

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing



City of Phoenix

HOUSING DEPARTMENT

251 West Washington, 4th Floor
Phoenix, AZ 85003

Update to 5 Year Plan for Fiscal Years 2005 - 2009
Annual Plan for Fiscal Year 2007

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**



PHA Plan Agency Identification

PHA Name: City of Phoenix Housing Department **PHA Number:** AZ001

PHA Fiscal Year Beginning: 07/01/2007

PHA Programs Administered:

Public Housing and Section 8
 Section 8 Only
 Public Housing Only
 Number of public housing units: 2,436
 Number of S8 units:
 Number of public housing units:
 Number of S8 units: 5,320

PHA Consortia: (check box if submitting a joint PHA Plan and complete table)

Participating PHAs	PHA Code	Program(s) Included in the Consortium	Programs Not in the Consortium	# of Units Each Program
Participating PHA 1:				
Participating PHA 2:				
Participating PHA 3:				

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations for PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)



PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

UPDATED 5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

The City of Phoenix Housing Department provides and promotes diversified housing opportunities and enriches the quality of life for low- to moderate-income families, seniors, and persons with disabilities by developing, managing, and subsidizing affordable housing.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

Unless otherwise stated, the time frame for completion of 5-year goals is June 30, 2010.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - *Should it be financially feasible, apply for additional vouchers as they become available.*
 - *If offered, accept up to 150 vouchers for a private HCV opt out.*
 - Reduce public housing vacancies:
 - *Reach 95% occupancy by 7/1/07 and 98% by 10/1/07.*

- Leverage private or other public funds to create additional housing opportunities:
 - *Identify additional funding sources to support the public housing and section 8 Housing Choice Voucher programs, and administration.*

- Acquire or build units or developments
 - *Demolish 24 public housing units and develop a mixed finance apartment community with at least 24 public housing units as part of the development.*
 - *Apply for a HOPE VI grant and, if awarded, demolish 76 units of public housing and develop a mixed finance project with public housing units, affordable and market-rate apartments.*

- Other (list below)
 - *Prepare an analysis of each property.*

- PHA Goal: Improve the quality of assisted housing
Objectives:
 - Improve public housing management: (PHAS score)
 - *Strive for a PHAS score at a level of “high performer.”*

 - Improve voucher management: (SEMAP score)
 - *Achieve a SEMAP score at a level of “high performer” within two years.*

 - Increase customer satisfaction:
 - *Review and improve communication with program participants.*
 - *Assess landlord feedback for section 8 Housing Choice Voucher program.*

 - Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
 - *Reduce average unit turn around time to 30 days or less.*
 - *Evaluate and invest in a commercial computer-based business system.*

 - Renovate or modernize public housing units:
 - *Upgrade the electrical panels, power poles and wiring at five sites. This goal is contingent on available funding.*
 - *Install air conditioning units at various sites – approximately 400 units if it’s financially feasible.*
 - *Improve the curb appeal of public housing developments by upgrading its landscaping by installing perimeter site fencing at two conventional housing sites.*

- *Continue to create an appealing, up-to-date appearance in its developments by painting exterior trim on all family conventional public housing sites.*
- *Paint the exteriors of three family public housing sites– approximately 200 buildings.*
- *Remodel kitchens and bathrooms at three sites.*



Demolish or dispose of obsolete public housing:

- *Dispose of four homes that are inadequate for the needs of the Scattered Sites program. (Application is pending with HUD.)*
- *Demolish McCarty Apartments, AZ001029, 24 units.*
- *Demolish the 76 public housing units that make up the development of AZ 1-8A1*



Provide replacement public housing:

- *Due to the Department’s goal of deconcentrating poverty while continuing to provide the community with public housing resources, ACC units not replaced in any demolished developments will be placed in other properties as they are acquired or otherwise available.*



Provide replacement vouchers:



Other: (list below)



PHA Goal: Increase assisted housing choices

Objectives:



Provide voucher mobility counseling:



Conduct outreach efforts to potential voucher landlords

- *Improve outreach efforts to potential voucher landlords by implementing an outreach program to attract 20 new landlords each year to participate in the section 8 Housing Choice Voucher program.*



Increase voucher payment standards



Implement voucher homeownership program:

- *Provide vouchers for up to 5 families for the purpose of homeownership.*



Implement public housing or other homeownership programs:

- *Convert 5(h) homeownership program into a Section 32 homeownership program.*
- *Sell a minimum of five homes to residents of the Housing Department’s assisted housing programs.*
- *Encourage Henson Village families to participate in a homeownership program so a minimum of eight families will purchase homes.*

- Implement public housing site-based waiting lists:
 - *Investigate the possibility of site-based waiting lists.*
 - *Implement site-based waiting list for Henson Village.*
 - *Implement site-based waiting list for McCarty and/or new HOPE VI property when redeveloped.*
- Convert public housing to vouchers:
- Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment

Objectives:

 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - *Improve the safety of family and senior conventional public housing developments by providing, as needs are identified, through a combination of one or more of the following – install cameras or install security doors or screens.*
 - *Improve the security of the public housing developments by providing additional area lighting at facilities as needed.*
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - *Designate 100 units of public housing for elderly use only for Henson Village.*
 - *Designate the redeveloped McCarty on Monroe development as elder only.*
 - Other: (list below)
 - *Improve the common area playgrounds at the Department's family public housing developments by providing new playground equipment, canopies, barbecues, seating, volleyball courts and picnic armadas to replace existing as needed.*
 - *Continue to work with the Police Department to notify residents of problems that may be occurring on the edge of public housing.*

- *Strengthen the community in Central City South by working with a local non-profit to develop a leadership academy.*

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
 - *Refer at least 10 residents for section 3 or Housing Department employment.*
 - *Provide referrals to employment training services to enable 65% of eligible Henson Village/HOPE VI residents to secure employment.*
- Provide or attract supportive services to improve assistance recipients' employability:
 - *Continue the HOPE VI Coalition of Community Providers meetings.*
 - *Continue to look for and provide opportunities to encourage teens to graduate from high school and seek post-secondary education.*
 - *Develop computer labs with ESL and adult basic education software for selected conventional public housing sites.*
 - *Offer case management to 100% of HOPE VI residents.*
 - *Should the FSS program remain viable, continue to offer 160 FSS slots to families in assisted housing programs.*
 - *Initiate a Head Start or child care center at Foothills Village.*
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - *Insure all computer labs have assistive devices for persons with various disabilities for all family and senior public housing sites.*
 - *Develop a Wellness Center in the adult living building at Henson Village.*
 - *Develop, identify and implement at least three new on- and off-site services and activities based on needs identified by residents.*
 - *Apply for available grants, work with Economic Initiatives program, and/or contract with outside vendors to increase on-site services in the senior housing communities, i.e.: housekeeping, daily meal, health care services, etc.*
 - *Recruit a service provider to provide supportive services for the residents at the McCarty on Monroe development.*

- Other: (list below)
- *Apply for at least two grants each year for self-sufficiency programs.*
 - *Provide case management and supportive services to help 50% of the Henson Village/HOPE VI teens graduate from high school. (Current graduation rate is approximately 35%.)*

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - *Prepare an ADA compliance self-evaluation of the public housing facilities owned or operated by the city of Phoenix.*
 - *Prepare an assessment, action plan and timeline to address ADA issues discovered by the self-evaluation.*
 - *Participate in and comply with city of Phoenix policy for expansion of accessibility standards.*
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Violence Against Women Act (VAWA)

The City of Phoenix Housing Department will

- *Implement policies and procedures that will enable us to serve the needs of child and adult victims of domestic violence, dating violence, sexual assault or stalking.*
- *Provide training to staff regarding VAWA and the Department's policies and procedures that pertain to VAWA.*

Annual PHA Plan
PHA Fiscal Year 2007
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

- Standard Plan**
- Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The City of Phoenix Housing Department has adopted goals and objectives to increase the availability of decent, safe and affordable housing during the next five years by:

- *Expanding the supply of assisted housing*
- *Improving the quality of assisted housing*
- *Increasing assisted housing choices*
- *Promoting self-sufficiency and asset development of assisted households*

The plans, statements, policies, etc., set forth in the Annual Plan all lead toward accomplishing our mission statement and achieving our goals and objectives. Taken as a whole they outline a comprehensive approach and are consistent with the Consolidated Plan.

The Housing Department's Annual Plan also reflects changes to plans and policies that recognize the reality of dwindling federal resources and the transition to asset based management. To this end the Department has chosen to:

- *Review and revise the 2005-2009 Five Year Plan, the Admissions and Continued Occupancy Policy and the Section 8 Housing Choice Voucher Administrative Plan;*
- *Consider alternative funding sources for the public housing and section 8 Housing Choice Voucher programs and Central Office Cost Center;*
- *Reevaluate all Capital Fund Program projects;*
- *Develop a 10-20 year plan for each property;*
- *Improve the quality and supply of affordable housing in Phoenix, implement viable strategies from the best practices study conducted in 2006.*

In summary, the Department is accomplishing its goals and objectives to improve the condition of affordable housing in the city of Phoenix, given the constraints of its resources.



iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
Annual Plan	
i. Executive Summary	9
ii. Table of Contents	
1. Housing Needs	14
2. Financial Resources	25
3. Policies on Eligibility, Selection and Admissions	26
4. Rent Determination Policies	38
5. Operations and Management Policies	43
6. Grievance Procedures	45
7. Capital Improvement Needs	46
8. Demolition and Disposition	48
9. Designation of Housing	50
10. Conversions of Public Housing	51
11. Homeownership	52
12. Community Service Programs	54
13. Crime and Safety	57
14. Pets (Inactive for January 1 PHAs)	60
15. Civil Rights Certifications (included with PHA Plan Certifications)	60
16. Audit	60
17. Asset Management	60
18. Other Information	61

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration (Attachment 2, Page 65)
- FY 2006 Capital Fund Program Annual Statement (Attachment 14, Page 88)
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- List of Resident Advisory Board Members (Attachment 7, Page 76)
- List of Resident Board Member(s) (Attachment 6, Page 75)
- Community Service Description of Implementation (Attachment 4, Page 67)
- Information on Pet Policy (Attachment 5, Page 72)
- Section 8 Homeownership Capacity Statement, if applicable (Attachment 10, Page 84)

Description of Homeownership Programs, if applicable (Attachment 13, Page 87)

Optional Attachments:

- PHA Management Organizational Chart (Attachment 3, Page 66)
- FY 2005 Capital Fund Program 5 Year Action Plan (Attachment 15, Page 93)
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (*included in PHA Plan text*)
- Other (List below, providing each attachment name)
 - Attachment 1 – Definition of Substantial Deviation and Significant Modification (Page 64)
 - Attachment 8 – Project-based Voucher Program (Page 77)
 - Attachment 9 – Report on 5-Year Plan Accomplishments (Page 78)
 - Attachment 11 – Site-based Waiting List (Page 85)
 - Attachment 12 – Violence Against Women Act Policies (Page 86)
 - Attachment 16 – Annual Performance and Evaluation Report (Page 102)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility,

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
		Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
X	Approved or submitted applications for designation of public	Annual Plan: Designation of



List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	housing (Designated Housing Plans)	Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
X	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	34,663	5	5	5	2	5	5
Income >30% but <=50% of AMI	31,221	5	5	5	2	5	4
Income >50% but <80% of AMI	26,222	5	5	4	3	5	2
Elderly	9,854	5	5	3	4	1	2
Families with Disabilities	22,163	5	5	4	5	2	4
Hispanic	49,256	5	3	3	2	5	2
Black	10,407	5	3	3	2	5	2

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2006-2010
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)



B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 Tenant Based Assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	26,748		5,953
Extremely low income (<=30% AMI)	20,863	78%	
Very low income (>30% but <=50% AMI)	4,280	16%	
Low income (>50% but <80% AMI)	1,337	5%	
Families with children	17,915	67%	
Elderly families	1,669	6%	
Families with Disabilities	8,101	30%	
White/Hispanic	17,828	67%	
Black	6,622	25%	
Native American	1,527	6%	
Asian	771	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes-Section 8			
If yes:			
How long has it been closed (# of months)? <i>18 months</i>			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, if list is reduced to 12 months wait.			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			



Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 Tenant Based Assistance			
<input checked="" type="checkbox"/> Public Housing (Conventional Family)			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	8,504		1,967
Extremely low income (<=30% AMI)	7,654	90%	
Very low income (>30% but <=50% AMI)	680	8%	
Low income (>50% but <80% AMI)	170	2%	
Families with children	6,238	73%	
Elderly families	392	5%	
Families with Disabilities	2,394	27%	
White/Hispanic	5,078	60%	
Black	2,326	27%	
Native American	822	10%	
Asian	271	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2,264	27%	843
2 BR	2,878	34%	827
3 BR	2,180	26%	245
4 BR	901	11%	48
5 BR	279	3%	4
5+ BR	NA	NA	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes- If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			



Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 Tenant Based Assistance			
<input checked="" type="checkbox"/> Public Housing (Scattered Sites)			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	10,452		902
Extremely low income (<=30% AMI)	3,345	32%	
Very low income (>30% but <=50% AMI)	2,404	23%	
Low income (>50% but <80% AMI)	3,867	37%	
Families with children	8,549	82%	
Elderly families	285	3%	
Families with Disabilities	2,395	23%	
White/Hispanic	6,180	59%	
Black	3,050	29%	
Native American	865	8%	
Asian	351	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	1,864	18%	163
2 BR	3,748	36%	336
3 BR	3,481	33%	312
4 BR	1,057	10%	78
5 BR	263	3%	12
5+ BR	NA	NA	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes- If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			



Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 Tenant Based Assistance			
<input checked="" type="checkbox"/> Public Housing (Senior)			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	4,703		694
Extremely low income (<=30% AMI)	4,421	94%	
Very low income (>30% but <=50% AMI)	235	5%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	15	0%	
Elderly families	844	18%	
Families with Disabilities	3,233	69%	
White/Hispanic	3,024	64%	
Black	1,249	27%	
Native American	307	7%	
Asian	123	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	4,688	100%	694
2 BR	15	0%	0
3 BR	NA	NA	
4 BR	NA	NA	
5 BR	NA	NA	
5+ BR	NA	NA	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes- If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			



Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 New Construction (Senior)			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	2,324		238
Extremely low income (<=30% AMI)	2,138	92%	
Very low income (>30% but <=50% AMI)	163	7%	
Low income (>50% but <80% AMI)	23	1%	
Families with children	0	0%	
Elderly families	587	25%	
Families with Disabilities	1,475	63%	
White/Hispanic	1,510	65%	
Black	585	25%	
Native American	150	6%	
Asian	79	3%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	2,324	100%	238
2 BR	0	0%	0
3 BR	NA	NA	
4 BR	NA	NA	
5 BR	NA	NA	
5+ BR	NA	NA	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes- If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based waiting list (optional)			
If used, identify which development/subjurisdiction: <i>Henson Village</i>			
	# of families	% of total families	Annual Turnover
Waiting list total	75		UNK
Extremely low income (<=30% AMI)	25	33	
Very low income (>30% but <=50% AMI)	25	33	
Low income (>50% but <80% AMI)	25	33	
Families with children	75	100	
Elderly families	UNK		
Families with Disabilities	UNK		
Race/ethnicity	UNK		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	NA		
2 BR	25	33	
3 BR	25	33	
4 BR	25	33	
5 BR	NA		
5+ BR	NA		
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 8			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available



- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups



Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2007 grants)		
a) Public Housing Operating Fund	\$7,679,920	
b) Public Housing Capital Fund	\$3,467,000	
c) HOPE VI Revitalization	pending	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$40,911,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$0	
g) Resident Opportunity and Self-Sufficiency Grants	pending	
h) Community Development Block Grant	\$1,326,207	Increase the number of affordable housing units
i) HOME	\$6,744,721	Increase the number of affordable housing units
Other Federal Grants (list below)		
HOPWA	\$1,067,236	Transitional and permanent housing for persons with AIDS
Proceeds from Sale of Scattered Site Public Housing Properties	\$1,850,000	To be used in mixed finance senior housing development project
2. Prior Year Federal Grants (unobligated funds only) (list below)		
CDBG Prior Year Grants	\$200,000	
HOME Prior Year Grants	\$10,000,000	
ROSS Prior Year Grants	\$664,668	
3. Public Housing Dwelling Rental Income		
Conventional Housing	\$4,512,053	Operation and maintenance of public housing
Sunnyslope/Fillmore	\$680,127	Operation and maintenance of Sunnyslope Manor and Fillmore Gardens
4. Other Income (list below)		
Affordable Housing	\$1,131,148	Major repairs for affordable housing properties
General Fund	\$75,000	City funds to reserve affordable housing units for domestic violence victims
5. Non-Federal Sources (list below)		
General Obligation Bonds	\$6,050,000	
Total Resources	\$86,359,080	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. PublicHousing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
 When families are within a certain time of being offered a unit: (state time)
 Other: (describe)

The application process involves three phases. The first phase is the initial application for housing assistance or the pre-application. The pre-application requires the family to provide limited basic information establishing any preferences to which they may be entitled. This first phase results in the family's placement on the waiting list in date and time order.

