

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

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# PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2007

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Public Housing Authority of Butte    **PHA Number:** MT003

**PHA Fiscal Year Beginning:** (mm/yyyy) 07/2007

**PHA Programs Administered:**

**Public Housing and Section 8**     **Section 8 Only**     **Public Housing Only**  
 Number of public housing units:                      Number of S8 units:                      Number of public housing units:  
 Number of S8 units:

**PHA Consortia:** (checkbox if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

### Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2005 - 2009**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)  
The Public Housing Authority of Butte is dedicated to providing safe, high-quality, well-managed, affordable housing opportunities to the citizens of Butte-Silver Bow as well as providing residents with opportunities for economic self-sufficiency and enhanced quality of life with a commitment to serving our clients with professionalism, courtesy, and respect.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)
- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score)
  - Improve voucher management: (SEMAP score)
  - Increase customer satisfaction:
  - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)

- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

## HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

### Other PHA Goals and Objectives: (list below)

#### Goal #1 – Promote a Positive Image for the Public Housing Authority of Butte – its programs and its facilities

##### Objectives for Goal #1

- A. The Executive Director will develop a public relations program that includes quarterly press releases and contacts with the local media including the Montana Standard Editorial Board.
- B. The housing authority will publish a periodic newsletter regarding the facilities, programs and new initiatives of the PHAB once every two years. *ongoing*
- C. The Board of Commissioners and the Executive Director will develop a specific campaign to enhance the marketability of all housing authority developments.

#### Goal #2 – Improve opportunities for employment for residents through training and economic development partnerships

##### Objectives for Goal #2

- A. The Housing Coordinator will coordinate with existing job training programs to provide employment readiness education to PHAB Residents once every six months.
- B. The Executive Director will participate in overall community and economic development efforts to create employment opportunities in Butte-Silver Bow.
- C. The Housing Coordinator will conduct an assessment of skills to determine potential training needs and resident business opportunities.

#### Goal #3 – Strive for a supportive and encouraging relationship with the Butte-Silver Bow Local Government

##### Objectives for Goal #3

- A. The Board of Commissioners for the Public Housing Authority of Butte will attempt to secure a Butte-Silver Bow representative on the PHAB Board of Directors (either a commissioner or a department head, such as Community Development). *completed*
- B. The Executive Director will schedule a meeting with the Butte-Silver Bow Chief Executive to encourage open communication between the PHAB and the Butte-Silver Bow Chief Executive. *completed*

#### **Goal #4 – Address law enforcement and security issues related to drug use and vandalism**

##### **Objectives for Goal #4**

- A. The Executive Director and the Board of Commissioners will re-establish the relationship between the Butte Sheriff's Department and the PHAB through a better overall relationship with Butte-Silver Bow (per Goal #3) *ongoing*
- B. The Executive Director and the Housing Coordinator will work with other area agencies in addressing substance abuse and vandalism including the Sheriff's Department, Butte-Silver Bow Health Department, mental health service providers, St. James Healthcare, the Chamber of Commerce, and others. *Butte-Silver Bow Chemical Dependency personnel provide classes to the after school program.*

#### **Goal #5 – Diversity our funding base**

##### **Objectives for Goal #5**

- A. The Executive Director will research opportunities to make use of our 501-c-3 organization to secure grant funding not available to government entities. *The Executive Director is working on a Housing Development Finance certification.*
- B. The Executive Director will explore partnerships with other non-profits to raise funds and share resources
- C. The Executive Director and staff of the Public Housing Authority of Butte will participate in community-wide housing planning efforts to identify strategies to address housing needs in 2005. *ongoing – housing study is in draft form and should be completed by July 1, 2007*

#### **Goal #6 – Improve and enhance senior programs**

##### **Objects for Goal #6**

- A. The Housing Coordinator will develop recreational and social programs such as cooking classes, pot luck gatherings and "garage sale" events quarterly. *Bunko and card nights have been implemented at the Rosalie Manor.*
- B. The Housing Coordinator and the ROSS Program Coordinator will promote wellness programs for seniors such as blood pressure clinics, etc., in partnership with St. James Healthcare, the Butte-Silver Bow Health Department and other organizations and offer programs monthly. *PHAB has received a ROSS grant that will provide a wellness program for seniors and disabled tenants*
- C. The Housing Coordinator will consider programs that match seniors with younger residents for companionship, home maintenance and safety.

#### **Goal #7 – Address overall management and facilities needs on an ongoing basis**

##### **Objectives for Goal #7**

- A. Under the direction of the Executive Director the entire housing authority will initiate property based management by 2006. *Property managers are in place. Currently working on the computer systems and finalizing the accounting system.*
- B. Under the direction of the Executive Director and the Maintenance Supervisor the maintenance department will strive for continued improvement in property maintenance and upkeep with an increase in Resident Assessment Sub-system scores so that no area of the survey has scores less than 75%. *2006 RASS score was above 75%*

**Annual PHA Plan**  
**PHA Fiscal Year 2007**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**not required**

**iii. Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

**Table of Contents**

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**Attachments**

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

**Required Attachments:**

- Admissions Policy for Deconcentration (mt003c01)
- FY 2007 Capital Fund Program Annual Statement (mt003a01)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members (mt003d01)
- List of Resident Board Member (mt003e01)
- Community Service Description of Implementation (mt003f01)
- Information on Pet Policy (mt003g01)
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable (mt003h01)

**Optional Attachments:**

- PHA Management Organizational Chart (mt003i01)
- FY 2007 Capital Fund Program 5 Year Action Plan (mt003b01)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (mt00j01)
- Other (List below, providing each attachment name)
  - VAWA Policy (mt003k01)
  - Definition of Substantial Deviation (mt003l01)
  - Capital Fund Performance and Evaluation Reports for all open Capital Fund grants (mt003m01a,b,c)
  - Certifications
  - Assessment of Site-Based Waiting List Development Demographics (mt003n01)
  - Asst Management Activities (mt003o 01)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is	5 Year and Annual Plans

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for	Annual Plan: Capital Needs

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
	any active CIAP grant	
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1126	5	4	4	3	4	4
Income >30% but <=50% of AMI	967	4	3	3	3	3	3
Income >50% but <80% of AMI	936	2	3	3	3	2	3
Elderly	695	4	3	3	3	2	3
Families with Disabilities	5556	5	4	4	3	3	3
Race/Ethnicity American Indian	675	4	3	3	3	3	4
Race/Ethnicity African American	53	4	3	3	3	3	3
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2005
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)  
Consolidated Plan – Annual Action Plan April 2006  
Economic and Demographic Analysis of Montana (Housing Data), December 2005

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input checked="" type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	118		126
Extremely low income <=30% AMI	202	88%	
Very low income (>30% but <=50% AMI)	22	10%	
Low income (>50% but <80% AMI)	5	2%	
Families with children	78	34%	
Elderly families	22	10%	
Families with Disabilities	55	24%	
Race/ethnicity White	206	90%	
Race/ethnicity African American	1	.4%	
Race/ethnicity American Indian	18	8%	
Race/ethnicity Multiple	4	2%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	141	62%	60
2 BR	62	27%	56
3 BR	25	11%	9
4 BR	1	.04%	1

Housing Needs of Families on the Waiting List			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available

- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## 2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2007 grants)</b>		
a) Public Housing Operating Fund	\$660,840	
b) Public Housing Capital Fund	\$473,552	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$90,828	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	\$148,000	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
<b>Shelter + Care</b>	\$80,000	Tenant-based rental assistance
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
<b>Capital Fund</b>	\$280,000	Public housing capital improvements
<b>ROSS – Neighborhood Networks</b>	\$50,000	Public housing supportive services
ROSS – Elderly and Disabled	\$98,000	Public housing supportive services
<b>3. Public Housing Dwelling Rental Income</b>	\$663,925	Public housing operations
<b>4. Other income (list below)</b>		
Maintenance and non-dwelling	\$24,500	Public housing operations
<b>Interest</b>	\$11,000	Public housing operations

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>4. Non-federal sources</b> (list below)		
<b>Total resources</b>	\$2,580,645	

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) at time of application

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe) credit report

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists

Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office  
 PHA development site management office  
 Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 5

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists? 5

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office  
 All PHA development management offices  
 Management offices at developments with site-based waiting lists  
 At the development to which they would like to apply  
 Other (list below)

### **(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One  
 Two  
 Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

### **(4) Admissions Preferences**

a. Income targeting:

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
  
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

## 2 Date and Time

### Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

### Other preferences (select all that apply)

- Working families and those unable to work because of age or disability 1
- Veterans and veterans' families 1
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

### 4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

## **(5) Occupancy**

### a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Tenant handbook

### b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site based waiting lists  
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:

Employing new admission preferences at targeted developments  
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)

### (2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

**(3) Search Time**

- a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Extensions are granted when clients can demonstrate that they have searched for a unit but have been unable to find a suitable unit to rent.

**(4) Admissions Preferences**

- a. Income targeting

- Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability 1
- Veterans and veterans’ families 1
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)  
Notification is sent to non-profit and social service agencies.

**4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

**A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

**(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

For the earned income of a previously unemployed household member

For increases in earned income

Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

For household heads

For other family members

For transportation expenses

For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

Yes for all developments

Yes but only for some developments

No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

For all developments

For all general occupancy developments (not elderly or disabled or elderly only)

For specified general occupancy developments

For certain parts of developments; e.g., the high-rise portion

- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

**5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

**A. PHA Management Structure**

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

**B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing	348	126
Section 8 Vouchers	19	3
Section 8 Certificates	n/a	n/a
Section 8 Mod Rehab	n/a	n/a
Special Purpose Section 8 Certificates/Vouchers (list individually)	n/a	n/a
Public Housing Drug Elimination Program (PHDEP)	n/a	n/a
Other Federal Programs(list		

individually)		
Shelter + Care	17	2

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- a. Tenant Handbook
- b. Personnel Policy
- c. Compensatory Time Policy
- d. Procurement Policy
- e. Asset Capitalization, Depreciation, and Disposition
- f. Maintenance Plan
- g. Investment Policy
- h. Computer Network Use Policy
- i. List of Tenant Charges
- j. Pet Policy
- k. Community Service Policy
- l. Key Policy
- m. Union Contract
- n. Credit Card Policy
- o. Transfer Policy
- p. Admissions and Occupancy Policy
- q. Child Abuse Reporting Policy
- r. VAWA Policy

(2) Section 8 Management: (list below)

Section 8 Administrative Plan

## 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

**B. Section 8 Tenant-Based Assistance**

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

**7. Capital Improvement Needs**

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

**A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

**(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) mt003a01

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

**(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name mt003b01)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

**B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)  
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
  - Revitalization Plan under development
  - Revitalization Plan submitted, pending approval
  - Revitalization Plan approved
  - Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?  
If yes, list development name/s below:

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?  
If yes, list developments or activities below:

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?  
If yes, list developments or activities below:

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<b>Demolition/Disposition Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>
1a. Development name: Rosalie Manor 1b. Development (project) number: MT00302
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (09/13/2005)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 66 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: ) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: ) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent

<input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)
--

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description (Complete one for each development affected)</b>	
1a. Development name:	Sattered sites
1b. Development (project) number:	MT00306
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application

4. Date Homeownership Plan/Program approved, submitted, or planned for submission:

09/17/2004

5. Number of units affected: 12

6. Coverage of action: (select one)

Part of the development

Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

25 or fewer participants

26 - 50 participants

51 to 100 participants

more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

#### 1. Cooperative agreements:

- Yes  No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

#### 2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals  
 Information sharing regarding mutual clients (for rent determinations and otherwise)  
 Coordinate the provision of specific social and self-sufficiency services and programs to eligible families  
 Jointly administer programs  
 Partner to administer a HUD Welfare-to-Work voucher program  
 Joint administration of other demonstration program  
 Other (describe)

