

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2007

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Rock Island Housing Authority

PHA Number: IL018

PHA Fiscal Year Beginning: (mm/yyyy) 10/2007

PHA Programs Administered:

Public Housing and Section 8
 Section 8 Only
 Public Housing Only
 Number of public housing units: Number of S8 units: Number of public housing units:
 Number of S8 units:

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

The Rock Island Housing Authority is committed to pursuing new and creative ways to meet the changing housing needs of the diverse population of Rock Island.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score)
- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:

- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling:
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Five-Year Goals

- Safe, Well-maintained Housing Units
- Effective Organization with Measured Performance
- Solid, Credible Financial Condition
- Full Occupancy of Units
- Positive Image, both within the City of Rock Island and the Quad Cities metro area

Fiscal Year Goals

- Operational Excellence
- Ensure Long-term Financial Viability
- Implement Asset Management Plan
- Maintain a Positive Public Image

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Background

Since 1950, the Rock Island Housing Authority (RIHA) has provided rental assistance to families who pay no more than 30% of their income toward rent and utilities. RIHA serves low- and moderate-income persons, including the elderly and disabled by providing affordable, decent, safe, and sanitary housing opportunities. It offers two types of housing including conventional public housing and Section 8 rental vouchers. RIHA also supports programs to foster economic self-sufficiency and personal growth. RIHA is an independent, not-for-profit agency that receives most of its funding through the federal Department of Housing and Urban Development (HUD).

RIHA operates a public housing program with 487 units of conventional public housing and a Housing Choice Voucher Program with 230 units of subsidized Section 8 housing. In total, it provides homes for 717 families – nearly 1,500 persons.

RIHA Asset Management Plan

In response to QHWRA, RIHA prepared an Asset Management Plan (AMP) in 2003. One of the most important goals of the AMP was to provide current and future residents with more housing options. As a result, RIHA committed itself to building new communities based on transition and hope. In doing so, RIHA began to move from the provider of public housing to an organization focused on providing affordable housing to a broad-based market. The AMP is the agency's strategic plan for the next 20 years. It is also part of the City's Consolidated Plan.

The Asset Management Plan resulted in the following decisions:

- Keep and upgrade Spencer Towers
- Keep and maintain Sunset Heights
- Maintain and monitor both Lincoln Homes and Manor Homes
- Demolish and replace Valley Homes
- Create a not-for-profit corporation to become an integral partner in the provision of quality housing to a board-based market
- Dispose of the six single-family scattered site homes
- Acquire vacant lots or existing properties and develop new in-fill housing opportunities

- Implement a Section 8 Housing Choice Voucher Homeownership program allowing participants to use their vouchers to help meet first-time homeownership expenses
- Develop a Relocation Plan in accordance with the Uniform Relocation Act (URA)
- Develop supportive housing for special populations such as elderly, non-elderly persons with disabilities, and other special needs populations. Projects could include independent living developments, condominium units, and small group homes with supportive services
- The two-phased redevelopment of the Voss Brothers Warehouse
 - Phase I: RIHA administrative offices and retail spaces
 - Phase II: 35 mixed-income loft apartments
- Develop of 50 to 100 new housing opportunities per year. Based on this schedule, it would take RIHA 5 to 10 years to replace its 550 conventional public housing units

By evaluating the long-term benefits of each of its properties, RIHA is in the position to make the changes required to provide more housing options and more opportunities for affordable housing to a broad-based market. The revitalization of Rock Island neighborhoods through these initiatives will have a positive long-term impact on the quality of life for the entire community.

Revitalization Through In-Fill Housing

When affordable housing is constrained, people crowd into available housing and slums are born. RIHA is focusing on creating healthy vibrant communities for all Rock Island residents. RIHA in-fill housing activities fill vacant lots and contribute to the revitalization of neighborhoods and positively impact the people living there. Revitalization initiatives create infrastructure for families that encourages stable home ownership, supports neighborhood economic development, and provides a variety of resources for children, youth, and adults.

Strong, economically diverse communities are important ingredients in reducing poverty, eliminating dependence upon welfare and improving the futures of low-income families. Neighborhood revitalization is based upon the notion that cities can, and should, be renewed for the sake of their residents. Neighborhood revitalization is more than building housing in a community – it’s about rebuilding lives.

Economic Self-Sufficiency Through Mixed-Income Housing

Mixed-income housing combines low-income and higher-income households in the same development. After decades of using public housing and other federally subsidized housing developments to shelter low- to moderate-income working families, the Federal Government is shifting toward deconcentration via the creation of mixed-income communities indistinguishable from market-rate housing.

Mixed-income properties promote safe housing environments and share the theme of upward mobility by providing opportunities for overcoming barriers to education and employment for low-to moderate-income working families.

Regardless of income, most families have the same concerns – security, employment, getting the best possible education for their kids, and wanting a safe, decent place to live. Neighborhood revitalization and the creation of mixed-income developments is the business of creating safe, viable, and vibrant neighborhoods; it creates neighborhoods of choice, improving our sense of place and our sense of pride. Revitalization adds new investment to our communities and allows us to restore hope and equal opportunities for all of our residents.

The Future is NOW

Today, RIHA is the provider of housing of choice rather than housing of last resort. It is returning to its roots as the developer and manager of property. RIHA also supports a variety of programs fostering economic self-sufficiency and personal growth. As a result, public housing-eligible residents have more choices and more control over where they live coupled with the opportunity to become self-sufficient, contributing members of their communities. To accomplish this, RIHA has implemented the following recommended AMP initiatives over the past 3 years.

1. Disposed of the six single-family scattered site homes
2. Completed Phase I of the Voss Brothers Warehouse redevelopment
3. Currently developing 35 mixed-income loft apartments as Phase II of the Voss Brothers Warehouse redevelopment
4. Demolished Valley Homes
5. Developed a Relocation Plan in accordance with the Uniform Relocation Act (URA)
6. Relocated 53 displaced Valley Home households a full five-months ahead of schedule
7. Created a not-for-profit corporation known as Community Housing Solutions
8. Developed six affordable single-family, for-sale, in-fill homes in four Rock Island neighborhoods
9. Implemented a Section 8 Housing Choice Voucher Homeownership program allowing participants to use their vouchers to help meet first-time homeownership expenses
10. Implemented a Homeownership program per HUD Section 32 regulations
11. Purchased the Hickman Center as the RIHA maintenance warehouse

Through Community Housing Solutions, RIHA has:

12. Demolished the Angel Salsa building for the construction of Douglas Park Place, an eight-unit, mixed-income rental development designed to serve women with special needs
13. Renovated the Murphy House, a historic landmark with four market-rate condominiums
14. Recommended redevelopment of Valley Homes to be known as Creekwood Park, a mixed-income subdivision with single-family for-sale homes

Over the next 10 - 20 years, RIHA will continue to remove obsolete housing units from its portfolio. As this occurs, units will be replaced with alternate housing opportunities for low- and moderate-income families. New housing will include, but not be limited to, multi-unit and single-family in-fill, mixed-income rental and for-sale options. New housing will not in any way resemble the public housing we have become accustomed to over the past several decades.

Self-Sufficiency; the Key to Success

RIHA is seeking to renew neighborhoods from within by establishing a range of programs and services aimed at increasing homeownership and economic opportunity. Acting as an intermediary, RIHA is actively pooling the resources from a variety of service providers and collaborators to provide a diverse selection of support services. By partnering with financial providers and counselors, health and educational institutions, job training organizations, and social service agencies, RIHA can play an important role in changing and improving the community by improving the lives of the people living there. Together, we are helping to restore hope and equal opportunities for all residents in Rock Island.

A Housing Authority of Distinction

Six years ago, RIHA was considered financially “Troubled” by HUD. Today, it holds a High Performer status and has done so four out of the past six years. In addition, RIHA is one of very few PHAs nationwide to have a comprehensive AMP in place. Further, RIHA has successfully implemented and completed more than 10 high-profile initiatives within the plan – including the demolition of Valley Homes and the successful relocation of 53 families a full five months ahead of schedule.

RIHA has been recognized by the Illinois Association of Housing Authorities (IAHA) for “Operational Excellence” and received an “Award of Merit in Housing and Community Development” from the National Association of Housing and Redevelopment Officials (NAHRO) in 2005. RIHA is also actively engaged in providing professional consulting services to other PHAs on both a state and national level.

RIHA continues to develop and implement initiatives enabling it to become more economically self-sufficient. This entrepreneurial nature is allowing it to reduce its dependency on HUD subsidies while returning to its legislative roots as developers and managers of housing.

RIHA is actively focused on creating healthy vibrant communities by pursuing new and creative ways to meet the changing housing needs of the diverse population of Rock Island.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration – **Attachment A, page 73**
- FY 2006 Capital Fund Program Annual Statement – **Attachment B (il018b04)**
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members – **Attachment D, page 73**
- List of Resident Board Member – **Attachment E, page 73**
- Community Service Description of Implementation – **Attachment F, pages 50-57**
- Information on Pet Policy – **Attachment G, pages 60-67**
- Section 8 Homeownership Capacity Statement, if applicable
- Description of Homeownership Programs, if applicable – **Attachment H, pages 74-77**

Optional Attachments:

- PHA Management Organizational Chart – **Attachment I (il018i04)**
- FY 2006 Capital Fund Program 5Year Action Plan – **Attachment C (il018c04)**
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) – **Attachment J (il018j04)**
- Other (List below, providing each attachment name)

Criteria for Substantial Deviations and Significant Amendments – **Attachment K, page 77**

Project-Based Voucher Program – **Attachment L, page 78**

Plan for Conforming to the Requirements of the Carbon Monoxide Alarm Detector Act – **Attachment M, page 78**

Policy on Violence Against Women Act – **Attachment N, pages 78-84**

P&E for CFP501-03 – **Attachment O (il018o04)**

P&E for CFP501-04 – **Attachment P (il018p04)**

P&E for CFP501-05 – **Attachment Q (il018q04)**

Annual Statement for CFP501-07 – **Attachment R (il018r04)**

P&E for CFP502-03 – **Attachment S (il018s04)**

P&E for RHF501-06 – **Attachment T (il018t04)**

P&E for RHF501-07 – **Attachment U (il018u04)**

P&E for RHF502-04 – **Attachment W (il018w04)**

P&E for RHF502-05 – **Attachment X (il018x04)**

P&E for RHF502-06 – **Attachment Y (il018y04)**

P&E for RHF502-07 – **Attachment Z (il018z04)**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Accessibility	Size	Location
Income <= 30% of AMI	2,679	5	5	5	3	2	4
Income >30% but <=50% of AMI	1,961	5	4	5	2	2	4
Income >50% but <80% of AMI	2,879	4	3	5	2	2	4
Elderly	2,372	4	4	4	4	1	4
Families with Disabilities	6,992 people	4	4	5	5	2	4
Black	2,501	5	5	5	3	3	4
Hispanic	632	5	5	5	3	3	4

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: Fiscal Years 2003-2008
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information):

U.S. Census Data, Census 2000, Tables DP-1, DP-3 and Summary File 1, Table P015

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	244		49
Extremely low income <=30% AMI	217	88.93%	
Very low income (>30% but <=50% AMI)	27	11.07%	
Low income (>50% but <80% AMI)	0	0.00%	
Families with children	171	70.08%	
Elderly families	6	2.46%	
Families with Disabilities	5	2.05%	
Black	177	72.54%	
White, non-Hispanic	59	24.18%	
Hispanic	7	2.87%	
Other	1	0.41%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? <u>6 Months – Since January 2007</u>			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: <u>Elderly/Disabled Sites</u>			
	# of families	% of total families	Annual Turnover
Waiting list total	25		60
Extremely low income <=30% AMI	24	96%	
Very low income (>30% but <=50% AMI)	1	4%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	2	8%	
Elderly families	5	20%	
Families with Disabilities	18	72%	
Black	12	48%	
White, non-Hispanic	12	48%	
Hispanic	0	0%	
Other	1	4%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	22	88%	54
2 BR	2	8%	6
3 BR	1	4%	0
4 BR	n/a	n/a	n/a
5 BR	n/a	n/a	n/a
5+ BR	n/a	n/a	n/a
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input checked="" type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction: <u>Family Sites</u>			
	# of families	% of total families	Annual Turnover
Waiting list total	260		56
Extremely low income <=30% AMI	235	90.38%	
Very low income (>30% but <=50% AMI)	23	8.85%	
Low income (>50% but <80% AMI)	2	0.77%	
Families with children	140	53.85%	
Elderly families	0	0.00%	
Families with Disabilities	24	9.23%	
Black	176	67.69%	
White, non-Hispanic	76	29.23%	
Hispanic	6	2.31%	
Other	2	0.77%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	110	42.31%	21
2 BR	86	33.08%	17
3 BR	51	19.62%	16
4 BR	13	5.00%	2
5 BR	n/a	n/a	n/a
5+ BR	n/a	n/a	n/a
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through Section 8 replacement housing resources
- Maintain or increase Section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase Section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below):

Increase number of project-based Housing Choice Vouchers

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional Section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below):

Implement Asset Management Plan, which will explore various opportunities, including those mentioned above.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based Section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Promote Mandatory Earned Income Disregard

Promote Family Self-Sufficiency Program and Escrow Accounts

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below):

Promote Mandatory Earned Income Disregard

Promote Family Self-Sufficiency Program and Escrow Accounts

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below):

Coordinate with providers of social services to the elderly.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below):

Consider the implementation of project-based Housing Choice Vouchers granting preference to developments serving the disabled.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel Section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the Section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2005 grants)		
a) Public Housing Operating Fund	\$1,171,691	
b) Public Housing Capital Fund	\$1,036,000	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,061,507	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants	\$200,000	
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
i. 501-06	\$219,203	Public Housing Capital Improvements
ii. R502-04	\$146,697	
iii. R502-05	\$103,386	
iv. R502-06	\$88,472	
3. Public Housing Dwelling Rental Income	\$914,392	Public Housing Operations
4. Other income (list below)		
5. Non-federal sources (list below)		
Total resources	\$4,941,348	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe): At the time of application

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe): Outstanding debt to any Federally assisted housing program

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

RIHA does not maintain site-based waiting lists

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference (list below):

Near-elderly

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

5 Date and Time

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 2 Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2 Victims of reprisals or hate crimes
- 4 Other preference (list below): Near-elderly

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list):

Resident Handbook

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
 List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
 - Criminal and drug-related activity, more extensively than required by law or regulation
 - More general screening than criminal and drug-related activity (list factors below)
 - Other (list below)
- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
 - Other (describe below):
- Current address, Names and addresses of current landlords if available

(2) Waiting List Organization

- a. With which of the following program waiting lists is the Section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
 - Federal public housing
 - Federal moderate rehabilitation
 - Federal project-based certificate program
 - Other federal or local program (list below)

b. Where may interested persons apply for admission to Section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: Difficulty of the household in locating a unit.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the Section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to Section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose Section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in your jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)
 Households that contribute to meeting income requirements (targeting)
 Those previously enrolled in educational, training, or upward mobility programs

- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

4 Date and Time

Former Federal preferences

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 2 Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 3 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- 2 Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose Section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
 Briefing sessions and written materials
 Other (list below)

b. How does the PHA announce the availability of any special-purpose Section 8 programs to the public?

- Through published notices
 Other (list below):

Direct Mailings

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

RIHA shall grant an exemption from the minimum rent if a family is unable to pay the minimum rent because of financial hardship.

The financial hardship exemption applies only to families required to pay the minimum rent. If a family's TTP is higher than the minimum rent, the family is not eligible for a hardship exemption. If the PHA determines that a hardship exists, the TTP is the highest of the remaining components of the family's calculated TTP.

Financial hardship includes the following situations:

- (1) The family has lost eligibility for or is awaiting an eligibility determination for a federal, state, or local assistance program. This includes a family member who is a noncitizen lawfully admitted for permanent residence under the Immigration and Nationality Act who would be entitled to public benefits but for Title IV of the Personal Responsibility and Work Opportunity Act of 1996.

A hardship will be considered to exist only if the loss of eligibility has an impact on the family's ability to pay the minimum rent.

For a family waiting for a determination of eligibility, the hardship period will end as of the first of the month following (1) implementation of assistance, if approved, or (2) the decision to deny assistance. A family whose request for assistance is denied may request a hardship exemption based upon one of the other allowable hardship circumstances.

- (2) The family would be evicted because it is unable to pay the minimum rent.
- (3) Family income has decreased because of changed family circumstances, including the loss of employment.
- (4) A death has occurred in the family.

In order to qualify under this provision, a family must describe how the death has created a financial hardship (e.g., because of funeral-related expenses or the loss of the family member's income).

Determination of Hardship

When a family requests a financial hardship exemption, RIHA must suspend the minimum rent requirement beginning the first of the month following the family's request. RIHA then determines whether the financial hardship exists and whether the hardship is temporary or long-term.

RIHA defines temporary hardship as a hardship expected to last 90 days or less. Long term hardship is defined as a hardship expected to last more than 90 days.

RIHA may not evict the family for nonpayment of minimum rent during the 90-day period beginning the month following the family's request for a hardship exemption. When the minimum rent is suspended, the TTP reverts to the highest of the remaining components of the calculated TTP.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

RIHA shall provide two rent options for any public housing dwelling unit owned, assisted or operated by RIHA. The flat rental amount for the dwelling unit shall be based on the rental value of the unit as determined by RIHA.

RIHA has established the following flat rents:

<u>Bedroom Size</u>	<u>Flat Rent</u>
1 BR	\$325
2 BR	\$350
3 BR	\$375
4 BR	\$400

- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

- e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below):

In accordance with HUD regulations, the ceiling rent will be exactly the same amount as the flat rent after the utility allowance, if applicable, has been deducted.

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold): \$100 per month
- Other (list below):

Any time the family composition changes

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The Section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below):

Current Market Study

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached. **File name: il018i01**
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	477	116
Section 8 Vouchers	230	49
Section 8 Certificates	n/a	n/a
Section 8 Mod Rehab	n/a	n/a
Special Purpose Section 8 Certificates/Vouchers: Shelter+Care	8	1
Public Housing Drug Elimination Program (PHDEP)	n/a	n/a
Other Federal Programs(list individually)	n/a	n/a

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below):

Admissions and Continued Occupancy Policy (ACOP)

Maintenance Policy

Procurement Policy

Capitalization Policy

Disposition Policy

Insurance Policy

Cash Management and Investment Policy

(2) Section 8 Management: (list below):

Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) **File name: il018b01**

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) **File name: il018c01**

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

- Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

RIHA Asset Management Plan

In response to QHWRA, RIHA prepared an Asset Management Plan in 2003. One of the most important goals of the AMP was to provide current and future residents with more housing options. As a result, RIHA committed itself to building new communities based on transition and hope. In doing so, RIHA began to move from the provider of public housing to an organization focused on providing affordable housing to a broad-based market. The AMP is the agency's strategic plan for the next 20 years and is part of the City's Consolidated Plan.

The Asset Management Plan resulted in the following decisions:

- Keep and upgrade Spencer Towers
- Keep and maintain Sunset Heights
- Maintain and monitor both Lincoln Homes and Manor Homes
- Demolish and replace Valley Homes
- Create a not-for-profit corporation to become an integral partner in the provision of quality housing to a board-based market

- Dispose of the six single-family scattered site homes
- Acquire vacant lots or existing properties and develop new in-fill housing opportunities
- Implement a Section 8 Housing Choice Voucher Homeownership program allowing participants to use their vouchers to help meet first-time homeownership expenses
- Develop a Relocation Plan in accordance with the Uniform Relocation Act (URA)
- Develop supportive housing for special populations such as elderly, non-elderly persons with disabilities, and other special needs populations. Projects could include independent living developments, condominium units, and small group homes with supportive services
- The two-phased redevelopment of the Voss Brothers Warehouse
 - Phase I: RIHA administrative offices and retail spaces
 - Phase II: 35 mixed-income loft apartments
- Develop of 50 to 100 new housing opportunities per year. Based on this schedule, it would take RIHA 5 to 10 years to replace its 550 conventional public housing units

By evaluating the long-term benefits of each of its properties, RIHA is in the position to make the changes required to provide more housing options and more opportunities for affordable housing to a broad-based market. The revitalization of Rock Island neighborhoods through these initiatives will have a positive long-term impact on the quality of life for the entire community.

