrigerators, Delivered	1465.1	38 Each	$ 17,100				
NY006-2	N.D. Peters Manor	Appliances - Stoves & Refrigerators, Delivered	1465.1	8 Each	$ 3,600				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-10	FX Matts Apts	Appliances - Stoves & Refrigerators, Delivered	1465.1	12 Each	$ 5,400				
NY006-10	Humphrey Gardens	Appliances - Stoves & Refrigerators, Delivered	1465.1	22 Each	$ 9,900				
NY006-1	Adrean Terrace	Appliances - Stoves & Refrigerators, Delivered	1465.1	40 Each	$ 18,000				
		Subtotal Acct 1465.1			**$ 58,500**	**$ -**	**$ -**	**$ -**	
		<u>Non-Dwelling Structures</u>	**1470**						
NY006-10	Gillmore Village	New Two Stall Garage w/ Storage	1470	"B" Bldg	$ 35,000				
NY006-9	Gillmore Village	Community Bldg/Computor Lab	1460	1 Dev.	$ 30,000				
NY006-10	Gillmore Village	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	Humphrey Gardens	Maintenance Garage Improvements w/ Storage	1470	"B" Bldg	$ 30,000				
NY006-10	Humphrey Gardens	Site Office & Community Bldg. Improvements	1470	"B" Bldg	$ 1,000				
NY006-10	FX Matts Apts	Maintenance Shop Improvements	1470	"J" Bldg	$ 1,000				
NY006-1	Adrean Terrace	Site Office & Community Bldg. Improvements	1470	Vega Center	$ 3,000				
NY006-1	Adrean Terrace	Maintenance Shop Improvements	1470	Bldgs 13 & 20	$ 1,000				
NY006-2	N.D. Peters Manor	Maintenance Shop/Garage Improvements	1470	"J" Bldg	$ 3,000				
NY006-4	Perretta Twin Towers	Administrative & Community Room Improvements	1470	1 Bldg	$ 5,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
NY006-4	Perretta Twin Towers	Maintenance Facility Improvements	1470	1 Bldg	$ 5,000				
		Subtotal Acct 1470			**$ 115,000**	**$ -**	**$ -**	**$ -**	
		Non-Dwelling Equipment	**1475**						
NY006-10	Gillmore Village	Tools and Equipment	1475		$ 1,500				
NY006-4	Perretta Twin Towers	Office Furniture, Tools & Equipment	1475		$ 2,500				
NY006-1	Adrean Terrace	Tools and Equipment	1475		$ 1,500				
NY006-10	FX Matts Apts	Tools and Equipment	1475		$ 1,000				
NY006-10	Humphrey Gardens	Tools and Equipment	1475		$ 1,500				
NY006-2	N.D. Peters Manor	Tools and Equipment	1475		$ 1,000				
		Subtotal Acct 1475			**$ 9,000**	**$ -**	**$ -**	**$ -**	
		Relocation Costs	**1495.1**						
NY006-10	FX Matts Apts	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Humphrey Gardens	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-10	Gillmore Village	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-2	N.D. Peters Manor	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				
NY006-1	Adrean Terrace	Relocations to Accomplish Renovations & Demolition	1495.1		$ 400				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) part 1: Summary
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number : NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA- Wide Activities		General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
		Subtotal Acct 1495.1			**$ 2,000**	**$ -**	**$ -**	**$ -**	
		Grand Total			**$ 1,925,685**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name	Grant Type and Number	Federal FY of Grant
Municipal Housing Authority of the City of Utica, NY	**Capital Fund Program Grant Number :** **NY06P00650107** **Replacement Housing Factor Grant No:**	**2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	6/30/2009			6/30/2011			
NY06P006010 - G.V.	6/30/2009			6/30/2011			
NY06P006010 - H.G.	6/30/2009			6/30/2011			
NY06P006010 - F.X.M.	6/30/2009			6/30/2011			
NY06P006007 - M.R. /Duplexes	6/30/2009			6/30/2011			
NY06P006004 - P.T.T.	6/30/2009			6/30/2011			
NY06P006002 - N.D.P	6/30/2009			6/30/2011			
NY06P006001 - A.T.	6/30/2009			6/30/2011			

Attachment C

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 FFY 2006	Work Statement for Year 2 FFY Grant: NY06P00650108 PHA FY: 2008	Work Statement for Year 3 FFY Grant: NY06P00650109 PHA FY: 2009	Work Statement for Year 4 FFY Grant: NY06P00650110 PHA FY: 2010	Work Statement for Year 5 FFY Grant: NY06P00650111 PHA FY: 2011
B. Phsyical Improvements Subtotal	Annual Statement	$ 1,474,333	$ 1,480,504	$ 1,466,879	$ 1,474,333
C. Management Improvements		$ 168,189	$ 168,189	$ 168,189	$ 168,189
D. HA-Wide Non-dwelling Structures and Equipment					
E. Adminstration		$ 192,569	$ 192,569	$ 192,569	$ 192,569
F. Other Fees and Cost		$ 80,594	$ 74,424	$ 75,000	$ 80,594
G. Operations		$ 10,000	$ 10,000	$ 23,048	$ 10,000
H. Demolition					
I. Replacement Reserves					
J. Mod Used for Development					
K. Total CFP Funds		$ 1,925,685	$ 1,925,686	$ 1,925,685	$ 1,925,685
L. Total Non-Total CFP Funds					
M. Grand Total		$ 1,925,685	$ 1,925,686	$ 1,925,686	$ 1,925,685
Signature of Executive Director		Date	Signature of Public Housing Director		Date

Attachment C

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name					
Municipal Housing Authority of the City of Utica, NY				[X] Origianl 5-Year Plan [ ] Revision :	
Development Number Name/HA-Wide Activities	Year 1 2006	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 07/01/07	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 07/01/08	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 07/01/09	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 07/01/10
	Annual Statement				
HA-Wide		$ 451,352	$ 445,182	$ 458,806	$ 451,352
NY06-01		$ 420,184	$ 501,989	$ 284,228	$ 420,184
NY06-02		$ 391,795	$ 51,257	$ 373,911	$ 391,795
NY06-04		$ 67,273	$ 226,286	$ 248,022	$ 67,273
NY06-07		$ 10,395	$ 10,395	$ 7,548	$ 10,395
NY06-10		$ 584,686	$ 690,576	$ 553,170	$ 584,686
CFP Funds Listed for 5-year planning		$ 1,925,685	$ 1,925,685	$ 2,060,922	$ 2,060,922
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 74,424
		Operations (1406)	$ 10,000		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 451,352**		**Subtotal HA Wide**	**$ 445,182**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 144,666	Adrean Terrace	Apartment Renovations	$ 126,582
	Adrean Terrace	Playground Improvements	$ 21,450		Cycle Painting	$ 24,016
	Adrean Terrace	Cycle Painting	$ 24,016		Bath Ventilation, Tub Surround/Valves	$ 40,694
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Flooring	$ 10,500
	Adrean Terrace	Flooring	$ 12,000		Exterior Porch Improvements/Railings	$ 11,370
	Adrean Terrace	Bath Ventilation, Tub Surround/Valves	$ 7,694		Abatement - Asbestos and LBP	$ 35,000
	Adrean Terrace	Masonry Porches	$ 112,748		Replace Drain Lines	$ 4,697
	Adrean Terrace	Replace Drain Lines	$ 54,010		Roof Replacement	$ 245,080
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 4,050
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace	Adminstrative/Community Rm Upgrades	$ -			$ -
		Subtotal NY06-01	**$ 420,184**		**Subtotal NY06-01**	**$ 501,989**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Exterior Porch Improvements/ Railings	$ 65,506	N.D. Peters	Cycle Painting	$ 10,979
	N.D. Peters	Cycle Painting	$ 10,979		Bath Ventilation, Tub Surround/Valves	$ 2,846

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Replacement Roofing	$ 138,600			
	N.D. Peters	Abatement-Asbestos & LBP	$ 14,363	N.D. Peters	Exterior Porch Improvements/Railings	$ 37,432
	N.D. Peters	Replacement Windows	$ 134,917			
	N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847			
	N.D. Peters	Apartment Renovations	$ 18,083			
	N.D. Peters	Flooring	$ 6,500			
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters					$ -
		Subtotal NY06-02	**$ 391,795**		**Subtotal NY06-02**	**$ 51,257**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Cycle Painting	$ 13,037	Perretta Twin	Cycle Painting	$ 13,037
	Towers	Flooring	$ 7,500	Towers		$ -
		Abatement-Asbestos & LBP	$ 25,000		Kitchen Cabinets, counters, sink, faucet	$ 180,749
		Apartment Renovations	$ 21,736		Flooring	$ 7,500
					Abatement - Asbestos and LBP	$ 25,000
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 67,273**		**Subtotal NY06-04**	**$ 226,286**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero			Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Bath Ventilation, Tub Surround/Valves	$ 2,847		Bath Ventilation, Tub Surround/Valves	$ 2,847
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 07/01/08			Work Statement for Year 3 FFY Grant: 2009 PHA FY: 07/01/09		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
		Subtotal NY06-07	**$ 10,395**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village			Gillmore Village	Apartment Renovations	$ 235,082
	Humphrey Gard	Cycle Painting	$ 43,914	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Flooring	$ 22,500	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Abatement-Asbestos & LBP	$ 75,000		Flooring	$ 19,500
		Bath Ventilation, Tub Surround/Valves	$ 51,254		Abatement - Asbestos and LBP	$ 90,002
		Apartment Renovations	$ 271,248		Replacement Windows	$ 237,774
		Playground Improvements	$ 64,350			
		Replace Drain Lines	$ 46,770			
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -			$ -
			$ -			$ -
			$ -			$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 9,650		Appliances	$ 13,050
		Subtotal NY06-10	**$ 584,686**		**Subtotal NY06-10**	**$ 690,576**
		Total CFP Funds	$ 1,925,685			$ 1,925,685
			$ -			$ -

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	HA Wide	Management Improvements (1408)	$ 168,189	HA Wide	Management Improvements (1408)	$ 168,189
		Adminstration (1410)	$ 192,569		Adminstration (1410)	$ 192,569
		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 75,000		Fees and Costs (1430) A&E Fees; Prepare Bids & Contract Documents; Assist at Bid Openings; Supervise Construction; Contract Labor	$ 80,594
		Operations (1406)	$ 23,048		Operations (1406)	$ 10,000
		Subtotal HA Wide	**$ 458,806**		**Subtotal HA Wide**	**$ 451,352**
	NY06-01	**Dwelling Structures (1460)**		**NY06-01**	**Dwelling Structures (1460)**	
	Adrean Terrace	Apartment Renovations	$ 126,582	Adrean Terrace	Apartment Renovations	$ 144,666
	Adrean Terrace	Replace Drain Lines	$ 54,010		Replace Drain Lines	$ 54,010
	Adrean Terrace	Flooring	$ 12,000		Flooring	$ 12,000
	Adrean Terrace	Abatement-Asbestos & LBP	$ 40,000		Abatement - Asbestos and LBP	$ 40,000
	Adrean Terrace	Exterior Porch Improvements/Railings	$ 24,020		Exterior Porch Improvements/Railings	$ 112,748
	Adrean Terrace	Cycle Painting	$ 24,016		Cycle Painting	$ 24,016
					Bath Ventilation, Tub Surround/Valves	$ 7,694
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
	Adrean Terrace	Stoves and Refrigerators	$ 3,600	Adrean Terrace	Stoves and Refrigerators	$ 3,600
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
	Adrean Terrace				Playground Equipment	$ 21,450
		Subtotal NY06-01	**$ 284,228**		**Subtotal NY06-01**	**$ 420,184**
	NY06-02	**Dwelling Structures (1460)**		**NY06-02**	**Dwelling Structures (1460)**	
	N.D. Peters	Masonry Porch/ Railings	$ 61,080	N.D. Peters	Masonry Porches/Railings	$ 70,506
	N.D. Peters	Cycle Painting	$ 10,979	N.D. Peters	Cycle Painting	$ 10,979

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
	N.D. Peters	Abatement-Asbestos & LBP	$ 26,598	N.D. Peters	Roof Replacement	$ 138,600
	N.D. Peters	Replacement Windows	$ 253,804	N.D. Peters	Apartment Renovations	$ 18,083
				N.D. Peters	Abatement-Asbestos & LBP	$ 14,363
				N.D. Peters	Replacement Windows	$ 134,917
				N.D. Peters	Flooring	$ 1,500
				N.D. Peters	Bath Ventilation, Tub Surround/Valves	$ 2,847
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
	N.D. Peters	Playground Improvements	$ 21,450			$ -
		Site Improvements (1450)			**Site Improvements (1450)**	
	N.D. Peters		$ -			$ -
		Subtotal NY06-02	**$ 373,911**		**Subtotal NY06-02**	**$ 391,795**
	NY06-04	**Dwelling Structures (1460)**		**NY06-04**	**Dwelling Structures (1460)**	
	Perretta Twin	Flooring	$ 7,500	Perretta Twin	Flooring	$ 7,500
	Towers	Abatement-Asbestos & LBP	$ 25,000	Towers	Abatement - Asbestos and LBP	$ 25,000
		Cycle Painting	$ 13,037		Cycle Painting	$ 13,037
		Kitchen Renovations	$ 180,749		Apartment Renovations	$ 21,736
		Apartment Renovations	$ 21,736			
		Non-Dwelling Equipment (1470)			**Non-Dwelling Equipment (1470)**	
			$ -		Adminstrative Office Addition/Renovate	$ -
		Subtotal NY06-04	**$ 248,022**		**Subtotal NY06-04**	**$ 67,273**
	NY06-07	**Site Improvements (1450)**		**NY06-07**	**Site Improvements (1450)**	
	Marino-Ruggiero		$ -	Marino-Ruggiero		$ -
	Apts & Duplexes	**Dwelling Structures (1460)**		Apts & Duplexes	**Dwelling Structures (1460)**	
		Cycle Painting	$ 7,548		Cycle Painting	$ 7,548