The applicant may at any time report changes in their applicant status including changes in family composition, income, or preference factors. The Housing Department will annotate the applicant's file and will update their place on the waiting list. Changes will be confirmed with the family in writing.

The second phase is the determination of eligibility for admission, referred to as the full application. The full application takes place when the family nears the top of the waiting list. The Housing Department will ensure that verification of all preferences, eligibility, suitability and selection factors are current in order to determine the family's final eligibility for admission into the Public Housing Program.

If the Housing Department determines the family to be ineligible, the notice will state the reasons and will offer the family the opportunity of an informal review of the determination.

During Phase III, all applicants who successfully complete the initial screening and interview/verification process are determined eligible for the program selected. Eligible files will be transferred to the program area for housing consideration.

Henson Village (HOPE VI)

Phase I - When it is determined that Henson Village waiting lists (senior and family) contains an inadequate pool of applicants for units anticipated to become available within six months, the waiting list will be opened. At the beginning of the open period, the availability of pre-applications will be announced through the local news media. During the open period, potential applicants may pick up pre-applications at select sites. Pre-applications must be completed and postmarked by a given date. The applications are mailed to an independent accounting firm which performs a random sort that assigns applicants their ranking on the wait list.

Phases II and III are the same as above.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)

- *An applicant's past performance in meeting financial obligations to include rent, utilities, bankruptcy/foreclosures.*
- *Having committed fraud in connection with any Federal housing assistance program, including the intentional misrepresentation of information related to their housing application or benefits derived there from; and*
- *History of abusing alcohol in a way that may interfere with the health, safety, or right to peaceful enjoyment by others.*

Additional screening criteria for Henson Village (HOPE VI)

- *Consistent record of timely rent payment during the last three years;*
- *No material (exceeding \$350) delinquent consumer debt balances (excluding medical bills and student loans) reported within three months of date of application or written off within one year of date of application; and*
- *No history or pattern of substantial past due consumer debts within the last 18 months with balances older than six months.*

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

When an individual has lived outside the local area, the Housing Department may contact law enforcement agencies where the individual had lived or request a check through the FBI's National Crime Information Center (NCIC).

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists (*Henson Village*)

- The Henson Village waiting list is organized by bedroom size, income tier, preference, and order of date and time of application.*
- Other (describe)
Waiting lists are organized by bedroom size, preference, and order of date and time of application. All contact between the applicant and the Housing Department is included in the applicant file.

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
 PHA development site management office
 Other (list below)

*Pre-applications will be accepted during regular business hours at: **Applications and Information, 830 East Jefferson Street, 1st floor, Phoenix, AZ 85034 on Monday through Friday from 8:00 AM – 5:00 PM.** Pre-applications will be mailed to interested families upon request. Applications may also be downloaded from the Internet at <http://phoenix.gov/HOUSING/1921d605.pdf> or submitted online by clicking on the link at the bottom of the page at <http://phoenix.gov/HOUSING/houseapp.html>.*

The completed pre-application will be dated and time stamped upon its return or submission to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing a pre-application may call the Housing Department at Voice/602.534.2142 or TDD/602.534.5500 to make special arrangements.

Henson Village (HOPE VI)

Pre-applications are accepted at select sites for the Henson Village properties during open enrollment periods only. A pre-application may be mailed to seniors or persons with disabilities. The pre-applications must be returned by mail.

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? *The Henson Village site-based waiting lists will be fully functional during 2007/2008. McCarty on Monroe (potential mixed finance development) will have a site-based waiting list once developed. Should the Department be awarded another HOPE VI grant, that development, once built, will also have a site-based waiting list.*
2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? *A family may be on all lists for which the family is qualified.*

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
 All PHA development management offices
 Management offices at developments with site-based waiting lists
 At the development to which they would like to apply
 Other (list below)

The Henson Village (HOPE VI) site based waiting list is currently closed. During open enrollment periods, information and applications will be available at the Henson Village rental office, all City of Phoenix Family Service Centers and a number of non-profit partners.

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
 Two
 Three or More

Applicants who refuse an offer of a unit with good cause will not forfeit their place on the wait list. Good cause refusal of unit offers include when the applicant is willing to move but is unable to do so at the time of the unit offer or the applicant demonstrates that acceptance of the offer would cause undue hardship not related to considerations of the applicant's race, color, national origin, etc.

If an applicant receives an offer of housing and rejects the offer without good cause, the Housing Department will remove the applicant from the waiting list and require the applicant to reapply if he or she wishes to receive another unit offer.

- b. Yes No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

For the City's Scattered Sites program, the City is divided into 4 quadrants: NE, NW, SE, SW, with the dividing lines being I-17 and Bethany Home Road. Applicants are asked to choose the quadrant in which they wish to live. The program then provides the family with a list of at least three addresses of vacant homes in that quadrant that meets their occupancy needs. The family may view as many or as few of the homes on the list as they wish. If they fail to select



one of the homes (without good cause: illness, lease, etc) they are dropped from the waiting list.

(4) Admissions Preferences

a. Income targeting:

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
These transfers are based on the resident's request to live in another unit or property and are based only on the resident's preference, not any identifiable need. Transfers based on this category are granted solely at the discretion of management.

Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

Working families and those unable to work because of age or disability



- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or referred from the Maricopa County Attorney Victim Witness Program.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 2 Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)
Families displaced through City of Phoenix action or referred from the Maricopa County Attorney Victim Witness Program.

4. Relationship of preferences to income targeting requirements:



- The PHA applies preferences within income tiers (*Henson Village site based waiting list only*)
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)
Move-in briefing

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)
Change in citizenship/eligible immigrant status

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

Please see Attachment 2.

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)
- d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?
- e. If the answer to d was yes, how would you describe these changes? (select all that apply)
- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)
- f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)
- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:
Sidney P. Osborn, developments AZ20P001007 and AZ20P001008A
- g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)
- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)



Other (list below)
Housing agency debt

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

To determine whether an applicant is a sex offender the Housing Department uses the U. S. Department of Justice National Sex Offender Public Registry website, <http://www.nsopr.gov/>, which has a sex offender registry. If an applicant has lived in a state that is not included in the registry, then an FBI background check is done.

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

Criminal or drug-related activity

Other (describe below)

Previous address and landlord name, if available

Housing debt information is shared through national credit reporting agencies

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

None

Federal public housing

Federal moderate rehabilitation

Federal project-based certificate program

Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

PHA main administrative office

Other (list below)

*When the wait list is open, pre-applications will be accepted during regular business hours at: **Applications and Information, 830 East Jefferson Street, 1st floor, Phoenix, AZ 85034 on Monday through Friday from 8:00 AM – 5:00 PM.** Pre-applications will be mailed to interested families upon request. Applications may also be downloaded from the Internet at <http://phoenix.gov/HOUSING/1921d605.pdf> or submitted online by*



clicking on the link at the bottom of the page at <http://phoenix.gov/HOUSING/houseapp.html>.

The completed pre-application will be dated and time stamped upon its return or submission to the Housing Department.

Persons with disabilities who require a reasonable accommodation in completing a pre-application may call the Housing Department at Voice/602.534.2142 or TDD/602.534.5500 to make special arrangements.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

To obtain an extension, a family must make a request in writing prior to the expiration date. A statement of the efforts the family has made to find a unit must accompany the request. A sample extension request form and a form for recording their search efforts will be included in the family's briefing packet. If the family documents their efforts and additional time can reasonably be expected to result in success, the Housing Department will grant the length of request sought by the family or 60 days, whichever is less.

If the family includes a person with disabilities and the family requires an extension due to the disability, the Housing Department will grant an extension allowing the family the full 120 days search time. The Housing Department will review extensions beyond the 120 days on a case by case basis and may determine the additional search time would be a reasonable accommodation.

Upon submittal of a completed Request for Lease Approval packet, the Housing Department will suspend the term of the voucher. The term will be in suspension until the date the Housing Department provides notice that the request has been approved or denied. This policy allows families the full term (60 days, or more with extensions) to find a unit, not penalizing them for the period during which the Housing Department is taking action on their request. A family may not submit a second request for approval of tenancy before the Housing Department finalizes action on the first request.

(4) Admissions Preferences

- a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences



1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) **Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Families displaced through City of Phoenix action or referred from the Maricopa County Attorney Victim Witness Program.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing



Homelessness
High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- Veterans and veterans' families
- 2 Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)
Families displaced through City of Phoenix action or referred from the Maricopa County Attorney Victim Witness Program.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices



- Other (list below)
Information about special programs and their requirements are placed in the lobby of the Housing Applications and Information section.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

If the family requests a minimum rent hardship exemption, the Housing Department will immediately suspend the minimum rent for the family until the Housing Department can determine whether the hardship exists and whether the hardship is of a temporary or long-term nature.

A. A hardship exists in the following circumstances:

- 1. When the family has lost eligibility for or is waiting an eligibility determination for a Federal, State, or local assistance program;*
- 2. When the family would be evicted as a result of the imposition of the minimum rent requirement;*
- 3. When the income of the family has decreased because of changed circumstances, including loss of employment;*
- 4. When the family has an increase in expenses because of changed circumstances, for medical costs, childcare, transportation, education, or similar items;*
- 5. When a death has occurred in the family.*

B. No Hardship

If the Housing Department determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.

C. Temporary Hardship

If the Housing Department reasonably determines that there is a qualifying hardship but that it is of a temporary nature, the minimum rent will be not be imposed for a period of 90 days from the date of the family's request. At the end of the 90-day period, the minimum rent will be imposed retroactively to the time of suspension. The Housing Department will offer a repayment agreement in accordance with Section 18 of the Admissions and Continued Occupancy Policy for any rent not paid during the period of suspension. During the suspension period the Housing Department will not evict the family for nonpayment of the amount of tenant rent owed for the suspension period.

D. Long-term Hardship

If the Housing Department determines there is a long-term hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists.

E. Appeals

The family may use the grievance procedure to appeal the Housing Department's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?



2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
- If yes, state percentage/s and circumstances below:

- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents



- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

Residents must report to the Housing Department any increase or decrease in family composition or income within thirty days of its occurrence.*

** Any additions to family composition, other than through birth or adoption, require advance written approval from the Housing Department. Approval will be granted only if the new household member(s) meets the established eligibility requirements and a unit of the appropriate size is available. The resident must wait for the Department's approval before allowing additional persons to move into the unit.*

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

The Flat rent determinations were compiled by analyzing area rents provided through Internet services, local newspapers, Fair Market Rent and MLS listings. In addition, properties in the neighborhoods surrounding the family public housing sites were canvassed.



B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

Section 8 budget authority

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

A. *A hardship exists in the following circumstances:*

1. *When the family has lost eligibility for or is waiting an eligibility determination for a federal, state, or local assistance program, unless the family's reduction in welfare benefits is due to fraud by a member of the family or the family's failure to comply with welfare work requirements*
2. *When the family would be evicted as a result of the imposition of the minimum rent requirement*
3. *When the income of the family has decreased because of changed circumstances, including sustained medical costs or the loss of employment. This does not include a voluntary loss of employment*
4. *When a death has occurred in the family resulting in a decrease in family income.*

B. *If the Housing Department determines there is no qualifying hardship, the minimum rent will be reinstated, including requiring back payment of minimum rent for the time of suspension.*

C. *If the Housing Department determines there is a hardship, the family will be exempt from the minimum rent requirement until the hardship no longer exists. Any hardship exemptions will be reviewed at the next reexamination.*

D. *The family may use the grievance procedure to appeal the Housing Department's determination regarding the hardship. No escrow deposit will be required in order to access the grievance procedure.*

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached. (*Attachment 3*)
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing (Conventional Family)	1,258	180
Public Housing (Senior)	359	49
Public Housing (Scattered Sites)	376	54
Public Housing (Henson Village)	199	20
Section 8 Vouchers	4,513	752
HOPWA	63	13
Homeless	62	8
Other Federal Programs(list individually)		
Section 8 New Construction	226	35
Family Self Sufficiency	177	40
Neighborhood Networks	300	50
ROSS – Family	100	20
ROSS – Homeownership	70	10

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- *City of Phoenix Admissions and Continued Occupancy Policy*
- *City of Phoenix Personnel Safety Handbook "Hazardous Materials"*
- *City of Phoenix Maintenance Handbook*
- *NAHRO's Management Maintenance Handbook*
- *City of Phoenix Housing Department Procedures:*
 - *3-1 Disposal of Waste Oil*
 - *3-2 Hazardous Materials Ordering and Hazardous Material Reference Manual Procedure*

- 3-3 Respiratory Protection Program
- 3-4 Hazardous Material for Contractors and City Departments Conducting Work for Housing
- 3-5 Lead-based Paint
- 3-6 Evacuation Plan
- 3-7 Notification Procedures for Notice of Violation for Noncompliance with Environmental Regulations
- 5-3 Fire Damage
- Inspection Procedures
- Daily Duty Task Sheet
- City of Phoenix Memo – Dust Control
- City of Phoenix Memo – Blood borne Pathogen Recognition
- Housing Memo – Stock Request Procedure
- City of Phoenix Memos: Disposal of Batteries, Florescent Lamp Recycling, Portable Gas Cans

(2) Section 8 Management: (list below)

- Section 8 Master Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing



procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

*Section 8 Office
830 E. Jefferson
Phoenix, AZ 85034*

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at *Attachment 14*

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at *Attachment 15*

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: *Henson Village*

2. Development (project) number: *AZ001047, AZ001048, AZ001049, AZ001050*

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Krohn West, AZ001008A



Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Krohn West, AZ001008A

McCarty Apartments, AZ001029

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: <i>Scattered Sites</i>
1b. Development (project) number: <i>AZ16P001026</i>
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
b. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <i>Submitted 09/04/04</i>
5. Number of units affected: <i>4</i>
6. Coverage of action (select one)



<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <i>Summer 2007</i> b. Projected end date of activity: <i>December 2007</i>

Demolition/Disposition Activity Description
1a. Development name: <i>McCarty Apartments</i> 1b. Development (project) number: <i>AZ001029</i>
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/> <i>Unknown</i>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <i>Summer 2007</i>
5. Number of units affected: <i>24</i>
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <i>Fall 2007</i> b. Projected end date of activity: <i>Fall 2008</i>

Demolition/Disposition Activity Description
1a. Development name: <i>Krohn West</i> 1b. Development (project) number: <i>AZ001008A</i>
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/> <i>Unknown</i>
c. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <i>Fall 2007</i>
5. Number of units affected: <i>76</i>
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: <i>Spring 2008</i> b. Projected end date of activity: <i>Summer 2009</i>

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name: <i>McCarty Apartments</i>	
1b. Development (project) number: <i>AZ001029</i>	
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>	
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>	
4. Date this designation approved, submitted, or planned for submission: <i>Unknown</i>	
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input checked="" type="checkbox"/> Revision of a previously-approved Designation Plan?	
6. Number of units affected: <i>24</i>	

7. Coverage of action (select one)

- Part of the development
 Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)	

<input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <ul style="list-style-type: none"> <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description



Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: <i>Scattered Sites</i> 1b. Development (project) number: <i>AZ20P001018, AZI16P001022, AZI16P001024, AZI16P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046</i>
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input checked="" type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <i>December 16, 2005</i>
5. Number of units affected: <i>50</i> 6. Coverage of action: (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

Family must be a participant in the Department's Family Self Sufficiency or Scattered Sites program.

