

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

---

# PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009  
Annual Plan for Fiscal Year 2007

**ROCKFORD HOUSING AUTHORITY IL-022**  
**223 SOUTH WINNEBAGO STREET**  
**ROCKFORD, ILLINOIS 61102**

## **RHA BOARD OF COMMISSIONERS**

**Ricardo Cervantes, Chairman**  
**Virginia Starks, Vice Chairperson**  
**Chandler Anderson**  
**Phyllis Ginestra**  
**David Sidney**

Steve Anderson, Executive Director @ 815-489-8749  
Sherri Tracy, Development Director @ 815-489-8750  
Paul Lindstrom, Modernization Coordinator @ 815-489-8571

H:\DEV\Agency Plans\Agency Plan FFY07 PHA-IL22

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN  
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Rockford Housing Authority

**PHA Number:** IL06-P022

**PHA Fiscal Year Beginning: (mm/yyyy)** 10/2007

**PHA Programs Administered:**

**Public Housing and Section 8**   
  **Section 8 Only**   
  **Public Housing Only**  
 Number of public housing units: 2005   
 Number of S8 units:   
 Number of public housing units:  
 Number of S8 units: 1860

**PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

| Participating PHAs   | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: |          |                                       |                                |                         |
| Participating PHA 2: |          |                                       |                                |                         |
| Participating PHA 3: |          |                                       |                                |                         |

**Public Access to Information**

**Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

**Display Locations For PHA Plans and Supporting Documents**

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government

- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

**5-YEAR PLAN**  
**PHA FISCAL YEARS 2007 - 2011**  
[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)  
Contribute to human growth and dignity through the effective use of available resources by providing a defined quality living environment to eligible people within the Rockford Community while creating opportunities for an improved quality of life.  
This will be accomplished through the mutual effort and contribution of residents, employees, Commissioners, and the community.

**B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

**HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

- PHA Goal: Expand the supply of assisted housing  
Objectives:
- Apply for additional rental vouchers:
  - Reduce public housing vacancies:
  - Leverage private or other public funds to create additional housing opportunities:
  - Acquire or build units or developments
  - Other (list below)
- PHA Goal: Improve the quality of assisted housing  
Objectives:
- Improve public housing management: (PHAS score) 86
  - Improve voucher management: (SEMAP score) 81
  - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:  
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below) Undertake Redevelopment activities targeting mixed-income developments.

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing

**Objectives:**

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Other: (list below)

**Other PHA Goals and Objectives: (list below)**

**Annual PHA Plan**  
**PHA Fiscal Year 2007**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**Rockford Housing Authority Profile** – The Rockford Housing Authority currently manages 2005 units of Low Income Public Housing located in sixteen (16) developments. The Rockford Housing Authority is also the managing agent for the Rockford Housing Development Corporation's (RHDC) multi-family property which is comprised of a Section Eight project based development consisting of two hundred sixteen (216) units called Concord Commons Apartments.

The Rockford Housing Authority also administers a Housing Choice Voucher program located within the city limits of Rockford that currently serves approximately 1800 families through a combination of HCV tenant based assistance, a project based Moderate Rehabilitation program and several small project based HCV developments in which we partner with local agencies who provide services for persons with special needs.

Overall the Rockford Housing Authority and Rockford Housing Development Corporation owned or administered housing serves approximately 3.25% of Rockford's population and our housing stock and assistance programs comprise approximately 9.5% of the city's rental housing.

**Objectives for FY2007**

The RHA is in the process of evaluating its existing assets and developing a plan which will address those assets which are determined to be fiscally and/or physically obsolete. This will be accomplished through the development of a strategic plan which will include the input of community stakeholders, the RHA Board of Commissioners, RHA residents, and RHA staff. In developing the plan we will also develop plans for alternative housing options for residents who currently reside in what are determined to be obsolete housing developments. We also intend in the process of redevelopment to establish additional income streams which will help support our diminishing budgets as well as provide resources for further development of modern, viable housing alternatives. The ultimate goal of this process is to eliminate those housing developments which no longer provide a quality of life for the residents who reside within them and to offer alternatives which truly offer decent, safe, and sanitary housing as well as a path to self sufficiency and economic independence. We intend to do this through a variety of creative ventures including the use of tax credits, public/private partnerships, a possible HOPE VI application, and other funding sources which will be explored and identified through the strategic planning process.

## Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

### Table of Contents

|   | <u>Page #</u> |
|---|---------------|
| i. Annual Plan  | 6             |
| ii. Executive Summary   | 6             |
| iii. Table of Contents  | 7             |
| 1. Housing Needs  | 11            |
| 2. Financial Resources  | 16            |
| 3. Policies on Eligibility, Selection and Admissions                    | 17            |
| 4. Rent Determination Policies  | 25            |
| 5. Operations and Management Policies                                   | 30            |
| 6. Grievance Procedures   | 31            |
| 7. Capital Improvement Needs  | 32            |
| 8. Demolition and Disposition   | 33            |
| 9. Designation of Housing   | 35            |
| 10. Conversions of Public Housing                                       | 36            |
| 11. Homeownership   | 38            |
| 12. Community Service Programs  | 39            |
| 13. Crime and Safety  | 42            |
| 14. Pets (Inactive for January 1 PHAs)                                  | 45            |
| 15. Civil Rights Certifications (included with PHA Plan Certifications) | 48            |
| 16. Audit   | 48            |
| 17. Asset Management  | 48            |
| 18. Other Information   | 48            |
| ATTACHMENTS   |               |
| Admissions Policy for Deconcentration                                   | A-55          |
| FY2007 Capital Fund Program Annual Statement                            | B-57          |
| Capital Fund Program Five Year Action Plan, Parts I, II, III            | C-67          |
| Performance and Evaluation Reports                                      | D-80          |
| Optional Public Housing Asset Management Table                          | E-106         |
| Resident Advisory Board Members   | F-111         |
| Resident Board Member   | G-112         |
| Component 10 Voluntary Conversion                                       | H-113         |
| Community Service Description of Implementation                         | I-114         |
| Section 3 Reports   | J-115         |
| Progress Report   | K-120         |
| Deviation/Modifications   | L-123         |
| Section 8 Homeownership Capacity Statement                              | M-124         |
| Description of Homeownership Programs                                   | N-125         |
| Site Based Waiting Lists  | O-126         |
| Rockford Housing Authority Organizational Charts                        | P-127         |

## Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

### Required Attachments:

- A Admissions Policy for Deconcentration
- B FY 2007 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- F List of Resident Advisory Board Members
- G List of Resident Board Member
- I Community Service Description of Implementation
- Information on Pet Policy (Included under Part 14 above)
- M Section 8 Homeownership Capacity Statement, if applicable
- N Description of Homeownership Programs, if applicable

### Optional Attachments:

- P PHA Management Organizational Chart
- C FY 2007 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)
  - D Performance and Evaluation Reports for Capital Fund Programs
  - E Public Housing Asset Management Tables
  - H Voluntary Conversion Assessment
  - J Section 3 Reports
  - K Progress Report on Goals and Objectives
  - L Definition of Substantial Deviation/Modifications
  - O Site Based Waiting Lists

## Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| <b>List of Supporting Documents Available for Review</b> |   |  |
|--|---|--|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Applicable Plan Component</b>                             |
| XX   | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations  | 5 Year and Annual Plans                                      |
| XX   | State/Local Government Certification of Consistency with the Consolidated Plan  | 5 Year and Annual Plans                                      |
| XX   | Fair Housing Documentation:<br>Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans                                      |
| XX   | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction  | Annual Plan:<br>Housing Needs                                |
|  | Most recent board-approved operating budget for the public housing program  | Annual Plan:<br>Financial Resources;                         |
| XX   | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]  | Annual Plan: Eligibility, Selection, and Admissions Policies |
| XX   | Section 8 Administrative Plan   | Annual Plan: Eligibility, Selection, and Admissions Policies |
| XX   | Public Housing Deconcentration and Income Mixing Documentation:<br>1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and<br>2. Documentation of the required deconcentration and income mixing analysis   | Annual Plan: Eligibility, Selection, and Admissions Policies |
| XX   | Public housing rent determination policies, including the methodology for setting public housing flat rents<br><input checked="" type="checkbox"/> check here if included in the public housing A & O Policy  | Annual Plan: Rent Determination                              |
| XX   | Schedule of flat rents offered at each public housing development<br><input checked="" type="checkbox"/> check here if included in the public housing A & O Policy  | Annual Plan: Rent Determination                              |
| XX   | Section 8 rent determination (payment standard) policies<br><input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan   | Annual Plan: Rent Determination                              |
| XX   | Public housing management and maintenance policy  | Annual Plan: Operations                                      |

| <b>List of Supporting Documents Available for Review</b> |   |   |
|--|---|---|
| <b>Applicable &amp; On Display</b>                       | <b>Supporting Document</b>  | <b>Applicable Plan Component</b>                  |
|  | documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)   | and Maintenance                                   |
| XX   | Public housing grievance procedures<br><input checked="" type="checkbox"/> check here if included in the public housing A & O Policy  | Annual Plan: Grievance Procedures                 |
| XX   | Section 8 informal review and hearing procedures<br><input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan   | Annual Plan: Grievance Procedures                 |
| XX   | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year  | Annual Plan: Capital Needs                        |
|  | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant   | Annual Plan: Capital Needs                        |
| XX   | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)  | Annual Plan: Capital Needs                        |
|  | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing                               | Annual Plan: Capital Needs                        |
| XX   | Approved or submitted applications for demolition and/or disposition of public housing  | Annual Plan: Demolition and Disposition           |
|  | Approved or submitted applications for designation of public housing (Designated Housing Plans)   | Annual Plan: Designation of Public Housing        |
|  | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act     | Annual Plan: Conversion of Public Housing         |
|  | Approved or submitted public housing homeownership programs/plans   | Annual Plan: Homeownership                        |
| XX   | Policies governing any Section 8 Homeownership program<br><input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan   | Annual Plan: Homeownership                        |
| XX   | Any cooperative agreement between the PHA and the TANF agency   | Annual Plan: Community Service & Self-Sufficiency |
| XX   | FSS Action Plan/s for public housing and/or Section 8   | Annual Plan: Community Service & Self-Sufficiency |
| XX   | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports  | Annual Plan: Community Service & Self-Sufficiency |
|  | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)                        | Annual Plan: Safety and Crime Prevention          |
| XX   | The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | Annual Plan: Annual Audit                         |
|  | Troubled PHAs: MOA/Recovery Plan  | Troubled PHAs                                     |
|  | Other supporting documents (optional)<br>(list individually; use as many lines as necessary)  | (specify as needed)                               |
|  |   |   |

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

| Housing Needs of Families in the Jurisdiction<br>by Family Type |         |                |        |         |                |      |          |
|---|---------|----------------|--------|---------|----------------|------|----------|
| Family Type   | Overall | Afford-ability | Supply | Quality | Access-ibility | Size | Location |
| Income <= 30% of AMI  | 6,713   | 2              | 2      | 4       | 3              | 5    | 4        |
| Income >30% but <=50% of AMI                                    | 4,323   | 2              | 2      | 4       | 3              | 3    | 3        |
| Income >50% but <80% of AMI                                     | 5,337   | 2              | 2      | 4       | 3              | 3    | 2        |
| Elderly   | 21,109  | 3              | 2      | 3       | 3              | 1    | 4        |
| Families with Disabilities                                      | 28,470  | 5              | 4      | 5       | 5              | 4    | 5        |
| Race/Ethnicity-W  | 109303  | 2              | 2      | 5       | 3              | 3    | 2        |
| Race/Ethnicity-B  | 26,072  | 3              | 3      | 3       | 3              | 3    | 2        |
| Race/Ethnicity-I  | 15,278  | 3              | 3      | 3       | 3              | 3    | 2        |
| Race/Ethnicity-A  | 3,301   | 3              | 3      | 3       | 3              | 3    | 2        |

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2005-2010
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

## B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List  |               |                     |                 |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one)  |               |                     |                 |
| <input type="checkbox"/> Section 8 tenant-based assistance                                       |               |                     |                 |
| <input checked="" type="checkbox"/> Public Housing   |               |                     |                 |
| <input type="checkbox"/> Combined Section 8 and Public Housing                                   |               |                     |                 |
| <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) |               |                     |                 |
| If used, identify which development/subjurisdiction:   |               |                     |                 |
|  | # of families | % of total families | Annual Turnover |
| Waiting list total   | 1352          |                     | 500             |
| Extremely low income <=30% AMI   |               |                     |                 |
| Very low income (>30% but <=50% AMI)   |               |                     |                 |
| Low income (>50% but <80% AMI)   |               |                     |                 |
| Families with children   |               |                     |                 |
| Elderly families   |               |                     |                 |
| Families with Disabilities   |               |                     |                 |
| Race/ethnicity W   | 444           | 33%                 |                 |
| Race/ethnicity B   | 833           | 62%                 |                 |
| Race/ethnicity A   | 4             | 0%                  |                 |
| Race/ethnicity Other   | 71            | 5%                  |                 |
| Characteristics by Bedroom Size (Public Housing Only)  |               |                     |                 |
| 1BR  | 539           | 40%                 |                 |
| 2 BR   | 514           | 38%                 |                 |
| 3 BR   | 231           | 17%                 |                 |
| 4 BR   | 59            | 4%                  |                 |
| 5 BR   | 0             | 0%                  |                 |
| 5+ BR  | 9             | 1%                  |                 |

### Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly (North Main Manor done).  
Olesen Plaza next
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

**(There is a 504 Accessibility list)**

- Seek designation of public housing for families with disabilities: Low rises/high rises
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below) Local preference for disabled

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

Affirmatively market to races/ethnicities shown to have disproportionate housing needs: Camaraderie Arts after school and summer youth program, CHOICES (youth gang prevention) program, flyers to La Voz Latina, Illinois Employment and Training Resource Center representative, Job Fairs, Mini Resource Fairs, Information Manuals for job search and resources, Rock Valley College GED and Community and Continuing Education classes, Service Coordinators for elderly and disabled, Neighborhood Network Centers, Neighborhood Network Academy, Community Assistance Program, University of Illinois Cooperative Extension housekeeping and homeownership classes, Shelter Care Ministries, University of Illinois Health Fairs, and YouthBuild youth skills learning program.

Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units

Market the section 8 program to owners outside of areas of poverty /minority concentrations

Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

Funding constraints

Staffing constraints

Limited availability of sites for assisted housing

Extent to which particular housing needs are met by other organizations in the community

Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA

Influence of the housing market on PHA programs

Community priorities regarding housing assistance

Results of consultation with local or state government

Results of consultation with residents and the Resident Advisory Board

Results of consultation with advocacy groups

Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| <b>Financial Resources:<br/>Planned Sources and Uses</b>                              |                   |                     |
|---|-------------------|---------------------|
| <b>Sources</b>  | <b>Planned \$</b> | <b>Planned Uses</b> |
| <b>1. Federal Grants (FY 2007 grants)</b>   |                   |                     |
| a) Public Housing Operating Fund  | \$6,932,258       |                     |
| b) Public Housing Capital Fund 107  | \$3,163,845       |                     |
| c) HOPE VI Revitalization   |                   |                     |
| d) HOPE VI Demolition   |                   |                     |
| e) Annual Contributions for Section 8 Tenant-Based Assistance                         | \$9,890,400       |                     |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) |                   |                     |
| g) Resident Opportunity and Self-Sufficiency Grants                                   | \$66,667          | PHSS                |
| h) Community Development Block Grant  |                   |                     |
| i) HOME   |                   |                     |
| Other Federal Grants (list below)   |                   |                     |
|   |                   |                     |
| <b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>             | \$1,173,950       |                     |
| CFP- #501-05  | \$288,297         |                     |
| CFP- #501-06  | \$801,915         |                     |
|   |                   |                     |
| <b>3. Public Housing Dwelling Rental Income</b>                                       | \$2,100,000       |                     |
|   |                   |                     |
| <b>4. Other income (list below)</b>   |                   |                     |
| 4. PH FSS Coordinator   | \$59,489          | PHSS                |
| Graduation Incentive  | \$39,680          |                     |
| HCV FSS Coordinator   | \$181,280         |                     |
| <b>4. Non-federal sources (list below)</b>  |                   |                     |
|   |                   |                     |
|   |                   |                     |
|   |                   |                     |
| <b>Total resources</b>  | \$20,443,724      |                     |
|   |                   |                     |
|   |                   |                     |

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe) Based on availability of units and the unit turnover rate.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2) Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection

**(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? A maximum of 10
2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists? All seven are new and part of Project Based Management
3.  Yes  No: May families be on more than one list simultaneously  
If yes, how many lists? All seven lists are available to applicant
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
  - PHA main administrative office
  - All PHA development management offices
  - Management offices at developments with site-based waiting lists
  - At the development to which they would like to apply
  - Other (list below)

**(3) Assignment**

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
  - One
  - Two
  - Three or More
- b.  Yes  No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

- a. Income targeting:
  - Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:  
In what circumstances will transfers take precedence over new admissions? (list below)
  - Emergencies
  - Overhoused
  - Underhoused
  - Medical justification
  - Administrative reasons determined by the PHA (e.g., to permit modernization work)

- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

1 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly, near elderly, and persons with disabilities.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: The pool of applicant families ensures that the PHA will meet income targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Resident Handbook/Orientation Video

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists  
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments  
If selected, list targeted developments below:

IL022-001 Blackhawk  
IL022-007 Fairgrounds  
IL022-005 Brewington Oaks

Employing new admission preferences at targeted developments  
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to “d” was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:  
IL022-001 Blackhawk  
IL022-007 Fairgrounds  
IL022-005 Brewington Oaks

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

**Unless otherwise specified, all questions in this section apply only to the tenant-based Section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### **(1) Eligibility**

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)

The HA may apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program. When the HA denies assistance to an applicant with a disability, the applicant may request a review of the family obligation that was violated, if the violation was a result of the disability.

- A) No family member may have committed fraud, bribery, or any other corrupt or criminal act in connection with any federal housing program within the last (36) months.
- B) Family must have paid any outstanding debt owed the HA or another HA as a result of prior participation in any federal housing program. No repayment Agreement will be accepted. If the family's payments are current at the time of final eligibility determination, the family will be issued a voucher. The HA reserves the right in the case of extreme hardship to waive the policy in regards to Repayment Agreements. Waiver of the "No Repayment Agreement Provision" will be at the sole discretion of the HA and will require full documentation of the hardship and the approval of the Executive Director. In no case will the debt be forgiven.
- C) The HA will screen all applicants for criminal activity as part of the processing of an application for assistance. Applicants or applicant family members who are found to have engaged in drug related or violent criminal activity within thirty-six (36) months of the date of the initial application will be denied admission. Drug related criminal activity is defined as: "The illegal manufacture, sale, distribution, use, or possession with intent to manufacture, sell, distribute, or use as a controlled substance". "Violent criminal activity" is defined as: "Any criminal activity that has as one of its elements, the use, attempted use or threatened use of physical force against a person or property of another."
- D) No family member may have been evicted from public housing or any project based Section 8 program for any reason during the last twelve (12) months.
- E) No member of the family may have engaged in behavior so that there is reason to believe the person abuses alcohol in manner, which may interfere with the health, safety, or right of peaceful enjoyment by other residents or neighbors.

b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

If requested in writing, we provide current and previous landlord name.

**(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)  
When the wait list is opened, we select 2-3 various locations throughout the City of Rockford to serve the people.

**(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

**(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly and persons with disabilities.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2      Date and Time

Former Federal preferences

- 1      Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)  
Substandard housing  
Homelessness  
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)  
Elderly and persons with disabilities.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD  
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers  
 Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

#### **(5) Special Purpose Section 8 Assistance Programs**

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)  
Newsletter to landlord and participant  
Housing Choice Voucher Guidebook

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices  
 Other (list below)  
Newspapers, flyers, community agencies, churches, postings and RHA website.

### **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

##### **(1) Income Based Rent Policies**

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. **Use of discretionary policies: (select one)**

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

**b. Minimum Rent**

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

- i) The family has lost eligibility or is awaiting an eligibility determination for federal, state, or local assistance, including a family with a member who is a non-citizen lawfully admitted for permanent residence under the Immigration and Nationality Act and who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.
- ii) The family would be evicted as a result of the imposition of the minimum rent requirement. The income of the family has decreased because of changed circumstances, including loss of employment, death in the family, or other circumstances as determined by the RHA or HUD.

**c. Rents set at less than 30% than adjusted income**

1.  Yes  No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:  
Flat rent is the option.

**d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)**

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

**e. Ceiling rents**

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No (Flat Rents Only)

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The “rental value” of the unit
- Other (list below)  
Flat rents only

**f. Rent re-determinations:**

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) **\$201.00**
- Other (list below)

Anytime the family has previously been at an income level of zero (0)  
Change in family composition.

g. **Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?**

Yes  No:

**(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

### (2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached.  
 A brief description of the management structure and organization of the PHA follows:

### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| <b>Program Name</b>   | <b>Units or Families Served at Year Beginning</b> | <b>Expected Turnover</b> |
|---|---|--------------------------|
| Public Housing  | 1,979   | 500                      |
| Section 8 Vouchers  | 1,474   | 95                       |
| Section 8 Certificates  | 86  | 5                        |
| Section 8 Mod Rehab   | 321   | 30                       |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | 22  | 5                        |
| Other Federal Programs<br>Public Housing FSS<br>Coordinator         | 15  | 5                        |
| Home Ownership Public Housing Program                               | 15  | 5                        |
| CGP   | 2005  | NA                       |
| Service Coordinator   | 1,039   | 80                       |
| Section 8 FSS<br>Coordinator  | 160   | 21                       |
| Neighborhood Network  | 150   | 40                       |

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Admissions and Continued Occupancy Policy
- RHA Lease Agreement
- Resident Handbook
- Pest Control Policy
- Emergency Handbook
- LBP Guidebook
- Pet Policy
- Mold Policy (New York Standard)
- Preventative Maintenance Plan
- Property Manager Basics Desk Guide

(2) Section 8 Management: (list below)

- Administrative Plan
- HCV Lease Agreement
- HAP Contract
- Housing Choice Voucher Handbook Guide (HUD)

### 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

#### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

## B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office  
 Other (list below)

## 7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### (1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment B

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### (2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment C

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

## **B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)**

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

- Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year? If yes, list development name/s below:  
Brewington Oaks / Jane Addams  
Fairgrounds

- Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year? If yes, list developments or activities below:  
Activities will involve acquiring or building new mixed-income developments

- Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement? If yes, list developments or activities below:  
Brewington Oaks/Jane Addams, Fairgrounds, Scattered Sites

## **8. Demolition and Disposition**

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal

Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

A demolition application for all of Jane Addams, which comprises part of IL022-005 was approved by HUD Special Application Center on 10/13/06. Demolition activities are expected to begin at Jane Addams in July 2007.

A potential demolition application for Fairgrounds Valley, IL022-007 is under review.

Additionally, some selective Scattered Site units may also be target for demolition and/or disposition as well.

## 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| <b>Demolition/Disposition Activity Description</b>   |
|--|
| <p>1a. Development name: Fairgrounds</p> <p>1b. Development (project) number: IL022-007<br/>           Development name: Jane Addams Family Development<br/>           Development (project) number: IL022-005F<br/>           Development name: Scattered Sites<br/>           Development (project) number: IL022-20 and/or IL022-21</p>   |
| <p>2. Activity type: Demolition <input checked="" type="checkbox"/><br/>           Disposition <input checked="" type="checkbox"/></p>   |
| <p>3. Application status (select one)<br/>           Approved <input checked="" type="checkbox"/> Jane Addams<br/>           Submitted, pending approval <input type="checkbox"/><br/>           Planned application <input checked="" type="checkbox"/> Fairgrounds and Scattered Sites</p>   |
| <p>4. Date application approved, submitted, or planned for submission: <u>(10/13/06) Jane Addams; Unknown for Fairgrounds and Scattered Sites</u></p>  |
| <p>5. Number of units affected: 595</p>  |
| <p>6. Coverage of action (select one)<br/> <input checked="" type="checkbox"/> Part of the development – Jane Addams (all), Part 22-5; Fairgrounds (pt?) 22-7, Scattered Sites (pt) 22-20/22-21<br/> <input checked="" type="checkbox"/> Total development - Fairgrounds 22-7</p>  |
| <p>7. Timeline for activity:</p> <p>a. Actual or projected start date of activity :Jane Addams start date 07/01/07<br/>           Fairgrounds start date: Not yet available<br/>           Scattered Sites start date: Not yet available</p> <p>b. Projected end date of activity: Jane Addams end date: 12/01/07;<br/>           Fairgrounds end date: Not yet available<br/>           Scattered Sites end date: Not yet available</p> |

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities** [24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| <b>Designation of Public Housing Activity Description</b>   |
|---|
| 1a. Development name: North Main Manor<br>1b. Development (project) number: IL022-006   |
| 2. Designation type:<br>Occupancy by only the elderly <input checked="" type="checkbox"/><br>Occupancy by families with disabilities <input type="checkbox"/><br>Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one)<br>Approved; included in the PHA’s Designation Plan <input checked="" type="checkbox"/><br>Submitted, pending approval <input type="checkbox"/><br>Planned application <input type="checkbox"/>                          |
| 4. Date this designation approved, submitted, or planned for submission: (08/2003)  |
| 5. If approved, will this designation constitute a (select one)<br><input type="checkbox"/> New Designation Plan<br><input type="checkbox"/> Revision of a previously-approved Designation Plan?  |
| 6. Number of units affected: 187<br>7. Coverage of action (select one)<br><input type="checkbox"/> Part of the development<br><input checked="" type="checkbox"/> Total development   |

| <b>Designation of Public Housing Activity Description</b>                |   |
|--|---|
| 1a. Development name:  | Olesen Plaza  |
| 1b. Development (project) number:  | IL022-009   |
| 2. Designation type:   | Occupancy by only the elderly <input checked="" type="checkbox"/><br>Occupancy by families with disabilities <input type="checkbox"/><br>Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one)                                       | Approved; included in the PHA's Designation Plan <input type="checkbox"/><br>Submitted, pending approval <input type="checkbox"/><br>Planned application <input checked="" type="checkbox"/>  |
| 4. Date this designation approved, submitted, or planned for submission: | (04/2008)   |
| 5. If approved, will this designation constitute a (select one)          | <input checked="" type="checkbox"/> New Designation Plan<br><input type="checkbox"/> Revision of a previously-approved Designation Plan?  |
| 7. Number of units affected:   | 151   |
| 7. Coverage of action (select one)                                       | <input type="checkbox"/> Part of the development<br><input checked="" type="checkbox"/> Total development   |

## **10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

### **A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| <b>Conversion of Public Housing Activity Description</b>   |  |
|--|--|
| 1a. Development name:  |  |
| 1b. Development (project) number:  |  |
| 2. What is the status of the required assessment?  |  |
| <input type="checkbox"/> Assessment underway<br><input type="checkbox"/> Assessment results submitted to HUD<br><input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)<br><input type="checkbox"/> Other (explain below)  |  |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)   |  |
| 4. Status of Conversion Plan (select the statement that best describes the current status)   |  |
| <input type="checkbox"/> Conversion Plan in development<br><input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)<br><input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)<br><input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway  |  |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)  |  |
| <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: )<br><input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: )<br><input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: )<br><input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent<br><input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units<br><input type="checkbox"/> Other: (describe below) |  |

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

## 11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

### A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

#### 2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| <b>Public Housing Homeownership Activity Description<br/>(Complete one for each development affected)</b> |   |
|---|---|
| 1a. Development name:   | All Scattered Sites   |
| 1b. Development (project) number:   | IL22-008, 012, 013, 016, 017, 019, 020 & 023  |
| 2. Federal Program authority:   | <input type="checkbox"/> HOPE I<br><input checked="" type="checkbox"/> 5(h)<br><input type="checkbox"/> Turnkey III<br><input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) |
| 3. Application status: (select one)   | <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program<br><input type="checkbox"/> Submitted, pending approval<br><input checked="" type="checkbox"/> Planned application        |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission:                        | (04/01/2008)  |
| 5. Number of units affected:  | 25  |
| 6. Coverage of action: (select one)   | <input checked="" type="checkbox"/> Part of the development<br><input type="checkbox"/> Total development   |

### B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is

eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description: The home ownership option is used to assist a family residing in a home purchased and owned by one or more members of the family. The RHA will limit the number of families assisted with homeownership. The RHA will offer the homeownership option only to participating families who:

Are currently enrolled in the Rockford Housing Authority's Family Self-Sufficiency (FSS) program and are in compliance with the FSS contract.

a. Size of Program

- Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants  
 26 - 50 participants  
 51 to 100 participants  
 more than 100 participants

b. PHA-established eligibility criteria

- Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

- Minimum income of \$10,300,
- Currently employed (not less than 30 hours per week),
- Employed at least one year (continuously),
- First time homebuyer,
- Attended pre and post counseling,
- Signed statement of homeownership.

