

PHA Plans

Streamlined Annual Version

U.S. Department of Housing and
Urban Development
Office of Public and Indian
Housing

OMB No. 2577-0226
(exp. 05/31/2006)

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937 that introduced 5-year and annual PHA Plans. The full PHA plan provides a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form allows eligible PHAs to make a streamlined annual Plan submission to HUD consistent with HUD's efforts to provide regulatory relief for certain types of PHAs. Public reporting burden for this information collection is estimated to average 11.7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Information in PHA plans is publicly available.

Streamlined Annual PHA Plan

for Fiscal Year: 2007

PHA Name: Rocky Mount Housing Authority

NOTE: This PHA Plan template (HUD-50075-SA) is to be completed in accordance with instructions contained in previous Notices PIH 99-33 (HA), 99-51 (HA), 2000-22 (HA), 2000-36 (HA), 2000-43 (HA), 2001-4 (HA), 2001-26 (HA), 2003-7 (HA), and any related notices HUD may subsequently issue.

Streamlined Annual PHA Plan Agency Identification

PHA Name: Rocky Mount Housing Authority

PHA Number: NC019

PHA Fiscal Year Beginning: (mm/yyyy) 01/2007

PHA Programs Administered:

Public Housing and Section 8

Number of public housing units: **656- (PIC)**

(Note: 768 units before the 1999 Flood)

Number of S8 units: **265**

Section 8 Only

Number of S8 units:

Public Housing Only

Number of public housing units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

PHA Plan Contact Information:

Name: Larry Russell

TDD: 252-977-3141

Phone: 252-450-3528

Email (if available): russell4000@rm-ha.org

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

PHA's main administrative office PHA's development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plan revised policies or program changes (including attachments) are available for public review and inspection. Yes No.

If yes, select all that apply:

Main administrative office of the PHA

PHA development management offices

Main administrative office of the local, county or State government

Public library PHA website Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

Main business office of the PHA PHA development management offices

Other (list below)

Streamlined Annual PHA Plan

Fiscal Year 2007

[24 CFR Part 903.12(c)]

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[24 CFR 903.7(r)]

Provide a table of contents for the Plan, including applicable additional requirements, and a list of supporting documents available for public inspection.

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B. SEPARATE HARD COPY SUBMISSIONS TO LOCAL HUD FIELD OFFICE

Form HUD-50076, *PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the Streamlined Annual Plan* identifying policies or programs the PHA has revised since submission of its last Annual Plan, and including Civil Rights certifications and assurances the changed policies were presented to the Resident Advisory Board for review and comment, approved by the PHA governing board, and made available for review and inspection at the PHA's principal office;

For PHAs Applying for Formula Capital Fund Program (CFP) Grants:

Form HUD-50070, *Certification for a Drug-Free Workplace*;

Form HUD-50071, *Certification of Payments to Influence Federal Transactions*; and

Form SF-LLL &SF-LLLa, Disclosure of Lobbying Activities.

1. Site-Based Waiting Lists (Eligibility, Selection, Admissions Policies)

[24 CFR Part 903.12(c), 903.7(b)(2)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Site-Based Waiting Lists-Previous Year

1. Has the PHA operated one or more site-based waiting lists in the previous year? If yes, complete the following table; if not skip to B.

Site-Based Waiting Lists				
Development Information: (Name, number, location)	Date Initiated	Initial mix of Racial, Ethnic or Disability Demographics	Current mix of Racial, Ethnic or Disability Demographics since Initiation of SBWL	Percent change between initial and current mix of Racial, Ethnic, or Disability demographics

2. What is the number of site based waiting list developments to which families may apply at one time?
3. How many unit offers may an applicant turn down before being removed from the site-based waiting list?
4. Yes No: Is the PHA the subject of any pending fair housing complaint by HUD or any court order or settlement agreement? If yes, describe the order, agreement or complaint and describe how use of a site-based waiting list will not violate or be inconsistent with the order, agreement or complaint below:

B. Site-Based Waiting Lists – Coming Year

If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to next component.

1. How many site-based waiting lists will the PHA operate in the coming year?
2. Yes No: Are any or all of the PHA’s site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

2. Capital Improvement Needs

[24 CFR Part 903.12 (c), 903.7 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. Capital Fund Program

1. Yes No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete items 7 and 8 of this template (Capital Fund Program tables). If no, skip to B.
2. Yes No: Does the PHA propose to use any portion of its CFP funds to repay debt incurred to finance capital improvements? If so, the PHA must identify in its annual and 5-year capital plans the development(s) where such improvements will be made and show both how the proceeds of the financing will be used and the amount of the annual payments required to service the debt. (Note that separate HUD approval is required for such financing activities.).

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

1. Yes No: Has the PHA received a HOPE VI revitalization grant? (if no, skip to #3; if yes, provide responses to the items on the chart located on the next page, copying and completing as many times as necessary).
2. Status of HOPE VI revitalization grant(s):

HOPE VI Revitalization Grant Status	
a. Development Name:	
b. Development Number:	
c. Status of Grant:	
<input type="checkbox"/>	Revitalization Plan under development
<input type="checkbox"/>	Revitalization Plan submitted, pending approval
<input type="checkbox"/>	Revitalization Plan approved
<input type="checkbox"/>	Activities pursuant to an approved Revitalization Plan underway

3. Yes No: Does the PHA expect to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name(s) below:
4. Yes No: Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:
5. Yes No: Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:

3. Section 8 Tenant Based Assistance--Section 8(y) Homeownership Program
(if applicable) [24 CFR Part 903.12(c), 903.7(k)(1)(i)]

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)
2. Program Description: : **The Section 8 Homeownership Program was established in FY 2005. Training to qualify for the Section 8 homeownership is ongoing for this program. (See nc019j01)**
- a. Size of Program
- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?
- If the answer to the question above was yes, what is the maximum number of participants this fiscal year?**10%**
- b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria: (see nc019j01)

c. What actions will the PHA undertake to implement the program this year (list)?

STATEMENT OF ANY HOMEOWNERSHIP PROGRAM ADMINISTERED BY ROCKY MOUNT HOUSING AUTHORITY

This statement describes steps taken by Rocky Mount Housing Authority (RMHA) to address its homeownership programs.

Over the past years RMHA has made three (3) attempts to create additional multi-family public housing units and Elderly housing. None of the HUD three applications were approved.

In the past, RMHA purchased a 3 bedroom single family home under the 203 (k) program and sold it within a four month period. There has not been any attempt to acquire additional 203 (k) units however, cities are given first choice to acquire these homes.

In 1997 RMHA formed a non-profit 501 C (3) named "South Eastern North Carolina Community Development Corporation (SENCCDC)". The primary goal of this corporation is to (1) create affordable housing units and (2) help residents in creating business. Currently SENCCDC is working with the town of Nashville, N.C. to help them create affordable housing. We anticipate building and selling some of the Nashville homes in FY 2007. This is a partnership between the U.S. Department of Agriculture, Southern Bank and Department of Commerce and SENCCDC using Home funds.

Several homeownership workshops have been conducted by RMHA and SENCCDC over the past five (6) years. During the FYs 2001 thru 2006 RMHA continues to conduct Homebuyers educational workshops.

RMHA is replacing forty-eight (48) units to be demolished with single family homes. Our intent is to sale all 48 of the homes under the 5H Program to qualified families. RMHA has hired a homeownership administrator to sale the 48 single family homes. To date 28 homes have been sold.

Section 8 Homeownership has been established. Other Homeownership Programs to be established: Rural USDA Housing; Tax Credits; Mix Income, Obtain Home Funds, Issuing Bonds and Migrant Housing. RMHA applied for a Fannie Mae loan under the Modernization Express Program to replace 64 apartments that are off-line due to the 1999 Flood. We anticipate HUD approval of the said loan between October 2006 and January 1, 2007. RMHA will be working with the City of Rocky Mount and a local non-profit to create affordable housing. In addition, RMHA hopes to apply for funds from N.C. Housing Finance to build some housing units for the disable.

As required by HUD, RMHA has established a Project Base Accounting and a Project Base Management system. RMHA will begin Project Base Management and Project Base Accounting January 1, 2007. The Asset Management Program will involve staff reorganization and staff layoff. RMHA may use Force Account Labor under the Capital Fund Program throughout the year.

RMHA will continue to explore every reasonable means to create homeownership opportunities for Residents.

3. Capacity of the PHA to Administer a Section 8 Homeownership Program:

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- Establishing a minimum homeowner down payment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family's resources.
- Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.
- Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below):
- Demonstrating that it has other relevant experience (list experience below):
RMHA has a 5h Homeownership Program that has been in operation since 2001.

4. Use of the Project-Based Voucher Program

Intent to Use Project-Based Assistance

Yes No: Does the PHA plan to “project-base” any tenant-based Section 8 vouchers in the coming year? If the answer is “no,” go to the next component. If yes, answer the following questions.

1. Yes No: Are there circumstances indicating that the project basing of the units, rather than tenant-basing of the same amount of assistance is an appropriate option? If yes, check which circumstances apply:
 - low utilization rate for vouchers due to lack of suitable rental units
 - access to neighborhoods outside of high poverty areas
 - other (describe below):
2. Indicate the number of units and general location of units (e.g. eligible census tracts or smaller areas within eligible census tracts):

5. PHA Statement of Consistency with the Consolidated Plan

[24 CFR Part 903.15]

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary) only if the PHA has provided a certification listing program or policy changes from its last Annual Plan submission.