Revitalization Through In-Fill Housing

When affordable housing is constrained, people crowd into available housing and slums are born. RIHA is focusing on creating healthy vibrant communities for all Rock Island residents. RIHA in-fill housing activities fill vacant lots and contribute to the revitalization of neighborhoods and positively impact the people living there. Revitalization initiatives create infrastructure for families that encourages stable home ownership, supports neighborhood economic development, and provides a variety of resources for children, youth, and adults.

Strong, economically diverse communities are important ingredients in reducing poverty, eliminating dependence upon welfare and improving the futures of low-income families. Neighborhood revitalization is based upon the notion that cities can, and should, be renewed for the sake of their residents. Neighborhood revitalization is more than building housing in a community – it’s about rebuilding lives.

Economic Self-Sufficiency Through Mixed-Income Housing

Mixed-income housing combines low-income and higher-income households in the same development. After decades of using public housing and other federally subsidized housing developments to shelter low- to moderate-income working families, the Federal Government is shifting toward deconcentration via the creation of mixed-income communities indistinguishable from market-rate housing.

Mixed-income properties promote safe housing environments and share the theme of upward mobility by providing opportunities for overcoming barriers to education and employment for low-to moderate-income working families.

Regardless of income, most families have the same concerns – security, employment, getting the best possible education for their kids, and wanting a safe, decent place to live. Neighborhood revitalization and the creation of mixed-income developments is the business of creating safe, viable, and vibrant neighborhoods; it creates neighborhoods of choice,

improving our sense of place and our sense of pride. Revitalization adds new investment to our communities and allows us to restore hope and equal opportunities for all of our residents.

The Future is NOW

Today, RIHA is the provider of housing of choice rather than housing of last resort. It is returning to its roots as the developers and managers of property. RIHA also supports a variety of programs fostering economic self-sufficiency and personal growth. As a result, public housing-eligible residents have more choices and more control over where they live coupled with the opportunity to become self-sufficient, contributing members of their communities. To accomplish this, RIHA has implemented the following recommended AMP initiatives over the past 3 years.

15. Disposed of the six single-family scattered site homes
16. Completed Phase I of the Voss Brothers Warehouse redevelopment
17. Currently developing 35 mixed-income loft apartments as Phase II of the Voss Brothers Warehouse redevelopment
18. Demolished Valley Homes
19. Developed a Relocation Plan in accordance with the Uniform Relocation Act (URA)
20. Relocated 53 displaced Valley Home households a full five-months ahead of schedule
21. Created a not-for-profit corporation known as Community Housing Solutions
22. Developed six affordable single-family, for-sale, in-fill homes in four Rock Island neighborhoods
23. Implemented a Section 8 Housing Choice Voucher Homeownership program allowing participants to use their vouchers to help meet first-time homeownership expenses
24. Implemented a Homeownership program per HUD Section 32 regulations
25. Purchased the Hickman Center as the RIHA maintenance warehouse

Through Community Housing Solutions, RIHA has:

26. Demolished the Angel Salsa building for the construction of Douglas Park Place, an eight-unit, mixed-income rental development designed to serve women with special needs
27. Renovated the Murphy House, a historic landmark with four market-rate condominiums
28. Recommended redevelopment of Valley Homes to be known as Creekwood Park, a mixed-income subdivision with single-family for-sale homes

Over the next 10 - 20 years, RIHA will continue to remove obsolete housing units from its portfolio. As this occurs, units will be replaced with alternate housing opportunities for low- and moderate-income families. New housing will include, but not be limited to, multi-unit and single-family in-fill, mixed-income rental and for-sale options. New housing will not in any way resemble the public housing we have become accustomed to over the past several decades.

Self-Sufficiency; the Key to Success

RIHA is seeking to renew neighborhoods from within by establishing a range of programs and services aimed at increasing homeownership and economic opportunity. Acting as an intermediary, RIHA is actively pooling the resources from a variety of service providers and collaborators to provide a diverse selection of support services. By partnering with financial providers and counselors, health and educational institutions, job training organizations, and social service agencies, RIHA can play an important role in changing and improving the

community by improving the lives of the people living there. Together, we are helping to restore hope and equal opportunities for all residents in Rock Island.

A Housing Authority of Distinction

Six years ago, RIHA was considered financially “Troubled” by HUD. Today, it holds a High Performer status and has done so four out of the past six years. In addition, RIHA is one of very few PHAs nationwide to have a comprehensive AMP in place. Further, RIHA has successfully implemented and completed more than 10 high-profile initiatives within the plan – including the demolition of Valley Homes and the successful relocation of 53 families a full five months ahead of schedule.

RIHA has been recognized by the Illinois Association of Housing Authorities (IAHA) for “Operational Excellence” and received an “Award of Merit in Housing and Community Development” from the National Association of Housing and Redevelopment Officials (NAHRO) in 2005. RIHA is also actively engaged in providing professional consulting services to other PHAs on both a state and national level.

RIHA continues to develop and implement initiatives enabling it to become more economically self-sufficient. This entrepreneurial nature is allowing it to reduce its dependency on HUD subsidies while returning to its legislative roots as developers and managers of housing.

RIHA is actively focused on creating healthy vibrant communities by pursuing new and creative ways to meet the changing housing needs of the diverse population of Rock Island.

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

In April 2003, RIHA conducted an asset management assessment and developed an Asset Management Plan based on that assessment. As part of the Asset Management Plan, each property was evaluated based on its present and future value for current and future residents, the City, the community and RIHA. This Plan recommend options and suggestions to keep and maintain, keep and upgrade or demolish and replace each RIHA property.

Demolition and Disposition initiatives under consideration for action during Fiscal Year beginning 10/1/2007 are as follows:

According to the Asset Management Plan, Spencer Towers should be kept in the RIHA inventory in some form and developed into a mixed-income housing opportunity. Ownership of the property should be transferred to RIHA’s not-for-profit corporation. In moving forward with this Plan, RIHA may elect to apply to HUD for the disposition of Spencer Towers, the development referred to as IL018-006.

Upon award of funding to develop the Special Needs Facility, RIHA will apply to HUD to change the designation of Spencer Towers to Elderly.

RIHA has applied for funding to develop housing to serve the mentally disabled population of Rock Island. In order to utilize the tax credit funding that RIHA is seeking for this project, the Special Needs Facility may require disposition to RIHA's not-for-profit corporation.

The Asset Management Plan also noted that, due to their ages, both Lincoln Homes and Manor Homes should be reevaluated in the 5 to 10 year period after 2003 to determine their long-term value and sustainability as viable properties. This assessment has been completed, and both developments have been found to be at the ends of their viable lives. Rock Island Housing Authority may elect to make application to HUD for the disposition and/or demolition of Lincoln Homes, IL018-003, and/or Manor Homes, IL018-002.

RIHA may also make application to HUD for the disposition of the Hickman Center, referred to as IL018-002-00 and/or the Valley Homes property, referred to as IL018-005.

RIHA should also develop new housing opportunities, as suggested in the Asset Management Plan, to stabilize the City's neighborhoods and provide additional options and opportunities for current and future residents over the long-term, which will be accomplished through the construction of new, in-fill housing. This housing may be part of RIHA's Section 32 Homeownership Plan and may require the demolition of existing, dilapidated buildings on in-fill sites.

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

The family does not owe money to the PHA

The family has not committed any serious or repeated violations of a PHA-assisted lease within the past five years.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to Section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for Section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or Section 8 participants or both)
Project NOW Senior Center Meal Site	50	Open to all	Spencer Towers	Public Housing
Robert Young Center for Community Mental Health Transition Services	14 6	RYC Clients	Spencer Towers Sunset Heights	Public Housing
Alternatives for the Older Adult Support Services	18 10	Aged 60 & older	Spencer Towers Sunset Heights	Public Housing
Rock Island Fitness and Activity Center	145 100	Open to all	Spencer Towers Sunset Heights	Public Housing
Technology Now Computer Training	40 40 40 20	Open to all	Spencer Towers Sunset Heights Manor Homes Lincoln Homes Section 8	Both programs

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: 03/13/07)
Public Housing	25	30
Section 8	25	25

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937
--

Attachment F

(from Admissions & Continued Occupancy Policy, effective October 1, 2007)

Chapter 11 COMMUNITY SERVICE

INTRODUCTION

This chapter explains HUD regulations requiring PHAs to implement a community service program for all non-exempt adults living in public housing.

This chapter describes HUD regulations and PHA policies related to these topics in two parts:

Part I: Community Service Requirements. This part describes who is subject to the community service requirement, who is exempt, and HUD's definition of economic self-sufficiency.

Part II: PHA Implementation of Community Service. This part provides PHA policy regarding PHA implementation and program design.

PART I: COMMUNITY SERVICE REQUIREMENT

11-I.A. OVERVIEW

HUD regulations pertaining to the community service requirement are contained in 24 CFR 960 Subpart F (960.600 through 960.609). PHAs and residents must comply with the community service requirement, effective with PHA fiscal years that commenced on or after October 1, 2000. Per 903.7(l)(1)(iii), the PHA Plan must contain a statement of the how the PHA will comply with the community service requirement, including any cooperative agreement that the PHA has entered into or plans to enter into.

Community service is the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase

resident self-responsibility in the community. Community service is not employment and may not include political activities [24 CFR 960.601(b)].

In administering community service requirements, the PHA must comply with all nondiscrimination and equal opportunity requirements [24 CFR 960.605(c)(5)].

11-I.B. REQUIREMENTS

Each adult resident of the PHA, who is not exempt, must [24 CFR 960.603(a)]:

- Contribute 8 hours per month of community service; or
- Participate in an economic self-sufficiency program (as defined in the regulations) for 8 hours per month; or
- Perform 8 hours per month of combined activities (community service and economic self-sufficiency programs).

An individual may not skip a month and then double up the following month, unless special circumstances warrant it. The PHA will make the determination of whether to permit a deviation from the schedule.

Individuals who have special circumstances which they believe will prevent them from completing the required community service hours for a given month, must notify the PHA in writing within 5 business days of the circumstances becoming known. The PHA will review the request and notify the individual, in writing, of its determination within 10 business days. The PHA may require those individuals to provide documentation to support their claim.

Definitions

Exempt Individual [24 CFR 960.601(b)]

An *exempt individual* is an adult who:

- Is age 62 years or older
- Is blind or disabled (as defined under section 216[i][1] or 1614 of the Social Security Act), and who certifies that because of this disability s/he is unable to comply with the service provisions
- Is a primary caretaker of such an individual
- Is engaged in work activities

The PHA will consider 30 hours per week as the minimum number of hours needed to qualify for a work activity exemption.

- Meets the requirements for being exempted from having to engage in a work activity under the state program funded under part A of title IV of the Social Security Act, or under any other welfare program of the state in which the PHA is located, including a state-administered welfare-to-work program; or
- Is in a family receiving assistance under a state program funded under part A of title IV of the Social Security Act, or under any other welfare program of the state in which the PHA is located, including a state-administered welfare-to-work program, and has not been found by the state or other administering entity to be in noncompliance with such program.

Community Service [PH Occ GB, p. 174]

Community service is volunteer work which includes, but is not limited to:

- Work at a local institution including but not limited to: school, child care center, hospital, hospice, recreation center, senior center, adult day care center, homeless shelter, indigent feeding program, cooperative food bank, etc.
- Work with a nonprofit organization that serves PHA residents or their children such as: Boy Scouts, Girl Scouts, Boys or Girls Clubs, 4-H programs, PAL, Garden Center,

community clean-up programs, beautification programs, other youth or senior organizations

- Work at the PHA to help improve physical conditions
- Work at the PHA to help with children's programs
- Work at the PHA to help with senior programs
- Helping neighborhood groups with special projects
- Working through a resident organization to help other residents with problems, serving as an officer in a resident organization, serving on the resident advisory board
- Caring for the children of other residents so they may volunteer

NOTE: Political activity is excluded for purposes of eligible community service activities.

Economic Self-Sufficiency Program [24 CFR 5.603(b)]

For purposes of satisfying the community service requirement, an *economic self-sufficiency program* is defined by HUD as: Any program designed to encourage, assist, train, or facilitate economic independence of assisted families or to provide work for such families.

These economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeships (formal or informal), or any other program necessary to ready a participant to work (such as substance abuse or mental health treatment).

Work Activities [42 U.S.C. 607(d)]

As it relates to an exemption from the community service requirement, *work activities* means:

- Unsubsidized employment
- Subsidized private sector employment
- Subsidized public sector employment
- Work experience (including work associated with the refurbishing of publicly assisted housing) if sufficient private sector employment is not available
- On-the-job training
- Job search and job readiness assistance
- Community service programs
- Vocational educational training (not to exceed 12 months with respect to any individual)
- Job skills training directly related to employment
- Education directly related to employment, in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency
- Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalence, in the case of a recipient who has not completed secondary school or received such a certificate
- Provision of child care services to an individual who is participating in a community service program

Notification Requirements [24 CFR 960.605(c)(2)]

The PHA must give each family a written description of the community service requirement, the process for claiming status as an exempt person, and the process for PHA verification of exempt status. The PHA must also notify the family of its determination identifying the family members who are subject to the service requirement, and the family members who are exempt.

The PHA will provide the family with a copy of the Community Service Policy found in Exhibit 11-1 of this chapter, at lease-up, lease renewal, when a family member is determined to be subject to the community service requirement during the lease term, and at any time upon the family's request.

On an annual basis, at the time of lease renewal, the PHA will notify the family in

writing of the family members who are subject to the community service requirement and the family members who are exempt. If the family includes non-exempt individuals the notice will include a list of agencies in the community that provide volunteer and/or training opportunities, as well as a documentation form on which they may record the activities they perform and the number of hours contributed. The form will also have a place for a signature by an appropriate official, who will certify to the activities and hours completed.

11-I.C. DETERMINATION OF EXEMPTION STATUS AND COMPLIANCE [24 CFR 960.605(c)(3)]

The PHA must review and verify family compliance with service requirements annually at least thirty days before the end of the twelve month lease term. The policy for documentation and verification of compliance with service requirements may be found at Section 11-I.D., Documentation and Verification.

Annual Determination

Determination of Exemption Status

An exempt individual is excused from the community service requirement [24 CFR 960.603(a)].

At least 60 days prior to lease renewal, the PHA will review and verify the exemption status of all adult family members. This verification will only be done on an annual basis unless the family reports a change or the PHA has reason to believe that an individual's exemption status has changed. For individuals who are exempt because they are 62 years of age and older, verification of exemption status will be done only at the initial examination.

Upon completion of the verification process, the PHA will notify the family of its determination in accordance with the policy in Section 11-I.B., Notification Requirements.

Determination of Compliance

The PHA must review resident family compliance with service requirements annually at least thirty days before the end of the twelve month lease term [24 CFR 960.605(c)(3)]. As part of this review, the PHA must verify that any family member that is not exempt from the community service requirement has met his or her service obligation.

Approximately 60 days prior to the end of the lease term, the PHA will provide written notice requiring the family to submit documentation that all subject family members have complied with the service requirement. The family will have 10 business days to submit the PHA required documentation form(s).

If the family fails to submit the required documentation within the required timeframe, or PHA approved extension, the subject family members will be considered noncompliant with community service requirements, and notices of noncompliance will be issued pursuant to the policies in Section 11-I.E., Noncompliance.

Change in Status Between Annual Determinations

Exempt to Non-Exempt Status

If an exempt individual becomes non-exempt during the twelve month lease term, it is the family's responsibility to report this change to the PHA within 10 business days.

Within 10 business days of a family reporting such a change, or the PHA determining such a change is necessary, the PHA will provide written notice of the effective date of the requirement, a list of agencies in the community that provide volunteer and/or training opportunities, as well as a documentation form on which the family member may record the activities performed and number of hours contributed.

The effective date of the community service requirement will be the first of the month following 30 day notice.

Non-Exempt to Exempt Status

If a non-exempt person becomes exempt during the twelve month lease term, it is the family's responsibility to report this change to the PHA within 10 business days. Any claim of exemption will be verified by the PHA in accordance with the policy at 11-I.D., Documentation and Verification of Exemption Status.

Within 10 business days of a family reporting such a change, or the PHA determining such a change is necessary, the PHA will provide the family written notice that the family member is no longer subject to the community service requirement, if the PHA is able to verify the exemption.

The exemption will be effective immediately.

11-I.D. DOCUMENTATION AND VERIFICATION [24 CFR 960.605(c)(4)]

The PHA must retain reasonable documentation of service requirement performance or exemption in participant files.

Documentation and Verification of Exemption Status

All family members who claim they are exempt from the community service requirement will be required to sign the community service exemption certification form found in Exhibit 11-3. The PHA will provide a completed copy to the family and will keep a copy in the tenant file.

The PHA will verify that an individual is exempt from the community service requirement by following the verification hierarchy and documentation requirements in Chapter 7.

The PHA makes the final determination whether or not to grant an exemption from the community service requirement. If a resident does not agree with the PHA's determination, s/he can dispute the decision through the PHA's grievance procedures (see Chapter 14).

Documentation and Verification of Compliance

If qualifying community service activities are administered by an organization other than the PHA, a family member who is required to fulfill a service requirement must provide

certification to the PHA, signed by the organization, that the family member has performed the qualifying activities [24 CFR 960.607].

If anyone in the family is subject to the community service requirement, the PHA will provide the family with community service documentation forms when a family member becomes subject to the community service requirement during the lease term or upon request by the family.

Each individual who is subject to the requirement will be required to record their community service or self-sufficiency activities and the number of hours contributed on the required form. The certification form will also include places for signatures and phone numbers of supervisors, instructors, and counselors certifying to the number of hours contributed.

Families will be required to submit the documentation to the PHA, upon request by the PHA.

If the PHA has reasonable cause to believe that the certification provided by the family is false or fraudulent, the PHA has the right to require third-party verification.

11-I.E. NONCOMPLIANCE

Initial Noncompliance

The lease specifies that it is renewed automatically for all purposes, unless the family fails to comply with the community service requirement. Violation of the service requirement is grounds for non-renewal of the lease at the end of the twelve month lease term, but not for termination of tenancy during the course of the twelve month lease term [24 CFR 960.603(b)]. If the tenant or another family member has violated the community service requirement, the PHA may not renew the lease upon expiration of the twelve-month term of the lease, unless the tenant and any other noncompliant family member enter into a written agreement with the PHA. Under this agreement the tenant or noncompliant family member must agree to cure the noncompliance by completing the additional hours of community service or economic self-sufficiency needed to make up the total number of hours required, over the twelve-month term of the new lease. In addition, all other members of the family who are subject to the service requirement must be currently complying with the service requirement or must no longer be residing in the unit [24 CFR 960.607(c)].

Notice of Initial Noncompliance [24 CFR 960.607(b)]

If the PHA determines that there is a family member who is required to fulfill a service requirement, but who has failed to comply with this obligation (noncompliant resident), the PHA must notify the tenant of this determination.

The notice to the tenant must briefly describe the noncompliance. The notice must state that the PHA will not renew the lease at the end of the twelve-month lease term unless the tenant, and any other noncompliant resident, enter into a written agreement with the PHA to cure the noncompliance, or the family provides written assurance satisfactory to the PHA that the tenant or other noncompliant resident no longer resides in the unit.

The notice must also state that the tenant may request a grievance hearing on the PHA's determination, in accordance with the PHA's grievance procedures, and that the tenant may exercise any available judicial remedy to seek timely redress for the PHA's nonrenewal of the lease because of the PHA's determination.

The notice of initial noncompliance will be sent at least 45 days prior to the end of the lease term.

The family will have 10 business days from the date of the notice of noncompliance to enter into a written agreement to cure the noncompliance over the next 12-month reexamination term, provide documentation that the noncompliant resident no longer resides in the unit, or to request a grievance hearing.

If the family reports that a noncompliant family member is no longer residing in the unit, the family must provide documentation that the family member has actually vacated the unit before the PHA will agree to continued occupancy of the family. Documentation must consist of a certification signed by the head of household as well as evidence of the current address of the family member that previously resided with them.

If the family does not request a grievance hearing, or does not take either corrective action required by the notice of noncompliance within the required 10 business day timeframe, the PHA will terminate tenancy in accordance with the policies in Section 13-IV.D.

