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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2007

Carrollton Housing Authority

NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES

PHA Plan
Agency Identification

PHA Name: Carrollton Housing Authority **PHA Number:** GA 116

PHA Fiscal Year Beginning: 07/2007

PHA Programs Administered:

☒**Public Housing and Section 8** ☐**Section 8 Only** ☐**Public Housing Only**

Number of public housing units: 280 Number of S8 units: Number of public housing units:
Number of S8 units: 104

☐PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

☒ Main administrative office of the PHA
☐ PHA development management offices
☐ PHA local offices
☐ Main administrative office of the local government
☐ Main administrative office of the County government
☐ Main administrative office of the State government
☐ Public library
☐ PHA website
☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

☒ Main business office of the PHA

☐ PHA development management offices

☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

☒ The PHA's mission is: (state mission here)

The mission of the Carrollton Housing Authority is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination by being a leading provider of affordable housing for very-low, low, and moderate-income persons in Carrollton through effective management, wise stewardship of public funds and resident partnerships.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS**. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers:
- ☒ Reduce public housing vacancies:
- ☐ Leverage private or other public funds to create additional housing opportunities:
- ☒ Acquire or build units or developments
- ☐ Other (list below)

☒ PHA Goal: Improve the quality of assisted housing

Objectives:

- ☒ Improve public housing management: (PHAS score)
- ☐ Improve voucher management: (SEMAP score)
- ☒ Increase customer satisfaction:
- ☒ Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units:
- ☒ Demolish or dispose of obsolete public housing:

  A Demolition Application will be submitted for Davis Homes (GA 116-2).

- ☐ Provide replacement public housing:
- ☒ Provide replacement vouchers:
- ☒ Other: (list below)

  - Regain High Performing status on the Public Housing Assessment System (PHAS)
  - Maintain High Performing status on the Section 8 Management Assessment System (SEMAP)
  - Continue efforts to maintain a high level of customer satisfaction

☒ PHA Goal: Increase assisted housing choices

Objectives:

- ☐ Provide voucher mobility counseling:
- ☐ Conduct outreach efforts to potential voucher landlords
- ☐ Increase voucher payment standards
- ☒ Implement voucher homeownership program:
- ☒ Implement public housing or other homeownership programs:
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☒ Other: (list below)

  - Work with Resident Council to encourage Neighborhood Watch Programs

  - Request the Carrollton Police Department to establish a Community Police Officer

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☒ Increase the number and percentage of employed persons in assisted families:
- ☒ Provide or attract supportive services to improve assistance recipients' employability:
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☒ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:

- ☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☒ Other: (list below)

  - Plan to apply for additional funding through the SuperNOFA

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:
Select which type of Annual Plan the PHA will submit.

☐ **Standard Plan**
☒ **High Performing PHA**
☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan
[24 CFR Part 903.7 9 (r)]
Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Agency Plan is a comprehensive guide to the Carrollton Housing Authority's policies, programs, operations and strategies for meeting local housing needs and goals. There are two parts to the Plan: the Five-Year Plan, which the Authority submits to the Department of Housing and Urban Development (HUD) once every fifth fiscal year, and the Annual Plan, which is submitted to HUD every year. This document represents the Authority's FY2007 Annual Update to the FY2005-2009 Five-Year Agency Plan.

Since the Agency Plan serves as the annual application for the Capital Fund Program (CFP), the Authority has submitted an Annual Statement and Five-Year Plan for the FY2007 CFP based on FY2006 funding amount. The Annual Statement and Five-Year Plan can be found in this binder under Tab 2 (ga116a01).

A list of all information included in the Plan is located on the following pages.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ **Attachment A:** Deconcentration Analysis
- ☒ FY 2007 Capital Fund Program Annual Statement **(ga116a01)**
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- ☒ **Attachment B:** Brief Statement of Progress in Meeting the 5-Year Plan Mission and Goals
- ☒ **Attachment C:** Resident Membership of the PHA Governing Board
- ☒ **Attachment D:** Membership of the Resident Advisory Board
- ☒ **Attachment E:** Criteria for Substantial Deviation and Significant Amendments
- ☒ **Attachment F:** Resident Service and Satisfaction Survey Follow-Up Plan
- ☒ **Attachment G:** Elderly Service Coordinator Grant
- ☐ Community Service Description of Implementation
- ☐ Information on Pet Policy
- ☒ **Attachment H:** Section 8 Homeownership Capacity Statement, if applicable
- ☒ **Attachment I:** Violence Against Women Act
- ☒ **Attachment J:** Resident Advisory Board Comments
- ☐ Description of Homeownership Programs, if applicable

Optional Attachments:

- ☐ PHA Management Organizational Chart
- ☒ FY 2007 Capital Fund Program 5 Year Action Plan **(ga116b01)**
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☐ Other (List below, providing each attachment name)

- ☒ FY2006 CFP Performance and Evaluation Report **(ga116c01)**
- ☒ FY2005 CFP Performance and Evaluation Report **(ga116d01)**
- ☒ FY2004 CFP Performance and Evaluation Report **(ga116e01)**

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI))) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/*99 Quality Housing and Work Responsibility Act Initial Guidance; Notice* and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents ☒ check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development ☒ check here f included in the public housing A & O Policy	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	Section 8 rent determination (payment standard) policies ☒ check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures ☒ check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures ☒ check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program ☒ check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	**Supporting Document**	**Applicable Plan Component**
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1,246	5	4	3	3	3	3
Income >30% but <=50% of AMI	404	4	4	3	3	3	3
Income >50% but <80% of AMI	134	3	3	3	3	3	3
Elderly	363	4	3	4	4	3	4
Families with Disabilities	472	4	4	4	5	3	3
White	912	3	3	3	3	3	3
Black	755	3	3	3	3	3	3
Hispanic	125	3	3	3	3	3	3
Asian	10	3	3	3	3	3	3

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☐ Consolidated Plan of the Jurisdiction/s
Indicate year:

☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset

☐ American Housing Survey data
Indicate year:

☐ Other housing market study
Indicate year:

☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s**. Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☐ Section 8 tenant-based assistance ☒ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	43		
Extremely low income (<=30% AMI)	37	86.0%	
Very low income (>30% but <=50% AMI)	6	14.0%	
Low income (>50% but <80% AMI)	0	0.0%	
Families with children	22	51.2%	
Elderly families	4	9.3%	
Families with Disabilities	5	11.6%	
Black	20	46.5%	
White	21	48.8%	
Hispanic	2	4.7%	
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	21	48.8%	
2 BR	20	46.5%	
3 BR	2	4.7%	
4 BR	0	0.0%	
5 BR	0	0.0%	
5+ BR	0	0.0%	
Is the waiting list closed (select one)? ☒ No ☐ Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one) ☒ Section 8 tenant-based assistance ☐ Public Housing ☐ Combined Section 8 and Public Housing ☐ Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	17		n/a
Extremely low income <=30% AMI	13	76.5%	
Very low income (>30% but <=50% AMI)	4	23.5%	
Low income (>50% but <80% AMI)	0	0.0%	
Families with children	12	70.6%	
Elderly families	0	0.0%	
Families with Disabilities	0	0.0%	
Black	14	82.4%	
White	3	17.6%	
Hispanic	0	0.0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	n/a	n/a	n/a
2 BR	n/a	n/a	n/a
3 BR	n/a	n/a	n/a
4 BR	n/a	n/a	n/a
5 BR	n/a	n/a	n/a
Is the waiting list closed (select one)? ☐ No ☒ Yes If yes: How long has it been closed (# of months)? 15 months Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☒ Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI
Select all that apply

- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☐ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI
Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☐ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:
Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:
Select all that apply

☐ Seek designation of public housing for families with disabilities
☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
☒ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
☒ Affirmatively market to local non-profit agencies that assist families with disabilities
☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:
Select if applicable

☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing
Select all that apply

☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☒ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. **Federal Grants (FY 2006 grants)**		
a) Public Housing Operating Fund	$638,438	
b) Public Housing Capital Fund	$429,700	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	$834,451	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
Neighborhood Network	$150,000	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
FY2006 CFP (As of 12/31/06)	$429,700	Capital Improvements
3. Public Housing Dwelling Rental Income	$368,280	PH Operations
4. Other income (list below)		
Other Income	$113,900	PH Operations
Excess Utilities	$12,000	PH Operations
Interest	$10,500	PH Operations
Non-Dwelling rental Income	$8,400	PH Operations
5. Non-federal sources (list below)		
Total Resources	$2,995,369	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

☐ When families are within a certain number of being offered a unit: (state number)

☐ When families are within a certain time of being offered a unit: (state time)

☒ Other: (describe)

At the time application is received.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

☒ Criminal or Drug-related activity

☒ Rental history

☒ Housekeeping

☐ Other (describe)

c. ☐ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☐ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

☒ Community-wide list

☐ Sub-jurisdictional lists

☐ Site-based waiting lists

☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

☒ PHA main administrative office
☐ PHA development site management office
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

☐ PHA main administrative office
☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

☐ One
☐ Two
☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

☒ Emergencies
☐ Overhoused
☒ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)

Elderly and/or disabled singles over other singles

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

3 Date and Time

Former Federal preferences:

1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒2 Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒2 Other preference(s) (list below)

Elderly and/or disabled singles over other singles

4. Relationship of preferences to income targeting requirements:

☐ The PHA applies preferences within income tiers

☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

☒ The PHA-resident lease

☒ The PHA's Admissions and (Continued) Occupancy policy

☒ PHA briefing seminars or written materials

☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

☐ At an annual reexamination and lease renewal

☒ Any time family composition changes

☐ At family request for revision

☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☐ Yes ☒ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☒ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

☐ Adoption of site-based waiting lists
If selected, list targeted developments below:

☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☐ Yes ☒ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

☐ Additional affirmative marketing
☐ Actions to improve the marketability of certain developments
☐ Adoption or adjustment of ceiling rents for certain developments
☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

☒ Not applicable: results of analysis did not indicate a need for such efforts
☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

☒ Not applicable: results of analysis did not indicate a need for such efforts
☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

☒ Criminal or drug-related activity only to the extent required by law or regulation

☐ Criminal and drug-related activity, more extensively than required by law or regulation

☐ More general screening than criminal and drug-related activity (list factors below)

☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☐ Criminal or drug-related activity

☒ Other (describe below)

Eligibility only

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☒ None

☐ Federal public housing

☐ Federal moderate rehabilitation

☐ Federal project-based certificate program

☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

☒ PHA main administrative office
☐ Other (list below)

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Verifiable documentation that the participant has attempted to find housing

(4) Admissions Preferences

a. Income targeting

☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☐ Substandard housing
☐ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)

Elderly and/or disabled singles over other singles

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

3 Date and Time

Former Federal preferences

1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒2 Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒2 Other preference(s) (list below)

Elderly and/or disabled singles over other singles

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

☒ Date and time of application
☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

☒ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

☒ The Section 8 Administrative Plan
☒ Briefing sessions and written materials
☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

☒ Through published notices
☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

☐ $0
☐ $1-$25
☒ $26-$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below**:**

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

☐ For household heads
☐ For other family members
☐ For transportation expenses
☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☐ Yes for all developments
☐ Yes but only for some developments
☒ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☐ For all developments
☐ For all general occupancy developments (not elderly or disabled or elderly only)
☐ For specified general occupancy developments
☐ For certain parts of developments; e.g., the high-rise portion
☐ For certain size units; e.g., larger bedroom sizes
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

☐ Market comparability study
☐ Fair market rents (FMR)
☐ 95th percentile rents
☐ 75 percent of operating costs
☐ 100 percent of operating costs for general occupancy (family) developments
☐ Operating costs plus debt service
☐ The "rental value" of the unit
☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

☐ Never
☐ At family option
☐ Any time the family experiences an income increase
☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
☒ Other (list below)

The loss or addition of an income earner

The loss or addition of an income source

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

☐ The section 8 rent reasonableness study of comparable housing
☐ Survey of rents listed in local newspaper
☒ Survey of similar unassisted units in the neighborhood
☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies**.**

a. What is the PHA's payment standard? (select the category that best describes your standard)

☐ At or above 90% but below100% of FMR
☒ 100% of FMR
☐ Above 100% but at or below 110% of FMR
☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ The PHA has chosen to serve additional families by lowering the payment standard
☐ Reflects market or submarket
☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ Reflects market or submarket
☐ To increase housing options for families
☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

☒ Annually
☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

☒ Success rates of assisted families
☒ Rent burdens of assisted families
☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

☐ $0
☐ $1-$25
☒ $26-$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

The Carrollton Housing Authority is a High Performing PHA and therefore, is not required to complete this section of the Agency Plan.

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

☐ An organization chart showing the PHA's management structure and organization is attached.
☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

The Carrollton Housing Authority is a High Performing PHA and therefore, is not required to complete this section of the Agency Plan.

A. Public Housing

1. ☐ Yes ☐ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

☐ PHA main administrative office
☐ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☐ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

☐ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment ga116a01.

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment ga116a01.