## **12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

### **A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

- Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe) In-kind seminars for staff and residents.

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )

| <b>Services and Programs</b>                                       |                      |  |  |   |
|--|----------------------|--|--|---|
| Program Name & Description<br>(including location, if appropriate) | Estimated Size       | Allocation Method<br>(Waiting list/random selection/specific criteria/other) | Access<br>(Development office / PHA main office / other provider name) | Eligibility<br>(Public housing or Section 8 participants or both) |
| Community Assistance Program                                       | 35 per month         | Voluntary  | Brewington Oaks  | LIPH  |
| Job Fairs/Mini Fairs   | 2X per year          | Voluntary  | Developments   | Both  |
| Work Experience  | 5 residents per year | Voluntary  | MELD, INC  | Both  |
| Budgeting  | 9 residents per year | Voluntary  | All RHA  | Both  |

|   |                         |              |  |      |
|---|-------------------------|--------------|--|------|
| Health Fairs                                    | 150 residents per year  | Voluntary    | All RHA  | Both |
| Transportation                                  | As needed               | Voluntary    | GED classes,<br>Housekeeping classes,<br>Camaraderie Arts<br>Resident Events<br>Senior Activities<br>Neighborhood Network<br>Job Fairs | Both |
| LOVE, INC Project                               | 30 per month            | Voluntary    | Midvale  | LIPH |
| Emotional and physical wellness workshops/fairs | 200 per year            | Voluntary    | High-rises/Low rises   | Both |
| Camaraderie Arts Programs                       | 50 residents per year   | Voluntary    | Fairgrounds  | Both |
| Outreach Services                               | 80 residents per month  | Voluntary    | High and Low-rises<br>Family Developments  | LIPH |
| Homeownership Classes                           | 40 residents per year   | Voluntary    | Main Office  | Both |
| Opportunity Fair                                | 75 families 1x per year | Voluntary    | All RHA  | Both |
| Resident Council Training                       | 35 residents per year   | Voluntary    | Main Office  | LIPH |
| Neighborhood Network                            | 330 residents per month | Voluntary    | Fairgrounds/Orton<br>Keyes Developments  | LIPH |
| Information Centers & Developments              | 200 residents per year  | Voluntary    | LIPH Developments  | LIPH |
| Dental Mobile                                   | 270 residents per year  | Voluntary    | All RHA  | Both |
| GED Classes                                     | 50 per year             | Voluntary    | All RHA  | Both |
| Crisis Intervention Teams                       | As Needed               |              | All RHA  | Both |
| Employment Kiosk                                | 100 people/yr           | Voluntary    | NNC/Fairgrounds  | Both |
| Post Homeownership Classes                      | 25 per year             | Mandatory S8 | CO-1/Scatt. Sites  | Both |
| ROSS HMS/Family Grant                           | 146 per year            | Voluntary    | All Developments   | LIPH |

**(2) Family Self Sufficiency program/s**

a. Participation Description

| Family Self Sufficiency (FSS) Participation |  |  |
|---|--|--|
| Program                                     | Required Number of Participants<br>(start of FY 2005 Estimate) | Actual Number of Participants<br>(As of: DD/MM/YY) |
| Public Housing                              | 13   | 50 as of 09/30/06                                  |
| Section 8                                   | 141  | 106 as of 09/30/06                                 |
| WCHA Section 8                              | 25   | Beginning 3/1/07                                   |

- b.  Yes  No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?  
If no, list steps the PHA will take below:  
We will send out mass mailings, hold open houses and accept referrals from current participants.  
We will strive to obtain minimum program size by 07/30/07

### C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

### D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

## 13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

### A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports

- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below) Review and monitoring of several CCTV systems

3. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-007 Fairgrounds Valley
- IL022-005 Brewington Oaks & Jane Addams

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below) Installation of CCTV Cameras

2. Which developments are most affected? (list below)

- IL022-001 Blackhawk Courts
- IL022-003 Orton Keyes
- IL022-004 Park Terrace
- IL022-005 Brewington Oaks & Jane Addams
- IL022-006 North Main Manor
- IL022-007 Fairgrounds Valley
- IL022-009 Olesen Plaza

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)  
Host safety and awareness fairs for children.

2. Which developments are most affected? (list below)
- IL022-001 Blackhawk Courts
  - IL022-003 Orton Keyes
  - IL022-005 Brewington Oaks & Jane Addams
  - IL022-007 Fairgrounds Valley

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

## **14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

### **RHA PET POLICY**

In compliance with the Quality Housing & Work Responsibility Act of 1998, the Rockford Housing Authority will permit residents, to own and keep common household pets in apartments. The pet policy is not applicable to trained animals that are used to assist persons with disabilities. The RHA will provide formal applications to residents or applicants who desire to have common household pets.

#### **Common Household Pets Are Defined As Follows:**

Bird-including canary, parakeet, finch, and other species that are normally caged; birds of prey are not permitted. CFR 952.20b1

- A) Fish-in tanks or aquariums, not to exceed 20 gallons in capacity; poisonous or dangerous fish are not permitted. CFR 942.20b1
- B) Dog – not to exceed 20 pounds. Weight at maturity. CFR 942.20b1
- C) Cat – species commonly used for household pets (felis catus). CFR 942.20b1
- D) Rabbit, rodent, Guinea pig, gerbils and hamsters CFR 942.20b1
- E) Turtle – Common household pet does not include reptiles, except turtle CFR 942.20b1

#### **Rules For Owners of Pets**

The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. Areas may be adjusted to accommodate or to meet the changing needs of existing residents and the Rockford Housing Authority. The Rockford Housing Authority will direct and approve such initial moves as may be necessary to establish pet and no pet areas or to meet changing needs of existing residents. A resident that has a dog or cat he/she may apply for a transfer to a building or section of a building where pets are permitted. The Rockford Housing Authority will consider requests for transfer in the same order they are received.

All pets must be registered with the Rockford Housing Authority. Residents must receive a written permit to keep any animal on or about the premises. This privilege may be revoked at any time subject to the Housing Authority grievance procedure if the animal becomes destructive or a nuisance to others, or if the resident/owner fails to comply with the following:

- a) A maximum number of one four-legged common household pet is allowed per dwelling. Residents, however; may keep a bird or aquarium in addition. CFR 942.02b2
- b) All common household pets are not to exceed a weight 20 pounds at maturity. CFR 942.02b2
- b) Dogs are to be licensed yearly with the City of Rockford, and residents must
- c) show proof of yearly distemper, rabies, boosters, and any other required vaccinations. CFR 942.02b1
- d) All cats are to be neutered and all dogs should be spayed prior to issuance of the written permit. CFR 942.20a2
- e) Resident must identify an alternate custodian for their pet(s) in the event of absence from the

premises including employed residents, or resident's illness, which would prevent the resident from properly caring for the pet.

- f) Rockford Housing Authority may require the removal of any pet from a project, if the pet's conduct or condition is duly determined to constitute, under the provisions of State or local law, a nuisance or a threat to the health or safety of other occupants of the project or of other persons in the community where the project is located.

If pets are left unattended for twenty-four (24) hours or more, the Rockford Housing Authority may enter to remove the pet and transfer the pet to the proper authorities subject to the provisions of the Humane Care for Animals Act 510 ILCS 70/1 et seq. of the City of Rockford, the County of Winnebago, and the State of Illinois. The Rockford Housing Authority accepts no responsibility for the pet under such circumstances. No pet may be kept in violation of humane or health laws of the City, County, or State.

Residents shall not permit any disturbance by their pet, which would interfere with the quiet enjoyment of other residents, whether by loud barking, howling, biting, scratching or other such activities.

The resident is responsible for all damages caused by their pet including the cost of fumigation necessitated as a result of their pet.

Dogs and cats shall remain inside a resident's premises unless they are on a leash and directly controlled by an adult.

Cats are to use litter boxes kept in resident's premises. The resident is not allowed to let waste accumulate, waste must be removed daily, and litter changed a minimum of twice a week. The resident must dispose of the litter in the first floor dumpster, no waste or litter is to be put down the garbage chute.

One pet (dog or cat) will be permitted on an elevator at any time. Pets (excluding working animals) are not allowed in common areas such as lobbies, laundry room, and social rooms except for directly entering or existing the building.

The owner of a dog or cat must properly remove and dispose of all waste caused by the animal in the building interiors and on grass and paved areas of the development (this includes washing and disinfecting affected areas in building interiors following "accidents"). If no area is designated as a pet exercise or waste deposit area, the pet owner must remove the pet from premises for such purposes. Manager will notify pet owner of building plan for disposal of animal waste.

Resident shall take adequate precautions to eliminate any pet odors within or around the premises and maintain premises in a sanitary condition at all times.

Resident shall pay a \$100.00 Pet Security Deposit for a dog or a cat; there is no deposit required for any other listed common household pet. This deposit is refundable if no damage occurs, as verified by the Rockford Housing Authority, after the resident disposes of the pet, or moves out.

The resident is responsible for damage in excess of the combined total security deposit. A minimum payment of \$50.00 is to be paid when the pet is brought onto the premises, and subsequent monthly payments of \$10.00 or more until the balance is paid.



## **15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below) Project Based Management  
Viability Analysis  
Selective Real Estate Appraisals  
5 Yr Strategic Plan
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

Public Meeting Comments from Residents and Staff

**Public Meeting**  
June 19, 2007 – 10:00 A.M.  
Rockford Housing Authority  
PHA Annual Plan  
Agenda

|                                       |                |
|---------------------------------------|----------------|
| Opening Comments                      | Steve Anderson |
| Executive Statement                   | Steve Anderson |
| Progress Report on Goals & Objectives | Steve Anderson |
| Capital Fund Program                  | Sherri Tracy   |
| Work Items Budgeted by Development    | Sherri Tracy   |
| Questions or Comments to Staff        |                |
| Closing Statement                     | Sherri Tracy   |

## Rockford Housing Authority 2007 Annual Plan/5 Yr Plan Public Hearing Minutes

Date: June 19,2007

Time: 10:00am

Location: 223 S. Winnebago Street, Rockford, IL

Attendees: Beverly Alvey, John Alvey, Paulina Risley, Benny Miller, Goldie Carpenter, Edward Brown, Janice C. Torry, Mary Arens, P. Smith, Lavern Jack, Johnny Simonn, Robert Davis, Elaine Graham, Jeri Billo (RHA), Sherri Tracy (RHA), Steve Anderson (RHA), Dorla Bonner (RHA) and Ann Higgins (RHA)

Steve Anderson welcomed everyone to the RHA PHA Plan Public Meeting of 2007. He began with a review of the Executive Summary. This plan will review what is planned for our capital money, the operation funds and the funding changes. He explained that the Plan consists of a progress report of what projects the housing authority worked on in the last twelve months. We are also in the process of working on a Strategic Plan for our Agency, which will allow us to develop a new mission statement and a new vision. We will be reviewing plans to transition our family developments into a different style of living. The consultant we have hired, Patterson & Associates will be contacting our residents for their ideas, goals and objectives in this plan.

RHA has implemented Project Based Management in this past year. This program gives the managers authority over their sites, staff and budgets. Work orders and applications, are all processed at the individual sites by the managers and their staff. Steve stated that we are completing a large elevator project with capital fund money. He also informed everyone RHA had purchased one scattered site last year, which was fairly new. This is something RHA would like to do more often in the future. RHA also installed over 1,000 carbon monoxide detectors in the family sites. Steve also informed everyone about a new regulation called the Violence Against Women Act, which states that a person cannot be evicted if they are a victim of domestic violence. RHA has incorporated this new law into its policies and procedures.

The Resident Assessment Satisfaction Survey (RASS) will be mailed from HUD to some of our residents. There will be questions asking how we are doing in such areas as maintenance, management, and their particular site. The RHA encouraged residents to complete this survey and return it to HUD.

Steve introduced Sherri Tracy, Director of Development. Sherri began by stating what her position is in the Agency and that the Development Department is in charge of distributing the capital funds for projects at our sites, which is about ½ of our grant money. Sherri covered the work items listed for each development in the annual statement as well as the 5-year plan and encouraged everyone to take a copy with them. If they have any additional comments, they are encouraged to provide them either orally or in writing no later than June 30, 2007.

Sherri then opened the meeting for questions from the group.

Q. A resident from Park Terrace asked about reinstating a full-time security person in the building.

A. Steve stated Brewington Oaks is the only building that currently has full-time security staff due to the funding limitations. He stated that after Jane Addams is demolished we could potentially reduce the number of security hours at Brewington to provide more security at other sites.

Q. A resident asked about the extreme heat in her apartment in winter, leaving her with no thermostat to control other than opening her windows?

A. Steve replied that site maintenance needs to adjust the zone valve, however there is an Energy Performance Project Audit underway and will be presented to the Board in a few months which, will look at how to generate savings throughout the agency. In the meanwhile, the zone valve must be sticking shut and maintenance needs to make any adjustments necessary.

Q. Do you have any high rises with air conditioning in the halls?

A. Yes, air conditioning in the upper floors is being installed in conjunction with the elevator upgrades at each high-rise building.

Q. A resident of Brewington Oaks asked if there is a plan in place for them to be able to use computers in the resident council office?

A. Steve replied not at this time, there are computer labs available for resident use at Blackhawk and Orton Keyes.

Q. Is RHA getting rid of their older equipment and vehicles?

A. The RHA plans to auction off some obsolete vehicles and materials within the next few months. The sale will be advertised to the public.

Q. What do we do to feel safe and secure in our building when there is not a security guard on duty and some of the apartment doors can be popped open easily?

A. Steve explained that funds have been cut from this program. We have installed security cameras to monitor the traffic in and out of the building. We will look at this entire security issue throughout our agency.

Q. There is construction activity going on through the area, where a resident can be hired and trained. How can I find out more information on this?

A. Sherri replied this is our Section 3 Program. All contractors who have a contract with RHA for \$25,000 or more must comply with the RHA's resident hiring requirement. This can be accomplished through multiple options. Also, Patterson & Associates, the strategic plan consultant, is searching for a resident to mentor and assist in starting his or her own business.

Sherri closed by thanking the many residents for attending and participating in our Public Meeting.

**B. Description of Election process for Residents on the PHA Board**

- 1.  Yes  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
- 2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Rockford

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Information is included in the attachments to the Plan.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

**D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## Attachment A

### Rockford Housing Authority Deconcentration of Poverty

#### DECONCENTRATION OF POVERTY

The “deconcentration of poverty” rule is designed to bring “higher income” residents into developments that are predominately comprised of “lower income” residents and “lower income” residents into developments that are predominately comprised of “higher income” residents. This rule applies to general occupancy, low-income public housing family developments.

#### **Developments that are not subject to the deconcentration rule are:**

- A) Developments that house only elderly persons, or persons with disabilities, or both;
- B) Developments approved for demolition or for conversion to tenant based assistance; and
- C) Developments that include public housing units operated in accordance with a HUD approved mixed-finance plan using HOPE VI or public housing funds that were awarded prior to the effective date of this rule.

#### **In implementing this rule the Rockford Housing Authority shall:**

- A) Determine on an annual basis the average income of all families residing in developments that are subject to the deconcentration requirement.
- B) Determine the average income of all families residing in each covered development.
- C) Determine whether each covered development falls above, within, or below the “Established Income Range” requirement. (The “EIR” is 85% to 115% of the PHA wide average income for covered developments.)
- D) Determine whether any developments that are above or below the “Established Income Range” are consistent with local goals and strategies in the Rockford Housing Authority’s Agency Plan.

**For Rockford Housing Authority may “pass” a family on the waiting list in order to reach another qualifying family in order to further the goals of the deconcentration of poverty requirement.**

A family has the sole discretion whether to accept an offer of a unit under the Rockford Housing Authority's deconcentration policy. Any eligible family that chooses not to accept an offer of a unit made under the Rockford Housing Authority's deconcentration policy will remain on the waiting list. If the family refuses a second offer under the Rockford Housing Authority's Deconcentration policy the family will remain on the waiting list but will go to the bottom of the list.

The RHA has committed to a proactive plan of action in order to implement HUD's Deconcentration of poverty requirements in its "family" low-income public housing developments.

In order to achieve these goals the RHA is taking or has taken the following actions.

- A) Revised the "Admissions and Occupancy Policy" to establish a local preference for working families.
- B) Is developing plans for the selective demolition of some of the buildings in targeted family developments for the purpose of lowering resident density and increasing the viability and attractiveness of the developments and their surrounding neighborhoods.
- C) Through the RHA's security program has encouraged the development of "neighborhood watch" programs on each of its developments.
- D) Is developing a marketing plan that targets working families.
- E) Utilities Capital Fund "Management Improvement" funding to maintain a security presence in the RHA's developments.
- F) Revised the Admissions and Occupancy Policy to allow police officers to live on RHA sites
- G) Encouraged the development of resident economic self-sufficiency through RHA programs such as "Family Self-Sufficiency".
- H) Continues to modernize RHA's housing stock through the "Capital Fund".

The RHA desires that all of its developments attain a higher percentage of working families in order to achieve its Deconcentration goals. The strategies detailed above are intended to increase the percentage of working families from 25% to 40% over a five-year period and are consistent with the strategies and goals established in the RHA's Five Year Plan as submitted to HUD.

**Attachment B**

**PHA Plan  
Table Library**

**Component 7**

**Capital Fund Program Annual Statement  
Parts I, II, and III**



# Annual Statement/Performance and Evaluation Report

## Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

|   |   |  |
|---|---|--|
| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>IL06P02250107</b><br>Replacement Housing Factor Grant No: | <b>Federal FY of Grant:</b><br><b>2007</b> |
|---|---|--|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |         | Total Actual Cost |          |
|----------|---|----------------------|---------|-------------------|----------|
|          |   | Original             | Revised | Obligated         | Expended |
| 1        | Total non-CFP Funds                                       | 0                    |         |                   |          |
| 2        | 1406 Operations   | 647,431              |         |                   |          |
| 3        | 1408 Management Improvements                              | 454,470              |         |                   |          |
| 4        | 1410 Administration                                       | 323,716              |         |                   |          |
| 5        | 1411 Audit  | 0                    |         |                   |          |
| 6        | 1415 Liquidated Damages                                   | 0                    |         |                   |          |
| 7        | 1430 Fees and Costs                                       | 134,500              |         |                   |          |
| 8        | 1440 Site Acquisition                                     | 0                    |         |                   |          |
| 9        | 1450 Site Improvement                                     | 150,000              |         |                   |          |
| 10       | 1460 Dwelling Structures                                  | 878,500              |         |                   |          |
| 11       | 1465.1 Dwelling Equipment—Nonexpendable                   | 0                    |         |                   |          |
| 12       | 1470 Non-dwelling Structures                              | 0                    |         |                   |          |
| 13       | 1475 Non-dwelling Equipment                               | 0                    |         |                   |          |
| 14       | 1485 Demolition   | 500,000              |         |                   |          |
| 15       | 1490 Replacement Reserve                                  | 0                    |         |                   |          |
| 16       | 1492 Moving to Work Demonstration                         | 0                    |         |                   |          |
| 17       | 1495.1 Relocation Costs                                   | 0                    |         |                   |          |
| 18       | 1499 Development Activities                               | 0                    |         |                   |          |
| 19       | 1501 Collectivization or Debt Service                     | 0                    |         |                   |          |
| 20       | 1502 Contingency  | 148,539              |         |                   |          |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | <b>3,237,156</b>     |         |                   |          |
| 22       | Amount of line 21 Related to LBP Activities               | 148,500              |         |                   |          |
| 23       | Amount of line 21 Related to Section 504 comp             | 75,000               |         |                   |          |
| 24       | Amount of line 21 Related to Security – Soft              | 0                    |         |                   |          |
| 25       | Amount of Line 21 Related to Security – Hard              | 271,317              |         |                   |          |
| 26       | Amount of line 21 Related to Energy Conservation Measures | 170,000              |         |                   |          |

|   |  |
|---|--|
| Signature of Executive Director and Date: | Signature of Public Housing Director and Date: |
|---|--|

1. To be completed for the Performance and Evaluation Report of a Revised Annual Statement.
2. To be completed for the Performance and Evaluation Report.