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (1)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? *04/21/1999*

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)



B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
<i>Program Name & Description (including location, if appropriate)</i>	<i>Estimated Size</i>	<i>Allocation Method (waiting list/random selection/specific criteria/other)</i>	<i>Access (development office / PHA main office / other provider name)</i>	<i>Eligibility (public housing or section 8 participants or both)</i>
<i>Public Housing Family Self Sufficiency</i>	<i>50</i>	<i>Application and Orientation</i>	<i>Resident Services Office</i>	<i>Public Housing</i>
<i>Section 8 Family Self Sufficiency</i>	<i>150</i>	<i>Open enrollment periods with mandatory orientation attendance</i>	<i>Resident Services Office</i>	<i>Section 8</i>
<i>GOALS (ROSS)</i>	<i>100</i>	<i>Open enrollment</i>	<i>Resident Services Office</i>	<i>13-18 year old public housing residents</i>
<i>The NET (Neighborhood</i>	<i>300</i>	<i>Open enrollment</i>	<i>Resident Services</i>	<i>Public Housing</i>

<i>Networks)</i>			<i>Office, Computer Technology Centers</i>	<i>residents – all ages</i>
<i>I CAN (ROSS)</i>	70	<i>Must be referred by case manager as candidate for homeownership</i>	<i>Resident Services Office</i>	<i>Adult Public Housing residents</i>
<i>Economic Initiatives</i>	45	<i>Application during open enrollment period</i>	<i>Resident Services Office</i>	<i>Adult Public Housing Residents</i>

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2005 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	47	31
Section 8	176	112

- b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

Recruitment efforts are underway to increase enrollment in both programs.

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies



A protocol was established in April 1988 that allows the Housing Department to access TANF participant information.

In October 2001 the Housing Department and the Department of Economic Security, the Arizona TANF granting agency, approved an agreement that would allow a computer cross match of files. Staff of both agencies would then be able to exchange information on all families who are mutual clients.

Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports



- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

- *The Sidney P. Osborn development, AZ20P001007B and Krohn West AZ20P001008A1, are affected by a high incidence of violent and/or drug related crime in the areas surrounding or adjacent to the development*
- *The developments that have observed a lower-level of crime, vandalism and/or graffiti include:
Luke Krohn – AZ20P001002, AZ20P001006, AZ20P001008B
Sidney P. Osborn – AZ20P001007B
Krohn East – AZ20P001008A2
Marcos De Niza – AZ20P001001, AZ20P001005
Krohn West – AZ20P001008A1
Foothills – AZ20P001016*

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime-and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

- Luke Krohn – AZ20P001002, AZ20P001006, AZ20P001008B*
- Sidney P. Osborn – AZ20P001007B*
- Krohn East – AZ20P001008A2*
- Marcos De Niza – AZ20P001001, AZ20P001005*
- Krohn West – AZ20P001008A1*
- Foothills – AZ20P001016*
- Maryvale Parkway Terrace – AZ20P001009*
- Fillmore Gardens - AZ160001005*
- Pine Towers Apartments – AZ16P001023*
- Sunnyslope Manor - AZ160001012*
- Washington Manor– AZ20P001010*
- McCarty Apartments – AZ16P001029*

C. Coordination between PHA and the police



1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

- Luke Krohn – AZ20P001002, AZ20P001006, AZ20P001008B*
- Sidney P. Osborn – AZ20P001007*
- Krohn East – AZ20P001008A2*
- Marcos De Niza – AZ20P001001, AZ20P001005*
- Krohn West – AZ20P001008A1*
- Foothills – AZ20P001016*
- Maryvale Parkway Terrace – AZ20P001009*
- Fillmore Gardens - AZI60001005*
- Pine Towers Apartments – AZI6P001023*
- Sunnyslope Manor - AZI60001012*
- Washington Manor– AZ20P001010*
- McCarty Apartments – AZI6P001029*

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? *1*
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?
A response was submitted; however, finding has not been resolved.

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - Not applicable
 - Private management
 - Development-based accounting
 - Comprehensive stock assessment
 - Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

This year eight Resident Advisory Board members provided the Department with written comments.

Several members mentioned that they felt that the Department should conduct more thorough screenings before residents can live in public housing. One resident wanted screenings conducted so that persons with mental illnesses who may be a danger to others would not be offered an apartment. In conjunction with this, there were some comments about the issues that occur when people with mental disabilities live in the same building as people with no discernible mental disability.

Comments were made by several RAB members that we should address our turn around time for vacant units so more families can be served.

One commenter felt that our flat rents are too high.

A number of persons recognized Henson Village as an outstanding development; however, one person felt we should finish the HOPE VI we currently have before applying for another.

Most people were generally pleased with the McCarty on Monroe project and agreed with the plans to make it an "elderly only" property. Some did mention that they were pleased that the tax credit units could be leased to persons as young as 55. One person specifically said they didn't want anyone younger at the redeveloped property.

Safety and security is another concern that was mentioned. Increased cameras, improved security systems and tenant patrols were ideas. Another suggestion was that we ban guns in certain areas. An additional thought was that all elderly buildings should have cameras in the parking lots.

The Violence Against Women Act provisions that apply to public housing authorities was lauded by several commenters.

One person suggested that we should seek more vouchers.



Another RAB member wanted copies of the grievance procedure posted in all public areas.

3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary. *Some of the comments by the residents could conceivably be fair housing or constitutional rights issues. Other concerns, while good suggestions, would require additional funding which we don't currently have.*
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
 - Candidates could be nominated by any adult recipient of PHA assistance
 - Self-nomination: Candidates registered with the PHA and requested a place on ballot
 - Other: (describe)
- b. Eligible candidates: (select one)
- Any recipient of PHA assistance
 - Any head of household receiving PHA assistance
 - Any adult recipient of PHA assistance
 - Any adult member of a resident or assisted family organization
 - Other (list)
- c. Eligible voters: (select all that apply)
- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 - Representatives of all PHA resident and assisted family organizations



Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
Provide home ownership opportunities to first-time buyers, particularly for low and moderate-income families with children.

Provide assisted rental housing opportunities to very-low and low-income elderly, families, homeless persons and other persons with special needs.

Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.

Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The City of Phoenix Consolidated Plan supports the City of Phoenix Housing Department Annual Agency Plan by:

- Including Department goals in the Consolidated Plan*
- Providing modernization funding for public housing*
- Providing funding for case management for self-sufficiency programs*
- Identifying the need for assisted housing in the Plan's strategy, and*
- Including the Department as a participant in the development of the Consolidated Plan.*

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

ATTACHMENT 1

Definition of Substantial Deviation and Significant Amendment or Modification

Substantial Deviation from the 5-Year Plan

Any collective change in the planned or actual use of federal funds for activities that would prohibit or redirect the Housing Department's strategic goals of increasing the availability of decent, safe and affordable housing while promoting self-sufficiency and asset development of families and individuals from being implemented as identified in the Five-year plan.

Any single or collective change in the planned or actual use of federal funds as identified in the Five-year plan that exceeds 20% of the City of Phoenix's annual program budget for Section 8 or public housing activities.

Significant Amendment or Modification to the Annual Plan

Changes of a sufficient nature to the rent or admissions policies, or the organization of the waiting list not required by federal regulatory requirements which would result in a change to the Annual Agency Plan, unless the change is a result of a circumstance identified by HUD for special intervention.

Any change in the planned or use of replacement reserve funds under the Capital Fund that exceeds 20% of the City of Phoenix's annual budget.

Changes to the Housing Department's plans effecting the demolition or disposition of public housing, designation of senior or disabled housing, the homeownership program, and a plan to convert public housing units to other than assisted housing.

ATTACHMENT 2

Admissions Policy for Deconcentration

After analysis of income averages for all properties and each development, most of the Department's conventional family housing complexes affected by the deconcentration policy are within the 85% to 115% established income range (EIR). (See Deconcentration Section, pages 32-33, for this information.)

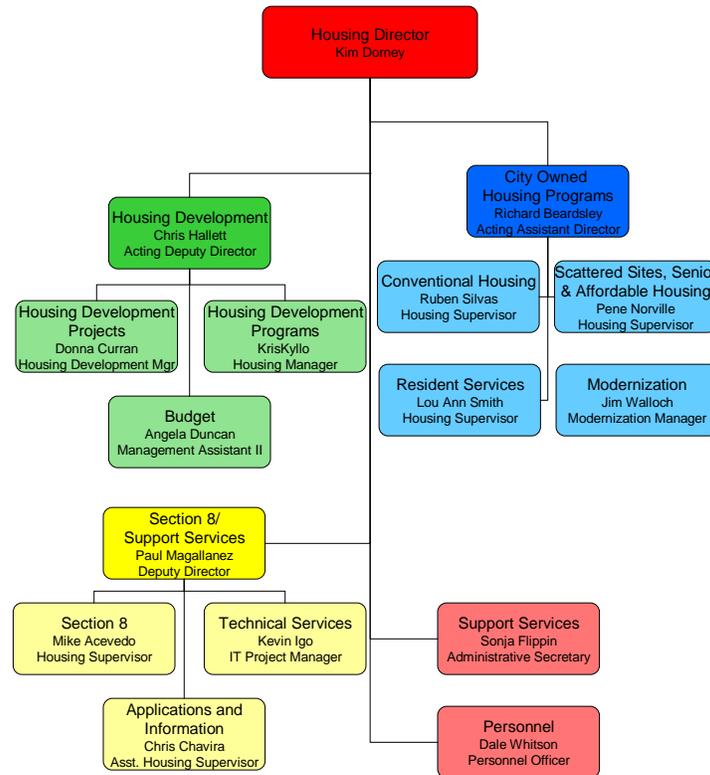
The primary exceptions are the developments that make up the department's Scattered Sites Homeownership Program. The department has opted to provide justification for why the families who live in these developments have average and median incomes far outside of the EIR.

The units which make up our Scattered Sites program promote the deconcentration of poverty throughout Phoenix. The entire program is made up of single family dwellings located throughout the city of Phoenix. The program disperses low income families throughout the city, rather than placing them in one or more apartment complexes or geographic area.

The Scattered Sites program has been granted approval to become a Section 32 homeownership program. Because of the homeownership component, one of the qualifications for participation and residency in Scattered Sites is that at least one adult member of the household must be employed at the time of entry into the program. This requirement results in a much higher percentage of families with income from wages in the Scattered Sites program – currently 89% compared to 23% in conventional family public housing. Since most families in Scattered Sites rely on earned income rather than fixed income sources, their average annual incomes are much higher, \$24,877 versus \$8,243 for other family public housing.

ATTACHMENT 3 Management Organizational Chart

City of Phoenix Housing Department



ATTACHMENT 4

Community Service and Self Sufficiency Policy for Residents of Public Housing

Community Service/Self-Sufficiency Requirement from the City of Phoenix Housing Department Admissions and Continued Occupancy Policy

GENERAL This requirement does not apply to residents of Sunnyslope Manor and Fillmore Gardens.

Community Service is defined as “the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community.” In order to be eligible for continued occupancy, each adult family member must:

- A. contribute eight (8) hours per month of community service (not including political activities), or
- B. participate in an economic self-sufficiency program for eight (8) hours per month, or
- C. perform eight (8) hours of combined activities that are described in this section.

At least eight (8) hours of activity must be performed each month. An individual may not skip a month and then double up the following month, unless circumstances warrant special consideration. The Housing Department will make the determination of whether to allow or disallow a deviation from the schedule.

Activities must be performed within the community. The Housing Department will not substitute community service or self-sufficiency activities performed by residents for work ordinarily performed by Housing Department employees, or replace a job at any location where residents perform activities to satisfy the service requirement.

COMMUNITY SERVICE WORK

Community Service volunteer work includes but is not limited to:

- A. Monthly resident council meetings.
- B. Block watch meetings and activities.
- C. Volunteer activities at on-site community centers, including recreation centers and senior centers.
- D. Community events sponsored by Housing Department, other city departments and/or local non-profit agencies.

- E. Volunteer activities at a local institution including but not limited to: school, library, child care center, hospital, hospice, recreation center, senior center, adult day care center, homeless shelter, indigent feeding program, cooperative food bank, etc.
- F. Volunteer activities at a nonprofit organization that serves Housing Department residents or their children such as: Boy Scouts, Girl Scouts, Boys or Girls clubs, 4-H program, PAL, Garden Center, Community cleanup programs, beautification programs, other youth or senior organizations;
- G. Volunteer activities at the Housing Department to help improve physical conditions;
- H. Volunteer activities at the Housing Department to help with children's programs;
- I. Volunteer activities at the Housing Department to help with senior programs;
- J. Helping neighborhood groups with special projects;
- K. Volunteer activities through resident organizations to help other residents with problems, serving as an officer in a resident organization, serving on the Resident Advisory Board; and
- L. Caring for the children of other residents so they may volunteer.

Note: Political activity is excluded.

SELF-SUFFICIENCY

Self-sufficiency activities include but are not limited to:

- A. Job readiness programs sponsored by the Housing Department, other recognized educational or job training institution/organization;
- B. Job training programs sponsored by the Housing Department, other recognized educational or job training institution/organization;
- C. GED classes;
- D. Substance abuse or mental health counseling;
- E. English proficiency or literacy (reading) classes;
- F. Apprenticeships;
- G. Budgeting and credit counseling classes;
- H. Any class that helps a person toward economic independence, and;
- I. Full-time student status at any school, college or vocational school.

EXEMPTIONS

The following adult family members are exempt from this requirement.

- A. Family members who are 62 or older;
- B. Persons with disabilities and certifies that, based on the disability, he or she cannot comply with the requirement;
- C. Caretakers of a person with a disability who has certified that based on the disability, he or she cannot comply with the requirement;
- D. Family members who are currently engaged in work activity at least 20 hours per week;

- E. Family members who are exempt from work activity under a State Program as stated by the Social Security Act or under any other State welfare program, including the welfare-to-work program;
- F. A member of a family receiving assistance, benefits or services under a State program funded under part A of title IV of the Social Security Act or under any other State welfare program, including a welfare-to-work program and who are in compliance with that program.

The Housing Department will make the final determination as to whether a family member is exempt from the Community Service requirement. Residents may use the Housing Department's Grievance Procedure if they disagree with the determination.

NOTIFICATION OF THE REQUIREMENT

The Housing Department shall notify all family members of the community service requirement and of the categories of individuals who are exempt from the requirement. The notification will provide the opportunity for family members to claim and explain an exempt status.

The notification will advise families that their community service obligation will begin upon the effective date of their first annual reexamination on or after July 1, 2001. For families paying a flat rent, the obligation begins on the date their annual reexamination would have been effective had an annual reexamination taken place. The notification will also advise residents that failure to comply with the community service requirement will result in ineligibility for continued occupancy at the time of any subsequent annual reexamination.