### **B. Services and programs offered to residents and participants**

#### **(1) General**

##### a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies  
 Public housing admissions policies  
 Section 8 admissions policies  
 Preference in admission to section 8 for certain public housing families  
 Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA  
 Preference/eligibility for public housing homeownership option participation  
 Preference/eligibility for section 8 homeownership option participation  
 Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

<b>Services and Programs</b>				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>ROSS – Neighborhood Networks</i>	<i>30</i>	<i>Enrollment in classes</i>	<i>PHA main office and computer labs</i>	<i>Public housing resident</i>
<i>ROSS – Elderly and Disabled</i>	<i>60</i>	<i>Waiting list</i>	<i>PHA main office and development offices</i>	<i>Elderly or disabled public housing resident</i>

**(2) Family Self Sufficiency program/s**

a. Participation Description

<b>Family Self Sufficiency (FSS) Participation</b>		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	0	3
Section 8	0	0

b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

### C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

<b>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</b>
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### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

#### A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti

- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)  
RASS survey

3. Which developments are most affected? (list below)  
Silver Bow Homes

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)  
Silver Bow Homes

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

Silver Bow Homes

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

**16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

- 1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
- 3.  Yes  No: Were there any findings as the result of that audit?
- 4.  Yes  No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? \_\_\_\_
- 5.  Yes  No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

**17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

- 1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
- 2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable

- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)

3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name) mt003j01
  - Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
  - The PHA changed portions of the PHA Plan in response to comments  
List changes below:
  - Other: (list below)

### **B. Description of Election process for Residents on the PHA Board**

1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

### **3. Description of Resident Election Process**

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
  - Candidates could be nominated by any adult recipient of PHA assistance
  - Self-nomination: Candidates registered with the PHA and requested a place on ballot
  - Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

### C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

State of Montana

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The Certification of Consistency with the Consolidated Plan for the State of Montana is attached.

### D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

## Attachments

Use this section to provide any additional attachments referenced in the Plans.

- A. FY 2007 Capital Fund Program Annual Statement
- B. FY 2007 Capital Fund Five Year Plan
- C. Admissions Policy for Deconcentration
- D. List of Resident Advisory Board Members
- E. Resident Board Member
- F. Community Service Description of Implementation
- G. Information on Pet Policy
- H. Description of Homeownership Programs
- I. PHA Organizational Chart
- J. Comments of Resident Advisory Board
- K. VAWA Policy
- L. Definition of Substantial Deviation
- M. Capital Fund Performance and Evaluation Reports
- N. Certifications
- O. Assessment of Site-Based Waiting List Development Demographics
- P. Asset Management Activities

**Annual Statement/Performance and Evaluation Report  
 Captial Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

PHA Name: <b>Public Housing Authority of Butte, Montana</b>	Grant Type and Number: Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2007</b>
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Original Annual Statement     Reserve for Disasters/Emergencies     Revised Annual Statement (Revision Number: )  
 Performance and Evaluation Report for Period Ending:     Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations	\$30,000.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$62,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$50,000.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$26,500.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$13,500.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$231,000.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$34,000.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$15,000.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$5,500.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$5,000.00	\$0.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collaterization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$1,052.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant (Sum of lines 2 - 19)	\$473,552.00	\$0.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$37,000.00	\$0.00	\$0.00	\$0.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

(1) To be completed for the Performance and Evaluation Report or a Revised Annual St (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2007</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Operations</u></b>							
PHA-Wide	Modernization Funds for Operations	1406	LS	\$30,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1406</b>			<b>\$30,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Management Improvements</u></b>							
PHA-Wide	Security Services and Reimbursement of Local Law Enforcement	1408	LS	\$37,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Commissioner and Staff Training	1408	LS	\$15,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Professional Services for Consulting, Training, and Technical Assistance	1408	LS	\$10,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1408</b>			<b>\$62,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2007</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Administration</u></b>							
PHA-Wide	Salary for Modernization Coordinator	1410	LS	\$50,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1410</b>			<b>\$50,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Fees and Costs</u></b>							
PHA-Wide	Professional Services Related to Architecture, Engineering and Construction Management	1430	LS	\$24,500.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Sundry Costs Related to Modernization	1430	LS	\$2,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1430</b>			<b>\$26,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Public Housing Authority of Butte, Montana			<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>2007</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Site Improvements</u></b>							
MT 3-1	Replace Carpet / Tile in Community Room	1450	135 SY	\$3,000.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Replace Security Gate for Playground	1450	1 EA	\$5,000.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Replace Site Sidewalks as Needed	1450	125 SY	\$1,000.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Update Security Cameras	1450	LS	\$4,500.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1450</b>			<b>\$13,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350107 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2007		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u><b>Dwelling Structures</b></u>							
MT 3-1	Replace Window Coverings	1460	213 DU	\$81,000.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Paint Porch Rails and Poles	1460	213 DU	\$35,000.00	\$0.00	\$0.00	\$0.00	
MT 3-2	Modernize Kitchens	1460	30 DU	\$70,000.00	\$0.00	\$0.00	\$0.00	
MT 3-4	Moderinize Bathrooms	1460	30 DU	\$45,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1460</b>	1460		<b>\$231,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

<b>Annual Statement/Performance and Evaluation Report</b> <b>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</b> <b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Public Housing Authority of Butte, Montana			<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>2007</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b><u>Dwelling Equipment</u></b>								
PHA-Wide	Replace Refrigerators (30 Each)	1465	30 EA	\$12,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Replace Stoves (50 Each)	1465	50 EA	\$10,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Replace Hot Water Tanks (30 Each)	1465	30 EA	\$12,000.00	\$0.00	\$0.00	\$0.00	
<b>Subtotal Account 1465</b>				<b>\$34,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350107 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2007		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Nondwelling Structures</u></b>							
MT 3-1	Repair/Replace front and Back Stoops	1470	LS	\$5,000.00	\$0.00	\$0.00	\$0.00	
MT 3-2	Replace Community Room Tables / Chairs	1470	10 EA	\$4,000.00	\$0.00	\$0.00	\$0.00	
MT 3-4	Replace Common Area Carpet	1470	LS	\$6,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1470</b>			<b>\$15,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Non-Dwelling Equipment</u></b>							
PHA-Wide	Replace Maintenance Tools and Equipment as Needed	1475	LS	\$3,000.00	\$0.00	\$0.00	\$0.00	
PHA-Wide	Replace Computer and Communications Equipment as Needed	1475	LS	\$2,500.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1475</b>			<b>\$5,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350107</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2007</b>
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Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Relocation</u></b>							
PHA-Wide	Temporary Relocation Costs During Modernization	1495	123 DU	\$5,000.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1495</b>			<b>\$5,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Contingency</u></b>							
PHA-Wide	Contingency Costs	1502	LS	\$1,052.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1502</b>			<b>\$1,052.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>GRANT TOTAL</b>			<b>\$473,552.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350107 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2007
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA -Wide 1406	07/18/09			07/18/11			
PHA-Wide 1408	07/18/09			07/18/11			
PHA-Wide 1410	07/18/09			07/18/11			
PHA-Wide 1430	07/18/09			07/18/11			
MT 3-1 -- 1460	07/18/09			07/18/11			
MT 3-2 -- 1460	07/18/09			07/18/11			
MT 3-3 -- 1460	07/18/09			07/18/11			
MT 3-6 -- 1460	07/18/09			07/18/11			
MT 3-1 -- 1470	07/18/09			07/18/11			
MT 3-2 --1470	07/18/09			07/18/11			
PHA-Wide 1465	07/18/09			07/18/11			
PHA-Wide 1475	07/18/09			07/18/11			
PHA-Wide 1495	07/18/09			07/18/11			
PHA-Wide 1502	07/18/09			07/18/11			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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# Capital Fund Program Five-Year Action Plan

## Part I: Summary

PHA Name: Public Housing Authority of Butte, Montana				[ X ] Original 5-Year Plan [ ] Revision No:	
Development Number / Name HA - Wide	Year 1 [See Annual Statement]	Work Statement for Year 2 FFY Grant: 2007 PHA FY: 2008	Work Statement for Year 3 FFY Grant: 2008 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2009 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2010 PHA FY: 2011
PHA-Wide 1406		\$30,000	\$30,000	\$30,000	\$30,000
PHA-Wide 1408		\$52,000	\$53,000	\$54,000	\$55,000
PHA-Wide 1410		\$50,000	\$50,000	\$50,000	\$50,000
PHA-Wide 1430		\$32,000	\$32,000	\$32,000	\$32,000
MT 3-1		\$110,052	\$88,500	\$152,000	\$273,000
MT 3-2		\$140,000	\$167,052	\$0	\$17,000
MT 3-3		\$0	\$5,500	\$92,022	\$5,500
MT 3-4		\$0	\$10,500	\$13,000	\$0
MT 3-6		\$19,500	\$0	\$0	\$0
PHA-Wide 1450		\$0	\$8,500	\$0	\$0
PHA-Wide 1465		\$33,000	\$23,000	\$20,000	\$0
PHA-Wide 1475		\$5,500	\$5,500	\$30,500	\$5,500
PHA-Wide 1495		\$1,500	\$0	\$0	\$5,000
PHA-Wide 1502		\$0	\$0	\$0	\$552
CFP Funds Listed for 5-Year Planning		\$473,552	\$473,552	\$473,522	\$473,552
Replacement Housing Factor Funds		\$0	\$0	\$0	\$0

**Capital Fund Program Five-Year Action Plan**  
**Part II: Supporting Pages -- Work Activities**

Year 1 [See Annual Statement]	Activities for Year: 2 FFY Grant: 2008 PHA FY: 2009			Activities for Year: 3 FFY Grant: 2009 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	PHA-Wide [1406]	Modernization Funds for Operations	\$30,000	PHA-Wide[1406]	Modernization Funds for Operations	\$30,000
	PHA-Wide [1408]	Security/Reimbursement of Local Law Enforcement	\$27,000	PHA-Wide [1408]	Security/Reimbursement of Local Law Enforcement	\$28,000
	PHA-Wide [1408]	Commissioner and Staff Training	\$15,000	PHA-Wide[ 1408]	Commissioner and Staff Training	\$15,000
	PHA-Wide [1408]	Professional Services for Consulting, Training, and Technical Assistance	\$10,000	PHA-Wide [1408]	Professional Services for Consulting, Training, and Technical Assistance	\$10,000
	PHA-Wide [1410]	Salary for Modernization Coordinator	\$50,000	PHA-Wide [1410]	Salary for Modernization Coordinator	\$50,000
	PHA- Wide [1430]	Professional Services Related to Architecture, Engineering, and Construction Management	\$30,000	PHA- Wide [1430]	Professional Services Related to Architecture, Engineering, and Construction Management	\$30,000
	PHA-Wide[1430]	Sundry Costs Related to Modernization	\$2,000	PHA-Wide [1430]	Sundry Costs Related to Modernization	\$2,000