Continued Noncompliance [24 CFR 960.607(b)]

If, after the 12 month cure period, the family member is still not compliant, the PHA must terminate tenancy of the entire family, according to the PHA's lease, unless the family provides documentation that the noncompliant resident no longer resides in the unit.

Notices of continued noncompliance will be sent at least 30 days prior to the end of the lease term and will also serve as the family's termination notice. The notice will meet the requirements for termination notices described in Section 13-IV.D, Form, Delivery, and Content of the Notice.

The family will have 10 business days from the date of the notice of non-compliance to provide documentation that the noncompliant resident no longer resides in the unit, or to request a grievance hearing.

If the family reports that a noncompliant family member is no longer residing in the unit, the family must provide documentation that the family member has actually vacated the unit before the PHA will agree to continued occupancy of the family. Documentation must consist of a certification signed by the head of household as well as evidence of the current address of the noncompliant family member that previously resided with them.

If the family does not request a grievance hearing, or provide such documentation within the required 10 business day timeframe, the family's lease and tenancy will automatically terminate at the end of the current lease term without further notice.

PART II: IMPLEMENTATION OF COMMUNITY SERVICE

11-II.A. OVERVIEW

Each PHA must develop a policy for administration of the community service and economic self-sufficiency requirements for public housing. It is in the PHA's best interests to develop a viable, effective community service program, to provide residents the opportunity to engage in the community and to develop competencies.

PHA Implementation of Community Service

The PHA may not substitute any community service or self-sufficiency activities performed by residents for work ordinarily performed by PHA employees, or replace a job at any location where residents perform activities to satisfy the service requirement [24 CFR 960.609].

PHA Program Design

The PHA may administer qualifying community service or economic self-sufficiency activities directly, or may make community service activities available through a contractor, or through partnerships with qualified organizations, including resident organizations, and community agencies or institutions [24 CFR 960.605(b)].

The PHA will attempt to provide the broadest choice possible to residents as they choose community service activities.

The PHA may provide in-house opportunities for volunteer work or self-sufficiency programs when possible.

The PHA may design a service program that gives residents viable opportunities to become involved in the community and to gain competencies and skills. The PHA will work with resident organizations and community organizations to design, implement, assess and recalibrate its community service program.

The PHA will make every effort to identify volunteer opportunities throughout the community, especially those in proximity to public housing developments.

Any written agreements or partnerships with contractors and/or qualified organizations, including resident organizations, are described in the PHA Plan.

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents

(select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

All developments are affected.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design

- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below):

Community Policing Program

2. Which developments are most affected? (list below)

All developments are affected.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

All developments are affected.

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)



14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

ATTACHMENT G

(from Admissions & Continued Occupancy Policy effective October 1, 2007)

Chapter 10 PETS

[24 CFR 5, Subpart C; 24 CFR 960, Subpart G]

INTRODUCTION

This chapter explains the PHA's policies on the keeping of pets and any criteria or standards pertaining to the policies. The rules adopted are reasonably related to the legitimate interest of the PHA to provide a decent, safe and sanitary living environment for all tenants, and to protect and preserve the physical condition of the property, as well as the financial interest of the PHA.

Part I: General Policy Regulations

Part II: Owner Responsibility

Part III: Pet Removal

PART I: GENERAL POLICY REGULATIONS

10-I.A. ENABLING REGULATIONS

“Section 526 of the Quality Housing And Work Responsibility Act of 1998 (QHWRA) provides that residents of public housing may own 1 or more common household pets. This is subject to the reasonable requirements of the PHA. The resident must maintain each pet responsibly and in accordance with applicable State and local public health, animal control, and animal anti-cruelty laws and regulations and with the policies established in the agency plan for the PHA. To this end, the Rock Island Housing Authority has adopted ‘reasonable’ pet requirements...”

These “Reasonable Pet Requirements” incorporate the various state and local laws governing pets that include inoculating, licensing, and restraint and provide sufficient flexibility to protect the rights and privileges of other residents who chose not to own pets.

In the event of an emergency or building evacuation it is the responsibility of the pet owner to remove the animal.

10-I.B. TYPE OF DWELLING UNITS PERMITTING PETS

All residents of RIHA are eligible for pets according to the “Pet Policy.”

10-I.C. TYPE OF PETS AND NUMBER PER UNIT

A common household pet is defined as being a cat, dog, goldfish or tropical fish, canary, parakeet, cockatiel, lovebird, hamster, gerbil, guinea pig or rabbit. Examples of animals that are **not** considered common household for purposes of this policy include: Reptiles, amphibians, insects, mice, rats, ferrets, arachnids, wild animals, feral animals, pot-bellied pigs,

animals used for commercial breeding, or other animals not listed above. No dangerous or intimidating pets, (i.e., pit-bull dogs, rottweilers, or doberman pinchers) will be permitted.

The following number of pets to a unit will be permitted: two (2) cats, one (1) dog, one (1) fish bowl or tank, one (1) cage with no more than two (2) birds, two (2) hamsters, two (2) guinea pigs, one (1) gerbil, one (1) rabbit. A tank or aquarium holding up to 10 gallons will be counted as one (1) pet. A combination of no more than two (2) types of pets will be allowed to a unit.

10-I.D. REGULATION REQUIREMENTS PRIOR TO ADMISSION

All pets must be registered with Management before permission is granted. Registration must show type of pet, recent picture, name, age, license number, current inoculation information, name and address of the pet's veterinarian, plus a signed responsibility card showing the names of three (3) persons to call to come get the pet in the event of the tenant's illness or death.

Residents will be refused pet registration if (per management determination):

- The tenant is unable to fulfill their past or future obligations as a pet owner;
- The tenant is unable to adhere to the terms of the lease or house pet rules;
- The pet does not meet the definition of common household pet;
- The temperament of the animal is considered dangerous;
- Keeping the pet would violate any pet restrictions listed in this policy;
- The tenant has previously been charged with animal cruelty under state or local law, or has been evicted, had to relinquish a pet, or been prohibited from future pet ownership due to pet rule violations or a court order.

If the PHA refuses to register a pet, a written notification will be sent to the pet owner within 10 business days of the PHA's decision. The notice will state the reason for refusing to register the pet and will inform the family of their right to appeal the decision in accordance with the PHA's grievance procedures.

A pet at time of submission of Pet Permit Application in the amount of \$50.00 will accompany the application. This amount will be applied on the \$150.00 pet deposit if the pet application is approved. The pet deposit is to be used to cover cost of damages or fumigation as the result of pet ownership. The pet deposit will be refunded minus any applicable charges within thirty (30) days after resident vacates the unit or the pet is permanently removed from the unit.

In the event the pet owner is incapacitated or no longer available to care for the pet, the person designated on the registration form must remove the pet. In absence of the designated person's availability, management will place the pet with the Rock Island County Humane Society.

10-I.E. GENERAL POLICY FOR AUTHORIZED PETS

Pets must go directly from their floor to the elevator and down to first floor to the outside and back the same way.

Only one (1) pet is allowed in elevator at a time. If one (1) pet is in the car when it stops at a floor, the pet owner must wait for a car without a pet.

Pets are not permitted on other floors other than first floor or their own apartment floor.

Pets are never permitted in the public rooms, i.e.: office, community room, laundry room, lounge, or smoking room.

Pets are not permitted in hallways except for proceeding directly to the elevator or apartment when entering or exiting.

Any pet suffering illness must have an appointment within two (2) days with a veterinarian for diagnosis and treatment. The Rock Island Housing Authority must, upon demand, be shown a statement from the veterinarian indicating the diagnosis. Any pet suspected of suffering symptoms of rabies or any other disease considered to be a threat to health must be immediately removed from the premises until signed evidence from a veterinarian can be produced to indicate that the animal is not so afflicted.

Resident pet owners agree to control the noise of his/her pet such that it does not constitute a nuisance to other tenants. This includes, but is not limited to, loud or continuous barking, howling, whining, biting, scratching, chirping, or other such activities. Failure to so control pet noise may result in the removal of the pet from the premises.

PUBLIC HOUSING AUTHORITY SHALL TAKE ALL NECESSARY ACTIONS UNDER THE LAW TO REMOVE ANY PET THAT CAUSES BODILY INJURY TO ANY TENANT, GUEST, VISITOR, OR STAFF MEMBER.

All resident pet owners shall provide adequate care, nutrition, exercise, and medical attention for their pets. Pets that appear to be poorly cared for or are left unattended for longer than the required designated time as specified under the description of pet requirements for that specific pet will be reported to the Humane Society and will be removed from the premises at the pet owner's expense.

In the event of a tenant's sudden illness, the resident pet owner agrees that management shall have discretion with respect to the provision of care for the pet consistent with policy guidelines and at the expense of the resident pet owner unless written instruction with respect to such care are provided in advance by the resident to the project office. All care shall be at the resident's expense.

Unwillingness on the part of named caretakers of a pet to assume custody of the pet shall relieve management of any requirement to adhere to any written instruction with respect to the care or disposal of a pet and shall be considered as authorization to management to exercise discretion in such regard consistent with policy guidelines.

Resident pet owners acknowledge that other residents may have chemical sensitivities or allergies related to pets or be easily frightened by such animals. The resident, therefore, agrees to exercise common sense and common courtesy with respect to such other resident's right to peaceful and quiet enjoyment of the premises.

Tenants shall not alter the interior of their unit, patio, or balcony to create enclosure for any animal or bird.

Tenants shall not tie pets outside of the dwelling unit.

Dog houses are not allowed on Housing Authority property.

No visitor or guest will be allowed to bring pets on the premises at anytime. Residents will not be allowed to Pet Sit or House a Pet without fully complying with this policy.

Tenants shall not feed stray or unregistered animals. This shall constitute having pet without permission of the Authority.

PART II: OWNER RESPONSIBILITY

10-II.A. PET RESPONSIBILITY CARD (see EXHIBIT I)

Prior to pet admission, the owner must fill in and sign a written responsibility form showing name, address and phone number of three (3) local persons who will come and get the pet in the event of a tenant's illness, vacation, or death. The responsibility form must be renewed each year by June 30th. Persons so named will be responsible in the order of their names on the responsibility card.

10-II.B. PET DAMAGE DEPOSIT

A Pet Damage Deposit will be required for dogs and cats only. However, all pet owners must comply with registration rules for all other pets. The Pet Damage Deposit is to be used to pay reasonable expenses directly attributable to the presence of the pet in the project including, but not limited to the cost of repairs and replacements to, and fumigation of, the tenant's dwelling unit. The amount of the Pet Damage Deposit will be \$150.00. Tenant will pay \$50.00 at the time of application and remaining balance at time of application approval. If this creates a financial hardship, the remaining balance may be paid in full by four (4) months. Upon vacancy or permanent removal of pet, the Pet Damage Deposit will be refunded minus repairs for damage or necessary fumigation due to the pet.

Residents liability for damages caused by his/her pet is not limited to the amount of the pet deposit and the resident will be required to reimburse the project for the amount for the real cost of any and all damages caused by his/ her pet where they exceed the amount of the pet deposit.

All units occupied by a dog or cat will be fumigated upon being vacated, the cost of which will be born by the security deposit. Infestation of a unit by fleas carried by the pet shall be the responsibility of the pet owner.

10-II.C. DOG OWNER REQUIREMENTS

Any dog must be no less than six (6) weeks old.

Dog must be spayed or neutered by six (6) months of age and proof must be furnished to the Housing Manger.

Each dog must be licensed by proper authority and proof of license renewal must be furnished by the tenant each year by June 30th to his or her Housing Manager.

The dog must wear a collar at all times showing license and owner's name and address.

Each year by June 30th, the tenant must show proof that the dog has had the proper Parvo shots and distemper and rabies shots, the proof must be signed by a veterinarian.

A dog cannot be over 20 inches tall at the top of the shoulder or weigh over 30 pounds when it is considered full-grown.

A dog must be on a leash at all times when outside owner's apartment unless it is in an approved locked pet carrier. The leash must be no longer than six (6) feet long. Any city, county or state rules governing the leashing of animals shall also apply.

Dogs cannot be on Rock Island Housing Authority property between 9:00 a.m. and 4:30 p.m.

The pet owner must have a utensil such as a "Pooper Scooper" to use to remove any waste from his/her pet as soon as it is deposited on Rock Island Housing Authority property. The waste must then be placed in a plastic bag, sealed tightly, and disposed of as trash.

IMPORTANT: Only one (1) pet is allowed in an elevator at a time. If one (1) pet is in the car when it stops at a floor, the pet owner must wait for a car without a pet.

No dog may stay alone in an apartment for more than 12 hours. It is the responsibility of the tenant if they have to leave suddenly and be away for more than 12 hours to take the pet elsewhere until they return. If a pet is found alone, Pet Removal policy (Section 10-I.M) will take effect.

Pet owner must designate an alternative residence for the pet before management approves pet.

Pet owners are responsible for immediate removal of the feces of their pet and shall be charged in instances where damages occur to the Housing Authority property due to pet or removal of pet feces by staff.

Pet owners shall be charged \$10.00 each time for not removing the feces of their pet. After the third (3rd) offense, the Health Department will be notified

Pet owners are not to allow their pet to urinate on bushes.

Clean-up of common area required because of attributable pet nuisance shall be billed to and paid by the resident pet owner within thirty (30) days of incident.

Pet owners will be responsible for all cost incurred if their pet inflicts bodily injuries on a person.

Pet Owners will be responsible for all cost incurred if their pet damages property belonging to RIHA or another tenant.

10-II.D. CAT OWNER REQUIREMENTS

Cats may be not less than six (6) weeks old.

All cats must be litter trained before admission.

The cat must be declawed (front claws only) by five (5) months old and spayed or neutered by six (6) months. Proof must be shown to the Housing Manager.

The cat must wear a collar at all times showing owner's name and address plus a flea collar.

Proof must be shown before admission and each year by June 30th that the cat has had the proper FVR-CP and rabies and distemper shots. This proof must be signed by a veterinarian.

Cat must be on a leash at all times when outside of the owner's apartment unless is in an approved locked pet carrier. The least must be no longer than six (6) feet. Any city, county, or state rule governing the leashing of animals shall apply.

Tenant must use a Rock Island Housing Authority approved type litter box, which is kept clean daily. Litter must be put in a sealed plastic bag and disposed of daily.

No cat can be over eight (8) inches tall at the shoulders or weigh over 15 pounds.

Cats may be exercised on the Rock Island Housing Authority property.

No cat may stay alone in an apartment overnight for more than 24 hours. It is the responsibility of the tenant if they have to leave suddenly and be away overnight to take the pet elsewhere until they return. If a pet is found alone, Pet Removal policy (Section 10-I.M) will take effect.

The pet owner must have a utensil such as a "Pooper Scooper" to use to remove any waste from his pet as soon as it is deposited on Rock Island Housing Authority property. The waste must then be placed in a plastic bag, sealed tightly, and disposed of as trash.

The flea collar must be changed every three (3) months.

All animal waste or litter from cat litter boxes shall be picked up immediately by the pet owner and disposed of in a sealed plastic bag and placed in trash bins. Cat litter shall be changed at least twice a week.

No cat litter – regular, scoopable or flushable – shall be disposed of by flushing down toilets. Charges for unclogging toilets or clean-up of common area required because of attributable pet nuisance shall be billed to and paid by the resident pet owner within thirty (30) days of incident.

Clean-up of common area required because of attributable pet nuisance shall be billed to and paid by the resident pet owner within thirty (30) days of incident.

Pet owners will be responsible for all cost incurred if their pet inflicts bodily injuries on a person.

Pet Owners will be responsible for all cost incurred if their pet damages property belonging to RIHA or another tenant.

10-II.E. BIRD OWNER REQUIREMENTS

No monthly maintenance fee unless a problem exists.

No more than (2) birds to a unit will be permitted. Canaries, parakeets, cockatiels or lovebirds only. **No parrots.**

The cage must be no larger than five (5) feet high and four (4) feet wide.

Cages must be cleaned and debris disposed of in a plastic bag to be put in the trash immediately.

Birds must be healthy and free of disease at all times.

Birds that do not have their wing clipped must be in a cage when inside of the resident's apartment. Birds must be in a cage when entering or leaving the building.

Birds are not permitted to be left alone in an apartment over 24 hours unless an arrangement for daily care has been made by the owner.

Clean-up of common area required because of attributable pet nuisance shall be billed to and paid by the resident pet owner within thirty (30) days of incident.

Pet owners will be responsible for all cost incurred if their pet inflicts bodily injuries on a person.

Pet Owners will be responsible for all cost incurred if their pet damages property belonging to RIHA or another tenant.

10-II.F. FISH OWNER REQUIREMENTS

Monthly maintenance charge of \$2.00 for fish tanks over 10 gallons to be paid with the rent by the fifth (5th) of each month in a facility where the tenant does not pay for electricity. No charge for a fish bowl not over three (3) quarts.

One (1) fish tank only permitted to a unit and must not be bigger than twenty (20) gallon size, or one (1) large gold fish bowl no more than one (1) gallon size.

Fish may not be alone in the unit over one (1) week unless the owner has made arrangements for daily care.

Pet owner must be aware when cleaning or filling fish tanks that water damage done to tenant's apartment or apartments under him will be billed to the pet owner and any charges must be paid within 30 days of the incident.

Clean-up of common area required because of attributable aquarium nuisance shall be billed to and paid by the resident pet owner within thirty (30) days of incident.

Pet Owners will be responsible for all cost incurred if their aquarium damages property belonging to RIHA or another tenant.

PART III: PET REMOVAL

10-III.A. PET REMOVAL

Management may move to require the removal of a pet from the premises on a temporary or permanent basis for the following causes:

- a. Creation of a nuisance after proper notification consistent with these Pet Rules. Notice shall be within a forty-eight (48) hour period.
- b. Excessive pet noise or odor with proper notification.
- c. Unruly or dangerous behavior.
- d. Excessive damage to the resident's apartment unit.
- e. Repeated problems with vermin flea infestation.

- f. Failure of the tenant to provide for adequate care of his/her pet.
- g. Leaving a pet unattended for more than the designated time as described under the Pet Owner requirements
- h. Tenant serious illness and/or death.
- i. Failure to observe any other rule contained in this section and not here listed upon proper notification.

If it has been determined that the tenant must remove the pet from the unit, the tenant will be required to remove the pet within 30 calendar days of the notice.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)
 - Comprehensive Market Study
 - Evaluation of Long-Term Cost for Physical Improvements
 - Consultation with Local Governmental Officials
 - Consultation with Social Service Agencies
 - Consultation with Realtors and Real Estate Management Organizations
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 Attached at Attachment **File name: il018j01**
 Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
 Considered comments, but determined that no changes to the PHA Plan were necessary.
 The PHA changed portions of the PHA Plan in response to comments
List changes below:

RIHA will implement all of the suggestions made by residents including changing all locks at Spencer Towers (high rise) to deadbolt locks, adding lighting to the back parking lot at Spencer Towers and installing new playground equipment at Manor Homes (family site).

 Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)
3. Description of Resident Election Process
 - a. Nomination of candidates for place on the ballot: (select all that apply)
 Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot
 Other: (describe)
 - b. Eligible candidates: (select one)
 Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance

- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and Section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: City of Rock Island, Illinois
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

RIHA intends to work with the City of Rock Island and other governmental and not-for profit organizations in the area to provide a comprehensive solution to the housing problems of low-income residents of Rock Island. RIHA is well-suited to provide low-income rental housing in the form of public housing and Section 8 tenant-based rental assistance. This will continue to be extremely useful in helping to reduce the cost burden and other housing problems facing extremely low-income renter families.

Over the period in which this Agency Plan is in effect, RIHA will investigate other opportunities to provide high quality housing assistance to families in need. Because affordability, the substandard condition of older housing stock and the high vacancy rate of existing housing stock are key issues identified in the City's Consolidated Plan, RIHA will investigate and spearhead, where possible, partnerships designated to create new assisted housing units for low-income households.

- Other: (list below)

In formulating its Asset Management Plan in 2003 and in accordance with the Procurement Policy, RIHA conducted a nation-wide search for a firm to perform an Asset Management Assessment. RIHA selected Goodwin & Associates who sought input from all constituencies, meeting with residents, Commissioners, City staff, community leaders, RIHA staff and citizens of Rock Island.