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250107</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2007</b> |                |                |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|----------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                |
| 001 Blackhawk                                  | 1406 Mod for Operations                         | 001   |          | 123,155              |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Training/Staff Resident                    | 001   |          | 2,219                |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Computer Software/Hardware                 | 001   |          | 6,022                |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Safety Program                             | 001   |          | 397                  |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Resident Screening/Orientation             | 001   |          | 528                  |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Maintenance Tools & Equipment              | 001   |          | 1,955                |         |                                     |                |                |
| 001 Blackhawk                                  | 1408 Marketing-Vacancy/Reduction                | 001   |          | 980                  |         |                                     |                |                |
| 001 Blackhawk                                  | 1450 Site Improvements                          | 001   |          | 25,000               |         |                                     |                |                |
| 003 Orton Keys                                 | 1406 Mod for Operations                         | 003   |          | 41,655               |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Training/Staff Resident                    | 003   |          | 1,981                |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Computer Software/Hardware                 | 003   |          | 5,378                |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Safety Program                             | 003   |          | 354                  |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Resident Screening/Orientation             | 003   |          | 473                  |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Maintenance Tools & Equipment              | 003   |          | 1,745                |         |                                     |                |                |
| 003 Orton Keys                                 | 1408 Marketing-Vacancy/Reduction                | 003   |          | 875                  |         |                                     |                |                |
| 003 Orton Keys                                 | 1430 A & E                                      | 003   |          | 10,000               |         |                                     |                |                |
| 003 Orton Keys                                 | 1430 Construction Supervisor/Inspections        | 003   |          | 5,000                |         |                                     |                |                |
| 003 Orton Keys                                 | 1460 Interior Rehab-ADA Apt Upgrades            | 003   |          | 75,000               |         |                                     |                |                |
| 004 Park Terrace                               | 1406 Mod for Operations                         | 004   |          | 172,107              |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Security Cameras and Hardware              | 004   |          | 20,742               |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Service Coordinators / Elderly             | 004   |          | 10,485               |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Training/Staff Resident                    | 004   |          | 2,037                |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Computer Software/Hardware                 | 004   |          | 5,108                |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Safety Program                             | 004   |          | 485                  |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Resident Screening/Orientation             | 004   |          | 818                  |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Maintenance Tools & Equipment              | 004   |          | 1,811                |         |                                     |                |                |
| 004 Park Terrace                               | 1408 Marketing-Vacancy/Reduction                | 004   |          | 905                  |         |                                     |                |                |
| 004 Park Terrace                               | 1450 Site Improvements -Security Cameras        | 004   |          | 20,000               |         |                                     |                |                |
| 006 North Main                                 | 1406 Mod for Operations                         | 006   |          | 0                    |         |                                     |                |                |
| 006 North Main                                 | 1408 Security Cameras and Hardware              | 006   |          | 21,353               |         |                                     |                |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250107</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2007</b> |                |                |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|----------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                |
| 006 North Main                                 | 1408 Service Coordinators / Elderly             | 006   |          | 10,831               |         |                                     |                |                |
| 006 North Main                                 | 1408 Training/Staff Resident                    | 006   |          | 2,103                |         |                                     |                |                |
| 006 North Main                                 | 1408 Computer Software/Hardware                 | 006   |          | 5,643                |         |                                     |                |                |
| 006 North Main                                 | 1408 Safety Program                             | 006   |          | 415                  |         |                                     |                |                |
| 006 North Main                                 | 1408 Resident Screening/Orientation             | 006   |          | 471                  |         |                                     |                |                |
| 006 North Main                                 | 1408 Maintenance Tools & Equipment              | 006   |          | 1,870                |         |                                     |                |                |
| 006 North Main                                 | 1408 Marketing-Vacancy/Reduction                | 006   |          | 935                  |         |                                     |                |                |
| 006 North Main                                 | 1430 A & E                                      | 006   |          | 3,500                |         |                                     |                |                |
| 006 North Main                                 | 1430 Construction Supervisor/Inspections        | 006   |          | 3,500                |         |                                     |                |                |
| 006 North Main                                 | 1450 Site Improvements-Security Camera          | 006   |          | 10,000               |         |                                     |                |                |
| 006 North Main                                 | 1460 Interior Rehab-Ventilation System          | 006   |          | 15,000               |         |                                     |                |                |
| 007 Fairgrounds                                | 1406 Mod for Operations                         | 007   |          | 94,155               |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Training/Staff Resident                    | 007   |          | 2,400                |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Computer Software/Hardware                 | 007   |          | 6,300                |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Safety Program                             | 007   |          | 750                  |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Resident Screening/Orientation             | 007   |          | 1,049                |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Maintenance Tools & Equipment              | 007   |          | 2,098                |         |                                     |                |                |
| 007 Fairgrounds                                | 1408 Marketing-Vacancy/Reduction                | 007   |          | 1,049                |         |                                     |                |                |
| 007 Fairgrounds                                | 1430 A & E                                      | 007   |          | 4,500                |         |                                     |                |                |
| 007 Fairgrounds                                | 1430 Consulting Services for Redev.             | 007   |          | 15,000               |         |                                     |                |                |
| 007 Fairgrounds                                | 1430 Construction Supervisor/Inspections        | 007   |          | 1,000                |         |                                     |                |                |
| 007 Fairgrounds                                | 1450 Site Improvements-Sidewalks                | 007   |          | 20,000               |         |                                     |                |                |
| 009 Olesen Plaza                               | 1406 Mod for Operations                         | 009   |          | 0                    |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Security Cameras and Hardware              | 009   |          | 17,241               |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Service Coordinators / Elderly             | 009   |          | 8,744                |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Training/Staff Resident                    | 009   |          | 1,697                |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Computer Software/Hardware                 | 009   |          | 4,557                |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Safety Program                             | 009   |          | 335                  |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Resident Screening/Orientation             | 009   |          | 379                  |         |                                     |                |                |
| 009 Olesen Plaza                               | 1408 Maintenance Tools & Equipment              | 009   |          | 1,510                |         |                                     |                |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250107</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2007</b> |                   |                |                |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|----------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories         | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                |
| 009 Olesen Plaza                               | 1408 Marketing-Vacancy/Reduction                        | 009   |          | 755                  |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1430 A & E  | 009   |          | 21,500               |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1430 Construction Supervisor/Inspections                | 009   |          | 6,500                |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1450 Site Improvements-Prking Lot Seal                  | 009   |          | 5,000                |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1450 Site Improvements – Security Camera                | 009   |          | 10,000               |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1460 Interior Rehab-1 <sup>st</sup> Floor Renovation    | 009   |          | 175,000              |                                     |                   |                |                |
| 009 Olesen Plaza                               | 1460 Interior Rehab-Kitchen Prototype                   | 009   |          | 7,500                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1406 Mod for Operations                                 | 014   |          | 40,441               |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Security Cameras and Hardware                      | 014   |          | 4,875                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Service Coordinators / Elderly                     | 014   |          | 2,464                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Training/Staff Resident                            | 014   |          | 479                  |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Computer Software/Hardware                         | 014   |          | 1,201                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Safety Program                                     | 014   |          | 114                  |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Resident Screening/Orientation                     | 014   |          | 192                  |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Maintenance Tools & Equipment                      | 014   |          | 425                  |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1408 Marketing-Vacancy/Reduction                        | 014   |          | 213                  |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1430 A & E  | 014   |          | 4,300                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1430 Construction Supervisor/Inspections                | 014   |          | 2,150                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab-Intercom Replacement                | 014   |          | 25,000               |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab-PTAC Replacement                    | 014   |          | 3,334                |                                     |                   |                |                |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab-1 <sup>st</sup> Floor Apt Bathrooms | 014   |          | 110,000              |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1406 Mod for Operations                                 | 014   |          | 25,393               |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Security Cameras and Hardware                      | 014   |          | 3,061                |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Service Coordinators / Elderly                     | 014   |          | 1,547                |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Training/Staff Resident                            | 014   |          | 301                  |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Computer Software/Hardware                         | 014   |          | 754                  |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Safety Program                                     | 014   |          | 72                   |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Resident Screening/Orientation                     | 014   |          | 121                  |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Maintenance Tools & Equipment                      | 014   |          | 267                  |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1408 Marketing – Vacancy/Reduction                      | 014   |          | 134                  |                                     |                   |                |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250107</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2007</b> |                   |                |                |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|----------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories         | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                |
| 014 Low Rises-Summit                           | 1430 A & E  | 014   |          | 2,700                |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1430 Construction Supervisor/Inspections                | 014   |          | 1,350                |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1460 Interior Rehab-Intercom Replacement                | 014   |          | 25,000               |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1460 Interior Rehab-PTAC Replacement                    | 014   |          | 3,333                |                                     |                   |                |                |
| 014 Low Rises-Summit                           | 1460 Interior Rehab-1 <sup>st</sup> Floor Apt Bathrooms | 014   |          | 32,000               |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1406 Mod for Operations                                 | 014   |          | 28,214               |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Security Cameras and Hardware                      | 014   |          | 3,401                |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Service Coordinators / Elderly                     | 014   |          | 1,719                |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Training/Staff Resident                            | 014   |          | 334                  |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Computer Software/Hardware                         | 014   |          | 838                  |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Safety Program                                     | 014   |          | 80                   |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Resident Screening/Orientation                     | 014   |          | 134                  |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Maintenance Tools & Equipment                      | 014   |          | 297                  |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1408 Marketing – Vacancy/Reduction                      | 014   |          | 149                  |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1430 A & E  | 014   |          | 3,000                |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1430 Construction Supervisor/Inspections                | 014   |          | 1,500                |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab-Intercom Replacement                | 014   |          | 25,000               |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab-PTAC Replacement                    | 014   |          | 3,333                |                                     |                   |                |                |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab-1 <sup>st</sup> Floor Apt Bathrooms | 014   |          | 103,000              |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1406 Mod for Operations                                 | 020   |          | 0                    |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Training/Staff Resident                            | 020   |          | 3,375                |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Computer Software/Hardware                         | 020   |          | 4,500                |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Safety Program                                     | 020   |          | 750                  |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Resident Screening/Orientation                     | 020   |          | 750                  |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Maintenance Tools & Equipment                      | 020   |          | 1,500                |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Marketing-Vacancy/Reduction                        | 020   |          | 750                  |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1408 Upgrade Maintenance Fleet                          | 020   |          | 40,000               |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1430 A & E  | 020   |          | 12,000               |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1430 Construction Supervisor/Inspections                | 020   |          | 5,000                |                                     |                   |                |                |
| 020 Scattered Sites West                       | 1450 Site Improvements-Sidewalks                        | 020   |          | 20,000               |                                     |                   |                |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250107</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2007</b> |                |                |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|----------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                |
| 020 Scattered Sites West                       | 1460 Interior Rehab-Lead Abatement              | 020   |          | 74,250               |         |                                     |                |                |
| 021 Scattered Sites East                       | 1406 Mod for Operations                         | 021   |          | 89,155               |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Training/Staff Resident                    | 021   |          | 3,375                |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Computer Software/Hardware                 | 021   |          | 4,500                |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Safety Program                             | 021   |          | 750                  |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Resident Screening/Orientation             | 021   |          | 750                  |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Maintenance Tools & Equipment              | 021   |          | 1,500                |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Marketing-Vacancy/Reduction                | 021   |          | 750                  |         |                                     |                |                |
| 021 Scattered Sites East                       | 1408 Upgrade Maintenance Fleet                  | 021   |          | 20,000               |         |                                     |                |                |
| 021 Scattered Sites East                       | 1430 A & E                                      | 021   |          | 12,000               |         |                                     |                |                |
| 021 Scattered Sites East                       | 1430 Construction Supervisor/Inspections        | 021   |          | 5,000                |         |                                     |                |                |
| 021 Scattered Sites East                       | 1450 Site Improvements-Sidewalks                | 021   |          | 20,000               |         |                                     |                |                |
| 021 Scattered Sites East                       | 1460 Interior Rehab-Lead Abatement              | 021   |          | 74,250               |         |                                     |                |                |
| 051 Jane Addams                                | 1485 Demolition                                 | 051   |          | 500,000              |         |                                     |                |                |
| 052 Brewington Oaks                            | 1406 Mod for Operations                         | 052   |          | 33,155               |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Security                                   | 052   |          | 140,645              |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Service Coordinators / Elderly             | 052   |          | 24,210               |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Training/Staff Resident                    | 052   |          | 4,700                |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Computer Software/Hardware                 | 052   |          | 12,600               |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Safety Program                             | 052   |          | 750                  |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Resident Screening/Orientation             | 052   |          | 1,100                |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Maintenance Tools & Equipment              | 052   |          | 4,172                |         |                                     |                |                |
| 052 Brewington Oaks                            | 1408 Marketing-Vacancy/Reduction                | 052   |          | 2,086                |         |                                     |                |                |
| 052 Brewington Oaks                            | 1430 A & E                                      | 052   |          | 10,000               |         |                                     |                |                |
| 052 Brewington Oaks                            | 1430 Construction Supervisor/Inspections        | 052   |          | 5,000                |         |                                     |                |                |
| 052 Brewington Oaks                            | 1450 Site Improvements-Cameras                  | 052   |          | 20,000               |         |                                     |                |                |



**Annual Statement/Performance and Evaluation Report  
 Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)  
 Part III: Implementation Schedule**

| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> |   | <b>Grant Type and Number</b><br>Capital Fund Program No: <b>IL06P02250107</b><br>Replacement Housing Factor No: |        |   |         | <b>Federal FY of Grant:</b><br><b>2007</b> |                                  |
|---|---|---|--------|---|---------|--|----------------------------------|
| Development Number<br>Name/HA-Wide Activities         | All Fund Obligated<br>(Quarter Ending Date) |   |        | All Funds Expended<br>(Quarter Ending Date) |         |  | Reasons for Revised Target Dates |
|   | Original                                    | Revised   | Actual | Original                                    | Revised | Actual                                     |                                  |
| IL22-001 Blackhawk                                    | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-003 Orton Keyes                                  | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-004 Park Terrace                                 | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-006 N. Main Manor                                | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-007 Fairgrounds                                  | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-009 Olesen Plaza                                 | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-014 Low Rises                                    | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-020 Scattered Sites                              | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-021 Scattered Sties                              | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-051 Jane Addams                                  | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| IL22-052 Brewington Oaks                              | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |
| PHA Wide  | 09/30/09                                    |   |        | 09/30/11                                    |         |  |                                  |

## **Attachment C**

### **Capital Fund Program Five Year Action Plan Parts I, II, and III**

**Capital Fund Program Five-Year Action Plan**  
**Part I: Summary**

| PHA Name<br>ROCKFORD HOUSING AUTHORITY    |                    | <input checked="" type="checkbox"/> Original 5-Year Plan<br><input type="checkbox"/> Revision No: |   |   |  |
|---|--------------------|---|---|---|--|
| A. Development Number/Name/HA-Wide        | Year 1<br>FY: 2007 | Work Statement for Year 2<br>FFY Grant: IL06P02250-108<br>PHA FY: <b>2008</b>                     | Work Statement for Year 3<br>FFY Grant: IL06P02250-109<br>PHA FY: <b>2009</b> | Work Statement for Year 4<br>FFY Grant: IL06P02250-110<br>PHA FY: <b>2010</b> | Work Statement for Year 5<br>FFY Grant:IL06P02250-111<br>PHA FY: <b>2011</b> |
| PHA Wide                                  |                    | \$  | \$  | \$  |  |
| IL22-001 Blackhawk                        |                    | 50,000  | 0   | 0   | 0  |
| IL22-003 Orton Keyes                      |                    | 85,000  | 455,500   | 405,500   | 250,890  |
| IL22-004 Park Terrace                     |                    | 535,000   | 0   | 477,326   | 600,000  |
| IL22-006 N. Main Manor                    |                    | 0   | 55,500  | 0   | 150,010  |
| IL22-007 Fairgrounds                      |                    | 20,000  | 20,000  | 25,000  | 110,000  |
| IL22-009 Olesen Plaza                     |                    | 65,000  | 65,500  | 0   | 49,035   |
| IL22-014A Buckbee Apartments              |                    | 55,000  | 14,000  | 149,333   | 3,333  |
| IL22-014B Summit Green                    |                    | 45,000  | 96,000  | 19,333  | 3,333  |
| IL22-014C Midvale Apartments              |                    | 168,826   | 14,000  | 19,334  | 3,334  |
| IL22-020 Scattered Sites West             |                    | 330,000   | 290,000   | 20,000  | 170,000  |
| IL22-021 Scattered Sites East             |                    | 330,000   | 20,000  | 270,000   | 170,000  |
| IL22-051 Jane Addams                      |                    | 0   | 0   | 0   | 0  |
| IL22-052 Brewington Oaks                  |                    | 45,000  | 913,326   | 472,500   | 250,000  |
| <b>B. Physical Improvements Sub Total</b> |                    | <b>1,728,826</b>  | <b>1,943,826</b>  | <b>1,858,326</b>  | <b>1,759,935</b>   |
| <b>C. Management Improvements</b>         |                    | <b>403,144</b>  | <b>383,144</b>  | <b>403,144</b>  | <b>382,035</b>   |
| <b>D. HA Wide Non Dwelling Structures</b> |                    | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>   |
| <b>E. Administration</b>                  |                    | <b>323,716</b>  | <b>323,716</b>  | <b>323,716</b>  | <b>323,716</b>   |
| <b>F. Other</b>                           |                    | <b>457,754</b>  | <b>262,754</b>  | <b>228,254</b>  | <b>447,754</b>   |
| <b>G. Operations</b>                      |                    | <b>323,716</b>  | <b>323,716</b>  | <b>323,716</b>  | <b>323,716</b>   |
| <b>H. Demolition</b>                      |                    | <b>0</b>  | <b>0</b>  | <b>100,000</b>  | <b>0</b>   |
| <b>K. Total CGP Funds</b>                 |                    | <b>3,237,156</b>  | <b>3,237,156</b>  | <b>3,237,156</b>  | <b>3,237,156</b>   |
| <b>M. Grand Total</b>                     |                    | <b>3,237,156</b>  | <b>3,237,156</b>  | <b>3,237,156</b>  | <b>3,237,156</b>   |
| Signature of Executive Director           | Date               | Sign. of Public Housing Dir.  |   | Date  |  |

**Capital Fund Program Five-Year Action Plan**

**Part II: Supporting Pages— Physical Needs**

| Activities for Year 1 2007 | Activities for Year :02<br>FFY Grant: IL06P022501-08<br>PHA FY: 2008 |                        |                | Activities for Year: 03<br>FFY Grant: I106P022501-09<br>PHA FY: 2009 |                                  |                |
|----------------------------|--|------------------------|----------------|--|----------------------------------|----------------|
|                            | Development Name/Number  | Major Work Categories  | Estimated Cost | Development Name/Number  | Major Work Categories            | Estimated Cost |
| <b>See</b>                 | IL22-001 Blackhawk   | Pkg Lot Sealing/Stripe | \$50,000       | IL22-003 Orton Keyes   | Window Replacement               | \$230,500      |
| <b>Annual</b>              | IL22-003 Orton Keyes   | ADA Apt Upgrades       | 85,000         | IL22-003 Orton Keyes   | Siding                           | 225,000        |
| <b>Statement</b>           | IL22-004 Park Terrace  | Apt Door Hardware      | 60,000         | IL22-006 North Main  | Apt Door Hardware                | 55,500         |
|                            | IL22-004 Park Terrace  | Lobby Renovation       | 150,000        | IL22-007 Fairgrounds   | Sidewalks                        | 20,000         |
|                            | IL22-004 Park Terrace  | Riser Replacement      | 325,000        | IL22-009 Olesen Plaza  | Apt Door Hardware                | 55,500         |
|                            | IL22-007 Fairgrounds   | Sidewalks              | 20,000         | IL22-009 Olesen Plaza  | Closet Door Replace.             | 10,000         |
|                            | IL22-009 Olesen Plaza  | Dan Foss Room Controls | 45,000         | IL22-014 Buckbee   | Closet Door Replace.             | 10,667         |
|                            | IL22-009 Olesen Plaza  | Kitchen Prototype      | 10,000         | IL22-014 Buckbee   | PTAC Replacement                 | 3,333          |
|                            | IL22-009 Olesen Plaza  | Closet Door Replace.   | 10,000         | IL22-014 Summit  | Closet Door Replace.             | 10,667         |
|                            | IL22-014 Buckbee   | Closet Door Replace.   | 11,667         | IL22-014 Summit  | PTAC Replacement                 | 3,333          |
|                            | IL22-014 Buckbee   | PTAC Replacement       | 3,333          | IL22-014 Summit  | Siding                           | 52,000         |
|                            | IL22-014 Buckbee   | Hall Lighting          | 20,000         | IL22-014 Summit  | Roof Replace.                    | 30,000         |
|                            | IL22-014 Buckbee   | Hall Carpet            | 20,000         | IL22-014 Midvale   | Closet Door Replace.             | 10,666         |
|                            | IL22-014 Summit  | Closet Door Replace.   | 11,667         | IL22-014 Midvale   | PTAC Replacement                 | 3,334          |
|                            | IL22-014 Summit  | PTAC Replacement       | 3,333          | IL22-020 Scattered Sites   | Sidewalks                        | 20,000         |
|                            | IL22-014 Summit  | Hall Lighting          | 15,000         | IL22-020 Scattered Sites   | Roofs                            | 20,000         |
|                            | IL22-014 Summit  | Hall Carpet            | 15,000         | IL22-020 Scattered Sites   | Lead Based Paint Abate           | 70,000         |
|                            | IL22-014 Midvale   | Closet Door Replace.   | 11,666         | IL22-020 Scattered Sites   | Roof Replacement                 | 100,000        |
|                            | IL22-014 Midvale   | PTAC Replacement       | 3,334          | IL22-020 Scattered Sites   | Window Replacement               | 80,000         |
|                            | IL22-014 Midvale   | Siding                 | 88,826         | IL22-021 Scattered Sites   | Sidewalks                        | 20,000         |
|                            | IL22-014 Midvale   | Hall Lighting          | 10,000         | IL22-052 Brewington  | PTAC Replacement                 | 45,000         |
|                            | IL22-014 Midvale   | Hall Carpet            | 10,000         | IL22-052 Brewington  | 1 <sup>st</sup> Floor Renovation | 200,000        |
|                            | IL22-014 Midvale   | Roof Replacement       | 45,000         | IL22-052 Brewington  | Riser Replace, floors            | 668,326        |
|                            | IL22-020 Scattered Sites   | Sidewalks              | 20,000         |  |                                  |                |
|                            | IL22-020 Scattered Sites   | Roofs                  | 150,000        |  |                                  |                |
|                            | IL22-020 Scattered Sites   | Siding                 | 160,000        |  |                                  |                |
|                            |  |                        |                |  |                                  |                |
|                            |  |                        |                |  |                                  |                |
|                            |  |                        |                |  |                                  |                |