Year 1 [See Annual Statement]	Activities for Year: 2 FFY Grant: 2008 PHA FY: 2009			Activities for Year: 3 FFY Grant: 2009 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	MT 3-1 [1450]	Prepare and Pave North Lot Curtis Street	\$6,000.00	MT 3-1 [1450]	Repair / Replace Arizona Street Fence (800 LF)	\$6,000
	MT 3-1 [1450]	Prepare and Pave Lot Arizona Street	\$4,000.00	MT 3-4 [1450]	Update and Expand Security Cameras	\$5,500
	MT 3-1 [1450]	Update Playground and Equipment	\$11,052	PHA-Wide [1450]	Replace Site Sidewalks as Needed	\$2,000
	MT 3-6 [1450]	Repair / Replace Concrete Wall @ 911 West Mercury	\$4,500	PHA-Wide [1450]	Replace Parking Bumper Blocks	\$1,500
	MT 3-1 [1460]	Replace Tub Surrounds ( 213 Units)	\$50,000	PHA-Wide [1450]	Replace overgrown and add new Shrubbery and Trees	\$5,000
	MT 3-2 [1460]	Replace Carpet as Needed	\$10,000	MT 3-1 [1460]	Install Security Screens on Second Story Windows	\$60,000
	MT 3-2 [1460]	Modernize Bathroom (33 Units)	\$45,000	MT 3-1 [1460]	Repair, Replace, Paint Exterior siding	\$15,000
		-Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, adding a wall cabinet and replacing the shower head		MT 3-2 [1450]	Install 25' X 35' Entrance Canopy over Entrance Drive	\$20,000

Year 1 [See Annual Statement]	Activities for Year: 2 FFY Grant: 2008 PHA FY: 2009			Activities for Year: 3 FFY Grant: 2009 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	MT 3-2 [1460]	Modernize Kitchen (33 Units) -Above includes removing 1/2 wall and refinishing, replacing base and wall cabinets and countertops, and replacing vinyl tile with ceramic	\$75,000	MT 3-3 [1450]	Resurface and Reseal Lots and Drives	\$5,500
	MT 3-1 [1460]	Install new Low-Flow Toilets (213 units)	\$31,000	MT 3-2 [1460]	Modernize Kitchen (33 Units) -Above includes removing 1/2 wall and refinishing, replacing base and wall cabinets and countertops, and replacing vinyl tile with ceramic	\$75,000
	MT 3-6 [1460]	Repairs Necessary for the Implementation of Homeownership Plan	\$15,000	MT 3-2 [1460]	Modernize Bathroom (33 Units)  -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, adding a wall cabinet and replacing the shower head	\$45,000
	MT 3-2 [1465]	Retube One Mohawk Boiler	\$5,000	MT 3-2 [1460]	Repair or Paint White Extiror Wall Panels	\$10,000
	MT 3-1 [1470]	Replace Carpet in Main Office (150 Sq Yards]	\$3,000	MT 3-2 [2460]	Replace Kitchen and Bath Faucets (66 units)	\$14,552
	MT 3-2 [1470]	Replace Common Area Carpet	\$5,000	MT 3-2 [1450]	Replace Water Lines as Needed	\$2,500

Year 1 [See Annual Statement]	Activities for Year: 2 FFY Grant: 2008 PHA FY: 2009			Activities for Year: 3 FFY Grant: 2009 PHA FY: 2010		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	PHA-Wide 1465	Replace Refrigerators (30 Each)	\$10,000	MT 3-1 [1465]	Move Sprinkler Clocks From Crawl Space to Exterior of Building (19 units)	\$1,500
	PHA-Wide 1465	Replace Stoves (50 Each)	\$10,000	MT 3-4 [1465]	Retube One Mohawk Boiler	\$5,000
	PHA-Wide 1465	Replace Interior Doors (50 Each)	\$3,000	PHA-Wide 1465	Replace Refrigerators (30 Each)	\$10,000
	PHA-Wide 1465	Replace Hot Water Tanks (30 Each)	\$10,000	PHA-Wide 1465	Replace Stoves (50 Each)	\$10,000
	MT 3-1 [1470]	Repair/Replace front and rear stoops and steps as needed.	\$5,000	PHA-Wide 1465	Replace Interior Doors (50 Each)	\$3,000
	PHA-Wide 1475	Replace Maintenance Tools and Equipment As Needed	\$3,000	MT 3-1 [1470]	Re-point Brick Seal and Paint New Deal	\$6,000
	PHA-Wide 1475	Replace Computer and Communications Equipment As Needed	\$2,500	PHA-Wide 1475	Replace Maintenance Tools and Equipment As Needed	\$3,000
	PHA-Wide 1495	Temporary Relocation Costs During Modernization	\$1,500	PHA-Wide 1475	Replace Computer and Communications Equipment As Needed	\$2,500
	<b>Total CFP Estimated Cost</b>		<b>\$473,552</b>	<b>Total CFP Estimated Cost</b>		<b>\$473,552</b>

Year 1 [See Annual Statement]	Activities for Year: 4 FFY Grant: 2010 PHA FY: 2011			Activities for Year: 5 FFY Grant: 2011 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	PHA-Wide 1406	Modernization Funds for Operations	\$30,000	PHA-Wide 1406	Modernization Funds for Operations	\$30,000
	PHA-Wide 1408	Security/Reimbursement of Local Law Enforcement	\$29,000	PHA-Wide 1408	Security/Reimbursement of Local Law Enforcement	\$30,000
	PHA-Wide 1408	Commissioner and Staff Training	\$15,000	PHA-Wide 1408	Commissioner and Staff Training	\$15,000
	PHA-Wide 1408	Professional Services for Consulting, Training, and Technical Assistance	\$10,000	PHA-Wide 1408	Professional Services for Consulting, Training, and Technical Assistance	\$10,000
	PHA-Wide 1410	Salary for Modernization Coordinator	\$50,000	PHA-Wide 1410	Salary for Modernization Coordinator	\$50,000
	PHA- Wide 1430	Professional Services Related to Architecture, Engineering, and Construction Management	\$30,000	PHA- Wide 1430	Professional Services Related to Architecture, Engineering, and Construction Management	\$30,000
	PHA-Wide 1430	Sundry Costs Related to Modernization	\$2,000	PHA-Wide 1430	Sundry Costs Related to Modernization	\$2,000

Year 1 [See Annual Statement]	Activities for Year: 4 FFY Grant: 2010 PHA FY: 2011			Activities for Year: 5 FFY Grant: 2011 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	MT 3-1 [1450]	Repave Parking Lots (6000 SF)	\$12,000	MT 3-1 [1460]	Modernize Bathrooms (72 Units) -Above includes replacing lavatory with lavatory and vanity, replacing commode, replacing vinyl tile with ceramic, replacing bathroom accessories, replacing the shower head, and repainting	\$108,000
	MT 3-1 [1450]	Modernize Ohio Street Park Above includes installing Water Park, Control Building, Gazebo and Playground	\$130,000	MT 3-1 [1460]	Modernize Kitchens (72 Units) -Above includes replacing base and wall cabinets and countertops, and installing new sink and faucet	\$165,000
	MT 3-3 [1460]	Repalce Windows (35 Units)	\$70,000	MT 3-3 [1460]	Install Rain Gutter (14 Buildings)	\$5,500
	MT 3-3- {1460}	Install Carpet Livingrooms & Bedrooms (30 Units)	\$19,022	MT 3-2 [1470]	Paint all Common Area Walls and Trim	\$17,000
	MT 3-1 [1465]	Replace Smoke Detectors (213 units)	\$10,000			
	MT 3-3- [1465]	Replace Smoke Detectors (35 units)	\$3,000			
	MT 3-4 [1470]	Paint all Common Area Walls and Trim	\$13,000			

Year 1 [See Annual Statement]	Activities for Year: 4 FFY Grant: 2010 PHA FY: 2011			Activities for Year: 5 FFY Grant: 2011 PHA FY: 2012		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
	PHA-Wide 1465	Replace Refrigerators (30 Each)	\$10,000	PHA-Wide 1475	Replace Maintenance Tools and Equipment As Needed	\$3,000
	PHA-Wide 1465	Replace Stoves (50 Each)	\$10,000	PHA-Wide 1475	Replace Computer and Communications Equipment As Needed	\$2,500
	PHA-Wide 1475	Replace Maintenance Vehicles	\$25,000	PHA-Wide 1495	Temporary Relocation Costs During Modernization	\$5,000
	PHA-Wide 1475	Replace Maintenance Tools and Equipment As Needed	\$3,000	PHA-Wide 1502	Contingency Costs	\$552
	PHA-Wide 1475	Replace Computer and Communications Equipment As Needed	\$2,500			
	<b>Total CFP Estimated Cost</b>		<b>\$473,522</b>	<b>Total CFP Estimated Cost</b>		<b>\$473,552</b>

## **ATTACHMENT C**

### **ADMISSIONS POLICY FOR DECONCENTRATION**

#### **DECONCENTRATION OF POVERTY AND INCOME-MIXING**

The PHA's admission policy is designed to provide for deconcentration of poverty and income mixing by bringing higher income tenants into lower income projects and lower income tenants into higher income projects.

Nothing in the deconcentration policy relieves the PHA of the obligation to meet the income-targeting requirement.

Gross annual income is used for income limits at admission and for income-mixing purposes.

#### **Deconcentration and Income-Mixing Goals**

The PHA's deconcentration and income-mixing goal, in conjunction with the requirement to target at least 40 percent of new admissions to public housing in each fiscal year to "extremely low-income families" will be to admit families above the PHA's Established Income Range (EIR) to developments below the EIR, and families below the PHA's EIR to developments above the EIR.

Deconcentration applies to transfer families as well as applicant families.

#### **Deconcentration Applicability**

The PHA has covered developments (general occupancy, family developments) subject to the deconcentration requirement. These covered developments are described in the PHAB Plan.

Annually, the PHA will determine on an annual basis the average income of all families residing in general occupancy developments.

The PHA will then determine the average income of all families residing in each general occupancy development.

The PHA will then determine whether each general occupancy development falls above, within or below the Established Income Range (EIR).

The EIR is 85 percent of 115 percent (inclusive of 85 percent and 115 percent) of the PHA-wide average income for general occupancy developments.

The PHA will then determine whether or not developments outside the EIR are consistent

with local goals and strategies in the PHA Plan.

The PHA may explain or justify the income profile for these developments as being consistent with and furthering two sets of goals:

1. Goals of deconcentration of poverty and income mixing (bringing higher income families into lower income developments and vice versa); and
2. Local goals and strategies contained in the PHA Plan.

### **Deconcentration Policy**

If, at annual review, there are found to be development(s) with average income above or below the EIR, and where the income profile for a general occupancy development above or below the EIR is not explained or justified in the PHA Plan, the PHA shall list these covered developments in the PHA Annual Plan.

The PHA shall adhere to the following policies for deconcentration of poverty and income mixing in applicable developments:

Skipping a family on the waiting list or the transfer list to reach another family in an effort to further the goals of the PHA's deconcentration policy:

If a unit becomes available at a development below the EIR, the first eligible family on the waiting list or transfer list with income above the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list or transfer list with income above the EIR will be offered the unit. The process will continue in this order. For the available unit at the development below the EIR, if there is no family on the waiting list or the transfer list with income above the EIR, or no family with income above the EIR accepts the offer, then the unit will be offered to the first eligible family on the waiting list or the transfer list in preference order regardless of income.

If a unit becomes available at a development above the EIR, the first eligible family on the waiting list or transfer list with income below the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list or transfer list with income below the EIR will be offered the unit. The process will continue in this order. For the available unit at the development above the EIR, if there is no family on the waiting list or the transfer list with income below the EIR, or no family with income below the EIR accepts the offer, then the unit will be offered to the first eligible family on the waiting list or the transfer list in preference order regardless of income.