1. Consolidated Plan jurisdiction: (provide name here) City of Rocky Mount

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families on its waiting lists on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **To continue meeting with the City to determine how the City and RMHA can meet housing needs.**

6. Supporting Documents Available for Review for Streamlined Annual PHA Plans

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Standard Annual, Standard Five-Year, and Streamlined Five-Year/Annual Plans;</i>	5 Year and Annual Plans
X	<i>PHA Certifications of Compliance with the PHA Plans and Related Regulations and Board Resolution to Accompany the Streamlined Annual Plan</i>	Streamlined Annual Plans
X	<i>Certification by State or Local Official of PHA Plan Consistency with Consolidated Plan.</i>	5 Year and standard Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction(s) in which the PHA is located and any additional backup data to support statement of housing needs for families on the PHA’s public housing and Section 8 tenant-based waiting lists.	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP] and the Site-Based Waiting List Procedure.	Annual Plan: Eligibility, Selection, and Admissions Policies

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Deconcentration Income Analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Any policy governing occupancy of Police Officers and Over-Income Tenants in Public Housing. <input type="checkbox"/> Check here if included in the public housing A&O Policy.	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development. <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies (if included in plan, not necessary as a supporting document) and written analysis of Section 8 payment standard policies. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation).	Annual Plan: Operations and Maintenance
X	Results of latest Public Housing Assessment System (PHAS) Assessment (or other applicable assessment).	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any policies governing any Section 8 special housing types <input type="checkbox"/> Check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures. <input checked="" type="checkbox"/> Check here if included in Section 8 Administrative Plan.	Annual Plan: Grievance Procedures
X	The Capital Fund/Comprehensive Grant Program Annual Statement /Performance and Evaluation Report for any active grant year.	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants.	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing.	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing Section 504 of the Rehabilitation Act and the Americans with Disabilities Act. See PIH Notice 99-52 (HA).	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing.	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans).	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937.	Annual Plan: Conversion of Public Housing
X	Documentation for required Initial Assessment and any additional information required by HUD for Voluntary Conversion.	Annual Plan: Voluntary Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans.	Annual Plan:

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
		Homeownership
X	Policies governing any Section 8 Homeownership program (Section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Public Housing Community Service Policy/Programs <input type="checkbox"/> Check here if included in Public Housing A & O Policy	Annual Plan: Community Service & Self-Sufficiency
X	Cooperative agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies.	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan(s) for public housing and/or Section 8. Complete 2005 requirement.	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports for public housing.	Annual Plan: Community Service & Self-Sufficiency
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G). <input checked="" type="checkbox"/> Check here if included in the public housing A & O Policy.	Annual Plan: Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under the Single Audit Act as implemented by OMB Circular A-133, the results of that audit and the PHA's response to any findings.	Annual Plan: Annual Audit
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
N/A	Consortium agreement(s) and for Consortium Joint PHA Plans <u>Only</u> : Certification that consortium agreement is in compliance with 24 CFR Part 943 pursuant to an opinion of counsel on file and available for inspection.	Joint Annual PHA Plan for Consortia: Agency Identification and Annual Management and Operations

7. Capital Fund Program Annual Statement/Performance and Evaluation Report and Replacement Housing Factor

Annual Statement/Performance and Evaluation Report See Attachment nc019a01 – nc019a02 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name:		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant:
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)				
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

8. Capital Fund Program Five-Year Action Plan

Capital Fund Program Five-Year Action Plan See Attachment nc019a01 – nc019a02

Part I: Summary

PHA Name						<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY:	
	Annual Statement					
CFP Funds Listed for 5-year planning						
Replacement Housing Factor Funds						

Resolution No. 17 (2006)

**Approval of FY 2007 Capital Funds Statement and Five (5) Year Plans
For Submission to HUD**

WHEREAS, Rocky Mount Housing Authority (RMHA) staff is recommending approval of the attached FY 2007 Capital Funds Statement and Conventional Housing Five (5) Year Annual Plans; and

WHEREAS, the subject Annual Statement and Five (5) Year and Annual Plans were discussed with RMHA Resident Advisory Board who participated in the development of the said plans; and

NOW THEREFORE BE IT RESOLVED the Rocky Mount Housing Authority Board of Commissioners does hereby approve the attached FY 2007 Capital Funds and Conventional Housing Five (5) Year and Annual Plans for submission to HUD.

Date Adopted: October 9, 2006

**Distribution: U.S. Department of HUD
RMHA Director of Facilities
RMHA Finance Director
File**

Annual Statement /Performance and Evaluation Report

nc019a02

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary

PHA Name: Rocky Mount Housing Authority	Grant Type and Number Capital Fund Program Grant No. NC19PO1950107 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$190,484.60	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$95,242.30	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$3,032.30	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$24,000.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$282,647.78	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$16,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$1,641.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$25,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$314,376.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$952,423.98	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary

PHA Name: <div style="text-align: center; color: blue; font-weight: bold;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center; color: blue; font-weight: bold;">2004</div>
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|---|--|---|
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending 7/29/2005 | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$195,534.00	\$195,534.00	\$190,368.70	\$190,368.70
4	1410 Administration	\$82,671.00	\$82,671.00	\$82,671.00	\$82,671.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$75,222.00	\$23,222.00	\$23,222.00	\$23,222.00
10	1460 Dwelling Structures	\$504,780.00	\$551,780.00	\$551,780.00	\$551,780.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$30,000.00	\$35,000.00	\$35,000.00	\$35,000.00
12	1470 Nondwelling Structures	\$3,641.00	\$3,641.00	\$3,641.00	\$3,641.00
13	1475 Nondwelling Equipment	\$80,000.00	\$80,000.00	\$80,000.00	\$80,000.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$46,946.00	\$46,946.00	\$46,946.00	\$46,946.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$1,033,794.00	\$1,033,794.00	\$1,028,628.70	\$1,028,628.70
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:			2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts	Computers & Software	1408		\$26,500.00	\$26,500.00	\$21,334.70	\$21,334.70	
	Management	"		\$155,534.00	\$155,534.00	\$155,534.00	\$155,534.00	
	Management & Maintenance Training	"		\$13,500.00	\$13,500.00	\$13,500.00	\$13,500.00	
		"						
			Total 1408	\$195,534.00	\$195,534.00	\$190,368.70	\$190,368.70	
HA-Wide Admin	Administration	1410	Total 1410	\$82,671.00	\$82,671.00	\$82,671.00	\$82,671.00	
HA-Wide Fees and Costs	A & E services	1430	Total 1430	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00	
	Maps & Surveys	1440	Total 1440	\$0.00	\$0.00	\$0.00	\$0.00	
	Sidewalks & Street Repair	1450		\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	
	Address Identification	"		\$2,500.00	\$2,500.00	\$2,500.00	\$2,500.00	
	Landscaping, Tree Maintenance & Termite Service	"		\$10,722.00	\$10,722.00	\$10,722.00	\$10,722.00	
			Total 1450	\$23,222.00	\$23,222.00	\$23,222.00	\$23,222.00	
HA-Wide	Nonroutine vacancy prep.	1460		\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	
"	Unexpected physical needs	"		\$46,000.00	\$46,000.00	\$46,000.00	\$46,000.00	
"	PM Materials	"		\$18,000.00	\$18,000.00	\$18,000.00	\$18,000.00	
"	Occupied Painting	"		\$4,616.00	\$4,616.00	\$4,616.00	\$4,616.00	
"	Replace Asbestos Tile	"		\$86,164.00	\$86,164.00	\$86,164.00	\$86,164.00	
"	Garbage Carts			\$5,000.00	\$0.00	\$0.00	\$0.00	
			Total 1460	\$179,780.00	\$174,780.00	\$174,780.00	\$174,780.00	
"	Appliances (HVAC & HWH) Dwelling Equipment	1465		\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	
"	Garbage Carts				\$5,000.00	\$5,000.00	\$5,000.00	
			Total 1465	\$30,000.00	\$35,000.00	\$35,000.00	\$35,000.00	
"	Non-Dwelling Structures	1470	Total 1470	\$3,641.00	\$3,641.00	\$3,641.00	\$3,641.00	
"	Automotive equipment	1475		\$48,000.00	\$48,000.00	\$48,000.00	\$48,000.00	
"	Office Maint. & Comm. Space Equip.	"		\$22,000.00	\$22,000.00	\$22,000.00	\$22,000.00	
"	Maintenance equipment	"		\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	
			Total 1475	\$80,000.00	\$80,000.00	\$80,000.00	\$80,000.00	
	Development Activities	1499	Total 1499	\$46,946.00	\$46,946.00	\$46,946.00	\$46,946.00	Weeks Armstrong Replacement Development



Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FY of Grant:				
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:		2004				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-1 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Dwelling: None	1460		\$0.00	\$0.00			
			Total B & D:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00		
		Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00		
Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00		
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:			2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-2 Weeks Armstrong	Site: Tree Removal	1450	10	\$0.00	\$0.00	\$0.00	\$0.00	Removed from Demo
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: New Roofing Otis Lane	1460	22 units	\$0.00	\$61,361.00	\$61,361.00	\$61,361.00	
			Total B.E.:	\$0.00	\$61,361.00	\$61,361.00	\$61,361.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Weeks			Project Total:	\$0.00	\$61,361.00	\$61,361.00	\$61,361.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FY of Grant:				
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:		2004				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-3 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:			2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-4 Weeks Armstrong	Site: Tree Removal	1450	30	\$52,000.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$52,000.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: Sewer Lines & Clean Outs	1460		\$0.00	\$52,000.00	\$52,000.00	\$52,000.00	
			Total B&E:	\$0.00	\$52,000.00	\$52,000.00	\$52,000.00	
	Renovations:			\$0.00	\$0.00	\$0.00	\$0.00	
			Total Reno.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units:	1460		\$0.00	\$0.00 \$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment:	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Weeks			Project Total:	\$52,000.00	\$52,000.00	\$52,000.00	\$52,000.00	