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
   - ☐ Revitalization Plan under development
   - ☐ Revitalization Plan submitted, pending approval
   - ☐ Revitalization Plan approved
   - ☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Davis Homes 1b. Development (project) number: GA116-2
2. Activity type: Demolition ☒ Disposition ☐
3. Application status (select one) Approved ☐ Submitted, pending approval ☐ Planned application ☒
4. Date application approved, submitted, or planned for submission: (05/01/07)
5. Number of units affected: 42 6. Coverage of action (select one) ☒ Part of the development ☐ Total development
7. Timeline for activity: a. Actual or projected start date of activity: 09/30/07 b. Projected end date of activity: 12/31/07

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly ☐ Occupancy by families with disabilities ☐ Occupancy by only elderly families and families with disabilities ☐
3. Application status (select one) Approved; included in the PHA's Designation Plan ☐ Submitted, pending approval ☐ Planned application ☐
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) ☐ New Designation Plan ☐ Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) ☐ Part of the development ☐ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? ☐ Assessment underway ☐ Assessment results submitted to HUD ☐ Assessment results approved by HUD (if marked, proceed to next question) ☐ Other (explain below)
3. ☐ Yes ☐ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) ☐ Conversion Plan in development ☐ Conversion Plan submitted to HUD on: (DD/MM/YYYY) ☐ Conversion Plan approved by HUD on: (DD/MM/YYYY) ☐ Activities pursuant to HUD-approved Conversion Plan underway

5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)

- ☐ Units addressed in a pending or approved demolition application (date submitted or approved:
- ☐ Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:)
- ☐ Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:)
- ☐ Requirements no longer applicable: vacancy rates are less than 10 percent
- ☐ Requirements no longer applicable: site now has less than 300 units
- ☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If "No", skip to component 11B; if "yes", complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 12. If "No", complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: ☐ HOPE I ☐ 5(h) ☐ Turnkey III ☐ Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) ☐ Approved; included in the PHA's Homeownership Plan/Program ☐ Submitted, pending approval ☐ Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) ☐ Part of the development ☐ Total development

B. Section 8 Tenant Based Assistance

1. ☒ Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☒ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

☒ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

☐ Yes ☒ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

The Carrollton Housing Authority is a High Performing PHA and therefore, is not required to complete this section of the Agency Plan.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☐ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

☐ Client referrals
☐ Information sharing regarding mutual clients (for rent determinations and otherwise)
☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
☐ Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

☐ Public housing rent determination policies
☐ Public housing admissions policies
☐ Section 8 admissions policies
☐ Preference in admission to section 8 for certain public housing families
☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
☐ Preference/eligibility for public housing homeownership option participation
☐ Preference/eligibility for section 8 homeownership option participation
☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

☐ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2006 Estimate)	Actual Number of Participants (As of: 12/31/06)
Public Housing	0	0
Section 8	0	0

b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
☒ Informing residents of new policy on admission and reexamination
☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

The Carrollton Housing Authority is a High Performing PHA and therefore, is not required to complete this section of the Agency Plan.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☐ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☐ Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☐ Resident reports
- ☐ PHA employee reports
- ☐ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
☐ Crime Prevention Through Environmental Design
☐ Activities targeted to at-risk youth, adults, or seniors
☐ Volunteer Resident Patrol/Block Watchers Program
☐ Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
☐ Police provide crime data to housing authority staff for analysis and action
☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
☐ Police regularly testify in and otherwise support eviction cases
☐ Police regularly meet with the PHA management and residents
☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
☐ Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

The Public Housing Drug Elimination Program is no longer in existence.

☐ Yes ☒ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

☐ Yes ☒ No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?

☐ Yes ☒ No: This PHDEP Plan is an Attachment. (Attachment Filename: ___)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U S.C. 1437c(h))? (If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain?____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

The Carrollton Housing Authority is a High Performing PHA and therefore, is not required to complete this section of the Agency Plan.

1. ☐ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)

☐ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)

3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the**optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

☒ Attached at Attachment J
☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

☐ Considered comments, but determined that no changes to the PHA Plan were necessary.

☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

☐ Candidates were nominated by resident and assisted family organizations

☐ Candidates could be nominated by any adult recipient of PHA assistance

☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot

☐ Other: (describe)

b. Eligible candidates: (select one)

☐ Any recipient of PHA assistance

☐ Any head of household receiving PHA assistance

☐ Any adult recipient of PHA assistance

☐ Any adult member of a resident or assisted family organization

☐ Other (list)

c. Eligible voters: (select all that apply)

☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)

☐ Representatives of all PHA resident and assisted family organizations

☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (State of Georgia)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

☐ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.

☐ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.

☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.

☐ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The State of Georgia Consolidated Plan supports the Carrollton Housing Authority's Agency Plan with the following Strategic Plan Priority:

> To increase the number of Georgia's low and moderate income households who have obtained affordable, rental housing free of overcrowded and structurally substandard conditions.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment A

Deconcentration Policy

The Housing Authority of the City of Carrollton will strive to create mixed-income communities and lessen the concentration of very-low income families within the Housing Authority's public housing developments through admissions policies designed to bring in higher income tenants into lower income developments and lower income tenants into higher income developments. This policy shall not be construed to impose or require any specific income or racial quotas for any public housing development owned by the Carrollton Housing Authority.

The Housing Authority of the City of Carrollton performed a review of all covered developments to determine if there are any that would be covered by the Deconcentration Rule. The results are as follows:

Development	Average Income	Authority Average Income	Percentage
GA116-1	$12,223	$10,211	119.7%
GA116-2	$9,209	$10,211	90.2%
GA116-3	$9,390	$10,211	92.0%
GA116-4	$10,897	$10,211	106.7%
GA116-5	$9,016	$10,211	88.3%

The Deconcentration Rule states that all covered developments average income should fall between 85% and 115% of the Authority-wide average income. As shown by the table above, only one development is out of the range.

Component 3, (6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. ☒ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	**Number of Units**	**Explanation (if any) [see step 4 at §903.2(c)(1)((iv)]**	**Deconcentration policy (if no explanation) [see step 5 at§903.2(c)(1)(v)]**
Griffin Homes	64	Average income is well below 30% of the Area Median Income	

Attachment B

Brief Statement of Progress in Meeting the 5-Year Plan Mission and Goals

PHA Goal: Expand the supply of assisted housing

- The Housing Authority did not apply for additional Housing Choice Vouchers during the last year but hope to apply as some become available.
- The Authority will continue to work on reducing the length of unit vacancies.

PHA Goal: Improve the quality of assisted housing

- The Authority maintained High Performer status by scoring a 91 on the Public Housing Assessment System.
- The Authority's attained a score of 103 on the Section 8 Management Assessment Program making the Authority a High Performer.
- The Authority did not have to develop a Follow-Up Plan because all sections of the Resident Assessment and Satisfaction Survey were above 75%.
- The Authority continues to prioritize work orders and using subcontractors to complete maintenance jobs which require quick turnaround.
- The Authority is in the process of developing a Demolition Application for Davis Homes and will be submitting it to HUD in the near future.
- The Capital Fund Program will continue to be used to modernize units.

PHA Goal: Increase assisted housing choices

- The Authority continues to provide voucher holders with mobility counseling as needed.
- The Authority is working with the City to offer homeownership opportunities to eligible participants.

PHA Goal: Provide an improved living environment

- The Authority continues to evaluate the need for additional security measures at all developments.

PHA Goal: Promote self-sufficiency and asset development of assisted households

- The Authority has used the Neighborhood Network to provide residents with job coaching.
- The Authority works with a local foundation that provides elderly and/or disabled residents with transportation to and from medical facilities.

PHA Goal: Ensurœqual opportunity and affirmatively further fair housing

- The Authority will continue to modify units in all bedroom sizes to be handicapped accessible as needed.

Attachment C

Resident Membership of the PHA Governing Board

Currently, there is no resident on the PHA Governing Board. The Authority placed a request for any interested residents to apply for the resident Commissioner position but no one replied.