**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for<br>Year 1<br>2007 | Activities for Year :02<br>FFY Grant: IL06P022501-08<br>PHA FY: 2008 |                          |                | Activities for Year: 03<br>FFY Grant: I106P022501-09<br>PHA FY: 2009 |                          |                |
|----------------------------------|--|--------------------------|----------------|--|--------------------------|----------------|
|                                  | Development<br>Name/Number   | Major Work<br>Categories | Estimated Cost | Development<br>Name/Number   | Major Work<br>Categories | Estimated Cost |
| <b>See</b>                       | IL22-001 Blackhawk   | Training                 | \$2,219        | IL22-001 Blackhawk   | Training                 | \$2,219        |
| <b>Annual</b>                    | IL22-001 Blackhawk   | Computer Upgrades        | 6,022          | IL22-001 Blackhawk   | Computer Upgrades        | 6,022          |
| <b>Statement</b>                 | IL22-001 Blackhawk   | Safety Program           | 397            | IL22-001 Blackhawk   | Safety Program           | 397            |
|                                  | IL22-001 Blackhawk   | Resident Screening       | 528            | IL22-001 Blackhawk   | Resident Screening       | 528            |
|                                  | IL22-001 Blackhawk   | Maintenance Tools/Eq.    | 1,955          | IL22-001 Blackhawk   | Maintenance Tools/Eq.    | 1,955          |
|                                  | IL22-001 Blackhawk   | Marketing                | 980            | IL22-001 Blackhawk   | Marketing                | 980            |
|                                  | IL22-003 Orton Keys  | Training                 | 1,981          | IL22-003 Orton Keys  | Training                 | 1,981          |
|                                  | IL22-003 Orton Keys  | Computer Upgrades        | 5,378          | IL22-003 Orton Keys  | Computer Upgrades        | 5,378          |
|                                  | IL22-003 Orton Keys  | Safety Program           | 354            | IL22-003 Orton Keys  | Safety Program           | 354            |
|                                  | IL22-003 Orton Keys  | Resident Screening       | 473            | IL22-003 Orton Keys  | Resident Screening       | 473            |
|                                  | IL22-003 Orton Keys  | Maintenance Tools/Eq.    | 1,745          | IL22-003 Orton Keys  | Maintenance Tools/Eq.    | 1,745          |
|                                  | IL22-003 Orton Keys  | Marketing                | 875            | IL22-003 Orton Keys  | Marketing                | 875            |
|                                  | IL22-004 Park Terrace  | Security                 | 11,704         | IL22-004 Park Terrace  | Security                 | 11,704         |
|                                  | IL22-004 Park Terrace  | Service Coordinators     | 10,485         | IL22-004 Park Terrace  | Service Coordinators     | 10,485         |
|                                  | IL22-004 Park Terrace  | Training                 | 2,037          | IL22-004 Park Terrace  | Training                 | 2,037          |
|                                  | IL22-004 Park Terrace  | Computer Upgrades        | 5,108          | IL22-004 Park Terrace  | Computer Upgrades        | 5,108          |
|                                  | IL22-004 Park Terrace  | Safety Program           | 485            | IL22-004 Park Terrace  | Safety Program           | 485            |
|                                  | IL22-004 Park Terrace  | Resident Screening       | 818            | IL22-004 Park Terrace  | Resident Screening       | 818            |
|                                  | IL22-004 Park Terrace  | Maintenance Tools/Eq.    | 1,811          | IL22-004 Park Terrace  | Maintenance Tools/Eq.    | 1,811          |
|                                  | IL22-004 Park Terrace  | Marketing                | 905            | IL22-004 Park Terrace  | Marketing                | 905            |
|                                  | IL22-006 North Main  | Security                 | 12,117         | IL22-006 North Main  | Security                 | 12,117         |
|                                  | IL22-006 North Main  | Service Coordinators     | 10,831         | IL22-006 North Main  | Service Coordinators     | 10,831         |
|                                  | IL22-006 North Main  | Training                 | 2,103          | IL22-006 North Main  | Training                 | 2,103          |
|                                  | IL22-006 North Main  | Computer Upgrades        | 5,643          | IL22-006 North Main  | Computer Upgrades        | 5,643          |
|                                  | IL22-006 North Main  | Safety Program           | 415            | IL22-006 North Main  | Safety Program           | 415            |
|                                  | IL22-006 North Main  | Resident Screening       | 471            | IL22-006 North Main  | Resident Screening       | 471            |
|                                  | IL22-006 North Main  | Maintenance Tools/Eq.    | 1,870          | IL22-006 North Main  | Maintenance Tools/Eq.    | 1,870          |
|                                  | IL22-006 North Main  | Marketing                | 935            | IL22-006 North Main  | Marketing                | 935            |
|                                  | IL22-007 Fairgrounds   | Training                 | 2,400          | IL22-006 North Main  | Upgrade Maint. Fleet     | 20,000         |
|                                  | IL22-007 Fairgrounds   | Computer Upgrades        | 6,300          |  |                          |                |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for Year 1 2007 | Activities for Year :02<br>FFY Grant: IL06P022501-08<br>PHA FY: 2008 |                       |                | Activities for Year: 03<br>FFY Grant: I106P022501-09<br>PHA FY: 2009 |                       |                |
|----------------------------|--|-----------------------|----------------|--|-----------------------|----------------|
|                            | Development Name/Number  | Major Work Categories | Estimated Cost | Development Name/Number  | Major Work Categories | Estimated Cost |
| <b>See</b>                 | IL22-007 Fairgrounds   | Safety Program        | 750            | IL22-007 Fairgrounds   | Training              | 2,400          |
| <b>Annual</b>              | IL22-007 Fairgrounds   | Resident Screening    | 1,049          | IL22-007 Fairgrounds   | Computer Upgrades     | 6,300          |
| <b>Statement</b>           | IL22-007 Fairgrounds   | Maintenance Tools/Eq. | 2,098          | IL22-007 Fairgrounds   | Safety Program        | 750            |
|                            | IL22-007 Fairgrounds   | Marketing             | 1,049          | IL22-007 Fairgrounds   | Resident Screening    | 1,049          |
|                            | IL22-009 Olesen Plaza  | Security              | 9,783          | IL22-007 Fairgrounds   | Maintenance Tools/Eq. | 2,098          |
|                            | IL22-009 Olesen Plaza  | Service Coordinators  | 8,744          | IL22-007 Fairgrounds   | Marketing             | 1,049          |
|                            | IL22-009 Olesen Plaza  | Training              | 1,697          | IL22-007 Fairgrounds   | Upgrade Maint. Fleet  | 20,000         |
|                            | IL22-009 Olesen Plaza  | Computer Upgrades     | 4,557          | IL22-009 Olesen Plaza  | Security              | 9,783          |
|                            | IL22-009 Olesen Plaza  | Safety Program        | 335            | IL22-009 Olesen Plaza  | Service Coordinators  | 8,744          |
|                            | IL22-009 Olesen Plaza  | Resident Screening    | 379            | IL22-009 Olesen Plaza  | Training              | 1,697          |
|                            | IL22-009 Olesen Plaza  | Maintenance Tools/Eq. | 1,510          | IL22-009 Olesen Plaza  | Computer Upgrades     | 4,557          |
|                            | IL22-009 Olesen Plaza  | Marketing             | 755            | IL22-009 Olesen Plaza  | Safety Program        | 335            |
|                            | IL22-014 Buckbee   | Security              | 2,751          | IL22-009 Olesen Plaza  | Resident Screening    | 379            |
|                            | IL22-014 Buckbee   | Service Coordinators  | 2,464          | IL22-009 Olesen Plaza  | Maintenance Tools/Eq. | 1,510          |
|                            | IL22-014 Buckbee   | Training              | 479            | IL22-009 Olesen Plaza  | Marketing             | 755            |
|                            | IL22-014 Buckbee   | Computer Upgrades     | 1,201          | IL22-014 Buckbee   | Security              | 2,751          |
|                            | IL22-014 Buckbee   | Safety Program        | 114            | IL22-014 Buckbee   | Service Coordinators  | 2,464          |
|                            | IL22-014 Buckbee   | Resident Screening    | 192            | IL22-014 Buckbee   | Training              | 479            |
|                            | IL22-014 Buckbee   | Maintenance Tools/Eq. | 425            | IL22-014 Buckbee   | Computer Upgrades     | 1,201          |
|                            | IL22-014 Buckbee   | Marketing             | 213            | IL22-014 Buckbee   | Safety Program        | 114            |
|                            | IL22-014 Summit  | Security              | 1,727          | IL22-014 Buckbee   | Resident Screening    | 192            |
|                            | IL22-014 Summit  | Service Coordinators  | 1,547          | IL22-014 Buckbee   | Maintenance Tools/Eq. | 425            |
|                            | IL22-014 Summit  | Training              | 301            | IL22-014 Buckbee   | Marketing             | 213            |
|                            | IL22-014 Summit  | Computer Upgrades     | 754            | IL22-014 Summit  | Security              | 1,727          |
|                            | IL22-014 Summit  | Safety Program        | 72             | IL22-014 Summit  | Service Coordinators  | 1,547          |
|                            | IL22-014 Summit  | Resident Screening    | 121            | IL22-014 Summit  | Training              | 301            |
|                            | IL22-014 Summit  | Maintenance Tools/Eq. | 267            | IL22-014 Summit  | Computer Upgrades     | 754            |
|                            | IL22-014 Summit  | Marketing             | 134            | IL22-014 Summit  | Safety Program        | 72             |
|                            |  |                       |                | IL22-014 Summit  | Resident Screening    | 121            |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for Year 1 2007 | Activities for Year :02<br>FFY Grant: IL06P022501-08<br>PHA FY: 2008 |                       |                | Activities for Year: 03<br>FFY Grant: I106P022501-09<br>PHA FY: 2009 |                       |                |
|----------------------------|--|-----------------------|----------------|--|-----------------------|----------------|
|                            | Development Name/Number  | Major Work Categories | Estimated Cost | Development Name/Number  | Major Work Categories | Estimated Cost |
| <b>See</b>                 | IL22-014 Midvale   | Security              | 1,919          | IL22-014 Summit  | Maintenance Tools/Eq. | 267            |
| <b>Annual</b>              | IL22-014 Midvale   | Service Coordinators  | 1,719          | IL22-014 Summit  | Marketing             | 134            |
| <b>Statement</b>           | IL22-014 Midvale   | Training              | 334            | IL22-014 Midvale   | Security              | 1,919          |
|                            | IL22-014 Midvale   | Computer Upgrades     | 838            | IL22-014 Midvale   | Service Coordinators  | 1,719          |
|                            | IL22-014 Midvale   | Safety Program        | 80             | IL22-014 Midvale   | Training              | 334            |
|                            | IL22-014 Midvale   | Resident Screening    | 134            | IL22-014 Midvale   | Computer Upgrades     | 838            |
|                            | IL22-014 Midvale   | Maintenance Tools/Eq. | 297            | IL22-014 Midvale   | Safety Program        | 80             |
|                            | IL22-014 Midvale   | Marketing             | 149            | IL22-014 Midvale   | Resident Screening    | 134            |
|                            | IL22-020 Scattered Sites   | Training              | 3,375          | IL22-014 Midvale   | Maintenance Tools/Eq. | 297            |
|                            | IL22-020 Scattered Sites   | Computer Upgrades     | 4,500          | IL22-014 Midvale   | Marketing             | 149            |
|                            | IL22-020 Scattered Sites   | Safety Program        | 750            | IL22-020 Scattered Sites   | Training              | 3,375          |
|                            | IL22-020 Scattered Sites   | Resident Screening    | 750            | IL22-020 Scattered Sites   | Computer Upgrades     | 4,500          |
|                            | IL22-020 Scattered Sites   | Maintenance Tools/Eq. | 1,500          | IL22-020 Scattered Sites   | Safety Program        | 750            |
|                            | IL22-020 Scattered Sites   | Marketing             | 750            | IL22-020 Scattered Sites   | Resident Screening    | 750            |
|                            | IL22-020 Scattered Sites   | Upgrade Maint. Fleet  | 20,000         | IL22-020 Scattered Sites   | Maintenance Tools/Eq. | 1,500          |
|                            | IL22-021 Scattered Sites   | Training              | 3,375          | IL22-020 Scattered Sites   | Marketing             | 750            |
|                            | IL22-021 Scattered Sites   | Computer Upgrades     | 4,500          | IL22-021 Scattered Sites   | Training              | 3,375          |
|                            | IL22-021 Scattered Sites   | Safety Program        | 750            | IL22-021 Scattered Sites   | Computer Upgrades     | 4,500          |
|                            | IL22-021 Scattered Sites   | Resident Screening    | 750            | IL22-021 Scattered Sites   | Safety Program        | 750            |
|                            | IL22-021 Scattered Sites   | Maintenance Tools/Eq. | 1,500          | IL22-021 Scattered Sites   | Resident Screening    | 750            |
|                            | IL22-021 Scattered Sites   | Marketing             | 750            | IL22-021 Scattered Sites   | Maintenance Tools/Eq. | 1,500          |
|                            | IL22-021 Scattered Sites   | Upgrade Maint. Fleet  | 40,000         | IL22-021 Scattered Sites   | Marketing             | 750            |
|                            | IL22-052 Brewington  | Security              | 120,000        | IL22-052 Brewington  | Security              | 120,000        |
|                            | IL22-052 Brewington  | Service Coordinators  | 24,210         | IL22-052 Brewington  | Service Coordinators  | 24,210         |
|                            | IL22-052 Brewington  | Training              | 4,700          | IL22-052 Brewington  | Training              | 4,700          |
|                            | IL22-052 Brewington  | Computer Upgrades     | 12,600         | IL22-052 Brewington  | Computer Upgrades     | 12,600         |
|                            | IL22-052 Brewington  | Safety                | 750            | IL22-052 Brewington  | Safety                | 750            |
|                            |  |                       |                |  |                       |                |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for Year 1 2007 | Activities for Year :02<br>FFY Grant: IL06P022501-08<br>PHA FY: 2008 |                       |                | Activities for Year: 03<br>FFY Grant: II06P022501-09<br>PHA FY: 2009 |                       |                |
|----------------------------|--|-----------------------|----------------|--|-----------------------|----------------|
|                            | Development Name/Number  | Major Work Categories | Estimated Cost | Development Name/Number  | Major Work Categories | Estimated Cost |
| <b>See</b>                 | IL22-052 Brewington  | Resident Screening    | 1,100          | IL22-052 Brewington  | Resident Screening    | 1,100          |
| <b>Annual</b>              | IL22-052 Brewington  | Maintenance Tools/Eq. | 4,172          | IL22-052 Brewington  | Maintenance Tools/Eq. | 4,172          |
| <b>Statement</b>           | IL22-052 Brewington  | Marketing             | 2,086          | IL22-052 Brewington  | Marketing             | 2,086          |
|                            |  |                       |                |  |                       |                |
|                            |  |                       |                |  |                       |                |
|                            |  |                       |                |  |                       |                |
|                            | Total CFP Estimated Cost   |                       | \$403,144      |  |                       | \$383,144      |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for Year 1 2007 | Activities for Year :04<br>FFY Grant: IL06P022501-10<br>PHA FY: 2010 |                       |                | Activities for Year: 05<br>FFY Grant: I106P022501-11<br>PHA FY: 2011 |                       |                |
|----------------------------|--|-----------------------|----------------|--|-----------------------|----------------|
|                            | Development Name/Number  | Major Work Categories | Estimated Cost | Development Name/Number  | Major Work Categories | Estimated Cost |
| <b>See</b>                 | IL22-001 Blackhawk   | Training              | \$2,219        | IL22-001 Blackhawk   | Training              | \$2,219        |
| <b>Annual</b>              | IL22-001 Blackhawk   | Computer Upgrades     | 6,022          | IL22-001 Blackhawk   | Computer Upgrades     | 6,022          |
| <b>Statement</b>           | IL22-001 Blackhawk   | Safety Program        | 397            | IL22-001 Blackhawk   | Safety Program        | 397            |
|                            | IL22-001 Blackhawk   | Resident Screening    | 528            | IL22-001 Blackhawk   | Resident Screening    | 528            |
|                            | IL22-001 Blackhawk   | Maintenance Tools/Eq. | 1,955          | IL22-001 Blackhawk   | Maintenance Tools/Eq. | 1,955          |
|                            | IL22-001 Blackhawk   | Marketing             | 980            | IL22-001 Blackhawk   | Marketing             | 980            |
|                            | IL22-003 Orton Keys  | Training              | 1,981          | IL22-003 Orton Keys  | Training              | 1,981          |
|                            | IL22-003 Orton Keys  | Computer Upgrades     | 5,378          | IL22-003 Orton Keys  | Computer Upgrades     | 5,378          |
|                            | IL22-003 Orton Keys  | Safety Program        | 354            | IL22-003 Orton Keys  | Safety Program        | 354            |
|                            | IL22-003 Orton Keys  | Resident Screening    | 473            | IL22-003 Orton Keys  | Resident Screening    | 473            |
|                            | IL22-003 Orton Keys  | Maintenance Tools/Eq. | 1,745          | IL22-003 Orton Keys  | Maintenance Tools/Eq. | 1,745          |
|                            | IL22-003 Orton Keys  | Marketing             | 875            | IL22-003 Orton Keys  | Marketing             | 875            |
|                            | IL22-003 Orton Keys  | Upgrade Maint. Fleet  | 20,000         | IL22-004 Park Terrace  | Security              | 11,704         |
|                            | IL22-004 Park Terrace  | Security              | 11,704         | IL22-004 Park Terrace  | Service Coordinators  | 10,485         |
|                            | IL22-004 Park Terrace  | Service Coordinators  | 10,485         | IL22-004 Park Terrace  | Training              | 2,037          |
|                            | IL22-004 Park Terrace  | Training              | 2,037          | IL22-004 Park Terrace  | Computer Upgrades     | 5,108          |
|                            | IL22-004 Park Terrace  | Computer Upgrades     | 5,108          | IL22-004 Park Terrace  | Safety Program        | 485            |
|                            | IL22-004 Park Terrace  | Safety Program        | 485            | IL22-004 Park Terrace  | Resident Screening    | 818            |
|                            | IL22-004 Park Terrace  | Resident Screening    | 818            | IL22-004 Park Terrace  | Maintenance Tools/Eq. | 1,811          |
|                            | IL22-004 Park Terrace  | Maintenance Tools/Eq. | 1,811          | IL22-004 Park Terrace  | Marketing             | 905            |
|                            | IL22-004 Park Terrace  | Marketing             | 905            | IL22-006 North Main  | Security              | 12,117         |
|                            | IL22-006 North Main  | Security              | 12,117         | IL22-006 North Main  | Service Coordinators  | 10,831         |
|                            | IL22-006 North Main  | Service Coordinators  | 10,831         | IL22-006 North Main  | Training              | 2,103          |
|                            | IL22-006 North Main  | Training              | 2,103          | IL22-006 North Main  | Computer Upgrades     | 5,643          |
|                            | IL22-006 North Main  | Computer Upgrades     | 5,643          | IL22-006 North Main  | Safety Program        | 415            |
|                            | IL22-006 North Main  | Safety Program        | 415            | IL22-006 North Main  | Resident Screening    | 471            |
|                            | IL22-006 North Main  | Resident Screening    | 471            | IL22-006 North Main  | Maintenance Tools/Eq. | 1,870          |
|                            | IL22-006 North Main  | Maintenance Tools/Eq. | 1,870          | IL22-006 North Main  | Marketing             | 935            |
|                            | IL22-006 North Main  | Marketing             | 935            | IL22-007 Fairgrounds   | Training              | 2,400          |
|                            | IL22-007 Fairgrounds   | Training              | 2,400          |  |                       |                |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for<br>Year 1<br>2007 | Activities for Year :04<br>FFY Grant: IL06P022501-110<br>PHA FY: 2010 |                          |                | Activities for Year: 05<br>FFY Grant: II06P022501-11<br>PHA FY: 2011 |                          |                |
|----------------------------------|---|--------------------------|----------------|--|--------------------------|----------------|
|                                  | Development<br>Name/Number  | Major Work<br>Categories | Estimated Cost | Development<br>Name/Number   | Major Work<br>Categories | Estimated Cost |
| <b>See</b>                       | IL22-007 Fairgrounds  | Computer Upgrades        | 6,300          | IL22-007 Fairgrounds   | Computer Upgrades        | 6,300          |
| <b>Annual</b>                    | IL22-007 Fairgrounds  | Safety Program           | 750            | IL22-007 Fairgrounds   | Safety Program           | 750            |
| <b>Statement</b>                 | IL22-007 Fairgrounds  | Resident Screening       | 1,049          | IL22-007 Fairgrounds   | Resident Screening       | 1,049          |
|                                  | IL22-007 Fairgrounds  | Maintenance Tools/Eq.    | 2,098          | IL22-007 Fairgrounds   | Maintenance Tools/Eq.    | 2,098          |
|                                  | IL22-007 Fairgrounds  | Marketing                | 1,049          | IL22-007 Fairgrounds   | Marketing                | 1,049          |
|                                  | IL22-009 Olesen Plaza   | Security                 | 9,783          | IL22-009 Olesen Plaza  | Security                 | 9,783          |
|                                  | IL22-009 Olesen Plaza   | Service Coordinators     | 8,744          | IL22-009 Olesen Plaza  | Service Coordinators     | 8,744          |
|                                  | IL22-009 Olesen Plaza   | Training                 | 1,697          | IL22-009 Olesen Plaza  | Training                 | 1,697          |
|                                  | IL22-009 Olesen Plaza   | Computer Upgrades        | 4,557          | IL22-009 Olesen Plaza  | Computer Upgrades        | 4,557          |
|                                  | IL22-009 Olesen Plaza   | Safety Program           | 335            | IL22-009 Olesen Plaza  | Safety Program           | 335            |
|                                  | IL22-009 Olesen Plaza   | Resident Screening       | 379            | IL22-009 Olesen Plaza  | Resident Screening       | 379            |
|                                  | IL22-009 Olesen Plaza   | Maintenance Tools/Eq.    | 1,510          | IL22-009 Olesen Plaza  | Maintenance Tools/Eq.    | 1,510          |
|                                  | IL22-009 Olesen Plaza   | Marketing                | 755            | IL22-009 Olesen Plaza  | Marketing                | 755            |
|                                  | IL22-014 Buckbee  | Security                 | 2,751          | IL22-014 Buckbee   | Security                 | 2,751          |
|                                  | IL22-014 Buckbee  | Service Coordinators     | 2,464          | IL22-014 Buckbee   | Service Coordinators     | 2,464          |
|                                  | IL22-014 Buckbee  | Training                 | 479            | IL22-014 Buckbee   | Training                 | 479            |
|                                  | IL22-014 Buckbee  | Computer Upgrades        | 1,201          | IL22-014 Buckbee   | Computer Upgrades        | 1,201          |
|                                  | IL22-014 Buckbee  | Safety Program           | 114            | IL22-014 Buckbee   | Safety Program           | 114            |
|                                  | IL22-014 Buckbee  | Resident Screening       | 192            | IL22-014 Buckbee   | Resident Screening       | 192            |
|                                  | IL22-014 Buckbee  | Maintenance Tools/Eq.    | 425            | IL22-014 Buckbee   | Maintenance Tools/Eq.    | 425            |
|                                  | IL22-014 Buckbee  | Marketing                | 213            | IL22-014 Buckbee   | Marketing                | 213            |
|                                  | IL22-014 Buckbee  | Upgrade Maint. Fleet     | 6,668          | IL22-014 Summit  | Security                 | 1,727          |
|                                  | IL22-014 Summit   | Security                 | 1,727          | IL22-014 Summit  | Service Coordinators     | 1,547          |
|                                  | IL22-014 Summit   | Service Coordinators     | 1,547          | IL22-014 Summit  | Training                 | 301            |
|                                  | IL22-014 Summit   | Training                 | 301            | IL22-014 Summit  | Computer Upgrades        | 754            |
|                                  | IL22-014 Summit   | Computer Upgrades        | 754            | IL22-014 Summit  | Safety Program           | 72             |
|                                  | IL22-014 Summit   | Safety Program           | 72             | IL22-014 Summit  | Resident Screening       | 121            |
|                                  | IL22-014 Summit   | Resident Screening       | 121            |  |                          |                |
|                                  | IL22-014 Summit   | Maintenance Tools/Eq.    | 267            |  |                          |                |
|                                  |   |                          |                |  |                          |                |
|                                  |   |                          |                |  |                          |                |

**Capital Fund Program Five-Year Action Plan**  
**Part III: Supporting Pages – Management Needs**

| Activities for Year 1 2007 | Activities for Year :04<br>FFY Grant: IL06P022501-110<br>PHA FY: 2010 |                       |                | Activities for Year: 05<br>FFY Grant: I106P022501-11<br>PHA FY: 2011 |                         |                |
|----------------------------|---|-----------------------|----------------|--|-------------------------|----------------|
|                            | Development Name/Number   | Major Work Categories | Estimated Cost | Development Name/Number  | Major Work Categories   | Estimated Cost |
| <b>See</b>                 | IL22-014 Summit   | Marketing             | 134            | IL22-014 Summit  | Maintenance Tools/Eq.   | 267            |
| <b>Annual</b>              | IL22-014 Summit   | Upgrade Maint. Fleet  | 6,667          | IL22-014 Midvale   | Marketing               | 134            |
| <b>Statement</b>           | IL22-014 Midvale  | Security              | 1,919          | IL22-014 Midvale   | Security                | 1,919          |
|                            | IL22-014 Midvale  | Service Coordinators  | 1,719          | IL22-014 Midvale   | Service Coordinators    | 1,719          |
|                            | IL22-014 Midvale  | Training              | 334            | IL22-014 Midvale   | Training                | 334            |
|                            | IL22-014 Midvale  | Computer Upgrades     | 838            | IL22-014 Midvale   | Computer Upgrades       | 838            |
|                            | IL22-014 Midvale  | Safety Program        | 80             | IL22-014 Midvale   | Safety Program          | 80             |
|                            | IL22-014 Midvale  | Resident Screening    | 134            | IL22-014 Midvale   | Resident Screening      | 134            |
|                            | IL22-014 Midvale  | Maintenance Tools/Eq. | 297            | IL22-014 Midvale   | Maintenance Tools/Eq.   | 297            |
|                            | IL22-014 Midvale  | Marketing             | 149            | IL22-014 Midvale   | Marketing               | 149            |
|                            | IL22-014 Midvale  | Upgrade Maint. Fleet  | 6,665          | IL22-020 Scattered Sites   | Training/Resident-Staff | 3,375          |
|                            | IL22-020 Scattered Sites  | Training              | 3,375          | IL22-020 Scattered Sites   | Computer Upgrades       | 4,500          |
|                            | IL22-020 Scattered Sites  | Computer Upgrades     | 4,500          | IL22-020 Scattered Sites   | Safety Program          | 750            |
|                            | IL22-020 Scattered Sites  | Safety Program        | 750            | IL22-020 Scattered Sites   | Resident Screening      | 750            |
|                            | IL22-020 Scattered Sites  | Resident Screening    | 750            | IL22-020 Scattered Sites   | Maintenance Tools/Eq.   | 1,500          |
|                            | IL22-020 Scattered Sites  | Maintenance Tools/Eq. | 1,500          | IL22-020 Scattered Sites   | Marketing               | 750            |
|                            | IL22-020 Scattered Sites  | Marketing             | 750            | IL22-020 Scattered Sites   | Upgrade Maint. Fleet    | 20,000         |
|                            | IL22-021 Scattered Sites  | Training              | 3,375          | IL22-021 Scattered Sites   | Training/Resident-Staff | 3,375          |
|                            | IL22-021 Scattered Sites  | Computer Upgrades     | 4,500          | IL22-021 Scattered Sites   | Computer Upgrades       | 4,500          |
|                            | IL22-021 Scattered Sites  | Safety Program        | 750            | IL22-021 Scattered Sites   | Safety Program          | 750            |
|                            | IL22-021 Scattered Sites  | Resident Screening    | 750            | IL22-021 Scattered Sites   | Resident Screening      | 750            |
|                            | IL22-021 Scattered Sites  | Maintenance Tools/Eq. | 1,500          | IL22-021 Scattered Sites   | Maintenance Tools/Eq.   | 1,500          |
|                            | IL22-021 Scattered Sites  | Marketing             | 750            | IL22-021 Scattered Sites   | Marketing               | 750            |
|                            | IL22-052 Brewington   | Security              | 120,000        | IL22-021 Scattered Sites   | Upgrade Maint. Fleet    | 40,000         |
|                            | IL22-052 Brewington   | Service Coordinators  | 24,210         | IL22-052 Brewington  | Security                | 120,000        |
|                            | IL22-052 Brewington   | Training              | 4,700          | IL22-052 Brewington  | Service Coordinators    | 24,210         |
|                            | IL22-052 Brewington   | Computer Upgrades     | 12,600         | IL22-052 Brewington  | Training                | 4,700          |
|                            | IL22-052 Brewington   | Safety                | 750            | IL22-052 Brewington  | Computer Upgrades       | 12,600         |
|                            |   |                       |                | IL22-052 Brewington  | Safety                  | 750            |
|                            |   |                       |                |  |                         |                |
|                            |   |                       |                |  |                         |                |