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:			2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-5 Scattered Sites	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations: Replacement Windows	1460	160 Units	\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00	
			Total Reno.:	\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00	
			Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00	
Total, Scattered			Project Total:	\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FY of Grant:				
Rocky Mount Housing Authority		Capital Fund Program Grant No. NC19PO1950104 Replacement Housing Factor Grant No:		2004				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-9 McIntyre Lanes	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: Replacement Roofs	1460	50 Units	\$75,000.00	\$13,639.00	\$13,639.00	\$13,639.00	
			Total B.E.:	\$75,000.00	\$13,639.00	\$13,639.00	\$13,639.00	
	Dwelling Units:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, McIntyre			Project Total:	\$75,000.00	\$13,639.00	\$13,639.00	\$13,639.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rocky Mount Housing Authority		Capital Fund Program Grant No.: NC19PO1950104 Replacement Housing Factor Grant No:			2004		
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Computers & Software	08/30/06			08/30/07			
Management	08/30/06			08/30/07			
Management & Maintenance Training	08/30/06			08/30/07			
HA-Wide Nonroutine vacancy prep.	08/30/06			08/30/07			
" PM Materials	08/30/06			08/30/07			
" Appliances (HVAC & HWH) Dwelling Equipment	08/30/06			08/30/07			
" Automotive equipment	08/30/06			08/30/07			
NC 19-1 West End	08/30/06			08/30/07			
NC 19-2 Weeks	08/30/06			08/30/07			
NC 19-3 West End	08/30/06			08/30/07			
NC 19-4 Weeks	08/30/06			08/30/07			
NC 19-5 Scattered	08/30/06			08/30/07			
NC 19-9 McIntyre	08/30/06			08/30/07			

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary)

PHA Name: <div style="text-align: center; color: blue; font-weight: bold;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19PO1950105 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center; color: blue; font-weight: bold;">2005</div>
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|---|--|---|
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4) 06/20/06 |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/20/06 | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$215,047.60	\$215,047.60	\$215,047.60	\$156,964.73
4	1410 Administration	\$107,523.80	\$107,523.80	\$107,523.80	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$79,109.20	\$79,109.20	\$35,334.78	\$35,334.78
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$21,722.00	\$21,722.00	\$11,640.68	\$11,640.68
10	1460 Dwelling Structures	\$277,623.00	\$459,204.40	\$128,575.51	\$128,575.51
11	1465.1 Dwelling Equipment-Nonexpendable	\$15,000.00	\$25,000.00	\$15,000.00	\$15,000.00
12	1470 Nondwelling Structures	\$1,641.00	\$1,641.00	\$1,641.00	\$1,641.00
13	1475 Nondwelling Equipment	\$35,000.00	\$35,000.00	\$11,659.89	\$11,659.89
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$322,571.40	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$130,990.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$1,075,238.00	\$1,075,238.00	\$526,423.26	\$360,816.59
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts	Computers & Software	1408		\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	
	Management	"		\$198,005.60	\$198,005.60	\$198,005.60	\$156,909.73	
	Management & Maintenance Training	"		\$12,042.00	\$12,042.00	\$12,042.00	\$55.00	
		"						
			Total 1408	\$215,047.60	\$215,047.60	\$215,047.60	\$156,964.73	
HA-Wide Admin	Administration	1410	Total 1410	\$107,523.80	\$107,523.80	\$107,523.80	\$0.00	
HA-Wide Fees and Costs	A & E services	1430	Total 1430	\$79,109.20	\$79,109.20	\$35,334.78	\$35,334.78	
	Maps & Surveys	1440	Total 1440	\$0.00	\$0.00	\$0.00	\$0.00	
	Sidewalks & Street Repair	1450		\$2,500.00	\$2,500.00	\$1,036.00	\$1,036.00	
	Address Identification	"		\$1,500.00	\$1,500.00	\$0.00	\$0.00	
	Landscaping, Pot Holes, Tree Remove & Termite S	"		\$17,722.00	\$17,722.00	\$10,604.68	\$10,604.68	
			Total 1450	\$21,722.00	\$21,722.00	\$11,640.68	\$11,640.68	
HA-Wide "	Nonroutine vacancy prep.	1460		\$20,000.00	\$15,000.00	\$4,778.00	\$4,778.00	
	Unexpected physical needs	"		\$30,000.00	\$18,832.67	\$10,999.83	\$10,999.83	
	PM Materials	"		\$17,000.00	\$17,000.00	\$7,217.68	\$7,217.68	
	Occupied Painting	"		\$4,000.00	\$4,000.00	\$0.00	\$0.00	
	Replace Asbestos Tile	"		\$5,625.00	\$10,625.00	\$5,625.00	\$5,625.00	
			Total 1460	\$76,625.00	\$65,457.67	\$28,620.51	\$28,620.51	
"	Appliances (HVAC & HWH) Dwelling Equipment	1465		\$10,000.00	\$25,000.00	\$15,000.00	\$15,000.00	
	Garbage Carts			\$5,000.00	\$0.00	\$0.00	\$0.00	
			Total 1465	\$15,000.00	\$25,000.00	\$15,000.00	\$15,000.00	
	Non-Dwelling Structures	1470	Total 1470	\$1,641.00	\$1,641.00	\$1,641.00	\$1,641.00	
"	Automotive equipment	1475		\$15,000.00	\$15,000.00	\$0.00	\$0.00	
	Office Maint. & Comm. Space Equip.	"		\$10,000.00	\$10,000.00	\$3,811.88	\$3,811.88	
	Maintenance equipment	"		\$10,000.00	\$10,000.00	\$7,848.01	\$7,848.01	
			Total 1475	\$35,000.00	\$35,000.00	\$11,659.89	\$11,659.89	
	Development Activities	1499	Total 1499	\$322,571.40		\$0.00	\$0.00	
	Collateralization or Debt Serives	1501			\$130,990.00	\$0.00	\$0.00	



Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-1 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Dwelling None	1460		\$0.00	\$0.00			
			Total B & D:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: Replace Tubs	1460		\$0.00	\$112,210.33	\$112,210.00	\$0.00	
			Total DUs:	\$0.00	\$112,210.33	\$112,210.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End			Project Total:	\$0.00	\$112,210.33	\$112,210.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-2 Weeks Armstrong	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Weeks			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-3 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00		
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-4 Weeks Armstrong	Site:	1450			\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations:			\$0.00	\$0.00	\$0.00	\$0.00	
			Total Reno.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units:	1460		\$0.00	\$0.00 \$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment:	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00	
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Weeks			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number		Federal FY of Grant:				
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:		2005				
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-5 Scattered Sites	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations: Windows HVAC	1460	70 Units 70 Units	\$200,998.00	\$99,955.00 \$181,581.40	\$99,955.00	\$99,955.00	
			Total Reno.:	\$200,998.00	\$281,536.40	\$99,955.00	\$99,955.00	
	Dwelling Equipment:	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Scattered			Project Total:	\$200,998.00	\$281,536.40	\$99,955.00	\$99,955.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-9 McIntyre Lanes	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior:	1460	50 Units	\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00	
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, McIntyre			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
Marigold Powell Village	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Powell			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rocky Mount Housing Authority		Capital Fund Program Grant No.: NC19PO1950105 Replacement Housing Factor Grant No:			2005		
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Computers & Software	06/30/07			06/30/09			
Management	06/30/07			06/30/09			
Management & Maintenance Training	06/30/07			06/30/09			
HA-Wide Nonroutine vacancy prep.	06/30/07			06/30/09			
" PM Materials	06/30/07			06/30/09			
" Appliances (HVAC & HWH) Dwelling Equipment	06/30/07			06/30/09			
" Automotive equipment	06/30/07			06/30/09			
NC 19-1 West End	06/30/07			06/30/09			
NC 19-2 Weeks	06/30/07			06/30/09			
NC 19-3 West End	06/30/07			06/30/09			
NC 19-4 Weeks	06/30/07			06/30/09			
NC 19-5 Scattered	06/30/07			06/30/09			
NC 19-9 McIntyre	06/30/07			06/30/09			

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary)

PHA Name: <div style="text-align: center; color: blue; font-weight: bold;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19PO1950106 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center; color: blue; font-weight: bold;">2006</div>
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- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$190,484.60	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$95,242.30	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$3,032.30	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$9,000.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$288,646.80	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$25,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$1,641.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$25,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$314,376.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$952,423.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts	Computers & Software	1408		\$3,795.00				
	Management	"		\$181,689.60				
	Management & Maintenance Training	"		\$5,000.00				
		"						
	Total 1408			\$190,484.60				
HA-Wide Admin	Administration	1410	Total 1410	\$95,242.30				
HA-Wide Fees and Costs	A & E services	1430	Total 1430	\$3,032.30				
	Maps & Surveys	1440	Total 1440	\$0.00				
	Sidewalks & Street Repair	1450		\$2,500.00				
	Address Identification	"		\$1,500.00				
	Landscaping, Pot Holes, Tree Remove & Termite Service	"		\$5,000.00				
	Total 1450			\$9,000.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Nonroutine vacancy prep.	1460		\$20,000.00				
"	Unexpected physical needs	"		\$30,000.00				
"	PM Materials	"		\$17,000.00				
"	Occupied Painting	"		\$5,000.00				
"	Replace Asbestos Tile	"		\$5,549.00				
	Total 1460			\$77,549.00	\$0.00	\$0.00	\$0.00	
"	Appliances (HVAC & HWH) Dwelling Equipment	1465		\$20,000.00				
	Garbage Carts	"		\$5,000.00				
	Total 1465			\$25,000.00	\$0.00	\$0.00	\$0.00	
	Non-Dwelling Structures	1470	Total 1470	\$1,641.00				
"	Automotive equipment	1475		\$5,000.00				
	Office Maint. & Comm. Space Equip.	"		\$10,000.00				
	Maintenance equipment	"		\$10,000.00				
	Total 1475			\$25,000.00	\$0.00	\$0.00	\$0.00	
	Collateralization or Debt Service	1501	Total 1501	\$314,376.00	\$0.00	\$0.00	\$0.00	



Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-1 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Dwelling: None	1460		\$0.00	\$0.00			
			Total B & D:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00		
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End		Project Total:		\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-2 Weeks Armstrong	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Weeks			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-3 West End Terrace	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00			
			Total B&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, West End			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-4 Weeks Armstrong	Site:	1450		\$0.00	\$0.00	\$0.00	\$0.00	
	Total Site:			\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
	Total B&E:			\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations:			\$0.00	\$0.00	\$0.00	\$0.00	
	Total Reno.:			\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
	Total DUs:			\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment:	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
	Total D.E.:			\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	Total ICAs:			\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
	Total SWFs:			\$0.00	\$0.00	\$0.00	\$0.00	
	Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00	
	Total NDE:			\$0.00	\$0.00	\$0.00	\$0.00	
Total, Weeks	Project Total:			\$0.00	\$0.00	\$0.00	\$0.00	

**Annual Statement /Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
 Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-5 Scattered Sites	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Renovations: HVAC	1460		\$211,097.80	\$0.00	\$0.00	\$0.00	
			Total Reno.:	\$211,097.80	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: HVAC	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Scattered			Project Total:	\$211,097.80	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
NC 19-9 McIntyre Lanes	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior:	1460	50 Units	\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units:	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, McIntyre			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Rocky Mount Housing Authority		Capital Fund Program Grant: NC19PO1950105 Replacement Housing Factor Grant No:			2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Obligated	Expended	
Marigold Powell Village	Site: None	1450		\$0.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$0.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
Total, Powell			Project Total:	\$0.00	\$0.00	\$0.00	\$0.00	

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:		Grant Type and Number			Federal FY of Grant:		
Rocky Mount Housing Authority		Capital Fund Program Grant No.: NC19PO1950105 Replacement Housing Factor Grant No:			2006		
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
Computers & Software	06/30/08			06/30/10			
Management	06/30/08			06/30/10			
Management & Maintenance Training	06/30/08			06/30/10			
HA-Wide Nonroutine vacancy prep.	06/30/08			06/30/10			
" PM Materials	06/30/08			06/30/10			
" Appliances (HVAC & HWH) Dwelling Equipment	06/30/08			06/30/10			
" Automotive equipment	06/30/08			06/30/10			
NC 19-1 West End	06/30/08			06/30/10			
NC 19-2 Weeks	06/30/08			06/30/10			
NC 19-3 West End	06/30/08			06/30/10			
NC 19-4 Weeks	06/30/08			06/30/10			
NC 19-5 Scattered	06/30/08			06/30/10			
NC 19-9 McIntyre	06/30/08			06/30/10			

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary)

PHA Name: <div style="text-align: center;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19RO1950104 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center;">2004</div>
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|---|--|---|
| <input type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/29/06 | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$192,812.00	\$0.00	\$192,812.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$192,812.00	\$0.00	\$192,812.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC19RO1950104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts		1408		\$0.00				
			Total 1408	\$0.00				
HA-Wide Admin		1410		\$0.00				
			Total 1410	\$0.00				
HA-Wide Fees and Costs		1430		\$0.00				
		1440		\$0.00				
		1450						
			Total 1450	\$0.00				
HA-Wide		1460						
"			Total 1460	\$0.00				
"		1465						
			Total 1465	\$0.00				
		1470		\$0.00				
		1475						
			Total 1475	\$0.00				
	Development Activities	1499	Total 1499	\$192,812.00		\$192,812.00	\$0.00	Storage Bldg.

Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary)

PHA Name: <div style="text-align: center;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19RO1950105 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center;">2005</div>
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|---|---|--|---|
| <input type="checkbox"/> Original Annual Statement | <input checked="" type="checkbox"/> RHF Supplement Grant | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 11/03/2006 | | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$66,965.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$66,965.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC19RO1950105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts		1408		\$0.00				
			Total 1408	\$0.00				
HA-Wide Admin		1410		\$0.00				
			Total 1410	\$0.00				
HA-Wide Fees and Costs		1430		\$0.00				
		1440		\$0.00				
		1450						
			Total 1450	\$0.00				
HA-Wide		1460						
"			Total 1460	\$0.00				
"		1465						
			Total 1465	\$0.00				
		1470		\$0.00				
		1475		\$0.00				
			Total 1475	\$0.00				
	Development Activities	1499	Total 1499	\$66,965.00				



Annual Statement /Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF Part I: Summary)

PHA Name: <div style="text-align: center; color: blue; font-weight: bold;">Rocky Mount Housing Authority</div>	Grant Type and Number Capital Fund Program Grant No. NC19RO1950106 Replacement Housing Factor Grant No:	Federal FY of Grant: <div style="text-align: center; color: blue; font-weight: bold;">2006</div>
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- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Original Annual Statement | <input type="checkbox"/> Reserve for Disasters/Emergencies | <input type="checkbox"/> Revised Annual Statement (revision no:) |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: | | <input type="checkbox"/> Final Performance and Evaluation Report |

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$0.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$51,519.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant: (sum of lines 2-20)	\$51,519.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measure	\$0.00	\$0.00	\$0.00	\$0.00

Annual Statement /Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No. NC19RO1950106 Replacement Housing Factor Grant No:			Federal FY of Grant: 2006			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
HA-Wide Mgmt. Improvmts		1408		\$0.00				
			Total 1408	\$0.00				
HA-Wide Admin		1410		\$0.00				
			Total 1410	\$0.00				
HA-Wide Fees and Costs		1430		\$0.00				
		1440		\$0.00				
		1450						
			Total 1450	\$0.00				
HA-Wide		1460						
"			Total 1460	\$0.00				
"		1465						
			Total 1465	\$0.00				
		1470		\$0.00				
		1475						
			Total 1475	\$0.00				
	Development Activities	1499	Total 1499	\$51,519.00				



Rocky Mount Housing Authority Mission Statement:

The goal of the Rocky Mount Housing Authority (RMHA) is to provide drug free, decent, safe and sanitary housing for eligible families; and provide opportunities and promote self-sufficiency and economic independence for all residents. In order to achieve this mission, the RMHA will:

- **RECOGNIZE** residents are our ultimate customers;
- **IMPROVE** RMHA management and service delivery efforts through effective and efficient management of staff;
- **SEEK** problem-solving partnerships with residents, community, and government leadership;
- **APPLY** limited RMHA resources to the effective and efficient management and operation of public housing programs.

EXECUTIVE SUMMARY

In accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA), RMHA has developed a Five Year Plan. The Five Year Plan covers specific goals and objectives to be done by various departments at RMHA. The Five Year Plan was developed with input from staff, commissioners, and residents. A brief summary of the projections and conclusions of the Five Year Plan are as follows:

1. To maintain maximum operational effectiveness;
2. to increase the level of awareness of policy makers of the need and benefits of affordable housing;
3. to provide new housing opportunities and preserve the existing housing stock with fiscal responsibility; and
4. to promote self-sufficiency among residents through education and employment training.
5. RMHA plans to create and implement the following:
 - a) Create a 501 c(3) and 501c(4) to use for creating more affordable housing units. This has been postponed.
 - b) Get Certification from HUD as a “Certified Housing Counseling Agency”. Pending in 2007
 - c) Develop more affordable Housing thru: (a) Leveraging existing agency funds, (b) Tax Credits, (c) Mixed Finance, (d) Bonds, (e) Section 811 (f.) Section 8 Homeownership (g) Section 32, (h) Project Base Section 8 Housing, (i) Partner with the City of Rocky Mount and other agencies to create affordable housing. (j) Apply for Fannie Mae Loan.
 - d) Complete and Implement “Asset Management Plan”
 - e) Create a ten (10) year Strategic Plan
 - f) Create Economic Development Projects

Additional goals:

- **To expand the supply of assisted housing by applying for rental vouchers, reduce public housing vacancies, Leverage private or other public funds to create additional housing opportunities, acquire or build units or developments.**
- **Improve the quality of assisted housing by increasing RMHA high performer PMAP and SEMAP scores**
- **Increase Resident Satisfaction**
- **Renovate public housing units**
- **Demolish obsolete public housing**
- **Provide replacement public housing**
- **Increase assisted housing choices by conducting outreach efforts to potential voucher landlords**
- **Implement voucher homeownership program**
- **Implement public housing and homeownership programs**
- **Convert public housing to vouchers**
- **To improve community quality of life and economic vitality by implementing measures to deconcentrate poverty by bringing higher income public housing households into lower income developments**
- **Implement public housing security improvements**
- **Designate development or buildings for particular residents (elderly, persons with disabilities)**
- **Promote self-sufficiency and asset development of assisted households by increasing the number and percentage of employed persons in assisted families**
- **Provide or attract supportive services to increase independence for the elderly or families with disabilities**
- **Ensure equal opportunity and affirmatively further fair housing by undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability**
- **Explore the possibilities of Residents paying for all of their own utilities**

Unfortunately RMHA has not finished its 1999 Flood Recovery due to a lack of HUD Emergency Disaster Funding. HUD Washington denied our last application for Emergency Disaster Funds. Therefore, RMHA will be applying to Fannie Mae for a loan. We anticipate loan approval before January 2007. We are five years behind on HUD approval of the last Emergency Disaster Grant. RMHA plans to acquire more land to develop more affordable housing.

The overall plan for the Conventional/Public Housing is designed to achieve five major goals:

- 1. To maintain maximum operational effectiveness.**
- 2. To provide decent, safe, and sanitary housing for eligible participants.**
- 3. To increase the level of public awareness of the need for affordable housing.**
- 4. To promote self-sufficiency among residents through education and**

employment.