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages-Work Activities

Activities for Year 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 07/01/10			Work Statement for Year 5 FFY Grant: 2011 PHA FY: 07/01/11		
Annual Statement	Development Name/Number	Major Work Categories	Estiamted Cost	Development Name/Number	Major Work Categories	Estiamted Cost
					Bath Ventilation, Tub Surround/Valves	$ 2,847
		Subtotal NY06-07	**$ 7,548**		**Subtotal NY06-07**	**$ 10,395**
	NY06-10	**Dwelling Structures (1460)**		**NY06-10**	**Dwelling Structures (1460)**	
	Gillmore Village	Apartment Renovations	$ 235,081	Gillmore Village	Apartment Renovations	$ 271,248
	Humphrey Gard	Windows	$ 128,238	Humphrey Gard	Cycle Painting	$ 43,914
	F.X. Matt Apts	Abatement-Asbestos & LBP	$ 70,817	F.X. Matt Apts	Bath Ventilation, Tub Surround/Valves	$ 51,254
		Replace Drain Lines	$ 46,770		Abatement - Asbestos and LBP	$ 75,000
		Flooring	$ 18,000		Replace Drain Lines	$ 46,770
		Cycle Painting	$ 43,914		Flooring	$ 22,500
		Site Improvements (1450)			**Site Improvements (1450)**	
			$ -			$ -
		Non-Dwelling Equipment (1475.3)			**Non-Dwelling Equipment (1475.3)**	
					Playground Improvements	$ 64,350
						$ -
		Dwelling Equipment (1465.1)			**Dwelling Equipment (1465.1)**	
		Appliances	$ 10,350		Appliances	$ 9,650
		Subtotal NY06-10	**$ 553,170**		**Subtotal NY06-10**	**$ 584,686**
			$ 1,925,685			$ 1,925,685
			$ -			$ -

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650106**	**2006**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ____ Revised Annual Statement /Revision Number____ _X_ Performance and Evaluation Report for Program Year Ending 12/31/06
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -			
2	1406 Operations (May not exceed 10% of line 19)	$ 200,000.00		$ 200,000.00	$ 200,000.00
3	1408 Management Improvements	$ 166,364.00		$ 161,364.00	$ 38,246.22
4	1410 Administration	$ 192,568.00		$ 192,568.00	$ -
5	1411 Audit	$ -			
6	1415 Liquidated Damages	$ -		$ -	
7	1430 Fees and Costs	$ 50,003.00		$ -	$ -
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ 115,705.16		$ 115,705.16	$ 99,910.60
10	1460 Dwelling Structures	$ 1,160,044.84	$ 1,135,599.84	$ 62,046.03	$ 51,603.67
11	1465 Dwelling Equipment-Nonexpendable	$ 20,000.00	$ 44,445.00	$ 2,890.00	$ 1,693.00
12	1470 Nondwelling Structures	$ 11,000.00		$ -	$ -
13	1475 Nondwelling Equipment	$ 8,000.00		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -			$ -
16	1495.1 Relocation Costs	$ 2,000.00		$ -	$ -
17	1499 Development Activities	$ -			
18	1502 Contingency (may not exceed 8% of line 19)	$ -			
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 1,925,685.00**	**$ 1,180,044.84**	**$ 734,573.19**	**$ 391,453.49**
20	Amount of Line 19 Related to LBP Activites	$ 200,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 200,000.00			

Signature of Executive Director and Date	Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.
12/31/2006	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
2 To be completed for the Performance and Evaluation Report

from HUD-52837
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - WIDE	**OPERATIONS**							
	HA-WIDE OPERATING EXPENSES							
	NORMALLY PAID BY OPERATING							
	SUBSIDY	1406	LUMP SUM	200,000.00		200,000.00	200,000.00	COMPLETE
	SUBTOTAL A/C 1406			**200,000.00**	**0.00**	**200,000.00**	**200,000.00**	
HA-WIDE	**MANAGEMENT IMPROVEMENTS**							
	A) GRANT WRITER	1408	LUMP SUM	84,551.00		84,551.00	18,961.02	EMPLOYEE HIRED
	B) SYSTEM ADMINISTRATOR		LUMP SUM	76,813.00		76,813.00	19,285.20	EMPLOYEE HIRED
	TRAINING		LUMP SUM	5,000.00		0.00	0.00	NO CONTRACT AWARDED
	SUBTOTAL A/C 1408			**166,364.00**	**0.00**	**161,364.00**	**38,246.22**	
HA-WIDE	**ADMINISTRATION**							
	MODERNIZATION & ASSISTANT MOD.							
	COORDINATOR, SR. BOOKKEEPER,							
	SR. TYPIST, EXECUTIVE DIRECTOR							
	W/ BENEFITS	1410	LUMP SUM	192,568.00		192,568.00	0.00	EMPLOYEES HIRED
	SUBTOTAL A/C 1410			**192,568.00**	**0.00**	**192,568.00**	**0.00**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA-WIDE	**FEES & COSTS**							
	A&E SERVICES AND TESTING	1430	LUMP SUM	50,003.00		0.00	0.00	NO CONTRACT AWARDED
	SUBTOTAL A/C 1430			**50,003.00**	**0.00**	**0.00**	**0.00**	
	SITE IMPROVEMENTS							
NY06P006002	SEALING OF PARKING LOTS,							
N.D. PETERS	LANDSCAPING	1450	1 DEV.	0.00		0.00	0.00	DELETE
MANOR								
	UNDERGROUND ELELCTRIC	1450	1 DEV.	32,777.88		32,777.88	17,546.32	CONTRACT AWARDED
	SITE IMPROVEMENTS	1450	1 DEV.	2,911.28		2,911.28	2,911.28	CONTRACT AWARDED
NY06P006004	SEALING OF PARKING LOTS,							
PERRETTA	LANDSCAPING	1450	1 DEV.	0.00		0.00	0.00	DELETE
TWIN TOWERS								
NY06P006001	SEALING OF PARKING LOTS,	1450	1 DEV.	3,104.00		3,104.00	3,104.00	CONTRACT AWARDED
ADREAN	LANDSCAPING							
TERRACE								
NY06P006007	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	10,708.00		10,708.00	10,145.00	CONTRACT AWARDED
MARINO	PARKING AREAS, LANDSCAPING							
RUGGIERO/								
DUPLEXES								

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Annual Statement/
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	28,457.00		28,457.00	28,457.00	CONTRACT AWARDED
GILLMORE	PARKING AREAS, LANDSCAPING							
VILLAGE								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	0.00		0.00	0.00	DELETE
F.X. MATT	PARKING AREAS, LANDSCAPING							
APARTMENTS								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	37,747.00		37,747.00	37,747.00	CONTRACT AWARDED
HUMPHREY	PARKING AREAS, LANDSCAPING							
GARDENS								
	SUBTOTAL A/C 1450			**115,705.16**	**0.00**	**115,705.16**	**99,910.60**	
	DWELLING STRUCTURES							
NY06P006004	ADMIN. & COMM.ROOM IMPROVE.	1460	1 DEV.				0.00	DELETE
PERRETTA								
TWIN TOWERS	NEW SHOWERVALVE, EXHAUST	1460	108 APTS.	142,034.00	131,052.00	0.00	0.00	WORK NOT STARTED
	FAN & TUB WALL							
	APARTMENT RENOVATIONS	1460	2 APTS.	3,843.00		0.00	0.00	WORK NOT STARTED
	CYCLE PAINTING	1460	19 APTS.	13,038.00		0.00	0.00	WORK NOT STARTED

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U.S. Department of Housing
and Urban Development
Office of Public and Housing

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Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended(2)	
NY06P006004	FLOORING	1460	2 APTS.	3,000.00		0.00	0.00	WORK NOT STARTED
PERRETTA								
TWIN TOWERS	ABATEMENT & ASBESTOS	1460	2 APTS.	10,000.00		0.00	0.00	WORK NOT STARTED
	WINDOW REPLACEMENT	1460			10,982.00	596.09	0.00	WORK IN PROCESS
NY06P006007	CYCLE PAINTING	1460	11 APTS.	7,548.00		0.00	0.00	WORK NOT STARTED
MARINO								
RUGGIERO/	NEW SHOWER VALVE,EXHAUST FAN	1460	5 APTS.	7,118.00		0.00	0.00	WORK NOT STARTED
DUPLEXES	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460	1 APTS.	17,761.00		3,669.84	222.10	WORK IN PROCESS
NY06P006010	FLOORING	1460	3 APTS.	4,500.00		0.00	0.00	WORK NOT STARTED
F.X. MATT								
APARTMENTS	CYCLE PAINTING	1460	1 DEV.	7,548.00		0.00	0.00	WORK NOT STARTED
	ABATEMENT & ABESTOS	1460	3 APTS.	15,000.00		0.00	0.00	WORK NOT STARTED
	NEW SHOWER VALVE,EXHAUST FAN	1460	6 APTS.	8,542.00		549.44	549.44	WORK IN PROCESS
	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460	3 APTS.	53,285.00		6,688.01	6,688.01	WORK IN PROCESS
	PLUMBING-SUPPLY LINES & VALVES	1460	2 BLDGS.	1,000.00		0.00	0.00	WORK NOT STARTED
NY06P006010	APARTMENT RENOVATIONS	1460	4 APTS.	71,047.00		6,562.17	6,562.17	WORK IN PROCESS
HUMPHREY								
GARDENS	CYCLE PAINTING	1460	19 APTS.	13,038.00		2,519.98	2,479.39	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	21 APTS.	29,898.00		1,706.19	1,250.88	WORK IN PROCESS

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Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	REPLACEMENT WINDOWS	1460	1 BLDG.	35,964.00		8,286.04	2,413.42	WORK IN PROCESS
HUMPHREY								
GARDENS	PLUMBING-SUPPLY LINES & VALVES	1460	2 BLDGS.	1,302.00		0.00	0.00	WORK NOT STARTED
	FLOORING	1460	5 APTS.	7,500.00		0.00	0.00	WORK NOT STARTED
	ABATEMENT COST-ASBESTOS&LEAD	1460	5 APTS.	25,000.00		2,574.00	2,574.00	WORK NOT STARTED
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 BLDG.	16,579.00		0.00	0.00	WORK NOT STARTED
	ROOFING, RIDGE VENT REPLACE.	1460	3 BLDGS.	5,000.00		0.00	0.00	WORK NOT STARTED
	CRAWL SPACE LIGHTING	1460	3 BLDGS.	1,000.00		0.00	0.00	WORK NOT STARTED
NY06P006010	APARTMENT RENOVATIONS	1460	7 APTS.	124,331.00		142.06	142.06	WORK IN PROCESS
GILLMORE								
VILLAGE	CYCLE PAINTING	1460	34 APTS.	23,332.00		3,461.15	3,431.53	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	18 APTS.	25,627.00		0.00	0.00	WORK NOT STARTED
	REPLACEMENT WINDOWS	1460	1 BLDG.	44,081.12		0.00	0.00	WORK NOT STARTED
	PLUMBING-SUPPLY LINES & VALVES	1460	2 BLDGS.	1,000.00		0.00	0.00	WORK NOT STARTED
	FLOORING	1460	8 APTS.	12,000.00		0.00	0.00	WORK NOT STARTED
	ABATEMENT COST-ASBESTOS&LEAD	1460	8 APTS.	40,000.00		0.00	0.00	WORK NOT STARTED
	REMEDIATION-FUEL OIL	1460	1 DEV.	50,000.00		0.00	0.00	WORK NOT STARTED
	CONTAMINATED SOIL							
	ROOFING - RIDGE VENT REPLACE	1460	4 BLDGS.	10,000.00		0.00	0.00	WORK NOT STARTED
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 BLDG.	16,579.00		9,108.00	9,108.00	WORK NOT STARTED
	CRAWL SPACE LIGHTING	1460	4 BLDGS.	1,000.00		0.00		WORK NOT STARTED
	COMM. BLDG./COMPUTER LAB	1460	1 BLDG.	20,000.00		0.00	0.00	WORK NOT STARTED

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12/31/2006	

ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006002	CYCLE PAINTING	1460	16 APTS.	10,980.00		1,902.22	1,901.83	WORK IN PROCESS
N. D. PETERS								
MANOR	APARTMENT RENOVATIONS	1460	1 APTS.	17,762.00		0.00		WORK NOT STARTED
	CRAWL SPACE LIGHTING	1460	9 BLDGS.	1,000.00		0.00		WORK NOT STARTED
NY06P006001	REPLACE DRAIN LINES	1460	1000LF	0.00		0.00	0.00	DELETE
ADREAN								
TERRACE	APARTMENT RENOVATIONS	1460	9 APTS.	159,854.00	135,409.00	2,826.42	2,826.42	WORK IN PROCESS
	CYCLE PAINTING	1460	35 APTS.	21,106.72		3,336.42	3,336.42	WORK IN PROCESS
	FLOORING	1460	9 APTS.	13,500.00		0.00	0.00	WORK NOT STARTED
	ABATEMENT & ASBESTOS & LEAD	1460	9 APTS.	45,000.00		8,118.00	8,118.00	WORK NOT STARTED
	NEW SHOWER VALVE,EXHAUST FAN	1460	10 APTS.	20,347.00		0.00	0.00	WORK NOT STARTED
	& TUB SURROUND							
	PLUMBING SUPPLY LINES & VALV	1460	2 BLDGS.	2,000.00		0.00	0.00	WORK NOT STARTED
	SUBTOTAL A/C 1460			**1,160,044.84**	**277,443.00**	**62,046.03**	**51,603.67**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Performance and Evaluation **and Urban Development**
Part II: Supporting Pages Office of Public and Housing **Federal FY of Grant: 2006**
Capital Fund Program (CFP)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	DWELLING EQUIPMENT							
NY06P006004								
PERRETTA	REPLACE STOVES AND							
TWIN TOWERS	REFRIGERATORS	1465	2 APTS.	800.00	2,875.00	399.00	0.00	APPLIANCE ORDERED
NY06P006007								
MARINO								
RUGGIERO/	REPLACE STOVES AND							
DUPLEXES	REFRIGERATORS	1465	2 APTS.	800.00		0.00	0.00	NO APPLIANCE OREDED
NY06P006010								
F.X. MATT	REPLACE STOVES AND							
APARTMENTS	REFRIGERATORS	1465	3 APTS.	2,100.00	5,447.00	1,895.00	1,097.00	APPLIANCES PURCHASED
NY06P006010								
HUMPHREY	REPLACE STOVES AND							
GARDENS	REFRIGERATORS	1465	16 APTS.	6,400.00	9,214.00	298.00	298.00	APPLIANCES PURCHASED
NY06P006010								
GILLMORE	REPLACE STOVES AND							
VILLAGE	REFRIGERATORS	1465	16 APTS.	6,400.00	12,497.00	298.00	298.00	APPLIANCES PURCHASED
NY06P006002								
N. D. PETERS	REPLACE STOVES AND							
MANOR	REFRIGERATORS	1465	2 APTS.	800.00	2,207.00	0.00	0.00	NO APPLIANCE OREDED
NY06P006001								
ADREAN	REPLACE STOVES AND							
TERRACE	REFRIGERATORS	1465	9 APTS.	2,700.00	11,405.00	0.00	0.00	NO APPLIANCE OREDED
	SUBTOTAL A/C 1465			**20,000.00**	**43,645.00**	**2,890.00**	**1,693.00**	

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Office of Public and Housing