## **Attachment D**

### **Capital Fund Program Performance and Evaluation Reports Parts I, II, and III**

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

|   |   |  |
|---|---|--|
| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>IL06P02250106</b><br>Replacement Housing Factor Grant No: | <b>Federal FY of Grant:</b><br><b>2006</b> |
|---|---|--|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/07  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |                  | Total Actual Cost |                |
|----------|---|----------------------|------------------|-------------------|----------------|
|          |   | Original             | Revised          | Obligated         | Expended       |
| 1        | Total non-CFP Funds                                       | 0                    | 0                | 0                 | 0              |
| 2        | 1406 Operations   | 632,769              | 632,769          | 632,769           | 316,385        |
| 3        | 1408 Management Improvements                              | 417,000              | 281,632          | 50,048            | 49,904         |
| 4        | 1410 Administration                                       | 158,192              | 316,385          | 316,385           | 158,192        |
| 5        | 1411 Audit  | 0                    | 0                | 0                 | 0              |
| 6        | 1415 Liquidated Damages                                   | 0                    | 0                | 0                 | 0              |
| 7        | 1430 Fees and Costs                                       | 199,640              | 396,240          | 318,694           | 52,954         |
| 8        | 1440 Site Acquisition                                     | 0                    | 0                | 0                 | 0              |
| 9        | 1450 Site Improvement                                     | 72,000               | 50,069           | 16,714            | 7,164          |
| 10       | 1460 Dwelling Structures                                  | 1,325,851            | 991,919          | 902,919           | 53,406         |
| 11       | 1465.1 Dwelling Equipment—Nonexpendable                   | 2,500                | 0                | 0                 | 0              |
| 12       | 1470 Non-dwelling Structures                              | 15,000               | 17,390           | 2,390             | 0              |
| 13       | 1475 Non-dwelling Equipment                               | 37,973               | 146,923          | 15,936            | 950            |
| 14       | 1485 Demolition   | 150,000              | 200,000          | 0                 | 0              |
| 15       | 1490 Replacement Reserve                                  | 0                    | 0                | 0                 | 0              |
| 16       | 1492 Moving to Work Demonstration                         | 0                    | 0                | 0                 | 0              |
| 17       | 1495.1 Relocation Costs                                   | 114,000              | 114,000          | 106,075           | 17,310         |
| 18       | 1499 Development Activities                               |                      |                  |                   | 0              |
| 19       | 1501 Collectivization or Debt Service                     | 0                    | 0                | 0                 | 0              |
| 20       | 1502 Contingency  | 38,920               | 16,520           | 0                 | 0              |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | <b>3,163,845</b>     | <b>3,163,845</b> | <b>2,361,930</b>  | <b>656,265</b> |
| 22       | Amount of line 21 Related to LBP Activities               |                      |                  |                   | 0              |
| 23       | Amount of line 21 Related to Section 504 comp             |                      |                  |                   | 0              |
| 24       | Amount of line 21 Related to Security – Soft              |                      |                  |                   |                |
| 25       | Amount of Line 21 Related to Security – Hard              |                      |                  |                   |                |
| 26       | Amount of line 21 Related to Energy Conservation Measures |                      |                  |                   |                |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 001 Blackhawk                                  | 1406 Mod for Operations                         | 001   |          | 61,740               | 61,740                              | 61,740            | 30,929         | In Process        |
| 001 Blackhawk                                  | 1408 Training/Staff Resident                    | 001   |          | 4,400                | 4,400                               | 854               | 854            | In Process        |
| 001 Blackhawk                                  | 1408 Computer Software                          | 001   |          | 6,000                | 5,211                               | 0                 | 0              | In Process        |
| 001 Blackhawk                                  | 1408 UPCS Inspections                           | 001   |          | 2,150                | 0                                   | 0                 | 0              | Complete          |
| 001 Blackhawk                                  | 1408 Resident Screening/Orientation             | 001   |          | 980                  | 980                                 | 0                 | 0              | In Process        |
| 001 Blackhawk                                  | 1408 Maintenance Tools & Equipment              | 001   |          | 1,960                | 1,960                               | 725               | 725            | In Process        |
| 001 Blackhawk                                  | 1408 Marketing-Vacancy/Reduction                | 001   |          | 980                  | 980                                 | 0                 | 0              | In Process        |
| 001 Blackhawk                                  | 1410 Management Fee                             | 001   |          | 0                    | 30,929                              | 30,929            | 15,464         | In Process        |
| 001 Blackhawk                                  | 1430 A & E                                      | 001   |          | 0                    | 1,807                               | 1,807             | 937            | In Process        |
| 001 Blackhawk                                  | 1430 A & E Consulting                           | 001   |          | 0                    | 15,373                              | 15,373            | 4,895          | In Process        |
| 001 Blackhawk                                  | 1430 Construction Supervisor/Inspections        | 001   |          | 0                    | 567                                 | 378               | 378            | In Process        |
| 001 Blackhawk                                  | 1450 Site Improvements                          | 001   |          | 0                    | 9,550                               | 9,550             | 0              | In Process        |
| 001 Blackhawk                                  | 1460 Interior Rehab                             | 001   |          | 5,000                | 7,992                               | 7,992             | 7,992          | Complete          |
| 001 Blackhawk                                  | 1460 Exterior Rehab                             | 001   |          | 10,000               | 2,435                               | 0                 | 0              | In Process        |
| 001 Blackhawk                                  | 1475 Computers/Office Equipment                 | 001   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 003 Orton Keyes                                | 1406 Mod for Operations                         | 003   |          | 55,125               | 55,125                              | 55,125            | 27,615         | In Process        |
| 003 Orton Keyes                                | 1408 Training/Staff Resident                    | 003   |          | 3,930                | 3,930                               | 853               | 853            | In Process        |
| 003 Orton Keyes                                | 1408 Computer Software                          | 003   |          | 5,400                | 4,611                               | 0                 | 0              | In Process        |
| 003 Orton Keyes                                | 1408 UPCS Inspections                           | 003   |          | 1,920                | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keyes                                | 1408 Resident Screening/Orientation             | 003   |          | 875                  | 875                                 | 0                 | 0              | In Process        |
| 003 Orton Keyes                                | 1408 Maintenance Tools & Equipment              | 003   |          | 1,750                | 1,750                               | 930               | 930            | In Process        |
| 003 Orton Keyes                                | 1408 Marketing-Vacancy/Reduction                | 003   |          | 875                  | 875                                 | 0                 | 0              | In Process        |
| 003 Orton Keyes                                | 1410 Management Fee                             | 003   |          | 0                    | 27,615                              | 27,615            | 13,808         | In Process        |
| 003 Orton Keyes                                | 1430 A & E                                      | 003   |          | 0                    | 777                                 | 777               | 0              | In Process        |
| 003 Orton Keyes                                | 1430 A & E Consulting                           | 003   |          | 0                    | 13,768                              | 13,768            | 395            | In Process        |
| 003 Orton Keyes                                | 1430 Construction Supervisor/Inspections        | 003   |          | 0                    | 410                                 | 221               | 221            | In Process        |
| 003 Orton Keyes                                | 1450 Site Improvements                          | 003   |          | 10,000               | 9,629                               | 1,275             | 1,275          | In Process        |
| 003 Orton Keyes                                | 1460 Interior Rehab                             | 003   |          | 5,000                | 8,254                               | 8,254             | 7,837          | In Process        |
| 003 Orton Keyes                                | 1470 Non-Dwelling Structures                    | 003   |          | 0                    | 2,390                               | 2,390             | 0              | In Process        |
| 003 Orton Keyes                                | 1475 Computers/Office Equipment                 | 003   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 004 Park Terrace                               | 1406 Mod for Operations                         | 004   |          | 56,700               | 56,700                              | 56,700            | 28,877         | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 004 Park Terrace                               | 1408 Security                                   | 004   |          | 11,700               | 11,700                              | 0                 | 0              | In Process        |
| 004 Park Terrace                               | 1408 Service Coordinators / Elderly             | 004   |          | 10,425               | 10,425                              | 5,216             | 5,216          | In Process        |
| 004 Park Terrace                               | 1408 Training/Staff Resident                    | 004   |          | 4,040                | 4,040                               | 178               | 178            | In Process        |
| 004 Park Terrace                               | 1408 Computer Software                          | 004   |          | 5,400                | 4,611                               | 0                 | 0              | In Process        |
| 004 Park Terrace                               | 1408 Upgrade Maintenance Fleet                  | 004   |          | 25,000               | 0                                   | 0                 | 0              | Complete          |
| 004 Park Terrace                               | 1408 UPCS Inspections                           | 004   |          | 1,975                | 0                                   | 0                 | 0              | Complete          |
| 004 Park Terrace                               | 1408 Resident Screening/Orientation             | 004   |          | 900                  | 900                                 | 0                 | 0              | In Process        |
| 004 Park Terrace                               | 1408 Maintenance Tools & Equipment              | 004   |          | 1,800                | 1,800                               | 591               | 591            | In Process        |
| 004 Park Terrace                               | 1408 Marketing-Vacancy/Reduction                | 004   |          | 900                  | 900                                 | 0                 | 0              | In Process        |
| 004 Park Terrace                               | 1410 Management Fee                             | 004   |          | 0                    | 28,877                              | 28,877            | 14,439         | In Process        |
| 004 Park Terrace                               | 1430 A & E                                      | 004   |          | 0                    | 813                                 | 813               | 0              | In Process        |
| 004 Park Terrace                               | 1430 A & E Consulting                           | 004   |          | 0                    | 14,380                              | 14,380            | 395            | In Process        |
| 004 Park Terrace                               | 1430 Construction Supervisor/Inspector          | 004   |          | 0                    | 2,615                               | 2,017             | 2,017          | In Process        |
| 004 Park Terrace                               | 1450 Site Improvements                          | 004   |          | 5,000                | 5,000                               | 0                 | 0              | In Process        |
| 004 Park Terrace                               | 1475 Computers/Office Equipment                 | 004   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 006 North Main                                 | 1406 Mod for Operations                         | 006   |          | 58,905               | 58,905                              | 58,905            | 29,509         | In Process        |
| 006 North Main                                 | 1408 Security                                   | 006   |          | 12,100               | 12,100                              | 0                 | 0              | In Process        |
| 006 North Main                                 | 1408 Service Coordinators / Elderly             | 006   |          | 10,830               | 10,830                              | 5,415             | 5,415          | In Process        |
| 006 North Main                                 | 1408 Training/Staff Resident                    | 006   |          | 4,200                | 4,200                               | 432               | 432            | In Process        |
| 006 North Main                                 | 1408 Computer Software                          | 006   |          | 5,600                | 4,811                               | 0                 | 0              | In Process        |
| 006 North Main                                 | 1408 UPCS Inspections                           | 006   |          | 2,073                | 0                                   | 0                 | 0              | Complete          |
| 006 North Main                                 | 1408 Resident Screening/Orientation             | 006   |          | 935                  | 935                                 | 0                 | 0              | In Process        |
| 006 North Main                                 | 1408 Maintenance Tools & Equipment              | 006   |          | 1,870                | 1,870                               | 625               | 625            | In Process        |
| 006 North Main                                 | 1408 Marketing-Vacancy/Reduction                | 006   |          | 935                  | 935                                 | 0                 | 0              | In Process        |
| 006 North Main                                 | 1410 Management Fee                             | 006   |          | 0                    | 29,509                              | 29,509            | 14,754         | In Process        |
| 006 North Main                                 | 1430 A & E                                      | 006   |          | 15,000               | 26,030                              | 26,030            | 25,200         | In Process        |
| 006 North Main                                 | 1430 A & E Consulting                           | 006   |          | 0                    | 14,685                              | 14,685            | 395            | In Process        |
| 006 North Main                                 | 1430 Construction Supervisor/Inspections        | 006   |          | 0                    | 1,087                               | 851               | 851            | In Process        |
| 006 North Main                                 | 1450 Site Improvements                          | 006   |          | 5,000                | 5,000                               | 0                 | 0              | In Process        |
| 006 North Main                                 | 1460 Interior Rehab-Elevator Upgrades           | 006   |          | 381,540              | 382,568                             | 382,568           | 21             | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 006 North Main                                 | 1460 Interior Rehab-Trash Compactor             | 006   |          | 12,000               | 8,375                               | 8,375             | 8,375          | Complete          |
| 006 North Main                                 | 1460 Interior Rehab-Make Up Air Handler         | 006   |          | 25,000               | 25,000                              | 0                 | 0              | In Process        |
| 006 North Main                                 | 1460 Exterior Rehab                             | 006   |          | 5,000                | 5,000                               | 825               | 0              | In Process        |
| 006 North Main                                 | 1475 Computers/Office Equipment                 | 006   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 007 Fairgrounds                                | 1406 Mod for Operations                         | 007   |          | 66,150               | 66,150                              | 66,150            | 33,138         | In Process        |
| 007 Fairgrounds                                | 1408 Training/Staff Resident                    | 007   |          | 4,750                | 4,750                               | 855               | 855            | In Process        |
| 007 Fairgrounds                                | 1408 Computer Software                          | 007   |          | 6,300                | 5,511                               | 0                 | 0              | In Process        |
| 007 Fairgrounds                                | 1408 UPCS Inspections                           | 007   |          | 2,305                | 0                                   | 0                 | 0              | Complete          |
| 007 Fairgrounds                                | 1408 Resident Screening/Orientation             | 007   |          | 1,049                | 1,049                               | 0                 | 0              | In Process        |
| 007 Fairgrounds                                | 1408 Maintenance Tools & Equipment              | 007   |          | 2,098                | 2,098                               | 1,128             | 1,128          | In Process        |
| 007 Fairgrounds                                | 1408 Marketing-Vacancy/Reduction                | 007   |          | 1,049                | 1,049                               | 0                 | 0              | In Process        |
| 007 Fairgrounds                                | 1410 Management Fees                            | 007   |          | 0                    | 33,138                              | 33,138            | 16,569         | In Process        |
| 007 Fairgrounds                                | 1430 A & E                                      | 007   |          | 0                    | 932                                 | 932               | 0              | In Process        |
| 007 Fairgrounds                                | 1430 A & E Consulting                           | 007   |          | 0                    | 16,443                              | 16,443            | 395            | In Process        |
| 007 Fairgrounds                                | 1430 Construction Supervisor/Inspections        | 007   |          | 0                    | 221                                 | 127               | 127            | In Process        |
| 007 Fairgrounds                                | 1460 Interior/Exterior Rehab                    | 007   |          | 0                    | 8,668                               | 8,668             | 6,928          | In Process        |
| 007 Fairgrounds                                | 1475 Computers/Office Equipment                 | 007   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 008 Scattered Sites                            | 1406 Mod for Operations                         | 008   |          | 40,005               | 40,005                              | 40,005            | 19,725         | In Process        |
| 008 Scattered Sites                            | 1408 Training/Staff Resident                    | 008   |          | 2,850                | 2,850                               | 1,192             | 1,192          | In Process        |
| 008 Scattered Sites                            | 1408 Computer Software                          | 008   |          | 3,600                | 2,811                               | 0                 | 0              | In Process        |
| 008 Scattered Sites                            | 1408 UPCS Inspections                           | 008   |          | 1,346                | 0                                   | 0                 | 0              | Complete          |
| 008 Scattered Sites                            | 1408 Resident Screening/Orientation             | 008   |          | 595                  | 595                                 | 0                 | 0              | In Process        |
| 008 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 008   |          | 1,260                | 1,260                               | 746               | 746            | In Process        |
| 008 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 008   |          | 595                  | 595                                 | 0                 | 0              | In Process        |
| 008 Scattered Sites                            | 1410 Management Fee                             | 008   |          | 0                    | 19,725                              | 19,725            | 9,863          | In Process        |
| 008 Scattered Site                             | 1430 A & E                                      | 008   |          | 0                    | 555                                 | 555               | 0              | In Process        |
| 008 Scattered Sites                            | 1430 A & E Consulting                           | 008   |          | 0                    | 9,947                               | 9,947             | 395            | In Process        |
| 008 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 008   |          | 0                    | 1,205                               | 616               | 616            | In Process        |
| 008 Scattered Sites                            | 1450 Site Improvements                          | 008   |          | 5,000                | 5,000                               | 0                 | 0              | In Process        |
| 008 Scattered Sites                            | 1460 Interior Rehab                             | 008   |          | 7,500                | 7,500                               | 4,143             | 4,143          | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 008 Scattered Sites                            | 1460 Exterior Rehab                             | 008   |          | 15,000               | 0                                   | 0                 | 0              | Complete          |
| 008 Scattered Sites                            | 1475 Computers/Office Equipment                 | 008   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 009 Olesen Plaza                               | 1406 Mod for Operations                         | 009   |          | 47,565               | 47,565                              | 47,565            | 23,828         | In Process        |
| 009 Olesen Plaza                               | 1408 Security                                   | 009   |          | 9,800                | 9,800                               | 0                 | 0              | In Process        |
| 009 Olesen Plaza                               | 1408 Service Coordinators / Elderly             | 009   |          | 8,745                | 8,745                               | 4,373             | 4,373          | In Process        |
| 009 Olesen Plaza                               | 1408 Training/Staff Resident                    | 009   |          | 3,400                | 3,400                               | 430               | 430            | In Process        |
| 009 Olesen Plaza                               | 1408 Computer Software                          | 009   |          | 4,600                | 3,811                               | 0                 | 0              | In Process        |
| 009 Olesen Plaza                               | 1408 UPCS Inspections                           | 009   |          | 1,675                | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1408 Resident Screening/Orientation             | 009   |          | 755                  | 755                                 | 0                 | 0              | In Process        |
| 009 Olesen Plaza                               | 1408 Maintenance Tools & Equipment              | 009   |          | 1,510                | 1,510                               | 306               | 306            | In Process        |
| 009 Olesen Plaza                               | 1408 Marketing-Vacancy/Reduction                | 009   |          | 755                  | 755                                 | 0                 | 0              | In Process        |
| 009 Olesen Plaza                               | 1410 Management Fee                             | 009   |          | 0                    | 23,828                              | 23,828            | 11,914         | In Process        |
| 009 Olesen Plaza                               | 1430 A & E                                      | 009   |          | 20,000               | 670                                 | 670               | 0              | In Process        |
| 009 Olesen Plaza                               | 1430 A & E Consulting                           | 009   |          | 0                    | 11,934                              | 11,934            | 395            | In Process        |
| 009 Olesen Plaza                               | 1430 Construction Supervisor/Inspections        | 009   |          | 0                    | 1,449                               | 1,370             | 1,370          | In Process        |
| 009 Olesen Plaza                               | 1450 Site Improvements                          | 009   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1460 Interior Rehab                             | 009   |          | 5,000                | 2,780                               | 2,780             | 0              | In Process        |
| 009 Olesen Plaza                               | 1460 Interior Rehab-Kitchen Cabinets            | 009   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1460 Interior Rehab-Community Room              | 009   |          | 100,000              | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1465 Appliances                                 | 009   |          | 2,500                | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1475 Computers/Office Equipment                 | 009   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 012 Scattered Sites                            | 1406 Mod for Operations                         | 012   |          | 3,150                | 3,150                               | 3,150             | 1,578          | In Process        |
| 012 Scattered Sites                            | 1408 Training/Staff Resident                    | 012   |          | 220                  | 220                                 | 97                | 97             | In Process        |
| 012 Scattered Sites                            | 1408 Computer Software                          | 012   |          | 250                  | 250                                 | 0                 | 0              | In Process        |
| 012 Scattered Sites                            | 1408 UPCS Inspections                           | 012   |          | 110                  | 0                                   | 0                 | 0              | Complete          |
| 012 Scattered Sites                            | 1408 Resident Screening/Orientation             | 012   |          | 50                   | 50                                  | 0                 | 0              | In Process        |
| 012 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 012   |          | 90                   | 90                                  | 60                | 60             | In Process        |
| 012 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 012   |          | 50                   | 50                                  | 0                 | 0              | In Process        |
| 012 Scattered Sites                            | 1410 Management Fee                             | 012   |          | 0                    | 1,578                               | 1,578             | 789            | In Process        |
| 012 Scattered Sites                            | 1430 A & E                                      | 012   |          | 0                    | 44                                  | 44                | 0              | In Process        |
| 012 Scattered Sites                            | 1430 A & E Consulting                           | 012   |          | 0                    | 1,159                               | 1,159             | 395            | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 012 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 012   |          | 0                    | 55                                  | 10                | 10             | In Process        |
| 012 Scattered Sites                            | 1460 Interior Rehab                             | 012   |          | 4,000                | 500                                 | 338               | 338            | In Process        |
| 012 Scattered Sites                            | 1460 Exterior Rehab                             | 012   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 012 Scattered Sites                            | 1475 Computers/Office Equipment                 | 012   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 013 Scattered Sites                            | 1406 Mod for Operations                         | 013   |          | 15,120               | 15,120                              | 15,120            | 7,259          | In Process        |
| 013 Scattered Sites                            | 1408 Training/Staff Resident                    | 013   |          | 1,075                | 1,075                               | 433               | 433            | In Process        |
| 013 Scattered Sites                            | 1408 Computer Software                          | 013   |          | 1,370                | 581                                 | 0                 | 0              | In Process        |
| 013 Scattered Sites                            | 1408 UPCS Inspections                           | 013   |          | 525                  | 0                                   | 0                 | 0              | Complete          |
| 013 Scattered Sites                            | 1408 Resident Screening/Orientation             | 013   |          | 240                  | 240                                 | 0                 | 0              | In Process        |
| 013 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 013   |          | 450                  | 450                                 | 284               | 284            | In Process        |
| 013 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 013   |          | 240                  | 240                                 | 0                 | 0              | In Process        |
| 013 Scattered Sites                            | 1410 Management Fee                             | 013   |          | 0                    | 7,259                               | 7,259             | 3,629          | In Process        |
| 013 Scattered Sites                            | 1430 A & E                                      | 013   |          | 10,000               | 204                                 | 204               | 0              | In Process        |
| 013 Scattered Sites                            | 1430 A & E Consulting                           | 013   |          | 0                    | 3,910                               | 3,910             | 395            | In Process        |
| 013 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 013   |          | 0                    | 639                                 | 13                | 13             | In Process        |
| 013 Scattered Sites                            | 1460 Interior Rehab                             | 013   |          | 4,000                | 4,000                               | 1,596             | 1,596          | In Process        |
| 013 Scattered Sites                            | 1460 Exterior Rehab-Window Replacement          | 013   |          | 20,000               | 8,000                               | 8,000             | 0              | In Process        |
| 013 Scattered Sites                            | 1475 Computers/Office Equipment                 | 013   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1406 Mod for Operations                         | 014   |          | 13,545               | 13,545                              | 13,545            | 6,785          | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Security                                   | 014   |          | 2,800                | 2,800                               | 0                 | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Service Coordinators / Elderly             | 014   |          | 2,490                | 2,490                               | 1,245             | 1,245          | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Training/Staff Resident                    | 014   |          | 965                  | 965                                 | 171               | 171            | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Computer Software                          | 014   |          | 1,075                | 286                                 | 0                 | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1408 UPCS Inspections                           | 014   |          | 470                  | 0                                   | 0                 | 0              | Complete          |
| 014 Low Rises-Buckbee                          | 1408 Resident Screening/Orientation             | 014   |          | 215                  | 215                                 | 0                 | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Maintenance Tools & Equipment              | 014   |          | 430                  | 430                                 | 184               | 184            | In Process        |
| 014 Low Rises-Buckbee                          | 1408 Marketing-Vacancy/Reduction                | 014   |          | 215                  | 215                                 | 0                 | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1410 Management Fee                             | 014   |          | 0                    | 6,785                               | 6,785             | 3,393          | In Process        |
| 014 Low Rises-Buckbee                          | 1430 A & E                                      | 014   |          | 0                    | 191                                 | 191               | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1430 A & E Consulting                           | 014   |          | 0                    | 3,681                               | 3,681             | 395            | In Process        |
| 014 Low Rises-Buckbee                          | 1430 Construction Supervisor/Inspections        | 014   |          | 0                    | 589                                 | 542               | 542            | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2006</b> |                |                   |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of<br>Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                   |
| 014 Low Rises-Buckbee                          | 1450 Site Improvement                           | 014   |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab                             | 014   |          | 15,000               | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab-PTAC Replacement            | 014   |          | 3,200                | 3,915   | 3,915                               | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1460 Interior Rehab-Hallway Carpet              | 014   |          | 20,000               | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Buckbee                          | 1475 Computers/Office Equipment                 | 014   |          | 0                    | 789     | 789                                 | 0              | In Process        |
| 014 Low Rises-Buckbee                          | 1475 Non-Dwelling Structures                    | 014   |          | 0                    | 950     | 950                                 | 950            | Complete          |
| 014 Low Rises-Summit                           | 1406 Mod for Operations                         | 014   |          | 8,505                | 8,505   | 8,505                               | 4,261          | In Process        |
| 014 Low Rises-Summit                           | 1408 Security                                   | 014   |          | 1,800                | 1,800   | 0                                   | 0              | In Process        |
| 014 Low Rises-Summit                           | 1408 Service Coordinators / Elderly             | 014   |          | 1,563                | 1,563   | 782                                 | 782            | In Process        |
| 014 Low Rises-Summit                           | 1408 Training/Staff Resident                    | 014   |          | 605                  | 605     | 171                                 | 171            | In Process        |
| 014 Low Rises-Summit                           | 1408 Computer Software                          | 014   |          | 675                  | 675     | 0                                   | 0              | In Process        |
| 014 Low Rises-Summit                           | 1408 UPCS Inspections                           | 014   |          | 295                  | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Summit                           | 1408 Resident Screening/Orientation             | 014   |          | 135                  | 135     | 0                                   | 0              | In Process        |
| 014 Low Rises-Summit                           | 1408 Maintenance Tools & Equipment              | 014   |          | 270                  | 270     | 184                                 | 184            | In Process        |
| 014 Low Rises-Summit                           | 1408 Marketing – Vacancy/Reduction              | 014   |          | 135                  | 135     | 0                                   | 0              | In Process        |
| 014 Low Rises-Summit                           | 1410 Management Fee                             | 014   |          | 0                    | 4,261   | 4,261                               | 2,130          | In Process        |
| 014 Low Rises-Summit                           | 1430 A & E                                      | 014   |          | 0                    | 120     | 120                                 | 0              | In Process        |
| 014 Low Rises-Summit                           | 1430 A & E Consulting                           | 014   |          | 0                    | 2,458   | 2,458                               | 395            | In Process        |
| 014 Low Rises-Summit                           | 1430 Construction Supervisor/Inspections        | 014   |          | 0                    | 243     | 196                                 | 196            | In Process        |
| 014 Low Rises-Summit                           | 1450 Site Improvements                          | 014   |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Summit                           | 1460 Interior Rehab                             | 014   |          | 15,000               | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Summit                           | 1460 Interior Rehab-PTAC Replacement            | 014   |          | 3,200                | 3,200   | 2,610                               | 0              | In Process        |
| 014 Low Rises-Summit                           | 1460 Interior Rehab-Hallway Carpet              | 014   |          | 15,000               | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Summit                           | 1475 Computers/Office Equipment                 | 014   |          | 0                    | 789     | 789                                 | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1406 Mod for Operations                         | 014   |          | 9,450                | 9,450   | 9,450                               | 4,734          | In Process        |
| 014 Low Rises-Midvale                          | 1408 Security                                   | 014   |          | 1,800                | 1,800   | 0                                   | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1408 Service Coordinators / Elderly             | 014   |          | 1,737                | 1,737   | 869                                 | 869            | In Process        |
| 014 Low Rises-Midvale                          | 1408 Training/Staff Resident                    | 014   |          | 673                  | 673     | 171                                 | 171            | In Process        |
| 014 Low Rises-Midvale                          | 1408 Computer Software                          | 014   |          | 750                  | 750     | 0                                   | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1408 UPCS Inspections                           | 014   |          | 320                  | 0       | 0                                   | 0              | Complete          |
| 014 Low Rises-Midvale                          | 1408 Resident Screening/Orientation             | 014   |          | 150                  | 150     | 0                                   | 0              | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 014 Low Rises-Midvale                          | 1408 Maintenance Tools & Equipment              | 014   |          | 300                  | 300                                 | 184               | 184            | In Process        |
| 014 Low Rises-Midvale                          | 1408 Marketing – Vacancy/Reduction              | 014   |          | 150                  | 150                                 | 0                 | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1410 Management Fee                             | 014   |          | 0                    | 4,734                               | 4,734             | 2,367          | In Process        |
| 014 Low Rises-Midvale                          | 1430 A & E                                      | 014   |          | 0                    | 133                                 | 133               | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1430 A & E Consulting                           | 014   |          | 0                    | 2,687                               | 2,687             | 395            | In Process        |
| 014 Low Rises-Midvale                          | 1430 Construction Supervisor/Inspections        | 014   |          | 0                    | 621                                 | 574               | 574            | In Process        |
| 014 Low Rises-Midvale                          | 1450 Site Improvement                           | 014   |          | 5,000                | 5,889                               | 5,889             | 5,889          | In Process        |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab                             | 014   |          | 15,000               | 0                                   | 0                 | 0              | Complete          |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab-PTAC Replacement            | 014   |          | 3,200                | 3,200                               | 2,610             | 0              | In Process        |
| 014 Low Rises-Midvale                          | 1460 Interior Rehab-Hallway Carpet              | 014   |          | 15,000               | 0                                   | 0                 | 0              | Complete          |
| 014 Low Rises-Midvale                          | 1475 Computers/Office Equipment                 | 014   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 016 Scattered Sites                            | 1406 Mod for Operations                         | 016   |          | 15,750               | 15,750                              | 15,750            | 7,732          | In Process        |
| 016 Scattered Sites                            | 1408 Training/Staff Resident                    | 016   |          | 1,122                | 1,122                               | 441               | 441            | In Process        |
| 016 Scattered Sites                            | 1408 Computer Software                          | 016   |          | 1,350                | 561                                 | 0                 | 0              | In Process        |
| 016 Scattered Sites                            | 1408 UPCS Inspections                           | 016   |          | 550                  | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1408 Resident Screening/Orientation             | 016   |          | 250                  | 250                                 | 0                 | 0              | In Process        |
| 016 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 016   |          | 480                  | 480                                 | 300               | 300            | In Process        |
| 016 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 016   |          | 250                  | 250                                 | 0                 | 0              | In Process        |
| 016 Scattered Sites                            | 1410 Management Fee                             | 016   |          | 0                    | 7,732                               | 7,732             | 3,866          | In Process        |
| 016 Scattered Sites                            | 1430 A & E                                      | 016   |          | 15,000               | 458                                 | 458               | 0              | In Process        |
| 016 Scattered Sites                            | 1430 A & E Consulting                           | 016   |          | 0                    | 4,139                               | 4,139             | 395            | In Process        |
| 016 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 016   |          | 0                    | 1,035                               | 804               | 804            | In Process        |
| 016 Scattered Sites                            | 1450 Site Improvements                          | 016   |          | 12,000               | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1460 Interior Rehab                             | 016   |          | 10,000               | 10,000                              | 2,090             | 2,090          | In Process        |
| 016 Scattered Sites                            | 1460 Exterior Rehab                             | 016   |          | 70,921               | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1475 Computers/Office Equipment                 | 016   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 017 Scattered Sites                            | 1406 Mod for Operations                         | 017   |          | 9,765                | 9,765                               | 9,765             | 4,734          | In Process        |
| 017 Scattered Sites                            | 1408 Training/Staff Resident                    | 017   |          | 695                  | 695                                 | 431               | 287            | In Process        |
| 017 Scattered Sites                            | 1408 Computer Software                          | 017   |          | 1,100                | 311                                 | 0                 | 0              | In Process        |
| 017 Scattered Sites                            | 1408 UPCS Inspections                           | 017   |          | 330                  | 0                                   | 0                 | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2006</b> |                |                   |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of<br>Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                   |
| 017 Scattered Sites                            | 1408 Resident Screening/Orientation             | 017   |          | 155                  | 155     | 0                                   | 0              | In Process        |
| 017 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 017   |          | 300                  | 300     | 200                                 | 200            | In Process        |
| 017 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 017   |          | 155                  | 155     | 0                                   | 0              | In Process        |
| 017 Scattered Sites                            | 1410 Management Fee                             | 017   |          | 0                    | 4,734   | 4,734                               | 2,367          | In Process        |
| 017 Scattered Sites                            | 1430 A & E                                      | 017   |          | 0                    | 133     | 133                                 | 0              | In Process        |
| 017 Scattered Sites                            | 1430 A & E Consulting                           | 017   |          | 0                    | 2,687   | 2,687                               | 395            | In Process        |
| 017 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 017   |          | 0                    | 152     | 11                                  | 11             | In Process        |
| 017 Scattered Sites                            | 1450 Site Improvements                          | 017   |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 017 Scattered Sites                            | 1460 Interior Rehab                             | 017   |          | 5,000                | 5,000   | 1,043                               | 1,043          | In Process        |
| 017 Scattered Sites                            | 1460 Exterior Rehab                             | 017   |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 017 Scattered Sites                            | 1475 Computers/Office Equipment                 | 017   |          | 0                    | 789     | 789                                 | 0              | In Process        |
| 019 Scattered Sites                            | 1406 Mod for Operations                         | 019   |          | 6,300                | 6,300   | 6,300                               | 3,156          | In Process        |
| 019 Scattered Sites                            | 1408 Training/Staff Resident                    | 019   |          | 380                  | 380     | 182                                 | 182            | In Process        |
| 019 Scattered Sites                            | 1408 Computer Software                          | 019   |          | 650                  | 650     | 0                                   | 0              | In Process        |
| 019 Scattered Sites                            | 1408 UPCS Inspections                           | 019   |          | 210                  | 0       | 0                                   | 0              | Complete          |
| 019 Scattered Sites                            | 1408 Resident Screening/Orientation             | 019   |          | 100                  | 100     | 0                                   | 0              | In Process        |
| 019 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 019   |          | 200                  | 200     | 150                                 | 150            | In Process        |
| 019 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 019   |          | 100                  | 100     | 0                                   | 0              | In Process        |
| 019 Scattered Sites                            | 1410 Management Fee                             | 019   |          | 0                    | 3,156   | 3,156                               | 1,578          | In Process        |
| 019 Scattered Sites                            | 1430 A & E                                      | 019   |          | 0                    | 89      | 89                                  | 0              | In Process        |
| 019 Scattered Sites                            | 1430 A & E Consulting                           | 019   |          | 0                    | 1,923   | 1,923                               | 395            | In Process        |
| 019 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 019   |          | 0                    | 105     | 11                                  | 11             | In Process        |
| 019 Scattered Sites                            | 1460 Interior Rehab                             | 019   |          | 5,000                | 5,000   | 840                                 | 840            | In Process        |
| 019 Scattered Sites                            | 1460 Exterior Rehab                             | 019   |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 019 Scattered Sites                            | 1475 Computers/Office Equipment                 | 019   |          | 0                    | 789     | 789                                 | 0              | In Process        |
| 020 Scattered Sites                            | 1406 Mod for Operations                         | 020   |          | 6,300                | 6,300   | 6,300                               | 3,156          | In Process        |
| 020 Scattered Sites                            | 1408 Training/Staff Resident                    | 020   |          | 380                  | 380     | 180                                 | 180            | In Process        |
| 020 Scattered Sites                            | 1408 Computer Software                          | 020   |          | 650                  | 650     | 0                                   | 0              | In Process        |
| 020 Scattered Sites                            | 1408 UPCS Inspections                           | 020   |          | 210                  | 0       | 0                                   | 0              | Complete          |
| 020 Scattered Sites                            | 1408 Resident Screening/Orientation             | 020   |          | 100                  | 100     | 0                                   | 0              | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2006</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 020 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 020   |          | 200                  | 200                                 | 150               | 150            | In Process        |
| 020 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 020   |          | 100                  | 100                                 | 0                 | 0              | In Process        |
| 020 Scattered Sites                            | 1410 Management Fee                             | 020   |          | 0                    | 3,156                               | 3,156             | 1,578          | In Process        |
| 020 Scattered Sites                            | 1430 A & E                                      | 020   |          | 0                    | 89                                  | 89                | 0              | In Process        |
| 020 Scattered Sites                            | 1430 A & E Consulting                           | 020   |          | 0                    | 1,923                               | 1,812             | 284            | In Process        |
| 020 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 020   |          | 0                    | 106                                 | 12                | 12             | In Process        |
| 020 Scattered Sites                            | 1460 Interior Rehab                             | 020   |          | 5,000                | 5,000                               | 840               | 840            | In Process        |
| 020 Scattered Sites                            | 1460 Exterior Rehab                             | 020   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 020 Scattered Sites                            | 1475 Computer Hardware                          | 020   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 023 Scattered Sites                            | 1406 Mod for Operations                         | 023   |          | 315                  | 315                                 | 315               | 158            | In Process        |
| 023 Scattered Sites                            | 1408 Training/Staff Resident                    | 023   |          | 25                   | 25                                  | 9                 | 9              | In Process        |
| 023 Scattered Sites                            | 1408 Computer Software                          | 023   |          | 30                   | 30                                  | 0                 | 0              | In Process        |
| 023 Scattered Sites                            | 1408 UPCS Inspections                           | 023   |          | 11                   | 0                                   | 0                 | 0              | Complete          |
| 023 Scattered Sites                            | 1408 Resident Screening/Orientation             | 023   |          | 5                    | 5                                   | 0                 | 0              | In Process        |
| 023 Scattered Sites                            | 1408 Maintenance Tools & Equipment              | 023   |          | 10                   | 10                                  | 10                | 10             | In Process        |
| 023 Scattered Sites                            | 1408 Marketing-Vacancy/Reduction                | 023   |          | 5                    | 5                                   | 0                 | 0              | In Process        |
| 023 Scattered Sites                            | 1410 Management Fee                             | 023   |          | 0                    | 158                                 | 158               | 79             | In Process        |
| 023 Scattered Sites                            | 1430 A & E                                      | 023   |          | 0                    | 4                                   | 4                 | 0              | In Process        |
| 023 Scattered Sites                            | 1430 A & E Consulting                           | 023   |          | 0                    | 471                                 | 471               | 395            | In Process        |
| 023 Scattered Sites                            | 1430 Construction Supervisor/Inspections        | 023   |          | 0                    | 10                                  | 5                 | 5              | In Process        |
| 023 Scattered Sites                            | 1460 Interior Rehab                             | 023   |          | 0                    | 61                                  | 61                | 61             | Complete          |
| 023 Scattered Sites                            | 1475 Computers/Office Equipment                 | 023   |          | 0                    | 789                                 | 789               | 0              | In Process        |
| 051 Jane Addams                                | 1406 Mod for Operations                         | 051   |          | 26,709               | 26,709                              | 26,709            | 13,255         | In Process        |
| 051 Jane Addams                                | 1408 Training / Staff & Residents               | 051   |          | 0                    | 681                                 | 681               | 681            | Complete          |
| 051 Jane Addams                                | 1408 UPCS Inspections                           | 051   |          | 935                  | 0                                   | 0                 | 0              | Complete          |
| 051 Jane Addams                                | 1408 Maintenance Tools & Equipment              | 051   |          | 0                    | 424                                 | 424               | 424            | Complete          |
| 051 Jane Addams                                | 1410 Management Fee                             | 051   |          | 0                    | 13,255                              | 13,255            | 6,628          | In Process        |
| 051 Jane Addams                                | 1430 A & E                                      | 051   |          | 0                    | 38,808                              | 38,808            | 0              | In Process        |
| 051 Jane Addams                                | 1430 Developer Fee's                            | 051   |          | 50,000               | 25,000                              | 0                 | 0              | In Process        |
| 051 Jane Addams                                | 1430 A & E Consulting                           | 051   |          | 0                    | 1,395                               | 1,395             | 1,395          | Complete          |
| 051 Jane Addams                                | 1430 Construction Supervisor/Inspections        | 051   |          | 0                    | 630                                 | 126               | 126            | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2006</b> |                |                   |
|--|---|---|----------|----------------------|---------|-------------------------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of<br>Work |
|  |   |   |          | Original             | Revised | Funds Obligated                     | Funds Expended |                   |
| 051 Jane Addams                                | 1460 Interior Rehab                             | 051   |          | 0                    | 2,578   | 2,578                               | 2,578          | Complete          |
| 051 Jane Addams                                | 1475 Computers/Office Equipment                 | 051   |          | 0                    | 789     | 789                                 | 0              | In Process        |
| 051 Jane Addams                                | 1485 Demolition                                 | 051   |          | 150,000              | 200,000 | 0                                   | 0              | In Process        |
| 051 Jane Addams                                | 1495 Relocation                                 | 051   |          | 114,000              | 114,000 | 106,075                             | 17,310         | In Process        |
| 052 Brewington Oaks                            | 1406 Mod for Operations                         | 052   |          | 131,670              | 131,670 | 131,670                             | 65,956         | In Process        |
| 052 Brewington Oaks                            | 1408 Security                                   | 052   |          | 120,000              | 40,000  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1408 Service Coordinators / Elderly             | 052   |          | 24,210               | 24,210  | 14,521                              | 14,521         | In Process        |
| 052 Brewington Oaks                            | 1408 Training/Staff Resident                    | 052   |          | 11,290               | 11,290  | 1,530                               | 1,530          | In Process        |
| 052 Brewington Oaks                            | 1408 Computer Software                          | 052   |          | 15,200               | 14,410  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1408 UPCS Inspections                           | 052   |          | 4,590                | 0       | 0                                   | 0              | Complete          |
| 052 Brewington Oaks                            | 1408 Resident Screening/Orientation             | 052   |          | 2,511                | 2,511   | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1408 Maintenance Tools & Equipment              | 052   |          | 5,022                | 5,022   | 1,155                               | 1,155          | In Process        |
| 052 Brewington Oaks                            | 1408 Marketing-Vacancy/Reduction                | 052   |          | 2,511                | 2,511   | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1410 Management Fee                             | 052   |          | 0                    | 65,956  | 65,956                              | 32,978         | In Process        |
| 052 Brewington Oaks                            | 1430 A & E                                      | 052   |          | 20,640               | 27,054  | 27,054                              | 4,337          | In Process        |
| 052 Brewington Oaks                            | 1430 Developer Fee's                            | 052   |          | 25,000               | 25,000  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1430 A & E Consulting                           | 052   |          | 0                    | 32,333  | 32,333                              | 395            | In Process        |
| 052 Brewington Oaks                            | 1430 Construction Supervisor/Inspections        | 052   |          | 0                    | 1,997   | 1,714                               | 1,714          | In Process        |
| 052 Brewington Oaks                            | 1450 Site Improvements                          | 052   |          | 10,000               | 10,000  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1460 Interior Rehab – (A)                       | 052   |          | 5,000                | 5,000   | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1460 Interior Rehab-HVAC Upgrade (B)            | 052   |          | 15,100               | 15,100  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1460 Interior Rehab-Elevator Upgrades           | 052   |          | 416,190              | 452,793 | 452,793                             | 8,725          | In Process        |
| 052 Brewington Oaks                            | 1460 Interior Rehab-Fire Code Renovations       | 052   |          | 25,000               | 10,000  | 0                                   | 0              | In Process        |
| 052 Brewington Oaks                            | 1460 Interior Rehab- Public Restrooms           | 052   |          | 40,000               | 0       | 0                                   | 0              | Complete          |
| 052 Brewington Oaks                            | 1475 Computers/Office Equipment                 | 052   |          | 0                    | 790     | 790                                 | 0              | In Process        |
| PHA Wide                                       | 1408 Safety Supplies                            | 000   |          | 5,000                | 5,000   | 0                                   | 0              | In Process        |
| PHA Wide                                       | 1410 Non-Technical Salaries                     | 000   |          | 98,000               | 0       | 0                                   | 0              | Complete          |
| PHA Wide                                       | 1410 Travel/Training                            | 000   |          | 9,000                | 0       | 0                                   | 0              | Complete          |
| PHA Wide                                       | 1410 Telephone Expense                          | 000   |          | 1,200                | 0       | 0                                   | 0              | Complete          |
| PHA Wide                                       | 1410 Sundry                                     | 000   |          | 7,500                | 0       | 0                                   | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br><b>IL06P02250106</b><br>Replacement Housing Factor Grant No: |          |                      |                  | <b>Federal FY of Grant:</b><br><b>2006</b> |                |                   |
|---|---|--|----------|----------------------|------------------|--|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities         | General Description of Major Work<br>Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |                  | Total Actual Cost                          |                | Status of<br>Work |
|   |   |  |          | Original             | Revised          | Funds Obligated                            | Funds Expended |                   |
| PHA Wide  | 1410 Employee Benefit Package                   | 000  |          | 42,492               | 0                | 0  | 0              | Complete          |
| PHA Wide  | 1430 Energy Audit                               | 000  |          | 24,000               | 55,000           | 55,000                                     | 0              | In Process        |
| PHA Wide  | 1430 Consultant Fees                            | 000  |          | 20,000               | 23,297           | 0  | 0              | In Process        |
| PHA Wide  | 1470 Computer Room Fire Control                 | 000  |          | 15,000               | 15,000           | 0  | 0              | In Process        |
| PHA Wide  | 1475 Computers/Office Equipment                 | 000  |          | 37,973               | 130,973          | 0  | 0              | In Process        |
| PHA Wide  | 1502 Contingency                                | 000  |          | 38,920               | 16,520           | 0  | 0              | In Process        |
|   |   |  |          |                      |                  |  |                |                   |
|   |   |  |          |                      |                  |  |                |                   |
|   |   |  |          |                      |                  |  |                |                   |
|   |   |  |          |                      |                  |  |                |                   |
|   |   |  |          |                      |                  |  |                |                   |
|   |   |  |          | <b>3,163,845</b>     | <b>3,163,845</b> | <b>2,361,930</b>                           | <b>656,265</b> |                   |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> |   | <b>Grant Type and Number</b><br>Capital Fund Program No: <b>IL06P02250106</b><br>Replacement Housing Factor No: |        |   |         | <b>Federal FY of Grant:</b><br><b>2006</b> |                                  |
|---|---|---|--------|---|---------|--|----------------------------------|
| Development Number<br>Name/HA-Wide Activities         | All Fund Obligated<br>(Quarter Ending Date) |   |        | All Funds Expended<br>(Quarter Ending Date) |         |  | Reasons for Revised Target Dates |
|   | Original                                    | Revised   | Actual | Original                                    | Revised | Actual                                     |                                  |
| IL22-001 Blackhawk                                    | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-003 Orton Keyes                                  | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-004 Park Terrace                                 | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-006 N. Main Manor                                | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-007 Fairgrounds                                  | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-008 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-009 Olesen Plaza                                 | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-012 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-013 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-014 Low Rises                                    | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-016 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-017 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-019 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-020 Scattered Sites                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-021 Scattered Sties                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-051 Jane Addams                                  | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| IL22-052 Brewington Oaks                              | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |
| PHA Wide  | 07/17/08                                    |   |        | 07/17/10                                    |         |  |                                  |