In keeping with the input provided by these groups and the analysis of both the physical maintenance needs of the properties and the housing needs of current and future residents, an innovative approach allowing all participants and stakeholders to benefit was developed.

By evaluating the long-term benefits of each of its properties, RIHA is in the position to make the changes required to provide current and future residents more options and more opportunities for affordable housing than ever before. By implementing this Asset Management Plan, RIHA will be able to build new communities for residents based on transition and hope. The creation of affordable housing that is indistinguishable from market-rate housing in the surrounding are will move RIHA toward becoming the provider of housing of choice rather than housing of last resort.

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

(Page numbers refer to the 2003-2008 Consolidated Plan for the City of Rock Island, which was submitted to HUD on July 1, 2003)

The City of Rock Island has developed a five-year strategic plan to address the City's community development needs and all anticipated resources. Priorities have been determined through analysis of multiple data sources. Priority groups to be addressed by this Consolidated Plan continue to include low- and moderate-income homeowners, low- and moderate-income renters, homeless persons and non-homeless persons with special needs. These groups will be assisted through the following priority activities over the next five years: (pgs. 67-68)

Priority 1: Promote, Increase and Maintain Homeownership for Households with Low and Moderate Incomes (30%, 50%, 80% MFI)

Priority 2: Provide Safe, Affordable, Decent Housing for Renters with Low and Moderate Incomes (30%, 50%, 80% MFI)

Priority 3: Provide Transitional and Permanent Supportive Housing and Services for Homeless Persons

Priority 4: Provide Supportive Services for Non-homeless Persons with Special Needs

To meet the designated priorities over the next five years, the City of Rock Island and additional community partners will perform a broad range of activities. (pg. 68)

The provision of standard affordable housing to homeowners and renters is a key Component in the City's anti-poverty strategy. Without access to affordable housing, extremely low- and very low-income households will continue to pay large portions of their incomes on housing, leaving little left for other necessities such as food, transportation or insurance. Over the next five years, the City will continue to work closely with entities that provide greater housing options to Rock Island residents including the Rock Island Housing Agency (*sic*), the Rock Island Economic Growth Corp. and Project NOW Inc. In addition, the City's Housing Rehabilitation Programs assist low-income renters and homeowners by making critical repairs so families may remain in their homes. (pg. 79)

The RIHA can utilize its unique status to become an integral partner in the transformation of the City of Rock Island and a major player in the provision of quality housing. (pg. 42) The City will work closely with the RIHA in the implementation of its Asset Management Plan and other efforts to create affordable housing. (pg. 80)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

Attachment A: Admissions Policy for Deconcentration (from Admissions and Continued Occupancy Policy effective October 1, 2007)

Deconcentration and Income Mixing

RIHA has done an analysis of its public housing developments and has determined that there is not a concentration of poverty in any one development as compared to other RIHA developments.

Attachment B: FY 2006 Capital Fund Program Annual Statement (included as separate document, **il018b01**).

Attachment C: FY 2006 Capital Fund Program 5 Year Action Plan (included as separate document, **il018c01**).

Attachment D: List of Resident Advisory Board Members

Housing Choice Voucher Program:

Edna Watson, 1228 4th Avenue Apt. 1, Rock Island, IL 61201, (309) 786-0268

Michelle Gugelmeyer, 701 31st Apt. 1, Rock Island, IL 61201, (309) 269-1135

Manor Homes – IL018002:

Barlee Lake, 2625 7 ½ Street, Rock Island, IL 61201, (309) 786-1215

Kathy Burton, 2629 7 ½ Street, Rock Island, IL 61201, (309) 788-7139

Lincoln Homes – IL018003:

Sandra A. Bommon, 714 5 1/2 Avenue, Rock Island, IL 61201, (309) 786-4147

Sunset Heights – IL018004:

Dorothy Light, 3130 9th Street, Apt. 7-L, Rock Island, IL 61201, (309) 786-9801

Georgia Smith, 3130 9th Street, Apt. 9-B, Rock Island, IL 61201, (309) 786-3919

Spencer Towers – IL018006:

Debra Durant, 111 20th Street, Apt. 412, Rock Island, IL 61201, (309) 631-5577

Shellee Nylin, 111 20th Street, Apt. 612, Rock Island, IL 61201, (309) 786-1510

All Terms Expire April 1, 2009

Attachment E: List of Resident Board Member

Resident Commissioner:

Kenneth Simmons, 111 20th Street, Apt. 914, Rock Island, IL 61201, (309) 793-7884

Attachment F: Community Service Description of Implementation, pages 50-57

Attachment G: Information on Pet Policy, pages 60-67

Attachment H: Description of Homeownership Programs

HOMEBUYER INCENTIVE PROGRAM POLICY

OBJECTIVE

The objective of this Homebuyer Incentive Program Policy is to provide the mechanism by which low-income public housing families may be prepared to become homebuyers, with a strong emphasis on successful, long-term ownership of the home.

PLAN SUMMARY

Public housing residents will prepare to become homebuyers by participating in the Homebuyer Incentive Program (HIP). After meeting the minimum HIP requirements, program participants are given classroom and real-world living experiences that may prepare them to become homebuyers. HIP assesses each family's needs, develops a plan for strengthening identified weaknesses, and assists each family with implementing its personal plan. Real-world experiences occur as the result of requiring families to function as homeowners.

Families take an active role in the care and maintenance of their unit, paying all utilities, budgeting their income to handle routine, as well as meeting unexpected, expenses.

ELIGIBLE PARTICIPANTS

- A. As the 2003 Asset Management Plan identified that certain properties should be revitalized or demolished, residents displaced as a result of such action will receive priority for admission to the program. If units remain after all qualified displaced residents have been assigned, residents of other RIHA low-rent public housing developments will be considered.
- B. Minimum Qualifications
 - 1. Current resident of RIHA low-rent public housing developments
 - 2. Continuous RIHA residence for minimum of one year
 - 3. Must be in good standing with RIHA management. Factors which will be considered include:
 - i. Prompt payment of rent
 - ii. Good neighbor, no substantiated complaints
 - iii. Complies with lease agreement
 - iv. No unusual resident-caused damage to unit
 - v. No major housekeeping complaints
 - vi. No criminal activity for a minimum of three years
 - 4. Interested in homeownership and in participating in the HIP program

SELECTION

- A. Waiting lists
 - 1. Separate waiting lists will be maintained for each unit size (number of bedrooms) for which the household is qualified.
 - 2. If the household is eligible for more than one unit size, the family must declare the desired unit size at the time of application.

- B. Organization of waiting lists
1. Within each waiting list, priority will be given to displaced households
 2. Within displaced households, those households continuously occupying since the date of Initiation of Negotiation (HUD approval of application for revitalization or demolition) a displacement dwelling will receive priority.
 3. Within each occupancy group, priority will be given to households based on income.
 - i. Households with income equal to or greater than 50% but less than 80% of the median income for the Davenport-Moline-Rock Island metropolitan area for the household size.
 - ii. Households with income equal to or greater than 30% but less than 50% of the median income.
 - iii. Household with income less than 30% of the median income.
 4. Within each income group, priority will be given based on the household's initial date of continuous occupancy of any RIHA low-rent public housing unit (original move-in date).
- C. Income thresholds are published by HUD and are revised each year. For Fiscal Year 2007, the thresholds are as follows:

Household Size	30% of MFI	50% of MFI	80% of MFI
1 person	\$12,650	\$21,050	\$33,650
2 person	\$14,450	\$24,050	\$38,500
3 person	\$16,250	\$27,050	\$43,300
4 person	\$18,050	\$30,050	\$48,100
5 person	\$19,500	\$32,450	\$51,950
6 person	\$20,950	\$34,850	\$55,800
7 person	\$22,400	\$37,250	\$59,650
8 person	\$23,850	\$39,650	\$63,500

Certain changes in the household may affect priority position on the waiting lists. Upon any of the following events, the household will be placed in the proper position on the proper waiting list. The household's repositioning may result in the household being offered a unit sooner or later than it would have before the repositioning, or could result in the household not being offered a unit at all.

1. If the household composition changes, and that change is reported to the Relocation Specialist, its qualification for unit size or income group may be affected.
2. At the time of application processing, household composition and income will be verified, and the qualification of the household for unit size or income group may be affected.

TIME FRAME

- A. Participants must complete their HIP program and complete a home-purchase transaction within five years of moving into a HIP unit; however, the Executive Director or his/her designee may extend this timetable if the household is making adequate progress toward homeownership for a period of one year on up to two occasions. In no event should a household participate in the HIP program for more than seven years.
- B. Should a HIP participant household be determined by the Executive Director or his/her designee to fail to make adequate progress in a 24-month time period, it will be removed from the program and be required to move from the HIP unit. The household will be allowed to retain its Section 8 Housing Choice Voucher.

ROUTINE HOME MAINTENANCE

- A. Every HIP participant will be responsible for the care and maintenance its home and yard as if the household owned the property.
- B. HIP participants will learn interior and exterior care and maintenance activities, like:
 - 1. Maintaining good housekeeping
 - 2. Painting
 - 3. Resetting circuit breakers
 - 4. Testing smoke and fire detectors
 - 5. Unclogging plumbing fixtures using a plunger
 - 6. Changing central heat/air conditioning filters
 - 7. Keeping the outside air conditioning unit clean and free of debris or obstruction
 - 8. Mowing grass
 - 9. Watering the lawn, shrubs and plants
 - 10. Edging walks and drives
 - 11. Trimming around trees, buildings and fences
 - 12. Trimming shrubs
 - 13. Planting and cultivating flowers
 - 14. Cleaning gutters
 - 15. Removing snow from all sidewalk
 - 16. Locating water shutoff valves
 - 17. Locating water heater shutoff valve
 - 18. Conserving energy

COUNSELING AND TRAINING

- A. HIP participants will receive counseling and training in the following aspects of household financial management and self-sufficiency
 - 1. Budgets
 - 2. Credit history
 - 3. Mortgage affordability
 - 4. Homebuying
 - 5. Homebuying terminology
 - 6. Mortgages and interest rates
 - 7. Homebuyer rights
 - 8. Real estate agents

9. Home selection
 10. Appraisals
 11. Homeowners' insurance
 12. Settlement and closing costs
 13. Importance of consistent monthly loan payments
 14. Home maintenance
 15. Foreclosures
 16. Good housekeeping
- B. Training will be provided by RIHA staff augmented by contracted community resources.

TERMINATION AND GRIEVANCES

- A. Households who fail to make adequate progress in its HIP program as determined by the Executive Director or his/her designee will be removed from the program and will have to vacate its unit. The household may retain its Section 8 Housing Choice Voucher and move to another residence.
- B. Households with serious or repeated lease violations will be removed from the program and will have to vacate its unit. The household may forfeit its Section 8 Housing Choice Voucher.
- C. If a participant feels that unfavorable action is taken by RIHA, it may appeal the action. The participant should follow the procedures in the RIHA Grievance Policy.

Attachment I: PHA Management Organizational Chart (included as separate document, **il018i01**).

Attachment J: Comments of Resident Advisory Board or Boards (included as separate document, **il018j01**).

Attachment K: Criteria for Substantial Deviations and Significant Amendments

As is described in 24 CFR 903.21, RIHA may amend or modify its Annual or Five-Year Plan after submitting the plan to HUD. RIHA may modify, amend or change any policy, rule, regulations or other aspect of its plan. If the modification or change is considered a significant amendment or substantial deviation, as defined by RIHA, then RIHA must comply with a number of requirements similar to those required at initial development and submission of the Agency Plan.

RIHA defines substantial deviations and significant amendments to be actions including:

- o Changes to rent or admissions policies or organization of the waiting list;
- o Changes in the use of Replacement Reserve Funds under the Capital Fund;
- o Changes in excess of 10% of the original work plan under the Capital Fund.

Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) and any changes with regard to demolition or disposition, designation, homeownership programs or conversion activities are not considered to be substantial deviations or significant amendments.

Attachment L: Project-Based Voucher Program

RIHA currently has six project-based vouchers at the Sala Flats building, located at 1829 4th Avenue, Rock Island, Illinois. During the coming fiscal year, RIHA may elect to project-base vouchers at the Voss Brothers Lofts building, located at 219 21st Street, Rock Island, Illinois; RIHA would project-base the maximum number allowed by federal regulations on a tax credit property. RIHA may also elect to seek application for project-based vouchers for Douglas Park Place – at 7th Avenue and 9th Street, Rock Island - and its new Special Needs Facility – at 28th Avenue and 9th Street, Rock Island. All of these activities are consistent with actions described in this Agency Plan.

Attachment M: Plan for Conforming to the Requirements of the Carbon Monoxide Alarm Detector Act

RIHA has been fully compliant with the requirements of the Carbon Monoxide Alarm Detector Act for more than a year and a half. All 487 public housing units have carbon monoxide alarm detectors installed within 15 feet of all sleeping areas and on each floor of the units. The Section 8 Manager requires all units occupied through the Housing Choice Voucher Program to conform to the Carbon Monoxide Alarm Detector Act during Housing Quality Standards inspections. Any units not conforming to the act fail their HQS inspection and subsidy is abated until the unit fully complies.

Attachment N: Language Regarding Violence Against Women Act

(from Admissions & Continued Occupancy Policy effective October 1, 2007)

PROHIBITION AGAINST DENIAL OF ASSISTANCE TO VICTIMS OF DOMESTIC VIOLENCE, DATING VIOLENCE, AND STALKING [Pub.L. 109-162]

The Violence Against Women Reauthorization Act of 2005 (VAWA) prohibits denial of admission to an otherwise qualified applicant on the basis that the applicant is or has been a victim of domestic violence, dating violence, or stalking. Specifically, Section 607(2) of VAWA adds the following provision to Section 6 of the U.S. Housing Act of 1937, which lists contract provisions and requirements for the public housing program:

Every contract for contributions shall provide that . . . the public housing agency shall not deny admission to the project to any applicant on the basis that the applicant is or has been a victim of domestic violence, dating violence, or stalking if the applicant otherwise qualifies for assistance or admission, and that nothing in this section shall be construed to supersede any provision of any Federal, State, or local law that provides greater protection than this section for victims of domestic violence, dating violence, or stalking.

Definitions

As used in VAWA:

- The term *domestic violence* includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving

grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

- The term *dating violence* means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim; and where the existence of such a relationship shall be determined based on a consideration of the following factors:

- The length of the relationship
- The type of relationship
- The frequency of interaction between the persons involved in the relationship
- The term *stalking* means:
 - To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate; or
 - To place under surveillance with the intent to kill, injure, harass, or intimidate another person; and
 - In the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to (1) that person, (2) a member of the immediate family of that person, or (3) the spouse or intimate partner of that person.
- The term *immediate family member* means, with respect to a person –
 - A spouse, parent, brother or sister, or child of that person, or an individual to whom that person stands in the position or place of a parent; or
 - Any other person living in the household of that person and related to that person by blood and marriage.

Notification and Victim Documentation

RIHA Policy

RIHA acknowledges that a victim of domestic violence, dating violence, or stalking may have an unfavorable history that would warrant denial under the RIHA's policies. Therefore, if RIHA makes a determination to deny admission to an applicant family on the basis of an unfavorable history, RIHA will include in its notice of denial a statement of the protection against denial provided by VAWA and will offer the applicant the opportunity to provide documentation affirming that the cause of the unfavorable history is that a member of the applicant family is or has been a victim of domestic violence, dating violence, or stalking.

The documentation must include two elements:

A signed statement by the victim that provides the name of the perpetrator and certifies that the incidents in question are bona fide incidents of actual or threatened domestic violence, dating violence, or stalking

One of the following:

A police or court record documenting the actual or threatened abuse

A statement signed by an employee, agent, or volunteer of a victim service provider; an attorney; a medical professional; or another knowledgeable professional from whom the victim has sought assistance in addressing the actual or threatened abuse. The professional must attest under penalty of perjury that the incidents in question are bona fide incidents of abuse, and the victim must sign or attest to the statement.

The applicant must submit the required documentation with her or his request for an informal hearing (see section 14-I.B) or must request an extension in writing at that time. If the applicant so requests, RIHA will grant an extension of 10 business days,

and will postpone scheduling the applicant's informal hearing until after it has received the documentation or the extension period has elapsed. If after reviewing the documentation provided by the applicant RIHA determines the family is eligible for assistance, no informal hearing will be scheduled and RIHA will proceed with admission of the applicant family.

Perpetrator Removal or Documentation of Rehabilitation

RIHA Policy

In cases where an applicant family includes the perpetrator as well as the victim of domestic violence, dating violence, or stalking, RIHA will proceed as above but will require, in addition, either (a) that the perpetrator be removed from the applicant household and not reside in the public housing unit or (b) that the family provide documentation that the perpetrator has successfully completed, or is successfully undergoing, rehabilitation or treatment.

If the family elects the second option, the documentation must be signed by an employee or agent of a domestic violence service provider or by a medical or other knowledgeable professional from whom the perpetrator has sought or is receiving assistance in addressing the abuse. The signer must attest under penalty of perjury to his or her belief that the rehabilitation was successfully completed or is progressing successfully. The victim and perpetrator must also sign or attest to the documentation. This additional documentation must be submitted within the same time frame as the documentation required above from the victim.

PHA Confidentiality Requirements

All information provided to the PHA regarding domestic violence, dating violence, or stalking, including the fact that an individual is a victim of such violence or stalking, must be retained in confidence and may neither be entered into any shared database nor provided to any related entity, except to the extent that the disclosure (a) is requested or consented to by the individual in writing, (b) is required for use in an eviction proceeding, or (c) is otherwise required by applicable law.

PROHIBITION AGAINST TERMINATING TENANCY OF VICTIMS OF DOMESTIC VIOLENCE, DATING VIOLENCE, AND STALKING [Pub.L. 109-162 and 109-271]

The Violence against Women Reauthorization Act of 2005 (VAWA), provides that "criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of the tenancy or occupancy rights, if the tenant or immediate family member of the tenant's family is the victim or threatened victim of that abuse." VAWA further provides that incidents of actual or threatened domestic violence, dating violence, or stalking may not be construed either as serious or repeated violations of the lease by the victim of such violence or as good cause for terminating the tenancy or occupancy rights of the victim of such violence.

VAWA does not limit RIHA's authority to terminate the tenancy of any tenant if RIHA can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property.

(from the Administrative Plan effective October 1, 2007)

Allowable Moves

HUD lists five regulatory conditions and the statutory condition under VAWA in which an assisted family is allowed to move to a new unit with continued assistance. Permission to move is subject to the restrictions set forth in section 10-I.B.

- The Violence Against Women Reauthorization Act of 2005 provides that “a family may receive a voucher from a public housing agency and move to another jurisdiction under the tenant-based assistance program if the family has complied with all other obligations of the section 8 program and has moved out of the assisted dwelling unit in order to protect the health or safety of an individual who is or has been a victim of domestic violence, dating violence, or stalking and who reasonably believed he or she was imminently threatened by harm from further violence if he or she remained in the assisted dwelling unit” [Pub.L. 109-162]

Grounds for Denial or Termination of Assistance

The PHA has grounds for denying or terminating the family’s assistance [24 CFR 982.314(e)(2)]. VAWA creates an exception to these restrictions for families who are otherwise in compliance with program obligations, but have moved to protect the health or safety of an individual who is or has been a victim of domestic violence, dating violence or stalking, and who reasonably believed he or she was imminently threatened by harm from further violence if they remained in the unit. [Pub.L. 109-162]

RIHA Policy

If the PHA has grounds for denying or terminating a family’s assistance, the PHA will act on those grounds in accordance with the regulations and policies set forth in Chapters 3 and 12, respectively. In general, it will not deny a family permission to move for this reason; however, it retains the discretion to do so under special circumstances. Refer to sections 3-III.G and 12-II.E for VAWA provisions.

Participant Families

The Initial PHA must not provide portable assistance for a participant if a family has moved out of its assisted unit in violation of the lease [24 CFR 982.353(b)]. VAWA creates an exception to this prohibition for families who are otherwise in compliance with program obligations but have moved to protect the health or safety of an individual who is or has been a victim of domestic violence, dating violence or stalking and who reasonably believed he or she was imminently threatened by harm from further violence if they remained in the unit.