- 5. To continue the placement of families (from waiting list) in accordance with RMHA' Flood Recovery Plan as rebuilding or unit replacement occur.**

Rocky Mount Housing Authority “Definition of Substantial Deviation” and “Significant Amendment or Modification” [903.7(r)]:

The Rocky Mount Housing Authority (RMHA) definition to meet the requirement of final rule 903.7(r) and PIH 99-51, pertaining to “Substantial Deviation” and “Significant Amendment or Modification” is as follows:

1. Any changes to rent or admissions policies or other policies including organization of the waiting list.
2. Any significant change in use of funds under the Capital Fund Program.
3. Any change significant with regard to demolition or disposition, designation, homeownership programs or conversion activities.
4. Any substantial deviation from the Mission Statement and/or Goals and Objectives presented in the Five-Year and Annual Plan that cause changes in the services provided to Residents or significant changes to the Agency’s financial situation will be documented in subsequent Agency Plans. An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements offered by HUD.

Rocky Mount Housing Authority Progress Report - FY 2007

903.7a **Housing Needs - In the process of borrowing monies from Fannie Mae to replace 64 flood-damaged units under the Modernization Express Program. Rocky Mount Housing Authority anticipates HUD approval of the Fannie Mae loan between October 2006 and January 1, 2007.**

Rocky Mount Housing Authority plans to partnership with the City of Rocky Mount to assist them in housing families displaced by the city's revitalization efforts in targeted communities.

903.7b **Change made to M.S. Hayworth New Construction for the Elderly and/or Disable Rental Collection Policy. The "returned check charged" was changed to "bank charge."**

Change made to Grievance Procedure Policy. There were no changes to the Grievance Procedure's text. The only change were to add a number 10 to include The Department of Housing and Urban Development (HUD) as a contact agency as recommended by North Carolina Quadel (NCQ).

RMHA plans to revise it's ACOP to give preference to those families involved in the partnership between RMHA and the city's revitalization project.

Required Attachment: Resident Member of the PHA Governing Board

1. Yes No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)
 - A. Name of resident member(s) on the governing board: **Minnie Knight**
 - B. How was the resident board member selected: (select one)?
 Elected
 Appointed
 - C. The term of appointment is (include the date term expires): **06/30/04 - 06/30/08**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?
 the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
 the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
 Other (explain):

- B. Date of next term expiration of a governing board member: **6/30/08**

- C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Mayor Frederick Turnage
City of Rocky Mount
P.O. Box 1180
Rocky Mount, NC 27802-1180

Resident Advisory Board (RAB)

***Officers and Members
March 5, 2004***

OFFICER

**Minnie Knight, WAH President
1409 Shirley Leak Avenue
Rocky Mount, NC 27801**

MEMBERS

**Pamela Knight
1450 Hunter Street
Rocky Mount, NC 27801**

**Calvenia Jenkins, WET Secretary
102 Manning Court
Rocky Mount, NC 27803**

**Dorothy Williams
1207-A Raleigh Road
Rocky Mount, NC 27803**

**Sherry Pittman
103 Queen Court
Rocky Mount, NC 27801**

**Andrea Hendricks
925 Stokes Avenue
Rocky Mount, NC 27801**

**Annie Underhill
944 Stokes Avenue
Rocky Mount, NC 27801**

**Mary Knight
104 Loop Court
Rocky Mount, NC 27801**

**Fannie Harrison
1207-A Raleigh Road
Rocky Mount, NC 27803**

Resolution No. 16 (2006)

**Approval of Rocky Mount Housing Authority (RMHA)
FY 2007 Streamlined Annual Plan**

WHEREAS, in accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA) Public Housing Authorities are required to develop and implement and Annual Plan: and

WHEREAS, because RMHA fiscal year ends December 2006, the required annual Plan must be submitted to HUD by October 18, 2006 for implementation January 1, 2007; and

WHEREAS, the Executive Director did solicit Residents and the general public comments and input; and

WHEREAS, the Executive Director is recommending the RMHA approve certifications of compliance with HUD's requirements of QHWRA;

NOW THEREFORE BE IT RESOLVED, the Board of Commissioners of Rocky Mount Housing Authority hereby approve the attached Certifications of Compliance with QHWRA and the FY 2007 Annual Plan as presented by the Executive Director.

Date Adopted: October 9, 2006

Distribution: U.S. Department of HUD
Director of Housing Management and Section 8
Resident Advisory Board
Director of Facilities
Post
File

nc019g01

**Streamlined PHA Plan
PHA Certifications of Compliance**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the Streamlined Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the streamlined Annual PHA Plan for PHA fiscal year beginning 2007, hereinafter referred to as the Streamlined Annual Plan, of which this document is a part and make the following certifications, agreements with, and assurances to the Department of Housing and Urban Development (HUD) in connection with the submission of the Streamlined Plan and implementation thereof:

1. The streamlined Annual Plan is consistent with the applicable comprehensive housing affordability strategy (or any streamlined Plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, and provided this Board or Boards an opportunity to review and comment on any program and policy changes since submission of the last Annual Plan.
3. The PHA made the proposed streamlined Annual Plan, including policy and program revisions since submission of the last Annual Plan, and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the streamlined Plan and invited public comment.
4. The PHA will carry out the streamlined Annual Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
5. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
6. For streamlined Annual Plans that include a policy or change in policy for site-based waiting lists:
The PHA regularly submits required data to HUD's MICS in an accurate, complete and timely manner (as specified in PIH Notice 99-2):
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(b)(2).
7. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
8. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
9. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
10. The PHA has submitted with the streamlined Plan a certification with regard to a drug-free workplace required by 24 CFR Part 24, Subpart F.
11. The PHA has submitted with the streamlined Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.

**Certification of Payments
to Influence Federal Transactions**

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name:

Rocky Mount Housing Authority

Program/Activity Receiving Federal Grant Funding:

Public Housing Operating Subsidies

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Title

Larry Russell

Executive Director

Signature

Date (mm/dd/yyyy)

10/09/06

Previous edition is obsolete

Form HUD 50071 (3/99)
GSA Handbook 7417.1, 7475.13, 7485.1, & 7485.2

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Rocky Mount Housing Authority

Proprietary Housing Federal Grant Funding

Public Housing Operating Subsidies

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's work place and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug free awareness program to inform employees

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

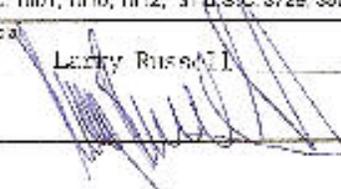
2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above. Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1101, 1110, 1112; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Larry Russell	Title	Executive Director
Signature		Date	10/9/06
X			

Form HUD-50070 (3/06)
ref. Handbook 7417.1, 7475.15, 7485.1 & 3

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by GMR
0648-0048

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subwardee Tier _____, if known: _____ Congressional District, if known: _____		5. If Reporting Entity in No. 4 is a Subwardee, Enter Name and Address of Prime: Congressional District, if known: _____
6. Federal Department/Agency: _____	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known: _____	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): <p style="text-align: center;">N/A</p>	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): <p style="text-align: center;">N/A</p>	
11. Information required through this form is authorized by the 31 U.S.C. section 1352. The disclosure of lobbying activities is a material manifestation of bid opportunities of a contract. By the disclosure the contractor has made a contract file. The disclosure is subject to 31 U.S.C. 1352. The information will be received at the Congressional committee level and the contractor is subject to inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: <u>Larry Russell</u> Title: <u>Executive Director</u> Telephone No.: <u>252-977-3141</u> Date: <u>10/9/06</u>	
Federal Use Only: _____		Authorized for Last Reproduction Standard Form LLL (Rev. 7/97)

Agency Annual Plan

HOUSING AUTHORITY

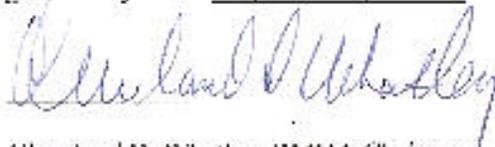
Civil Rights Certification

January 1, 2007

The Housing Authority of hereby certifies that all programs and activities included in the Authority's Streamlined Annual PIA Plan will be carried out in full compliance with Title VI of the Civil Rights Act of 1964, The Fair Housing Act, Section 504 of the Rehabilitation Act of 1973 and Title II of the Americans with Disabilities Act of 1990.

In addition, the Housing Authority confirms that to affirmatively further fair housing, the Authority has reviewed programs and activities to identify any impediments to fair housing choice and finding none, further certifies that it will continue to be cognizant of any impediments and work with the City/County to implement initiative to further fair housing jurisdiction.

Housing Authority of the **City of Rocky Mount**

By: 
Cleveland D. Whatley, RMHA Chairman
For: Mr. Larry Russell, Executive Director

Date: 10/9/06

Its

Approved as to Form:

General Counsel _____

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

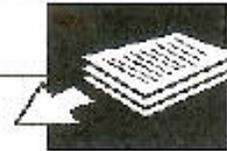
I, Charles W. Perry the Asst. City Manager - City of Rocky Mount certify
that the Five Year and Annual PHA Plan of the Rocky Mount Housing Authority is
consistent with the Consolidated Plan of Doven East Home Consortium prepared
pursuant to 24 CFR Part 91.


Charles W. Perry 10/11/06

Signed / Dated by Appropriate State or Local Official

Financial Assessment
Electronic Submission

U.S. Department of Housing and Urban Development
 Real Estate Assessment Center (REAC)



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[Submit](#) | [Late Reason](#) | [Review Score](#) | [LOCCS/HUDCAPS](#)

[Additional Help](#)

PHA Code : NC019
PHA Name: Rocky Mount Housing Authority
Fiscal Year End Date: 12/31/2005
Submission Type: Unaudited/A-133

Review Score

INDICATORS & AUDIT FLAG PENALTIES	Points	
Current Ratio	8.32	Details
Number of Months Expendable Fund Balance	9.00	Details
Tenant Receivable Outstanding	3.66	Details
Occupancy Loss	4.50	Details
Net Income or Loss Divided by the Expendable Fund Balance	1.50	Details
Expense Management	1.50	Details
RAW SCORE	28.480	

Note: An asterisk next to the score indicates an outlier.