**Annual Statement/Performance and Evaluation Report**

**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

|   |   |  |
|---|---|--|
| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>IL06P02250105</b><br>Replacement Housing Factor Grant No: | <b>Federal FY of Grant:</b><br><b>2005</b> |
|---|---|--|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/07  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |                  | Total Actual Cost |                  |
|----------|---|----------------------|------------------|-------------------|------------------|
|          |   | Original             | Revised          | Obligated         | Expended         |
| 1        | Total non-CFP Funds                                       | 0                    | 0                | 0                 | 0                |
| 2        | 1406 Operations   | 330,534              | 661,068          | 661,068           | 661,068          |
| 3        | 1408 Management Improvements                              | 661,060              | 442,720          | 411,304           | 349,122          |
| 4        | 1410 Administration                                       | 330,534              | 330,534          | 330,534           | 330,534          |
| 5        | 1411 Audit  | 0                    | 6,563            | 6,563             | 6,563            |
| 6        | 1415 Liquidated Damages                                   | 0                    | 0                | 0                 | 0                |
| 7        | 1430 Fees and Costs                                       | 281,579              | 230,938          | 179,814           | 160,814          |
| 8        | 1440 Site Acquisition                                     | 0                    | 0                | 0                 | 0                |
| 9        | 1450 Site Improvement                                     | 143,000              | 140,591          | 140,591           | 140,591          |
| 10       | 1460 Dwelling Structures                                  | 1,104,209            | 1,155,815        | 1,155,815         | 869,819          |
| 11       | 1465.1 Dwelling Equipment—Nonexpendable                   | 0                    | 0                | 0                 | 0                |
| 12       | 1470 Non-dwelling Structures                              | 113,791              | 240,492          | 34,734            | 7,924            |
| 13       | 1475 Non-dwelling Equipment                               | 40,000               | 93,060           | 93,060            | 93,060           |
| 14       | 1485 Demolition   | 200,000              | 0                | 0                 | 0                |
| 15       | 1490 Replacement Reserve                                  | 0                    | 0                | 0                 | 0                |
| 16       | 1492 Moving to Work Demonstration                         | 0                    | 0                | 0                 | 0                |
| 17       | 1495.1 Relocation Costs                                   | 54,400               | 3,566            | 3,566             | 3,566            |
| 18       | 1499 Development Activities                               | 0                    | 0                | 0                 | 0                |
| 19       | 1501 Collectivization or Debt Service                     | 0                    | 0                | 0                 | 0                |
| 20       | 1502 Contingency  | 46,240               | 0                | 0                 | 0                |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | <b>3,305,347</b>     | <b>3,305,347</b> | <b>3,017,049</b>  | <b>2,623,062</b> |
| 22       | Amount of line 21 Related to LBP Activities               | 53,000               | 60,000           | 0                 | 0                |
| 23       | Amount of line 21 Related to Section 504 comp             | 33,000               | 19,513           | 0                 | 0                |
| 24       | Amount of line 21 Related to Security – Soft              | 240,000              | 211,985          | 0                 |                  |
| 25       | Amount of Line 21 Related to Security – Hard              | 0                    | 70,533           | 0                 |                  |
| 26       | Amount of line 21 Related to Energy Conservation Measures | 52,500               | 28,638           |                   |                  |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250105</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2005</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 001 Blackhawk                                  | 1430 Lead Testing A & E                         | 001   |          | 40,000               | 9,709                               | 9,709             | 9,709          | Complete          |
| 001 Blackhawk                                  | 1450 Site Improvements                          | 001   |          | 0                    | 1,986                               | 1,986             | 1,986          | Complete          |
| 001 Blackhawk                                  | 1450 Camera's & Security Lights                 | 001   |          | 25,000               | 188                                 | 188               | 188            | Complete          |
| 001 Blackhawk                                  | 1450 ADA Compliance / Parking Lots              | 001   |          | 0                    | 0                                   | 0                 | 0              | Complete          |
| 001 Blackhawk                                  | 1460 Interior Rehab                             | 001   |          | 10,000               | 0                                   | 0                 | 0              | Complete          |
| 001 Blackhawk                                  | 1460 Exterior Rehab                             | 001   |          | 20,000               | 3,775                               | 3,775             | 3,775          | Complete          |
| 001 Blackhawk                                  | 1460 LBP Abatement                              | 001   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 001 Blackhawk                                  | 1460 ADA Compliance                             | 001   |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keys                                 | 1430 Hazardous A & E                            | 003   |          | 3,000                | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keys                                 | 1430 Lead Testing A & E                         | 003   |          | 0                    | 1,449                               | 1,449             | 1,449          | Complete          |
| 003 Orton Keys                                 | 1450 Site Improvements                          | 003   |          | 0                    | 3,656                               | 3,656             | 3,656          | Complete          |
| 003 Orton Keys                                 | 1450 Camera's & Security Lights                 | 003   |          | 25,000               | 70,575                              | 70,575            | 70,575         | Complete          |
| 003 Orton Keys                                 | 1450 Interior Rehab                             | 003   |          | 3,000                | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keys                                 | 1460 Interior Rehab                             | 003   |          | 0                    | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keys                                 | 1460 ADA Compliance / Apartments                | 003   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 003 Orton Keys                                 | 1470 Community Room Painting                    | 003   |          | 0                    | 5,026                               | 5,026             | 5,026          | Complete          |
| 003 Orton Keys                                 | 1470 ADA Compliance / Office                    | 003   |          | 10,000               | 0                                   | 0                 | 0              | Complete          |
| 004 Park Terrace                               | 1450 ADA Compliance / Parking Lots              | 004   |          | 0                    | 0                                   | 0                 | 0              | Complete          |
| 004 Park Terrace                               | 1460 Elevator Upgrades                          | 004   |          | 408,600              | 409,244                             | 409,244           | 159,052        | In Process        |
| 004 Park Terrace                               | 1460 Fire Code Renovations                      | 004   |          | 20,000               | 7,301                               | 7,301             | 7,301          | Complete          |
| 004 Park Terrace                               | 1460 ADA Compliance / Apartments                | 004   |          | 3,000                | 0                                   | 0                 | 0              | Complete          |
| 006 North Main                                 | 1430 Hazard A & E                               | 006   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 006 North Main                                 | 1450 ADA Compliance / Parking Lots              | 006   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br>Rockford Housing Authority       |   | Grant Type and Number<br>Capital Fund Program Grant No: <b>IL06P02250105</b><br>Replacement Housing Factor Grant No: |          |                      |         | Federal FY of Grant:<br><b>2005</b> |                |                   |
|---|---|--|----------|----------------------|---------|-------------------------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities | General Description of Major Work<br>Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |         | Total Actual Cost                   |                | Status of<br>Work |
|   |   |  |          | Original             | Revised | Funds Obligated                     | Funds Expended |                   |
| 006 North Main                                | 1450 Site Improvements                          | 006  |          | 15,000               | 16,165  | 16,165                              | 16,165         | Complete          |
| 006 North Main                                | 1460 Interior Rehab                             | 006  |          | 10,000               | 170,548 | 170,548                             | 142,544        | In Process        |
| 006 North Main                                | 1460 Fire Code Renovations                      | 006  |          | 20,000               | 2,667   | 2,667                               | 2,667          | Complete          |
| 006 North Main                                | 1495 Relocation                                 | 006  |          | 0                    | 3,566   | 3,566                               | 3,566          | Complete          |
| 007 Fairgrounds                               | 1430 Lead Testing A & E                         | 007  |          | 3,000                | 0       | 0                                   | 0              | Complete          |
| 007 Fairgrounds                               | 1450 Site Improvements                          | 007  |          | 20,000               | 14,736  | 14,736                              | 14,736         | Complete          |
| 007 Fairgrounds                               | 1450 Camera's & Security Lights                 | 007  |          | 25,000               | 337     | 337                                 | 337            | Complete          |
| 007 Fairgrounds                               | 1450 ADA Compliances / Parking Lots             | 007  |          | 3,000                | 0       | 0                                   | 0              | Complete          |
| 007 Fairgrounds                               | 1460 Interior Rehab                             | 007  |          | 0                    | 27,228  | 27,228                              | 27,228         | Complete          |
| 007 Fairgrounds                               | 1460 Exterior Rehab                             | 007  |          | 0                    | 685     | 685                                 | 685            | Complete          |
| 007 Fairgrounds                               | 1460 LBP Abatement                              | 007  |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 007 Fairgrounds                               | 1470 Non-Dwelling Structures                    | 007  |          | 0                    | 1,487   | 1,487                               | 1,487          | Complete          |
| 008 Scattered Sites                           | 1430 Hazard A & E                               | 008  |          | 2,000                | 0       | 0                                   | 0              | Complete          |
| 008 Scattered Sites                           | 1430 Lead Testing A & E                         | 008  |          | 0                    | 7,172   | 7,172                               | 7,172          | Complete          |
| 008 Scattered Sites                           | 1450 Site Improvements                          | 008  |          | 10,000               | 25,868  | 25,868                              | 25,868         | Complete          |
| 008 Scattered Sites                           | 1460 Interior Rehab                             | 008  |          | 18,919               | 0       | 0                                   | 0              | Complete          |
| 008 Scattered Sites                           | 1460 Exterior Rehab                             | 008  |          | 25,000               | 24,740  | 24,740                              | 24,740         | Complete          |
| 009 Olesen Plaza                              | 1450 ADA Compliance / Parking Lots              | 009  |          | 3,000                | 0       | 0                                   | 0              | Complete          |
| 009 Olesen Plaza                              | 1460 Interior Rehab                             | 009  |          | 10,000               | 4,533   | 4,533                               | 3,786          | Complete          |
| 012 Scattered Sites                           | 1430 Lead Testing A & E                         | 012  |          | 2,000                | 4,049   | 4,049                               | 4,049          | Complete          |
| 012 Scattered Sites                           | 1460 Interior Rehab                             | 012  |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 012 Scattered Sites                           | 1460 Exterior Rehab                             | 012  |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 013 Scattered Sites                           | 1430 Hazard A & E                               | 013  |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 013 Scattered Sites                           | 1450 Site Improvements                          | 013  |          | 5,000                | 0       | 0                                   | 0              | Complete          |
| 013 Scattered Sites                           | 1460 Interior Rehab                             | 013  |          | 5,000                | 0       | 0                                   | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No: <b>IL06P02250105</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2005</b> |                   |                |                   |
|--|---|--|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |  |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 013 Scattered Sites                            | 1460 Exterior Rehab                             | 013  |          | 0                    | 6,300                               | 6,300             | 6,300          | Complete          |
| 014 Low Rises                                  | 1430 Hazard A & E - Midvale                     | 014  |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 014 Low Rises                                  | 1450 Site Improvements                          | 014  |          | 0                    | 2,436                               | 2,436             | 2,436          | Complete          |
| 014 Low Rises                                  | 1460 Interior Rehab                             | 014  |          | 0                    | 45,174                              | 45,174            | 45,174         | Complete          |
| 014 Low Rises                                  | 1460 Interior Rehab PTAC Buckbee                | 014  |          | 7,500                | 3,805                               | 3,805             | 3,805          | Complete          |
| 014 Low Rises                                  | 1460 Interior Rehab PTAC Midvale                | 014  |          | 7,500                | 2,537                               | 2,537             | 2,537          | Complete          |
| 014 Low Rises                                  | 1460 Interior Rehab PTAC Summit                 | 014  |          | 7,500                | 2,537                               | 2,537             | 2,537          | Complete          |
| 014 Low Rises                                  | 1475 Non-Dwelling Equipment                     | 014  |          | 0                    | 2,200                               | 2,200             | 2,200          | Complete          |
| 014 Low Rises                                  | 1495 Relocation                                 | 014  |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1430 Hazard A & E                               | 016  |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1450 Site Improvements                          | 016  |          | 0                    | 2,715                               | 2,715             | 2,715          | Complete          |
| 016 Scattered Sites                            | 1460 Interior Rehab                             | 016  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 016 Scattered Sites                            | 1460 Exterior Rehab                             | 016  |          | 40,000               | 0                                   | 0                 | 0              | Complete          |
| 017 Scattered Sites                            | 1430 Hazard A & E                               | 017  |          | 4,000                | 0                                   | 0                 | 0              | Complete          |
| 017 Scattered Sites                            | 1430 Lead Testing A & E                         | 017  |          | 0                    | 12,081                              | 12,081            | 12,081         | Complete          |
| 017 Scattered Sites                            | 1460 Interior Rehab                             | 017  |          | 10,000               | 3,270                               | 3,270             | 3,270          | Complete          |
| 017 Scattered Sites                            | 1460 Exterior Rehab                             | 017  |          | 10,000               | 0                                   | 0                 | 0              | Complete          |
| 019 Scattered Sites                            | 1430 Hazard A & E                               | 019  |          | 4,000                | 0                                   | 0                 | 0              | Complete          |
| 019 Scattered Sites                            | 1430 Lead Testing A & E                         | 019  |          | 0                    | 7,874                               | 7,874             | 7,874          | Complete          |
| 019 Scattered Sites                            | 1460 Interior Rehab                             | 019  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 019 Scattered Sites                            | 1460 Exterior Rehab                             | 019  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 020 Scattered Sites                            | 1430 Hazard A & E                               | 020  |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 020 Scattered Sites                            | 1430 Lead Testing A & E                         | 020  |          | 0                    | 5,579                               | 5,579             | 5,579          | Complete          |
| 020 Scattered Sites                            | 1460 Interior Rehab                             | 020  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 020 Scattered Sites                            | 1460 Exterior Rehab                             | 020  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br>Rockford Housing Authority       |   | Grant Type and Number<br>Capital Fund Program Grant No: <b>IL06P02250105</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2005</b> |                   |                |                   |
|---|---|--|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities | General Description of Major Work<br>Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|   |   |  |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 051 Jane Addams                               | 1430 Hazard A & E                               | 051  |          | 3,579                | 0                                   | 0                 | 0              | Complete          |
| 051 Jane Addams                               | 1430 Lead Testing A & E                         | 051  |          | 0                    | 7,338                               | 7,338             | 7,338          | Complete          |
| 051 Jane Addams                               | 1450 Cameras & Security Lights                  | 051  |          | 5,000                | 1,930                               | 1,930             | 1,930          | Complete          |
| 051 Jane Addams                               | 1485 Demolition                                 | 051  |          | 200,000              | 0                                   | 0                 | 0              | Complete          |
| 051 Jane Addams                               | 1495 Relocation                                 | 051  |          | 50,400               | 0                                   | 0                 | 0              | Complete          |
| 052 Brewington Oaks                           | 1430 Hazard A & E                               | 052  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 052 Brewington Oaks                           | 1450 ADA Compliance / Parking Lots              | 052  |          | 5,000                | 0                                   | 0                 | 0              | Complete          |
| 052 Brewington Oaks                           | 1460 Interior Rehab                             | 052  |          | 0                    | 14,544                              | 14,544            | 14,544         | Complete          |
| 052 Brewington Oaks                           | 1460 Elevator Upgrades                          | 052  |          | 416,190              | 419,818                             | 419,818           | 412,765        | In Process        |
| 052 Brewington Oaks                           | 1460 Fire Code Renovations                      | 052  |          | 0                    | 7,111                               | 7,111             | 7,111          | Complete          |
| 052 Brewington Oaks                           | 1495 Relocation                                 | 052  |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1406 Capital for Operations                     | All  |          | 330,534              | 661,068                             | 661,068           | 661,068        | Complete          |
| PHA Wide                                      | 1408 Service Coordinators – High rises          | All  |          | 60,000               | 59,875                              | 59,875            | 59,875         | Complete          |
| PHA Wide                                      | 1408 Training – Staff/Residents                 | All  |          | 97,000               | 97,000                              | 66,919            | 66,144         | In Process        |
| PHA Wide                                      | 1408 Computer Software/Office Equipment         | All  |          | 115,000              | 15,715                              | 15,715            | 15,715         | Complete          |
| PHA Wide                                      | 1408 Security                                   | All  |          | 160,000              | 211,985                             | 211,985           | 173,774        | In Process        |
| PHA Wide                                      | 1408 Upgrade Maintenance/Admin Fleet            | All  |          | 50,000               | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1408 UPCS Inspections                           | All  |          | 22,000               | 19,910                              | 19,910            | 19,910         | Complete          |
| PHA Wide                                      | 1408 Resident Screening/Orientation             | All  |          | 10,000               | 2,324                               | 2,324             | 2,324          | Complete          |
| PHA Wide                                      | 1408 Maintenance Tools & Equipment              | All  |          | 35,640               | 1,431                               | 1,431             | 1,431          | Complete          |
| PHA Wide                                      | 1408 Marketing – Vacancy/Reduction              | All  |          | 10,000               | 4,480                               | 4,480             | 3,670          | In Process        |
| PHA Wide                                      | 1408 Resident/Section 3 Initiatives             | All  |          | 25,000               | 25,000                              | 25,000            | 2,614          | In Process        |
| PHA Wide                                      | 1408 Safety Supplies                            | All  |          | 5,000                | 5,000                               | 3,665             | 3,665          | In Process        |
| PHA Wide                                      | 1408 Inventory Upgrade/Security System          | All  |          | 56,420               | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1408 Safety/Loss Prevention                     | All  |          | 15,000               | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1408 Automated Time & Attendance                | All  |          | 0                    | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1410 Non Technical Salaries                     | All  |          | 325,534              | 323,715                             | 323,715           | 323,715        | Complete          |
| PHA Wide                                      | 1410 Sundry                                     | All  |          | 5,000                | 6,819                               | 6,819             | 6,819          | Complete          |
| PHA Wide                                      | 1411 Auditing                                   | All  |          | 0                    | 6,563                               | 6,563             | 6,563          | Complete          |
| PHA Wide                                      | 1430 A&E Costs                                  | All  |          | 72,000               | 44,167                              | 26,166            | 7,166          | In Process        |
| PHA Wide                                      | 1430 A&E Costs – Energy Audit                   | All  |          | 30,000               | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1430 A&E Costs – Consulting                     | All  |          | 100,000              | 131,521                             | 98,398            | 98,398         | In Process        |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| <b>PHA Name:</b><br>Rockford Housing Authority |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>IL06P02250105</b><br>Replacement Housing Factor Grant No: |          |                      |                  | <b>Federal FY of Grant:</b><br><b>2005</b> |                  |                   |
|--|---|---|----------|----------------------|------------------|--|------------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                  | Total Actual Cost                          |                  | Status of<br>Work |
|  |   |   |          | Original             | Revised          | Funds Obligated                            | Funds Expended   |                   |
| PHA Wide                                       | 1470 Main Office Elevator                       | All   |          | 103,791              | 39,212           | 28,221                                     | 1,411            | In Process        |
| PHA Wide                                       | 1470 Main Office Int/Ext. Upgrades              | All   |          | 0                    | 194,768          | 0  | 0                | In Progress       |
| PHA Wide                                       | 1475 Computer Hardware                          | All   |          | 40,000               | 90,860           | 90,860                                     | 90,860           | Complete          |
| PHA Wide                                       | 1502 Contingency                                | All   |          | 46,240               | 0                | 0  | 0                | Complete          |
|  |   |   |          |                      |                  |  |                  |                   |
|  |   |   |          |                      |                  |  |                  |                   |
|  |   |   |          |                      |                  |  |                  |                   |
|  |   |   |          | <b>3,305,347</b>     | <b>3,305,347</b> | <b>3,017,049</b>                           | <b>2,623,062</b> |                   |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> |   | <b>Grant Type and Number</b><br>Capital Fund Program No: <b>IL06P02250105</b><br>Replacement Housing Factor No: |        |   |         | <b>Federal FY of Grant:</b><br><b>2005</b> |                                  |
|---|---|---|--------|---|---------|--|----------------------------------|
| Development Number<br>Name/HA-Wide Activities         | All Fund Obligated<br>(Quarter Ending Date) |   |        | All Funds Expended<br>(Quarter Ending Date) |         |  | Reasons for Revised Target Dates |
|   | Original                                    | Revised   | Actual | Original                                    | Revised | Actual                                     |                                  |
| PHA Wide  | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-001 Blackhawk                                    | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-003 Orton Keys                                   | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-004 Park Terrace                                 | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-006 N. Main Manor                                | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-007 Fairgrounds                                  | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-008 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-009 Olesen Plaza                                 | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-012 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-013 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-014 Low Rises                                    | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-016 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-017 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-019 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-020 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-021 Scattered Sites                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-051 Jane Addams                                  | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| IL22-052 Brewington Oaks                              | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| Mgmt Improvements                                     | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |
| PHA Wide  | 08/17/07                                    |   |        | 08/17/09                                    |         |  |                                  |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary**