Terminating the Assistance of Domestic Violence, Violence, Dating Violence, or Stalking Victims and Perpetrators [Pub.L. 109-162, Pub.L. 109-271]

The Violence Against Women Reauthorization Act of 2005 (VAWA) provides that “criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant’s household or any guest or other person under the tenant’s control shall not be a cause for termination of assistance, tenancy, or occupancy rights if the tenant or an immediate member of the tenant’s family is the victim or threatened victim of that domestic violence, dating violence, or stalking.”

VAWA also gives PHAs the authority to “terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.”

VAWA does not limit the authority of the PHA to terminate the assistance of any participant if the PHA “can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant is not evicted or terminated from assistance.”

Victim Documentation

RIHA Policy

When a participant family is facing assistance termination because of the actions of a participant, household member, guest, or other person under the participant’s control and a participant or immediate family member of the participant’s family claims that she or he is the victim of such actions and that the actions are related to domestic violence, dating violence, or stalking, the PHA will require the individual to submit documentation affirming that claim.

The documentation must include two elements:

A signed statement by the victim that provides the name of the perpetrator and certifies that the incidents in question are bona fide incidents of actual or threatened domestic violence, dating violence, or stalking, and

One of the following:

A police or court record documenting the actual or threatened abuse,
or

A statement signed by an employee, agent, or volunteer of a victim service provider; an attorney; a medical professional; or another knowledgeable professional from whom the victim has sought assistance in addressing the actual or threatened abuse. The professional must attest under penalty of perjury that the incidents in question are bona fide incidents of abuse, and the victim must sign or attest to the statement.

The required certification and supporting documentation must be submitted to the PHA within 14 business days after the PHA issues their written request. The 14-day deadline may be extended at the PHA’s discretion. If the individual does not provide the required certification and supporting documentation within 14 business days, or the approved extension period, the PHA may proceed with assistance termination.

If the PHA can demonstrate an actual and imminent threat to other participants or those employed at or providing service to the property if the participant’s tenancy is not terminated, the PHA will bypass the standard process and proceed with the immediate termination of the family’s assistance.

Terminating the Assistance of a Domestic Violence Perpetrator

Although VAWA provides assistance termination protection for victims of domestic violence, it does not provide protection for perpetrators. VAWA gives the PHA the explicit authority to “terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others...without terminating assistance to, or otherwise penalizing the victim of such violence who is also a tenant or lawful occupant.” This authority supersedes any local, state, or other federal law to the contrary. However, if the PHA chooses to exercise this authority, it must follow any procedures prescribed by HUD or by applicable local, state, or federal law regarding termination of assistance [Pub.L. 109-271].

RIHA Policy

When the actions of a participant or other family member result in a PHA decision to terminate the family’s assistance and another family member claims that the actions involve criminal acts of physical violence against family members or others, the PHA

will request that the victim submit the above required certification and supporting documentation in accordance with the stated time frame. If the certification and supporting documentation are submitted within the required time frame, or any approved extension period, the PHA will terminate the perpetrator's assistance. If the victim does not provide the certification and supporting documentation, as required, the PHA will proceed with termination of the family's assistance.

If the PHA can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if the participant's tenancy is not terminated, the PHA will bypass the standard process and proceed with the immediate termination of the family's assistance.

PHA Confidentiality Requirements

All information provided to the PHA regarding domestic violence, dating violence, or stalking, including the fact that an individual is a victim of such violence or stalking, must be retained in confidence and may neither be entered into any shared data base nor provided to any related entity, except to the extent that the disclosure (a) is requested or consented to by the individual in writing, (b) is required for use in an eviction proceeding, or (c) is otherwise required by applicable law.

Other Authorized Reasons for Termination of Assistance [24 CFR 982.552(c)]

HUD permits the PHA to terminate assistance under a number of other circumstances. It is left to the discretion of the PHA whether such circumstances in general warrant consideration for the termination of assistance. The Violence Against Women Reauthorization Act of 2005 explicitly prohibits PHAs from considering incidents or actual threatened domestic violence, dating violence, or stalking as reasons for terminating the assistance of a victim of such violence.

Eviction [24 CFR 982.552(b)(2), Pub.L. 109-162]

The PHA must terminate assistance whenever a family is evicted from a unit assisted under the HCV program for a serious or repeated violation of the lease. Incidents of actual or threatened violence, dating violence, or stalking may not be construed as serious or repeated violations of the lease by the victim or threatened victim of such violence or stalking.

Notification to Participants

VAWA requires PHAs to notify public housing program participants of their rights under this law, including their right to confidentiality and the limits thereof.

RIHA Policy

The PHA will provide all participants with notification of their protections and rights under VAWA at the time of admission and at annual reexamination.

The notice will explain the protections afforded under the law, inform the participant of PHA confidentiality requirements, and provide contact information for local victim advocacy groups or service providers.

The PHA will also include in all assistance termination notices a statement explaining assistance termination protection provided by VAWA (see Section 12-II.E).

Notification to Applicants

RIHA Policy

The PHA will provide all applicants with notification of their protections and rights under VAWA at the time they request an application for housing assistance.

The notice will explain the protections afforded under the law, inform each applicant of PHA confidentiality requirements, and provide contact information for local victim advocacy groups or service providers.

The PHA will also include in all notices of denial a statement explaining the protection against denial provided by VAWA (see section 3-III.G).

Notification to Owners and Managers

VAWA requires PHAs to notify owners and managers of their rights and responsibilities under this law.

RIHA Policy

Inform property owners and managers of their screening and termination responsibilities related to VAWA. The PHA may utilize any or all of the following means to notify owners of their VAWA responsibilities:

As appropriate in day-to-day interactions with owners and managers.

Inserts in HAP payments, 1099s, owner workshops, classes, orientations, and/or newsletters.

Signs in the PHA lobby and/or mass mailings which include model VAWA certification forms.

**PHA Plan
Table Library**

**Component 7
Capital Fund Program Annual Statement
Parts I, II, and II**

ALL CAPITAL FUND INFORMATION IS INCLUDED AS AN ATTACHMENT.

SEE FILE NAME il018b01.

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

THE FIVE-YEAR ACTION PLAN FOR CAPITAL FUND INFORMATION IS INCLUDED AS AN ATTACHMENT.

SEE FILE NAME i018c01.

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
Manor Homes, IL018002, 2601 7 th St.	102, Multi-Family/ Walk-up & Rowhouse/ Townhouse	Capital Fund Annual Statement (il018b01) and Five-Year Action Plan (il018c01) are attached.	Asset Management Plan is included in Component 7b. See pages 39-43.	RIHA may apply to HUD for the demo/dispo of this property as needs are approaching >90% TDC.				On-going activities for all projects include: <u>1</u> Development-based Accounting <u>2</u> Comprehensive Stock Assessment <u>3</u> Comprehensive Market Study <u>4</u> Evaluation of Long-term Cost for Physical Improvements <u>5</u> Consultation with Local Government Officials, Social Service Agencies, Realtors and Real Estate Management Organizations
Lincoln Homes, IL018003, 8 th St & 6 th Ave	45, Multi-Family/ Walk-up & Rowhouse/ Townhouse			RIHA may apply to HUD for the demo/dispo of this property as needs are approaching >90% TDC.				
Sunset Heights, IL018004, 3130 9 th St.	141, High rise/ Elevator			RIHA may seek to designate Sunset as Elderly housing				

Public Housing Asset Management

Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
Valley Homes, IL018005, 1000 25 th St.	0 units	Capital Fund Annual Statement (il018b01) and Five-Year Action Plan (il018c01) are attached.	Asset Management Plan is included in Component 7b. See pages 39-43.	RIHA may apply to HUD for the disposition of this property.			RIHA may administer a Section 32 program for this property.	On-going activities for all projects include: 1 Development-based Accounting 2 Comprehensive Stock Assessment 3 Comprehensive Market Study 4 Evaluation of Long-term Cost for Physical Improvements 5 Consultation with Local Government Officials, Social Service Agencies, Realtors and Real Estate Management Organizations
Spencer Towers, IL018006, 111 20 th St.	199, High rise/ Elevator			RIHA may apply for the demo/dispo of this property.				
Special Needs Facility	70 units		New project – long-term		RIHA may apply to HUD to designate this facility as Special Needs			
Vacant Lots from City	10 lots		Develop For-Sale Homes and/or Townhouses	RIHA may apply to HUD for the disposition of this property.			RIHA may administer a Section 32 program for this property.	
Additional Vacant Lots from Private Owners	Unknown number of lots		Develop Single Family For-Sale Homes	RIHA may apply for the demo/dispo of this property.			RIHA may administer a Section 32 program for this property.	

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018501-06	FFY of Grant Approval 2006
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	207,243	207,243	26,797	26,797
3	1408 Management Improvements	65,460	65,460	0	0
4	1410 Administration	103,621	103,621	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	50,000	50,000	21,722	21,722
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	70,000	20,000	0	0
10	1460 Dwelling Structures	437,633	515,391	512,391	0
11	1465.1 Dwelling Equipment—Non-expendable	29,758	2,000	0	0
12	1470 Non-dwelling Structures	40,000	40,000	160	160
13	1475 Non-dwelling Equipment	32,500	32,500	5,307	5,307
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$1,036,215	\$1,036,215	\$566,377	\$53,986
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-2 Manor Homes	General Site Improvement/Landscape	1450	1	5,000	5,000			
	Prevention from Parking in Yard	1450	1	25,000	0			moving to next year
	Repair/Replace Furnace Stacks	1460	1	16,927	0			Moving to next year
	Repair/Replace Stair Treads	1460	1	22,706	0			Moving to next year
	New HVAC System	1465	1	24,758	2,000			
	Total for IL.18-2, Rock Island Manor			94,391	7,000			
	Sub-total account 1450			30,000	5,000			
	Sub-total account 1460			39,633	0			
	Sub-total account 1465			24,758	2,000			
	Total for IL.18-2, Rock Island Manor			94,391	7,000			
Signature of Executive Director & Date: Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-3 Lincoln Homes	Prevention from Parking in Yard	1450	1	25,000	0			
	Landscaping	1450	1	5,000	5,000			
	Replace Rusting Furnace Stacks	1460	1	17,000	0			
	Replace Plumbing	1460	1	3,000	0			
	Total for IL.18-3, Lincoln Homes			50,000	5,000			
	Sub-total account 1450			30,000	5,000			
	Sub-total account 1460			20,000	0			
Total for IL.18-3, Lincoln Homes			50,000	5,000				
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-4 Sunset Heights	Repair/ Replace Water & Sewer Pipes/Risers	1460	1	378,000	530,846			Increase due to scope of work
	Renovate Office/Community Space	1470	1	20,000	2,000			Moved to Next Year
Total for IL.18-4 Sunset Heights				398,000	532,846			
Sub-total account 1460				378,000	530,846			
Sub-total account 1470				20,000	2,000			
Total for IL.18-4, Sunset Heights				398,000	532,846			

Signature of Executive Director & Date:

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-5 Valley Homes								
	Total for IL.18-5, Valley Homes			0	0			
	Sub-total account 1485			0	0			
	Total for IL.18-5, Valley Homes			0	0			

Signature of Executive Director & Date:

X Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-6 Spencer Towers	Repair/Replace Parking Lot & Circle Drive Lighting	1450		10,000	5,000			Moved to Next Year	
	Install Dryer Vent Covers	1465		5,000	0				
	Replace Hallway carpet to Community Room	1470		20,000	1,000			Moved to Next Year	
	Total for IL.18-6, Spencer Towers				35,000	6,000			
	Sub-total account 1450				10,000	5,000			
	Sub-total account 1465				5,000	0			
	Sub-total account 1470				20,000	1,000			
Total for IL.18-6, Spencer Towers				35,000	6,000				
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X					

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2006	PHA-WIDE MANAGEMENT IMPR. (MAXIMUM OF 20% OF CGP TOTAL)							
	Staff Training	1408	1	20,000	20,000	1,837	1,837	
	Resident Training	1408	1	460	460			
	Marketing	1408	1	10,000	0			
	CPU software upgrade/training	1408	1	5,000	56,000			New Software
	Studies/Plans	1408	1	15,000	25,000	25,000	6,250	
	Strategies for 5 year plan	1408	1	15,000	2,000			Moved for New Software
	Total for 1408 Management Improvements			65,460	103,460			
	PHA-WIDE EQUIPMENT							
	Maintenance Equipment	1475		30,000	30,000	4,336	4,336	
	Computer Hardware	1475		2,500	2,500			
	Total for 1475, Maintenance Equipment			32,500	32,500			

Signature of Executive Director & Date:
 X
 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
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Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2006	PHA-WIDE ADMINISTRATION (MAXIMUM OF 10% OF CGP TOTAL)							
	Modernization salaries/wages	1410		89,071	89,071	89,071		
	Modernization employee benefits	1410		14,000	14,000	14,000		
	Modernization publications	1410		50	50			
	Modernization advertising	1410		400	400			
	Modernization legal fees	1410		100	100			
	Total for 1410, Administration Costs			103,621	103,621			
	OPERATIONS	1406		207,243	95,788			Reduced to renovate space for FSS coordinator
	PHA-WIDE ARCHITECT/ENG. FEES	1430		50,000	50,000	38,207	21,722	
	CONTINGENCY	1508						
	WAREHOUSE PURCHASE/RENOVATION	1470		0	100,000			Moved to renovate space for FSS coordinators
	GRANT TOTAL			1,036,215	1,036,215			
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL.18-2 Rock Island Manor	09/16/2005			09/30/2007			
IL.18-3 Lincoln Homes	09/16/2005			09/30/2007			
IL.18-4 Sunset Heights	09/16/2005			09/30/2007			
IL.18-5 Valley Homes	09/16/2005			09/30/2007			
IL.18-6 Spencer Towers	09/16/2005			09/30/2007			
IL.18-7 Scattered Sites	09/16/2005			09/30/2007			
IL.18-9 Warehouse	09/16/2005			09/30/2007			
Management Improvement	09/16/2005			09/30/2007			

Signature of Executive Director & Date

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
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ROCK ISLAND HOUSING AUTHORITY CAPITAL FUND PROGRAM

2006

SUMMARY OF COSTS

All Complexes and Categories

COMPLEX or CATEGORY	OPEN MOD. GRANTS		Annual Statement	5 YEAR PLAN					ANALYSIS			
	CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	Dollars	Percentage	Dollars	Percentage	
	2005	2006	2007	2008	2009	2010	2011	5 Yr Total	5 Yr Total	7 Yr Total	7 Yr Total	
Rock Island Manor (IL 18-2)	24,188	10,000	20,000	130,000	197,864	90,000	59,100	496,964	10%	472,052	7%	
Lincoln Homes (IL 18-3)	11,013	5,000	7,706	135,364	117,500	162,500	36,200	459,270	9%	439,083	7%	
Sunset Heights (former Elderland: IL 18-4)	508,852	512,391	457,464	115,575	174,424	143,998	295,694	1,187,155	23%	1,912,704	30%	
Valley Homes (IL 18-5)	0	0	0	0	0	0	0	0	0%	0	0%	
Spencer Towers (IL 18-6)	16,468	10,000	122,221	167,194	127,603	220,893	405,000	1,042,911	20%	664,379	10%	
Scattered Sites (IL 18-7)	0	0	0	0	0	0	0	0	0%	0	0%	
Management Improvements (Acct. 1408)	85,460	65,460	50,460	45,460	45,460	45,460	8,000	194,840	4%	337,760	5%	
Non-Dwelling Equipment (Acct. 1475)	25,000	32,500	17,500	37,500	12,500	12,500	6,500	86,500	2%	137,500	2%	
Operations (Acct. 1406)	222,183	207,243	207,243	207,243	207,243	207,243	72,100	901,072	17%	1,258,398	20%	
Development Activities (acct 1499)	72,864	0	0	0	0	0	0	0	0%	72,864	1%	
Administration Cost (Acct.1410)	111,091	103,621	103,621	103,621	103,621	103,621	103,621	518,105	10%	629,196	10%	
Warehouse Purchase/Renovation (Acct. 1470)	46,663	127,671	0	0	0	0	0	127,671	2%	174,334	3%	
Architectural & Engineering Fees	60,000	50,000	50,000	50,000	50,000	50,000	50,000	250,000	5%	310,000	5%	
Contingency	0	0	0	44,258				44,258	1%	44,258	1%	
Replacement Reserve	0	0	0	0				0	0%	0	0%	
Relocation Costs	0	0	0	0				0	0%	0	0%	
Total Budget Expense	1,183,782	1,123,886	1,036,215	1,036,215	1,036,215	1,036,215	1,036,215	5,181,075	100%	6,452,528	100%	
Total Grant Amount	1,183,782	1,123,886	1,036,215	1,036,215	1,036,215	1,036,215	1,036,215	5,181,075		6,452,528		
Difference	0		0									

% for Mgmt Impr. & Equip. (20% HUD cap)

9% 9% 7% 8% 6% 6% 1% 5% 7%

% Admin. Cost (10% HUD cap)

9% 9% 10% 10% 10% 10% 10% 10% 10%

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM

ADMINISTRATION

Account 1410

Description	OPEN MOD GRANTS		Annual Statement	5 YEAR PLAN					DOLLAR TOTALS	
	CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year Total	7 Year Total	
	2005	2006	2007	2008	2009	2010	2011			
			Year 1	Year 2	Year 3	Year 4	Year 5			
Salaries to CGP based on % of time	95,441	89,071	89,071	89,071	89,071	89,071	89,071	89,071	445,355	629,867
Employee benefit contributions	15,000	14,000	14,000	14,000	14,000	14,000	14,000	14,000	70,000	99,000
Publications	50	50	50	50	50	50	50	50	250	350
Advertising	500	400	400	400	400	400	400	400	2,000	2,900
Management Fcc/Fee for Service										
Legal Fees	100	100	100	100	100	100	100	100	500	700
Total Administration, Account 1410	111,091	103,621	103,621	103,621	103,621	103,621	103,621	103,621	518,105	732,817
Admin. Cost Percentage of Total Grant	9%	9%	9%	10%	10%	10%	10%	10%	10%	11%

WAREHOUSE PURCHASE

Account 1470

Description	OPEN MOD GRANTS		Annual Statement	5 YEAR PLAN					DOLLAR TOTALS	
	CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year Total	7 Year Total	
	2005	2006	2007	2008	2009	2010	2011			
			Year 1	Year 2	Year 3	Year 4	Year 5			
Warehouse Purchase / Renovation	46,663	40,000	0	0	0	0	0	0	0	86,663

**ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM
PHA-WIDE MGMT. IMPROVEMENTS, NON-DWELLING EQUIP., and A & E FEES**

PHA-WIDE MANAGEMENT IMPROVEMENTS

Account 1408

Priority	Description	OPEN MOD GRANTS		Annual Statement 5 YEAR PLAN					DOLLAR TOTALS	
		CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year
		2005	2006	2007	2008	2009	2010	2011	Total	Total
	Staff Training	30,000	20,000	20,000	20,000	20,000	20,000	1,500	81,500	131,500
	Resident Training	460	460	460	460	460	460	0	1,840	2,760
	Marketing	40,000	10,000	10,000	10,000	10,000	10,000	500	40,500	90,500
	Security								0	0
	Warehouse lease								0	0
	Satellite training system								0	0
	CPU software upgrade/training	10,000	5,000	5,000	5,000	5,000	5,000	1,000	21,000	36,000
	Preventive maintenance								0	0
	Studies/Plans	5,000	15,000	5,000	5,000	5,000	5,000	3,000	23,000	43,000
	Strategies for 5 year plan		15,000	10,000	5,000	5,000	5,000	2,000	27,000	42,000
	Sub-total for Mgmt Impr, Account 1408	85,460	65,460	50,460	45,460	45,460	45,460	8,000	194,840	345,760

PHA-WIDE NON-DWELLING EQUIPMENT

Account 1475

Priority	Description	OPEN MOD GRANTS		Annual Statement 5 YEAR PLAN					DOLLAR TOTALS	
		CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year
		2005	2006	2007	2008	2009	2010	2011	Total	Total
	Maintenance Equipment	10,000	30,000	15,000	35,000	10,000	10,000	5,000	75,000	115,000
	Computer Hardware	15,000	2,500	2,500	2,500	2,500	2,500	1,500	11,500	29,000
	New Radio System								0	0
	Security Equipment								0	0
	New/Update Phone System								0	0
	Office Equipment/Furniture								0	0
	Sub-total for Non-Dwelling Equip, Account 1475	25,000	32,500	17,500	37,500	12,500	12,500	6,500	86,500	144,000