DEDUCTIONS	Occurrences	Restore	Points	Comments
Total Deductions			(0.000)	
Total PHAS Adjustment			(0.000)	
Adjusted Score			28.480	
ORIGINAL DEDUCTIONS FOR AUDIT FLAG PENALTIES			(00.000)	
PHA Reported Units			950	
System Reported Units (Low Rent and Section 8 Units)			910	
Difference			40	

Note: An asterisk next to the difference indicates a change in the PHA's size category.

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[Inbox](#) | [Reports](#) | [PHA Info](#) | [Financial Data Schedule](#) | [Data Collection Form](#) | [Comments](#)



nc019101

EXECUTIVE DIRECTOR
LARRY RUSSELL

WEST END TERRACE
1006 Aycock Street
Rocky Mount, N.C. 27803

WEEKS-ARMSTRONG
1065 Pinehurst Drive
Rocky Mount, N.C. 27801

HOUSING AUTHORITY

OF THE CITY OF

ROCKY MOUNT, NORTH CAROLINA

27803-0717

POST OFFICE BOX 4717

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Voice & TDD

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FAX (252) 977-2587-WAH

BOARD OF COMMISSIONERS

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January 30, 2006

*Mr. Michael A. Williams
Director Public Housing Division
U.S. Department of Housing and Urban Development
Suite 500, Asheville Building
1500 Pinecroft Road
Greensboro, N.C. 27407-3707*

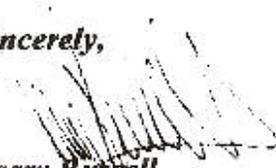
Dear Mr. Williams:

Re: FY 2005 and FY 2006 PHA Plans Addition (Capital Fund Financing Program (CFPP))

Rocky Mount Housing Authority is applying to borrow funds from Fannie Mae to replace units destroyed by the 1999 flood under CFFP. I am respectfully requesting your approval to include the enclosed CFP Annual Statement for the CFFP to both the FY 2005 and FY 2006 Annual Plans.

Thank you in advance for your approval. Please do not hesitate to call me at 252-450-3527 if you have any questions.

Sincerely,


*Larry Russell
Executive Director*

LR:pc

*cc: Marcie Porter, Public FA, Inc.
Gene Rahuba, HUD Engineer
Toni Child, Director of Facilities
File*

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name:

NC019 Rocky Mount Housing Authority

Grant Type and Number

Capital Fund Program Grant No: NC19P0195105

Replacement Housing Factor

Federal FY of Grant: 2005
Cap Fund
Borrowing

Original Annual Statement

Reserve for Disasters / Emergencies

Revised Annual Statement

Revision Number

Performance and Evaluation Report for Period Ending

Final Performance and Evaluation Report

Performance and Evaluation Report for Period Ending:

Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost Original	Revised	Total Actual Cost Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs			73,806	
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs			3,972,480	
18	1499 Development Activities			409,215	
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Proposed Project: (sum of lines 2 - 20)			4,455,500	
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security - Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

This funding is approved subject to the approval of the CFFP application

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: NC019 Rocky Mount Housing Authority		Grant Type and Number Capital Fund Program Grant No: NC19PO195105 Replacement Housing Factor Grant No:			Federal FY of Grant: 2005 Capital Fund Borrowing		Status of Work
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
	<u>Fees and Costs</u>						
PHA Wide	Cost of Issuance		1430	73,805			
	<u>Development Activities</u>						
Weeks Armstrong II NC019002	Development of 57 replacement units		1499	3,972,480			
	<u>Collateralization of Debt Service</u>						
PHA Wide	Debt Service Reserve		1501	343,782			
PHA Wide	Capitalized Interest			65,433			
	Subtotal 1501			409,215			
	GRAND TOTAL			4,456,500			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: **NC019 Rocky Mount Housing Authority**

Grant Type and Number: **Capital Fund Program Grant No: NC19PO19S106**

Replacement Housing Factor

Federal FY of Grant: **2006**

Cap Fund Borrowing

- Original Annual Statement Reserve for Disasters / Emergencies Revised Annual Statement
- Performance and Evaluation Report for Period Ending Final Performance and Evaluation Report
- Revision Number

Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report**

Line No.	Summary by Development Account	Total Estimated Cost	Original	Revised	Total Actual Cost	Obligated	Expended
1	Total non-CFP Funds						
2	1406 Operations						
3	1408 Management Improvements						
4	1410 Administration						
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures						
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Nondwelling Structures						
13	1475 Nondwelling Equipment						
14	1485 Demolition						
15	1490 Replacement Reserve						
16	1492 Moving to Work Demonstration						
17	1495.1 Relocation Costs						
18	1499 Development Activities						
19	1501 Collateralization or Debt Service						
20	1502 Contingency						
21	Amount of Proposed Project: (sum of lines 2 - 20)						
22	Amount of line 21 Related to LBP Activities						
23	Amount of line 21 Related to Section 504 compliance						
24	Amount of line 21 Related to Security - Soft Costs						
25	Amount of line 21 Related to Security - Hard Costs						
26	Amount of line 21 Related to Energy Conservation Measures						

This funding is approved subject to the approval of the CFP application

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: **NC019 Rooley Mount Housing Authority** Grant Type and Number: **Capital Fund Program Grant No: NC19PO195106** Federal FY of Grant: **2006**
 Replacement Housing Factor Grant No. **1489** Capital Fund Borrowing

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Act No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>Fees and Costs</u>							
PHA Wide	Cost of Issuance			1430		73,805		
	<u>Development Activities</u>							
Weeks Armstrong II	Development of 57 replacement units			1489	57	3,972,480		
NC019002								
	<u>Collection of Debt Service</u>			1501		343,782		
PHA Wide	Debt Service Reserve					85,433		
PHA Wide	Capitalized Interest					409,215		
	Subtotal 1501							
	GRAND TOTAL					4,455,800		

Resolution No. 24 (2005)

**Approval of Section 8 Homeownership Administrative Plan
For Submission to HUD**

WHEREAS, over the years, Rocky Mount Housing Authority (RMHA) has stated in its Annual Plan that a Section 8 Homeownership Administrative Plan would be established; and

WHEREAS, a Section 8 Homeownership Program will support RMHA's mission statement and goals to provide affordable housing; and

WHEREAS, RMHA staff is recommending approval of the attached Section 8 Administrative Homeownership Plan; and

WHEREAS, the subject Section 8 Homeownership Administrative Plan was reviewed and discussed with HUD and is complete for HUD submission and final approval;

NOW THEREFORE BE IT RESOLVED, the Board of Commissioners of Rocky Mount Housing Authority hereby approve the attached Section 8 Homeownership Administrative Plan for submission to HUD.

Date Adopted: August 9, 2005

**Distribution: U.S. Department of HUD
 Housing Management/Section 8 Director
 Homeownership Administrator
 Finance Director
 File**

Rocky Mount Housing Authority

Rocky Mount Housing Authority Homeownership Program

August 9th, 2005

NOTE: The regular text represents statutory or regulatory provisions required for Section 8 Homeownership Programs.

The bolded text represents discretionary provisions.

The bolded-italicized text represents language required by regulation, but not included in this program. It is also used to draw any special attention to any type of issue.

ADMINISTRATIVE PLAN

SECTION XXIII. SECTION 8 HOMEOWNERSHIP PROGRAM

1. GENERAL PROVISIONS:

The Section 8 Homeownership Program of the Rocky Mount Housing Authority ("RMHA") permits eligible participants in the Section 8 Housing Choice Voucher Program, including participants with portable vouchers, the option of purchasing a home with their Section 8 assistance rather than renting. **The home-ownership option is limited to ten percent (10%) of the total Section 8 Voucher Program administered by RMHA in a calendar year. Board approval will be required to exceed the ten percent (10%) or time frame.**

Eligible applicants for the Section 8 Home-Ownership Program must have completed an initial Section 8 lease term, may not owe RMHA or any other Housing Authority an outstanding debt, and must meet the eligibility criteria set forth herein.

Section 8 homeownership assistance may be used to purchase the following type of homes within the City of Rocky Mount: new or existing single-family houses, new single family housing condominiums, planned use developments, or lofts. The families who are purchasing property owned by RMHA or non-profit affiliates will get **first priority to purchase homes**. Other priorities are listed herein.

2. **FAMILY ELIGIBILITY REQUIREMENTS:**

Participation in the Section 8 Homeownership Program is voluntary. Each Section 8 Homeownership Participant must meet the general requirements for admission to the Section 8 Housing Choice Voucher Program as set forth in RMHA's Administrative Plan. Such Section 8 family also must be "eligible" to participate in the Homeownership Program. The additional eligibility requirements for participation in RMHA's Section 8 Homeownership Program include that the family must: (A) be a first-time homeowner or have a member who is a person with disabilities; (B) with the exception of elderly and disabled households, meet a minimum income requirement without counting income from "welfare assistance" sources; (C) with the exception of elderly and disabled households, meet the requisite employment criteria; (D) **have completed an initial lease term in the Section 8 Housing Choice Voucher Program;** (E) **have fully repaid any outstanding debt owed to RMHA or any other Housing Authority;** (F) not defaulted on a mortgage securing debt to purchase a home under the homeownership option; and (G) not have any member who has a present ownership interest in a residence at the commencement of homeownership assistance.

A. **FIRST TIME HOMEOWNER:**

Each Section 8 family, except families with a disabled member, must be a first-time homeowner. A "first-time

homeowner" means that no member of the household has had an ownership interest in any residence during the three years preceding commencement of homeownership assistance. However, a single parent or displaced homemaker who, while married, owned a home with a spouse (or resided in a home owned by a spouse) is considered a "first-time homeowner" for purposes of the Section 8 homeownership option; and the right to purchase title to a residence under a lease-purchase agreement is not considered an "ownership interest."

Note: A member of a cooperative (as defined in § 982.4) also qualifies as a "first time homeowner".