|   |   |  |
|---|---|--|
| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: <b>IL06P02250104</b><br>Replacement Housing Factor Grant No: | <b>Federal FY of Grant:</b><br><b>2004</b> |
|---|---|--|

Original Annual Statement  Reserve for Disasters/ Emergencies  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending: 03/31/07  Final Performance and Evaluation Report

| Line No. | Summary by Development Account                            | Total Estimated Cost |                  | Total Actual Cost |                  |
|----------|---|----------------------|------------------|-------------------|------------------|
|          |   | Original             | Revised          | Obligated         | Expended         |
| 1        | Total non-CFP Funds                                       | 0                    | 0                | 0                 | 0                |
| 2        | 1406 Operations   | 360,918              | 360,918          | 360,918           | 360,918          |
| 3        | 1408 Management Improvements                              | 721,836              | 615,724          | 615,724           | 615,724          |
| 4        | 1410 Administration                                       | 360,918              | 360,918          | 360,918           | 360,918          |
| 5        | 1411 Audit  | 0                    |                  | 0                 | 0                |
| 6        | 1415 Liquidated Damages                                   | 0                    |                  | 0                 | 0                |
| 7        | 1430 Fees and Costs                                       | 339,200              | 352,082          | 352,082           | 352,082          |
| 8        | 1440 Site Acquisition                                     | 0                    |                  | 0                 | 0                |
| 9        | 1450 Site Improvement                                     | 190,000              | 288,986          | 288,986           | 288,986          |
| 10       | 1460 Dwelling Structures                                  | 1,402,350            | 1,471,996        | 1,471,996         | 1,471,996        |
| 11       | 1465.1 Dwelling Equipment—Nonexpendable                   | 25,000               | 7,948            | 7,948             | 7,948            |
| 12       | 1470 Non-dwelling Structures                              |                      | 103,768          | 103,768           | 103,768          |
| 13       | 1475 Non-dwelling Equipment                               | 60,000               | 46,111           | 46,111            | 46,111           |
| 14       | 1485 Demolition   | 0                    | 0                | 0                 | 0                |
| 15       | 1490 Replacement Reserve                                  | 0                    | 0                | 0                 | 0                |
| 16       | 1492 Moving to Work Demonstration                         | 0                    | 0                | 0                 | 0                |
| 17       | 1495.1 Relocation Costs                                   | 12,000               | 728              | 728               | 728              |
| 18       | 1499 Development Activities                               |                      |                  |                   |                  |
| 19       | 1501 Collectivization or Debt Service                     | 0                    | 0                | 0                 | 0                |
| 20       | 1502 Contingency  | 136,958              | 0                | 0                 | 0                |
| 21       | Amount of Annual Grant: (sum of lines 2 – 20)             | <b>3,609,180</b>     | <b>3,609,180</b> | <b>3,609,180</b>  | <b>3,609,180</b> |
| 22       | Amount of line 21 Related to LBP Activities               | 0                    | 0                | 0                 | 0                |
| 23       | Amount of line 21 Related to Section 504 comp             | 0                    | 28,023           | 28,023            | 28,023           |
| 24       | Amount of line 21 Related to Security – Soft              | 0                    | 246,480          | 246,480           | 246,480          |
| 25       | Amount of Line 21 Related to Security – Hard              | 213,200              | 194,876          | 194,876           | 194,876          |
| 26       | Amount of line 21 Related to Energy Conservation Measures | 0                    | 94,711           | 94,711            | 94,711           |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br><b>Rockford Housing Authority</b> |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250104</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2004</b> |                   |                |                   |
|--|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|  |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 001 Blackhawk                                  | 1450 Site Improvements                          | 001   |          | 0                    | 71,956                              | 71,956            | 71,956         | Complete          |
| 001 Blackhawk                                  | 1460 Interior/Exterior Rehab                    | 001   |          | 206,000              | 24,465                              | 24,465            | 24,465         | Complete          |
| 001 Blackhawk                                  | 1470 Non-Dwelling Structures                    | 001   |          | 0                    | 8,616                               | 8,616             | 8,616          | Complete          |
| 003 Orton Keys                                 | 1450 Site Improvements                          | 003   |          | 0                    | 18,383                              | 18,383            | 18,383         | Complete          |
| 003 Orton Keys                                 | 1460 Interior/Exterior Rehab                    | 003   |          | 0                    | 24,038                              | 24,038            | 24,038         | Complete          |
| 003 Orton Keys                                 | 1475 Non-Dwelling Equipment                     | 003   |          | 0                    | 2,400                               | 2,400             | 2,400          | Complete          |
| 004 Park Terrace                               | 1450 Site Improvements                          | 004   |          | 0                    | 7,224                               | 7,224             | 7,224          | Complete          |
| 004 Park Terrace                               | 1460 Interior/Exterior Rehab.                   | 004   |          | 225,000              | 8,052                               | 8,052             | 8,052          | Complete          |
| 004 Park Terrace                               | 1495 Relocation                                 | 004   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 006 North Main                                 | 1450 Site Improvements                          | 006   |          | 0                    | 1,792                               | 1,792             | 1,792          | Complete          |
| 006 North Main                                 | 1460 Interior/Exterior Rehab                    | 006   |          | 15,000               | 48,656                              | 48,656            | 48,656         | Complete          |
| 006 North Main                                 | 1475 Non-Dwelling Equipment                     | 006   |          | 0                    | 9,858                               | 9,858             | 9,858          | Complete          |
| 007 Fairgrounds                                | 1450 Site Improvements                          | 007   |          | 125,000              | 83,699                              | 83,699            | 83,699         | Complete          |
| 007 Fairgrounds                                | 1460 Interior/Exterior Rehab                    | 007   |          | 0                    | 3,291                               | 3,291             | 3,291          | Complete          |
| 007 Fairgrounds                                | 1460 Furnaces                                   | 007   |          | 0                    | 3,248                               | 3,248             | 3,248          | Complete          |
| 007 Fairgrounds                                | 1470 Non-Dwelling Structures                    | 007   |          | 0                    | 92,751                              | 92,751            | 92,751         | Complete          |
| 008 Scattered Sites                            | 1450 Site Improvements                          | 008   |          | 40,000               | 739                                 | 739               | 739            | Complete          |
| 008 Scattered Sites                            | 1460 Interior/Exterior Rehab                    | 008   |          | 85,000               | 11,640                              | 11,640            | 11,640         | Complete          |
| 008 Scattered Sites                            | 1465 Appliances                                 | 008   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| 009 Olesen Plaza                               | 1450 Site Improvements                          | 009   |          | 0                    | 8,208                               | 8,208             | 8,208          | Complete          |
| 009 Olesen Plaza                               | 1460 Interior/Exterior Rehab                    | 009   |          | 70,000               | 415,920                             | 415,920           | 415,920        | Complete          |
| 009 Olesen Plaza                               | 1495 Relocation                                 | 009   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| PHA Name:<br>Rockford Housing Authority       |   | Grant Type and Number<br>Capital Fund Program Grant No:<br><b>IL06P02250104</b><br>Replacement Housing Factor Grant No: |          |                      | Federal FY of Grant:<br><b>2004</b> |                   |                |                   |
|---|---|---|----------|----------------------|-------------------------------------|-------------------|----------------|-------------------|
| Development Number<br>Name/HA-Wide Activities | General Description of Major Work<br>Categories | Dev. Acct No.   | Quantity | Total Estimated Cost |                                     | Total Actual Cost |                | Status of<br>Work |
|   |   |   |          | Original             | Revised                             | Funds Obligated   | Funds Expended |                   |
| 012 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 012   |          | 20,000               | 0                                   | 0                 | 0              | Complete          |
| 013 Scattered Sites                           | 1450 Site Improvements                          | 013   |          | 20,000               | 0                                   | 0                 | 0              | Complete          |
| 013 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 013   |          | 85,000               | 129,281                             | 129,281           | 129,281        | Complete          |
| 013 Scattered Sites                           | 1495 Relocation                                 | 013   |          | 0                    | 709                                 | 709               | 709            | Complete          |
| 014 Low Rises                                 | 1450 Site Improvements                          | 014   |          | 0                    | 2,271                               | 2,271             | 2,271          | Complete          |
| 014 Low Rises                                 | 1460 Interior/ Exterior Rehab/PTAC              | 014   |          | 190,000              | 590,573                             | 590,573           | 590,573        | Complete          |
| 014 Low Rises                                 | 1465 Appliances                                 | 014   |          | 3,000                | 7,948                               | 7,948             | 7,948          | Complete          |
| 014 Low Rises                                 | 1495 Relocation                                 | 014   |          | 6,000                | 19                                  | 19                | 19             | Complete          |
| 016 Scattered Sites                           | 1450 Site Improvements                          | 016   |          | 5,000                | 5,358                               | 5,358             | 5,358          | Complete          |
| 016 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 016   |          | 15,000               | 995                                 | 995               | 995            | Complete          |
| 017 Scattered Sites                           | 1450 Site Improvements                          | 017   |          | 0                    | 0                                   | 0                 | 0              | Complete          |
| 017 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 017   |          | 30,000               | 63,671                              | 63,671            | 63,671         | Complete          |
| 019 Scattered Sites                           | 1450 Site Improvements                          | 019   |          | 0                    | 14,715                              | 14,715            | 14,715         | Complete          |
| 019 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 019   |          | 15,000               | 30,926                              | 30,926            | 30,926         | Complete          |
| 020 Scattered Sites                           | 1460 Interior/Exterior Rehab                    | 020   |          | 15,000               | 8,547                               | 8,547             | 8,547          | Complete          |
| 051 Jane Addams                               | 1450 Site Improvements                          | 051   |          | 0                    | 71,325                              | 71,325            | 71,325         | Complete          |
| 051 Jane Addams                               | 1460 Interior/Exterior Rehab                    | 051   |          | 15,000               | 1,587                               | 1,587             | 1,587          | Complete          |
| 052 Brewington Oaks                           | 1450 Site Improvements                          | 052   |          | 0                    | 1,041                               | 1,041             | 1,041          | Complete          |
| 052 Brewington Oaks                           | 1460 Interior/Exterior Rehab –Elev. –HVAC       | 052   |          | 416,350              | 107,107                             | 107,107           | 107,107        | Complete          |
| 052 Brewington Oaks                           | 1465 Appliances                                 | 052   |          | 20,000               | 0                                   | 0                 | 0              | Complete          |
| 052 Brewington Oaks                           | 1495 Relocation                                 | 052   |          | 2,000                | 0                                   | 0                 | 0              | Complete          |
| PHA Wide                                      | 1406 Mod for Operations                         | All   |          | 360,918              | 360,918                             | 360,918           | 360,918        | Complete          |
| PHA Wide                                      | 1408 Security                                   | All   |          | 160,000              | 193,280                             | 193,280           | 193,280        | Complete          |
| PHA Wide                                      | 1408 Security Analyst                           | All   |          | 53,200               | 53,200                              | 53,200            | 53,200         | Complete          |
| PHA Wide                                      | 1408 Computer Software Upgrades                 | All   |          | 55,000               | 48,734                              | 48,734            | 48,734         | Complete          |
| PHA Wide                                      | 1408 Service Coordinator/Elderly                | All   |          | 130,350              | 37,660                              | 37,660            | 37,660         | Complete          |
| PHA Wide                                      | 1408 Resident Orientation                       | All   |          | 20,000               | 6,903                               | 6,903             | 6,903          | Complete          |
| PHA Wide                                      | 1408 Resident Business/Section 3                | All   |          | 20,000               | 30,383                              | 30,383            | 30,383         | Complete          |
| PHA Wide                                      | 1408 Com. Marketing/Vacancy Turnover            | All   |          | 20,000               | 34,637                              | 34,637            | 34,637         | Complete          |
| PHA Wide                                      | 1408 Maintenance Tools & Equipment              | All   |          | 37,000               | 50,725                              | 50,725            | 50,725         | Complete          |
| PHA Wide                                      | 1408 UPCS Inspections                           | All   |          | 20,000               | 20,040                              | 20,040            | 20,040         | Complete          |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part II: Supporting Pages**

| <b>PHA Name:</b><br>Rockford Housing Authority |   | <b>Grant Type and Number</b><br>Capital Fund Program Grant No:<br><b>IL06P02250104</b><br>Replacement Housing Factor Grant No: |          |                      | <b>Federal FY of Grant:</b><br><b>2004</b> |                   |                  |                   |
|--|---|--|----------|----------------------|--|-------------------|------------------|-------------------|
| Development Number<br>Name/HA-Wide Activities  | General Description of Major Work<br>Categories | Dev. Acct No.  | Quantity | Total Estimated Cost |  | Total Actual Cost |                  | Status of<br>Work |
|  |   |  |          | Original             | Revised                                    | Funds Obligated   | Funds Expended   |                   |
| PHA Wide                                       | 1408 Inventory Upgrade/Security System          | All  |          | 20,000               | 7,367                                      | 7,367             | 7,367            | Complete          |
| PHA Wide                                       | 1408 Time Keeping System                        | All  |          | 25,000               | 22,325                                     | 22,325            | 22,325           | Complete          |
| PHA Wide                                       | 1408 Upgrade Maintenance Fleet                  | All  |          | 70,000               | 16,686                                     | 16,686            | 16,686           | Complete          |
| PHA Wide                                       | 1408 Safety Supplies                            | All  |          | 0                    | 2,500                                      | 2,500             | 2,500            | Complete          |
| PHA Wide                                       | 1408 Staff/Resident Training                    | All  |          | 91,286               | 91,286                                     | 91,286            | 91,286           | Complete          |
| PHA Wide                                       | 1410 Non-Technical Salaries                     | All  |          | 358,518              | 354,269                                    | 354,269           | 354,269          | Complete          |
| PHA Wide                                       | 1410 Sundry                                     | All  |          | 2,400                | 6,649                                      | 6,649             | 6,649            | Complete          |
| PHA Wide                                       | 1430 A&E Costs                                  | All  |          | 339,200              | 232,377                                    | 232,377           | 232,377          | Complete          |
| PHA Wide                                       | 1430 A&E Costs (Consulting)                     | All  |          | 0                    | 119,705                                    | 119,705           | 119,705          | Complete          |
| PHA Wide                                       | 1450 Site Improvements                          | All  |          | 0                    | 2,275                                      | 2,275             | 2,275            | Complete          |
| PHA Wide                                       | 1475 Computer Equipment                         | All  |          | 60,000               | 36,253                                     | 36,253            | 36,253           | Complete          |
| PHA Wide                                       | 1502 Contingency                                | All  |          | 136,958              | 0  | 0                 | 0                | Complete          |
|  |   |  |          | <b>3,609,180</b>     | <b>3,609,180</b>                           | <b>3,609,180</b>  | <b>3,609,180</b> |                   |
|  |   |  |          |                      |  |                   |                  |                   |
|  |   |  |          |                      |  |                   |                  |                   |

**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)**  
**Part III: Implementation Schedule**

| <b>PHA Name:</b><br><b>Rockford Housing Authority</b> |   | <b>Grant Type and Number</b><br>Capital Fund Program No: <b>IL06P02250104</b><br>Replacement Housing Factor No: |          |   |         | <b>Federal FY of Grant:</b><br><b>2004</b> |                                  |
|---|---|---|----------|---|---------|--|----------------------------------|
| Development Number<br>Name/HA-Wide Activities         | All Fund Obligated<br>(Quarter Ending Date) |   |          | All Funds Expended<br>(Quarter Ending Date) |         |  | Reasons for Revised Target Dates |
|   | Original                                    | Revised   | Actual   | Original                                    | Revised | Actual                                     |                                  |
| PHA Wide  | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-001 Blackhawk                                    | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-003 Orton Keys                                   | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-004 Park Terrace                                 | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-006 N. Main Manor                                | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-007 Fairgrounds                                  | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-008 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-009 Olesen Plaza                                 | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-012 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-013 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-014 Lowrises                                     | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-016 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-017 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-019 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-020 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-021 Scattered Sites                              | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-051 Jane Addams                                  | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |
| IL22-052 Brewington Oakes                             | 09/13/06                                    |   | 09/10/06 | 09/13/08                                    |         | 09/30/06                                   |                                  |

## Attachment E

### Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

| <b>Public Housing Asset Management</b>           |                          |  |   |  |  |                                   |  |  |
|--|--------------------------|--|---|--|--|-----------------------------------|--|--|
| <b>Development Identification</b>                |                          | <b>Activity Description</b>                                  |   |  |  |                                   |  |  |
| Name, Number, and Location                       | Number and Type of units | Capital Fund Program Parts II and III<br><i>Component 7a</i> | Development Activities<br><i>Component 7b</i> | Demolition / disposition<br><i>Component 8</i> | Designated housing<br><i>Component 9</i> | Conversion<br><i>Component 10</i> | Home-ownership<br><i>Component 11a</i> | Other (describe)<br><i>Component 17</i>  |
| IL220-001<br>Blackhawk Courts*                   | 196                      | Attachment B   | NA  | NA   | NA                                       | NA                                | NA                                     | Viability Analysis & 5-yr strategic plan |
| IL22-003<br>Orton Keyes*                         | 175                      | Attachment B   | NA  | NA   | NA                                       | NA                                | NA                                     | Viability Analysis & 5-yr strategic plan |
| IL22-004<br>Park Terrace*                        | 183                      | Attachment B   | NA  | NA   | NA                                       | NA                                | NA                                     | Viability Analysis & 5-yr strategic plan |
| IL22-051<br>Jane Addams Amp<br>#IL022000<br>051P | 84                       | Attachment B   | Pending                                       | Demolition Scheduled for 2007                  | NA                                       | NA                                | NA                                     | Strategic Plan                           |
| IL22-052<br>Brewington Oaks*                     | 418                      | Attachment B   | NA  | NA   | NA                                       | NA                                | NA                                     | Viability Analysis & 5-yr strategic plan |

|                                    |     |              |         |  |                         |    |         |  |
|------------------------------------|-----|--------------|---------|--|-------------------------|----|---------|--|
| IL22-006<br>North Main<br>Manor*   | 187 | Attachment B | NA      | NA   | Elderly Only            | NA | NA      | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-007<br>Fairgrounds<br>Valley* | 210 | Attachment B | Pending | Potential for<br>Demolition<br>application                                       | NA                      | NA | NA      | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-008<br>Scattered<br>Sites*    | 120 | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA                      | NA | Pending | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-009<br>Olesen<br>Plaza*       | 151 | Attachment B | NA      | NA   | Elderly Only<br>Pending | NA | NA      | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-012*                          | 10  | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA                      | NA | Pending | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-013*                          | 46  | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA                      | NA | Pending | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |
| IL22-014<br>Low Rises*             | 100 | Attachment B | NA      | NA   | NA                      | Na | Na      | Viability<br>Analysis &<br>5-yr<br>strategic<br>plan |

|   |    |              |         |  |    |    |         |   |
|---|----|--------------|---------|--|----|----|---------|---|
| IL22-016<br>Scattered<br>Sites-New*       | 49 | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA | NA | Pending | Viability<br>Analysis &5-<br>yr strategic<br>plan   |
| IL22-017<br>Scattered<br>Sites-<br>Rehab* | 30 | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA | NA | Pending | Viability<br>Analysis<br>&5-yr<br>strategic<br>plan |
| II22-019<br>Scattered<br>Sites-FSS*       | 20 | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA | NA | Pending | Viability<br>Analysis<br>&5-yr<br>strategic<br>plan |
| IL22-020<br>Scattered<br>Sites*           | 20 | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA | NA | Pending | Viability<br>Analysis<br>&5-yr<br>strategic<br>plan |
| IL 22-023<br>Scattered<br>Site            | 1  | Attachment B | Pending | Potential for<br>Selective<br>Demolition<br>and/or<br>Disposition<br>Application | NA |    | Pending | Viability<br>Analysis<br>&5-yr<br>strategic<br>plan |