Skipping of families for deconcentration purposes will be applied uniformly to all families.

A family has the sole discretion whether to accept an offer of a unit made under the PHA's deconcentration policy. The PHA shall not take any adverse action toward any eligible family for choosing not to accept an offer of a unit under the PHA's deconcentration policy. However, the PHA shall uniformly limit the number of offers received by applicants, and transfer families, described in this Chapter.

### **Deconcentration Compliance**

If, at annual review, the average incomes at all general occupancy developments are within the Established Income Range, the PHA will be considered to be in compliance with the deconcentration requirement.

Attachment D

Membership of the Resident Advisory Board

NAME	ADDRESS
Lyle Adams	1125 S. Main Street, Apt. 406, Butte, MT
David Panchot	50 W. Broadway, Apt. 303, Butte, MT
Jackie Smith	106 Silver Bow Homes, Butte, MT
Dorothy DeNeal	1125 S. Main Street, Apt. 206, Butte, MT
John From	1528 Elm Street, Butte, MT

Attachment E

Resident Membership of the PHA Governing Board

Resident

Address

Betty Hall

304 Silver Bow Homes, Butte, MT 59701

Appointed by city's Chief Executive

Term: appointed 7/2006, term expires 10/2010, completing a term formerly held by Michele Verrall

## Attachment F

### Public Housing Authority of Butte COMMUNITY SERVICE DESCRIPTION OF IMPLEMENTATION

The Quality Housing and Work Responsibility Act was signed into law in October 1998. One of the requirements contained in the Act for housing authorities is to implement a community service requirement for adult residents of public housing.

Section 512 of the Act states, "Each adult resident of a public housing project shall (A) contribute 8 hours per month of community service (not including political activities) within the community in which that adult resides; or (B) participate in an economic self-sufficiency program for 8 hours per month."

There are exemptions from this policy for an individual who:

- A. Is 62 years of age or older;
- B. Is a blind or disabled individual, as defined under section 216(i)(1) or 1614 of the Social Security Act (42 U.S.C. 416(i)(1); 1382c), and who is unable to comply with this section, or is a primary caretaker of such individual;
- C. Is engaged in a work activity. From the 42 U.S.C. Social Security Act 607 (d), work activities are defined as:
  - a. Unsubsidized employment
  - b. Subsidized private sector employment
  - c. Subsidized public sector employment
  - d. Work experience
  - e. On-the-job training
  - f. Job search and job readiness assistance
  - g. Community service programs
  - h. Vocational educational training (not to exceed 12 months with respect to any individual)
  - i. Job skills training directly related to employment
  - j. Education directly related to employment, in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency
  - k. Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalence, in the case of a recipient who has not completed secondary school or received such a certificate
  - l. The provision of child care services to an individual who is participating in a community service program
- D. Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.) or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-to-work program, or;
- E. Is in a family receiving assistance under a State program funded under part A of title IV of the Social Security Act or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-

to-work program and has not been found by the State or other administering entity to be in noncompliance with such program.

A review of tenant files will determine who is elderly and who is disabled according to documentation in the tenant's file. If there is no current documentation of an eligible exemption in a tenant's file the tenant will be required to provide documentation of the exemption for which the tenant believes he or she is qualified. If a tenant becomes eligible for an exemption during the lease term the tenant will be required to provide verification and documentation of the exemption.

At the family's annual recertification the housing authority will review and determine the compliance of each individual required to complete 8 hours of community service each month. If the housing authority determines that an individual required to complete 8 hours of community service monthly has not complied with the requirement the housing authority will notify the resident:

- 1) Of such noncompliance
- 2) That the determination of noncompliance is subject to the administrative grievance procedure
- 3) That, unless the resident enters into an agreement with the housing authority to correct the noncompliance, the resident's lease will not be renewed.

The housing authority may not renew or extend any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who was subject to the community service work requirement and failed to comply with the requirement.

Every adult resident required to comply with the community service requirement will be required to have documentation of community service hours worked monthly and signed by the supervisor responsible for the community service work in order to verify that the individual has complied with the community service requirement. The documentation should be submitted monthly to the main office of the housing authority. At the family's annual recertification the documentation will be reviewed to determine compliance with the community service requirement. It is the responsibility of the individual to submit the documentation monthly and to ensure that the eight hours per month requirement is met. The housing authority will notify the individuals who are required to comply with the community service requirement but will not remind the individuals monthly about the need to complete the eight hours of community service.

Community service hours may be completed in any location within Silver Bow County as long as the site where the community service will be done is approved by the housing authority in advance of the community service hours being completed. In no case will the community service supplant a regularly paid employee's work, including a public housing authority employee.

The housing authority will have community service hours available in the maintenance department of the housing authority and may have hours available in the Resident

Council office and in the housing authority's breakfast program and after school program.

All public housing residents over the age of 18 will be required to sign a Community Service Lease Addendum at the time of moving into public housing.



## ATTACHMENT G

### PUBLIC HOUSING AUTHORITY OF BUTTE PET POLICY

1. Purpose:

In compliance with 24 CFR Part 5, Subpart C – Pet Ownership for the Elderly or Persons with Disabilities, and Section 526 of the Quality Housing and Work Responsibility Act of 1998, the Public Housing Authority of Butte will permit residents to own and keep common household pets in their apartment or house.

The purpose of the policy is to ensure that pet ownership will not be injurious to persons or property, or violate the rights of all residents to clean, quiet, and safe surroundings.

2. Screening/Registration:

Pet owners must complete a Pet Application and Registration form before the pet will be allowed in the apartment or house. If the pet is either a cat or dog, a current photograph should be attached. Every pet must be registered with the Public Housing Authority of Butte prior to moving the pet into the unit and updated annually thereafter. Registration requires the following:

- A. A certificate signed by a licensed veterinarian stating that the animal has received all inoculations required by state and local law.
- B. Proof of current license, if applicable (cats and dogs).
- C. Identification tag bearing the owner's name, address and phone number.
- D. Proof of neutering/spaying, if applicable (cats and dogs).
- E. Photograph of pet.
- F. The name, address, and phone number of a responsible party that will care for the pet if the owner becomes temporarily incapacitated.

3. Permissible Pets:

Only **ONE** of the following is allowed in each unit.

- A. **BIRDS:** Including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. (There is a \$50.00 pet deposit required for a bird).
- B. **FISH:** Tanks or aquariums are not to exceed 20 gallons in capacity. Poisonous or dangerous fish are not permitted. Only one (1) tank or aquarium is permitted per apartment or house. (The \$200.00 deposit only applies to aquariums that are 20 gallons. Any aquarium less than 20 gallons will not be charged a deposit.)
- C. **DOGS:** Not to exceed twenty (20) pounds at time of maturity. All dogs must be neutered or spayed. Only one (1) dog is permitted per apartment or house.
- D. **CATS:** All cats must be neutered or spayed. No wild cats are permitted. Only one (1) cat is permitted per apartment or house.
- E. **CAGED ANIMALS:** Only guinea pigs, hamsters, and gerbils are allowed. Only two (2)-caged animals are permitted per apartment or house. (There is a \$50.00 deposit required for caged animals.)



F. **EXOTIC/UNCONVENTIONAL ANIMALS:** Pets such as snakes, reptiles, monkeys, farm animals, etc., are not allowed.

4. Pet Deposit:

Every resident who decides to have a pet must pay a refundable pet deposit in the amount of \$200.00 at the time the pet is registered with the Housing Authority. If the pet is a bird or a caged animal the deposit is only \$50.00.

5. Restrictions:

- A. Pets shall not be kept, bred or used for any commercial purpose.
- B. Pets must be confined to the pet owner's apartment or house, must not be allowed to roam free, and may not be tied unattended in any common area.
- C. All dogs must be on a leash when not in the owner's apartment or house. The leash must not be longer than three (3) feet. Cats must be in a caged container or on a leash when taken out of the owner's apartment or house. Birds must be in a cage when inside of the resident's apartment or house, or when entering or leaving the building.
- D. Persons who walk pets are responsible for immediately cleaning up after their animals, and discarding securely bagged pet droppings into the dumpsters. Cat litter may not be disposed of in toilets, nor may any pet waste be dropped down trash chutes unless securely bagged.
- E. Doghouses are not allowed on Housing Authority property. Pet carriers and enclosed kennels may be kept in the apartment or house.
- F. Pet owners will be required to make arrangement for their pets in the event of vacation or hospitalization.
- G. No pet shall be allowed to become a nuisance or create any unreasonable disturbance. Examples of nuisance behavior for the purposes of this paragraph are:
  - 1) Personal injury or property damage caused by unruly behavior.
  - 2) Pets who make noise continuously and/or incessantly for a period of 10 minutes or intermittently for ½ hour or more to the disturbance of any person at any time of day or night.
  - 3) Pets in common areas that are not in complete control of a responsible human companion, and on a short hand-held leash or in a pet carrier.
  - 4) Animals who relieve themselves on walls or floors of common areas.
  - 5) Animals who exhibit aggressive or vicious behavior.
  - 6) Pets who are conspicuously unclean or parasite-infested.

6. Sanitary Standards and Waste Disposal:

- A. Litter boxes must be provided for cats with use of odor-reducing chemicals.
- B. Fur-bearing pets must be flea-free. Should flea extermination become necessary, the cost of such extermination will be charged to the pet owner.
- C. In accordance with City Code, pet owners are responsible for immediate removal of the feces of their pet and shall be charged in instances of removal of pet feces by staff and where damages occur to Housing Authority property due to the pet.



More than three (3) such charges during a twelve- (12) month period may be cause for pet removal.

- 1) All pet waste must be placed in a plastic bag and tied securely to reduce odor and placed in designated garbage containers.
- 2) Residents with litter boxes must clean them regularly. Non-compliance may result in the removal of the pet. Litter box garbage shall be placed in a secured plastic bag and deposited outside the building in the garbage container.

D. All apartments or houses with pets must be kept free of pet odors and maintained in a clean and sanitary manner. A housekeeping inspection shall be conducted after 30 days of pet moving into the household. If the household fails the housekeeping inspection, which constitutes a failure to care for the pet in an appropriate manner, a notice of violation will be issued and the household will have seven (7) days to correct the deficiencies. Pet owners' apartments or houses may be subject to inspections once a month.

7. Pet Areas:

At no time will pets be allowed in any public area such as community space, laundry rooms, sitting rooms, etc. Pets should only be in the lobby when entering or leaving the building.

8. Pet Rule Violation and Pet Removal:

- A. If it is determined on the basis of objective facts that a pet owner has neglected to appropriately care for a pet and has violated a rule governing the pet policy, the Public Housing Authority of Butte shall serve a notice of Pet Rule Violation on the pet owner. Serious or repeated violations may result in pet removal or termination of the pet owner's tenancy, or both.
- B. If a pet poses a nuisance such as excessive noise, barking, odor, or whining, which disrupts the peace or quality of life of other residents, the owner will permanently remove the pet from the premises upon request of management within forty-eight (48) hours. Nuisance complaints regarding pets are subject to immediate inspections.
- C. If a pet owner becomes unable either through hospitalization, or illness, to care for the pet and the person so designated to care for the pet in the pet owner's absence refuses or is unable physically to care for the pet, the Public Housing Authority of Butte can officially remove the pet. Animal control will be called to remove the pet. The Housing Authority accepts no responsibility for pets removed.

9. Rule Enforcement:

Violation of these pet rules will prompt a written notice of violation. The pet owner will have seven (7) days to correct the violation. Failure to correct the violation will result in the immediate removal of the pet and/or the termination of the pet owner's tenancy.