Attachment D

Membership of the Resident Advisory Board

Nancy Cook – President

Jimmy Morris – Vice-President

Lucille Rollet on – Secretary and Treasurer

Nancy Brown - Historian

Mildred Ingle

Louise Brumett

Kathy Eason

Odell Fortner

Mary Ann Harris

Barbara Parks

Glenda Hendrix

Peggy Broome

Attachment E

Criteria for Substantial Deviation and Significant Amendments

A. Substantial Deviation from the 5-year Plan:

A "Substantial Deviation" from the 5-Year Plan is an overall change in the direction of the Authority pertaining to the Authority's Goals and Objectives. This includes changing the Authority's Goals and Objectives.

B. Significant Amendment or Modification to the Annual Plan:

A "Significant Amendment or Modification" to the Annual Plan is a change in a policy or policies pertaining to the operation of the Authority. This includes the following:

- Changes to rent or admissions policies or organization of the waiting list.
- Additions of non-emergency work items over $50,000(items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Attachment F

Resident Service and Satisfaction Survey Follow-Up Plan

Overview

Each year, the Department of Housing and Urban Development sends a survey out to residents of the Housing Authority. The survey asks residents to rate the Housing Authority's performance in certain areas. These areas include Maintenance and Repair, Communication, Safety, Services and Neighborhood Appearance. HUD takes the completed surveys and scores the Housing Authority in each area based on the answers to the questions. If any score in any section falls below 75%, the Authority is required to develop a Follow-Up Plan to address increasing the score. The Housing Authority of the City of Carrollton received the following scores on the FY2006 Resident Assessment Survey.

Section	Score
Maintenance and Repair	95.5%
Communication	77.5%
Safety	85.7%
Services	94.9%
Neighborhood Appearance	77.4%

As a result of this survey, the Housing Authority is not required to develop a Follow-Up Plan.

Attachment G

Elderly Service Coordinator Grant

In previous years, the Carrollton Housing Authority has received funding for an Elderly Service Coordinator position through the Resident Opportunities and Self-Sufficiency (ROSS) Program. Due to changes in the ROSS Program, the Elderly Service Coordinator position will now be funded through the Authority's Operating Subsidy. The Authority has included the position in its' current Calculation of Operating Subsidy which has been submitted to HUD. This document is on file at the Housing Authority's main office.

Attachment H

Section 8 Homeownership Capacity Statement

During the upcoming fiscal year, the Carrollton Housing Authority (CHA) is planning to establish a Section 8 Homeownership Program following the Department of Housing and Urban Development's (HUD) guidelines published under 24 CFR 982.625 – 982.642. The program will be limited to individuals who are holders of Section 8 Housing Choice Vouchers. The maximum number of participants in the Homeownership Program has yet to be determined, but it will most likely be less than twenty-five (25).

The CHA demonstrates its' capacity to administer the Section 8 Homeownership Program through compliance with the following two criteria as stipulated in 24 CFR 982.625 (d)(1), (2)(ii) and (2)(iii).

1) Participants in the Homeownership Program will be required to pay a down payment of three percent (3%), of which at least one percent (1%) will come from the participant's resources.

2) Participants in the CHA's Homeownership Program will obtain mortgage financing for the purchase of their home through lenders who comply with secondary mortgage underwriting requirements (e.g., Fannie Mae, Freddie Mac, etc.) and follow generally accepted private sector underwriting standards. An important component of the CHA Homeownership Program is the fostering of connections with lenders who have the experience and successful track record in meeting secondary mortgage underwriting requirements. These lenders also understand and strongly support their participation in the Section 8 Homeownership Program.

Attachment I

Violence Against Women Act

The Carrollton Housing Authority is striving to fully comply with all requirements of the Violence Against Women Act (VAWA).

First, the Authority will not deny admission to an applicant who has been a victim of domestic violence, dating violence, or stalking. The applicant must comply with all other admission requirements.

Also, the Authority will not terminate the assistance to a victim of domestic violence, dating violence, or stalking based solely on an incident or threat of such activity. The Authority still retains the right to terminate assistance for other criminal activity or good cause.

All information provided by an applicant or tenant regarding VAWA will be held in strict confidence and will not be shared with any other parties, unless required by law.

At this time, the Housing Authority does not intend to put a victim of domestic violence admissions preference in place. The Executive Director will periodically review the need for such preference and may add an admissions preference for victim of domestic violence if a need is determined.

To make sure all applicants are aware of the Violence Against Women Act, the Housing Authority notifies all applicants of the information included in the Act during the application process.

Attachment J

Resident Advisory Board Comments

Residents had concerns about the renovations being completed even if they did not want them and where they would be relocated during these renovations. Ms. Morris responded that renovations are completed to improve the environment in which the residents live and to help reduce the costs of heating and electric bills. The Authority will attempt to relocate residents within their current development, if available. If no vacancies are available, they will be relocated to a safe environment within another development and can return to their previous unit if they still qualify for that unit type.

Several tenants within the Elder Circle Community and Ingram Homes Community had concerns if during renovations would they have a tub/shower combo. Ms. Morris responded that the renovations would be completed based on the available size of the apartments and cost of the renovations.

Residents did agree that they would prefer to have tile installed instead of carpet during these renovations.

Residents had concerns about the mailbox areas after renovations. At this time, some residents have a mail slot in their front door. Ms. Morris responded that is an issue that would have to be discussed with the Postal Service and that they would make sure that the resident's safety and privacy would be the top priority.

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		**Activity Description**						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III *Component 7a*	Development Activities *Component 7b*	Demolition / disposition *Component 8*	Designated housing *Component 9*	Conversion *Component 10*	Home-ownership *Component 11a*	Other (describe) *Component 17*

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of Carrollton	Grant Type and Number Capital Fund Program Grant No: GA06P116-501-07 Replacement Housing Factor Grant No:	Federal FY of Grant: 2007

■ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)

☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00			
2	1406 Operations	85,940.00			
3	1408 Management Improvements	42,970.00			
4	1410 Administration	42,970.00			
5	1411 Audit	0.00			
6	1415 Liquidated Damages	0.00			
7	1430 Fees and Costs	32,500.00			
8	1440 Site Acquisition	0.00			
9	1450 Site Improvement	5,000.00			
10	1460 Dwelling Structures	208,020.00			
11	1465.1 Dwelling Equipment—Nonexpendable	5,000.00			
12	1470 Nondwelling Structures	0.00			
13	1475 Nondwelling Equipment	5,000.00			
14	1485 Demolition	0.00			
15	1490 Replacement Reserve	0.00			
16	1492 Moving to Work Demonstration	0.00			
17	1495.1 Relocation Costs	2,300.00			
18	1499 Development Activities	0.00			
19	1501 Collaterization or Debt Service	0.00			
20	1502 Contingency	0.00			
21	Amount of Annual Grant: (sum of lines 2 - 20)	**$429,700.00**			
22	Amount of line 21 Related to LBP Activities	0.00			
23	Amount of line 21 Related to Section 504 compliance	0.00			
24	Amount of line 21 Related to Security - Soft Costs	0.00			
25	Amount of line 21 Related to Security - Hard Costs	0.00			
26	Amount of line 21 Related to Energy Conservation Measures	0.00			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Replace sidewalks, landscaping, tree trimming and other miscellaneous site work as required	1450	LS	5,000.00				
	SUBTOTAL 1450 ACCOUNT			**$5,000.00**				
GA 116-2 Davis	Continue comprehensive modernization of 4 remaining Dwelling Units after demolition. Should be VERY nice looking. Supplements 501-06 funds.	1460	4	66,162.00				
GA 116-1 Griffin	Continue to improve "street appeal" by adding hip roof over the front and rear porches. Work may require existing porch roof removal, framing, columns, and/or soffit/fascia. Reroof all structures not recently completed. Install gutters, downspouts, flumes and gutter guards on all units (including recently reroofed units). Additional funding will be required to complete.	1460	LS	131,858.00				
GA 116-3 Ingram	Abate and replace existing asbestos floor tile in 9 Dwelling Units.	1460	LS	10,000.00				
	SUBTOTAL 1460 ACCOUNT			**$208,020.00**				
Dwelling Equip.	Install new ranges and refrigerators (each).	1465.1	10	5,000.00				
	SUBTOTAL 1465.1 ACCOUNT			**$5,000.00**				
PHA-Wide Non-Dwell. Equipment	Replacement of Major Office Equipment	1475	L.S.	5,000.00				
	SUBTOTAL 1475 ACCOUNT			**$5,000.00**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
Operations	Supplement Operating Funds	1406	LS	85,940.00				
	SUBTOTAL 1406 ACCOUNT			**$85,940.00**				
PHA-Wide Mgmt. Improv.	Salary and Benefits for Part-time Housing Counselor, PT Domestic Violence Counselor and Reimburse City Police Dept. for 1 Officer for Public Housing.	1408	L.S.	42,970.00				
	SUBTOTAL 1408 ACCOUNT - SOFT COSTS			**$42,970.00**				
PHA-WIDE Admin. Costs	Administrative Salaries & Benefits	1410	L.S.	42,970.00				
	SUBTOTAL 1410 ACCOUNT			**$42,970.00**				
PHA-WIDE Fees and Costs	A/E Services (fee to be negotiated)	1430.1	L.S.	30,000.00				
	Consulting services for Budget Revisions and Progress and Evaluation Reports	1430.2	L.S.	2,500.00				
	SUBTOTAL 1430 ACCOUNT			**$32,500.00**				
Relocation	Relocation Costs	1495	L.S.	2,300.00				
	SUBTOTAL 1495 ACCOUNT			**$2,300.00**				
	GRAND TOTAL			**$429,700.00**				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Housing Authority of the City of Carrollton**	**Grant Type and Number** Capital Fund Program Grant No: GA06P116-501-07 Replacement Housing Factor Grant No:	**Federal FY of Grant:** **2007**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
GA 116-1 Griffin	30-Jun-09			30-Jun-11			
GA 116-2 Davis	30-Jun-09			30-Jun-11			
PHA-Wide	30-Jun-09			30-Jun-11			

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name: **HOUSING AUTHORITY OF THE CITY OF CARROLLTON**

■ **Original 5-Year Plan**
☐ **Revision No:**

Development Number/Name/ HA-Wide	Year 1 2007 July 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: July 1	Work Statement for Year 3 FFY Grant: 2009 PHA FY: July 1	Work Statement for Year 4 FFY Grant: 2010 PHA FY: July 1	Work Statement for Year 5 FFY Grant: 2011 PHA FY: July 1
GA 116-1 Griffin		$103,000	$266,646	$0	$0
GA 116-2 Davis	See	$68,474	$0	$0	$0
GA 116-3 Ingram	Annual	$0	$0	$0	$177,550
GA 116-4 Thomas	Statement	$45,626	$0	$0	$0
GA 116-5A Elder Circle		$15,000	$0	$276,100	$0
GA 116-5B Alabama		$9,000	$0	$0	$98,550
HA-Wide Hard Costs		$10,000	$0	$0	$0
PHA-Wide Soft Costs		$173,600	$163,054	$153,600	$153,600
CFP Funds Listed for 5-year planning		**$424,700**	**$429,700**	**$429,700**	**$429,700**
Replacement Housing Factor Funds		**$0**	**$0**	**$0**	**$0**

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2008 PHA FY: July 1			Activities for Year: 3 FFY Grant: 2009 PHA FY: July 1		
	Dev. Name/ Number	**Major Work Categories**	**Estimated Cost**	**Dev. Name/ Number**	**Major Work Categories**	**Estimated Cost**
					Complete following work as funding allows:	
	GA 116-1 Griffin	Improve "street appeal" by adding hip roof over the front and rear porches. Work may require existing porch roof removal, framing, columns, and/or soffit/fascia. Supplements 501-07 funds.	75,000	GA 116-1 Griffin	Install handrails on yard risers (substantial number). Funds are to complete all items listed below for Griffin.	266,646
		Reroof all structures. Install gutters, downspouts, flumes and gutter guards on all units. Supplements 501-07 funds.	28,000		Development needs extensive site work, tree trimming and landscaping	
					Install smoke or fire barriers and attic access doors as required	
See	GA 116-2 Davis	Demolish 42 Dwelling Units and provide additional parking and landscaping. Includes some abatement. Remove underground utilities as possible and regrass all disturbed areas.	68,474		Replace exterior doors, hardware and screen doors	
Annual					Install security screens on windows	
					Miscellaneous exterior painting (basically columns)	
					Interior painting	
Statement	HA-wide	Replace sidewalks, landscaping, tree trimming and other miscellaneous site work as required	10,000		Totally renovate the kitchen incl. washer and dryer connections	
					Install new faucet, vanity top, tub mixing valve in bathroom. Refinish bathtubs	
	GA 116-5-A Elder	Install hardwire with battery backup smoke detector system.	15,000		Install treads on stairs	
					Install a central HVAC system with enclosures and makeup air	
	GA 116-5-B Alabama	Install hardwire with battery backup smoke detector system.	9,000		Replace water heaters	
					Replace switches and outlets and install GFIC's in kitchens and bathrooms	
	GA 116-1 Griffin	Install playground equipment	5,000		Install new front and rear porch lights	
	GA 116-4 Thomas	Totally renovate the remaining 6 larger units match those previously completed. Includes asbestos abatement.	45,626			

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 2 FFY Grant: 2008 PHA FY: July 1			Activities for Year: 3 FFY Grant: 2009 PHA FY: July 1		
	Dev. Name/ Number	**Major Work Categories**	**Estimated Cost**	**Dev. Name/ Number**	**Major Work Categories**	**Estimated Cost**
	HA-Wide Costs	Purchase Maintenance Vehicle	25,000	HA-Wide Costs	Install new ranges and refrigerators	14,454
		Purchase Major Office Equipment	5,000		Purchase Major Office Equipment	5,000
		Salary and Benefits for part-time Housing Counselor	15,000		Salary and Benefits for part-time Housing Counselor	15,000
		Salary and Benefits for part-time Domestic Violence Counselor	15,000		Salary and Benefits for part-time Domestic Violence Counselor	15,000
		Reimburse City Police Dept. for 1 Officer for Public Housing	15,000		Reimburse City Police Dept. for 1 Officer for Public Housing	15,000
		Administrative Salaries & Benefits	36,100		Administrative Salaries & Benefits	36,100
		A/E Fees	35,000		A/E Fees	35,000
		Consulting services for Budget Revisions and Progress and Evaluation Reports	2,500		Consulting services for Budget Revisions and Progress and Evaluation Reports	2,500
		Supplement Operating Fund	25,000		Supplement Operating Fund	25,000
Total CFP Estimated Cost			**$429,700**			**$429,700**