- See New Amp numbers per attached list on next page

## Rockford Housing Authority

### New AMP Numbers

| <b>Old Development</b>                 | <b>Old Number</b> | <b>New AMP Group</b>               | <b>New Number</b> | <b>Number of Units</b> |
|--|-------------------|------------------------------------|-------------------|------------------------|
| Blackhawk Courts                       | IL022-001         | Blackhawk Courts                   | IL022000103P      | 371                    |
| Orton Keys                             | IL022-003         | Orton Keys                         |                   |                        |
|  |                   |                                    |                   |                        |
| Park Terrace                           | IL022-004         | Park Terrace                       |                   |                        |
| Buckbee                                |                   | Buckbee                            |                   |                        |
| Midvale                                | IL022-014         | Midvale                            | IL022000414P      | 283                    |
| Summit Green                           |                   | Summit Green                       |                   |                        |
|  |                   |                                    |                   |                        |
| Brewington Oaks A<br>Brewington Oaks B | IL022-051         | Brewington Oaks A&B                | IL0220005253P     | 418                    |
|  |                   |                                    |                   |                        |
| N.Main Manor                           | IL022-006         | North Main Manor &<br>Olesen Plaza |                   |                        |
| Olesen Plaza                           | IL022-009         |                                    | IL022000609P      | 338                    |
|  |                   |                                    |                   |                        |
| Fairgrounds Valley                     | IL022-007         | Fairgrounds Valley                 | IL022000007P      | 210                    |
|  |                   |                                    |                   |                        |
| Scattered Sites                        | IL022-008         |                                    |                   |                        |
|  | 012               |                                    |                   |                        |
|  | 013               |                                    |                   |                        |
|  | 016               | Scattered Sites West               |                   |                        |
|  | 017               |                                    |                   |                        |
|  | 019               |                                    | IL022000020P      | 151                    |

|                 |           |                            |              |     |
|-----------------|-----------|----------------------------|--------------|-----|
|                 | 020       |                            |              |     |
|                 |           |                            |              |     |
| Scattered Sites | IL022-008 |                            |              |     |
|                 | 012       |                            |              |     |
|                 | 013       |                            |              |     |
|                 | 016       | Scattered Sites East       |              |     |
|                 | 017       |                            | IL022000021P | 151 |
|                 | 019       |                            |              |     |
|                 | 020       |                            |              |     |
|                 | 023       |                            |              |     |
|                 |           |                            |              |     |
| Main Office     |           | Central Office Cost Center | IL022009999P |     |

## Attachment F

### List of Resident Advisory Board Members

| Name of the Resident Association | Contact Person   | Address, City, & State                          |
|----------------------------------|------------------|---|
| H.O.M.E. Board                   | Ron Jackson      | 515 Seminary St. Apt. 817B, Rockford, IL 61104  |
| H.O.M.E. Board                   | Benny Miller     | 515 Seminary St. Apt. 1102B, Rockford, IL 61104 |
|                                  |                  |   |
| H.O.M.E. Board- COMMISSIONER     | Virginia Starks  | 531 South Third St.-Rockford, IL 61104          |
| H.O.M.E. Board                   | Ihisha Anderson  | 425 College Ave. Rockford, IL 61104             |
|                                  |                  |   |
| H.O.M.E. Board                   | Charles Fletcher | 1000 Chamberlain Apt 1203 Rockford, IL 61107    |
|                                  |                  |   |
| H.O.M.E. Board                   | Mike Mc Donald   | 505 N. Main St. Apt 402 Rockford, IL 61103      |
| H.O.M.E. Board                   | Linda Jones      | 505 N. Main St. Apt 504 Rockford, IL 61103      |
|                                  |                  |   |
| H.O.M.E. Board                   | Sheryl Alexander | 301 Underwood Rockford, IL 61101                |
|                                  |                  |   |
| H.O.M.E. Board                   | Alice Jenkins    | 511 N. Church St. Apt 202 Rockford, IL 61103    |
| H.O.M.E. Board                   | Johnny Simmons   | 511 N. Church St. Apt 611 Rockford, IL 61103    |
|                                  |                  |   |
| H.O.M.E. Board                   | Carla Nabors     | 4124 Harrison Ave Apt 305 Rockford, IL 61108    |
| H.O.M.E. Board                   | Yvonne Foseca    | 4124 Harrison Ave Apt 200 Rockford, IL 61108    |
|                                  |                  |   |
| H.O.M.E. Board                   | Robert Heaslip   | 1514 East State St. Apt 203 Rockford, IL 61108  |
|                                  |                  |   |
| H.O.M.E. Board                   | John Tyler       | 5410 Midvale Dr. #316, Rockford, IL 61108       |
|                                  |                  |   |
| H.O.M.E. Board                   | George Poole     | 320 Kenilworth Dr., Rockford, IL 61108          |

The Resident Advisory Board consists of all Residents on the HOME Board and Resident Councils. These Residents are elected by developments on the third Tuesday of September, every odd year, with the exception of the Low-Rises. They hold their election on the third Tuesday of September, every year

## Attachment G

### List of Resident Board Member



#### Rockford Housing Authority Board of Commissioners FY 2007

|   |                  |
|---|------------------|
| Ricardo Cervantes                       | Chairman         |
| Virginia Starks (Resident Commissioner) | Vice Chairperson |
| Phyllis Ginestra                        | Commissioner     |
| Chandler Anderson                       | Commissioner     |
| David Sidney (New Appointment 4/07)     | Commissioner     |

**Attachment H**  
**Rockford Housing Authority**  
**Component 10 (B) Voluntary Conversion**  
**Initial Assessments**

- a. How many of the PHA’s developments are subject to the Required Initial Assessments? 14
- b. How many of the PHA’s developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)? 2
- c. How many Assessments were conducted for the PHA’s covered developments? 14
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments: Blackhawk Courts

| <b>Development Name</b>                                   | <b>Number of Units</b> |
|---|------------------------|
| Blackhawk Courts IL-022-001<br>IL022000103P               | 196                    |
| Orton Keyes IL-022-003<br>IL022000103P                    | 175                    |
| Park Terrace IL-022-004<br>IL022000609P                   | 183                    |
| Brewington Oaks A&B IL-022-052<br>IL022005253P            | 418                    |
| Fairgrounds Valley IL-022-007<br>IL022000007P             | 210                    |
| Scattered Sites IL-022-008 IL022000020P &<br>IL022000021P | 127                    |
| Olesen Plaza IL-022-009<br>IL022000609P                   | 151                    |
| Scattered Sites IL-022-012 IL022000020P &<br>IL022000021P | 10                     |
| Scattered Sites IL-022-013 IL022000020P &<br>IL022000021P | 46                     |
| Scattered Sites IL-022-016 IL022000020P &<br>IL022000021P | 49                     |
| Scattered Sites IL-022-017 IL022000020P &<br>IL022000021P | 30                     |
| Scattered Sites IL-022-019 IL022000020P &<br>IL022000021P | 20                     |
| Scattered Sites IL-022-020 IL022000020P &<br>IL022000021P | 20                     |
| Scattered Sites IL-022-023<br>IL022000021P                | 1                      |

# Attachment I

## Community Service Requirement Policy & Status

The Rockford Housing Authority met with employers that could use intermittent workers to find out what their needs are. A list of those agencies wishing to participate was created for resident distribution.

RHA staff has made the initial contact with all 528 residents that are required to fulfill this mandate and we have documented those in compliance as well as those not in compliance. To date, 131 residents have begun to fulfill the mandate.

Quarterly reminder notices will be sent to residents that are not in compliance prior to their lease expiration. The following is our newly created policy:

### **1. COMMUNITY SERVICE REQUIREMENT POLICY**

#### INTRODUCTION

*Section 512 of the “Quality Housing and Work Responsibility Act” of 1998, entitled “Public Housing Community Service Requirements”, imposes a requirement on all adult housing residents, with important exceptions, to participate for a minimum of eight (8) hours per month in community service or in an economic self-sufficiency program. The Rockford Housing Authority may not renew any lease, or provide any new lease, for a dwelling unit in public housing for any household that includes an adult member who is subject to these requirements and has failed to comply with his or her obligation under this provision.*

#### REQUIREMENTS

*All adult public housing household members shall contribute:*

- 1. Eight (8) hours per month of community service (not including political activities) within the local community in which the adult resident resides; or*
- Participate in an economic self-sufficiency program approved by the RHA for eight (8) hours per month.*

#### TYPES OF COMMUNITY SERVICE

*Community Service is defined as any volunteer activity designed to improve the quality of life in the City of Rockford, Illinois. Such activities may include, but are not limited to the following.*

- 1. Resident Council membership activities;*
- Participation in local community clean up activities;*
- Participation in PTA, church, school activities or other local community service organization or governmental body;*
- Any other local community service activity approved in advance by the Rockford Housing Authority.*



**Part II: Contracts Awarded**

1. Construction Contracts:

|   |           |
|---|-----------|
| A. Total dollar amount of all contracts awarded on the project                    | \$ 85,180 |
| B. Total dollar amount of contracts awarded to Section 3 businesses               | \$ 000    |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 000 %     |
| D. Total number of Section 3 businesses receiving contracts                       |           |

2. Non-Construction Contracts:

|   |               |
|---|---------------|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | \$ 302,274.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses  | \$ 000        |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses     | 000 %         |
| D. Total number of Section 3 businesses receiving non-construction contracts          | 000           |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Meeting with Home Board Members to make them aware of the importance of this program.  
Direct contact with residents and follow-up correspondence.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

**Section 3 Summary Report**  
 Economic Opportunities for  
 Low – and Very Low-Income Persons

U.S. Department of Housing  
 and Urban Development  
 Office of Fair Housing  
 And Equal Opportunity

Ref 24 CFR 135

OMB Approval No: 2529-0043  
 (exp. 8/31/2007)

Hud Field Office:

See page 2 for Public Reporting Burden statement

|   |  |   |
|---|--|---|
| 1. Recipient Name & Address: (street, city, state, zip)<br>Rockford Housing Authority<br>223 S. Winnebago Street<br>Rockford, IL 61102                | 2. Federal Identification: (contract/award no.)<br>IL-22 | 3. Dollar Amount of Award:<br>\$671,766.00    |
|   | 4. Contact Person<br>Mark Shoemaker                      | 5. Phone: (Include area code)<br>815-987-3896 |
|   | 6. Reporting Period:<br>7/1/2006 thru 6/30/2007          | 7. Date Report Submitted:<br>July 15, 2007    |
| 8. Program Code *<br><div style="border: 1px solid black; display: inline-block; padding: 2px;">3C</div> (Use a separate sheet for each program code) | 9. Program Name:<br>Operations                           |   |

**Part I: Employment and Training (Includes New Hires in columns B & C)**

| A<br>Job Category                  | B<br>Number of New Hires | C<br>Number of New Hires that are Sec. 3 Residents | D<br>% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents | E**<br>% of Total Staff Hours for Section 3 Employees and Trainees | F**<br>Number of Section 3 Employees and Trainees |
|------------------------------------|--------------------------|--|--|--|---|
| Professionals                      |                          |  |  |  |   |
| Technicians                        |                          |  |  |  |   |
| Office/Clerical                    | 1                        | 1  | 100%   |  | 1   |
| Construction by Trade (List Trade) |                          |  |  |  |   |
| Trade                              |                          |  |  |  |   |
| Other (List)                       |                          |  |  |  |   |
| Resident Assistants                | 3                        | 3  | 100%   |  | 3   |
| Tutor/Mentor                       | 1                        | 1  | 100%   |  | 1   |
| Maintenance/Janitorial             | 7                        | 7  | 100%   |  | 7   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
|                                    |                          |  |  |  |   |
| <b>Total</b>                       | <b>12</b>                | <b>12</b>  | <b>100%</b>  |  | <b>12</b>   |

\* Program Codes  
 1 = Flexible Subsidy  
 2 = Section 202/811

3 = Public/Indian Housing  
 A = Development,  
 B = Operation  
 C = Modernization

4 = Homeless Assistance  
 5 = HOME  
 6 = HOME State Administered  
 7 = CDBG Entitlement

8 = CDBG State Administered  
 9 = Other CD Programs  
 10 = Other Housing Programs

**Part II: Contracts Awarded**

1. Construction Contracts:

|   |               |
|---|---------------|
| A. Total dollar amount of all contracts awarded on the project                    | \$ 421,766.00 |
| B. Total dollar amount of contracts awarded to Section 3 businesses               | \$ 112,000.00 |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | 3.7%          |
| D. Total number of Section 3 businesses receiving contracts                       |               |

2. Non-Construction Contracts:

|   |               |
|---|---------------|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | \$ 250,000.00 |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses  | \$ 000        |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses     | 000 %         |
| D. Total number of Section 3 businesses receiving non-construction contracts          | 000           |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Meeting with Home Board Members to make them aware of the importance of this Program.  
Direct contact with residents and follow-up correspondence.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

# Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. **Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted.** Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

---

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

- The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that
- 8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
- 9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

## Part I: Employment and Training Opportunities

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B:** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C:** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F:** Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

## Part II: Contract Opportunities

### Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

### Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

## Part III: Summary of Efforts – Self -explanatory

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

## **Attachment K**

### **Progress Report on Goals and Objectives**

The Rockford Housing Authority has made significant progress during the past year on our goals and objectives for the agency. The major items are summarized below.

#### Project Based Accounting and Project Based Management

The number one priority for the Rockford Housing Authority has been the implementation of project based accounting and project based management. Accordingly, a considerable amount of time and effort has been spent over the past year to accomplish this goal. We are confident we have successfully made this transition. We are now operating with site based budgets and monthly financial statements, site based applications and waiting lists and a site based work order system just to name a few of the significant changes. Property Managers have undergone an intense training schedule to develop new skill sets in the areas of property management and leadership. Meanwhile, the Maintenance Department has been decentralized and maintenance staff is now reporting directly to the Property Managers at each site. Additionally, the RHA central inventory system has been replaced with site based inventories located at the properties.

The next phase of project based management is also well underway at the RHA. In this phase, the Rockford Housing Authority will develop a 5-year Strategic Plan based on the principles of Asset Management. The strategic plan is intended to guide the agency through its assessment of assets, to develop alternative housing options and identify additional revenue streams. As part of this planning process, the RHA will solicit input from a variety of stakeholders including community agencies, local city officials, residents, staff and neighbors alike. Existing assets will undergo a viability analysis and be evaluated from a cash flow position to determine which properties should be renovated, demolished or disposed of. Additionally, new affordable housing options will be included in the plan and will likely utilize low-income tax credits and other funding sources. Last, but certainly not least, the strategic plan is expected to identify new revenue streams for the agency to reduce our dependency on HUD subsidies. We are very excited about this plan and believe it will chart the future of the RHA. We anticipate the completion of the 5-year Strategic Plan by October 2007.

All in all, it has been a very challenging year and rewarding year in striving for and achieving our goals under project based accounting and project-based management at the Rockford Housing Authority. We are very proud of these results and look forward to continued growth in this area.

### Demolition of Jane Addams

In October 2006, the Rockford Housing Authority received approval from HUD to demolish 84 units of public housing at Jane Addams, a family development. The RHA quickly mobilized a large cross-section of staff to review and implement the relocation process to provide a smooth relocation experience for the residents. Staff meets on a weekly basis and will continue to meet until the relocation process is complete. Residents have also been provided counseling services and life skills training to assist them and promote success in their new living environments. Feedback from residents has been very positive. The RHA expects all residents to be relocated from Jane Addams by June 30, 2007 with demolition scheduled to commence shortly thereafter and be complete by November 2007. This is another major accomplishment for the Rockford Housing Authority and one that is proceeding well ahead of schedule.

### Energy Performance Contracting

The Rockford Housing Authority has a long-standing interest in energy reduction and improving energy efficiency at the agency dating back to 2000 when it first investigated an energy performance contract. While the investment grade audit conducted in 2000 did not ultimately result in an energy performance contract at that time, the RHA has re-visited energy performance contracting in the past year and currently has an energy service company (ESCO) under contract to perform an updated investment grade audit. The results of this audit are expected by June 2007 and will be utilized, in conjunction with the strategic plan, to initiate a multi-million dollar energy performance project at the RHA. We eagerly await the outcome and hope to have an energy performance contract executed by December 2007.

### Capital and Management Improvements

Elevator upgrades at all high-rise and low-rise buildings are on schedule and expected to be complete in 2007. As a secondary benefit of this major improvement project, all buildings with elevators will also have emergency generators installed to supply power to the elevators in the event of a power outage. This will greatly enhance the quality of life for the RHA residents in these buildings.

In December 2006, the RHA acquired a single-family residence with Replacement Housing Factor Program funds. With this addition to our portfolio, the RHA now owns 301 units of scattered site housing throughout the City of Rockford and represents our most highly desirable form of public housing for the families we serve.

With capital funds, the RHA is also undertaking a substantial upgrade to replace an antiquated computer network system. As a result, the RHA is in the process of replacing the wireless network with a hardwired DS-3 solution that includes new routers, switches and Voice-Over-IP that will greatly improve the speed and dependability of the network while simultaneously reducing our on-going operating

costs. Multiple contracts have been awarded on this project and it is scheduled for completion by August 2007.

#### Carbon Monoxide Alarm Detector Act

The State of Illinois enacted a new law in 2006 that required carbon monoxide alarms to be installed in residential dwelling units by January 1, 2007. After careful review by legal counsel, the RHA quickly coordinated efforts between vendors and staff to insure all units requiring a carbon monoxide alarm detector had said units installed by the specified deadline. Additionally, all inspections conducted after January 1, 2007 for public housing and Section 8 will include verification of carbon monoxide detectors where applicable.

#### Violence Against Women Act

On January 5, 2006, President Bush signed Violence Against Women Act into law as Public Law 109-162, which protects women who have been victimized by domestic violence, dating violence and stalking. As a result, the Rockford Housing Authority is in the process of updating its Admissions and Occupancy Plan for public housing and its Administrative Plan for Section 8 to reflect the revised policies related to eligibility and continued occupancy. Additionally, during 2007, all existing RHA residents under both the Public Housing and Section 8 programs will be notified of their rights under this law including their right to confidentiality and the limits thereof.

In summary, the Rockford Housing Authority has accomplished a number of key goals for the agency over the past year and is positioning itself to become a role model of delivering affordable housing in new and innovative ways in the upcoming year.

## **Attachment L**

### **Significant Amendments and Substantial Deviations/Modifications to the Plan**

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence which meets one or more of the following criteria:

- Any changes to the organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

## **Attachment M**

### **Section 8 Homeownership Capacity Statement**

The Rockford Housing Authority is administering a Section 8 Homeownership Program which was Approved by the RHA Board of Commissioners in April 2001. The first Homebuyer closing occurred on September 1, 2001. The RHA has the capacity and resources to operate a successful program through the Family Self Sufficiency Program, a partnership that includes local lenders within the community, Rockford Affordable Housing Coalition and Rockford Area Association of Realtors (RAAR).

The RHA is presently administering the homeownership program with the requirement that the participants must make a \$1000 down payment using their own money. Homeowner Classes are held and must be completed prior to seeking, locating and purchasing a unit through the Family Self Sufficiency Program.

The RHA Admin Plan requirements are:

- a minimum cash payment of five (5%) percent of purchased price and
- a minimum of \$1000 of family own funds.

## **Attachment N**

### **Description of Homeownership Programs**

The Section 8 Homeownership program was initiated to assist families to become homeowners in the city of Rockford; thereby giving our Section 8 participants another opportunity to acquire better housing. HUD allows PHA's to use rental vouchers under the Section 8 program for mortgage payments, which helps first time homebuyer's bridge the gap from being a renter to homeownership. The RHA plan provides assistance for up to 25 families in a year. The plan further requires any adult member to be employed at least 30 hours per week with no break in employment within the last 12 calendar months or qualify as elderly or disabled. Families must have an annual income of at least \$10,300 unless they are elderly or disabled.

Participants in the Section 8 Homeownership Program must also attend Pre and Post Counseling provided through the Family Self Sufficiency Program. The maximum term of assistance under this program is directly related to the length of the mortgage. If the mortgage is less than 20 years, participants may receive 10 years of assistance. If the mortgage is more than 20 years, participants are eligible for 15 years of assistance.

## Attachment O

### RHA Site Based Waiting Lists

Number of Applicants on Waiting Lists by Site as of 06/14/07

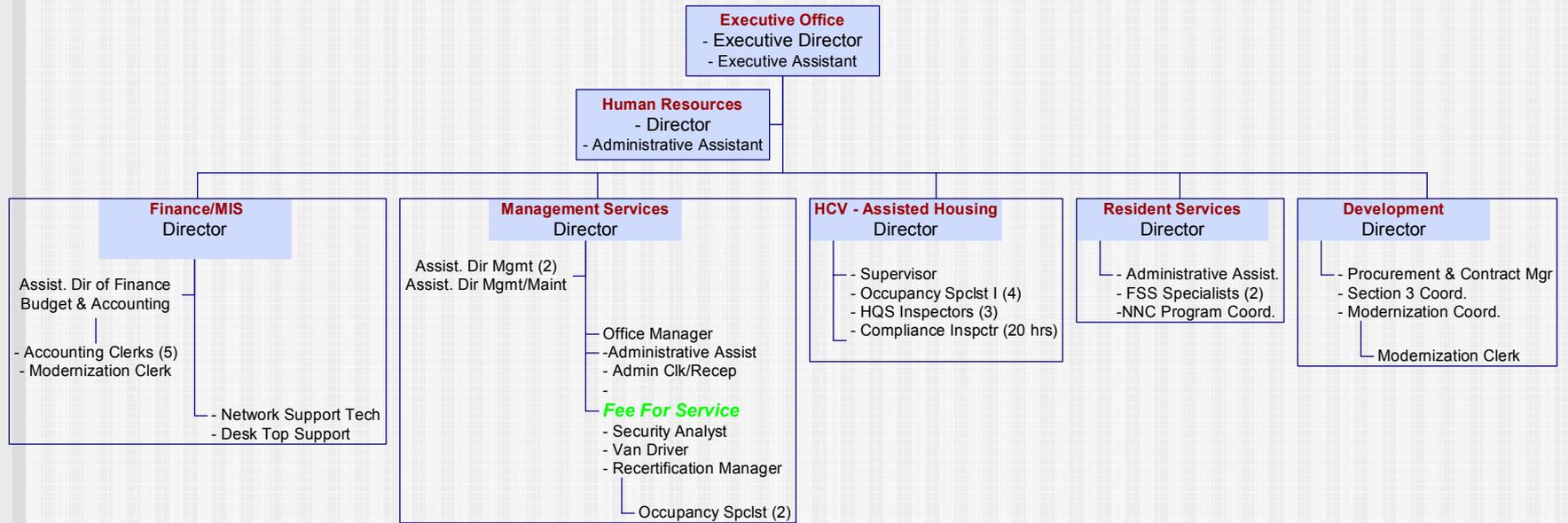
| SITE                 | 1 BR | 2 BR | 3 BR | 4 BR | 5 BR | 6 BR |
|----------------------|------|------|------|------|------|------|
| Blackhawk            | 219  | 211  | 95   | 14   |      |      |
| Fairgrounds          |      | 82   | 54   | 17   |      | 3    |
| Orton Keyes          | 66   | 120  | 52   | 22   | 7    | 1    |
| Brewington A         | 53   | 1    |      |      |      |      |
| Brewington B         | 53   | 1    |      |      |      |      |
| North Main Manor     | 45   | 2    |      |      |      |      |
| Olesen Plaza         | 56   |      |      |      |      |      |
| Park Terrace         | 84   | 5    |      |      |      |      |
| Scattered Sites-East |      | 142  | 68   | 23   |      |      |
| Scattered Sites-West |      |      | 29   | 18   | 1    |      |

**Attachment P**  
**RHA Organizational Chart**

# RHA 2007 Organization - Project Based Management

March 2007

## RHA Board of Commissioners

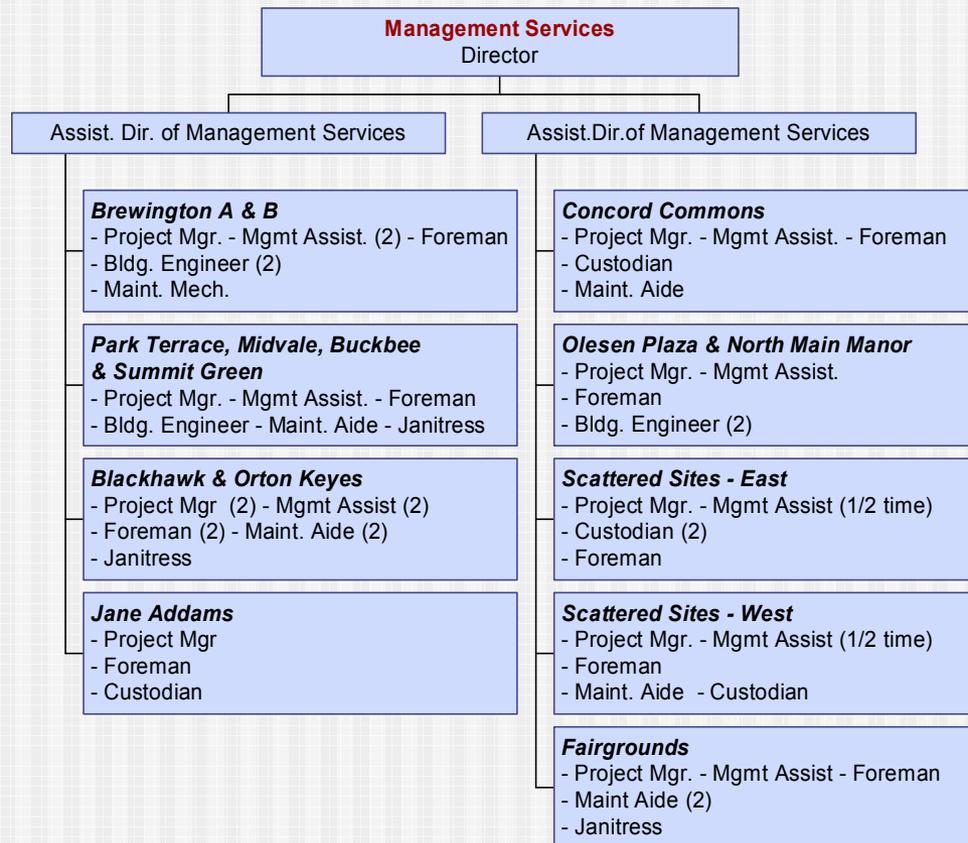


# RHA 2007 Organization – Project Based Management

March 2007

Page 2

## Management Services With Project Based Site Staffing



## Resident Services - Site Staffing