10. Visitors and Guests:

No visitor or guest will be allowed to bring pets on the premises at anytime. Residents will not be allowed to pet sit, harbor, or house a pet without fully complying with this policy. Feeding or caring for stray animals is prohibited and will be considered keeping a pet without permission.

11. Liability:

Residents owning pets shall be liable for the entire amount of all damages to the Public Housing Authority of Butte premises caused by their pet and all cleaning, de-fleaing, and deodorizing required because of such pet.

12. Exceptions:

- A. **SERVICE ANIMALS:** This policy does not apply to service animals that are used to assist persons with disabilities. This exclusion applies to service animals that reside in the Housing Authority complexes, as well as service animals used to assist persons with disabilities that visit the Housing Authority complexes. Pets used for the purpose of aiding residents with disabilities must have appropriate certification.
- B. **K-9 SERVICE ANIMALS:** Police officers who have an assigned K-9 service animal are exempt from this policy.

## Attachment H

### Description of Homeownership Programs

The Public Housing Authority of Butte has an approved homeownership plan under section 32 of the U. S. Housing Act of 1937. The homeownership plan was approved by the U. S. Department of Housing and Urban Development on September 17, 2004.

The Public Housing Authority of Butte is planning to sell the twelve scattered-site, single-family homes that the housing authority owns. The current residents of the twelve single family homes proposed for the homeownership program are long time renters. The majority of the purchasers will be first time homebuyers. Some of the current renters have discussed past credit problems and most of them know little about the homebuying process. They need assistance with the entire process. Knowledge about the homebuying process will come from homebuyer classes offered by Headwaters RC & D, which all homebuyers will be required to attend. Most of the potential homebuyers will not have sufficient income to afford a home without assistance with downpayment funds, mortgage rates, or a reduced mortgage amount. The Montana Board of Housing has approved the housing authority's application for reduced interest rates which will greatly improve the homebuyers' success in obtaining affordable mortgages.

The success of the homeownership program with the Public Housing Authority of Butte will depend on many components and partners working together. The first component is a family's ability to build an escrow account that can be used for downpayment assistance. Escrow accounts are offered to all families participating in the Public Housing Authority of Butte's Family Self-Sufficiency program. As a family's earned income increases the family's monthly rent also increases. However, the amount of the rent increase paid is put into an escrow account for the family. The escrow account funds are given to the family upon successful completion of the Family Self-Sufficiency program. While these funds can be used for any purpose, many families desire to use these funds as a downpayment on a home.

The second component related to the success of the program is homebuyer classes. All of the families will be required to complete homebuyer classes offered by Headwaters RC & D. Classes are offered on a regular basis and notices of the classes are always posted in the office at the housing authority. Proof of completion of the classes will be required from any family who desires to purchase a home from the Public Housing Authority of Butte. Ongoing counseling services will be offered by the staff of the housing authority and will include monthly homebuyer meetings that will cover different topics related to homeownership.

Third, the Public Housing Authority of Butte has funds committed in its Capital Fund for any repairs needed for the homes that will be sold. An inspection of each home was conducted and some items were identified as being needed such as new boilers. Funds are designated for these repairs.

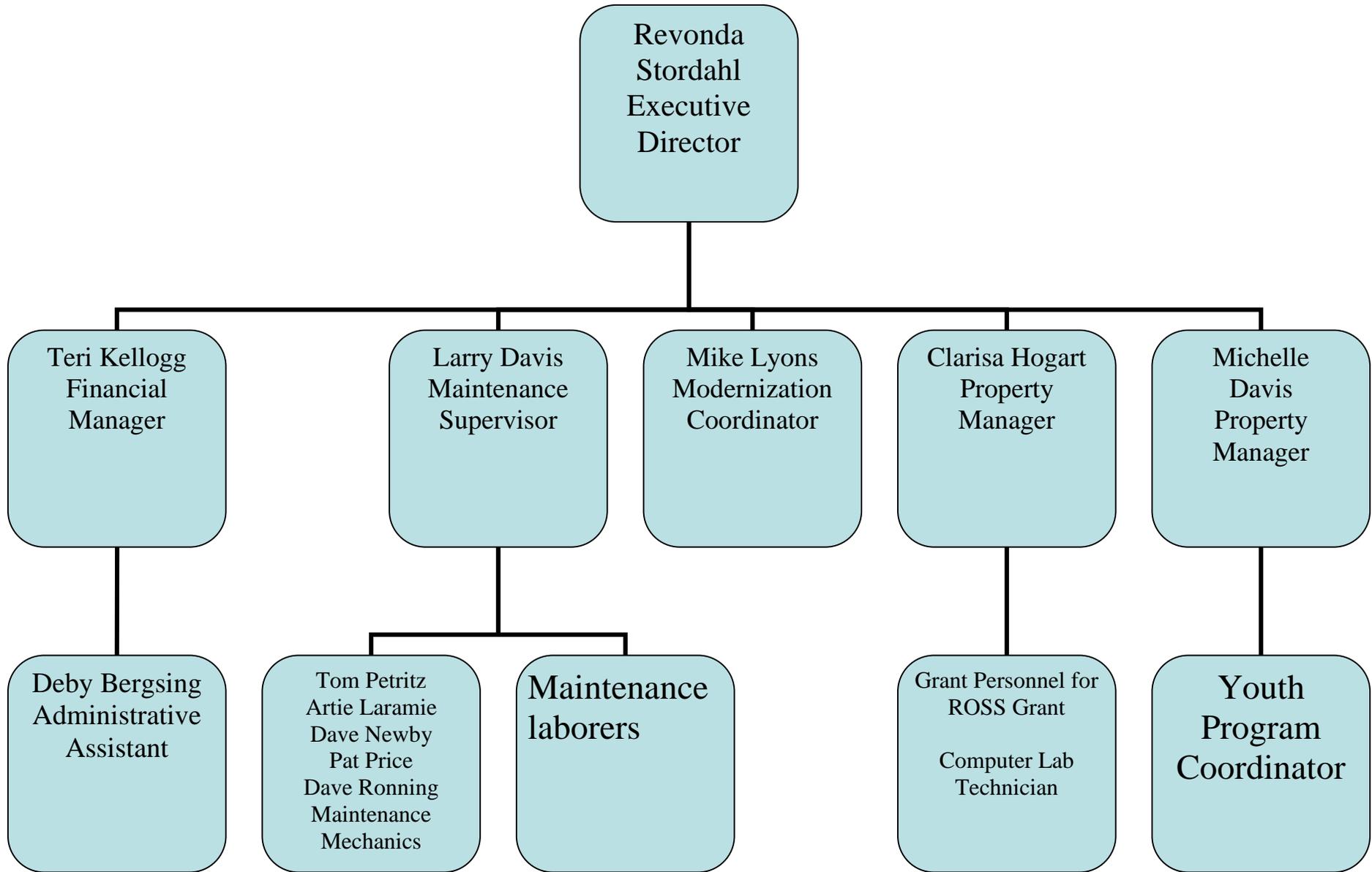
Fourth, the Public Housing Authority of Butte already owns all of the homes and has had a public housing homeownership plan approved by the U. S. Department of Housing and Urban Development. Public hearings and resident meetings were held as part of the plan development.

Fifth, the Public Housing Authority of Butte will provide maintenance services free of charge to new homeowners for a period of one year from the date of closing and will also include a home warranty as part of the sale. Depending on need, the housing authority may continue to offer maintenance services to new homeowners at a reduced cost for up to five years. As part of the counseling component, training on how to budget for capital improvements will also be provided.

Finally, the Public Housing Authority of Butte will hold a silent second mortgage on all homes. The second mortgage will be a 10-year term reduced at a rate of 10% per year. The second mortgage will be completely forgiven at the end of ten years.

It is the Public Housing Authority of Butte's goal to continue to expand homeownership opportunities for low-income families to the extent that the market can support it. Proceeds from the sale of the homes will be used to continue to purchase, rehabilitate and resell homes to the low-income population of Butte and Silver Bow County.

Attachment I Public Housing Authority of Butte Organizational Chart



Attachment I Public Housing Authority of Butte Organizational Chart

## Attachment J

Resident Advisory Board Meeting

March 14, 2007

Perkins Restaurant 5:30 pm

### Attending:

Alan From, Lyle Adams, Dorothy DeNeal, David Panchot, Jackie Smith,  
John From, Teri Kellogg, Clarisa Hogart.

The purpose of the meeting was to present and discuss the Annual Plan for the Public Housing Authority of Butte Fiscal Year beginning July 1, 2007.

Teri Kellogg began the meeting by passing out the Annual Plan to each participant. She explained the changes to the Annual Plan, emphasizing the changes in the local preferences as adopted by the Board of Commissioners.

John From was the first to comment on the new preferences, he thought that was a very good idea and the rest of the group agreed with him.

Teri went through the Capital Fund budget for 2008 and the new five year plan. The residents were asked for comment and if there was anything else they would like to see in the plan.

David Panchot stated that he would like to see new garbage cans in the trash room at the Leggat. He also stated that there are a couple of tiles in the community room that are coming up and need to be repaired, and the lock on the community room door needs to be fixed. The fire escape needs new light bulbs, the carpet in the hallways is getting bad and needs to be cleaned and they would like some circulation in the laundry room. Teri explained that there are already new carpets in the CFP budget for the Leggat. Clarisa explained that some of what he asked for is routine maintenance and would talk to Artie about them.

Clarisa explained that the community room door was new and the lock that came on it was locked from both the inside and outside, and that we felt it was a safety hazard for people inside the room to leave it locked. PHAB is working to correct the problem.

Lyle Adams would like to see the dryers work better, but that was the only comment from the residents at the Rosalie Manor.

Jackie Smith stated that she would like new washers and dryers in the laundry room and to add another wash machine. She also stated that they would like circulation in the laundry room at Silver Bow Homes. She would also like to see lids on the dumpsters. The light on the building outside 110 needs to be replaced. A work order will be put in to fix those items that maintenance can fix.

John From explained that the resident council is going to start replacing the washers and dryers at all of the sights. They will not be done all at once, but they are working on replacing them all.

John also stated that the fenced yard on Elm Street is not getting enough water on it. Clarisa stated she would talk to Artie about adjusting the sprinklers in the spring.

## **Attachment K**

# **PUBLIC HOUSING AUTHORITY OF BUTTE VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY**

## **I. Purpose and Applicability**

The purpose of this policy (herein called “Policy”) is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and more generally to set forth PHAB’s policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by PHAB of all federally subsidized public housing and Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 *et seq.*). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

## **II. Goals and Objectives**

This Policy has the following principal goals and objectives:

- A. Maintaining compliance with all applicable legal requirements imposed by VAWA;
- B. Ensuring the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by PHAB ;
- C. Providing and maintaining housing opportunities for victims of domestic violence dating violence, or stalking;
- D. Creating and maintaining collaborative arrangements between PHAB, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by PHAB; and
- E. Taking appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by PHAB.

## **III. Other PHAB Policies and Procedures**

This Policy shall be referenced in and attached to PHAB’s Five-Year Public Housing Agency Plan and shall be incorporated in and made a part of PHAB’s Admissions and Continued Occupancy Policy. PHAB’s annual public housing agency plan shall also contain information

concerning PHAB's activities, services or programs relating to domestic violence, dating violence, and stalking.

To the extent any provision of this policy shall vary or contradict any previously adopted policy or procedure of PHAB, the provisions of this Policy shall prevail.