ARCHITECTUAL & ENGINEERING FEES

Account 1430

Priority	Description	OPEN MOD GRANTS		Annual Statement 5 YEAR PLAN					DOLLAR TOTALS	
		CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 YEAR	7 Year
		2005	2006	2007	2008	2009	2010	2011	Total	Total
N/A	Architectural & Engineering Fees	60,000	50,000	50,000	50,000	50,000	50,000	50,000	250,000	360,000

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM

ROCK ISLAND MANOR
IL 18-2

Priority	Description	Quantity	Units	Unit Price	Total	OPEN GRANTS		Annual Statement	5 YEAR PLAN					DOLLAR TOTALS	
						CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year	
						2005	2006	2007	2008	2009	2010	2011	Total	Total	
						Year 1	Year 2	Year 3	Year 4	Year 5					
1450 Site Improvement															
	General site improv/landscape	1	L/S	63,850	63,850		5000			7,864		20,000	27,864	32,864	
	Prevention from Parking in Yard	1	L/S	35,000	35,000		0								
	Parking Area Refinish/ Additional Parking	1	L/S	50,000	50,000				20,000				20,000	20,000	
	Backyard concept	102	L/S	12,000	1,224,000								0	0	
	Replace Add Plants Around Building	1	L/S	35,000	35,000								0	0	
	Playground Sprinklers need repaired/replaced	1	L/S	6,000	6,000							6,000	6,000	6,000	
	Replace sewer drain & trunk lines	1	L/S	765,000	765,000						55,000		55,000	55,000	
	Splash Blocks	1	L/S	1,500	1,500							1,500	1,500	1,500	
	Replace water lines	1	L/S	255,000	255,000	0							0	0	
	Pressure Wash Building	1	L/S	42,000	42,000			25,000					25,000	25,000	
	Playground upgrade	1	L/S	75,000	75,000								0	0	
	Sub-total for Account 1450				2,552,350	0	5,000	0	45,000	7,864	55,000	27,500	135,364	115,364	
1460 Dwelling Structures															
	Repair/Replace Furnace Stacks	1	L/S	35,000	35,000		0						0	0	
	Security cameras	1	L/S	33,000	33,000	24,188			5000			7000	12,000	36,188	
	Remodel Kitchens	102	EA	5,500	561,000							10000	10,000	10,000	
	Remodel Bathrooms	102	EA	4,500	459,000					5000		25000	30,000	30,000	
	Update 5 units to UFAS Compliance	5	EA	57,500	287,500								0	0	
	New Interior Doors and frames	102	EA	3,600	367,200			20000					20,000	20,000	
	Repair/Replace Downspouts	1	L/S	75,000	75,000							15000	15,000	15,000	
	Repair/Replace Stair Treads	102	EA	150	15,300		3,000								
	Reinforce Hallways	102	EA	400	40,800										
	Build chase for gas pipes in kitchen	102	EA	1,000	102,000										
	Leveling of Upstairs Floors	102	EA	800	81,600				40000				40,000	40,000	
	Shingles & Exhaust Stacks need sealed	1	EA	14,000	14,000							0	0	0	
	Paint Halls in stairwells	1	EA	9,600	9,600							9600	9,600	9,600	
	Install Fire wall between units in attic	102	EA	4,750	484,500										
	Additional Resident Storage	102	EA	12,500	1,275,000				40000						
	Drop Ceilings for Bathrooms	102	EA	300	51,000					5000			5,000	5,000	
	Sub-total for Account 1460				3,891,500	24,188	3,000	20,000	85,000	10,000	35,000	31,600	141,600	165,788	
1465 Dwelling Equipment															
	New HVAC System	102	EA	9,750	994,500	0	2,000						0	2,000	
	Replace Water Heaters	102	EA	300	30,600										
	Replace Furnaces	102	EA	500	51,000										
	Appliances	102	EA	900	91,800										
	Back Splash for Stoves	102	EA	75	7,650										
	Upgrade Electrical System with individual meters	102	EA	6,250	637,500										
	Sub-total for Account 1465				1,813,050	0	2,000	0	0	0	0	0	0	2,000	
1470 Nondwelling Structures															
	Scrub and seal shop floors	1	L/S	1,000	1,000										
	Remodel Comm. Room into Office/Conf. Room	1	L/S	100,000	100,000										
	Replace Glass Block on Community Bld Entrance	1	L/S	75,000	75,000										
	Repair/Replace Door on Community Bld Entrance	1	L/S	20,000	20,000										
	Paint Office/Community Building	1	L/S	5,000	5,000										
	1485 Demolition	1	L/S	100,000	100,000					100,000			100,000	100,000	
	1495.1 Relocation	1	L/S	500,000	500,000					75,000			75,000	75,000	
	1499 Redevelopment	1	L/S	500,000	500,000					5,000			5,000	5,000	
	Total IL.18-2, R.I. Manor				9,362,900	24,188	10,000	20,000	130,000	197,864	90,000	59,100	456,964	463,152	

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM

LINCOLN HOMES

IL 18-3

Priority	Description	Quantity	Units	Unit Price	Total	OPEN GRANTS		Annual Statement 5 YEAR PLAN					DOLLAR TOTALS		
						CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year	
						2005	2006	2007	2008	2009	2010	2011	Total	Total	
	1450 Site Improvement														
	Sidewalk replacement/mud jacking	1	L/S	80,000	80,000						25,000		25,000	25,000	
	Prevention from Parking in Yard	1	L/S	35,000	35,000		0								
	Landscaping	1	L/S	15,000	15,000		5,000		15,000			5,000	20,000	25,000	
	Gen Site Improve/Perimeter fencing	1	L/S	190,000	190,000								0	0	
	Backyard concept (fenced play area)	1	L/S	49,000	49,000								0	0	
	Repair/Replace Playground Sprinklers	1	L/S	6,000	6,000							6,000	6,000	6,000	
	Pressure Wash Buildings	1	L/S	9,000	9,000				15,000				15,000	15,000	
	Replace Sewer Drain	45	Ea	7,500	337,500						25,000		25,000	25,000	
	Replace Water Lines	45	Ea	2,500	112,500						40,000		40,000	40,000	
	Sub-total for Account 1450				834,000	0	5,000	0	30,000	0	90,000	11,000	131,000	136,000	
	1460 Dwelling Structures														
	Security cameras	1	EA	33,000	33,000	11,013			5,000			7,000	12,000	23,013	
	Repair bathrooms	45	EA	4,500	202,500	0							0	0	
	Repair kitchens	45	EA	5,500	247,500						10,000		10,000	10,000	
	Replace rusting furnace stacks	45	EA	200	9,000		0	7,706					7,706	7,706	
	Upgrade units to UFAS Compliance	3	EA	57,500	172,500								0	0	
	Paint Dwelling Units	45	EA	1,500	67,500								0	0	
	Vent Range Hood to Outside	45	EA	1,500	67,500						32,500		32,500	32,500	
	Repair/Replace Stair Treads	45	EA	150	6,750										
	Leveling of Upstairs Floors	45	EA	800	36,000				28,143				28,143	28,143	
	Shingles & Exhaust Stacks need replaced	1	EA	8,000	8,000								0	0	
	Paint Halls in stairwells	1	EA	13,200	13,200							13,200	13,200	13,200	
	Heavy Duty Guards for downspouts	1	EA	5,000	5,000							5,000	5,000	5,000	
	New Interior Doors/Frames	45	EA	3,600	162,000						10,000		10,000	10,000	
	Drop Ceilings in Bathrooms	45	EA	500	22,500						22,500		22,500	22,500	
	Replace plumbing	45	EA	7,500	337,500		0		72,221				72,221	72,221	
	Additional Resident Storage	45	EA	12,500	562,500										
	Sub-total for Account 1460				1,952,950	11,013	0	7,706	105,364	32,500	42,500	25,200	213,270	224,283	
	1465 Dwelling Equipment														
	Upgrade Electrical System	45	EA	4,250	191,250								0	0	
	Replace Water Heaters	45	EA	300	13,500										
	Replace Furnaces	45	EA	500	22,500										
	Back Splash for Stoves	45	EA	75	3,375										
	Update HVAC System	45	EA	9,750	438,750					70,000			70,000	70,000	
	Sub-total 1465				669,375	0	0	0	0	70,000	0	0	70,000	70,000	
	1470 Nondwelling Structures														
	Scrub and seal shop floors	1	L/S	1,000	1,000										
	1485 Demolition	1	L/S	100,000	100,000					5,000	10,000		15,000	15,000	
	1495.1 Relocation	1	L/S	500,000	500,000					5,000	10,000		15,000	15,000	
	1499 Redevelopment	1	L/S	500,000	500,000					5,000	10,000		15,000	15,000	
	Total IL.18-3, Lincoln Homes				3,887,950	11,013	5,000	7,706	135,364	117,500	162,500	36,200	459,270	475,283	

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM
 SUNSET HEIGHTS (formerly Elderland Heights)
 IL 18-4

Priority	Description	Quantity	Units	Unit Price	Total	OPEN GRANTS		Annual Statement	5 YEAR PLAN					DOLLAR TOTALS	
						CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 00	CF 501 10	CF 501 11	5 Year	7 Year	
						2005	2006	2007	2008	2009	2010	2011	Total	Total	
						Year 1	Year 2	Year 3	Year 4	Year 5					
1450 Site Improvement															
	Sand and paint all exterior railings/fire esc	1	L/S	8,000	8,000								0	0	
	Re-stripe front and rear parking lots	1	L/S	15,000	15,000							15,000			
	Landscaping	1	L/S	12,500	12,500						12,998	5,000			
	Repair concrete steps to bus stops & handrail	1	L/S	8,000	8,000							8,000			
	Level Sidewalks and Patio Area	1	L/S	22,500	22,500				10,000				10,000	10,000	
	Repave Existing Parking Lot	1	L/S	112,500	112,500				5,000				5,000	5,000	
	Total for account #1450				178,500	0	0	0	15,000	0	12,998	28,000	15,000	15,000	
1460 Dwelling Structures															
	Repair/Replace water & sewer pipes/risers	1	L/S	300,000	300,000	96,537	512,391	278,335					278,335	887,263	
	Renovate Kitchens	141	EA	5,500	775,500			40,000					40,000	40,000	
	Replace kitchen cabinets	141	EA	1,500	211,500							175,000	175,000	175,000	
	Renovate Bathrooms	141	EA	4,500	634,500			40,000					40,000	40,000	
	Encapsulate asbestos floor tile	141	EA	1,000	141,000						111,000		111,000	111,000	
	Upgrade Security cameras	1	L/S	25,000	25,000	15,121			2,000				2,000	17,121	
	Replace access doors for compactor chute	1	L/S	7,000	7,000							7,000	7,000	7,000	
	Replace flourescent lighting in stairwells	1	L/S	6,000	6,000							6,000	6,000	6,000	
	Replace rear hallway tile	1	L/S	5,300	5,300							4,000	4,000	4,000	
	Replace stoves	1	L/S	5,300	5,300							5,300	5,300	5,300	
	A/C openings	1	L/S	8,000	8,000								0	0	
	Replace Smoke Detectors	141	L/S	75	10,575				10,575				10,575	10,575	
	Install Exterior Elevator	1	L/S	450,000	450,000								0	0	
	Repair Exterior Stair Concrete	1	L/S	20,000	20,000						20,000		20,000	20,000	
	Upgrade 7 units to UFAS compliance	7	EA	25,000	175,000								0	0	
	Repair/Replace Apartment Doors	141	EA	375	52,875							70,394	70,394	70,394	
	Add Door Closures to Apartment Doors	141	EA	75	10,575										
	Paint dwelling units	141	EA	1,500	211,500								0	0	
	Upgrade electrical system	141	EA	4,250	599,250								0	0	
	Repair/Encapsulate Asbestos Floor Tile in Apartm	141	EA	1,200	169,200										
	Replace Windows	1	L/S	300,000	300,000										
	Replace Boiler System & Fin Tube Heaters					397,194									
	New domestic Hot water system	141	EA	1,750	246,750			94,563	78,000	74,424			246,987	246,987	
	Sub-total for Account 1460				4,364,825	508,852	512,391	452,898	90,575	74,424	131,000	267,694	1,016,591	1,640,640	
1470 Non-Dwelling Structures															
	Refurbish window seating areas (fls 2-11)	10	EA	1,500	15,000								0	0	
	Additional Resident Storage	141	EA	12,500	1,762,500										
	Update HVAC	141	EA	7,500	1,057,500								100,000	100,000	
	Upgrade Electrical in Laundry Room	1	L/S	10,000	10,000				10,000				10,000	10,000	
	Install Emergency Call Cords in Community Bath	1	L/S	11,500	11,500										
	Repair/Replace Trash Compactor Shute Doors Eac	10	EA	1,500	15,000										
	Scrub and seal shop floors	1	L/S	3,500	3,500										
	Renovate Office/Community Space	1	L/S	75,000	75,000		20,000								
	Sub-total for Account 1470				2,950,000	0	20,000	0	10,000	100,000	0	0	110,000	110,000	
	1495.1 Relocation	1	L/S	500,000	500,000			4,566					4,566	4,566	
	1499 Redevelopment	1	L/S	500,000	500,000										
	Total IL 18-4, Sunset Heights				8,493,325	508,852	532,391	457,464	115,575	174,424	143,998	295,694	1,146,157	1,770,206	

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM

VALLEY HOMES

IL 18-5

Priority	Description	Quantity	Units	Unit Price	Total	OPEN GRANTS		5 YEAR PLAN					DOLLAR TOTALS	
						CF 501 05	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year
						2005	2006	2007	2008	2009	2010	2011	Total	Total
							Year 1	Year 2	Year 3	Year 4	Year 5			
	1450 Site Improvement													
	Landscaping	1	L/S	85,500	85,500								0	0
	Repair/repave existing parking and sidewalks	1	L/S	450,000	450,000								0	0
	Install underground drain line	1	L/S	300,000	300,000									
	Remove old concrete pad by playground	1	L/S	4,000	4,000									
	Install additional security lighting	1	L/S	49,000	49,000									
	Repair/replace drive	1	L/S	300,000	300,000									
	Repair/replace existing bank/retaining walls	1	L/S	325,000	325,000									
	Replace sewer drain and trunk lines	1	L/S	427,500	427,500									
	Replace water lines	1	L/S	114,000	114,000								0	0
	Sub-Total for Account 1450				2,055,000	0	0	0	0	0	0	0	0	0
	1460 Dwelling Structures													
	Repair drywall and paint all unit interiors	57	EA	1,140	64,980									
	Security Equipment upgrade	1	L/S	25,000	25,000									
	Upgrade 3 units to UFAS compliance	3	EA	57,500	172,500								0	0
	Exterior Building Envelop Repairs/ Steps	3	EA	85,500	256,500								0	0
	Paint dwelling units	57	EA	1,500	85,500								0	0
	Vent Range Hood Outside	57	EA	1,500	85,500								0	0
	New porch lights/signage	57	EA	800	45,600								0	0
	Update Fire Escape	57	EA	2,500	142,500								0	0
	New Interior doors and frames	57	EA	3,600	205,200								0	0
	Renovate Bathrooms	57	EA	4,500	256,500								0	0
	Renovate Kitchens	57	EA	5,500	313,500								0	0
	New appliances	57	EA	900	51,300								0	0
	Upgrade Electrical system	57	EA	4,250	242,250								0	0
	New domestic hot water system	57	EA	1,750	99,750									
	Replace Plumbing	57	EA	7,500	427,500								0	0
	Sub-total for Account 1460				2,474,080	0	0	0	0	0	0	0	0	0
	1465 Dwelling Equipment													
	New HVAC System	57	EA	9,750	555,750								0	0
	1470 Non-Dwelling Structures													
	Construct Family Investment Center	1	L/S	260,000	260,000								0	0
	Additional Resident Storage	57	EA	12,500	712,500									
	Renovate Interior of Office/Maintenance Building	1	EA	50,000	50,000									
	Remove boilers/renovate basement	3	EA	25,000	75,000									
	Sub-total for Account 1470				1,097,500	0	0	0	0	0	0	0	0	0
	1485 Demolition													
	1485.1 Relocation	1	L/S	100,000	100,000									
		1	L/S	500,000	500,000									
	1499 Redevelopment													
		1	L/S	500,000	500,000									
	Total IL.18-5, Valley Homes				7,282,330	0	0	0	0	0	0	0	0	0