THE PROCESS

At lease execution or at the first annual reexamination on or after July 1, 2001, and each annual reexamination thereafter, the Housing Department will:

- A. Provide the family with exemption verification forms and the community service tracking forms and a copy of this policy at lease execution.
- B. Include in the annual recertification notification letter a statement about the Community Service and Self Sufficiency Requirement, which will include a reminder to bring all pertinent documents to the reexamination appointment. The annual recertification letter is sent to the family at least 30 days, but no more than 120 days, prior to the reexamination.
- C. Reiterate, at each reexamination, the community service requirement and the consequences for non-compliance.
- D. Provide information about obtaining suitable volunteer positions and, to the greatest extent possible, provide names and contacts at agencies that can provide opportunities for residents, including disabled, to fulfill their Community Service obligations.

- E. Provide a community service tracking form to the family member. The tracking form requires the individual to complete the form and have the supervisor in charge of the appropriate activity date and sign the form for each period of work.
- F. Advise the family member that the completed form must be returned at the next annual reexamination.

FAMILY OBLIGATIONS

- A. At lease execution or re-examination after July 1, 2001, all adult members (18 or older) of a public housing resident family must:
 - 1. Provide documentation that they are exempt from the Community Service requirement if they qualify for an exemption, and
 - 2. Sign a certification that they have received and read this policy and understand if they are not exempt, failure to comply with the Community Service requirement will result in nonrenewal of their lease.
- B. At each annual re-examination, non-exempt family members must present a completed community service tracking form (to be provided by the Housing Department) of activities performed over the previous twelve (12) months. The form will include space for supervisors, instructors or counselors to certify to the number of hours contributed.
- C. If a family member is found to be noncompliant at re-examination, he/she and the Head of Household will sign an agreement with the Housing Department to make up the deficient hours over the next twelve (12) month period.
- D. Change in exempt status:
 - 1. If, during the twelve (12) month period, a non-exempt person becomes exempt, it is his/her responsibility to report this to the Housing Department and provide documentation of such.
 - 2. If, during the twelve (12) month period, an exempt person becomes non-exempt, it is his/her responsibility to report this to the Housing Department. The Housing Department will notify the non-exempt person that they are no longer exempt and provide the person with the Certification/tracking documentation form along with a list of agencies in the community that provide volunteer and/or training opportunities.

NOTIFICATION OF NON-COMPLIANCE WITH COMMUNITY SERVICES REQUIREMENT

At least thirty (30) days prior to annual re-examination and/or lease expiration, the Housing Department will begin reviewing the exempt or non-exempt status and compliance of family members. If a family member is non-compliant with all or a portion of the community service requirement, the Housing Department will notify the family of the following:

- A. The family member(s) has been determined to be in noncompliance;
- B. The reason for the noncompliance;

- C. The family must enter into an agreement with the Housing Department to make up the deficient hours over the next twelve month period.
- D. If at the next annual re-examination, the family member still is not compliant, the Housing Department will not renew the lease and the entire family will have to vacate, unless the noncompliant member agrees to move out of the unit.
- E. That the determination is subject to the grievance procedure.

OPPORTUNITY FOR CURE

The Housing Department will offer the family member(s) the opportunity to enter into a written agreement prior to the anniversary of the lease to cure the deficiency. The agreement shall state that the family member(s) agrees to comply with the community service requirement for the current year and agrees to make up the hours for the previous 12-month period.

The cure shall occur over the 12-month period beginning with the date of the agreement. The resident shall, at the same time, stay current with that year's community service requirement. The first hours of service earned will be credited to the past year's commitment until the past year's commitment is complete.

ATTACHMENT 5

Pet Policy for Residents of Public Housing

Pet Policy is from the Admissions and Continued Occupancy Policy

PURPOSE

The Housing Department has adopted a Pet Policy for residents of housing programs. It is Housing Department policy that all tenants be allowed quiet enjoyment of the premises. No pet will be allowed or permitted to remain that constitutes a nuisance or threat to Housing Department staff or residents or detracts from any neighbor's quiet enjoyment of his/her home. Violation of any of the rules for pets and addendum to lease will be considered a breach of the Lease and may be cause for eviction.

EXCLUSIONS

This policy does not apply to animals that are used to assist persons with disabilities. Assistive and companion animals are allowed in all housing facilities with no restrictions other than those imposed on all tenants to maintain their units and associated facilities in a decent, safe, and sanitary manner and to refrain from disturbing their neighbors and from constituting a safety threat. Assistive animals are animals that work, provide assistance or perform tasks for the benefit of a person with a disability or animals that provide emotional support that alleviates one or more identified symptoms or effects of a person's disability.

APPROVAL

Residents must have prior approval of the Housing Department before moving a pet into their unit. Residents must request approval on the Authorization for Pet Ownership Form that must be fully completed before the Housing Department will approve the request. As a prerequisite to pet ownership, the tenant must be able to demonstrate the ability to care for the pet. Good payment history, satisfactory household inspections and absence of recorded lease violations during the past three years will demonstrate this ability.

TYPES AND NUMBER OF PETS

The Housing Department will allow only one domesticated dog or cat per household. The animal may not exceed twenty-five (25) pounds in weight when fully grown, except in the Scattered Sites program where there is no weight restriction for an animal. All dogs or cats must be neutered or spayed. A veterinary certificate must be provided to the Housing Department. Any animal deemed to be potentially harmful to the health or safety of others, including attack or fight trained dogs, will not be allowed.

INOCULATIONS/LICENSE

Prior to approval of a pet, the tenant will be required to show proof that his/her pet has been properly vaccinated and that all local licensing requirements have been met. No Pet Addendum will be executed and the pet shall not be allowed on the premises if it has not been properly vaccinated and licensed. On an annual basis, tenant must bring the current license and proof of vaccination of the pet to the Housing Department. Failure to do so will result in removal of the pet from the household.

PET DEPOSIT

A pet deposit of \$100.00 or one month's rent (before any utility allowance), whichever is less, shall be required. The pet deposit is to cover potential damage by the pet and will be returned in part or full depending on the cost of damages incurred as a direct result of the pet. Damages will be assessed by the Housing Department at the time the tenant vacates or the time the tenant disposes of the pet. The deposit will not bear interest. A minimum of \$25.00 of the pet deposit must be collected as the initial payment toward the full deposit. The remaining balance must be paid in a maximum of three successive monthly payments of \$25.00. For Sunnyslope Manor and Fillmore Gardens residents, the initial deposit cannot exceed \$50 and the remaining balance can be paid in increments not to exceed \$10 per month.

FINANCIAL OBLIGATION OF RESIDENTS

Any resident who owns or keeps a pet in their dwelling unit will be required to pay for any damages caused by the pet. Also, any pet-related insect infestation in the pet owner's unit will be the financial responsibility of the pet owner. The Housing Department reserves the right to exterminate insect infestations in the dwelling unit and charge the resident.

PET CARE/PET IDENTIFICATION

The tenant will be solely responsible for the maintenance of the pet in a healthy environment and will insure that the pet receives proper care and humane treatment. Pets requiring licensing shall wear a collar displaying identification. Failure to comply will result in removal of the pet.

NUISANCE OR THREAT TO HEALTH OR SAFETY

The pet and its living quarters must be maintained in a manner to prevent odors and any other unsanitary conditions in the owner's unit and surrounding areas. Repeated substantiated complaints by neighbors or Housing Department personnel regarding pets disturbing the peace of neighbors through noise, odor, animal waste, or other nuisance will result in the owner having to remove the pet or vacate his/her housing unit. A single incident of a pet threatening the health or safety of anyone may result in removal of the pet or a notice of lease violation or termination.

DESIGNATION OF PET AREA

Pets must be kept in the owner's unit or on a leash at all times when outside. No outdoor cages/dog houses may be constructed in conventional public housing or senior housing. Pets will not be tethered outside of units in conventional public housing or senior housing. When outdoors, pets will be on a leash no longer than five feet in length, or carried in a closed, ventilated container used for the purposes of transporting live animals. The tenant shall insure that the pet does not wander into neighboring yards or common areas. Pets will be allowed only in designated areas on the grounds of the public housing developments. Pet owner's must clean up after their pets and are responsible for disposing of pet waste and debris. Pet owners not cleaning up the waste will be charged \$5.00 for each violation. Continuous violations of this rule may lead to eviction.

VISITING PETS

Pets belonging to visitors and family members not on the lease will not be allowed on the premises without prior written approval of the Housing Department.

REMOVAL OF PETS

The Housing Department, or an appropriate community authority, shall require the removal of any pet from a housing site if the pet's conduct or condition is determined to be a nuisance or threat to the health or safety of other occupants of the site or of other persons in the community where the housing site is located.

PET LIABILITY

Tenants that elect to be pet owners, indemnify the Housing Department for all claims regarding any loss or personal injury caused by the tenant's pet to any other tenant, guest or Housing Department employee on the premises. The tenant agrees to report immediately any damage caused by the pet and to pay charges for repair to the premises, buildings, facilities and common areas caused by the pet.

DESIGNATED RESPONSIBLE PARTY FOR EMERGENCY PURPOSES

Tenant shall provide the name, address and phone of someone who would keep the animal in the event of owner illness or death. In the event that the party cannot be reached, the Housing Department will contact the local animal shelter.



ATTACHMENT 6

Resident Membership of the Governing Board

Patricia Graves, a current participant in the public housing program and a resident at one of the Department's senior housing facilities, Maryvale Parkway Terrace, is the resident representative on the City of Phoenix Assisted Housing Governing Board.

ATTACHMENT 7

Membership of the Resident Advisory Board

Member	Site/Program Representing
Joyce Bradley	Scattered Sites
Monica Collins	Scattered Sites
Marisela Cruz	Sidney P. Osborn
Patricia Graves	Maryvale Parkway Terrace
Anita Johnson	Section 8
Leo Lopez	Marcos de Niza
Mary Lulu McFarland	Sunnyslope Manor
Rosa Medina	Foothills Village
Evelyn Morales	Washington Manor
Joan Mundy	Fillmore Gardens
Jeanetta Shuford	Luke Krohn
Betty Silva	Section 8
Phoebe Thomas	Section 8
Victor Valencia	Matthew Henson
Brenda Wilson	Pine Towers



ATTACHMENT 8

Project-Based Voucher Program

The Housing Department has implemented a project-based assistance program to increase affordable housing opportunities for low-income families. 48 vouchers of the 5,320 vouchers under Annual Contributions Contract have been project-based.

General locations considered were areas of the City of Phoenix identified as Enterprise Communities, Redevelopment Areas or Neighborhood Initiative Areas. Eligible housing was limited to existing multi-housing apartment communities offering a variety of bedroom sizes (1 – 3 bedrooms).

ATTACHMENT 9

Report on 5 Year Plan Accomplishments

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

Should it be financially feasible, apply for additional vouchers as they become available.
At this time no vouchers have become available.

Identify additional funding sources to support the public housing and section 8 programs.
The Department is actively exploring alternative funding to support its assisted housing programs and Central Office Cost Center. To provide additional capital resources, the Department received \$17 million for affordable housing development and \$5.213 to assist with public housing modernization in the last GO Bond election.

Prepare a feasibility study to demolish and reconstruct up to 230 units of public housing, construct up to 70 units of additional affordable housing for the residents of our community.
This goal is being removed from the five year plan.

Maintain the PHAS score at a level of “high performer.”
The Department is developing solutions that will enable it to return to “high performer” status.

Maintain the SEMAP score at a level of “high performer.”
The Department implemented a corrective action plan which moved the section 8 program toward “high performer” again.

Reduce average unit turn around time to 30 days.
Department staff continues to work toward greater efficiency in all areas that impact unit turn around time.

Upgrade the electrical panels, power poles and wiring at five sites. This goal is contingent on available funding.

The city has completed this project at the Sidney P. Osborn Homes site that includes 145 units. Design for another site at Frank Luke Homes with 138 units will be bid before June 30, 2007.

Install air conditioning units at various sites – approximately 400 units if it's financially feasible.

The city has installed a chiller air conditioning system at the Foothills Village Apartments and individual HVAC units at Sidney P. Osborn. This makes a total of 384 family conventional public housing units that have air conditioning. The Department is in the process of installing air conditioners at a portion of the Luke Krohn community, AZ1-6.

Improve the curb appeal of public housing developments by upgrading its landscaping by installing perimeter site fencing at two conventional housing sites.

The city has installed new perimeter site walls and plantings at Foothills Village Apartments public housing site. Over four thousand lineal feet of 3+ foot high wrought iron fence combined with CMU pillars were placed along the perimeter of our property. Over 500 irrigated plants were planted along the new walls. The back of the apartments face streets and perimeter fencing will provide safety and define boundaries for our residents.

Fencing and landscaping will be added at Luke Krohn in the AZ1-6 development along 16th street.

Continue to create an appealing, up-to-date appearance in its developments by painting exterior trim on all family conventional public housing sites.

Exterior trim painting is scheduled for subsequent years in the five year plan.

Paint the exteriors of three family public housing sites – approximately 200 units.

The exteriors of the units at Foothills Village Apartments, a family public housing site, were painted. Over 205,000 square feet of stucco was re-surfaced and painted. The complex five color new paint scheme was designed to highlight the architectural features of the 32 buildings. Two other sites are scheduled later in the five year plan.

Remodel kitchens/ bathrooms at three sites.

Bathroom remodeling projects have been completed at three sites, Foothills Village (200 units), Washington Manor (100 units) and Sidney P. Osborn Homes (145 units).

Dispose of four homes that are inadequate for the needs of the Scattered Sites program.

The disposition application has been submitted and all requested documents provided to HUD. The Department is awaiting a final approval letter from HUD.

Improve outreach efforts to potential voucher landlords by implementing an outreach program to attract 20 new landlords each year to participate in the Section 8 program.

Over 700 landlords have become new section 8 vendors this year.

Provide vouchers for up to 5 families for the purpose of homeownership.

The Housing Department is planning a more focused effort for Section 8 homeownership during the next few years. Lenders are being sought. Seventeen families have completed or are in the process of completing the educational components required for participation in the program.

Convert 5(h) homeownership program into a Section 32 homeownership program.

The agreements have been executed and the program has about ten families who are interested in purchasing their scattered site home. Three or four should be able to purchase within the next six months.

Sell a minimum of five homes to residents of the Housing Department's assisted housing programs.

Ten Family Self Sufficiency families have purchased a home since 2005.

Encourage Matthew Henson families to participate in a homeownership program so that a minimum of eight families will purchase homes.

Seven Matthew Henson families have purchased homes in the last year.

Investigate the possibility of site-based waiting lists.

As the Department moves to asset-based management, site-based waiting lists will be considered rather than a community-wide list. A number of efficiency factors must be considered prior to a decision being made.

Implement site-based waiting list for new HOPE VI development.

The site-based waiting list for the Henson Village HOPE VI development has begun.

Consider providing a semi-assisted living environment at one of the senior housing communities.

This goal is being removed from the 5-year Plan as it is no longer considered viable.

Improve community outreach by speaking to 20 civic, faith-based or fraternal groups a year to explain the importance of assisted housing programs to the community.

This goal is being removed from the 5-year Plan.

HUD Strategic Goal: Improve community quality of life and economic vitality

Improve the safety of family and senior conventional public housing developments by providing, as needs are identified, through a combination of one or more of the following – install cameras or install security doors or screens.

Security doors and screens are scheduled for replacement later in the five year plan. Cameras would be installed as the needs arise.

Improve the security of the public housing developments by providing additional area lighting at facilities as needed.

Additional exterior lighting has been provided at our Sidney P. Osborn site and is expected to be installed at Luke Krohn during the 07/08 FY. Lighting is an ongoing evaluation at all of our housing locations.

Designate 100 units of public housing for elderly use only for the Henson Village HOPE VI development. Designation of 100 units of public housing for elderly use only for the HOPE VI development was approved by HUD in December 2005.

If funding available, improve the common area playgrounds at its family public housing developments by providing new playground equipment, canopies, barbecues, seating, volleyball courts and picnic ramadas to replace existing as needed.