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OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	NON DWELLING STRUCTURES							
NY006P006001	MAINTENANCE SHOP IMPROVEMENT	1470	BLDG.13 &20	1,000.00		0.00	0.00	WORK NOT STARTED
ADREAN								
TERRACE	SITE OFFICE & COMM.BLDG.IMPR	1470	VEGA CENTER	1,000.00		0.00	0.00	WORK NOT STARTED
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
GILLMORE								
VILLAGE	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
HUMPHREY								
GARDENS	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
NY06P006002	COMPUTER LAB & MAINT. SHOP	1470	J BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
N. D. PETERS								
MANOR								
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00		0.00	0.00	WORK NOT STARTED
F.X. MATT								
APARTMENTS								
NY06P006004	ADMIN. & COMM. ROOM IMPROV	1470	1 BLDG.	3,000.00		0.00		WORK NOT STARTED
PERRETTA								
TWIN TOWERS								
	SUBTOTAL A/C 1470			**11,000.00**	**0.00**	**0.00**	**0.00**	
	NON DWELLING EQUIPMENT							
NY06P006010	TOOLS & EQUIPMENT	1475	MISC	1,000.00		0.00	0.00	NO EQUIPMENT PURCHASED
GILLMORE								
VILLAGE								

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006004	OFFICE FURNITURE AND EQUIPMENT	1475	MISC	2,000.00		0.00		NO EQUIPMENT PURCHASED
PERRETTA								
TWIN TOWERS								
NY006P006001	TOOLS & EQUIPMENT	1475	MISC	2,000.00		0.00		NO EQUIPMENT PURCHASED
ADREAN								
TERRACE								
NY06P006010	TOOLS & EQUIPMENT	1475	MISC	1,000.00		0.00		NO EQUIPMENT PURCHASED
F.X. MATT								
APARTMENTS								
NY06P006010	TOOLS & EQUIPMENT	1475	MISC	1,000.00		0.00		NO EQUIPMENT PURCHASED
HUMPHREY								
GARDENS								
NY06P006002	TOOLS & EQUIPMENT	1475	MISC	1,000.00		0.00		NO EQUIPMENT PURCHASED
N. D. PETERS								
MANOR								
	SUBTOTAL A/C 1475			**8,000.00**	**0.00**	**0.00**	**0.00**	
	RELOCATION COSTS							
NY06P006010	RELOCATION TO ACCOMPLISH	1495	MHA WIDE	400.00		0.00	0.00	NO CONTRACT AWARDED
F.X. MATT	RENOVATIONS AND DEMOLITION							
APARTMENTS								
NY06P006010	RELOCATION TO ACCOMPLISH	1495	MHA WIDE	400.00		0.00	0.00	NO CONTRACT AWARDED
HUMPHREY	RENOVATIONS AND DEMOLITION							
GARDENS								

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)
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Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Total Actual Cost

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006002	RELOCATION TO ACCOMPLISH	1495	MHA WIDE	400.00		0.00	0.00	NO CONTRACT AWARDED
N. D. PETERS	RENOVATIONS AND DEMOLITION							
MANOR								
NY06P006010	RELOCATION TO ACCOMPLISH	1495	MHA WIDE	400.00		0.00	0.00	NO CONTRACT AWARDED
GILLMORE	RENOVATIONS AND DEMOLITION							
VILLAGE								
NY006P006001	RELOCATION TO ACCOMPLISH	1495	MHA WIDE	400.00		0.00	0.00	NO CONTRACT AWARDED
ADREAN	RENOVATIONS AND DEMOLITION							
TERRACE								
	SUBTOTAL A/C 1495			**2,000.00**	**0.00**	**0.00**	**0.00**	
	GRAND TOTAL			**1,925,685.00**	**321,088.00**	**734,573.19**	**391,453.49**	

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12/31/2006	

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Annual Statement/
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Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2006

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	7/17/2008			7/17/2010			
NY06P006002							
N.D. PETERS	7/17/2008			7/17/2010			
NY06P006004							
PERRETTA TOWERS	7/17/2008			7/17/2010			
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	7/17/2008			7/17/2010			
NY06P006010							
WASHINGTON COURTS	7/17/2008			7/17/2010			
GOLDBAS HOMES	7/17/2008			7/17/2010			
F.X. MATT APARTMENTS	7/17/2008			7/17/2010			
HUMPHREY GARDENS	7/17/2008			7/17/2010			
GILLMORE VILLAGE	7/17/2008			7/17/2010			
MANAGEMENT IMPROVEMENTS	7/17/2008			7/17/2010			

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Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

OMB Approval No. 2577-0157 (exp. 7/31/98)

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06R00650106**	**2006**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ___ Revised Annual Statement /Revision Number ____ __X__ Performance and Evaluation Report for Program Year Ending 12/31/2006
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Revised(1)	Total Actual Cost(2) Obligated	Expended
1	Total Non-CGP Funds	$ -		$ -	$ -
2	1406 Operations (May not exceed 10% of line 19)	$ -		$ -	$ -
3	1408 Management Improvements	$ -		$ -	$ -
4	1410 Administration	$ -		$ -	$ -
5	1411 Audit	$ -		$ -	$ -
6	1415 Liquidated Damages	$ -		$ -	$ -
7	1430 Fees and Costs	$ -		$ -	$ -
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ -		$ -	$ -
10	1460 Dwelling Structures	$ -		$ -	$ -
11	1465 Dwelling Equipment-Nonexpendable	$ -		$ -	$ -
12	1470 Nondwelling Structures	$ -		$ -	$ -
13	1475 Nondwelling Equipment	$ -		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -		$ -	$ -
16	1495.1Relocation Costs	$ -		$ -	$ -
17	1499 DEVELOPMENT ACTIVITIES	$ 137,075.00		$ 137,075.00	$ 104,761.74
18	1502 Contingency (may not exceed 8% of line 19)	$ -		$ -	$ -
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 137,075.00**		**$ 137,075.00**	**$ 104,761.74**
20	Amount of Line 19 Related to LBP Activites	$ -			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ -			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
2 To be completed for the Performance and Evaluation Report

from HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

RHF-FY2006

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - Wide	DEVEOPMENT ACTIVITIES	1499	LUMP SUM	137,075.00		137,075.00	104,761.74	WORK IN PROCESS
	SUBTOTAL			**137,075.00**	**0.00**	**137,075.00**	**104,761.74**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

RHF FY2006

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
CITY OF UTICA WIDE	4/16/2006		3/30/2006	4/16/2008			

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12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)

ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650105**	**2005**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ____ Revised Annual Statement /Revision Number____ _X_ Performance and Evaluation Report for Program Year Ending 12/31/06
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -			
2	1406 Operations (May not exceed 10% of line 19)	$ 250,000.00		$ 250,000.00	$ 250,000.00
3	1408 Management Improvements	$ 119,000.00	$ 121,888.00	$ 114,000.00	$ 114,000.00
4	1410 Administration	$ 206,092.00		$ 206,092.00	$ 124,513.33
5	1411 Audit	$ -			
6	1415 Liquidated Damages	$ -		$ -	
7	1430 Fees and Costs	$ 67,400.00	$ 67,042.30	$ 67,042.30	$ 31,891.13
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ 58,517.00	$ 58,381.60	$ 58,217.00	$ 58,217.00
10	1460 Dwelling Structures	$ 1,335,866.00		$ 1,213,113.97	$ 1,181,501.01
11	1465 Dwelling Equipment-Nonexpendable	$ 16,547.00	$ 16,547.00	$ 15,786.00	$ 15,386.00
12	1470 Nondwelling Structures	$ 5,000.00	$ 5,105.10	$ 4,493.01	$ 185.81
13	1475 Nondwelling Equipment	$ -		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -			$ -
16	1495.1 Relocation Costs	$ 2,500.00	$ -	$ -	$ -
17	1499 Development Activities	$ -			
18	1502 Contingency (may not exceed 8% of line 19)	$ -			
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 2,060,922.00**	**$ 268,964.00**	**$ 1,928,744.28**	**$ 1,775,694.28**
20	Amount of Line 19 Related to LBP Activites	$ 200,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 200,000.00			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

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from HUD-52837
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - WIDE	**OPERATIONS**							
	HA-WIDE OPERATING EXPENSES							
	NORMALLY PAID BY OPERATING							
	SUBSIDY	1406	LUMP SUM	250,000.00		250,000.00	250,000.00	COMPLETE
	SUBTOTAL A/C 1406			**250,000.00**	**0.00**	**250,000.00**	**250,000.00**	
HA-WIDE	**MANAGEMENT IMPROVEMENTS**							
	A) GRANT WRITER	1408	LUMP SUM	72,000.00		72,000.00	72,000.00	EMPLOYEE HIRED
	B) SYSTEM ADMINISTRATOR		LUMP SUM	42,000.00		42,000.00	42,000.00	EMPLOYEE HIRED
	TRAINING		LUMP SUM	5,000.00		0.00	0.00	NO CONTRACT AWARDED
	COMPUTER HARDWARE		LUMP SUM		2,888.00	0.00	0.00	HARDWARE ORDERED
	SUBTOTAL A/C 1409			**119,000.00**	**2,888.00**	**114,000.00**	**114,000.00**	
HA-WIDE	**ADMINISTRATION**							
	MODERNIZATION & ASSISTANT MOD.							
	COORDINATOR, SR. BOOKKEEPER,							
	SR. TYPIST, EXECUTIVE DIRECTOR							
	W/ BENEFITS	1410	LUMP SUM	206,092.00		206,092.00	124,513.33	EMPLOYEES HIRED
	SUBTOTAL A/C 1410			**206,092.00**	**0.00**	**206,092.00**	**124,513.33**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

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Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA-WIDE	**FEES & COSTS**							
	A&E SERVICES AND TESTING	1430	LUMP SUM	67,400.00	67,042.30	67,042.30	31,891.13	CONTRACT AWARDED
	SUBTOTAL A/C 1430			**67,400.00**	**67,042.30**	**67,042.30**	**31,891.13**	
	SITE IMPROVEMENTS							
NY06P006002	SEALING OF PARKING LOTS,							
N.D. PETERS	LANDSCAPING	1450	1 DEV.	15,450.00		15,450.00	15,450.00	COMPLETE
MANOR								
NY06P006004	SEALING OF PARKING LOTS,	1450	1 DEV.	12,474.00		12,474.00	12,474.00	CONTRACT AWARDED
PERRETTA	LANDSCAPING							
TWIN TOWERS								
NY06P006001	LANDSCAPING	1450	1 DEV.	21,865.00		21,865.00	21,865.00	CONTRACT AWARDED
ADREAN								
TERRACE								
NY06P006007	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	1,128.00	992.60	828.00	828.00	CONTRACT AWARDED
MARINO	PARKING AREAS, LANDSCAPING							
RUGGIERO/								
DUPLEXES								

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12/31/2006	

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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	60.00		60.00	60.00	COMPLETE
GILLMORE	PARKING AREAS, LANDSCAPING							
VILLAGE								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	7,500.00		7,500.00	7,500.00	CONTRACT AWARDED
F.X. MATT	PARKING AREAS, LANDSCAPING							
APARTMENTS								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	40.00		40.00	40.00	COMPLETE
HUMPHREY	PARKING AREAS, LANDSCAPING							
GARDENS								
	SUBTOTAL A/C 1450			**58,517.00**	**992.60**	**58,217.00**	**58,217.00**	
	DWELLING STRUCTURES							
NY06P006004	ADMIN. & COMM.ROOM IMPROVE.	1460	1 DEV.	1,000.00	571.57	63.92	63.92	CONTRACT AWARDED
PERRETTA								
TWIN TOWERS	NEW SHOWERVALVE, EXHAUST	1460	1 DEV.	371,253.88		371,253.88	371,187.28	CONTRACT AWARDED
	FAN & TUB WALL							
	APARTMENT RENOVATIONS	1460	1 APTS.	17,695.40		11,644.30	11,644.30	WORK IN PROCESS
	CYCLE PAINTING	1460	10 APTS.	4,701.00		1,173.79	817.30	WORK IN PROCESS

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12/31/2006	

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Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended(2)	
NY06P006004	FLOORING	1460	3 APTS.	3,832.00		1,125.58	1,085.28	WORK IN PROCESS
PERRETTA								
TWIN TOWERS	ABATEMENT & ASBESTOS	1460	3 APTS.	9,160.00		2,615.30	2,615.30	WORK IN PROCESS
	WINDOW REPLACEMENT	1460	1 DEV.	366,276.00		362,136.23	346,062.97	WORK IN PROCESS
NY06P006007	CYCLE PAINTING	1460	5 APTS.	2,549.81	2,878.24	2,608.29	2,608.29	WORK IN PROCESS
MARINO								
RUGGIERO/	NEW SHOWER VALVE,EXHAUST FAN	1460	2 APTS.	944.26		18.93	18.93	WORK IN PROCESS
DUPLEXES	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460		20,489.93	21,000.00	20,643.58	20,643.58	WORK IN PROCESS
NY06P006010	FLOORING	1460	3 APTS.	5,168.00		4,381.34	3,876.00	WORK IN PROCESS
F.X. MATT								
APARTMENTS	CYCLE PAINTING	1460	1 DEV.	15,154.00		10,133.24	10,025.65	WORK IN PROCESS
	ABATEMENT & ABESTOS	1460	3 APTS.	30,000.00		30,000.00	30,000.00	COMPLETE
	EXT.PORCH IMPROVEMENT &	1460	4 PROCHES	0.00		0.00	0.00	DELETE
	WROUGHRT IRON RAILS							
	NEW SHOWER VALVE,EXHAUST FAN	1460	5 DEV.	3,318.85	3,418.85	3,318.85	3,200.94	WORK IN PROCESS
	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460		15,603.18		7,856.63	7,856.63	WORK IN PROCESS
NY06P006010	APARTMENT RENOVATIONS	1460	3 APTS.	43,896.40	50,000.00	49,737.26	49,737.26	WORK IN PROCESS
HUMPHREY								
GARDENS	CYCLE PAINTING	1460	15 APTS.	11,767.84		11,767.84	9,982.13	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	15 APTS.	9,513.25	12,513.25	12,513.25	12,395.46	WORK IN PROCESS

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12/31/2006	

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Annual Statement/
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Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	REPLACEMENT WINDOWS	1460	1 BLDG.	14,680.25		14,680.25	14,680.25	COMPLETE
HUMPHREY								
GARDENS	REPLACE DRAIN LINES	1460	500LF	0.00		0.00	0.00	DELETE
	FLOORING	1460	4 APTS.	6,000.00		3,748.00	3,565.92	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	4 APTS.	15,840.00	18,000.00	17,916.00	17,915.29	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 DEV.	0.00		0.00	0.00	DELETE
NY06P006010	APARTMENT RENOVATIONS	1460	7 APTS.	70,520.04	99,381.37	77,658.72	67,545.11	WORK IN PROCESS
GILLMORE								
VILLAGE	CYCLE PAINTING	1460	30 APTS.	20,102.00		19,102.00	18,214.12	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	25 APTS.	7,920.00		1,933.62	1,701.23	WORK IN PROCESS
	REPLACEMENT WINDOWS	1460	1 BLDG.	26,687.85	14,914.18	5,599.64	5,559.64	WORK IN PROCESS
	REPLACE DRAIN LINES	1460	500LF	0.00		0.00	0.00	DELETE
	FLOORING	1460	7 APTS.	10,500.00		2,974.00	2,790.72	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	7 APTS.	33,560.00	49,895.00	46,132.65	46,132.65	WORK IN PROCESS
	REMEDIATION-FUEL OIL	1460	1 DEV.	1,312.72		0.00	0.00	CONTRACT NOT AWARDED
	CONTAMINATED SOIL							
	APARTMENT RENOVATIONS	1460	4 APTS.	31,249.00	0.00	0.00	0.00	DELETE
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 BLDG.	16,335.00	0.00	0.00	0.00	DELETE
NY06P006002	CYCLE PAINTING	1460	10 APTS.	16,430.32		12,538.72	11,954.63	WORK IN PROCESS
N. D. PETERS								
MANOR	APARTMENT RENOVATIONS	1460	10 APTS.	6,466.64		4,104.24	4,090.75	WORK IN PROCESS