#### **IV. Definitions**

As used in this Policy:

A. *Domestic Violence* – The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

B. *Dating Violence* – means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.

C. *Stalking* – means –

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;

(ii) a member of the immediate family of that person; or

(iii) the spouse or intimate partner of that person;

D. *Immediate Family Member* - means, with respect to a person –

(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or

(B) any other person living in the household of that person and related to that person by blood or marriage.

E. *Perpetrator* – means person who commits an act of domestic violence, dating violence or stalking against a victim.

## V. Admissions and Screening

A. *Non-Denial of Assistance*. PHAB will not deny admission to public housing or to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

B. *Mitigation of Disqualifying Information*. When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, PHAB, may but shall not be obligated to, take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, PHAB shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. PHAB will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

## VI. Termination of Tenancy or Assistance

A. *VAWA Protections*. Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by PHAB:

1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a “serious or repeated” violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
2. In addition to the foregoing, tenancy or assistance will not be terminated by PHAB as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant’s control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:
  - (a) Nothing contained in this paragraph shall limit any otherwise available authority of PHAB or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant’s household. However, in taking any such action, neither PHAB nor a Section 8 manager or owner may apply a more

demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.

- (b) Nothing contained in this paragraph shall be construed to limit the authority of PHAB or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or PHAB, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

*B. Removal of Perpetrator.* Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, PHAB or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by PHAB. Leases used for all public housing operated by PHAB and, at the option of Section 8 owners or managers, leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by PHAB, shall contain provisions setting forth the substance of this paragraph.

## **VII. Verification of Domestic Violence, Dating Violence or Stalking**

*A. Requirement for Verification.* The law allows, but does not require, PHAB or a section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., PHAB shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by PHAB. Section 8 owners or managers receiving rental assistance administered by PHAB may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. *HUD-approved form* - by providing to PHAB or to the requesting Section 8 owner or manager a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.

2. *Other documentation* - by providing to PHAB or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

3. *Police or court record* – by providing to PHAB or to the requesting Section 8 owner or manager a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.

B. *Time allowed to provide verification/ failure to provide.* An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by PHAB, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days (*i.e.*, 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

## **VIII. Confidentiality**

A. *Right of confidentiality.* All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to PHAB or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

1. requested or consented to by the individual in writing, or
2. required for use in a public housing eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
3. otherwise required by applicable law.

B. *Notification of rights.* All tenants of public housing and tenants participating in the Section 8 rental assistance program administered by PHAB shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

## **VIII. Transfer to New Residence**

A. *Application for transfer.* In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating

violence, or stalking, PHAB will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing or Section 8 tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

- B. *Action on applications.* PHAB will act upon such an application promptly.
- C. *No right to transfer.* PHAB will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, except with respect to portability of Section 8 assistance as provided in paragraph IX. E. below the decision to grant or refuse to grant a transfer shall lie within the sole discretion of PHAB, and this policy does not create any right on the part of any applicant to be granted a transfer.
- D. *Family rent obligations.* If a family occupying PHAB public housing moves before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term unless released by PHAB. In cases where PHAB determines that the family's decision to move was reasonable under the circumstances, PHAB may wholly or partially waive rent payments and any rent owed shall be reduced by the amounts of rent collected for the remaining lease term from a tenant subsequently occupying the unit.
- E. *Portability.* Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

## **X. Court Orders/Family Break-up**

A. *Court orders.* It is PHAB's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by PHAB and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. *Family break-up.* Other PHAB policies regarding family break-up are contained in PHAB's Public Housing Admissions and Continuing Occupancy Plan (ACOP) and its Section 8 Administrative Plan.

## **XI. Relationships with Service Providers**

It is the policy of PHAB to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If PHAB staff become aware that an individual assisted by PHAB is a victim of domestic violence, dating violence or stalking, PHAB will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring PHAB either to maintain a relationship with any particular provider of shelter or services to victims of domestic violence or to make a referral in any particular case. PHAB's annual public housing agency plan shall describe providers of shelter or services to victims of domestic violence with which PHAB has referral or other cooperative relationships.

## **XII. Notification**

PHAB shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

## **XIII. Relationship with Other Applicable Laws**

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

## **XIV. Amendment**

This policy may be amended from time to time by PHAB as approved by the PHAB Board of Commissioners.

Approved January 16, 2007

## Attachment L

### Definition of Substantial Deviation to the Annual Plan or Significant Amendment or Modification

A significant amendment to the Annual Plan will include changes to rent or admissions policies and any changes with regard to demolition or disposition, designation of public housing properties, homeownership programs, or conversion activities.

## Annual Statement/Performance and Evaluation Report Captial Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Public Housing Authority of Butte, Montana</b>	Grant Type and Number: Capital Fund Program Grant No: <span style="float: right;">MT06P00350104</span> Replacement Housing Factor Grant No:	Federal FY of Grant: <span style="text-align: center;">2004</span>
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Original Annual Statement    
  Reserve for Disasters/Emergencies    
  Revised Annual Statement (Revision Number: 4 )  
 Performance and Evaluation Report for Period Ending:12-31-06    
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00
3	1408 Management Improvements	\$65,394.48	\$65,394.48	\$65,394.48	\$65,394.48
4	1410 Administration	\$45,600.00	\$45,600.00	\$45,600.00	\$45,600.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$42,680.25	\$42,680.25	\$42,680.25	\$42,680.25
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$30,491.75	\$30,491.75	\$30,491.75	\$30,491.75
10	1460 Dwelling Structures	\$288,485.56	\$284,834.58	\$284,834.58	\$261,867.72
11	1465.1 Dwelling Equipment - Nonexpendable	\$30,039.09	\$30,394.72	\$30,394.72	\$30,394.72
12	1470 Nondwelling Structures	\$21,993.95	\$21,993.95	\$21,993.95	\$21,993.95
13	1475 Nondwelling Equipment	\$21,200.02	\$24,495.37	\$24,495.37	\$18,670.02
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$1,870.90	\$1,870.90	\$1,870.90	\$1,870.90
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collaterization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$0.00	\$0.00	\$0.00	\$0.00
21	Amount of Annual Grant (Sum of lines 2 - 19)	\$557,756.00	\$557,756.00	\$557,756.00	\$528,963.79
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$27,500.00	\$27,500.00	\$27,500.00	\$27,500.00
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$109,000.00	\$109,000.00	\$109,000.00	\$99,200.00

(1) To be completed for the Performance and Evaluation Report or a Revised Annual St (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350104</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2004</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Operations</u></b>							
PHA-Wide	Modernization Funds for Operations	1406	LS	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	Completed
	<b>Subtotal Account 1406</b>			<b>\$10,000.00</b>	<b>\$10,000.00</b>	<b>\$10,000.00</b>	<b>\$10,000.00</b>	
	<b><u>Management Improvements</u></b>							
PHA-Wide	Security Services and Reimbursement of Local Law Enforcement	1408	LS	\$27,500.00	\$27,500.00	\$27,500.00	\$27,500.00	Completed
PHA-Wide	Commissioner and Staff Training	1408	LS	\$26,823.48	\$26,823.48	\$26,823.48	\$26,823.48	Completed
PHA-Wide	Professional Services for Consulting, Training, and Technical Assistance	1408	LS	\$11,071.00	\$11,071.00	\$11,071.00	\$11,071.00	Completed
	<b>Subtotal Account 1408</b>			<b>\$65,394.48</b>	<b>\$65,394.48</b>	<b>\$65,394.48</b>	<b>\$65,394.48</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.				(2) To be completed for the Performance and Evaluation Report.				
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350104</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2004</b>		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Administration</u></b>							
PHA-Wide	Salary for Modernization Coordinator	1410	LS	\$45,600.00	\$45,600.00	\$45,600.00	\$45,600.00	Completed
	<b>Subtotal Account 1410</b>			<b>\$45,600.00</b>	<b>\$45,600.00</b>	<b>\$45,600.00</b>	<b>\$45,600.00</b>	
	<b><u>Fees and Costs</u></b>							
PHA-Wide	Professional Services Related to Architecture, Engineering and Construction Management	1430	LS	\$40,772.00	\$40,772.00	\$40,772.00	\$40,772.00	Completed
PHA-Wide	Sundry Costs Related to Modernization	1430	LS	\$1,908.25	\$1,908.25	\$1,908.25	\$1,908.25	Completed
	<b>Subtotal Account 1430</b>			<b>\$42,680.25</b>	<b>\$42,680.25</b>	<b>\$42,680.25</b>	<b>\$42,680.25</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.      (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2004
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Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b><u>Site Improvements</u></b>								
MT 3-1	Install Curbing Aroud Perimeter of New Parking Areas	1450	725 LF	\$0.00	\$0.00	\$0.00	\$0.00	Completed
MT 3-1	Prepare and Pave Lots	1450	3500 SY	\$0.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Stripe Lots and Install Parking Stops	1450	64 EA	\$0.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Install New Sidewalk Along Ohio Street	1450	500 LF	\$30,491.75	\$30,491.75	\$30,491.75	\$30,491.75	
MT 3-1	Leveling and Landscaping in Park Area	1450	LS	\$0.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Install Sprinkler System in Park Area	1450	LS	\$0.00	\$0.00	\$0.00	\$0.00	
MT 3-1	Install Benches, Tables, Grills, etc.	1450	LS	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Subtotal Account 1450</b>				<b>\$30,491.75</b>	<b>\$30,491.75</b>	<b>\$30,491.75</b>	<b>\$30,491.75</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.      (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

Development Number / Name HA - Wide Activities		Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Funds Obligated	Funds Expended	
<b>PHA Name:</b> Public Housing Authority of Butte, Montana <b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350104</b> <b>Federal FY of Grant:</b> 2004 Replacement Housing Factor Grant No:									
		<b><u>Dwelling Structures</u></b>							
MT 3-1		Replace Existing Forced Air Furnaces (Phase II)	1460	45 DU	\$65,000.00	\$65,000.00	\$65,000.00	\$65,000.00	Completed
MT 3-2		Replace Carpet in Units as Needed	1460	LS	\$15,228.00	\$11,932.65	\$11,932.65	\$11,932.65	Completed
MT 3-3		Install Crawl Space Ventilation System in Each Building to Reduce Mold	1460	15 Bldgs	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	Completed
MT 3-3		Abate Mold Inside Units as Needed	1460	35 DU	\$41,379.75	\$41,379.75	\$41,379.75	\$41,379.75	Completed
MT 3-6		Rework Basement Access @ 911 West Mercury	1460	LS	\$0.00	\$0.00	\$0.00	\$0.00	Moved back to 5-Year
MT 3-6		Rework Basement Access or Build Ground Level Utility Room @ 4001 Hancock	1460	LS	\$38,844.39	\$38,844.39	\$38,844.39	\$38,844.39	Completed
MT 3-6		Replace Furnaces and Boilers as Needed	1460	4 DU	\$14,000.00	\$14,000.00	\$14,000.00	\$4,200.00	Summer 2006
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.      (2) To be completed for the Performance and Evaluation Report.									
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date					