The common areas of Foothills Village Apartments was improved by adding two picnic ramadas and eight seating/play areas.

Continue to work with the Police Department to notify residents of problems that may be occurring on the edge of public housing.

Most months, the police attend the block watch or resident council meetings to keep residents informed of on-going issues in their neighborhood. In addition, staff maintains an open door policy for the police assigned to the public housing areas. Information is mutually shared on a continuous and regular basis. The Department has recently hired a Housing Investigator to help address issues that may contribute to “edge” problems.

Strengthen the community in Central City South by working with a local non-profit to develop a leadership academy.

A leadership academy has been developed with Phoenix Revitalization Corporation. To date 31 residents in Central City South have graduated. In addition, the Department’s new teen program had 14 parents and teens graduate from the program this year.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

Refer at least 10 residents for Section 3 or Housing Department employment.

Twenty residents were referred for Section 3 employment and sixteen were hired.

Provide referrals to employment training services to enable 65% of eligible HOPE VI residents to secure employment.

Currently 66% of eligible residents are working. The CSS staff continues to work with residents on job training and employment related skills.

Continue the HOPE VI Coalition of Community Providers meetings.

Meetings of the HOPE VI Coalition of Community Providers continue to be held quarterly, the 1st Wednesday of February, May, August and November.

Continue to look for and provide opportunities to encourage teens to graduate from high school and seek post-secondary education.

The Housing Department received a 2005 grant which will provide comprehensive services to teens to help them become productive, successful adults.

Develop computer labs with ESL and adult basic education software for selected conventional housing sites.

ESL and adult basic education software is available at two computer labs and has been purchased for the Emmett McLoughlin Community Training and Education Center computer lab this year. In addition, pre-literacy training will be offered by Literacy Volunteers of Maricopa County. A third site, Foothills Village, will begin developing a new lab soon.

Offer case management to 100% of all HOPE VI residents.

All HOPE VI residents are tracked and monitored on at least a quarterly basis.

Should the FSS program remain viable, continue to offer 160 FSS slots to families in assisted housing programs.

To return the FSS program to its goal of 160 filled slots, an intensive recruitment will be held this year for section 8 participants who are on TANF. Recruitment is on-going.

Initiate a Head Start or child care center at Foothills Village

Roosevelt School District has expressed an interest in creating a Head Start classroom at Foothills Village. It is hoped that the facility will be ready for the school year beginning in Fall 2007.

Insure all computer labs have assistive devices for persons with various disabilities for all conventional housing and senior housing sites.

This year assistive devices have been purchased for the computer labs at two senior sites. In addition, the computer center at Matthew Henson has dedicated stations for persons with disabilities.

Develop a Wellness Center in the adult living building of the HOPE VI development.

To provide greater access to all HOPE VI residents, it has been determined that the Wellness Center will be established in the Emmett McLoughlin Community Training and Education Center. An agreement is in process with Abrazo Health Care to provide a Heart Health machine.

Develop, identify and implement at least three new on- or off-site services and activities based on needs identified by residents.

Fairworthy Institute has sponsored a number of relationship trainings. The IRS is a part of the partnership to provide free income tax assistance at various public housing sites. The Arizona Department of Environmental Quality has provided a wealth of information and resources for community fairs.

Apply for available grants, work with Economic Initiatives program, and/or contract with outside vendors to increase on-site services in the Senior Housing communities, i.e.: housekeeping, daily meal, health care services, etc.

Work on this goal is on-going as different vendors are brought in as the need arises. New this year – free lunches are being provided at the Department’s senior housing facilities, Monday through Friday, by SUPO.

Apply for at least two grants each year for self-sufficiency programs.

Since 2005, the City of Phoenix Housing Department has been awarded ten grants to help families become self sufficient.

Provide case management and supportive services to help 50% of the HOPE VI teens graduate from high school. (Current graduation rate is approximately 35%.)

HOPE VI Community and Supportive Services staff continues to provide case management to youth and their families to help increase the graduation rate of HOPE VI youth.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

Prepare an ADA compliance self-evaluation of the public housing facilities owned or operated by the city of Phoenix.



The Department has completed its self-evaluation of public housing facilities and has found that all facilities are in compliance.

Prepare an assessment, action plan and timeline to address ADA issues discovered by the self-evaluation.

No action is necessary.

ATTACHMENT 10

Section 8 Homeownership Capacity Statement

The City of Phoenix Housing Department has chosen to demonstrate its capacity to administer the Section 8 Homeownership Program by establishing a minimum homeowner downpayment requirement of at least 3 percent of the purchase price and will require that at least 1 percent of the purchase price come from the family's resources.

This year the Housing Department will undertake the following actions to implement the Section 8 Homeownership Program:

- Seek out and encourage clients as to the benefits of homeownership.
- Develop supplementary coursework to further educate potential homeowners.
- Establish multiple liaisons with the lending community.
- Partner with other homeownership programs to promote the HCV program.
- Establish a pool of clients interested in the HCV program.
- Facilitate educational opportunities for potential homeowners.

ATTACHMENT 11

Site-based Waiting List

A site-based waiting list has been instituted at Henson Village, a HOPE VI mixed income development. The first preference for the site-based waiting list was those families who qualified for the “right to return” preference. Since all of the families with the “right to return” have been housed, the waiting list is now sorted by bedroom size, then income tiers. Families within each income tier are prioritized using the preferences as detailed in this Annual Agency Plan.

Additional details are available in the Matthew Henson Homes Phase I Regulatory and Operating Agreement and the Matthew Henson Family Phase I Rental Management Plan, dated June 15, 2004.

If the McCarty on Monroe project or a new HOPE VI application is approved, we will use site-based waiting lists for those developments.



ATTACHMENT 12

Violence Against Women Act Activities (VAWA)

The City of Phoenix Housing Department has notified residents of VAWA provisions and has included a VAWA addendum to its lease.

Staff refers victims affected by VAWA to appropriate agencies including domestic violence shelters, victim services programs and the Family Advocacy Center. Families in crisis are referred to the police and/or to the Value Options Crisis Line.

ATTACHMENT 13

Homeownership Programs

The Department offers two homeownership opportunities to residents of public and assisted housing.

Section 32 – Public Housing Homeownership Program

The Section 32 plan sells homes from the Scattered Sites program to qualified buyers.

Section 8y - Housing Choice Voucher Homeownership Program

The HCV Homeownership Program subsidizes the mortgage payment for persons who have purchased their home through this program

Both programs require that families to complete a number of steps prior to purchasing a home. These include:

- Homeownership Training, This 16 hour class provides families with the basics of owning a home including renting vs. owning, predatory lending, mortgage terms 101 and basic credit repair.
- Financial Literacy Training, Families may choose a financial literacy program that meets their needs; however, our basic expectations are that a family budget would be established upon completion.
- Maintenance classes, Each family should be aware of the many responsibilities of owning a home including the repair aspect. We require that families take at least two maintenance classes.
- One-on-one homeownership counseling, A HUD approved counseling agency reviews the family's credit, makes suggestions for improvement and basically gets the family mortgage-ready.

Once the families have completed these steps and the homeownership counseling agency has determined that they qualify for a mortgage, we begin the process of selling the home.



ATTACHMENT 14 Capital Fund Annual Statement

Component 7 Capital Fund Program Annual Statement Parts I, II, and III

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number **AZ20P00150107** FFY of Grant Approval: **(07/2007)**

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$725,000
3	1408 Management Improvements	\$150,000
4	1410 Administration	\$258,000
5	1411 Audit	\$3,000
6	1415 Liquidated Damages	
7	1430 Fees and Costs	\$360,000
8	1440 Site Acquisition	
9	1450 Site Improvement	\$356,000
10	1460 Dwelling Structures	\$1,565,000
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	\$50,000
20	Amount of Annual Grant (Sum of lines 2-19)	\$3,467,000
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
HA – Wide Activities	<i>Operations</i>	1406	\$725,000
	<i>Management Improvements</i>	1408	\$150,000
	<i>Administration</i>	1410	\$258,000
	<i>Audit</i>	1411	\$3,000
	<i>Fees and Costs</i>	1430	\$360,000
AZ20P001001 <i>Marcos de Niza</i>	<i>Repair sidewalks</i>	1450	\$60,000
	<i>Install irrigation systems</i>	1450	\$120,000
AZ20P001002 <i>Frank Luke Homes</i>	<i>Exterior fascia repair</i>	1460	\$98,000
AZ20P001005 <i>Marcos de Niza Addition</i>	<i>Install irrigation systems</i>	1450	\$115,000
AZ20P001006 <i>Frank Luke Addition</i>	<i>Exterior fascia repair</i>	1460	\$60,000
AZ20P001007 <i>Sidney P. Osborn</i>	<i>Replace balcony rails</i>	1460	\$215,000
	<i>Recoat roofs</i>		\$85,000
AZ20P001008A2 <i>A. L. Krohn Homes</i>	<i>Replace balcony rails</i>	1460	\$55,000
	<i>Paint building exteriors</i>		\$35,000
AZ20P001008B <i>A. L. Krohn Homes</i>	<i>Paint building exteriors</i>	1460	\$135,000
AZ20P001009 <i>Maryvale Parkway Terr</i>	<i>Seal coat roofs</i>	1460	\$23,000
	<i>Replace flooring in common areas</i>		\$68,000
AZ20P001010 <i>Washington Manor</i>	<i>Interior lighting improvements</i>	1460	\$28,000
AZ20P001016 <i>Foothills Village Apart</i>	<i>Seal coat roofs</i>	1460	\$163,000
	<i>Seal coat and stripe parking lots</i>		\$38,000
AZ20P001023 <i>Pine Towers Apartments</i>	<i>Seal coat and stripe parking lots</i>	1450	\$23,000



Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table Cont.

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035,AZ20P001036, AZ20P001037,AZ20P001038, AZ20P001039,AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 Scattered Sites	<i>Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements</i>	1460	\$600,000
<i>HA – Wide Activities</i>	<i>Contingency</i>	1502	\$50,000
	<i>Anticipated Amount of Annual Grant</i>		\$3,467,000



Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
<i>HA – Wide Activities</i>	6/30/2009	6/30/2011
<i>AZ20P001001 Marcos de Niza</i>	6/30/2009	6/30/2011
<i>AZ20P001002 Frank Luke Homes</i>	6/30/2009	6/30/2011
<i>AZ20P001005 Marcos de Niza Addition</i>	6/30/2009	6/30/2011
<i>AZ20P001006 Frank Luke Addition</i>	6/30/2009	6/30/2011
<i>AZ20P001007 Sidney P. Osborn</i>	6/30/2009	6/30/2011
<i>AZ20P001008A2 A. L. Krohn Homes</i>	6/30/2009	6/30/2011
<i>AZ20P001008B A. L. Krohn Homes</i>	6/30/2009	6/30/2011
<i>AZ20P001009 Maryvale Parkway Terr</i>	6/30/2009	6/30/2011
<i>AZ20P001010 Washington Manor</i>	6/30/2009	6/30/2011
<i>AZ20P001016 Foothills Village Apart</i>	6/30/2009	6/30/2011
<i>AZ20P001023 Pine Towers Apartments</i>	6/30/2009	6/30/2011
<i>AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037,</i>	6/30/2009	6/30/2011



City of Phoenix
HOUSING DEPARTMENT

2007/2008 Annual Agency Plan

AZ001

April 15, 2007

AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 <i>Scattered Sites</i>		
---	--	--



ATTACHMENT 15 5-Year Action Plan for Capital Fund

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name City of Phoenix Housing Department		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 2			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 2008	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 2011
AZ20P001001 <i>Marcos de Niza</i>	Annual Statement	\$210,000	\$25,000		\$120,000
AZ20P001002 <i>Frank Luke Homes</i>		\$98,000	\$22,000	\$150,000	\$65,000
AZ20P001005 <i>Marcos de Niza Addition</i>		\$135,000	\$20,000		\$35,000
AZ20P001006 <i>Frank Luke Addition</i>		\$60,000	\$23,000	\$68,000	\$65,000
AZ20P001007 <i>Sidney P. Osborn</i>		\$235,000	\$165,000	\$85,000	\$278,000
AZ20P001008A1 <i>A. L. Krohn</i>		\$25,000			
AZ20P001008A2 <i>A. L. Krohn</i>		\$35,000	\$95,000	\$32,000	\$28,000
AZ20P001008B <i>A. L. Krohn Homes</i>		\$135,000	\$30,000	\$65,000	\$68,000
AZ20P001009 <i>Maryvale Parkway Terrace</i>		\$255,000	\$23,000	\$78,000	\$34,000
AZ20P001010 <i>Washington Manor</i>		\$113,000	\$58,000	\$25,000	\$26,000
AZ20P001016 <i>Foothills Village Apart..</i>		\$163,000	\$28,000	\$158,000	\$35,000
AZ20P001023 <i>Pine Towers</i>		\$43,000	\$638,000	\$295,000	\$80,000



City of Phoenix
HOUSING DEPARTMENT

AZ20P001029 <i>McCarty Apartments</i>		\$50,000		
--	--	----------	--	--



Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name City of Phoenix Housing Department		<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 2			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2008 PHA FY: 2008	Work Statement for Year 3 FFY Grant: 2009 PHA FY: 2009	Work Statement for Year 4 FFY Grant: 2010 PHA FY: 2010	Work Statement for Year 5 FFY Grant: 2011 PHA FY: 2011
AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 <i>Scattered Sites</i>	Annual Statement	\$800,000	\$800,000	\$800,000	\$800,000
<i>HA-Wide Operations</i>		\$700,000	\$700,000	\$720,000	\$750,000
<i>HA-Wide Economic Initiatives</i>		\$100,000	\$150,000	\$100,000	\$150,000
<i>HA-Wide Administration</i>		\$268,000	\$273,000	\$283,000	\$350,000
<i>HA-Wide Training</i>		\$4,000	\$5,000	\$4,000	\$4,000
<i>HA-Wide Audit Fees</i>		\$4,000	\$4,000	\$4,000	\$4,000
<i>HA-Wide Fees and Costs</i>		\$360,000	\$422,000	\$480,000	\$480,000
<i>HA-Wide Relocation Costs</i>		\$15,000	\$15,000	\$15,000	\$15,000
<i>HA-Wide Contingency</i>		\$50,000	\$150,000	\$180,000	\$170,000
CFP Funds Listed for 5-year planning		\$3,858,000	\$3,641,000	\$3,592,000	\$3,607,000



Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2008 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: 2009 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
Se	AZ20P001001 Marcos de Niza	Paint building exterior	\$150,000	AZ20P001001 Marcos de Niza	Seal coat and stripe alleys and parking lots	\$25,000
Annual		Improve playgrounds and Install canopies	\$60,000			
Statement		Subtotal	\$210,000			
	AZ20P001002 Frank Luke Homes	Install landscaping irrigation systems	\$98,000	AZ20P001002 Frank Luke Homes	Seal coat and stripe alleys and parking lots	\$22,000
	AZ20P001005 Marcos de Niza Addition	Paint building exteriors	\$135,000	AZ20P001005 Marcos de Niza Addition	Seal coat and stripe alleys and parking lots	\$20,000
	AZ20P001006 Frank Luke Addition	Landscape improvements	\$60,000	AZ20P001006 Frank Luke Addition	Seal coat and stripe alleys and parking lots	\$23,000
	AZ20P001007 Sidney P. Osborn	Interior lighting improvements	\$235,000	AZ20P001007 Sidney P. Osborn	Install covered parking	\$165,000
	AZ20P001008A1 A. L. Krohn	Paint building exterior trim	\$25,000			