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12/31/2006	

ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006001	REPLACE DRAIN LINES	1460	1000LF	0.00		0.00	0.00	DELETE
ADREAN								
TERRACE	APARTMENT RENOVATIONS	1460	4 APTS.	59,897.14	62,284.81	57,663.23	57,654.08	WORK IN PROCESS
	CYCLE PAINTING	1460	30 APTS.	19,241.35		15,641.35	15,505.98	WORK IN PROCESS
	FLOORING	1460	10 APTS.	12,000.00		4,246.00	4,186.08	WORK IN PROCESS
	ABATEMENT & ASBESTOS & LEAD	1460	10 APTS.	33,453.31		24,836.76	24,836.76	CONTRACT AWARDED
	NEW SHOWER VALVE,EXHAUST FAN	1460	40 APTS.	1,346.58		1,346.58	1,346.58	COMPLETE
	& TUB SURROUND							
	1,335,866.00							
	SUBTOTAL A/C 1460			**1,335,866.00**	**334,857.27**	**1,213,113.97**	**1,181,501.01**	

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12/31/2006

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Performance and Evaluation **and Urban Development**
Part II: Supporting Pages Office of Public and Housing **Federal FY of Grant: 2005**
Capital Fund Program (CFP)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	DWELLING EQUIPMENT							
NY06P006004								
PERRETTA	REPLACE STOVES AND							
TWIN TOWERS	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006007								
MARINO								
RUGGIERO/	REPLACE STOVES AND							
DUPLEXES	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006010								
F.X. MATT	REPLACE STOVES AND							
APARTMENTS	REFRIGERATORS	1465	5 APTS.	3,525.00		3,525.00	3,525.00	APPLIANCES PURCHASED
NY06P006010								
HUMPHREY	REPLACE STOVES AND							
GARDENS	REFRIGERATORS	1465	5 APTS.	4,811.00		4,811.00	4,811.00	APPLIANCES PURCHASED
NY06P006010								
GILLMORE	REPLACE STOVES AND							
VILLAGE	REFRIGERATORS	1465	10 APTS.	5,070.00		5,070.00	5,070.00	APPLIANCES PURCHASED
NY06P006002								
N. D. PETERS	REPLACE STOVES AND							
MANOR	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006001								
ADREAN	REPLACE STOVES AND							
TERRACE	REFRIGERATORS	1465	10 APTS.	3,141.00		2,380.00	1,980.00	APPLIANCES PURCHASED
	SUBTOTAL A/C 1465			**16,547.00**	**0.00**	**15,786.00**	**15,386.00**	

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Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	NON DWELLING STRUCTURES							
NY006P006001	MAINTENANCE SHOP IMPROVEMENT	1470	BLDG.13 &20	1,000.00	0.00	0.00	0.00	DELETE
ADREAN								
TERRACE	SITE OFFICE & COMM.BLDG.IMPR	1470	VEGA CENTER	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
GILLMORE								
VILLAGE	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
HUMPHREY								
GARDENS	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006002	MAINTENANCE SHOP IMPROVEMENT	1470	J BLDG.	1,000.00	185.81	185.81	185.81	COMPLETE
N. D. PETERS	COMPUTER LAB							
MANOR								
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
F.X. MATT								
APARTMENTS								
NY06P006004	MAINTENANCE SHOP IMPROVEMENT	1470			4,919.29	4,307.29	0.00	WORK IN PROCRESS
PERRETTA								
TWIN TOWERS								
	SUBTOTAL A/C 1470			**5,000.00**	**5,105.10**	**4,493.10**	**185.81**	
	NON DWELLING EQUIPMENT							
HA WIDE	OFFICE FURNITURE AND EQUIPMENT	1475	HA WIDE	0.00		0.00	0.00	DELETE
	SUBTOTAL A/C 1475			**0.00**	**0.00**	**0.00**	**0.00**	

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Facsimile of form HUD-52837(01/05/95)
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Annual Statement/
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	RELOCATION COSTS							
HA WIDE	RELOCATION TO ACCOMPLISH	1495		2,500.00	0.00	0.00	0.00	DELETE
	RENOVATIONS AND DEMOLITION							
	SUBTOTAL A/C 1495			**2,500.00**	**0.00**	**0.00**	**0.00**	
	DEVELOPMENT ACTIVITIES							
HA WIDE	MIXED FINANCING PROGRAM TO	1499		0.00		0.00	0.00	DELETE
	SELL BONDS BACKED BY CFP							
	ALLOCATIONS FOR HOPE VI							
	DEMO AND REVITALIZATION,							
	ENERGY PERFORMANCE							
	CONTRACTING AND							
	REDEVELOPMENT PROJECTS.							
	SUBTOTAL A/C 1499			**0.00**	**0.00**	**0.00**	**0.00**	
	2,060,922.00							
	GRAND TOTAL			**2,060,922.00**	**410,885.27**	**1,928,744.37**	**1,775,694.28**	
				0.00				

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Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2005

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	8/17/2007		6/30/2006	8/17/2009			
NY06P006002							
N.D. PETERS	8/17/2007			8/17/2009			
NY06P006004							
PERRETTA TOWERS	8/17/2007			8/17/2009			
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	8/17/2007			8/17/2009			
NY06P006010							
F.X. MATT APARTMENTS	8/17/2007			8/17/2009			
HUMPHREY GARDENS	8/17/2007			8/17/2009			
GILLMORE VILLAGE	8/17/2007			8/17/2009			
MANAGEMENT IMPROVEMENTS	8/17/2007		10/31/2005	8/17/2009			

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Signature of Executive Director and Date

12/31/2005

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650105**	**2005**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ____ Revised Annual Statement /Revision Number____ _X_ Performance and Evaluation Report for Program Year Ending 12/31/06
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -			
2	1406 Operations (May not exceed 10% of line 19)	$ 250,000.00		$ 250,000.00	$ 250,000.00
3	1408 Management Improvements	$ 119,000.00	$ 121,888.00	$ 114,000.00	$ 114,000.00
4	1410 Administration	$ 206,092.00		$ 206,092.00	$ 124,513.33
5	1411 Audit	$ -			
6	1415 Liquidated Damages	$ -		$ -	
7	1430 Fees and Costs	$ 67,400.00	$ 67,042.30	$ 67,042.30	$ 31,891.13
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ 58,517.00	$ 58,381.60	$ 58,217.00	$ 58,217.00
10	1460 Dwelling Structures	$ 1,335,866.00		$ 1,213,113.97	$ 1,181,501.01
11	1465 Dwelling Equipment-Nonexpendable	$ 16,547.00	$ 16,547.00	$ 15,786.00	$ 15,386.00
12	1470 Nondwelling Structures	$ 5,000.00	$ 5,105.10	$ 4,493.01	$ 185.81
13	1475 Nondwelling Equipment	$ -		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -			$ -
16	1495.1 Relocation Costs	$ 2,500.00	$ -	$ -	$ -
17	1499 Development Activities	$ -			
18	1502 Contingency (may not exceed 8% of line 19)	$ -			
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 2,060,922.00**	**$ 268,964.00**	**$ 1,928,744.28**	**$ 1,775,694.28**
20	Amount of Line 19 Related to LBP Activites	$ 200,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 200,000.00			

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12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

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from HUD-52837
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - WIDE	**OPERATIONS**							
	HA-WIDE OPERATING EXPENSES							
	NORMALLY PAID BY OPERATING							
	SUBSIDY	1406	LUMP SUM	250,000.00		250,000.00	250,000.00	COMPLETE
	SUBTOTAL A/C 1406			**250,000.00**	**0.00**	**250,000.00**	**250,000.00**	
HA-WIDE	**MANAGEMENT IMPROVEMENTS**							
	A) GRANT WRITER	1408	LUMP SUM	72,000.00		72,000.00	72,000.00	EMPLOYEE HIRED
	B) SYSTEM ADMINISTRATOR		LUMP SUM	42,000.00		42,000.00	42,000.00	EMPLOYEE HIRED
	TRAINING		LUMP SUM	5,000.00		0.00	0.00	NO CONTRACT AWARDED
	COMPUTER HARDWARE		LUMP SUM		2,888.00	0.00	0.00	HARDWARE ORDERED
	SUBTOTAL A/C 1409			**119,000.00**	**2,888.00**	**114,000.00**	**114,000.00**	
HA-WIDE	**ADMINISTRATION**							
	MODERNIZATION & ASSISTANT MOD.							
	COORDINATOR, SR. BOOKKEEPER,							
	SR. TYPIST, EXECUTIVE DIRECTOR							
	W/ BENEFITS	1410	LUMP SUM	206,092.00		206,092.00	124,513.33	EMPLOYEES HIRED
	SUBTOTAL A/C 1410			**206,092.00**	**0.00**	**206,092.00**	**124,513.33**	

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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA-WIDE	**FEES & COSTS**							
	A&E SERVICES AND TESTING	1430	LUMP SUM	67,400.00	67,042.30	67,042.30	31,891.13	CONTRACT AWARDED
	SUBTOTAL A/C 1430			**67,400.00**	**67,042.30**	**67,042.30**	**31,891.13**	
	SITE IMPROVEMENTS							
NY06P006002	SEALING OF PARKING LOTS,							
N.D. PETERS	LANDSCAPING	1450	1 DEV.	15,450.00		15,450.00	15,450.00	COMPLETE
MANOR								
NY06P006004	SEALING OF PARKING LOTS,	1450	1 DEV.	12,474.00		12,474.00	12,474.00	CONTRACT AWARDED
PERRETTA	LANDSCAPING							
TWIN TOWERS								
NY06P006001	LANDSCAPING	1450	1 DEV.	21,865.00		21,865.00	21,865.00	CONTRACT AWARDED
ADREAN								
TERRACE								
NY06P006007	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	1,128.00	992.60	828.00	828.00	CONTRACT AWARDED
MARINO	PARKING AREAS, LANDSCAPING							
RUGGIERO/								
DUPLEXES								

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U.S. Department of Housing
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Office of Public and Housing

Federal FY of Grant: 2005

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	60.00		60.00	60.00	COMPLETE
GILLMORE	PARKING AREAS, LANDSCAPING							
VILLAGE								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	7,500.00		7,500.00	7,500.00	CONTRACT AWARDED
F.X. MATT	PARKING AREAS, LANDSCAPING							
APARTMENTS								
NY06P006010	SIDEWALK REPLAC., & SEALING OF	1450	1 DEV.	40.00		40.00	40.00	COMPLETE
HUMPHREY	PARKING AREAS, LANDSCAPING							
GARDENS								
	SUBTOTAL A/C 1450			**58,517.00**	**992.60**	**58,217.00**	**58,217.00**	
	DWELLING STRUCTURES							
NY06P006004	ADMIN. & COMM.ROOM IMPROVE.	1460	1 DEV.	1,000.00	571.57	63.92	63.92	CONTRACT AWARDED
PERRETTA								
TWIN TOWERS	NEW SHOWERVALVE, EXHAUST	1460	1 DEV.	371,253.88		371,253.88	371,187.28	CONTRACT AWARDED
	FAN & TUB WALL							
	APARTMENT RENOVATIONS	1460	1 APTS.	17,695.40		11,644.30	11,644.30	WORK IN PROCESS
	CYCLE PAINTING	1460	10 APTS.	4,701.00		1,173.79	817.30	WORK IN PROCESS

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Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended(2)	
NY06P006004	FLOORING	1460	3 APTS.	3,832.00		1,125.58	1,085.28	WORK IN PROCESS
PERRETTA								
TWIN TOWERS	ABATEMENT & ASBESTOS	1460	3 APTS.	9,160.00		2,615.30	2,615.30	WORK IN PROCESS
	WINDOW REPLACEMENT	1460	1 DEV.	366,276.00		362,136.23	346,062.97	WORK IN PROCESS
NY06P006007	CYCLE PAINTING	1460	5 APTS.	2,549.81	2,878.24	2,608.29	2,608.29	WORK IN PROCESS
MARINO								
RUGGIERO/	NEW SHOWER VALVE,EXHAUST FAN	1460	2 APTS.	944.26		18.93	18.93	WORK IN PROCESS
DUPLEXES	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460		20,489.93	21,000.00	20,643.58	20,643.58	WORK IN PROCESS
NY06P006010	FLOORING	1460	3 APTS.	5,168.00		4,381.34	3,876.00	WORK IN PROCESS
F.X. MATT								
APARTMENTS	CYCLE PAINTING	1460	1 DEV.	15,154.00		10,133.24	10,025.65	WORK IN PROCESS
	ABATEMENT & ABESTOS	1460	3 APTS.	30,000.00		30,000.00	30,000.00	COMPLETE
	EXT.PORCH IMPROVEMENT &	1460	4 PROCHES	0.00		0.00	0.00	DELETE
	WROUGHRT IRON RAILS							
	NEW SHOWER VALVE,EXHAUST FAN	1460	5 DEV.	3,318.85	3,418.85	3,318.85	3,200.94	WORK IN PROCESS
	& TUB SURROUND							
	APARTMENT RENOVATIONS	1460		15,603.18		7,856.63	7,856.63	WORK IN PROCESS
NY06P006010	APARTMENT RENOVATIONS	1460	3 APTS.	43,896.40	50,000.00	49,737.26	49,737.26	WORK IN PROCESS
HUMPHREY								
GARDENS	CYCLE PAINTING	1460	15 APTS.	11,767.84		11,767.84	9,982.13	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	15 APTS.	9,513.25	12,513.25	12,513.25	12,395.46	WORK IN PROCESS