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number:				Federal FY of Grant:		
Public Housing Authority of Butte, Montana		Capital Fund Program Grant No: <b>MT06P00350104</b> Replacement Housing Factor Grant No:				2004		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b><u>Dwelling Structures (Continued)</u></b>								
MT 3-6	Repairs Necessary for the Implementation of Homeownership Plan	1460	LS	\$14,000.00	\$14,000.00	\$14,000.00	\$3,526.00	Summer 07
MT 3-1	Replace Vent Pipes on All Boilers (Phase II)	1460	213 DU	\$31,880.44	\$31,880.44	\$31,880.44	\$31,880.44	Completed
MT 3-1	Cover Metal Fascia on Roof Edges with Prepainted Metal	1460	18 Bldgs	\$35,000.00	\$35,000.00	\$35,000.00	\$35,000.00	Completed
MT 3-4	Modernize Bathrooms	1460	30 DU	\$0.00	\$0.00	\$0.00	\$0.00	Moved to 05
MT 3-1	Replace Interior Doors	1460	50 EA	\$3,152.98	\$2,797.35	\$2,797.35	\$104.49	Winter 07
<b>Subtotal Account 1460</b>				<b>\$288,485.56</b>	<b>\$284,834.58</b>	<b>\$284,834.58</b>	<b>\$261,867.72</b>	
<b><u>Dwelling Equipment</u></b>								
PHA-Wide	Replace Refrigerators	1465	30 EA	\$13,005.62	\$13,785.60	\$13,785.60	\$13,785.60	Completed
PHA-Wide	Replace Stoves	1465	50 EA	\$10,393.81	\$10,393.81	\$10,393.81	\$10,393.81	Completed
PHA-Wide	Replace Hot Water Tanks	1465	30 EA	\$6,639.66	\$6,215.31	\$6,215.31	\$6,215.31	Completed
<b>Subtotal Account 1465</b>				<b>\$30,039.09</b>	<b>\$30,394.72</b>	<b>\$30,394.72</b>	<b>\$30,394.72</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Public Housing Authority of Butte, Montana			Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>Nondwelling Structures</u>							
[MT 3-2]	Replace Common Area Carpet	1470	LS	\$5,829.00	\$5,829.00	\$5,829.00	\$5,829.00	Completed
[MT 3-1]	Repair/Replace front and Back Stoops	1470	LS	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	Completed
[MT 3-2]	Replace Community Room Furniture	1470	LS	\$3,059.95	\$3,059.95	\$3,059.95	\$3,059.95	Completed
[MT 3-4]	Replace Community Room Furniture	1470	LS	\$3,105.00	\$3,105.00	\$3,105.00	\$3,105.00	Completed
	<b>Subtotal Account 1470</b>			<b>\$21,993.95</b>	<b>\$21,993.95</b>	<b>\$21,993.95</b>	<b>\$21,993.95</b>	
	<u>Non-Dwelling Equipment</u>							
PHA-Wide	Replace Tractors for Mowing and Snow Removal	1475	LS	\$14,600.00	\$14,600.00	\$14,600.00	\$14,600.00	Completed
PHA-Wide	Replace Maintenance Tools and Equipment as Needed	1475	LS	\$4,100.02	\$4,070.02	\$4,070.02	\$4,070.02	Completed
PHA-Wide	Replace Computer and Communications Equipment as Needed	1475	LS	\$2,500.00	\$5,825.35	\$5,825.35	\$0.00	Winter 07
	<b>Subtotal Account 1475</b>			<b>\$21,200.02</b>	<b>\$24,495.37</b>	<b>\$24,495.37</b>	<b>\$18,670.02</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.				(2) To be completed for the Performance and Evaluation Report.				
Signature of Executive Director and Date			Signature of Public Housing Director/Office of Native American Programs Administrator and Date					

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: Public Housing Authority of Butte, Montana			Grant Type and Number: Capital Fund Program Grant No: MT06P00350104 Replacement Housing Factor Grant No:			Federal FY of Grant: 2004		
Development Number / Name HA - Wide Activities	Summary by Development Account	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<u>Relocation</u>	1495	123 DU					Completed
	Temporary Relocation Costs During Modernization			\$1,870.90	\$1,870.90	\$1,870.90	\$1,870.90	
	<b>Subtotal Account 1495</b>			<b>\$1,870.90</b>	<b>\$1,870.90</b>	<b>\$1,870.90</b>	<b>\$1,870.90</b>	
PHA-Wide	<u>Contingency</u>	1502	LS					
	Contingency Costs			\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Subtotal Account 1502</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
<b>GRANT TOTAL</b>				<b>\$557,756.00</b>	<b>\$557,756.00</b>	<b>\$557,756.00</b>	<b>\$528,963.79</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350104</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2004</b>
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA -Wide 1406	09/14/06			09/14/08			
PHA-Wide 1408	09/14/06			09/14/08			
PHA-Wide 1410	09/14/06			09/14/08			
PHA-Wide 1430	09/14/06			09/14/08			
MT 3-1 -- 1460	09/14/06			09/14/08			
MT 3-2 -- 1460	09/14/06			09/14/08			
MT 3-3 -- 1460	09/14/06			09/14/08			
MT 3-6 -- 1460	09/14/06			09/14/08			
MT 3-1 -- 1470	09/14/06			09/14/08			
MT 3-2 --1470	09/14/06			09/14/08			
PHA-Wide 1465	09/14/06			09/14/08			
PHA-Wide 1475	09/14/06			09/14/08			
PHA-Wide 1495	09/14/06			09/14/08			
PHA-Wide 1502	09/14/06			09/14/08			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: <b>Public Housing Authority of Butte, Montana</b>	Grant Type and Number: Capital Fund Program Grant No: <b>MT06P00350105</b> Replacement Housing Factor Grant No:	Federal FY of Grant: <b>2005</b>
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Original Annual Statement   
  Reserve for Disasters/Emergencies   
  Revised Annual Statement (Revision Number:3 )  
 Performance and Evaluation Report for Period Ending:12-31-06   
  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds	\$0.00	\$0.00	\$0.00	\$0.00
2	1406 Operations	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00
3	1408 Management Improvements	\$55,000.00	\$57,778.70	\$57,778.70	\$54,833.76
4	1410 Administration	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$42,000.00	\$42,000.00	\$9,048.05	\$1,352.34
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$135,966.00	\$152,966.00	\$141,131.23	\$117,156.48
10	1460 Dwelling Structures	\$95,000.00	\$95,000.00	\$780.00	\$780.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$32,000.00	\$31,849.18	\$14,979.06	\$14,979.06
12	1470 Nondwelling Structures	\$15,000.00	\$15,000.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$45,500.00	\$25,872.12	\$15,985.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1490 Replacement Reserve	\$0.00	\$0.00	\$0.00	\$0.00
16	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
17	1495.1 Relocation Costs	\$3,000.00	\$3,000.00	\$0.00	\$0.00
18	1499 Development Activities	\$0.00	\$0.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service	\$0.00	\$0.00	\$0.00	\$0.00
20	1502 Contingency	\$1,577.00	\$1,577.00	\$0.00	\$0.00
21	Amount of Annual Grant (Sum of lines 2 - 19)	\$505,043.00	\$505,043.00	\$319,702.04	\$269,101.64
22	Amount of line 21 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 21 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 21 Related to Security -- Soft Costs	\$25,000.00	\$33,478.70	\$33,478.70	\$33,478.70
25	Amount of line 21 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
26	Amount of line 21 Related to Energy Conservation Measures	\$80,000.00	\$80,000.00	\$0.00	\$0.00

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2005		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Operations</u></b>							
PHA-Wide	Modernization Funds for Operations	1406	LS	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	Completed
	<b>Subtotal Account 1406</b>			<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$30,000.00</b>	
	<b><u>Management Improvements</u></b>							
PHA-Wide	Security Services and Reimbursement of Local Law Enforcement	1408	LS	\$25,000.00	\$33,478.70	\$33,478.70	\$33,478.70	Completed
PHA-Wide	Commissioner and Staff Training	1408	LS	\$20,000.00	\$20,000.00	\$20,000.00	\$17,055.06	Winter 06
PHA-Wide	Professional Services for Consulting, Training, and Technical Assistance	1408	LS	\$10,000.00	\$4,300.00	\$4,300.00	\$4,300.00	Completed
	<b>Subtotal Account 1408</b>			<b>\$55,000.00</b>	<b>\$57,778.70</b>	<b>\$57,778.70</b>	<b>\$54,833.76</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name: Public Housing Authority of Butte, Montana		Grant Type and Number: Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No:				Federal FY of Grant: 2005		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Administration</u></b>							
PHA-Wide	Salary for Modernization Coordinator	1410	LS	\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00	Completed
	<b>Subtotal Account 1410</b>			<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$50,000.00</b>	
	<b><u>Fees and Costs</u></b>							
PHA-Wide	Professional Services Related to Architecture, Engineering and Construction Management	1430	LS	\$40,000.00	\$40,000.00	\$9,045.71	\$1,350.00	Summer 07
PHA-Wide	Sundry Costs Related to Modernization	1430	LS	\$2,000.00	\$2,000.00	\$2.34	\$2.34	Summer 07
	<b>Subtotal Account 1430</b>			<b>\$42,000.00</b>	<b>\$42,000.00</b>	<b>\$9,048.05</b>	<b>\$1,352.34</b>	
	<b><u>Site Improvements</u></b>							
MT 3-1	Ohio Street Parking lots and Park.	1450		\$135,966.00	\$135,966.00	\$125,000.00	\$101,025.25	Summer 06
MT 3-1	Replace Playground Equipment	1450		\$0.00	\$17,000.00	\$16,131.23	\$16,131.23	Summer 07
	<b>Subtotal Account 1450</b>			<b>\$135,966.00</b>	<b>\$152,966.00</b>	<b>\$141,131.23</b>	<b>\$117,156.48</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.      (2) To be completed for the Performance and Evaluation Report.								
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350105</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2005</b>		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Dwelling Structures</u></b>							
MT 3-2	Separate Domestic Hot Water from Heating System	1460	LS	\$40,000.00	\$40,000.00	\$0.00	\$0.00	Summer 06
MT 3-2	Replace Carpet in Units as Needed	1460	LS	\$15,000.00	\$15,000.00	\$780.00	\$780.00	
MT 3-4	Modernize Bathroom	1460	24 DU	\$0.00	\$0.00	\$0.00	\$0.00	Move to 5 - year
MT 3-4	Separate Domestic Hot Water from Heating System	1460	LS	\$40,000.00	\$40,000.00	\$0.00	\$0.00	Summer 06
MT 3-4	Modernize Kitchens	1460	30 DU	\$0.00	\$0.00	\$0.00	\$0.00	Move 06
	<b>Subtotal Account 1460</b>			<b>\$95,000.00</b>	<b>\$95,000.00</b>	<b>\$780.00</b>	<b>\$780.00</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.				(2) To be completed for the Performance and Evaluation Report.				
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2005		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Dwelling Equipment</u></b>							
PHA-Wide	Replace Refrigerators	1465	30 EA	\$12,000.00	\$12,000.00	\$2,287.92	\$2,287.92	Winter 06
PHA-Wide	Replace Stoves	1465	50 EA	\$10,000.00	\$9,849.18	\$9,849.18	\$9,849.18	Completed
PHA - Wide	Replace Hot Water Tanks	1465	30 EA	\$10,000.00	\$10,000.00	\$2,841.96	\$2,841.96	Winter 06
	<b>Subtotal Account 1465</b>			<b>\$32,000.00</b>	<b>\$31,849.18</b>	<b>\$14,979.06</b>	<b>\$14,979.06</b>	
	<b><u>Nondwelling Structures</u></b>							
MT 3-1	Repair/Replace front and Back Stoops	1470	LS	\$15,000.00	\$15,000.00	\$0.00	\$0.00	Summer 06
	<b>Subtotal Account 1470</b>			<b>\$15,000.00</b>	<b>\$15,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
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Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350105 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2005
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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
<b><u>Non-Dwelling Equipment</u></b>								
PHA-Wide	Replace Tractors for Mowing and Snow Removal	1475	LS	\$15,000.00	\$15,000.00	\$15,985.00	\$0.00	Fall 06
PHA-Wide	Replace Maintenance Tools and Equipment as Needed	1475	LS	\$3,000.00	\$3,000.00	\$0.00	\$0.00	Winter 06
PHA-Wide	Replace Computer and Communications Equipment as Needed	1475	LS	\$2,500.00	\$2,500.00	\$0.00	\$0.00	Winter 06
PHA-Wide	Replace Maintenance Vehicles	1475	LS	\$25,000.00	\$5,372.12	\$0.00	\$0.00	Summer 06
<b>Subtotal Account 1475</b>				<b>\$45,500.00</b>	<b>\$25,872.12</b>	<b>\$15,985.00</b>	<b>\$0.00</b>	
<b><u>Relocation</u></b>								
PHA-Wide	Temporary Relocation Costs During Modernization	1495	LS	\$3,000.00	\$3,000.00	\$0.00	\$0.00	Summer 06
<b>Subtotal Account 1495</b>				<b>\$3,000.00</b>	<b>\$3,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350105</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2005</b>
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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<u>Contingency</u> Contingency Costs	1502	LS	\$1,577.00	\$1,577.00	\$0.00	\$0.00	Winter 06
	<b>Subtotal Account 1502</b>			<b>\$1,577.00</b>	<b>\$1,577.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>GRANT TOTAL</b>			<b>\$505,043.00</b>	<b>\$505,043.00</b>	<b>\$319,702.04</b>	<b>\$269,101.64</b>	