B. MINIMUM INCOME REQUIREMENT:

(1) *Amount of Income:*

At the time the family begins receiving homeownership assistance, the head of household, spouse, and/or other adult household members who will own the home, must have a gross annual income at least equal to the Federal Minimum Hourly Wage multiplied by a total number of 2000 hours.

In case of a disabled family, use the monthly Federal Supplemental Security Income ("SSI") benefit, for an individual living alone, and multiply by 12.

(2) *Exclusion of Any Welfare Assistance Income.*

With the exception of elderly and disabled families, RMHA will disregard any "welfare assistance" income in determining whether the family meets the minimum income requirement. Welfare assistance includes assistance from Temporary Assistance for Needy Families ("TANF"); Supplemental Security Income ("SSI") that is subject to an income eligibility test; food stamps; general assistance; or

other welfare assistance specified by HUD. The disregard of welfare assistance income under this section affects the determination of minimum monthly income in determining initial qualification for the Homeownership Program. It does not affect the determination of income-eligibility for admission to the Section 8 Housing Choice Voucher Program, calculation of the family's total tenant payment, or calculation of the amount of homeownership assistance payments.

C. **EMPLOYMENT HISTORY:**

With the exception of disabled and elderly households, each family must demonstrate that one or more adult members of the family who will own the home at commencement of homeownership assistance is employed full-time (an average of 30 hours per week) and has been continuously employed for one year prior to execution of the sales agreement. In order to reasonably accommodate a family's participation in the program, RMHA will exempt families that include a person with disabilities from this requirement.

D. **COMPLETION OF INITIAL LEASE TERM:**

Applicants for new participants in the Section 8 Housing Choice Voucher Program shall be ineligible for participation in the Section 8 Homeownership Program until completion of an initial Section 8 lease term and the participant's first annual recertification in the Section 8 Housing Choice Voucher Program. Nothing in this provision will preclude Section 8 participants that have completed an initial lease term in another jurisdiction from participating in the Section 8 Homeownership-Program.

E. **REPAYMENT OF HOUSING AUTHORITY DEBTS:**

Participants in the Section 8 Housing Choice Voucher Program shall be ineligible for participation in the Section 8 Homeownership Program in the event any debt or portion of a debt remains owed to RMHA or any other Housing Authority. Nothing in this provision will preclude Section 8 participants that have fully repaid such debt(s) from participating in the Section 8 Homeownership Program.

F. **ADDITIONAL ELIGIBILITY FACTORS:**

(1) **Elderly and Disabled Households:**

Elderly and disabled families are exempt from the employment requirements set forth in Section 2. C. above. In the case of an elderly or disabled family, RMHA will consider income from all sources, including welfare assistance in evaluating whether the household meets the minimum income required to purchase a home through the Section 8 Homeownership-Program.

(2) **Preference for Participation in FSS Program:**

Families participating in RMHA's Family Self-Sufficiency ("FSS") Program or an Individual Development Account ("IDA") Program will receive a preference for homeownership assistance.

(3) **Prior Mortgage Defaults:**

If a head of household, spouse, or other adult household member who will execute the contract of sale, mortgage and loan documents have

previously defaulted on a mortgage obtained through the Section 8 Homeownership Program, the family will be ineligible to participate in the Homeownership Program.

3. FAMILY PARTICIPATION REQUIREMENTS:

Once a family is determined to be eligible to participate in the program, it must comply with the following additional requirements: (A) complete a Homeownership Counseling Program approved by RMHA prior to commencement of homeownership assistance; (B) within a specified time, locate the home it proposes to purchase; (C) submit a sales agreement containing specific components to RMHA for approval; (D) allow RMHA to inspect the proposed homeownership dwelling to assure that the dwelling meets appropriate housing quality standards; (E) obtain an independent inspection covering major building systems; (F) obtain RMHA approval of the proposed mortgage (which must comply with generally accepted mortgage underwriting requirements); and (G) enter into a written agreement with RMHA to comply with all of its obligations under the Section 8 program.

A. HOMEOWNERSHIP COUNSELING PROGRAM:

A family's participation in the Homeownership Program is conditioned on the family attending and successfully completing a homeownership and Housing Counseling Program provided or approved by RMHA prior to commencement of homeownership assistance. The Homeownership and Counseling Program will cover home maintenance; budgeting and money management; credit counseling; negotiating purchase price; securing mortgage financing; finding a home; and the advantages of purchasing and locating homes in areas that do not have a high concentration of low-income families.

[Note: § 982.620(b) provides "suggested topics" for the PHA required Pre-assistance Counseling Program --- which are, for the most part, included here. Suggested topics include fair housing, RESPA obligations and predatory lending issues. These topics will be included in the Homeownership Counseling Program.]

The counseling agency providing the Counseling Program shall either be approved by HUD or the program shall be consistent with the homeownership counseling provided under HUD's Housing Counseling Program. RMHA may require families to participate in a RMHA-approved homeownership counseling program on a continuing basis.

B. LOCATING AND PURCHASE A HOME:

(1) **Locating A Home:**

Upon approval for the Section 8 Homeownership Program, a family shall have one hundred eighty (180) days to locate a home to purchase. A home shall be considered located if the family submits a proposed sales agreement with the requisite components to RMHA. For good cause, RMHA may extend a Section 8 family's time to locate the home for additional thirty (30) day increments. During a Section 8 participant's search for a home to purchase, their Section 8 rental assistance shall continue pursuant to the Administrative Plan. If a Section 8 participant family is unable to locate a home within the time approved by RMHA, their Section 8 rental assistance through the Section 8 Housing Choice Voucher Program will/shall still continue on.

(2) **Type Of Home:**

A family approved for Section 8 homeownership assistance may purchase the following type of homes within the City of Rocky Mount: a new or existing

stick-built home, a stick-built single-family home, a condominium, a home in a planned use development, or a loft. The home must already exist or under construction at the time RMHA determines the family eligible for homeownership assistance.

(3) **Purchasing a Home:**

Once a home is located and a sales agreement approved by RMHA is signed by the family, the family shall have up to three (3) months, or such other time as is approved by RMHA Staff or set forth in the RMHA-approved sales agreement, to purchase the new single-family home under this Program.

(4) **Failure to Complete Purchase:**

If a Section 8 participant is unable to purchase the home within the maximum time permitted by RMHA, RMHA shall continue the family's participation in the Section 8 Housing Choice Voucher Program. The family may not re-apply for the Section 8 Homeownership Program until they have completed an additional year of participation in the Section 8 Housing Choice Voucher Program following the initial determination of their eligibility for participation in the homeownership option.

(5) **Lease-Purchase:**

Families may enter into lease-purchase agreements while receiving Section 8 rental assistance. All requirements of the Housing Choice Voucher Program apply to lease-purchase agreements, except that families are permitted to pay an extra amount out-of-pocket to the owner for purchase related expenses-- a "homeownership premium." Any "homeownership

premium," defined as an increment of value attributable to the value of the lease-purchase right or agreement, is excluded from RMHA's rent reasonableness determination and subsidy calculation, and must be absorbed by the family. When a lease-purchase participant family is ready to exercise their option, they must notify the Homeownership Department at RMHA and apply for the homeownership option. If determined eligible for homeownership assistance, the family may be admitted to the Homeownership Program and must meet all of the said requirements of these said policies.

C. **SALES AGREEMENT:**

Prior to execution of the offer to purchase or sales agreement, the family must provide the financing terms to RMHA **for approval**. The sales agreement must provide for inspection by RMHA and the independent inspection referred to in Section 3(E) and **must state that the purchaser is not obligated to purchase unless such inspections are satisfactory to RMHA**. The contract also must provide that the purchaser is not obligated to pay for any necessary repairs **without approval by RMHA**. **The sales agreement must provide that the purchaser is not obligated to purchase if the mortgage financing terms are not approved by RMHA pursuant to Section 3(F)**. The sales agreement must also contain a seller certification that the seller is not debarred, suspended, or subject to a limited denial of participation under 24 CFR part 24.

D. **INDEPENDENT INITIAL INSPECTION CONDUCTED:**

To assure the home complies with the housing quality standards of the Section 8 program, homeownership

assistance payments may not commence until RMHA first inspects the home. A professional selected by the family and approved by RMHA also must complete an independent inspection of existing homes covering major building systems. RMHA will not pay for the independent inspection. The independent inspection report must be provided to RMHA. **RMHA may disapprove the unit due to information contained in the report or for failure to meet the Federal Housing-Quality-Standards.**

E. **FINANCING REQUIREMENTS:**

The proposed financing terms must be submitted to and approved by RMHA. RMHA shall determine the affordability of the family's proposed financing. In making such determination, RMHA may take into account other family expenses, including but not limited to child care, un-reimbursed medical expenses, education and training expenses and the like. Certain types of financing, including but not limited to, balloon payment mortgages, unless convertible to a variable rate mortgage, are prohibited and will not be approved by RMHA. Seller-financing mortgages shall be considered by RMHA on a case-by-case basis. If a mortgage is not FHA-insured, RMHA will require the lender to comply with generally accepted mortgage underwriting standards consistent with those of HUD/ FHA, Ginnie Mae, Fannie Mae, Freddie Mac, North Carolina Housing Finance Agency (NCHFA), USDA Rural Housing Services, the Federal Home Loan Bank, or any other private lending/financial institution.

F. **COMPLIANCE WITH FAMILY OBLIGATIONS:**

A family must agree, in writing, to comply with all family obligations under the Section 8 program and RMHA's homeownership policies. These obligations include (1)

attending ongoing homeownership counseling, if required by RMHA; (2) complying with the mortgage terms; (3) not selling or transferring the home to anyone other than a member of the assisted family who resides in the home while receiving homeownership assistance; (4) not refinancing or adding debt secured by the home without prior approval by RMHA; (5) not obtaining a present ownership interest in another residence while receiving homeownership assistance; and (6) supplying all required information to RMHA, including but not limited to annual verification of household income, notice of change in homeownership expenses, notice of move-out, and notice of mortgage default. RMHA's Homeownership Family Obligation policies are set forth in Appendix A hereto.