	AZ20P001008A2 A. L. Krohn	Landscape improvements	\$35,000	AZ20P001008A2 A. L. Krohn	Install covered parking	\$95,000
	AZ20P001008B A. L. Krohn Homes	Install covered parking	\$135,000	AZ20P001008B A. L. Krohn Homes	Seal coat and stripe alleys and parking lots	\$30,000
	AZ20P001009 Maryvale Parkway Terrace	Remodel Kitchens	\$255,000	AZ20P001009 Maryvale Parkway Terrace	Seal coat and stripe parking lots	\$23,000
	AZ20P001010 Washington Manor	Seal coat roof	\$28,000	AZ20P001010 Washington Manor	Paint building exterior	\$58,000
		Replace flooring in common areas	\$85,000			
		Subtotal	\$113,000			

Activities for Year 1	Activities for Year : <u>2</u> FFY Grant: 2008 PHA FY: 2008			Activities for Year: <u>3</u> FFY Grant: 2009 PHA FY: 2009		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	AZ20P001016 Foothills Village Apart.	Seal coat roofs	\$163,000	AZ20P001016 Foothills Village Apart.	Install security cameras	\$28,000
Annual Statement	AZ20P001023 Pine Towers	Seal coat roofs	\$43,000	AZ20P001023 Pine Towers	Replace air handler and drains	\$338,000
					Chiller/boiler replacements	\$300,000
					Subtotal	\$638,000
	AZ20P001029 McCarty Apartments	Demolition	\$50,000			



	AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$800,000	AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$800,000
HA-Wide		Operations	\$700,000	HA-Wide	Operations	\$700,000
HA-Wide		Economic Initiatives	\$100,000	HA-Wide	Economic Initiatives	\$150,000
HA-Wide		Administration	\$268,000	HA-Wide	Administration	\$273,000
HA-Wide		Training	\$4,000	HA-Wide	Training	\$5,000
HA-Wide		Audit Fees	\$4,000	HA-Wide	Audit Fees	\$4,000
HA-Wide		Fees and Costs	\$360,000	HA-Wide	Fees and Costs	\$422,000
HA-Wide		Relocation Costs	\$15,000	HA-Wide	Relocation Costs	\$15,000
HA-Wide		Contingency	\$50,000	HA-Wide	Contingency	\$150,000
Total CFP Estimated Cost			\$3,858,000			\$3,641,000



Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: 2010 PHA FY: 2010			Activities for Year: <u>5</u> FFY Grant: 2011 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
AZ20P001001 Marcos de Niza			AZ20P001001 Marcos de Niza	Landscape improvements	\$120,000
AZ20P001002 Frank Luke Homes	Paint building exterior	\$150,000	AZ20P001002 Frank Luke Homes	Lighting improvements	\$65,000
AZ20P001005 <i>Marcos de Niza Addition</i>			AZ20P001005 <i>Marcos de Niza Addition</i>	<i>Kitchen and Bathroom fixtures</i>	\$35,000
AZ20P001006 <i>Frank Luke Addition</i>	<i>Improve playgrounds and Install canopies</i>	\$68,000	AZ20P001006 <i>Frank Luke Addition</i>	<i>Landscape improvements</i>	\$65,000
AZ20P001007 <i>Sidney P. Osborn</i>	<i>Improve playgrounds and Install canopies</i>	\$85,000	AZ20P001007 <i>Sidney P. Osborn</i>	<i>Remodel kitchens</i>	\$278,000
AZ20P001008A2 <i>A. L. Krohn</i>	<i>Recoat roofs</i>	\$32,000	AZ20P001008A2 <i>A. L. Krohn</i>	<i>Exterior door replacement</i>	\$28,000
AZ20P001008B <i>A. L. Krohn Homes</i>	<i>Water heater replacement</i>	\$65,000	AZ20P001008B <i>A. L. Krohn Homes</i>	<i>Interior door replacement</i>	\$68,000
AZ20P001009 <i>Maryvale Parkway Terrace</i>	<i>Paint building exterior</i>	\$78,000	AZ20P001009 <i>Maryvale Parkway Terrace</i>	<i>Interior painting</i>	\$34,000



AZ20P001010 Washington Manor	Install security cameras	\$25,000	AZ20P001010 Washington Manor	Interior painting	\$26,000
AZ20P001016 Foothills Village Apart.	Interior lighting improvements	\$158,000	AZ20P001016 Foothills Village Apart.	Security doors	\$35,000
AZ20P001023 Pine Towers	Improve site lighting	\$45,000	AZ20P001023 Pine Towers	Paint building exterior	\$80,000
	Remodel community center	\$250,000			
	Subtotal	\$295,000			

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year : <u>4</u> FFY Grant: 2010 PHA FY: 2010			Activities for Year: <u>5</u> FFY Grant: 2011 PHA FY: 2011		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$800,000	AZ20P001018, AZ20P001022, AZ20P001024, AZ20P001026, AZ20P001033, AZ20P001034, AZ20P001035, AZ20P001036, AZ20P001037, AZ20P001038, AZ20P001039, AZ20P001040, AZ20P001041, AZ20P001042, AZ20P001043, AZ20P001045, AZ20P001046 Scattered Sites	Exterior painting, roof replacement, interior remodeling, electrical upgrades, HVAC replacement, site improvements	\$800,000
HA-Wide	Operations	\$720,000	HA-Wide	Operations	\$750,000
HA-Wide	Economic Initiatives	\$100,000	HA-Wide	Economic Initiatives	\$150,000
HA-Wide	Administration	\$283,000	HA-Wide	Administration	\$350,000
HA-Wide	Training	\$4,000	HA-Wide	Training	\$4,000
HA-Wide	Audit Fees	\$4,000	HA-Wide	Audit Fees	\$4,000



<i>HA-Wide</i>	<i>Fees and Costs</i>	\$480,000	<i>HA-Wide</i>	<i>Fees and Costs</i>	\$480,000
<i>HA-Wide</i>	<i>Relocation Costs</i>	\$15,000	<i>HA-Wide</i>	<i>Relocation Costs</i>	\$15,000
<i>HA-Wide</i>	<i>Contingency</i>	\$180,000	<i>HA-Wide</i>	<i>Contingency</i>	\$170,000
Total CFP Estimated Cost		\$3,592,000			\$3,607,000



ATTACHMENT 16 - Annual Statement/Performance and Evaluation Report

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150103 Replacement Housing Factor Grant No:		Federal FY of Grant: 2003	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 2)					
Performance and Evaluation Report for Period Ending: 12/31/2003 Final Performance and Evaluation Report -					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$495,537	\$410,340	\$410,340	\$410,340
3	1408 Management Improvements Soft Costs	\$255,000	\$255,000	\$255,000	\$255,000
	Management Improvements Hard Costs				
4	1410 Administration	\$340,000	\$1,705	\$1,705	\$1,705
5	1411 Audit	\$4,000	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$200,000	\$300,000	\$300,000	\$301,658
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$311,000	\$158,708	\$158,708	\$158,707
10	1460 Dwelling Structures	\$1,741,000	\$2,102,189	\$2,102,189	\$2,146,700
11	1465.1 Dwelling Equipment—Nonexpendable	\$73,000	\$61,841	\$61,841	\$61,841
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$75,000	\$0	\$0	\$0
18	1499 Development Activities (R&R)	\$0	\$0	\$0	\$0
19	1502 Contingency	\$129,803	\$128,339	\$0	\$0



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: AZ20P00150103		2003	
		Replacement Housing Factor Grant No:			
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 2)					
Performance and Evaluation Report for Period Ending: 12/31/2003 Final Performance and Evaluation Report -					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	Amount of Annual Grant: (sum of lines 2-19)	\$3,624,340	\$3,418,122	\$3,289,783	\$3,335,951
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security –Soft Costs	\$108,000			
24	Amount of Line 20 related to Security-- Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	\$123,000			



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department	Grant Type and Number Capital Fund Program Grant No: AZ20P00150103	Federal FY of Grant: 2003
---	---	---------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments
					Original	Revised	Obligated	Expended	
AZ20P001001	Gate valves	1A	1450		\$15,000	\$24,380	\$24,380	\$24,380	Completed 5/05
Marcos de Niza	Hydoc-Vac Sewers	1B	1450		\$0	\$6,279	\$6,279	\$6,279	Completed 7/04
	Subtotal				\$15,000	\$30,659	\$30,659	\$30,659	
AZ20P001002	Remodel kitchen	2A	1460		\$10,000	\$0	\$0	\$0	Canceled
Frank Luke Homes									
	Subtotal				\$10,000	\$0	\$0	\$0	
AZ20P001005	Hydrovac sewers	5A	1450		\$10,000	\$4,420	\$4,420	\$4,420	Completed 3/04
Marcos de Niza Addition	Gate valves	5B	1450		\$8,000	\$18,053	\$18,053	\$18,053	Completed 5/05
	Closet inserts	5C	1460		\$50,000	\$0	\$0	\$0	Canceled
	Subtotal				\$68,000	\$22,473	\$22,473	\$22,473	
AZ20P001006					\$0	\$0	\$0	\$0	
Frank Luke Addition									
	Subtotal				\$0	\$0	\$0	\$0	
AZ20P001007	Remodel bathroom and HVAC equipt. Purchase	7A	1460		\$250,000	\$687,012	\$687,012	\$730,760	Completed 8/06
Sidney Osborn									
	Subtotal				\$250,000	\$687,012	\$687,012	\$730,760	
AZ20P001008A	Exterior painting (8A-1)	8A1A	1450		\$20,000	\$0	\$0	\$0	Completed 2002 Grant
A. L. Krohn Homes	Panels (Balcony&stairway/Fascia) (8A-1)	8A1B	1460		\$50,000	\$0	\$0	\$0	Canceled
	Sewer Clean out/Plumbing Repairs	8A1C	1450		\$8,000	\$8,962	\$8,962	\$8,962	Completed 5/05
	Ranges (8A-1)	8A1D	1465.1		\$30,000	\$23,009	\$23,009	\$23,009	Completed 7/05
	Refrigerators	8A1E	1465.1		\$30,000	\$29,385	\$29,385	\$29,385	Completed 7/05
	Roof access ladders (8A-1)	8A1F	1460		\$0	\$0	\$0	\$0	Canceled
	Ranges (8A-2)	8A2B	1465.1		\$13,000	\$9,447	\$9,447	\$9,447	Completed 7/05
	Subtotal				\$151,000	\$70,803	\$70,803	\$70,802	



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Grant Type and Number				Federal FY of Grant: 2003		
			Capital Fund Program Grant No: AZ20P00150103						
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	Comments



AZ20P001008B	Seal & stripe alleys and parking lots	8BA	1450	\$10,000	\$9,757	\$9,757	\$9,757	Completed 4/05
A. L. Krohn Homes	Security lights	8BB	1450	\$50,000	\$40,811	\$40,811	\$40,811	Completed 8/05
	Landscape improvements	8BC	1450	\$130,000	\$166	\$166	\$166	Canceled
	Sewer Clean out/Plumbing Repairs	8BD	1450	\$0	\$43,795	\$43,795	\$43,795	Completed 5/05
	Subtotal			\$190,000	\$94,529	\$94,529	\$94,529	
AZ20P001009	Elevator Mechanical repairs	9A	1460	\$75,000	\$64,436	\$64,436	\$64,436	Completed 5/06
Maryvale Parkway Terrace								
	Subtotal			\$75,000	\$64,436	\$64,436	\$64,436	
AZ20P001010	Replace parking lot	10A	1450	\$0	\$0	\$0	\$0	Canceled
Washington Manor	Carpet apartments	10B	1460	\$0	\$0	\$0	\$0	Canceled
	Replace Mailboxes	10C	1460	\$5,000	\$0	\$0	\$0	Canceled
	Paint interior/common areas	10D	1460	\$0	\$0	\$0	\$0	Canceled
	Remodel bathrooms	10E	1460	\$200,000	\$670,394	\$670,394	\$671,157	Completed 8/06
	Replace P-Tak Heat Pumps	10F	1460	\$90,000	\$0	\$0	\$0	Completed 2002 Grant
	Subtotal			\$295,000	\$670,394	\$670,394	\$671,157	
AZ20P001016	HVAC & Apartment improvements	16A	1460	\$0	\$0	\$0	\$0	Activity Moved to 2004 Grant
Foothills Village	Subtotal			\$0	\$0	\$0	\$0	
AZ20P001018	Exterior painting	18A	1460	\$5,000	\$9,057	\$9,057	\$9,057	Completed
Scattered Sites	Subtotal			\$5,000	\$9,057	\$9,057	\$9,057	
AZ20P001022	Exterior painting	22A	1460	\$5,000	\$0	\$0	\$0	Ongoing In House
Scattered Sites	Subtotal			\$5,000	\$0	\$0	\$0	
AZ16P001023	Exterior lighting	23A	1450	\$10,000	\$0	\$0	\$0	Canceled
Pine Towers Apts.	Landscaping	23B	1450	\$0	\$0	\$0	\$0	Canceled
	Elevator rebuild	23D	1460	\$150,000	\$10,276	\$10,276	\$10,276	Completed 5/06
	Bathroom remodel	23E	1460	\$220,000	\$0	\$0	\$0	Completed 2002 Grant
	Replace air handlers/pneumatic valves/thermostats	23F	1460	\$41,000	\$50,022	\$50,022	\$50,022	Completed 7/04
	Subtotal			\$421,000	\$60,298	\$60,298	\$60,299	
AZ20P001024	Exterior painting	24A	1460	\$5,000	\$0	\$0	\$0	Ongoing In House
Scattered Sites	Subtotal			\$5,000	\$0	\$0	\$0	
AZ20P001026	Exterior painting	26A	1460	\$5,000	\$0	\$0	\$0	Ongoing In House
Scattered Sites	Subtotal			\$5,000	\$0	\$0	\$0	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number				Federal FY of Grant: 2003			
		Capital Fund Program Grant No: AZ20P00150103							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	Comments



AZ16P001029	Replace stairwells	29A	1460	\$30,000	\$0	\$0	\$0	Ongoing In House
McCarty Apts.	Remodel Showers	29B	1460	\$20,000	\$9,213	\$9,213	\$9,213	Completed 2/05
	Subtotal			\$50,000	\$9,213	\$9,213	\$9,213	
AZ20P001033	Exterior Paint	33A	1460	\$5,000	\$0	\$0	\$0	Ongoing In House
Scattered Sites	Subtotal			\$5,000	\$0	\$0	\$0	
AZ20P001034	Exterior Paint	34A	1460	\$5,000	\$0	\$0	\$0	Ongoing In House
Scattered Sites	Subtotal			\$5,000	\$0	\$0	\$0	
AZ20P001035	Exterior Paint	35A	1460	\$5,000	\$2,886	\$2,886	\$2,886	Completed
Scattered Sites	Subtotal			\$5,000	\$2,886	\$2,886	\$2,886	
AZ20P001036	Interior remodel	36A	1460	\$166,250	\$183,458	\$183,458	\$183,458	Completed
Scattered Sites	Subtotal			\$166,250	\$183,458	\$183,458	\$183,458	
AZ20P001037	Interior remodel	37A	1460	\$66,500	\$74,434	\$74,434	\$74,434	Completed
Scattered Sites	Subtotal			\$66,500	\$74,434	\$74,434	\$74,434	
AZ20P001038	Site Improvements	38A	1450	\$50,000	\$2,085	\$2,085	\$2,085	Completed
	Interior remodel	38B	1460	\$16,000	\$119,738	\$119,738	\$119,738	Completed
Scattered Sites	Subtotal			\$66,000	\$121,823	\$121,823	\$121,823	
AZ20P001039	Interior remodel	39A	1460	\$50,000	\$112,390	\$112,390	\$112,390	Completed
Scattered Sites	Subtotal			\$50,000	\$112,390	\$112,390	\$112,390	
AZ20P001040	Interior remodel	40A	1460	\$50,000	\$61,236	\$61,236	\$61,236	Completed
Scattered Sites	Subtotal			\$50,000	\$61,236	\$61,236	\$61,236	
AZ20P001041	Interior remodel	41A	1460	\$33,250	\$21,725	\$21,725	\$21,725	Completed
Scattered Sites	Subtotal			\$33,250	\$21,725	\$21,725	\$21,725	
AZ20P001042	Interior remodel	42A	1460	\$33,250	\$6,409	\$6,409	\$6,409	Completed
Scattered Sites	Subtotal			\$33,250	\$6,409	\$6,409	\$6,409	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department			Grant Type and Number				Federal FY of Grant: 2003			
			Capital Fund Program Grant No: AZ20P00150103							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments	
					Original	Revised	Obligated	Expended		
AZ20P001043	Interior remodel	43A	1460		\$33,250	\$3,393	\$3,393	\$3,393	Ongoing In House	
Scattered Sites	Subtotal				\$33,250	\$3,393	\$3,393	\$3,393		
AZ20P001045	Interior remodel	45A	1460		\$33,250	\$11,098	\$11,098	\$11,098	Ongoing In House	
Scattered Sites	Subtotal				\$33,250	\$11,098	\$11,098	\$11,098		
AZ20P001046	Interior remodel	46A	1460		\$33,250	\$5,012	\$5,012	\$5,012	Ongoing In House	
Scattered Sites	Subtotal				\$33,250	\$5,012	\$5,012	\$5,012		
AZ20P001099	Operations	99A	1406		\$495,537	\$410,340	\$410,340	\$410,340		
Projectwide Activities	Economic Initiatives	99B	1408		\$255,000	\$255,000	\$255,000	\$255,000		
	Training	99C	1410.1		\$15,000	\$1,705	\$1,705	\$1,705		
	Audit Fees	99D	1411		\$4,000	\$0	\$0	\$0		
	Architecture and Engineering Fees	99E	1430.1		\$200,000	\$300,000	\$300,000	\$301,658		
	Relocation Costs	99G	1495.1		\$75,000	\$0	\$0	\$0		
	Contingency	99H	1502		\$129,803	\$128,339	\$0	\$0		
#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	#REF!	
	Administration	99J	1410		\$325,000	\$0	\$0	\$0		
	Subtotal				\$1,499,340	\$1,095,384	\$967,045	\$968,703		