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Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	REPLACEMENT WINDOWS	1460	1 BLDG.	14,680.25		14,680.25	14,680.25	COMPLETE
HUMPHREY								
GARDENS	REPLACE DRAIN LINES	1460	500LF	0.00		0.00	0.00	DELETE
	FLOORING	1460	4 APTS.	6,000.00		3,748.00	3,565.92	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	4 APTS.	15,840.00	18,000.00	17,916.00	17,915.29	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 DEV.	0.00		0.00	0.00	DELETE
NY06P006010	APARTMENT RENOVATIONS	1460	7 APTS.	70,520.04	99,381.37	77,658.72	67,545.11	WORK IN PROCESS
GILLMORE								
VILLAGE	CYCLE PAINTING	1460	30 APTS.	20,102.00		19,102.00	18,214.12	WORK IN PROCESS
	TUB VALVES & TUB SURROUNDS	1460	25 APTS.	7,920.00		1,933.62	1,701.23	WORK IN PROCESS
	REPLACEMENT WINDOWS	1460	1 BLDG.	26,687.85	14,914.18	5,599.64	5,559.64	WORK IN PROCESS
	REPLACE DRAIN LINES	1460	500LF	0.00		0.00	0.00	DELETE
	FLOORING	1460	7 APTS.	10,500.00		2,974.00	2,790.72	WORK IN PROCESS
	ABATEMENT COST-ASBESTOS&LEAD	1460	7 APTS.	33,560.00	49,895.00	46,132.65	46,132.65	WORK IN PROCESS
	REMEDIATION-FUEL OIL	1460	1 DEV.	1,312.72		0.00	0.00	CONTRACT NOT AWARDED
	CONTAMINATED SOIL							
	APARTMENT RENOVATIONS	1460	4 APTS.	31,249.00	0.00	0.00	0.00	DELETE
	ABATEMENT COST-ASBESTOS&LEAD	1460	1 BLDG.	16,335.00	0.00	0.00	0.00	DELETE
NY06P006002	CYCLE PAINTING	1460	10 APTS.	16,430.32		12,538.72	11,954.63	WORK IN PROCESS
N. D. PETERS								
MANOR	APARTMENT RENOVATIONS	1460	10 APTS.	6,466.64		4,104.24	4,090.75	WORK IN PROCESS

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006001	REPLACE DRAIN LINES	1460	1000LF	0.00		0.00	0.00	DELETE
ADREAN								
TERRACE	APARTMENT RENOVATIONS	1460	4 APTS.	59,897.14	62,284.81	57,663.23	57,654.08	WORK IN PROCESS
	CYCLE PAINTING	1460	30 APTS.	19,241.35		15,641.35	15,505.98	WORK IN PROCESS
	FLOORING	1460	10 APTS.	12,000.00		4,246.00	4,186.08	WORK IN PROCESS
	ABATEMENT & ASBESTOS & LEAD	1460	10 APTS.	33,453.31		24,836.76	24,836.76	CONTRACT AWARDED
	NEW SHOWER VALVE,EXHAUST FAN	1460	40 APTS.	1,346.58		1,346.58	1,346.58	COMPLETE
	& TUB SURROUND							
	1,335,866.00							
	SUBTOTAL A/C 1460			**1,335,866.00**	**334,857.27**	**1,213,113.97**	**1,181,501.01**	

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12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Performance and Evaluation **and Urban Development**
Part II: Supporting Pages Office of Public and Housing **Federal FY of Grant: 2005**
Capital Fund Program (CFP)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	DWELLING EQUIPMENT							
NY06P006004								
PERRETTA	REPLACE STOVES AND							
TWIN TOWERS	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006007								
MARINO								
RUGGIERO/	REPLACE STOVES AND							
DUPLEXES	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006010								
F.X. MATT	REPLACE STOVES AND							
APARTMENTS	REFRIGERATORS	1465	5 APTS.	3,525.00		3,525.00	3,525.00	APPLIANCES PURCHASED
NY06P006010								
HUMPHREY	REPLACE STOVES AND							
GARDENS	REFRIGERATORS	1465	5 APTS.	4,811.00		4,811.00	4,811.00	APPLIANCES PURCHASED
NY06P006010								
GILLMORE	REPLACE STOVES AND							
VILLAGE	REFRIGERATORS	1465	10 APTS.	5,070.00		5,070.00	5,070.00	APPLIANCES PURCHASED
NY06P006002								
N. D. PETERS	REPLACE STOVES AND							
MANOR	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006001								
ADREAN	REPLACE STOVES AND							
TERRACE	REFRIGERATORS	1465	10 APTS.	3,141.00		2,380.00	1,980.00	APPLIANCES PURCHASED
	SUBTOTAL A/C 1465			**16,547.00**	**0.00**	**15,786.00**	**15,386.00**	

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12/31/2006

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Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	NON DWELLING STRUCTURES							
NY006P006001	MAINTENANCE SHOP IMPROVEMENT	1470	BLDG.13 &20	1,000.00	0.00	0.00	0.00	DELETE
ADREAN								
TERRACE	SITE OFFICE & COMM.BLDG.IMPR	1470	VEGA CENTER	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
GILLMORE								
VILLAGE	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
HUMPHREY								
GARDENS	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006002	MAINTENANCE SHOP IMPROVEMENT	1470	J BLDG.	1,000.00	185.81	185.81	185.81	COMPLETE
N. D. PETERS	COMPUTER LAB							
MANOR								
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	1,000.00	0.00	0.00	0.00	DELETE
F.X. MATT								
APARTMENTS								
NY06P006004	MAINTENANCE SHOP IMPROVEMENT	1470			4,919.29	4,307.29	0.00	WORK IN PROCRESS
PERRETTA								
TWIN TOWERS								
	SUBTOTAL A/C 1470			**5,000.00**	**5,105.10**	**4,493.10**	**185.81**	
	NON DWELLING EQUIPMENT							
HA WIDE	OFFICE FURNITURE AND EQUIPMENT	1475	HA WIDE	0.00		0.00	0.00	DELETE
	SUBTOTAL A/C 1475			**0.00**	**0.00**	**0.00**	**0.00**	

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Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2005

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	RELOCATION COSTS							
HA WIDE	RELOCATION TO ACCOMPLISH	1495		2,500.00	0.00	0.00	0.00	DELETE
	RENOVATIONS AND DEMOLITION							
	SUBTOTAL A/C 1495			**2,500.00**	**0.00**	**0.00**	**0.00**	
	DEVELOPMENT ACTIVITIES							
HA WIDE	MIXED FINANCING PROGRAM TO	1499		0.00		0.00	0.00	DELETE
	SELL BONDS BACKED BY CFP							
	ALLOCATIONS FOR HOPE VI							
	DEMO AND REVITALIZATION,							
	ENERGY PERFORMANCE							
	CONTRACTING AND							
	REDEVELOPMENT PROJECTS.							
	SUBTOTAL A/C 1499			**0.00**	**0.00**	**0.00**	**0.00**	
	2,060,922.00							
	GRAND TOTAL			**2,060,922.00**	**410,885.27**	**1,928,744.37**	**1,775,694.28**	
				0.00				

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12/31/2006	

Facsimile of form HUD-52837(01/05/95)
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Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2005

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	8/17/2007		6/30/2006	8/17/2009			
NY06P006002							
N.D. PETERS	8/17/2007			8/17/2009			
NY06P006004							
PERRETTA TOWERS	8/17/2007			8/17/2009			
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	8/17/2007			8/17/2009			
NY06P006010							
F.X. MATT APARTMENTS	8/17/2007			8/17/2009			
HUMPHREY GARDENS	8/17/2007			8/17/2009			
GILLMORE VILLAGE	8/17/2007			8/17/2009			
MANAGEMENT IMPROVEMENTS	8/17/2007		10/31/2005	8/17/2009			

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Signature of Executive Director and Date

12/31/2005

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

OMB Approval No. 2577-0157 (exp. 7/31/98)

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06R00650104**	**2004**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ___ Revised Annual Statement /Revision Number ____ __X__ Performance and Evaluation Report for Program Year Ending 12/31/2006
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Revised(1)	Total Actual Cost(2) Obligated	Expended
1	Total Non-CGP Funds	$ -		$ -	$ -
2	1406 Operations (May not exceed 10% of line 19)	$ -		$ -	$ -
3	1408 Management Improvements	$ -		$ -	$ -
4	1410 Administration	$ -		$ -	$ -
5	1411 Audit	$ -		$ -	$ -
6	1415 Liquidated Damages	$ -		$ -	$ -
7	1430 Fees and Costs	$ -		$ -	$ -
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ -		$ -	$ -
10	1460 Dwelling Structures	$ -		$ -	$ -
11	1465 Dwelling Equipment-Nonexpendable	$ -		$ -	$ -
12	1470 Nondwelling Structures	$ -		$ -	$ -
13	1475 Nondwelling Equipment	$ -		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -		$ -	$ -
16	1495.1Relocation Costs	$ -		$ -	$ -
17	1499 DEVELOPMENT ACTIVITIES	$ 248,559.00		$ 248,559.00	$ 248,559.00
18	1502 Contingency (may not exceed 8% of line 19)	$ -		$ -	$ -
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 248,559.00**		**$ 248,559.00**	**$ 248,559.00**
20	Amount of Line 19 Related to LBP Activites	$ -			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ -			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

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2 To be completed for the Performance and Evaluation Report

from HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

RHF-FY2004

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - Wide	DEVEOPMENT ACTIVITIES	1499	LUMP SUM	248,559.00		248,559.00	248,559.00	COMPLETE
	SUBTOTAL			**248,559.00**	**0.00**	**248,559.00**	**248,559.00**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

RHF FY2004

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
CITY OF UTICA WIDE	09/131/06		3/30/2006	9/13/2008		12/31/2006	

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12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)

ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650104**	**2004**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ____ Revised Annual Statement /Revision Number____ _X_ Performance and Evaluation Report for Program Year Ending 12/31/06
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -			
2	1406 Operations (May not exceed 10% of line 19)	$ 269,012.36		$ 269,012.36	$ 269,012.36
3	1408 Management Improvements	$ 46,000.00		$ 46,000.00	$ 46,000.00
4	1410 Administration	$ 203,627.00		$ 203,627.00	$ 203,627.00
5	1411 Audit	$ -			
6	1415 Liquidated Damages	$ -		$ -	
7	1430 Fees and Costs	$ 100,000.00		$ 100,000.00	$ 66,044.14
8	1440 Site Acquisition	$ -		$ -	
9	1450 Site Improvement	$ 451,770.09		$ 451,770.09	$ 334,481.09
10	1460 Dwelling Structures	$ 939,078.63		$ 939,078.63	$ 872,075.67
11	1465 Dwelling Equipment-Nonexpendable	$ 19,763.00		$ 19,763.00	$ 19,763.00
12	1470 Nondwelling Structures	$ 2,471.73		$ 2,471.73	$ 2,471.73
13	1475 Nondwelling Equipment	$ 2,422.42		$ 2,422.42	$ 2,422.42
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -			$ -
16	1495.1 Relocation Costs	$ 2,129.77		$ 2,129.77	$ 450.00
17	1499 Development Activities	$ -		$ -	
18	1502 Contingency (may not exceed 8% of line 19)	$ -			
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 2,036,275.00**	**$ -**	**$ 2,036,275.00**	**$ 1,816,347.41**
20	Amount of Line 19 Related to LBP Activites	$ 200,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 200,000.00			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

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from HUD-52837
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - WIDE	**OPERATIONS**							
	HA-WIDE OPERATING EXPENSES							
	NORMALLY PAID BY OPERATING							
	SUBSIDY	1406	LUMP SUM	269,012.36		269,012.36	269,012.36	COMPLETE
	SUBTOTAL A/C 1406			**269,012.36**	**0.00**	**269,012.36**	**269,012.36**	
HA-WIDE	**MANAGEMENT IMPROVEMENTS**							
	A) GRANT WRITER	1408	LUMP SUM	46,000.00		46,000.00	46,000.00	EMPLOYEE HIRED
	SUBTOTAL A/C 1408			**46,000.00**	**0.00**	**46,000.00**	**46,000.00**	
HA-WIDE	**ADMINISTRATION**							
	MODERNIZATION & ASSISTANT MOD.							
	COORDINATOR, SR. BOOKKEEPER,							
	SR. TYPIST, EXECUTIVE DIRECTOR							
	W/ BENEFITS	1410	LUMP SUM	203,627.00		203,627.00	203,627.00	EMPLOYEES HIRED
	SUBTOTAL A/C 1410			**203,627.00**	**0.00**	**203,627.00**	**203,627.00**	

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12/31/2006	

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Annual Statement/
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA-WIDE	**FEES & COSTS**							
	A&E SERVICES AND TESTING	1430	LUMP SUM	100,000.00		100,000.00	66,044.14	CONTRACTS AWARDED
	SUBTOTAL A/C 1430			**100,000.00**	**0.00**	**100,000.00**	**66,044.14**	
	SITE IMPROVEMENTS							
NY06P006002	LANDSCAPING, SIDEWALK							
N.D. PETERS	REPLACEMENTS	1450	1 DEV.	174,001.00		174,001.00	174,001.00	CONTRACT AWARDED
MANOR								
	INSTALL NEW TELECOMMUNICATION							
	LINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
	ELECTRICAL DIST.SYS.UPGRADES	1450	1 DEV.	274,177.38		274,177.38	156,957.38	CONTRACT AWARDED
NY06P006004								
PERRETTA								
TWIN TOWERS	LANDSCAPING,SIDEWALK REPLAC.	1450	1 DEV.	1,936.29		1,936.29	1,867.29	CONTRACT AWARDED
	REPAVING &PARK AREAS,CURB							
NY06P006001	INSTALL NEW TELECOMMUNICATION							
ADREAN	LINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
TERRACE								
	LANDSCAPING,SIDEWALK REPLAC.	1450	1 DEV.	1,655.42		1,655.42	1,655.42	CONTRACT COMPLETE
	REPAVING &PARK AREAS,CURB							
NY06P006007	LANDSCAPING,SIDEWALK REPLAC.	1450	1 DEV.	0.00		0.00	0.00	DELETE
MARINO	REPAVING &PARK AREAS,CURB							
RUGGIERO/								
DUPLEXES								

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	INSTALL NEW TELECOMMUNICATION							
GILLMORE	LINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
VILLAGE								
	LANDSCAPING,SIDEWALK REPLAC.	1450	1 DEV.	0.00		0.00	0.00	DELETE
	REPAVING &PARK AREAS,CURB							
NY06P006010	GRADING,TOPSOIL,SEED &	1450	1 LOT	0.00		0.00	0.00	DELETE
GOLDBAS	LANSCAPING							
HOMES								
NY06P006010	INSTALL NEW TELECOMMUNICATION							
F.X. MATT	LINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
APARTMENTS								
NY06P006010	INSTALL NEW TELECOMMUNICATION							
HUMPHREY	LINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
GARDENS								
	SUBTOTAL A/C 1450			**451,770.09**		**451,770.09**	**334,481.09**	
	DWELLING STRUCTURES							
NY06P006004	ADMIN. & COMM.ROOM IMPROVE.	1460	1 DEV.	0.00		0.00	0.00	DELETE
PERRETTA								
TWIN TOWERS	COMMON AREA RENOVATIONS	1460	1 DEV.	2,271.96		2,271.96	2,271.96	CONTRACT COMPLETE
	APARTMENT RENOVATIONS	1460	2 APTS.	12,742.41		12,742.41	10,501.18	WORK IN PROCESS