4. **AMOUNT OF ASSISTANCE:**

The amount of the monthly assistance payment will be based on three factors: the voucher payment standard for which the family is eligible; the monthly homeownership expense; and the family's household income. RMHA will pay the lower of either the payment standard minus the total family contribution ("TFC") or the family's monthly homeownership expenses minus the TFC. The Section 8 family will pay the difference.

A. **Determining the Payment Standard.**

The voucher payment standard is the fixed amount the RMHA annually establishes as the "fair market" rent for a unit of a particular size located within the RMHA jurisdiction. In the Homeownership Program, the initial payment standard will be the lower of either (1) the payment standard for which the family is eligible based on family size; or (2) the payment standard which is applicable to the size of the home the family decides to purchase. The payment standard for subsequent years will

be based on the higher of: (1) the payment standard in effect at commencement of the homeownership assistance; or (2) the payment standard in effect at the most recent regular reexamination of the family's income and size. The initial payment standard, for purposes of this comparison, shall not be adjusted even if there is a subsequent decrease in family size. RMHA will request HUD approval of a higher payment standard, up to 120% of the published Fair Market Rent limit, where warranted as a reasonable accommodation for a family that includes any person with any handicaps or any disabilities.

B. *Determining the Monthly Homeownership Expense:*

Monthly homeownership expense includes all of the following: principal and interest on the initial mortgage and any mortgage insurance premium (MIP) incurred to finance the purchase and any refinancing of such debt; real estate taxes and public assessments; homeowner's insurance; maintenance expenses per RMHA allowance; costs of major repairs and replacements per RMHA allowance (replacement reserves); utility allowance per RMHA's schedule of utility allowances; principal and interest on mortgage debt incurred to finance major repairs, replacements or improvements for the home including changes needed to make the home accessible; and homeowner association dues, fees or regular charges assessed, if any.

C. *Determining the Total Family Contribution:*

The TFC is that portion of the homeownership expense that the family must pay. It is generally 30% percent of the family's adjusted income, plus any gap between the payment standard and the actual housing cost. All family income (including public assistance), will be counted to determine the family's adjusted monthly income for

purposes of determining the amount of the total cost of the assistance.

D. *Payment to Family or Lender:*

RMHA will provide the lender with notice of the amount of the housing assistance payment and will pay RMHA's contribution towards the family's homeowner expense directly to the family, unless otherwise required by the lender. The family will be responsible to submit the entire mortgage payment to the lender unless the lender requires direct payment of RMHA's contribution.

5. **TERMINATION OF SECTION 8 HOMEOWNERSHIP ASSISTANCE:**

A. *Grounds For Termination Of Homeownership Assistance:*

(1) Failure to Comply with Family Obligations Under Section 8 Program or RMHA's Homeownership Policies.

A family's homeownership assistance may be terminated if the family fails to comply with its obligations under the Section 8 program, RMHA homeownership policies, or if the family defaults on the mortgage. **If required, the family must attend and complete ongoing Homeownership and Housing Counseling Classes.** The family must comply with the terms of any mortgage incurred to purchase and/or refinance the home. The family must provide RMHA with written notice of any sale or transfer of any interest in the home; any plan to move out of the home prior to the move; the family's household income and homeownership expenses on an annual basis; any notice of mortgage default received by the family; and any other notices which may be required pursuant to Rocky Mount Housing Authority homeownership policies. Except as otherwise provided in this Section, the family may not convey or transfer the home

to any entity or person other than a member of the assisted family while receiving any type of any homeownership assistance.

(2) **Occupancy of Home:**

Homeownership assistance will only be provided while the family resides in the home. If the family moves out of the home, RMHA will not continue homeownership assistance commencing with the month after the family moves out. **Neither the family nor the lender is obligated to reimburse the Rocky Mount Housing Authority for homeownership assistance paid for the applicable month when the family moves out.**

(3) **Changes in Income Eligibility:**

A family's homeownership assistance may be changed in the month following annual recertification of the household income, but **participation in the Section 8 Homeownership Program shall continue until such time as the assistance payment amounts to \$0.00 for a time period equaling six (6) consecutive months.**

(4) **Maximum Term of Homeownership Assistance:**

Notwithstanding the provisions of Section 5(A), subparagraphs 1 through 3, except for disabled and elderly families, a family may receive Section 8 homeownership assistance for not longer than ten (10) years from the date of closing unless the initial mortgage incurred to finance purchase of the home has a term that is 20 years or longer, in which case the maximum term is 15 years. Families that qualify as elderly at the commencement of homeownership assistance are not subject to a maximum term limitation. Families that qualify as disabled families at the

commencement of homeownership assistance or at any time during the provision of homeownership assistance are not subject to a maximum term limitation. If a disabled family or elderly family ceases to qualify as disabled or elderly, the appropriate maximum term becomes applicable from the date homeownership assistance commenced; provided, however, that such family shall be eligible for at least six additional months of homeownership assistance after the maximum term becomes applicable. The time limit applies to any member of the household who has an ownership interest in the unit during any time that homeownership payments are made, or in the condition where there is a spouse of any member of the household who has a part in the portions of any of the ownership interest.

B. Procedure for Termination of Homeownership Assistance:

A participant in the Section 8 Homeownership Program shall be entitled to the same termination notice and the same informal hearing procedures as set forth in the adopted Administrative Plan of the Rocky Mount Housing Authority for the said Section 8 Housing Choice Voucher Program.

6. CONTINUED PARTICIPATION IN SECTION 8 HOUSING CHOICE VOUCHER PROGRAM.

A. Default on FHA-Insured Mortgage:

If the family defaults on an FHA-insured mortgage, RMHA may permit the family to move with continued Section 8 Housing Choice Rental Assistance if the family demonstrates that it has done the following items: (a) conveyed title to the home to HUD or its designee, as required by HUD; and (b) moved from the home within the period established or if approved by HUD.

B. **Default on Non-FHA-Insured Mortgage:**

If the family defaults on a mortgage that is not FHA-insured, RMHA may permit the family to move with continued Section 8 housing choice voucher rental assistance if the family demonstrates that it has (a) conveyed title to the home to the lender, to RMHA or to its designee, as may be permitted or required by the lender; and (b) moved from the home within the period established or approved by the lender and/or RMHA.

7. **RMHA ADMINISTRATIVE FEE:**

For each month that homeownership assistance is paid by RMHA on behalf of the family, RMHA shall be paid the ongoing administrative fee described in 24 C.F.R. §982.152(b).

8. **WAIVER OR MODIFICATION OF HOME-OWNERSHIP POLICIES:**

The Rocky Mount Housing Authority Board Of Commissioners shall have the discretion to waive or modify any provision of the Section 8 Homeownership Program or policies not governed by statute or regulation for good cause or to comply with any changes in any HUD regulations or any directives.

APPENDIX A:
SECTION 8 HOMEOWNERSHIP OBLIGATIONS

This form is to be signed by the home buyer(s) in the presence of the Rocky Mount Housing Authority's (RMHA) Homeownership Department Director.

Your RMHA Homeownership Staff will explain any and all clauses which you, the home buyer(s), may not understand.

The following paragraphs describe your responsibilities under the Section 8 Homeownership Program. If you or members of your household do not meet these responsibilities, through your actions or your failure to act, you may be terminated from the Section 8 Homeownership Program.

1. **Family Obligations:** You must comply with all Family Obligations of the Section 8 Housing Choice Voucher Program, excepting only the prohibition against owning or having an interest in the unit. The Policy Standards of the section of the *Family Obligations* §§ 982.633(b); 1-9.
2. **Housing Counseling:** All participating family members (i.e. those signing the purchase offer and loan documents) must satisfactorily complete a RMHA provided or approved counseling program prior to commencement of homeownership assistance. **RMHA may require any or all-participating family members to attend additional housing counseling classes as a condition of continued assistance.**
3. **Purchase Contract:** You must include contract conditions in any Offer to Purchase that give RMHA a reasonable time (a) to inspect the home for compliance with HUD's Housing Quality Standards; (b) to review and **approve** a professional home inspection report obtained by you; and (c) **approve the terms of your proposed financing.** **Advise your Realtor of these requirements.**
4. **Mortgage Obligations:** You must comply with the terms of any mortgage incurred in the purchase of the property and must notify RMHA's Homeownership Director **within five (5) days of receipt** of any late payment or default notice.
5. **Occupancy:** You must occupy the unit as your principal residence. You may not transfer, sell, or assign any interest in the property without RMHA's prior written consent. You

may not rent or lease any part of the premises without RMHA's prior written consent. You must notify RMHA in writing at least 30 days prior to moving out of the house for a period of 30 days or longer or prior to any sale, transfer, assignment, lease or other form of alienation of the assisted property.

6. **Maintenance:** You must maintain the property in a decent, safe and sanitary manner. You must allow RMHA to inspect the property within one-week of a demand by RMHA to conduct an inspection. You must correct any notice of deficiency issued by RMHA within the time limit specified in the notice. If you fail to adequately maintain the property, RMHA may divert the maintenance and replacement reserves portions of the Homeownership Assistance Payment to an escrow account to be used to pay for reasonable and necessary maintenance expenses.
7. **Annual Re-examination:** You must annually provide RMHA with current information regarding family income and composition in a format required by RMHA.
8. **Refinancing:** You must notify RMHA in writing within ten (10) days of any proposal to refinance the original purchase mortgage or of any proposal to encumber the property with secondary financing and obtain RMHA's written approval of such financing prior to executing any loan documents.
9. **Default:** In the event of a default on your mortgage obligation, you must cooperate with RMHA and the lender to minimize any loss to the lender in order to maintain your eligibility to continue as a participant in the Section 8 Housing Choice Voucher Program.