ROCK ISLAND HOUSING AUTHORITY COMPREHENSIVE GRANT PROGRAM

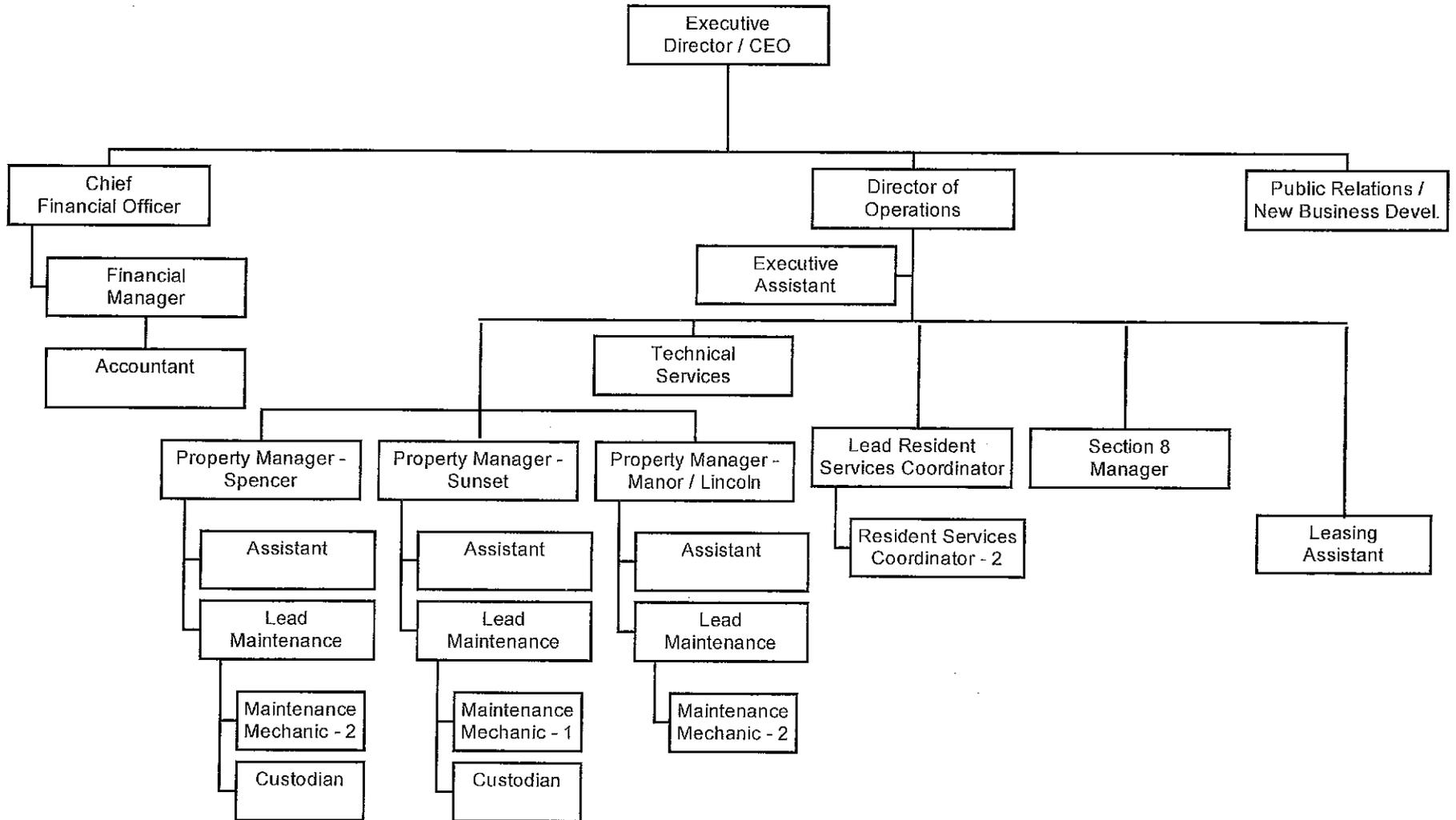
SPENCER TOWERS

IL 18-6

Priority	Description	Quantity	Units	Unit Price	Total	OPEN GRANTS		5 YEAR PLAN					DOLLAR TOTALS			
						CF 501 03	CF 501 06	CF 501 07	CF 501 08	CF 501 09	CF 501 10	CF 501 11	5 Year	7 Year		
						2005	2006	2007	2008	2009	2010	2011	Total	Total		
	<u>Site Improvements-1450</u>															
	Outdoor screen area	1	L/S	15,000	15,000											
	Trim Trees	1	EA	6,000	6,000		2,450							0	0	
	Repair paving/manhole at fron circle drive	1	L/S	5,500	5,500											
	Move Bike Rack	1	L/S	3,500	3,500											
	Repair/Replace Parking Lot & Circle Drive Lighti	1	L/S	23,000	23,000		7,550									
	Landscaping	1	EA	37,550	37,550											
	Install Exterior Elevator	1	EA	450,000	450,000							5,000		30,000	30,000	
	Repair/Repave existing sidewalks/ Concrete areas	1	EA	26,680	26,680									104,872	104,872	
	Repair exterior stair concrete	1	EA	30,000	30,000			20,000						20,000	20,000	
	Repair/Repave existing parking areas	1	EA	250,000	250,000											
	Replace galvanized pipes/ install access panels	199	EA	1,750	348,250											
	Replace sewer Lines	199	EA	2,500	497,500				50,000	60,000				110,000	110,000	
	Replace water lines	199	EA	2,000	398,000									0	0	
	Sub-total for Account 1450				2,090,980	0	10,000	20,000	127,269	112,603	83,143	5,000		264,872	264,872	
	<u>Dwelling Structure-1460</u>															
	Repair kitchens	199	EA	5,500	1,094,500									10,000	10,000	
	Replace kitchen cabinets	75	EA	2,000	150,000									150,000	150,000	
	Repair Bathrooms	199	EA	4,500	895,500									10,000	10,000	
	Security equipment upgrade	1	L/S	10,000	10,000	16,468								7,000	23,468	
	Community Room Heat and cool registers	1	EA	18,000	18,000									18,000		
	Replace counter tops & cabinets	199	EA	1,750	348,250											
	Replace Air Return Fans	51	EA	1,500	76,500			37,221		15,000						
	Replace Smoke Detectors	199	EA	75	14,925									52,221	52,221	
	New carpet front office and hallway	1	EA	8,000	8,000				14,925					14,925	14,925	
	New lighting 1st floor/outside and stairway	1	EA	30,000	30,000								8,000	8,000	8,000	
	Replace tubs and surrounds	60	EA	250	15,000								30,000	30,000	30,000	
	Paint handrails on balcony	1	EA	2,000	2,000								15,000	15,000	15,000	
	Replace Closet Doors in apartments	199	EA	125	24,875								2,000	2,000	2,000	
	New domestic hot water system	199	EA	1,750	348,250											
	Upgrade electrical system	199	EA	4,250	845,750											
	Replace sewer line	1	EA	175,000	175,000								175,000	175,000	175,000	
	Install deadbolts on apartment doors	199	EA	50	9,950									0	0	
	Upgrade 10 units to UFAS compliance	10	EA	25,000	250,000									0	0	
	Sub-total for Account 1460				4,316,500	16,468	0	37,221	14,925	15,000	20,000	405,000		474,146	490,614	
	<u>Dwelling Equipment-1465</u>															
	Appliances	199	EA	900	179,100											
	Air conditioners/covers	150	EA	385	57,750				25,000					25,000	25,000	
	Replace A/C units	150	EA	550	82,500								52,750	52,750	52,750	
	Install Dryer Vent Covers	75	EA	75	5,625											
	Elevator Repair/Replacement	1	L/S	200,000	200,000											
	Update HVAC System and equipment	199	EA	7,500	1,492,500											
	Sub-total for Account 1465				2,017,475	0	0	0	25,000	0	52,750	0		77,750	77,750	
	<u>Non-Dwelling Structure-1470</u>															
	Remodel old office for res/serv. use	1	L/S	9,890	9,890											
	Additional Resident Storage	199	EA	12,500	2,487,500											
	Renovate office/ community space	1	EA	150,000	150,000											
	Replace Hallway carpet to Community Room	1	L/S	12,500	12,500											
	Scrub and seal shop floors	1	EA	2,500	2,500									0	0	
	Sub-total for Account 1470				2,662,390	0	0	0	0	0	0	0		0	0	
	<u>1495.1 Relocation</u>	1	L/S	500,000	500,000			55,000						110,000	110,000	
	<u>1499 Redevelopment</u>	1	L/S	750,000	750,000			10,000						20,000	20,000	
	Total IL.18-6, Spencer Towers				12,337,345	16,468	10,000	122,221	167,194	127,603	220,893	410,000		946,768	963,236	

Rock Island Housing Authority

Organizational Structure



**ROCK ISLAND HOUSING AUTHORITY
RESIDENT ADVISORY BOARD
COMMENTS AND RECOMMENDATIONS
June 27, 2007**

My name is Michelle Gugelmeyer and I am the spokesperson for the Resident Advisory Board. Other board members include: Edna Watson who also represents the Housing Choice Voucher Program along with me; Debra Durant and Shellee Nylin , represent Spencer Towers, Dorothy Light and Timothy Commodore, representatives of Sunset Heights; Barley Lake and Kathy Burton, representing Manor Homes and Sandra A. Bommon, who represents Lincoln Homes.

Each year the RAB is asked to review, solicit resident comments, and make recommendations to the Housing Authority Staff and Board of Commissioners regarding the Agency Plan.

The board met four times and attended individual tenant meetings to solicit comments regarding changes in the plan. We did receive comments from the residents at the public hearing which focused on items related to capital funds expenditures. These items included the purchase of deadbolt locks for the doors at Spencer Towers; Improved lighting in the back lot of Spencer Towers and Playground equipment for children under 5 years of age at Manor Homes.

These were the only comments that have been received from residents.

The Resident Advisory Board endorses and recommends that the Rock Island Housing Authority Board of Commissioners approve the proposed changes to the 2007 Agency Plan.

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018501-03	FFY of Grant Approval 2003
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	190,776	190,776	190,776	190,776
3	1408 Management Improvements	56,302	71,988	71,988	71,988
4	1410 Administration	95,388	79,702	79,702	79,702
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	30,000	30,000	30,000	30,000
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	90,107	17,499	17,499	17,499
10	1460 Dwelling Structures	367,728	387,903	387,903	357,553
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	48,581	54,130	54,130	54,130
14	1485 Demolition	0	54,853	54,853	54,853
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	75,000	67,031	67,031	67,031
18	1498 Mod Used for Development	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$953,882	\$953,882	\$953,882	\$923,532
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-2 Manor Homes	Genral Site Improvement	1450	1	5,000	12,392	12,392	12,392	completed	
	Replace Sewer Drain and Trunk Lines	1450	1	40,000	0			postponed due to Sunset Windows	
	Remodel Bathrooms	1460	102	27,648	0			postponed due to Sunset Windows	
	Screens for Bathroom Windows	1460	102	3,570	1,315	1,315	1,315	completed	
	Total for IL.18-2, Rock Island Manor				76,218	13,707			
	Sub-total account 1450				45,000	12,392			
	Sub-total account 1460				31,218	1,315			
Total for IL.18-2, Rock Island Manor				76,218	13,707				
Signature of Executive Director & Date: Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X					

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-3 Lincoln Homes	Repair Bathroom	1460	45	20,000	2,675	2675	2675	completed postponed due to Sunset Windows postponed due to Sunset Windows	
	Repair Kitchens	1460	45	20,000	0				
	Vent Range Hood to Outside	1460	45	45,510	0				
	Total for IL.18-3, Lincoln Homes				85,510	2,675			
	Sub-total account 1450								
	Sub-total account 1460				85,510	2,675			
Total for IL.18-3, Lincoln Homes				85,510	2,675				

Signature of Executive Director & Date:
 X
 Susau Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-4 Sunset Heights	Encapsulate Asbestos Floor Tile	1460	1	110,000	0			postponed due to Sunset Windows postponed due to Sunset Windows postponed due to Sunset Windows contract in progress
	Repair Exterior Stair Concrete	1460	1	20,000	0			
	Replace Sewer Lines	1460	1	11,000	0			
	Replace Windows	1460	141	100,000	383,913	383,913		
	Total for IL.18-4 Sunset Heights			241,000	383,913			
	Sub-total account 1450			241,000	383,913			
	Sub-total account 1460							
	Total for IL.18-4, Sunset Heights			241,000	383,913			

Signature of Executive Director & Date:

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

Susan Anderson

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-5 Valley Homes	Demolition	1485	1	0	54,853	54853	54853	completed
	Repair/Repave existing parking and sidewalks	1460	1	0	0			Demolition Approved
	Repair/Replace Drive	1460	1	0	0			Demolition Approved
	Relocation	1495.1	1	75,000	67,031	67031	67031	completed
	Total for IL.18-5, Valley Homes			75,000	121,884			
	Sub-total account 1485			0	54,853			
	Sub-total account 1495.1			75,000	67,031			
	Total for IL.18-5, Valley Homes			75,000	121,884			

Signature of Executive Director & Date:

X Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-6 Spencer Towers	Landscaping	1450	1	5,107	5,107	5107	5107	completed
	Repair Exterior Stair Concrete	1450	1	20,000	0			postponed due to Sunset Windows
	Repair/Replace Existing Parking Areas	1450	1	20,000	0			postponed due to Sunset Windows
	Air conditioners/Covers	1460	1	10,000	0			postponed due to Sunset Windows
	Total for IL.18-6, Spencer Towers			55,107	5,107			
	Sub-total account 1450			45,107	5,107			
	Sub-total account 1460			10,000	0			
	Total for IL.18-6, Spencer Towers			55,107	5,107			
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2003	PHA-WIDE MANAGEMENT IMPR. (MAXIMUM OF 20% OF CGP TOTAL)							
	Marketing	1408	1	15,000	15,000	15,000	15,000	completed
	Staff Training	1408	1	20,530	20,530	20,530	20,530	completed
		1408	1	0	15,686			
	CPU software upgrade/training	1408	1	10,772	10,772	10,772	10,772	completed
	Studie/Plans	1408	1	10,000	10,000	10,000	10,000	completed
	Total for 1408 Management Improvements			56,302	71,988			
	PHA-WIDE EQUIPMENT							
	Maintenance Equipment	1475	1	38,827	38,827	38,827	38,827	completed
	Computer Equipment	1475	1	4,754	15,303	15,303	15,303	completed
	Security Equipment	1475	1	5,000	0			postponed due to Sunset Windows
	Total for 1475, Maintenance Equipment			48,581	54,130			

Signature of Executive Director & Date:
 X
 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2003	PHA-WIDE ADMINISTRATION (MAXIMUM OF 10% OF CGP TOTAL)							
	Modernization salaries/wages	1410		81,530	65,844	81,530	81,530	completed
	Modernization employee benefits	1410		13,858	13,858	13,858	13,858	completed
	Modernization publications	1410		0	0			
	Modernization advertising	1410		0	0			
	Modernization legal fees	1410		0	0			
	Total for 1410, Administration Costs			95,388	79,702			
	PHA-WIDE ARCHITECT/ENG. FEES	1430		30,000	30,000	30,000	27,729	contract in progress
	CONTINGENCY	1508		0	0			
	OPERATIONS	1406		190,776	190,776	190,776	190,776	completed
	GRANT TOTAL			953,882	953,882			

Signature of Executive Director & Date:

X

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL.18-2 Rock Island Manor	09/30/2005			09/30/2007			
IL.18-3 Lincoln Homes	09/30/2005			09/30/2007			
IL.18-4 Sunset Heights	09/30/2005			09/30/2007			
IL.18-5 Valley Homes	09/30/2005			09/30/2007			
IL.18-6 Spencer Towers	09/30/2005			09/30/2007			
IL.18-7 Scattered Sites	09/30/2005			09/30/2007			
IL.18-9 Warehouse	09/30/2005			09/30/2007			
Management Improvement	09/30/2005			09/30/2007			

Signature of Executive Director & Date

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018501-04	FFY of Grant Approval 2004
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	210,651	210,651	210,651	210,651
3	1408 Management Improvements	105,325	105,325	105,325	105,325
4	1410 Administration	105,325	105,325	105,325	105,325
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	58,443	58,443	58,443	58,443
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	328,554	328,554	233,600	233,600
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	65,046	65,046	65,046	65,046
13	1475 Non-dwelling Equipment	20,000	20,000	20,000	20,000
14	1485 Demolition	139,809	139,809	139,809	139,809
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	20,104	20,104	20,104	20,104
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$1,053,257	\$1,053,257	\$958,303	\$958,303
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-2 Manor Homes	General site improv/landscape	1450	1	0	0			postponed due to Sunset Windows	
	New HVAC System	1465	1	0	0			postponed due to Sunset Windows	
	Total for IL.18-2, Rock Island Manor				0	0			
	Sub-total account 1465				0	0			
	Sub-total account 1450				0	0			
	Sub-total account 1460				0	0			
Total for IL.18-2, Rock Island Manor				0	0				
Signature of Executive Director & Date: Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X					

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-3 Lincoln Homes	Sidewalk Replacement/mud jacking Repair kitchens	1450 1460	1 45	0	0			
				0	0			
				0	0			
				0	0			
				0	0			
Total for IL.18-3, Lincoln Homes				0	0			
Sub-total account 1450				0	0			
Sub-total account 1460				0	0			
Total for IL.18-3, Lincoln Homes				0	0			
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-4								
Sunset Heights	Replace Boiler System & Fin Tubes	1460	1	233,600	233,600	233600	233600	substantially complete
	Replace Windows	1460	1	94,954	0	0		moved to domestic water pipes
	Renovate office/community space	1470	1	65,046	65,046	65046	65046	completed
	Domstic Water Pipe Replacement	1460	1	0	94,954			moved from windows from 5 yr plan
	Total for IL.18-4 Sunset Heights			393,600	393,600			
	Sub-total account 1450							
	Sub-total account 1460			328,554	328,554			
	Sub-total account 1470			65,046	65,046			
	Total for IL.18-4, Sunset Heights			393,600	393,600			

Signature of Executive Director & Date:

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X Susan Anderson

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-5 Valley Homes	Repair/replace drive	1450	1	0	0				
	Demolition	1485	1	139,809	139,809	139809	139809		
	Total for IL.18-5, Valley Homes				139,809	139,809			
	Sub-total account 1460				0	0			
	Sub-total account 1485				139,809	139,809			
	Total for IL.18-5, Valley Homes				139,809	139,809			

Signature of Executive Director & Date:

 X Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

 X

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-6 Spencer Towers	Repair/Repave existing parking areas	1450		0	0			postponed due to Sunset Windows
	Total for IL.18-6, Spencer Towers			0	0			
	Sub-total account 1450			0	0			
	Sub-total account 1465			0	0			
	Total for IL.18-6, Spencer Towers			0	0			

Signature of Executive Director & Date:
 X
 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2003	PIIA-WIDE MANAGEMENT IMPR. (MAXIMUM OF 20% OF CGP TOTAL)							
	Staff Training	1408	1	33,523	33,523	33,523	33,523	completed
	Resident Training	1408	1	0	0			
	Marketing	1408	1	38,184	38,184	38,184	38,184	completed
	CPU software upgrade/training	1408	1	730	730		730	completed
	Studies/Plans	1408	1	32,888	32,888	32,888	32,888	completed
	Total for 1408 Management Improvements			105,325	105,325			
	PHA-WIDE EQUIPMENT							
	Maintenance Equipment	1475		6,463	6,463	6,463	6,463	completed
	Computer Hardware	1475		13,537	13,537	13,537	13,537	completed
Total for 1475, Maintenance Equipment			20,000	20,000				
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2003	PHA-WIDE ADMINISTRATION (MAXIMUM OF 10% OF CGP TOTAL)							
	Modernization salaries/wages	1410		86,674	86,674	86,674	86,674	completed
	Modernization employee benefits	1410		18,169	18,169	18,168	18,168	completed
	Modernization publications	1410		0	0			
	Modernization advertising	1410		482	482	482	482	completed
	Modernization legal fees	1410		0	0			
	Total for 1410, Administration Costs			105,325	105,325			
	OPERATIONS	1406		210,651	210,651	210,651	210,651	completed
	PHIA-WIDE ARCHITECT/ENG. FEES	1430		58,443	58,443	58,443	58,443	completed
	Mod USED FOR DEVELOPMENT	1498		20,104	20,104	20,104	20,104	completed
	CONTINGENCY	1508						
	WAREHOUSE PURCHASE/RENOVATION	1470						
	GRANT TOTAL			1,053,257	1,053,257			
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL.18-2 Rock Island Manor	09/30/2006			09/30/2008			
IL.18-3 Lincoln Homes	09/30/2006			09/30/2008			
IL.18-4 Sunset Heights	09/30/2006			09/30/2008			
IL.18-5 Valley Homes	09/30/2006			09/30/2008			
IL.18-6 Spencer Towers	09/30/2006			09/30/2008			
IL.18-7 Scattered Sites	09/30/2006			09/30/2008			
IL.18-9 Warehouse	09/30/2006			09/30/2008			
Management Improvement	09/30/2006			09/30/2008			

Signature of Executive Director & Date

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018501-05	FFY of Grant Approval 2005
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	222,183	222,183	222,183	222,183
3	1408 Management Improvements	85,460	85,460	81,987	81,987
4	1410 Administration	111,091	111,091	111,091	111,091
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	60,000	60,000	60,000	60,000
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	6,812	0	0	0
10	1460 Dwelling Structures	521,840	560,521	560,521	401,509
11	1465.1 Dwelling Equipment—Non-expendable	43,532	0	0	0
12	1470 Non-dwelling Structures	35,000	46,663	46,663	33,995
13	1475 Non-dwelling Equipment	25,000	25,000	25,000	24,478
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	72,864	72,864	112	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$1,183,782	\$1,183,782	\$1,107,557	\$935,243
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL.18-2 Manor Homes	Replace Water Lines	1450	1	6,812	0			completed	
	New HVAC System	1465	1	25,000	0				
	Security Cameras	1460	1	24,188	24,188	24,188	24,188		
	Total for IL.18-2, Rock Island Manor				56,000	24,188			
	Sub-total account 1465				25,000	0			
	Sub-total account 1450				6,812	0			
	Sub-total account 1460				24,188	24,188			
Total for IL.18-2, Rock Island Manor				56,000	24,188				

Signature of Executive Director & Date:

 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-3 Lincoln Homes	Security Cameras	1460	1	11,013	11,013	11013	11013	completed
	Repair bathrooms	1460	45	23,550	0			
	Total for IL.18-3, Lincoln Homes			34,563	11,013			
	Sub-total account 1450 Sub-total account 1460 Total for IL.18-3, Lincoln Homes			34,563	11,013			

Signature of Executive Director & Date:
 X
 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-4 Sunset Heights	Upgrade Security Cameras	1460	1	15,121	15,121	15121	15121	completed
	Repair Exterior Stair Concrete	1460	1	20,000	0			moved for domestic water pipe replacement
	Replace Boiler System & Fin Tube Heaters	1460	1	411,500	397,194	397194	334719	substantial complete only retainage left
	Domestic Water Pipe Replacement	1460	1	0	96,537			moved from 5 yr plan
	Total for IL.18-4 Sunset Heights			446,621	508,852			
	Sub-total account 1450							
	Sub-total account 1460			446,621	508,852			
	Sub-total account 1470			0				
	Total for IL.18-4, Sunset Heights			446,621	508,852			

Signature of Executive Director & Date:

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X Susan Anderson

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-5 Valley Homes	Demolition	1485	1	0	0			
	Total for IL.18-5, Valley Homes			0	0			
	Sub-total account 1485			0	0			
	Total for IL.18-5, Valley Homes			0	0			

Signature of Executive Director & Date:

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

Susan Anderson

X

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL.18-6 Spencer Towers	Security Equipment Upgrade	1460		16,468	16,468	16468	14918	completed moved for domestic water pipe replacement
	Update HVAC System	1465		18,532	0			
	Total for IL.18-6, Spencer Towers			35,000	16,468			
	Sub-total account 1460			16,468	16,468			
	Sub-total account 1465			18,532	0			
	Total for IL.18-6, Spencer Towers			35,000	16,468			
Signature of Executive Director & Date: X Susan Anderson				Signature of Public Housing Director/Office of Native American Programs Administrator & Date: X				

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2005	PHA-WIDE MANAGEMENT IMPR. (MAXIMUM OF 20% OF CGP TOTAL)							
	Staff Training	1408	1	45,000	45,631	45,631	45,631	reflect actual
	Resident Training	1408	1	460	0			reflect actual
	Marketing	1408	1	5,000	3,048	3,048	3,048	
	CPU software upgrade/training	1408	1	10,000	3,713	239	239	
	Studies/Plans	1408	1	25,000	33,068	33,068	33,068	reflect actual
	Total for 1408 Management Improvements			85,460	85,460			
	PHA-WIDE EQUIPMENT							
	Maintenance Equipment	1475		10,000	10,000	10,000	10,000	completed
	Computer Hardware	1475		15,000	15,000	13,866	13,866	in progress
	Total for 1475, Maintenance Equipment			25,000	25,000			

Signature of Executive Director & Date:
 X
 Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement
² To be completed for the Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2005	PHA-WIDE ADMINISTRATION (MAXIMUM OF 10% OF CGP TOTAL)							
	Modernization salaries/wages	1410		93,816	93,402	93,816	93,816	reflect actual
	Modernization employee benefits	1410		16,625	17,457	17,457	17,457	reflect actual
	Modernization publications	1410		50	0			reflect actual
	Modernization advertising	1410		500	232	232	232	reflect actual
	Modernization legal fees	1410		100	0			reflect actual
	Total for 1410, Administration Costs			111,091	111,091			
	OPERATIONS	1406		222,183	222,183	222,183	222,183	completed
	PHA-WIDE ARCHITECT/ENG. FEES	1430		25,000	60,000	60,000	60,000	completed
	Mod Used for DEVELOPMENT	1490		72,864	72,864	112	112	
	WAREHOUSE PURCHASE/RENOVATION	1470		35,000	46,663	33,995	33,995	in progress
	GRANT TOTAL			1,148,782	1,183,782			

Signature of Executive Director & Date:
 X

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:
 X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL.18-2 Rock Island Manor	08/17/2007			08/17/2009			
IL.18-3 Lincoln Homes	08/17/2007			08/17/2009			
IL.18-4 Sunset Heights	08/17/2007			08/17/2009			
IL.18-5 Valley Homes	08/17/2007			08/17/2009			
IL.18-6 Spencer Towers	08/17/2007			08/17/2009			
IL.18-7 Scattered Sites	08/17/2007			08/17/2009			
IL.18-9 Warehouse	08/17/2007			08/17/2009			
Management Improvement	08/17/2007			08/17/2009			
Signature of Executive Director & Date				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018501-07	FFY of Grant Approval 2007
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending _____
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0			
2	1406 Operations (May not exceed 10% of line 20)	93,167			
3	1408 Management Improvements	55,000			
4	1410 Administration	93,167			
5	1411 Audit	5,000			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition	0			
9	1450 Site Improvement	20,000			
10	1460 Dwelling Structures	520,333			
11	1465.1 Dwelling Equipment--Non-expendable	0			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment	25,000			
14	1485 Demolition	0			
15	1490 Replacement Reserve	0			
16	1492 Moving to Work Demonstration	0			
17	1495.1 Relocation Costs	60,000			
18	1498 Mod Used for Development	10,000			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant (Sum of lines 2-19)	\$931,667			
21	Amount of line 20 Related to LBP Activities	931,667			
22	Amount of line 20 Related to Section 504 Compliance	0			
23	Amount of line 20 Related to Security	10,000			
24	Amount of line 20 Related to Energy Conservation Measures	124,769			

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

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² To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	General Description of Major Categories	Work	Development Account Number	Quantity	Total Estimated Costs		Total Actual Costs		Status of Proposed Work 2
					Original	Revised 1	Funds Obligated 2	Funds Expended 2	
IL-18-2 Manor Homes	Replace Interior Doors & Frames		1460	1	22,500.00				

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	General Description of Major Categories	Work	Development Account Number	Quantity	Total Estimated Costs		Total Actual Costs		Status of Proposed Work 2
					Original	Revised 1	Funds Obligated 2	Funds Expended 2	
IL-18-3 Lincoln Homes	Upgrade Units to UFAS Compliance		1460	1	7,706.00				

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

**U.S. Department of Housing
 and Urban Development**
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	General Description of Major Categories	Work	Development Account Number	Quantity	Total Estimated Costs		Total Actual Costs		Status of Proposed Work 2
					Original	Revised 1	Funds Obligated 2	Funds Expended 2	
IL.18-4 Sunset Heights	Repair/Replace Water & Sewer Pipes		1460	1	278,334.00				
	Renovate Kitchens		1460	1	40,000.00				
	Renovate Bathrooms		1460	1	40,000.00				
	New Domestic Hot Water System		1460	1	94,563.00				
						452,897.00			
	Relocation		1495.1	1	5,000.00				

Signature of Executive Director

Date

Signature of Public Housing Director

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	General Description of Major Categories	Work	Development Account Number	Quantity	Total Estimated Costs		Total Actual Costs		Status of Proposed Work 2
					Original	Revised 1	Funds Obligated 2	Funds Expended 2	
IL.18-6 Spencer Towers	Repair Exterior Stair Concrete		1450	1	20,000.00				
	Replace Air Return Fans		1460	1	37,230.00				
	Relocation		1495.1	1	55,000.00				
	Redevelopment		1498	1	10,000.00				

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	General Description of Major Categories	Work	Development Account Number	Quantity	Total Estimated Costs		Total Actual Costs		Status of Proposed Work 2
					Original	Revised 1	Funds Obligated 2	Funds Expended 2	
2007	Operations		1406	1	93,167.00				
	PHA-Wide Management Impr.		1408						
	Staff Training		1408	1	23,000.00				
	Resident Training		1408	1	2,000.00				
	Security		1408	1	10,000.00				
	CPU Software upgrade/training		1408	1	5,000.00				
	Studies/Plans		1408	1	5,000.00				
	Strategies for 5 year Plan		1408	1	10,000.00				
						55,000.00			
	Administration		1410						
	Modernization Salaries/Wages		1410	1	79,000.00				
	Modernization Employee Benefits		1410	1	13,400.00				
	Modernization Publications		1410	1	167.00				
	Modernization Advertising		1410	1	400.00				
	Modernization Legal Fees		1410	1	200.00				
						93,167.00			
	PHA-Wide Architectural Fees.		1430	1	50,000.00				
PHA-Wide Non-dwelling Equipment		1475							
Maintenance Equipment		1475	1	20,000.00					
Computer Hardware		1475	1	5,000.00					
					25,000.00				

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part III: Implementation Schedule**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates 2
	Original	Revised 1	Actual 2	Original	Revised 1	Actual 2	
501-07	9/30/2009			9/30/2011			

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Rock Island Housing Authority	Comprehensive Grant Number IL06P018502-03	FFY of Grant Approval 2003
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 6/30/04
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 20)				
3	1408 Management Improvements	43,316			
4	1410 Administration	21,658			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Non-expendable				
12	1470 Non-dwelling Structures	50,000			
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development	101,609			
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant (Sum of lines 2-19)	\$216,583			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work ²
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
2003	PHA WIDE ADMINISTRATION (Maximum of 10% of CGP Total)							
	Modernization Home Ownership Assistance	1410		21,658				
	TOTAL FOR 1410, ADMINISTRATION COSTS			21,658	0			
	MOD USED FOR DEVELOPMENT	1498		101,609				
	PHA WIDE ARCHITECT/ENG FEES	1430		0				
	CONTINGENCY	1508						
	MAINTENANCE SHED	1470		50,000				
	GRANT TOTAL			\$216,583				

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part III: Implementation Schedule**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates ²
	Original	Revised ¹	Actual ²	Original	Revised ¹	Actual ²	
IL018-2 Rock Island Manor	2/13/2006			2/13/2008			
IL081-3 Lincoln Homes	2/13/2006			2/13/2008			
IL018-4 Sunset Heights	2/13/2006			2/13/2008			
IL018-5 Valley Homes	2/13/2006			2/13/2008			
IL018-6 Spencer Towers	2/13/2006			2/13/2008			
IL018-7 Scattered Sites	2/13/2006			2/13/2008			
IL018-9 Warehouse	2/13/2006			2/13/2008			
Management Improvement	2/13/2006			2/13/2008			

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06R018501-06	FFY of Grant Approval 2006
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvements	0	0	0	0
4	1410 Administration	0	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	0	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	0	0	0	0
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	13,903	13,903	219	219
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$13,903	\$13,903	\$219	\$219
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

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Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R501-06	Development Activities	1499	L/S	13,903	0			
				13,903	0			
	Sub-total account 1499			13,903	0			
	Total for IL.18-2, Rock Island Manor			13,903	0			
Signature of Executive Director & Date:				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:				
Susan Anderson				X				

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL018R501-06	07/17/2008			07/17/2010			
Signature of Executive Director & Date				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Rock Island Housing Authority	Comprehensive Grant Number IL06R018501-07	FFY of Grant Approval 2007
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 20)				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Non-expendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development	170,533			
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant (Sum of lines 2-19)	\$170,533			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director _____	Date _____	Signature of Public Housing Director _____	Date _____
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work ²
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R50 1-07	Development Activities	1498	L/S	170,533				

Signature of Executive Director

Date

Signature of Public Housing Director

Date

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part III: Implementation Schedule**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates ²
	Original	Revised ¹	Actual ²	Original	Revised ¹	Actual ²	
IL018R50 1-07	09/30/2009			09/30/2011			

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06R018502-04	FFY of Grant Approval 2004
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvements	0	0	0	0
4	1410 Administration	0	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	0	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	0	0	0	0
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	146,697	146,697	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$146,697	\$146,697	\$0	\$0
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report .

Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R502-04	Development Activities	1499	L/S	146,697	0			
				146,697	0			
	Sub-total account 1499			146,697	0			
	Total for IL.18-2, Rock Island Manor			146,697	0			

Signature of Executive Director & Date:

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL018R502-04	10/28/2009			10/28/2011			
Signature of Executive Director & Date				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06R018502-05	FFY of Grant Approval 2005
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvements	0	0	0	0
4	1410 Administration	0	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	0	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	0	0	0	0
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	103,386	103,386	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$103,386	\$103,386	\$0	\$0
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director _____ Date _____

Signature of Public Housing Director _____ Date _____

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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R502-05	Development Activities	1499	L/S	103,386	0			
				103,386	0			
	Sub-total account 1499			103,386	0			
	Total for IL.18-2, Rock Island Manor			103,386	0			

Signature of Executive Director & Date:

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL018R502-05	10/28/2009			10/28/2011			
Signature of Executive Director & Date				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Housing Authority of the City of Rock Island	Comprehensive Grant Number IL06P018502-06	FFY of Grant Approval 2006
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- Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvements	0	0	0	0
4	1410 Administration	0	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	0	0	0	0
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	0	0	0	0
11	1465.1 Dwelling Equipment—Non-expendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	88,472	88,472	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant (Sum of lines 2-19)	\$88,472	\$88,472	\$0	\$0
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amount of line 20 Related to Security	0	0	0	0
24	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
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Annual Statement/Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part II: Supporting Pages

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No.2577-0157(exp. 7/31/98)

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R502-06	Development Activities	1499	L/S	88,472	0			
				88,472	0			
	Sub-total account 1499			88,472	0			
	Total for IL.18-2, Rock Island Manor			88,472	0			

Signature of Executive Director & Date:

Susan Anderson

Signature of Public Housing Director/Office of Native American Programs Administrator & Date:

X

1 To be completed for the Performance and Evaluation Report of a Revised Annual Statement

2 To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) Part III: Implementation Schedule

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577
 (exp 7/31/98)

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reason for Revised Target Dates - 2
	Original	Revised - 1	Actual - 2	Original	Revised - 1	Actual - 2	
IL018R502-06	10/28/2009			10/28/2011			
Signature of Executive Director & Date				Signature of Public Housing Director/Office of Native American Programs Administrator & Date:			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part I: Summary**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No. 2577-0157
 (exp. 3/31/2010)

HA Name Rock Island Housing Authority	Comprehensive Grant Number IL06R018502-07	FFY of Grant Approval 2007
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Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement/Revision Number _____
 Performance and Evaluation Report for Program Year Ending 3/31/07
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost ²	
		Original	Revised ¹	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not exceed 10% of line 20)				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Non-expendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1498 Mod Used for Development	97,697			
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant (Sum of lines 2-19)	\$97,697			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security				
24	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	Date	Signature of Public Housing Director	Date
---------------------------------	------	--------------------------------------	------

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² To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work ²
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL018R50 2-07	Development Activities	1498	L/S	97,697				

Signature of Executive Director

Date

Signature of Public Housing Director

Date

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Annual Statement / Performance and Evaluation Report
 Comprehensive Grant Program (CGP) **Part III: Implementation Schedule**

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates ²
	Original	Revised ¹	Actual ²	Original	Revised ¹	Actual ²	
IL018R50 2-07	09/30/2009			09/30/2011			

Signature of Executive Director

Date

Signature of Public Housing Director

Date

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report .



Rock Island Housing Authority

227 21st Street, Rock Island, IL 61201

Telephone: (309) 788-0825

Facsimile: (309) 788-8610

July 17, 2007

Ms. Sue Kimbrough
U.S. Department of Housing &
Urban Development
Office of Public Housing – Room 2401
77 W. Jackson Boulevard
Chicago, IL 60604-3507

Dear Ms. Kimbrough,

Enclosed please find original copies of the statements and certifications required for submission along with Rock Island Housing Authority's Agency Plan for Fiscal Year 2007. I have also included a copy of the Public Notice that was published in the local newspaper.

Included in this packet are:

- HUD-50070: Certification for a Drug-Free Workplace
 - HUD-50071: Certification of Payments to Influence Federal Transactions
 - HUD-LLL: Disclosure of Lobbying Activities
 - HUD-50075: Certification of Consistency with the Consolidated Plan
 - HUD-50077: Standard 5-Year/Annual PHA Plan PHA Certifications of Compliance
- Copy of Public Notice for recommended changes to the Agency Plan, published April 2-8, 2007

Sincerely,

A handwritten signature in blue ink, appearing to read 'Mary K. Czerwinski', is written over the typed name.

Mary K. Czerwinski
Executive Assistant

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Rock Island Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund, Replacement Housing Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

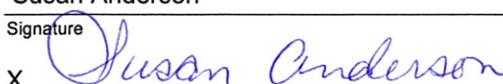
2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

- 1. IL018 Main Office, 227 21st Street, Rock Island, Rock Island County, IL, 61201
- 2. IL018002 Manor Homes, 2601 7th Street, Rock Island, Rock Island County, IL, 61201
- 3. IL018003 Lincoln Homes, 801 6th Avenue, Rock Island, Rock Island County, IL, 61201
- 4. IL018004 Sunset Heights, 3130 9th Street, Rock Island, Rock Island County, IL, 61201
- 5. IL018006 Spencer Towers, 111 20th Street, Rock Island, Rock Island County, IL, 61201
- 6. IL018 Hickman Center, 815 9th Street, Rock Island, Rock Island County, IL, 61201

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Susan Anderson	Title Executive Director
Signature 	Date 7/12/07

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Rock Island Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund, Replacement Housing Fund

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Susan Anderson

Title

Executive Director

Signature

Date (mm/dd/yyyy)

7/12/07

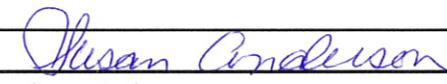
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

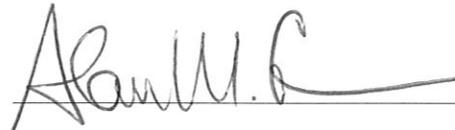
0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Rock Island Housing Authority 227 21st Street Rock Island, IL 61201 Congressional District, if known: Illinois 17, 36	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Department of Housing & Urban Development	7. Federal Program Name/Description: Capital Fund/Replacement Housing Fund CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> None - not applicable	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Susan Anderson</u> Title: <u>Executive Director</u> Telephone No.: <u>309-788-0825</u> Date: <u>7/12/07</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**Certification by State or Local Official of PHA Plans Consistency with
the Consolidated Plan**

I, Alan M. Carmen the Planning & Redevelopment Administrator certify
that the Five Year and Annual PHA Plan of the Rock Island Housing Authority is
consistent with the Consolidated Plan of the City of Rock Island prepared
pursuant to 24 CFR Part 91.

 7/11/07

Signed / Dated by Appropriate State or Local Official

Standard PHA Plan PHA Certifications of Compliance

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the *Standard Annual, Standard 5-Year/Annual, and Streamlined 5-Year/Annual PHA Plans*

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the standard Annual, standard 5-Year/Annual or streamlined 5-Year/Annual PHA Plan for the PHA fiscal year beginning 10/1/2007, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
7. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's MTCS in an accurate, complete and timely manner (as specified in PIH Notice 99-2);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA has submitted with the Plan a certification with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
12. The PHA has submitted with the Plan a certification with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
15. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
17. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
19. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.

Housing Authority of the City of Rock Island

 PHA Name

IL018

 PHA Number/HA Code

- ___ Standard PHA Plan for Fiscal Year: 20___
- Standard Five-Year PHA Plan for Fiscal Years 2005 - 2009, including Annual Plan for FY 2007
- ___ Streamlined Five-Year PHA Plan for Fiscal Years 20___ - 20___, including Annual Plan for FY 20___

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Beth Y. Mahlo	Title Chairperson, Board of Commissioners
Signature X <i>Beth Y. Mahlo</i>	Date 7/17/07

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NOTICE

The recommended changes to the Agency Plan of the Rock Island Housing Authority are on display for public review at the Authority's office, 227 21st St., Rock Island, IL, during normal business hours, Monday through Friday, 8:30am to 5:00pm. A public meeting will be held on Monday, May 22, 2007, from 4:00pm to 5:00pm at the Authority's offices for the public to review and submit comments on the Agency Plan.
DATED this 2nd day of April, 2007.
2-3-4-5-6-7-8

CERTIFICATE OF PUBLICATION

The undersigned, the MOLINE DISPATCH PUBLISHING COMPANY L.L.C., hereby certifies that it is a Limited Liability Company, existing and doing business under the laws of the State of Delaware, licensed to do business in the State of Illinois, and states that it is publisher of THE DISPATCH and THE ROCK ISLAND ARGUS daily, public, secular newspapers of general circulation printed and published daily in the City of Moline, County of Rock Island, State of Illinois, and further certifies that a notice whereof the annexed printed notice, a true copy, was printed and published in said newspapers,

7 time(s): that said notice was so printed and published in said newspaper 4 time(s) in each week for 2 successive week(s), the date of the first said newspaper containing said notice being the 2nd day of APR A.D. 2007 and the last said newspaper containing said notice being the 8th day of APR A.D. 2007

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MOLINE DISPATCH PUBLISHING COMPANY L.L.C.

By _____

Moline, Illinois 04/11/2007

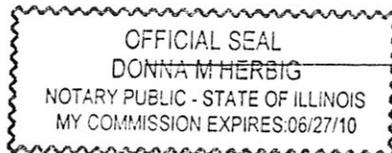
STATE OF ILLINOIS }
ROCK ISLAND COUNTY } SS.

J. Scott Aswege being first duly sworn on his oath, says that he is the Business Manager of the MOLINE DISPATCH PUBLISHING COMPANY L.L.C. and the facts set forth in the foregoing certificate of publication are true and that the annexed notice was published as therein specified, and that said THE DISPATCH and THE ROCK ISLAND ARGUS have been regularly published in the City of Moline, County of Rock Island, and State of Illinois, for more than one year prior to the date of the first publication of said notice.

Subscribed and sworn to before me this 11 day of APR 2007

Account/Ad# 100700 459475

Account Name RI HOUSING AUTHORITY



Notary Public