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated	Funds Expended(2)	
NY06P006007	APARTMENT RENOVATIONS	1460	9 APTS.	145,890.34	145,190.34	145,190.34	140,895.49	WORK IN PROCESS
MARINO								
RUGGIERO/	COMMON AREA RENOVATIONS	1460	1 DEV.	331.60		331.60	331.60	COMPLETE
DUPLEXES								
NY06P006010	APARTMENT RENOVATIONS	1460	6 APTS.	15,091.94	28,435.94	28,435.94	28,153.75	WORK IN PROCESS
F.X. MATT								
APARTMENTS	CYCLE PAINTING	1460	1 DEV.	2,528.87		2,528.87	2,227.85	WORK IN PROCESS
	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00	0.00	DELETE
	ROOF REPLACEMENT	1460	1 DEV.	137,200.00	123,256.00	123,256.00	123,256.00	COMPLETE
NY06P006010	APARTMENT RENOVATIONS	1460	3 APTS.	38,640.19		38,640.19	35,316.16	WORK IN PROCESS
HUMPHREY								
GARDENS	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00	0.00	DELETE
	TUB VALVES & TUB SURROUNDS	1460	50 EACH	7,442.26	7,642.26	7,642.26	7,601.21	WORK IN PROCESS
	REPLACEMENT WINDOWS	1460	1 DEV.	188,699.51		188,699.51	188,555.80	WORK IN PROCESS
	CRAWL SPACE IMPROVEMENT	1460	1 DEV	0.00		0.00	0.00	DELETE
NY06P006010	APARTMENT RENOVATIONS	1460	5 APTS.	105,033.83	105,533.83	105,533.83	96,731.03	WORK IN PROCESS
GILLMORE								
VILLAGE	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00	0.00	DELETE
	TUB VALVES & TUB SURROUNDS	1460	50 EACH	3,526.34	4,126.34	4,126.34	4,041.26	WORK IN PROCESS
	REPLACEMENT WINDOWS	1460	1 DEV.	146,923.42		146,923.42	125,541.82	WORK IN PROCESS
	CRAWL SPACE IMPROVEMENT	1460	1 DEV	0.00		0.00	0.00	DELETE

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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	CYCLE PAINTING	1460	1 DEV.	6,387.57	6,987.57	6,987.57	6,428.76	WORK IN PROCESS
GILLMORE								
VILLAGE	REMEDIATION	1460	1 DEV.	0.00		0.00	0.00	DELETE
NY06P006002	REPLACEMENT WINDOWS	1460	1 DEV.	0.00		0.00	0.00	DELETE
N. D. PETERS								
MANOR	CRAWL SPACE IMPROVEMENT	1460	1 DEV	0.00		0.00	0.00	DELETE
	EXT.PORCH IMPROVEMENT &	1460	5 PROCHES	0.00		0.00	0.00	DELETE
	WROUGHRT IRON RAILS							
NY06P006001	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00	0.00	DELETE
ADREAN								
TERRACE	APARTMENT RENOVATIONS	1460	10 APTS.	70,841.87	70,241.87	70,241.87	68,793.07	WORK IN PROCESS
	CYCLE PAINTING	1460	10 APTS.	5,536.52		5,536.52	4,451.23	WORK IN PROCESS
	REPLACEMENT WINDOWS	1460	1 DEV.	0.00		0.00	0.00	DELETE
	LOCKSETS	1460	1 DEV.	0.00		0.00	0.00	DELETE
	THERMOSTATIC CONTR/ VALVES	1460	1 DEV.	0.00		0.00	0.00	DELETE
	TUB VALVES & TUB SURROUNDS	1460	1 DEV.	49,990.00		49,990.00	26,977.50	WORK IN PROCESS
	SUBTOTAL A/C 1460			**939,078.63**		**939,078.63**	**872,075.67**	
	939,078.63							

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	DWELLING EQUIPMENT							
NY06P006004								
PERRETTA	REPLACE STOVES AND							
TWIN TOWERS	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006007								
MARINO								
RUGGIERO/	REPLACE STOVES AND							
DUPLEXES	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006010								
F.X. MATT	REPLACE STOVES AND							
APARTMENTS	REFRIGERATORS	1465	10 APTS.	1,398.00		1,398.00	1,398.00	APPLIANCES PURCHASED
NY06P006010								
HUMPHREY	REPLACE STOVES AND							
GARDENS	REFRIGERATORS	1465	10 APTS.	4,775.00		4,775.00	4,775.00	APPLIANCES PURCHASED
NY06P006010								
GILLMORE	REPLACE STOVES AND							
VILLAGE	REFRIGERATORS	1465	10 APTS.	8,133.00		8,133.00	8,133.00	APPLIANCES PURCHASED
NY06P006002								
N. D. PETERS	REPLACE STOVES AND							
MANOR	REFRIGERATORS	1465		0.00		0.00	0.00	DELETE
NY06P006001								
ADREAN	REPLACE STOVES AND							
TERRACE	REFRIGERATORS	1465	10 APTS.	5,457.00		5,457.00	5,457.00	APPLIANCES PURCHASED
	SUBTOTAL A/C 1465			**19,763.00**	**0.00**	**19,763.00**	**19,763.00**	

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U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	NON DWELLING STRUCTURES							
NY006P006001	MAINTENANCE SHOP IMPROVEMENT	1470	BLDG.13 &20	0.00		0.00	0.00	DELETE
ADREAN								
TERRACE	SITE OFFICE & COMM.BLDG.IMPR	1470	VEGA CENTER	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	0.00		0.00	0.00	DELETE
GILLMORE								
VILLAGE	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	705.00		705.00	705.00	COMPLETE
HUMPHREY								
GARDENS	SITE OFFICE & COMM.BLDG.IMPR	1470	B BLDG.	0.00		0.00	0.00	DELETE
NY06P006002	COMPUTER LAB & MAINT. SHOP	1470	J BLDG.	1,766.73		1,766.73	1,766.73	COMPLETE
N. D. PETERS								
MANOR								
NY06P006010	MAINTENANCE SHOP IMPROVEMENT	1470	B BLDG.	0.00		0.00	0.00	DELETE
F.X. MATT								
APARTMENTS								
	SUBTOTAL A/C 1470			**2,471.73**	**0.00**	**2,471.73**	**2,471.73**	
	NON DWELLING EQUIPMENT							
HA WIDE	OFFICE FURNITURE AND EQUIPMENT	1475	HA WIDE	2,422.42		2,422.42	2,422.42	EQUIPMENT PURCHASED
	SUBTOTAL A/C 1475			**2,422.42**	**0.00**	**2,422.42**	**2,422.42**	

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837(01/05/95)
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Annual Statement/
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2004

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	RELOCATION COSTS							
HA WIDE	RELOCATION TO ACCOMPLISH	1495		2,129.77		2,129.77	450.00	CONTRACT AWARDED
	RENOVATIONS AND DEMOLITION							
	SUBTOTAL A/C 1495			**2,129.77**	**0.00**	**2,129.77**	**450.00**	
	DEVELOPMENT ACTIVITIES							
HA WIDE	MIXED FINANCING PROGRAM TO	1499		0.00		0.00	0.00	DELETE
	SELL BONDS BACKED BY CFP							
	ALLOCATIONS FOR HOPE VI							
	DEMO AND REVITALIZATION,							
	ENERGY PERFORMANCE							
	CONTRACTING AND							
	REDEVELOPMENT PROJECTS.							
	SUBTOTAL A/C 1499			**0.00**	**0.00**	**0.00**	**0.00**	
	GRAND TOTAL			**2,036,275.00**	**0.00**	**2,036,275.00**	**1,816,347.41**	
				0.00				

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12/31/2006	

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2004

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	9/13/2006			9/13/2008			
NY06P006002							
N.D. PETERS	9/13/2006			9/13/2008			
NY06P006004							
PERRETTA TOWERS	9/13/2006			9/13/2008			
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	9/13/2006			9/13/2008			
NY06P006010							
WASHINGTON COURTS	9/13/2006			9/13/2008			
GOLDBAS HOMES	9/13/2006			9/13/2008			
F.X. MATT APARTMENTS	9/13/2006			9/13/2008			
HUMPHREY GARDENS	9/13/2006			9/13/2008			
GILLMORE VILLAGE	9/13/2006			9/13/2008			
MANAGEMENT IMPROVEMENTS	9/13/2006		5/31/2005	9/13/2008		11/30/2005	

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Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650103**	**2003**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ____ Revised Annual Statement /Revision Number____ _X_ Performance and Evaluation Report for Program Year Ending 12/31/2006
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -			
2	1406 Operations (May not exceed 10% of line 19)	$ 56,992.32		$ 56,992.32	$ 56,992.32
3	1408 Management Improvements	$ 88,000.00		$ 88,000.00	$ 60,598.82
4	1410 Administration	$ 176,096.00		$ 176,096.00	$ 176,096.00
5	1411 Audit	$ -			
6	1415 Liquidated Damages	$ -			
7	1430 Fees and Costs	$ 522.26		$ 522.26	$ 522.26
8	1440 Site Acquisition	$ -			
9	1450 Site Improvement	$ 185,115.68		$ 185,115.68	$ 185,115.68
10	1460 Dwelling Structures	$ 1,154,463.52		$ 1,154,463.52	$ 1,126,228.08
11	1465 Dwelling Equipment-Nonexpendable	$ -		$ -	$ -
12	1470 Nondwelling Structures	$ 47,223.45		$ 47,223.45	$ 34,233.26
13	1475 Nondwelling Equipment	$ 51,036.00		$ 51,036.00	$ 51,036.00
14	1485 Demolition	$ -			
15	1490 Replacement Reserves	$ -			
16	1495.1 Relocation Costs	$ 1,509.77		$ 1,509.77	$ 680.00
17	1499 Development Activities	$ -			
18	1502 Contingency (may not exceed 8% of line 19)	$ -			
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 1,760,959.00**	**$ -**	**$ 1,760,959.00**	**$ 1,691,502.42**
20	Amount of Line 19 Related to LBP Activites	$ 200,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 200,000.00			

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12/31/2006	

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from HUD-52837
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Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
HA - WIDE	**OPERATIONS**							
	HA-WIDE OPERATING EXPENSES							
	NORMALLY PAID BY OPERATING							
	SUBSIDY	1406	8 DEV.	56,992.32		56,992.32	56,992.32	COMPLETE
	SUBTOTAL A/C 1406			**56,992.32**	**0.00**	**56,992.32**	**56,992.32**	
HA-WIDE	**MANAGEMENT IMPROVEMENTS**							
	A) GRANT WRITER	1408	1 EMP.	46,000.00		46,000.00	46,000.00	COMPLETE
	B) COMPUTER SOFTWARE		PHA WIDE	42,000.00		42,000.00	14,598.82	CONTRACT AWARDED
	SUBTOTAL A/C 1408			**88,000.00**	**0.00**	**88,000.00**	**60,598.82**	
HA-WIDE	**ADMINISTRATION**							
	MOD. & ASST. MOD.COOR.,							
	SR.BOOKEEPER,SR.TYP.,EXEC.DIR.							
	W/ BENEFITS	1410	6 EMP.	176,096.00		176,096.00	176,096.00	COMPLETE
	SUBTOTAL A/C 1410			**176,096.00**	**0.00**	**176,096.00**	**176,096.00**	
HA-WIDE	**FEES & COSTS**							
	A&E SERVICES AND TESTING	1430	PHA WIDE	522.26		522.26	522.26	COMPLETE
	SUBTOTAL A/C 1430			**522.26**	**0.00**	**522.26**	**522.26**	
	SIDE ACQUISTION							
CITY WIDE	PURCHASE LAND	1440	17 SITES	0.00				
				0.00	**0.00**	**0.00**	**0.00**	

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Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	SITE IMPROVEMENTS							
NY06P006001	LANDSCAPING, SIDEWALK REPLAC.							
ADREN	GARBAGE STATION,SEWERLINES	1450	1 DEV.	0.00		0.00	0.00	DELETE
TERRACE								
NY06P006002	LANDSCAPING, SIDEWALK REPLAC.							
N.D. PETERS	REPAVE PARKING AREAS,CURBING							
MANOR	FENCES, GARBAGE STATIONS	1450	1 DEV.	3,731.92		3,731.92	3,731.92	COMPLETE
NY06P006004	LANDSCAPING, SIDEWALK REPLAC.							
PERRETTA	CURBING,FENCES,GARBAGE							
TWIN TOWERS	STATIONS	1450	1 DEV.	21,585.92		21,585.92	21,585.92	COMPLETE
NY06P006010								
GOLDBAS								
HOMES	GRADING AND LANDSCAPING	1450	1 DEV.	0.00		0.00		DELETE
NY06P006007	LANDSCAPING, SIDEWALK REPLAC.							
MARINO	REPAVE PARKING AREAS,CURBING							
RUGGIERO/	FENCES, GARBAGE STATIONS	1450	1 DEV.	159,797.84		159,797.84	159,797.84	COMPLETE
DUPLEXES								
NY06P006010	LANDSCAPING, SIDEWALK REPLAC.							
GILLMORE	REPAVE PARKING AREAS,CURBING							
VILLAGE	FENCES, GARBAGE STATIONS							
	SEWER LINES	1450	1 DEV.	0.00		0.00	0.00	
	SUBTOTAL A/C 1450			**185,115.68**	**0.00**	**185,115.68**	**185,115.68**	

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				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
	DWELLING STRUCTURES							
NY06P006004	APARTMENT RENOVATIONS	1460	2 APTS.	15,624.84	17,124.84	17,124.84	15,425.75	WORK IN PROCESS
PERRETTA								
TWIN TOWERS	COMMON AREA RENOVATIONS	1460	1 DEV.	25,878.36		25,878.36	25,878.36	COMPLETE
	TRASH COMPACTOR SYSTEM	1460	1 DEV.	42,023.32		42,023.32	42,023.32	COMPLETE
	ADMINSTRATIVE & COMMUNITY							
	ROOM IMPROVEMENT	1460	1 DEV.	0.00		0.00		
NY06P006010	APARTMENT RENOVATIONS	1460	15 APTS.	1,987.30	10,647.11	10,647.11	7,979.32	WORK IN PROCESS
F.X. MATT	ACCOUNT & CONTRACTED SERV.							
APARTMENTS	CYCLE PAINTING	1460	1 DEV.	0.00		0.00		
	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00		
NY06P006010	APARTMENT RENOVATIONS	1460	30 APTS.	250,339.94	259,642.12	259,642.12	257,378.84	WORK IN PROCESS
GILLMORE	ACCOUNT & CONTRACTED SERV.							
VILLAGE	CYCLE PAINTING	1460	5 APTS.	1,704.93	0.00	0.00	0.00	
	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00		
	CRAWL SPACE IMPROVEMENTS	1460	1 DEV.	0.00		0.00	0.00	
	REPLACEMENT WINDOWS &							
	SCREEN DOORS	1460	1 BLDG.	32,415.12		32,415.12	32,415.12	COMPLETE
	CONTAMINATED SOIL REMEDIATION	1460	1 DEV.	52,764.00		52,764.00	48,108.00	WORK IN PROCESS
NY06P006001	APARTMENT RENOVATIONS	1460	30 APTS.	85,689.96	111,773.46	111,773.46	109,879.59	WORK IN PROCESS
ADREAN	ACCOUNT & CONTRACTED SERV.							
TERRACE	CYCLE PAINTING	1460	10 APTS.	0.00		0.00		