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.		(2) To be completed for the Performance and Evaluation Report.	
Signature of Executive Director and Date		Signature of Public Housing Director/Office of Native American Programs Administrator and Date	

# Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

## Part III: Implementation Schedule

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350105</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2005</b>
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA -Wide 1406	09/14/07			09/14/09			
PHA-Wide 1408	09/14/07			09/14/09			
PHA-Wide 1410	09/14/07			09/14/09			
PHA-Wide 1430	09/14/07			09/14/09			
MT 3-2 -- 1460	09/14/07			09/14/09			
MT 3-4 -- 1460	09/14/07			09/14/09			
MT 3-1 -- 1470	09/14/07			09/14/09			
PHA-Wide 1465	09/14/07			09/14/09			
PHA-Wide 1475	09/14/07			09/14/09			
PHA-Wide 1495	09/14/07			09/14/09			
PHA-Wide 1502	09/14/07			09/14/09			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement. (2) To be completed for the Performance and Evaluation Report.

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350106</b> Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> <b>2006</b>		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Operations</u></b>							
PHA-Wide	Modernization Funds for Operations	1406	LS	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	
	<b>Subtotal Account 1406</b>			<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$30,000.00</b>	<b>\$30,000.00</b>	
	<b><u>Management Improvements</u></b>							
PHA-Wide	Security Services and Reimbursement of Local Law Enforcement	1408	LS	\$25,000.00	\$25,000.00	\$22,974.81	\$3,800.30	
PHA-Wide	Commissioner and Staff Training	1408	LS	\$15,000.00	\$15,000.00	\$12,144.94	\$12,144.94	
PHA-Wide	Professional Services for Consulting, Training, and Technical Assistance	1408	LS	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	<b>Subtotal Account 1408</b>			<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$35,119.75</b>	<b>\$15,945.24</b>	
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Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350106 Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> 2006
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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Administration</u></b>							
PHA-Wide	Salary for Modernization Coordinator	1410	LS	\$50,000.00	\$50,000.00	\$50,000.00	\$2,995.15	
	<b>Subtotal Account 1410</b>			<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$2,995.15</b>	
	<b><u>Fees and Costs</u></b>							
PHA-Wide	Professional Services Related to Architecture, Engineering and Construction Management	1430	LS	\$30,000.00	\$30,000.00	\$0.00	\$0.00	
PHA-Wide	Sundry Costs Related to Modernization	1430	LS	\$2,000.00	\$2,000.00	\$0.00	\$0.00	
	<b>Subtotal Account 1430</b>			<b>\$32,000.00</b>	<b>\$32,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Site Improvement</u></b>							
MT 3-1	Replace Site Sidewalks as Needed	1450	LS	\$8,000.00	\$8,000.00	\$0.00	\$0.00	
MT 3-1	Repair Storm Drains as Needed	1450	LS	\$5,000	\$5,000.00	\$0.00	\$0.00	
MT 3-1	Repair/Replace front and Back Stoops	1450	LS	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	<b>Subtotal Account 1450</b>			<b>\$23,000.00</b>	<b>\$23,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

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Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator and Date
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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: MT06P00350106 Replacement Housing Factor Grant No:				<b>Federal FY of Grant:</b> 2006		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Dwelling Structures</u></b>							
MT 3-1	Repair Seams on Roofs	1460	15000 LF	\$45,144.00	\$45,144.00	\$0.00	\$0.00	
PHA - Wide	Replace Interior Doors (50)	1460	50 EA	\$3,500.00	\$3,500.00	\$0.00	\$0.00	
MT 3-4	Modernize Kitchens	1460	30 DU	\$97,509.00	\$82,911.90	\$0.00	\$0.00	
MT 3-3	Replace Locksets on Entry Doors	1460	35 DU	\$0.00	\$4,014.45	\$0.00	\$0.00	
MT 3-4	Replace Locksets on Entry Doors	1460	30 DU	\$0.00	\$2,554.65	\$0.00	\$0.00	
	<b>Subtotal Account 1460</b>			<b>\$146,153.00</b>	<b>\$138,125.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
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Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

PHA Name:		Grant Type and Number:				Federal FY of Grant:		
Public Housing Authority of Butte, Montana		Capital Fund Program Grant No: MT06P00350106 Replacement Housing Factor Grant No:				2006		
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Dwelling Equipment</u></b>							
PHA-Wide	Replace Refrigerators	1465	30 EA	\$12,000.00	\$12,000.00	\$0.00	\$0.00	
PHA-Wide	Replace Stoves	1465	50 EA	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
PHA - Wide	Replace Hot Water Tanks	1465	30 EA	\$10,000.00	\$10,000.00	\$0.00	\$0.00	
MT 3-3	Replace Boilers	1465	14 Bldgs	\$55,000.00	\$55,000.00	\$0.00	\$0.00	
MT 3-3	Replace Boiler Room Floors	1465	14 Bldgs	\$28,000.00	\$28,000.00	\$0.00	\$0.00	
	<b>Subtotal Account 1465</b>			<b>\$115,000.00</b>	<b>\$115,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Nondwelling Structures</u></b>							
MT 3-1	Replace Windows New Deal	1470	1 Bldg	\$17,322.00	\$25,350.00	\$0.00	\$0.00	
	<b>Subtotal Account 1470</b>			<b>\$17,322.00</b>	<b>\$25,350.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
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Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350106</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2006</b>
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Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<b><u>Non-Dwelling Equipment</u></b>							
PHA-Wide	Replace Maintenance Tools and Equipment as Needed	1475	LS	\$3,000.00	\$3,000.00	\$0.00	\$0.00	
PHA-Wide	Replace Computer and Communications Equipment as Needed	1475	LS	\$2,500.00	\$2,500.00	\$0.00	\$0.00	
	<b>Subtotal Account 1475</b>			<b>\$5,500.00</b>	<b>\$5,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b><u>Relocation</u></b>							
PHA-Wide	Temporary Relocation Costs During Modernization	1495	LS	\$3,000.00	\$3,000.00	\$0.00	\$0.00	
	<b>Subtotal Account 1495</b>			<b>\$3,000.00</b>	<b>\$3,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

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**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana		<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350106</b> Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> <b>2006</b>			
Development Number / Name HA - Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	<u>Contingency</u> Contingency Costs	1502	LS	\$1,577.00	\$1,577.00	\$0.00	\$0.00	
	<b>Subtotal Account 1502</b>			<b>\$1,577.00</b>	<b>\$1,577.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>GRANT TOTAL</b>			<b>\$473,552.00</b>	<b>\$473,552.00</b>	<b>\$115,119.75</b>	<b>\$48,940.39</b>	
(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement.				(2) To be completed for the Performance and Evaluation Report.				
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date				

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

<b>PHA Name:</b> Public Housing Authority of Butte, Montana	<b>Grant Type and Number:</b> Capital Fund Program Grant No: <b>MT06P00350106</b> Replacement Housing Factor Grant No:	<b>Federal FY of Grant:</b> <b>2006</b>
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Development Number / Name HA - Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA -Wide 1406	07/18/08			07/18/10			
PHA-Wide 1408	07/18/08			07/18/10			
PHA-Wide 1410	07/18/08			07/18/10			
PHA-Wide 1430	07/18/08			07/18/10			
MT 3-2 -- 1460	07/18/08			07/18/10			
MT 3-4 -- 1460	07/18/08			07/18/10			
MT 3-1 -- 1470	07/18/08			07/18/10			
PHA-Wide 1465	07/18/08			07/18/10			
PHA-Wide 1475	07/18/08			07/18/10			
PHA-Wide 1495	07/18/08			07/18/10			
PHA-Wide 1502	07/18/08			07/18/10			

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Attachment O

Assessment of Site-Based Waiting List Development Demographic Characteristics

Development Information	Date Initiated		Initial mix of Racial and Ethnic Demographics	Current mix of Racial and Ethnic Demographics since the initiation of SBWL	Percent change between initial and current mix of Racial and Ethnic Demographics
Silver Bow Homes – MT00301	07/01/2006	White	192	193	Increase of 1
		African American	2	2	No change
		American Indian	16	13	Decrease of 3
		Asian	0	0	No change
		Multi racial	2	3	Increase of 1
		Hispanic	6	5	Decrease of 1
Rosalie Manor – MT00302	07/01/2006	White	62	62	No change
		African American	1	1	No change
		American Indian	1	1	No change
		Asian	1	1	No change
		Multi racial	0	0	No change
		Hispanic	3	4	Increase of 1
Elm Street – MT00303	07/01/2006	White	32	32	No change
		African American	1	1	No change
		American Indian	0	0	No change
		Asian	1	1	No change
		Multi racial	0	0	No change
		Hispanic	3	3	No change

Leggat Apartments – MT00304	07/01/2007	White	30	30	No change
		African American	0	0	No change
		American Indian	0	0	No change
		Asian	0	0	No change
		Multi racial	0	0	No change
		Hispanic	1	1	No change
Scattered sites – MT00306	07/01/2007	White	11	11	No change
		African American	1	1	No change
		American Indian	0	0	No change
		Asian	0	0	No change
		Multi racial	0	0	No change
		Hispanic	1	2	Increased of 1

## Attachment P

### Asset Management Activities

The Public Housing Authority of Butte is in the process of preparing for property-based management. The housing authority properties have been converted to asset management properties. Property managers are now assigned to each asset management property grouping. The housing authority has begun converting all of its computer programs to a property-based system using the asset management property groupings. Full conversion of the accounting system will be finalized at the beginning of the housing authority's fiscal year.

The Executive Director will conduct a comprehensive stock assessment of all properties. In coordination with the Modernization Coordinator and Property Managers, a detailed plan for each property will be developed. The plan will include physical improvements needed, landscaping, marketing, an analysis of the occupancy rate, and an analysis of routine maintenance work at each property. The comprehensive stock assessment will be done in fiscal year 2007.