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No: AZ20P00150103 Replacement Housing Factor No:				Federal FY of Grant: 2003	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original		Actual	Original	Revised	Actual	
AZ20P001001	Sep-2005			Sep-2007			
AZ20P001002	Sep-2005			Sep-2007			
AZ20P001005	Sep-2005			Sep-2007			
AZ20P001006	Sep-2005			Sep-2007			
AZ20P001007	Sep-2005			Sep-2007			
AZ20P001008A	Sep-2005			Sep-2007			
AZ20P001008B	Sep-2005			Sep-2007			
AZ20P001009	Sep-2005			Sep-2007			
AZ20P001010	Sep-2005			Sep-2007			
AZ16P001023	Sep-2005			Sep-2007			
AZ16P001029	Sep-2005			Sep-2007			
AZ20P001037	Sep-2005			Sep-2007			
AZ20P001042	Sep-2005			Sep-2007			
AZ20P001043	Sep-2005			Sep-2007			
AZ20P001045	Sep-2005			Sep-2007			



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: AZ20P00150203		2003	
		Replacement Housing Factor Grant No:			
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)					
Performance and Evaluation Report for Period Ending: 12/31/2004 Final Performance and Evaluation Report -					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$144,463	\$144,463	\$144,463	\$144,463
3	1408 Management Improvements Soft Costs	\$0	\$0	\$0	\$0
	Management Improvements Hard Costs				
4	1410 Administration	\$0	\$0	\$0	\$0
5	1411 Audit	\$0	\$0	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$0	\$0	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$187,853	\$0	\$0	\$0
10	1460 Dwelling Structures	\$390,000	\$577,853	\$577,853	\$577,853
11	1465.1 Dwelling Equipment—Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$0	\$0	\$0	\$0
18	1499 Development Activities (R&R)	\$0	\$0	\$0	\$0
19	1502 Contingency	\$0	\$0	\$0	\$0



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: AZ20P00150203		2003	
		Replacement Housing Factor Grant No:			
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1)					
Performance and Evaluation Report for Period Ending: 12/31/2004 Final Performance and Evaluation Report -					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	Amount of Annual Grant: (sum of lines 2-19)	\$722,316	\$722,316	\$722,316	\$722,316
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security –Soft Costs				
24	Amount of Line 20 related to Security-- Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department			Grant Type and Number				Federal FY of Grant: 2003			
			Capital Fund Program Grant No: AZ20P00150203							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
					Original	Revised	Obligated	Expended		
AZ20P001002	Remodel kitchen	2A	1460		\$290,000	\$0	\$0	\$0		
Frank Luke Homes										
Subtotal					\$290,000	\$0	\$0	\$0		
AZ20P001016	Interior Remodel/Bathroom	16A	1460		\$100,000	\$366,224	\$366,224	\$366,224		
Foothills Village										
Subtotal					\$287,853	\$366,224	\$366,224	\$366,224		
AZ20P001099	Operations	99A	1406		\$144,463	\$144,463	\$144,463	\$144,463		
Subtotal					\$144,463	\$144,463	\$144,463	\$144,463		



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program No: AZ20P00150203 Replacement Housing Factor No: AZ20P00150203			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original		Actual	Original	Revised	Actual	
AZ20P001002	Sep-05			Sep-07			
AZ20P001016	Sep-05			Sep-07			
AZ20P001099	Sep-05			Sep-07			



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:		Federal FY of Grant: 2004	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1 - effective 3/1/05)					
Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report -					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$775,000	\$775,000	\$775,000	\$775,000
3	1408 Management Improvements Soft Costs	\$255,000	\$265,000	\$269,074	\$238,579
	Management Improvements Hard Costs				
4	1410 Administration	\$40,000	\$120,000	\$120,000	\$106,565
5	1411 Audit	\$4,000	\$0	\$0	\$585
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$670,800	\$620,800	\$363,875	\$382,283
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$482,000	\$73,783	\$73,783	\$30,386
10	1460 Dwelling Structures	\$1,479,500	\$1,831,192	\$1,787,084	\$1,903,481
11	1465.1 Dwelling Equipment—Nonexpendable	\$100,000	\$130,210	\$130,210	\$0
12	1470 Nondwelling Structures	\$152,000	\$190,638	\$190,638	\$190,362
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$50,000	\$50,000	\$28,000	\$2,912
18	1499 Development Activities (R&R)	\$0	\$0	\$0	\$0
19	1502 Contingency	\$115,000	\$66,677	\$0	\$0



Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: City of Phoenix, Housing Dept.	Grant Type and Number Capital Fund Program Grant No: AZ20P00150104 Replacement Housing Factor Grant No:	Federal FY of Grant: 2004
--	---	-------------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no: 1 - effective 3/1/05)

Performance and Evaluation Report for Period Ending: **Final Performance and Evaluation Report -**

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	Amount of Annual Grant: (sum of lines 2-19)	\$4,123,300	\$4,123,300	\$3,737,664	\$3,630,154
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security –Soft Costs				
24	Amount of Line 20 related to Security-- Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	\$640,000			
26	Collateralization Expenses or Debt Service				



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number				Federal FY of Grant: 2004			
		Capital Fund Program Grant No: AZ20P00150104							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments
					Original	Revised	Obligated	Expended	
AZ20P001001	Seal & Stripe alleys and parking lots	1A	1450		\$35,000	\$5,525	\$5,525	\$5,525	Completed 6/05
	Marcos de Niza								
	Subtotal				\$35,000	\$5,525	\$5,525	\$5,525	
AZ20P001002	Enlarge patios	2A	1460	230	\$120,000	\$0		\$0	Cancelled
	Frank Luke Homes								
	Subtotal				\$120,000	\$0	\$0	\$0	
AZ20P001005	Seal & Stripe alleys and parking lots	5A	1450		\$35,000	\$3,873	\$3,873	\$3,873	Completed 6/05
	Marcos de Niza Addition								
	Subtotal				\$35,000	\$3,873	\$3,873	\$3,873	
AZ20P001006	Upgrade electrical service to units	6A	1460		\$525,000	\$0		\$0	Moved to 2006
	Frank Luke Addition								
	Replace refrigerators as needed	6B	1465.1		\$30,000	\$74,850	\$74,850	\$0	Energy
	Replace ranges as needed	6C	1465.1		\$25,000	\$55,360	\$55,360	\$0	Energy
	Subtotal				\$580,000	\$130,210	\$74,850	\$0	
AZ20P001007	Replace faucets & P-traps in kitchen and bathrooms	7A	1460	292	\$40,000	\$40,000	\$240	\$240	Cancelled
	Sidney Osborn								
	Subtotal				\$40,000	\$40,000	\$240	\$240	
AZ20P001008A	Seal & Stripe alleys and parking lots	8A1A	1450		\$15,000	\$0		\$0	Cancelled
	A. L. Krohn Homes								
	Subtotal				\$15,000	\$0	\$0	\$0	
AZ20P001008B	Apply roof coating	8B1A	1460	27	\$25,000	\$13,980	\$13,980	\$13,980	Complete
	A. L. Krohn Homes								
	Subtotal				\$25,000	\$13,980	\$13,980	\$13,980	
AZ20P001009	Landscape repairs	9A	1450		\$12,000	\$7,892	\$7,892	\$7,892	Complete
	Maryvale Parkway Terrace								
	Subtotal				\$12,000	\$7,892	\$7,892	\$7,892	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number				Federal FY of Grant: 2004			
		Capital Fund Program Grant No: AZ20P00150104							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	



AZ20P001001	Seal & Stripe alleys and parking lots	1A	1450		\$35,000	\$5,525	\$5,525	\$5,525	Completed 6/05
Marcos de Niza									
	Subtotal				\$35,000	\$5,525	\$5,525	\$5,525	
AZ20P001002	Enlarge patios	2A	1460	230	\$120,000	\$0		\$0	Cancelled
Frank Luke Homes									
	Subtotal				\$120,000	\$0	\$0	\$0	
AZ20P001005	Seal & Stripe alleys and parking lots	5A	1450		\$35,000	\$3,873	\$3,873	\$3,873	Completed 6/05
Marcos de Niza Addition									
	Subtotal				\$35,000	\$3,873	\$3,873	\$3,873	
AZ20P001006	Upgrade electrical service to units	6A	1460		\$525,000	\$0		\$0	Moved to 2006
Frank Luke Addition	Replace refrigerators as needed	6B	1465.1		\$30,000	\$74,850	\$74,850	\$0	Energy
	Replace ranges as needed	6C	1465.1		\$25,000	\$55,360	\$55,360	\$0	Energy
	Subtotal				\$580,000	\$130,210	\$74,850	\$0	
AZ20P001007	Replace faucets & P-traps in kitchen and bathrooms	7A	1460	292	\$40,000	\$40,000	\$240	\$240	Cancelled
Sidney Osborn									
	Subtotal				\$40,000	\$40,000	\$240	\$240	
AZ20P001008A	Seal & Stripe alleys and parking lots	8A1A	1450		\$15,000	\$0		\$0	Cancelled
A. L. Krohn Homes									
	Subtotal				\$15,000	\$0	\$0	\$0	
AZ20P001008B	Apply roof coating	8B1A	1460	27	\$25,000	\$13,980	\$13,980	\$13,980	Complete
A. L. Krohn Homes									
	Subtotal				\$25,000	\$13,980	\$13,980	\$13,980	
AZ20P001009	Landscape repairs	9A	1450		\$12,000	\$7,892	\$7,892	\$7,892	Complete
Maryvale Parkway Terrace									
	Subtotal				\$12,000	\$7,892	\$7,892	\$7,892	
AZ20P001010	Replace parking lot	10A	1450		\$40,000	\$6,493	\$6,493	\$6,493	Complete
Washington Manor									
	Subtotal				\$40,000	\$6,493	\$6,493	\$6,493	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number			Federal FY of Grant: 2004				
		Capital Fund Program Grant No: AZ20P00150104							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments
					Original	Revised	Obligated	Expended	
AZ20P001016	Landscape improvements	16A	1450		\$224,250	\$146,306	\$139,802	\$143,068	
Foothills Village	Site walls	16B	1450		\$175,000	\$0	\$0	\$6,603	Cancelled
	Community building renovation	16C	1470		\$152,000	\$190,638	\$190,638	\$190,362	Complete
	Purchase chiller equip, cooling tower boilers and air	16D	1460	200	\$35,000	\$35,000	\$35,000	\$27,485	Energy, In house
	Subtotal				\$586,250	\$371,944	\$139,802	\$149,671	
AZ20P001018	Exterior painting	18A	1460	3	\$5,000	\$5,000	\$5,000	\$0	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$0	
AZ20P001022	Exterior painting	22A	1460	3	\$5,000	\$5,000	\$5,000	\$0	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$0	
AZ20P001023	Tile Kitchens	23A	1460	156	\$65,000	\$65,000	\$0	\$0	
Pine Towers	Subtotal				\$65,000	\$65,000	\$0	\$0	
AZ20P001024	Exterior painting	24A	1460	3	\$5,000	\$5,000	\$5,000	\$0	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$0	
AZ20P001026	Exterior painting	26A	1460	3	\$5,000	\$5,000	\$5,000	\$0	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$0	
AZ16P001029	Replace boilers	29A	1465.1	2	\$45,000	\$0	\$0	\$0	Cancelled
McCarty Apts.	Subtotal				\$45,000	\$0	\$0	\$0	
AZ20P001033	Exterior painting	33A	1460	3	\$5,000	\$5,000	\$5,000	\$1,320	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$1,320	
AZ20P001034	Exterior painting	34A	1460	3	\$5,000	\$5,000	\$5,000	\$0	
Scattered Sites	Subtotal				\$5,000	\$5,000	\$5,000	\$0	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number				Federal FY of Grant: 2004			
		Capital Fund Program Grant No: AZ20P00150104							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments
					Original	Revised	Obligated	Expended	



City of Phoenix
 HOUSING DEPARTMENT

AZ20P001035	Exterior painting	35A	1460	3	\$5,000	\$5,000	\$5,000	\$3,050
	Scattered Sites							
	Subtotal				\$5,000	\$5,000	\$5,000	\$3,050
AZ20P001036	Interior remodel	36A	1460	3	\$66,250	\$66,250	\$66,250	\$91,192
	Scattered Sites							
	Subtotal				\$66,250	\$66,250	\$66,250	\$91,192
AZ20P001037	Interior remodel	37A	1460	8	\$131,500	\$131,500	\$133,005	\$271,920
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$131,500	\$131,500	\$133,005	\$271,920
AZ20P001038	Site Improvements	38A	1450	5	\$50,000	\$50,000	\$50,000	\$0
	Scattered Sites	38B	1460	1	\$66,250	\$66,250	\$66,250	\$0
	(Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$116,250	\$116,250	\$116,250	\$0
AZ20P001039	Interior remodel	39A	1460	3	\$50,000	\$50,000	\$50,000	\$17,327
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$50,000	\$50,000	\$50,000	\$17,327
AZ20P001040	Interior remodel	40A	1460	3	\$50,000	\$50,000	\$50,000	\$145,557
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$50,000	\$50,000	\$50,000	\$145,557
AZ20P001041	Interior remodel	41A	1460	2	\$33,250	\$99,750	\$86,114	\$86,114
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$33,250	\$99,750	\$86,114	\$86,114
AZ20P001042	Interior remodel	42A	1460	2	\$33,250	\$33,250	\$33,250	\$25
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$33,250	\$33,250	\$33,250	\$25
AZ20P001043	Interior remodel	43A	1460	2	\$33,250	\$33,250	\$33,250	\$54,308
	Scattered Sites (Bath,kitchen,figures,electrical,roof,flooring HVAC)							
	Subtotal				\$33,250	\$33,250	\$33,250	\$54,308