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				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006001	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00		
ADREAN								
TERRACE	REPLACE WINDOWS & STORM							
	DOORS	1460	2 BLDGS.	30,153.60		30,153.60	30,153.60	COMPLETE
	THERMOSTATIC CONTROL							
	VALVES	1460	199 APTS.	0.00		0.00		
NY06P006002	EXTERIOR PORCH IMPROVEMENTS							
N.D. PETERS	& WROUGH IRON RAILS	1460	4 PORCHES	673.25	769.54	769.54	769.54	COMPLETE
MANOR								
	REPLACE WINDOWS & STORM							
	DOORS	1460	1 BLDG.	0.00		0.00		
	CRAWL SPACE IMPROVEMENTS	1460	1 DEV.	0.00		0.00		
	APARTMENT RENOVATIONS	1460	1 APT.	0.00		0.00		
NY06P006007	APARTMENT RENOVATIONS	1460	9 APTS.	206,401.95	207,020.78	207,020.78	206,560.04	WORK IN PROCESS
MARINO								
RUGGIERO/	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00		
DUPLEXES								
	ELEVATOR SYSTEM INSTALLATION	1460	1 DEV.	325,000.00	278,503.12	278,503.12	278,503.12	COMPLETE
	ROOFING & EXTERIOR LIGHTING	1460	1 DEV.	0.00		0.00		
	COMMUNITY ROOM IMPROVEMENTS	1460	1 DEV.	0.00		0.00		
	TRASH COMPACTOR SYSTEM	1460	1 DEV.	21,011.68		21,011.68	21,011.68	COMPLETE

(1) To be completed for Per

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006010	APARTMENT RENOVATIONS-FORCE	1460	30 APTS.	46,713.35	48,654.55	48,654.55	34,059.88	WORK IN PROCESS
HUMPHREY	ACCOUNT & CONTRACTED SERV.							
GARDENS								
	COMMON AREA RENOVATIONS	1460	1 DEV.	0.00		0.00		
	CYCLE PAINTING	1460	5 APTS.	0.00		0.00		
	REPLACEMENT WINDOWS & STORM							
	DOORS	1460	1 BLDG.	16,081.92		16,081.92	16,081.92	COMPLETE
	CRAWL SPACE IMPROVEMENTS	1460	1 DEV.	0.00				
	SUBTOTAL A/C 1460			**1,154,463.52**	**934,135.52**	**1,154,463.52**	**1,126,228.08**	
			1,154,463.52					
	DWELLING EQUIPMENT							
NY06P006010	REPLACE REFRIGERATOR &							
GILLMORE	RANGES	1465.1	10 APTS.	0.00		0.00		
VILLAGE								
NY06P006010	REPLACE REFRIGERATOR &							
HUMPHREY	RANGES	1465.1	10 APTS.	0.00		0.00		
GARDENS								
NY06P006010	REPLACE REFRIGERATOR &							
F.X. MATT	RANGES	1465.1	10 APTS.	0.00		0.00		
APARTMENTS								

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006001	REPLACE REFRIGERATOR &							
ADREAN	RANGES	1465.1	10 APTS.	0.00		0.00		
TERRACE								
	SUBTOTAL A/C 1465.1			**0.00**	**0.00**	**0.00**	**0.00**	
	NON DWELLING STRUCTURES							
NY06P006010	MAINTENANCE SHOP							
GILLMORE	IMPROVEMENTS	1470	"B" BLDG.	0.00		0.00		
VILLAGE								
	SITE OFFICE & COMMUNITY BLDG.							
	IMPROVEMENTS	1470	"B" BLDG.	0.00		0.00		
NY06P006010	MAINTENANCE SHOP							
HUMPHREY	IMPROVEMENTS	1470	"B" BLDG.	0.00		0.00		
GARDENS								
	SITE OFFICE & COMMUNITY BLDG.							
	IMPROVEMENTS	1470	"B" BLDG.	0.00		0.00		
NY06P006010	MAINTENANCE SHOP							
F.X. MATT	IMPROVEMENTS	1470	"J" BLDG.	0.00		0.00		
APARTMENTS								
NY06P006002	COMPUTER LAB / MAINTENANCE							
N.D. PETERS	GARAGE	1470	"J" BLDG.	26,250.00		26,250.00	15,187.84	WORK IN PROCESS
MANOR								
	ACCESS & SECURITY SYSTEM	1470	LUMP SUM	288.00		288.00	288.00	COMPLETE

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Signature of Executive Director and Date 12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006007	ACCESS & SECURITY SYSTEM	1470	LUMP SUM	1,743.00		1,743.00	1,743.00	COMPLETE
MARINO								
RUGGIERO/								
DUPLEXES								
NY06P006004	ACCESS & SECURITY SYSTEM	1470	LUMP SUM	886.45		886.45	886.45	COMPLETE
PERRETTA								
TWIN TOWERS								
NY06P006001	MAINTENANCE SHOP		BLDGS. 13					
ADREAN	IMPROVEMENTS	1470	& 20	13,569.00		13,569.00	11,640.97	WORK IN PROCESS
TERRACE								
	SITE OFFICE & COMMUNITY BLDG.		VEGA					
	IMPROVEMENTS	1470	CENTER	0.00		0.00		
	ACCESS & SECURITY SYSTEM	1470	LUMP SUM	4,135.00		4,135.00	4,135.00	COMPLETE
NY06P0060010	ACCESS & SECURITY SYSTEM	1470	LUMP SUM	352.00		352.00	352.00	COMPLETE
WASHINGTON								
COURTS								
	SUBTOTAL A/C 1470			**47,223.45**	**0.00**	**47,223.45**	**34,233.26**	
	NON DWELLING EQUIPMENT							
NY06P006010	PLAYGROUND EQUIPMENT	1475	1 DEV.	0.00				
GILLMORE								
VILLAGE		1475	1 DEV.	0.00				
NY06P006010	PLAYGROUND EQUIPMENT	1475	1 DEV.	0.00				
HUMPHREY								
GARDENS								

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837(01/05/95)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

Federal FY of Grant: 2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
NY06P006002	PLAYGROUND EQUIPMENT	1475	1 DEV.	0.00				
N.D. PETERS								
MANOR								
NY06P006001	PLAYGROUND EQUIPMENT	1475	1 DEV.	0.00				
ADREAN								
TERRACE		1	1 DEV.					
	-							
NY06P006010	PLAYGROUND EQUIPMENT	1475	1 DEV.	0.00				
F.X. MATT								
APARTMENTS								
NY06P006004	COMPUTER HARDWARE	1475	HA WIDE	6,759.80		6,759.80	6,759.80	COMPLETE
PERRETTA								
TWIN TOWERS								
HA-WIDE	OFFICE FURNITURE & EQUIPMENT	1475	HA WIDE	1,722.00		1,722.00	1,722.00	COMPLETE
HA-WIDE	MODERNIZAITON VEHICLE	1475	HA WIDE	42,554.20		42,554.20	42,554.20	COMPLETE
	SUBTOTAL A/C 1475			**51,036.00**	**0.00**	**51,036.00**	**51,036.00**	
	RELOCATION COSTS							
HA-WIDE	RELOCATION TO ACCOMPLISH							
	RENOVATIONS & DEMOLITIONS	1495	HA WIDE	1,509.77		1,509.77	680.00	CONTRACT AWARDED
	SUBTOTAL A/C 1495			**1,509.77**	**0.00**	**1,509.77**	**680.00**	
	GRAND TOTAL			**1,760,959.00**	**934,135.52**	**1,760,959.00**	**1,691,502.42**	

(1) To be completed for Per

(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2003

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	9/16/2005		2/18/2005	9/16/2007			
NY06P006002							
N.D. PETERS	9/16/2005		2/18/2005	9/16/2007		7/31/2004	
NY06P006004							
PERRETTA TOWERS	9/16/2005		7/31/2005	9/16/2007		7/31/2006	
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	9/16/2005		1/26/2005	9/16/2007			
NY06P006010							
WASHINGTON COURTS	9/16/2005			9/16/2007			
GOLDBAS HOMES	9/16/2005			9/16/2007			
F.X. MATT APARTMENTS	9/16/2005		12/31/2003	9/16/2007			
HUMPHREY GARDENS	9/16/2005		9/13/2005	9/16/2007			
GILLMORE VILLAGE	9/16/2005		9/13/2005	9/16/2007			
MANAGEMENT IMPROVEMENTS	9/16/2005		5/25/2005	9/16/2007			

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Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

OMB Approval No. 2577-0157 (exp. 7/31/98)

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06P00650203**	**2003**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies __ Revised Annual Statement /Revision Number ____ __X__ Performance and Evaluation Report for Program Year Ending 12/31/2006
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Total Estimated Cost Revised(1)	Total Actual Cost(2) Obligated	Total Actual Cost(2) Expended
1	Total Non-CGP Funds	$ -		$ -	$ -
2	1406 Operations (May not exceed 10% of line 19)	$ -		$ -	$ -
3	1408 Management Improvements	$ 64,000.00		$ 64,000.00	$ 64,000.00
4	1410 Administration	$ 41,239.00		$ 41,239.00	$ 41,239.00
5	1411 Audit	$ -			$ -
6	1415 Liquidated Damages	$ -		$ -	$ -
7	1430 Fees and Costs	$ 30,486.70		$ 30,486.70	$ 13,217.99
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ 30,000.00		$ 30,000.00	$ 30,000.00
10	1460 Dwelling Structures	$ 236,000.00		$ 236,000.00	$ 226,078.26
11	1465 Dwelling Equipment-Nonexpendable	$ -		$ -	$ -
12	1470 Nondwelling Structures	$ -		$ -	$ -
13	1475 Nondwelling Equipment	$ 10,669.30		$ 10,669.30	$ 9,865.65
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -		$ -	$ -
16	1495.1Relocation Costs	$ -		$ -	$ -
17	1499 Development Activities	$ -		$ -	$ -
18	1502 Contingency (may not exceed 8% of line 19)	$ -		$ -	$ -
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 412,395.00**	**$ -**	**$ 412,395.00**	**$ 384,400.90**
20	Amount of Line 19 Related to LBP Activites	$ 6,000.00			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ 12,000.00			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
2 To be completed for the Performance and Evaluation Report

from HUD-52837 (10/96)
ref Handbook 7485.3

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

CFP-FY2003(2)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost Original	Total Estimated Cost Revised (1)	Total Actual Cost Funds Obligated (2)	Total Actual Cost Funds Expended(2)	Status of Proposed Work (2)
	MANAGEMENT IMPROVEMENTS							
HA - Wide	SYSTEM ADMINISTRATOR	1408	LUMP SUM	64,000.00		64,000.00	64,000.00	COMPLETE
	SUB TOTAL A/C 1408			**64,000.00**	**0.00**	**64,000.00**	**64,000.00**	
	ADMINISTRATION							
HA - Wide	MODERNIZATION & ASST. MOD. COOR.							
	SR. BOOKKEEPER, SR. TYPIST,							
	EXECUTIVE DIRECTOR W/ BENEFITS	1410	LUMP SUM	41,239.00		41,239.00	41,239.00	COMPLETE
	SUB TOTAL A/C 1410			**41,239.00**	**0.00**	**41,239.00**	**41,239.00**	
	FEES AND COST							
HA - Wide	A&E SERVICES & TESTING	1430	LUMP SUM	30,486.70		30,486.70	13,217.99	CONTRACT AWARDED
	SUB TOTAL A/C 1430			**30,486.70**	**0.00**	**30,486.70**	**13,217.99**	
	SITE IMPROVEMENTS							
NY006P006002	LANDSCAPING,SIDEWALK REPL.,							
N.D. PETERS	REPAVING OF PARK AREAS,							
MANOR	CURBING,FENCES,GARB.STATION	1450	LUMP SUM	29,597.62		29,597.62	29,597.62	COMPLETE
NY06P006004	SEALING OF PARKING LOTS,							
PERRETTA	LANDSCAPING	1450	1 DEV.	402.38		402.38	402.38	COMPLETE
TWIN TOWERS								
	SUB TOTAL A/C 1450			**30,000.00**	**0.00**	**30,000.00**	**30,000.00**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

CFP-FY2003(2)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost Original	Total Estimated Cost Revised (1)	Total Actual Cost Funds Obligated (2)	Total Actual Cost Funds Expended(2)	Status of Proposed Work (2)
	DWELLING STRUCTURES							
NY06P006010	APARTMENT RENOVATIONS -							
GILLMORE	FORCE ACCOUNT& CONTRACT							
VILLAGE	SERVICES	1460	2 APTS.	40,770.98		40,770.98	30,849.24	WORK IN PROCESS
NY06P006010	APARTMENT RENOVATIONS -							
HUMPHREY	FORCE ACCOUNT& CONTRACT							
GARDENS	SERVICES	1460	2 APTS.	35,879.25		35,879.25	35,879.25	COMPLETE
NY06P006010	APARTMENT RENOVATIONS -							
F.X. MATT	FORCE ACCOUNT& CONTRACT							
APARTMENTS	SERVICES	1460	2 APTS.	2,609.43		2,609.43	2,609.43	COMPLETE
	ROOF REPLACEMENT	1460	1 DEV.	0.00		0.00	0.00	
NY06P006002	EXTERIOR PORCH IMPROVEMENT							
N.D. PETERS	& WROUGHT IRON RAILS	1460	5 PORCHES	0.00		0.00	0.00	
MANOR								
NY06P006001	APARTMENT RENOVATIONS -							
ADREAN	FORCE ACCOUNT& CONTRACT							
TERRACE	SERVICES	1460	2 APTS.	78,200.00		78,200.00	78,200.00	COMPLETE
NY006P006007	APARTMENT RENOVATIONS -							
MARINO	FORCE ACCOUNT& CONTRACT							
RUGGIERO/	SERVICES	1460	9 APTS.	78,540.34		78,540.34	78,540.34	COMPLETE
DUPLEXES								
	SUB TOTAL A/C 1460			**236,000.00**	**0.00**	**236,000.00**	**226,078.26**	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)

Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

CFP-FY2003(2)

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost Original	Total Estimated Cost Revised (1)	Total Actual Cost Funds Obligated (2)	Total Actual Cost Funds Expended(2)	Status of Proposed Work (2)
	NON DWELLING EQUIPMENT							
HA - Wide	OFFICE EQUIPMENT, TOOLS, AND							
	EQUIPMENT	1475		10,669.30		10,669.30	9,865.65	EQUIPMENT ORDERED
	SUB TOTAL A/C 1475			**10,669.30**	**0.00**	**10,669.30**	**9,865.65**	
	GRAND TOTAL			**412,395.00**	**0.00**	**412,395.00**	**384,400.90**	

(1) To be completed for Performance and Evaluation Report or a Revised Annual Statement.
(2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

CFP FY2003

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY06P006001							
ADREAN TERRACE	2/13/2006		1/31/2006	2/13/2008		1/31/2006	
NY06P006002							
N.D. PETERS	2/13/2006		1/31/2005	2/13/2008		8/31/2006	
NY06P006004							
PERRETTA TOWERS	2/13/2006		4/30/2005			12/31/2005	
NY06P006007							
MARINO-RUGGIERO/DUPLEXES	2/13/2006		1/31/2006			1/31/2006	
NY06P006010							
WASHINGTON COURTS	2/13/2006						
GOLDBAS HOMES	2/13/2006						
F.X. MATT APARTMENTS	2/13/2006		4/30/2005	2/13/2008		5/31/2005	
HUMPHREY GARDENS	2/13/2006		1/31/2006	2/13/2008		1/31/2006	
GILLMORE VILLAGE	2/13/2006		1/31/2006	2/13/2008			
MANAGEMENT IMPROVEMENTS	2/13/2006		6/30/2005	2/13/2008		7/31/2006	

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Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)

ref Handbook 7485.3

A1

Annual Statement/Performance and Evaluation Report
Capital Fund Program (CFP) Part I: Summary

U.S. Department of Housing and Urban Development Office of Public Housing

OMB Approval No. 2577-0157 (exp. 7/31/98)

HA Name:	Comprehensive Grant number	FFY of Grant Approval
Municipal Housing Authority of the City of Utica, New York	**NY06R00650203**	**2003**

___ Original Annual Statement ___ Reserve for Disaster/Emergencies ___ Revised Annual Statement /Revision Number ____ __X__ Performance and Evaluation Report for Program Year Ending 12/31/2006
____ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Revised(1)	Total Actual Cost(2) Obligated	Expended
1	Total Non-CGP Funds	$ -		$ -	$ -
2	1406 Operations (May not exceed 10% of line 19)	$ -		$ -	$ -
3	1408 Management Improvements	$ -		$ -	$ -
4	1410 Administration	$ -		$ -	$ -
5	1411 Audit	$ -		$ -	$ -
6	1415 Liquidated Damages	$ -		$ -	$ -
7	1430 Fees and Costs	$ -		$ -	$ -
8	1440 Site Acquisition	$ -		$ -	$ -
9	1450 Site Improvement	$ -		$ -	$ -
10	1460 Dwelling Structures	$ -		$ -	$ -
11	1465 Dwelling Equipment-Nonexpendable	$ -		$ -	$ -
12	1470 Nondwelling Structures	$ -		$ -	$ -
13	1475 Nondwelling Equipment	$ -		$ -	$ -
14	1485 Demolition	$ -		$ -	$ -
15	1490 Replacement Reserves	$ -		$ -	$ -
16	1495.1Relocation Costs	$ -		$ -	$ -
17	1499 Development Activities	$ 191,586.00		$ 191,586.00	$ 167,356.12
18	1502 Contingency (may not exceed 8% of line 19)	$ -		$ -	$ -
19	**Amount of Annual Grant (Sum of lines 2-18)**	**$ 191,586.00**		**$ 191,586.00**	**$ 167,356.12**
20	Amount of Line 19 Related to LBP Activites	$ -			
21	Amount of Line 19 Related to Section 504 Compliance	$ -			
22	Amount of Line 19 Related to Security	$ -			
23	Amount of Line 19 Related to Energy Conservation Measures	$ -			

Signature of Executive Director and Date
12/31/2006

Signature of Public Housing director/Office of Native Programs Adminstratotr and Date.

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
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from HUD-52837 (10/96)
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Annual Statement/
Performance and Evaluation
Part II: Supporting Pages
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Housing

RHF-FY2003

OMB Approval No. 2577-0157 (Exp. 7/31/95)

Development Number/Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)	Funds Obligated (2)	Funds Expended(2)	
CITY OF UTICA	NEW CONSTRUCTION OF SINGLE FAMILY	1499		191,586.00		191,586.00	167,356.12	WORK IN PROCESS
WIDE	DETACHED/SEMI-DETACHED REPLACEMENT							
	HOUSING UNITS							
	SUBTOTAL			**191,586.00**	**0.00**	**191,586.00**	**167,356.12**	

(1) To be completed for Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
12/31/2006	

Facsimile of form HUD-52837(01/05/95)

Annual Statement/
Performance and Evaluation Report
Part III: Implementation Schedule
Capital Fund Program (CFP)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

RHF FY2003

OMB Approval No. 2577-0157 (Exp. 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
CITY OF UTICA WIDE	4/16/2006			4/16/2008			

1) To be completed for the Performance and Evaluation Report or a Revised Annnul Statement

2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date

12/31/2006

Signature of Public Housing Director/Office of Native American Programs Administrator and Date

Facsimile of form HUD-52837 (10/96)

ref Handbook 7485.3

Attachment T

Municipal Housing Authority of the City of Utica

Annual Plan
for
Fiscal Year 07/01/2007 – 06/30/2008

Statement of Progress in Meeting the
5-Year Plan Mission and Goals
Established for Fiscal Years 07/01/2005 through 7/01/2009

The following table reflects the progress we have made in achieving our goals and objectives:

GOAL ONE: EXPAND THE SUPPLY OF ASSISTED HOUSING	
Objective	**Progress**
Reduce public housing vacancies:	Occupancy has improved; especially for senior housing. Occupancy for federally subsidized housing increased from 92.88% from a year ago to 95.83%.
Leverage private or other public funds to create additional housing opportunities:	The HOPE VI Revitalization Grant has: 27 tax credit rental units built in 2004; 49 tax credit/public housing rental units built in 2005; 33 tax credit/public housing rental units built in 2006; 18 single family homes built in 2005 and 2006; 22 single family homes planned for 2007 and 2008. The Replacement Housing Factor (RHF) has: 2 single family homes built in 2005; 1 single family home built in 2006; 8 single family homes built in 2006; and 2 single family homes planned for 2007/2008
Acquire or build units or developments	The above HOPE VI rental units are being developed by Housing Visions, Inc. The above HOPE VI homes are being developed by Rebuild Mohawk Valley, Inc./UMHA The above RHF homes are being developed by the UMHA.

GOAL TWO: IMPROVE THE QUALITY OF ASSISTED HOUSING	
Objective	**Progress**
Improve public housing management: (PHAS score) **88**	UMHA is a standard performer. New PHAS scores have not been calculated.
Increase customer satisfaction: **Site Management operations**	Data from the last Resident Satisfaction survey has been reviewed and recommendations are in the process of being implemented.
Concentrate on efforts to improve specific management functions:	To improve site management, the MHA board approve assignment of maintenance staff to specific sites effective 2/1/06.
Renovate or modernize public housing units	The MHA Force Account crew continues to renovate apartments, remodel bathrooms, and install new windows.
Demolish or dispose of obsolete public housing:	The Washington Courts and Goldbas Apartments have been demolished and the cleared site has been conveyed to the City of Utica.
Provide replacement public housing	The previously occupied 70 units at Washington Courts are being replaced through the HOPE VI project.

GOAL THREE: INCREASE ASSISTED HOUSING CHOICES	
Objective	**Progress**
Provide voucher mobility counseling	Mobility counseling is an on-going daily activity.
Conduct outreach efforts to potential voucher landlords	Outreach to landlords is on-going.
Increase voucher payment standards	Voucher payment standards will increase **only** when HUD issues new standards.
Implement public housing or other homeownership programs	The progress to develop homeownership opportunities is shown above.

GOAL FOUR: PROVIDE AN IMPROVED LIVING ENVIRONMENT	
Objective	**Progress**
Implement public housing security improvements: **Increase visibility of security personnel at senior/disabled developments**	The UMHA continues to provide an extra four hour security shift for the senior/disabled developments.

GOAL FIVE: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS	
Objective	**Progress**
Provide or attract supportive services to	The HOPE VI and MHA staffs provide

improve assistance recipients' employability:	employment services with HOPE VI partners. In addition, the UMHA has initiated Family Self-Sufficiency and ROSS Family Program which are designed to help residents obtain training and employment.

GOAL SIX: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING PROGRAM IN AN EFFICIENT AND EFFECTIVE MANNER	
Objective	**Progress**
1. The Municipal Housing Authority of the City of Utica is changing its operations to utilize asset management, project-based accounting and performance budgeting in order to survive worsening subsidy cuts.	The MHA Board on 1/9/06 approved an asset management plan. The first step, accomplished 2/1/06, was to organize all properties into four groupings and assign maintenance staff accordingly. Since then, the UMHA has created separate income/expense ledgers for each development. In addition, materials inventory has been moved from UMHA's central warehouse to individual warehouses at each site. A tenant relations specialist and a senior maintenance mechanic have been assigned to each of the four property groupings.
2. The Municipal Housing Authority of the City of Utica will attempt to maintain standard performance status under PHAS and an appropriate level of service to public housing residents in the face of worsening subsidy cuts	REAC is 10 months behind schedule. New PHAS scores have not been calculated.

GOAL SEVEN: EXPAND THE RANGE AND QUALITY OF HOUSING CHOICES AVAILABLE TO PARTICIPATE IN OUR TENANT-BASED RENT SUBSIDY PROGRAM	
Objective	**Progress**
1. The Municipal Housing Authority of the City of Utica shall strive to maintain a high Section 8 lease-up rate within current financial constraints of the Voucher program.	MHA Section 8 lease-up will remain between 95 to 100% of our financial expenditures provided by HUD.
2. The Municipal Housing Authority of the City of Utica shall attract ten (10) new landlords who want to participate in the tenant-based assistance program. This objective will be accomplished by June 30, 2009.	MHA has and will continue to attract new landlords to participate in our voucher program, meeting its goal of 10 (ten) new landlords by 6/30/09.

GOAL EIGHT: MANAGE THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S TENANT-BASED RENT SUBSIDY PROGRAMS IN AN EFFICIENT AND EFFECTIVE MANNER	
Objective	**Progress**
1. The Municipal Housing Authority of the City of Utica shall maintain high performer status under SEMAP for each fiscal year through June 30, 2009.	MHA has and will continue to maintain its high performer status under SEMAP.

GOAL NINE: MAINTAIN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PROPERTIES IN A DECENT CONDITION	
Objective	**Progress**
1. The Municipal Housing Authority of the City of Utica will continue to deliver timely and high quality maintenance services to the residents.	On January 9, 2006, the MHA resolved to require that maintenance personnel are responsible to account for all of their time with work orders in compliance with 24 CFR Part 990. Training is underway.
2. The Municipal Housing Authority of the City of Utica will continue to maintain an appealing, up to date environment it its developments using available funds	On January 27, 2006 the Municipal Housing Authority of the City of Utica received preliminary approval from the U.S. Department of Housing & Urban Development (HUD) to perform over three (3) million dollars in energy conservation measures Authority wide. The Authority Board of Commissioner's approval to proceed with the Energy Performance Contract work is contingent upon final approval by HUD. The Authority has selected an architectural/engineering firm for design, bidding and implementation services. The physical work may commence as soon as spring/summer 2007 with completion of all work occurring in approximately 18 to 24 months. .

GOAL TEN: IMPROVE ACCESS OF PUBLIC HOUSING RESIDENTS TO SERVICES THAT SUPPORT ECONOMIC OPPORTUNITY AND QUALITY OF LIFE	
Objective	**Progress**
1. The Housing Authority will apply for appropriate HUD grants as they become	The UMHA has received funding from HUD to initiate the following new programs: Gilmore

available through the NOFA process.	Village Neighborhood Network Center, ROSS Elderly, ROSS Family, and Family Self-Sufficiency Program.
2. In consideration of current and pending budget cutbacks, strive to maintain appropriate levels of resident programs and services	Grant awards enable the MHA to continue providing high quality services to its residents.

GOAL ELEVEN: PROVIDE SAFE AND SECURE ENVIRONMENT IN THE MUNICIPAL HOUSING AUTHORITY OF THE CITY OF UTICA'S PUBLIC HOUSING DEVELOPMENTS	
Objective	**Progress**
1. The Municipal Housing Authority of the City of Utica shall strive to provide a greater visibility of security personnel at the elderly/disabled housing sites.	The extra shift of Housing Security Officers for the elderly/disabled housing sites has provided greater visibility.

ATTACHMENT U

Site Based Waiting Lists

		Initial Mix										
DEVELOPMENT	Date Initiated	White Hispanic	White Non Hispanic	Black Hispanic	Black Non Hispanic	American Indian Or Alaskan Native Hispanic	American Indian Or Alaskan Native Non-Hispanic	Asian Hispanic	Asian Non-Hispanic	Native Hawaiian Hispanic Other Pacific Island	Native Hawaiian Non-Hispanic Other Pacific Island	Handicapped/ Disabled
Adrean Terrace	04/12/07	38	21	2	12	1	4	0	1	0	0	6
N.D. Peters	04/12/07	46	7	4	6	0	0	0	1	0	0	3
Marino-Ruggiero	04/12/07	1	2	0	1	0	0	0	0	0	0	15
Duplex Apts.	04/12/07	11	0	0	1	0	0	0	1	0	0	4
Perretta Twin Towers	04/12/07	12	6	0	1	0	0	0	1	0	0	4
F.X. Matt Apartments	04/12/07	36	6	13	5	0	0	0	0	0	0	2
Gillmore Village	04/12/07	98	22	1	14	1	0	1	0	0	0	8
Steuben Village	04/12/07	1			1							
Humphrey Gardens	04/12/07	29	40	3	13	0	0	0	1	0	0	34
Totals all Projects		272	104	23	54	2	4	1	5	0	0	76

Information is based upon current tenants as of: 4/12/2007

When the Municipal Housing Authority of the City Of Utica implemented site-based waiting lists, it was not fully aware of the requirements for tracking demographic changes. Aware of it now, the Housing Authority took several steps in trying to obtain the baseline demographics, including a thorough analysis of it's own records, HUD/PIC databases, etc. At every juncture, software database specialists, including those at HUD, informed us the original baseline databases were no longer available. In an effort to become compliant, we have established this database as our baseline. The Housing Authority will track demographic changes and report them accordingly with the submission of future Annual Agency Plans.