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 4 FFY Grant: 2010 PHA FY: July 1			Activities for Year: 5 FFY Grant: 2011 PHA FY: July 1		
	Dev. Name/ Number	**Major Work Categories**	**Estimated Cost**	**Dev. Name/ Number**	**Major Work Categories**	**Estimated Cost**
		Complete following work as funding allows:			**Complete following work as funding allows:**	
	GA 116-5A Elder	Install a dropped ceiling in the living room, dining room and bedroom. Includes total electrical upgrade, central heating and AC, attic access doors and insulation. Reframe above and below the windows and exterior doors. Install new windows, exterior doors, frames, hardware and screen doors. Repaint entire dwelling unit.	276,100	GA 116-3 Ingram	Completely renovate all Dwelling Units (except exterior doors) including abatement of floor tile and ACM panels below windows. Floor tile was previously abated in 9 Dwelling Units.	$117,550
		Add handrails on porches on some units		GA 116-3 Ingram	Enlarge the existing Non-Dwelling Structure and provide additional parking.	$60,000
		Pressure wash the soffit and fascia				
See		Paint the exterior including stucco (EIFS system), trim, etc.				
		Replace water heaters and run water heater relief line to exterior				
Annual		Install new floor tile		GA 116-5B Alabama	At the Community center provide new exterior paint, windows, exterior doors, floor tile, interior paint, HVAC system, water heater, lighting and possible electrical upgrade.	15,000
		Renovate kitchens. Install new cabinets, washing machine connection boxes, dryer vents (for stackable W&D). Upgrade service entry, panel feeder wiring and panel for W&D.			Add handrails on porches on some units	6,000
Statement		Replace switches and outlets and install GFIC's in kitchens and bathrooms			Pressure wash the soffit and fascia	3,300
					Paint the exterior including stucco (EIFS system), trim, etc.	13,500
					Replace water heaters	18,750
					Install new floor tile over existing	42,000

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : 4 FFY Grant: 2010 PHA FY: July 1			Activities for Year: 5 FFY Grant: 2011 PHA FY: July 1		
	Dev. Name/ Number	**Major Work Categories**	**Estimated Cost**	**Dev. Name/ Number**	**Major Work Categories**	**Estimated Cost**
	HA-Wide Costs	Salary and Benefits for part-time Housing Counselor	15,000	HA-Wide Costs	Salary and Benefits for part-time Housing Counselor	15,000
		Salary and Benefits for part-time Domestic Violence Counselor	15,000		Salary and Benefits for part-time Domestic Violence Counselor	15,000
		Reimburse City Police Dept. for 1 Officer for Public Housing	15,000		Reimburse City Police Dept. for 1 Officer for Public Housing	15,000
		Administrative Salaries & Benefits	36,100		Administrative Salaries & Benefits	36,100
		A/E Fees	35,000		A/E Fees	35,000
		Consulting services for Budget Revisions and Progress and Evaluation Reports	2,500		Asbestos Consulting Fees	10,000
		Relocation Costs	10,000		Consulting services for Budget Revisions and Progress and Evaluation Reports	2,500
		Supplement Operating Fund	25,000		Supplement Operating Fund	25,000
Total CFP Estimated Cost			**$429,700**			**$429,700**

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:	Grant Type and Number	Federal FY
Housing Authority of the City of Carrollton	Capital Fund Program Grant No: GA06P116-501-06 Replacement Housing Factor Grant No:	of Grant: 2006

■ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no: Amended)

■ Performance and Evaluation Report for Period Ending: 12-31-06 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00		0.00	0.00
2	1406 Operations	85,940.00		85,940.00	85,940.00
3	1408 Management Improvements	42,970.00		42,970.00	12,506.40
4	1410 Administration	42,970.00		42,970.00	37,386.81
5	1411 Audit	0.00		0.00	0.00
6	1415 Liquidated Damages	0.00		0.00	0.00
7	1430 Fees and Costs	32,500.00		0.00	0.00
8	1440 Site Acquisition	0.00		0.00	0.00
9	1450 Site Improvement	10,000.00		0.00	0.00
10	1460 Dwelling Structures	188,020.00		0.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	5,000.00		0.00	0.00
12	1470 Nondwelling Structures	15,000.00		0.00	0.00
13	1475 Nondwelling Equipment	5,000.00		0.00	0.00
14	1485 Demolition	0.00		0.00	0.00
15	1490 Replacement Reserve	0.00		0.00	0.00
16	1492 Moving to Work Demonstration	0.00		0.00	0.00
17	1495.1 Relocation Costs	2,300.00		0.00	0.00
18	1499 Development Activities	0.00		0.00	0.00
19	1501 Collaterization or Debt Service	0.00		0.00	0.00
20	1502 Contingency	0.00		0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 - 20)	**$429,700.00**		**$171,880.00**	**$135,833.21**
22	Amount of line 21 Related to LBP Activities	0.00		0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00		0.00	0.00
24	Amount of line 21 Related to Security - Soft Costs	0.00		0.00	0.00
25	Amount of line 21 Related to Security - Hard Costs	0.00		0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0.00		0.00	0.00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Replace sidewalks, landscaping, tree trimming and other miscellaneous site work as required	1450	4	10,000.00		0.00	0.00	Pending
	SUBTOTAL 1450 ACCOUNT			**$10,000.00**		**$0.00**	**$0.00**	
GA 116-2 Davis	Continue comprehensive modernization of 4 remaining Dwelling Units after demolition. Should be VERY nice looking.	1460	4	133,838.00		0.00	0.00	Pending
GA 116-1 Griffin	Improve "street appeal" by adding hip roof over the front and rear porches. Work may require existing porch roof removal, framing, columns, and/or soffit/fascia. Reroof all structures. Install gutters, downspouts, flumes and gutter guards on all units. Additional funding will be required to complete.	1460	LS	54,182.00		0.00	0.00	Pending
	SUBTOTAL 1460 ACCOUNT			**$188,020.00**		**$0.00**	**$0.00**	
GA 116-2 Davis	Totally modernize and upgrade the exterior of the existing Nondwelling Structure with similar look as the remaining units mentioned above. Improve the looks of the handicap ramps.	1470	1	15,000.00		0.00	0.00	Pending
	SUBTOTAL 1470 ACCOUNT			**$15,000.00**		**$0.00**	**$0.00**	
Dwelling Equip.	Install new ranges and refrigerators (each).	1465.1	10	5,000.00		0.00	0.00	Pending
	SUBTOTAL 1465.1 ACCOUNT			**$5,000.00**		**$0.00**	**$0.00**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide Non-Dwell. Equipment	Replacement of Major Office Equipment	1475	L.S.	5,000.00		0.00	0.00	Pending
	SUBTOTAL 1475 ACCOUNT			**$5,000.00**		**$0.00**	**$0.00**	
Operations	Supplement Operating Funds	1406	LS	85,940.00		85,940.00	85,940.00	Complete
	SUBTOTAL 1406 ACCOUNT			**$85,940.00**		**$85,940.00**	**$85,940.00**	
PHA-Wide Mgmt. Improv.	Salary and Benefits for Literacy Instructor, Elderly Service Coordinator, and Records Management Staff Person	1408	L.S.	42,970.00		42,970.00	12,506.40	In Progress
	SUBTOTAL 1408 ACCOUNT - SOFT COSTS			**$42,970.00**		**$42,970.00**	**$12,506.40**	
PHA-WIDE Admin. Costs	Administrative Salaries & Benefits	1410	L.S.	42,970.00		42,970.00	37,386.81	In Progress
	SUBTOTAL 1410 ACCOUNT			**$42,970.00**		**$42,970.00**	**$37,386.81**	
PHA-WIDE Fees and Costs	A/E Services (fee to be negotiated)	1430.1	L.S.	30,000.00		0.00	0.00	Pending
	Consulting services for Budget Revisions and Progress and Evaluation Reports	1430.2	L.S.	2,500.00		0.00	0.00	Pending
	SUBTOTAL 1430 ACCOUNT			**$32,500.00**		**$0.00**	**$0.00**	
Relocation	Relocation Costs	1495	L.S.	2,300.00		0.00	0.00	Pending
	SUBTOTAL 1495 ACCOUNT			**$2,300.00**		**$0.00**	**$0.00**	
	GRAND TOTAL			**$429,700.00**		**$171,880.00**	**$135,833.21**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Housing Authority of the City of Carrollton**	**Grant Type and Number** Capital Fund Program Grant No: GA06P116-501-06 Replacement Housing Factor Grant No:	**Federal FY of Grant:** **2006**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
GA 116-1 Griffin	18-Jul-08			18-Jul-10			
GA 116-2 Davis	18-Jul-08			18-Jul-10			
PHA-Wide	18-Jul-08			18-Jul-10			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name:	Grant Type and Number	Federal FY
Housing Authority of the City of Carrollton	Capital Fund Program Grant No: GA06P116-501-05 Replacement Housing Factor Grant No:	of Grant: 2005

☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ■ Revised Annual Statement (revision no: 2 dated 2-22-07)

■ Performance and Evaluation Report for Period Ending: 12-31-06 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00	0.00	0.00
2	1406 Operations	91,490.00	91,490.00	91,490.00	91,490.00
3	1408 Management Improvements	45,000.00	45,000.00	45,000.00	43,787.42
4	1410 Administration	45,000.00	45,000.00	45,000.00	41,947.73
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	37,500.00	37,500.00	37,500.00	0.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	0.00	0.00	0.00
10	1460 Dwelling Structures	76,162.00	226,162.00	226,162.00	0.00
11	1465.1 Dwelling Equipment—Nonexpendable	5,900.00	5,900.00	5,900.00	0.00
12	1470 Nondwelling Structures	150,000.00	0.00	0.00	0.00
13	1475 Nondwelling Equipment	4,100.00	4,100.00	4,100.00	0.00
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	2,300.00	2,300.00	2,300.00	0.00
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1501 Collaterization or Debt Service	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 - 20)	**$457,452.00**	**$457,452.00**	**$457,452.00**	**$177,225.15**
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
25	Amount of line 21 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
GA 116-5A Elder	Provide 2 additional fully handicap accessible dwelling units. Units should be fully renovated when completed.	1460	2	70,000.00	226,162.00	226,162.00	0.00	In Progress
GA 116-2 Davis	~~Begin comprehensive modernization of 4 remaining Dwelling Units after demolition. Should be VERY nice looking.~~ **Move work item to 2007 Annual Statement.**	1460	4	6,162.00	0.00	0.00	0.00	Move to 2007
	SUBTOTAL 1460 ACCOUNT			**$76,162.00**	**$226,162.00**	**$226,162.00**	**$0.00**	
Non-Dwelling	~~Enlarge the existing Non-Dwelling Structure at Ingram and provide additional parking.~~ **Move to a later year.**	1470	1	150,000.00	0.00	0.00	0.00	Move to a later year.
	SUBTOTAL 1470 ACCOUNT			**$150,000.00**	**$0.00**	**$0.00**	**$0.00**	
Dwelling Equip.	Install new ranges and refrigerators (each).	1465.1	10	5,900.00	5,900.00	5,900.00	0.00	In Progress
	SUBTOTAL 1465.1 ACCOUNT			**$5,900.00**	**$5,900.00**	**$5,900.00**	**$0.00**	
PHA-Wide Non-Dwell. Equipment	Replacement of Major Office Equipment	1475	L.S.	4,100.00	4,100.00	4,100.00	0.00	In Progress
	SUBTOTAL 1475 ACCOUNT			**$4,100.00**	**$4,100.00**	**$4,100.00**	**$0.00**	
Operations	Supplement Operating Funds	1406	LS	91,490.00	91,490.00	91,490.00	91,490.00	Complete
	SUBTOTAL 1406 ACCOUNT			**$91,490.00**	**$91,490.00**	**$91,490.00**	**$91,490.00**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide Mgmt. Improv.	Salary and Benefits for Literacy Instructor, Elderly Service Coordinator, and Records Management Staff Person	1408	L.S.	45,000.00	45,000.00	45,000.00	43,787.42	In Progress
	SUBTOTAL 1408 ACCOUNT - SOFT COSTS			**$45,000.00**	**$45,000.00**	**$45,000.00**	**$43,787.42**	
PHA-WIDE Admin. Costs	Administrative Salaries & Benefits	1410	L.S.	45,000.00	45,000.00	45,000.00	41,947.73	In Progress
	SUBTOTAL 1410 ACCOUNT			**$45,000.00**	**$45,000.00**	**$45,000.00**	**$41,947.73**	
PHA-WIDE Fees and Costs	A/E Services (fee to be negotiated)	1430.1	L.S.	35,000.00	35,000.00	35,000.00	0.00	In Progress
	Consulting services for Budget Revisions and Progress and Evaluation Reports	1430.2	L.S.	2,500.00	2,500.00	2,500.00	0.00	In Progress
	SUBTOTAL 1430 ACCOUNT			**$37,500.00**	**$37,500.00**	**$37,500.00**	**$0.00**	
Relocation	Relocation Costs	1495	L.S.	2,300.00	2,300.00	2,300.00	0.00	In Progress
	SUBTOTAL 1495 ACCOUNT			**$2,300.00**	**$2,300.00**	**$2,300.00**	**$0.00**	
	GRAND TOTAL			**$457,452.00**	**$457,452.00**	**$457,452.00**	**$177,225.15**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Housing Authority of the City of Carrollton**	**Grant Type and Number** Capital Fund Program Grant No: GA06P116-501-05 Replacement Housing Factor Grant No:	**Federal FY of Grant:** **2005**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
GA 116-5A Elder	18-Aug-07		8-Dec-06	17-Aug-09			
GA 116-2 Davis	18-Aug-07		8-Dec-06	17-Aug-09			
PHA-Wide	18-Aug-07		8-Dec-06	17-Aug-09			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of the City of Carrollton	Grant Type and Number Capital Fund Program Grant No: GA06P116-501-04 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004

☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ■ Revised Annual Statement (revision no: 3 dated 2-22-07)

■ Performance and Evaluation Report for Period Ending: 12-31-06 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	0.00	0.00	0.00	0.00
2	1406 Operations	90,050.00	90,050.00	90,050.00	90,050.00
3	1408 Management Improvements	45,000.00	45,000.00	45,000.00	45,000.00
4	1410 Administration	45,000.00	45,000.00	45,000.00	45,000.00
5	1411 Audit	0.00	0.00	0.00	0.00
6	1415 Liquidated Damages	0.00	0.00	0.00	0.00
7	1430 Fees and Costs	44,901.00	44,901.00	44,901.00	28,330.00
8	1440 Site Acquisition	0.00	0.00	0.00	0.00
9	1450 Site Improvement	0.00	10,842.00	10,842.00	10,842.00
10	1460 Dwelling Structures	213,093.00	202,251.00	202,251.00	39,375.90
11	1465.1 Dwelling Equipment—Nonexpendable	5,900.00	5,900.00	5,900.00	0.00
12	1470 Nondwelling Structures	0.00	0.00	0.00	0.00
13	1475 Nondwelling Equipment	4,100.00	4,100.00	4,100.00	474.98
14	1485 Demolition	0.00	0.00	0.00	0.00
15	1490 Replacement Reserve	0.00	0.00	0.00	0.00
16	1492 Moving to Work Demonstration	0.00	0.00	0.00	0.00
17	1495.1 Relocation Costs	2,300.00	2,300.00	2,300.00	1,809.41
18	1499 Development Activities	0.00	0.00	0.00	0.00
19	1501 Collaterization or Debt Service	0.00	0.00	0.00	0.00
20	1502 Contingency	0.00	0.00	0.00	0.00
21	Amount of Annual Grant: (sum of lines 2 - 20)	**$450,344.00**	**$450,344.00**	**$450,344.00**	**$260,882.29**
22	Amount of line 21 Related to LBP Activities	0.00	0.00	0.00	0.00
23	Amount of line 21 Related to Section 504 compliance	0.00	0.00	0.00	0.00
24	Amount of line 21 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
25	Amount of line 21 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
26	Amount of line 21 Related to Energy Conservation Measures	17,600.00	17,600.00	17,600.00	17,600.00

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide Site Improvements	Sitework including landscaping, sod, sidewalks, parking, lighting improvements, etc. as needed.	1450	LS	0.00	10,842.00	10,842.00	10,842.00	Complete
	SUBTOTAL 1450 ACCOUNT			**$0.00**	**$10,842.00**	**$10,842.00**	**$10,842.00**	
Griffin	Provide 2 additional fully handicap accessible dwelling units. Units should be fully renovated when completed.	1460	2	71,031.00	71,031.00	71,031.00	39,375.90	In Progress
Thomas	Provide 2 additional fully handicap accessible dwelling units. Units should be fully renovated when completed.	1460	2	71,031.00	71,031.00	71,031.00	0.00	In Progress
Elder	Provide 2 additional fully handicap accessible dwelling units. Units should be fully renovated when completed.	1460	2	71,031.00	60,189.00	60,189.00	0.00	In Progress
	SUBTOTAL 1460 ACCOUNT			**$213,093.00**	**$202,251.00**	**$202,251.00**	**$39,375.90**	
Dwelling Equip.	Install new ranges and refrigerators (each).	1465.1	10	5,900.00	5,900.00	5,900.00	0.00	In Progress
	SUBTOTAL 1465.1 ACCOUNT			**$5,900.00**	**$5,900.00**	**$5,900.00**	**$0.00**	
PHA-Wide Non-Dwell. Equipment	Replacement of Major Office Equipment	1475	L.S.	4,100.00	4,100.00	4,100.00	474.98	In Progress
	SUBTOTAL 1475 ACCOUNT			**$4,100.00**	**$4,100.00**	**$4,100.00**	**$474.98**	
Operations	Supplement Operating Funds	1406	LS	90,050.00	90,050.00	90,050.00	90,050.00	Complete
	SUBTOTAL 1406 ACCOUNT			**$90,050.00**	**$90,050.00**	**$90,050.00**	**$90,050.00**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

Dev. Number/ Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct. No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide Mgmt. Impr.	Salary and Benefits for Literacy Instructor	1408	L.S.	16,400.00	16,400.00	16,400.00	16,400.00	Complete
	Salary and Benefits for Elderly Service Coordinator	1408	L.S.	13,100.00	13,100.00	13,100.00	13,100.00	Complete
	Salary for Part Time Records Management Staff Person	1408	L.S.	15,500.00	15,500.00	15,500.00	15,500.00	Complete
	SUBTOTAL 1408 ACCOUNT - SOFT COSTS			**$45,000.00**	**$45,000.00**	**$45,000.00**	**$45,000.00**	
PHA-WIDE Admin. Costs	Administrative Salaries & Benefits	1410	L.S.	45,000.00	45,000.00	45,000.00	45,000.00	Complete
	SUBTOTAL 1410 ACCOUNT			**$45,000.00**	**$45,000.00**	**$45,000.00**	**$45,000.00**	
PHA-WIDE Fees and Costs	A/E Services (fee to be negotiated)	1430.1	L.S.	42,901.00	42,901.00	42,901.00	26,330.00	In Progress
	Consulting services for Budget Revisions and Progress and Evaluation Reports	1430.2	L.S.	2,000.00	2,000.00	2,000.00	2,000.00	Complete
	SUBTOTAL 1430 ACCOUNT			**$44,901.00**	**$44,901.00**	**$44,901.00**	**$28,330.00**	
Relocation	Relocation Costs	1495	L.S.	2,300.00	2,300.00	2,300.00	1,809.41	In Progress
	SUBTOTAL 1495 ACCOUNT			**$2,300.00**	**$2,300.00**	**$2,300.00**	**$1,809.41**	
	GRAND TOTAL			**$450,344.00**	**$450,344.00**	**$450,344.00**	**$260,882.29**	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name: **Housing Authority of the City of Carrollton**	**Grant Type and Number** Capital Fund Program Grant No: GA06P116-501-04 Replacement Housing Factor Grant No:	**Federal FY of Grant:** **2004**

Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
GA 116-1 Griffin	13-Sep-06		12-Sep-06	13-Sep-08			
GA 116-4 Thomas	13-Sep-06		12-Sep-06	13-Sep-08			
GA 116-5A Elder	13-Sep-06		12-Sep-06	13-Sep-08			
PHA-Wide	13-Sep-06		12-Sep-06	13-Sep-08			