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: City of Phoenix, Housing Department		Grant Type and Number				Federal FY of Grant: 2004			
		Capital Fund Program Grant No: AZ20P00150104							
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Work Item	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work Comments
					Original	Revised	Obligated	Expended	
AZ20P001045	Interior remodel	45A	1460	2	\$33,250	\$0	\$0	\$0	
Scattered Sites	(Bath,kitchen,fixtures,electrical,roof,flooring HVAC)								
	Subtotal				\$33,250	\$0	\$0	\$0	
AZ20P001046	Interior remodel	46A	1460	2	\$33,250	\$0	\$0	\$0	
Scattered Sites	(Bath,kitchen,fixtures,electrical,roof,flooring HVAC)								
	Subtotal				\$33,250	\$0	\$0	\$0	
AZ20P001099	Operations	99A	1406		\$775,000	\$775,000	\$775,000	\$775,000	
Projectwide Activities	Economic Initiatives	99B	1408		\$255,000	\$255,000	\$255,000	\$224,505	
	Administration	99C	1410		\$25,000	\$106,445	\$106,445	\$106,445	
	Training	99D	1410.1		\$15,000	\$13,555	\$13,555	\$120	
	Audit Fees	99E	1411		\$4,000	\$0	\$0	\$585	
	Architecture and Engineering Fees	99F	1430.1		\$670,800	\$620,800	\$363,875	\$382,283	
	Relocation Costs	99G	1495.1		\$50,000	\$50,000	\$28,000	\$2,912	
	Contingency	99H	1502		\$115,000	\$66,677		\$0	
	Subtotal				\$1,909,800	\$1,887,477	\$1,541,875	\$1,491,851	



Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part III: Implementation Schedule

PHA Name: City of Phoenix, Housing Department				Grant Type and Number Capital Fund Program No: AZ20P00150104 Replacement Housing Factor No:			Federal FY of Grant: 2004
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	Sep-2006			Sep-2008			
AZ20P001002	Sep-2006			Sep-2008			
AZ20P001005	Sep-2006			Sep-2008			
AZ20P001006	Sep-2006			Sep-2008			
AZ20P001007	Sep-2006			Sep-2008			
AZ20P001008A	Sep-2006			Sep-2008			
AZ20P001008B	Sep-2006			Sep-2008			
AZ20P001009	Sep-2006			Sep-2008			
AZ20P001010	Sep-2006			Sep-2008			
AZ20P001016	Sep-2006			Sep-2008			
AZ20P001018	Sep-2006			Sep-2008			
AZ16P001022	Sep-2006			Sep-2008			
AZ16P001023	Sep-2006			Sep-2008			
AZ16P001024	Sep-2006			Sep-2008			
AZ20P001026	Sep-2006			Sep-2008			
AZ16P001029	Sep-2006			Sep-2008			
AZ20P001033	Sep-2006			Sep-2008			
AZ20P001034	Sep-2006			Sep-2008			
AZ20P001035	Sep-2006			Sep-2008			
AZ20P001036	Sep-2006			Sep-2008			
AZ20P001037	Sep-2006			Sep-2008			
AZ20P001038	Sep-2006			Sep-2008			
AZ20P001039	Sep-2006			Sep-2008			
AZ20P001040	Sep-2006			Sep-2008			
AZ20P001041	Sep-2006			Sep-2008			
AZ20P001042	Sep-2006			Sep-2008			
AZ20P001043	Sep-2006			Sep-2008			
AZ20P001045	Sep-2006			Sep-2008			
AZ20P001046	Sep-2006			Sep-2008			
AZ20P001099	Sep-2006			Sep-2008			



Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: City of Phoenix, Housing Dept.	Grant Type and Number Capital Fund Program Grant No: AZ20P00150105 Replacement Housing Factor Grant No:	Federal FY of Grant: 2005
--	---	-------------------------------------

Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)

Performance and Evaluation Report for Period Ending:

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$750,000	\$750,000	\$688,694	\$694,882
3	1408 Management Improvements Soft Costs	\$255,000	\$255,000	\$255,000	\$0
	Management Improvements Hard Costs				
4	1410 Administration	\$284,000	\$284,000	\$364,082	\$364,082
5	1411 Audit	\$3,000	\$3,000	\$3,000	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430 Fees and Costs	\$480,000	\$280,000	\$280,000	\$62
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$1,461,000	\$822,000	\$734,000	\$0
10	1460 Dwelling Structures	\$1,295,000	\$1,295,000	\$932,000	\$478
11	1465.1 Dwelling Equipment—Nonexpendable	\$60,000	\$60,000	\$60,000	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$25,000	\$25,000	\$0	\$0
18	1499 Development Activities (R&R)	\$0	\$0	\$0	\$0
19	1502 Contingency	\$183,061	\$183,061	\$0	\$0



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Dept.		Grant Type and Number		Federal FY of Grant:	
		Capital Fund Program Grant No: AZ20P00150105		2005	
		Replacement Housing Factor Grant No:			
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)					
Performance and Evaluation Report for Period Ending:					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	Amount of Annual Grant: (sum of lines 2-19)	\$4,796,061	\$3,957,061	\$3,316,776	\$1,059,503
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security –Soft Costs				
24	Amount of Line 20 related to Security-- Hard Costs	\$85,000			
25	Amount of line 20 Related to Energy Conservation Measures	\$40,000			



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150105				Federal FY of Grant: 2005		
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended		
AZ20P001001	Replace Security Grills	1450		\$85,000	\$85,000	\$85,000	\$0	Security	
Marcos de Niza	Install Perimeter Fencing	1450	1	\$0			\$0		
	Subtotal			\$85,000	\$85,000	\$85,000	\$0		
AZ20P001002	Prepare Reconstruction Study	1450	230	\$0	\$0		\$0		
Frank Luke Homes									
	Subtotal			\$0	\$0	\$0	\$0		
AZ20P001005	Replace Bug Screens	1460	150	\$10,000	\$10,000	\$10,000	\$0		
Marcos de Niza Addition	Replace Tub/Surrounds	1460	10	\$0	\$0		\$0		
	Subtotal			\$10,000	\$10,000	\$10,000	\$0		
AZ20P001006	Install Perimeter Fencing	1450		\$0	\$0		\$0		
	Subtotal			\$0	\$0	\$0	\$0		
AZ20P001007	Painting of Exterior Areas & Fascia repair	1460	15	\$60,000	\$60,000	\$60,000	\$0		
Sidney Osborn	Recoat Roof	1460	5	\$65,000	\$65,000	\$65,000	\$0		
	Subtotal			\$125,000	\$125,000	\$125,000	\$0		
AZ20P001008A	Upgrade Electric Service	1450		\$639,000	\$0		\$0	Moved to AZ20P001008B	
A. L. Krohn Homes	Enclose Electric Panels	1450		\$80,000	\$80,000		\$0		
	Subtotal			\$719,000	\$80,000	\$0	\$0		



City of Phoenix
HOUSING DEPARTMENT

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department				Capital Fund Program Grant No: AZ20P00150105			Federal FY of Grant: 2005	
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended	



AZ20P001008B	Stair and Rail Repair	1460	7	\$330,000	\$330,000		\$0
A. L. Krohn Homes	Upgrade Electric Service	1450	202	\$639,000	\$639,000	\$639,000	
	Subtotal			\$969,000	\$969,000	\$639,000	\$0
AZ20P001009	Repair/Replace Condenser Pans	1460	108	\$30,000	\$30,000		\$0 Energy
Maryvale Parkway Terrace	Clean Coils	1460	108	\$10,000	\$10,000		\$0 Energy
	Patio Lighting	1450	108	\$8,000	\$8,000		\$0
	Subtotal			\$48,000	\$48,000	\$0	\$0
AZ20P001010							
Washington Manor							
	Subtotal			\$0	\$0	\$0	\$0
AZ20P001016	Replace Ranges & Range Hoods & Appliances	1465.1	200	\$60,000	\$60,000	\$60,000	\$0
Foothills Village							
	Subtotal			\$60,000	\$60,000	\$60,000	\$0
AZ20P001012							
	Subtotal			\$0	\$0	\$0	\$0
AZ20P001018	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Subtotal			\$5,000	\$5,000	\$5,000	\$0
AZ20P001022	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Subtotal			\$5,000	\$5,000	\$5,000	\$0
AZ20P001023	Seal & Stripe Parking Lot	1450		\$10,000	\$10,000	\$10,000	\$0
Pinetowers							
	Subtotal			\$10,000	\$10,000	\$10,000	\$0
AZ20P001024	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Subtotal			\$5,000	\$5,000	\$5,000	\$0



City of Phoenix
HOUSING DEPARTMENT

Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150105			Federal FY of Grant: 2005		
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended	



City of Phoenix
HOUSING DEPARTMENT

AZ20P001026	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Subtotal			\$5,000	\$5,000	\$5,000	\$0
AZ16P001029							
McCarty Apts.							
	Subtotal			\$0	\$0	\$0	\$0
AZ20P001033	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites							
	Subtotal			\$5,000	\$5,000	\$5,000	\$0
AZ20P001034	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Interior remodel	1460	1	\$10,000	\$10,000	\$10,000	\$0
	Subtotal			\$15,000	\$15,000	\$15,000	\$0
AZ20P001035	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Interior remodel	1460	1	\$10,000	\$10,000	\$10,000	\$0
	Subtotal			\$15,000	\$15,000	\$15,000	\$0
AZ20P001036	Interior remodel	1460	3	\$60,000	\$60,000	\$60,000	
	Subtotal			\$60,000	\$60,000	\$60,000	\$0
AZ20P001037	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Interior remodel	1460	3	\$60,000	\$60,000	\$60,000	\$478
	Subtotal			\$65,000	\$65,000	\$65,000	\$478
AZ20P001038	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0
Scattered Sites	Interior remodel	1460	3	\$50,000	\$50,000	\$50,000	\$0
	Subtotal			\$55,000	\$55,000	\$55,000	\$0



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150105				Federal FY of Grant: 2005		
Development Number	Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work
					Original	Revised	Funds Obligated	Funds Expended	
AZ20P001039		Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0	
	Scattered Sites	Interior remodel	1460	3	\$60,000	\$60,000	\$60,000	\$0	
		Subtotal			\$65,000	\$65,000	\$65,000	\$0	
AZ20P001040		Exterior painting	1460	5	\$15,000	\$15,000	\$15,000	\$0	
	Scattered Sites	Interior remodel	1460	6	\$100,000	\$100,000	\$100,000	\$0	
		Subtotal			\$115,000	\$115,000	\$115,000	\$0	
AZ20P001041		Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0	
	Scattered Sites	Interior remodel	1460	2	\$30,000	\$30,000	\$30,000	\$0	
		Subtotal			\$35,000	\$35,000	\$35,000	\$0	
AZ20P001042		Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0	
	Scattered Sites	Interior remodel	1460	2	\$30,000	\$30,000	\$30,000	\$0	
		Subtotal			\$35,000	\$35,000	\$35,000	\$0	
AZ20P001043		Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0	
	Scattered Sites	Interior remodel	1460	2	\$30,000	\$30,000	\$30,000	\$0	
		Subtotal			\$35,000	\$35,000	\$35,000	\$0	
AZ20P001045		Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0	
	Scattered Sites	Interior remodel	1460	2	\$30,000	\$30,000	\$30,000	\$0	
		Subtotal			\$35,000	\$35,000	\$35,000	\$0	



Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150105				Federal FY of Grant: 2005		
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work	
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended		
AZ20P001046	Exterior painting	1460	3	\$5,000	\$5,000	\$5,000	\$0		
Scattered Sites	Interior remodel	1460	2	\$30,000	\$30,000	\$30,000	\$0		
	Subtotal			\$35,000	\$35,000	\$35,000	\$0		
AZ20P001099	Operations	1406		\$750,000	\$750,000	\$688,694	\$694,882		
Project wide Activities	Economic Initiatives	1408		\$255,000	\$255,000	\$255,000	\$0		
	Administration	1410		\$284,000	\$284,000	\$364,082	\$364,082		
	Training	1410.1		\$0	\$0	\$0	\$0		
	Audit Fees	1411		\$3,000	\$3,000	\$3,000	\$0		
	Architecture and Engineering Fees	1430.1		\$480,000	\$280,000	\$280,000	\$62		
	Relocation Costs	1495.1		\$25,000	\$25,000		\$0		
	Contingency	1502		\$183,061	\$183,061		\$0		
	Subtotal			\$1,980,061	\$1,780,061	\$1,590,776	\$1,059,025		
				\$3,957,061	\$3,118,061	\$0	\$0		



Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement							
Part III:							
PHA Name: City of Phoenix, Housing Department				Capital Fund Program Grant No: AZ20P00150105		Federal FY of Grant: 2005	
Development Number							
Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	Sep-2007			Sep-2009			
AZ20P001002	Sep-2007			Sep-2009			
AZ20P001005	Sep-2007			Sep-2009			
AZ20P001006	Sep-2007			Sep-2009			
AZ20P001007	Sep-2007			Sep-2009			
AZ20P001008A	Sep-2007			Sep-2009			
AZ20P001008B	Sep-2007			Sep-2009			
AZ20P001009	Sep-2007			Sep-2009			
AZ20P001010	Sep-2007			Sep-2009			
AZ20P001016	Sep-2007			Sep-2009			
AZ20P001018	Sep-2007			Sep-2009			
AZ16P001022	Sep-2007			Sep-2009			
AZ16P001023	Sep-2007			Sep-2009			
AZ16P001024	Sep-2007			Sep-2009			
AZ20P001026	Sep-2007			Sep-2009			
AZ16P001029	Sep-2007			Sep-2009			
AZ20P001033	Sep-2007			Sep-2009			
AZ20P001034	Sep-2007			Sep-2009			
AZ20P001035	Sep-2007			Sep-2009			
AZ20P001036	Sep-2007			Sep-2009			
AZ20P001037	Sep-2007			Sep-2009			
AZ20P001038	Sep-2007			Sep-2009			
AZ20P001039	Sep-2007			Sep-2009			
AZ20P001040	Sep-2007			Sep-2009			
AZ20P001041	Sep-2007			Sep-2009			
AZ20P001042	Sep-2007			Sep-2009			
AZ20P001043	Sep-2007			Sep-2009			
AZ20P001045	Sep-2007			Sep-2009			
AZ20P001046	Sep-2007			Sep-2009			
AZ20P001099	Sep-2007			Sep-2009			



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)					
Performance and Evaluation Report for Period Ending:					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds	\$0	\$0	\$0	\$0
2	1406 Operations	\$690,000	\$690,000	\$0	\$0
3	1408 Management Improvements Soft Costs	\$200,000	\$200,000	\$0	\$0
	Management Improvements Hard Costs				
4	1410 Administration	\$308,000	\$308,000	\$0	\$0
5	1411 Audit	\$3,000	\$3,000	\$0	\$0
6	1415 Liquidated Damages	\$0	\$0	\$0	\$0
7	1430.1 Fees and Costs	\$430,000	\$430,000	\$0	\$0
8	1440 Site Acquisition	\$0	\$0	\$0	\$0
9	1450 Site Improvement	\$469,000	\$469,000	\$0	\$0
10	1460 Dwelling Structures	\$1,305,500	\$1,305,500	\$0	\$0
11	1465.1 Dwelling Equipment—Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Nondwelling Structures	\$0	\$0	\$0	\$0
13	1475 Nondwelling Equipment	\$0	\$0	\$0	\$0
14	1485 Demolition	\$0	\$0	\$0	\$0
15	1490 Replacement Reserve	\$0	\$0	\$0	\$0
16	1492 Moving to Work Demonstration	\$0	\$0	\$0	\$0
17	1495.1 Relocation Costs	\$10,000	\$10,000	\$0	\$0
18	1499 Development Activities (R&R)	\$0	\$0	\$0	\$0
19	1502 Contingency	\$54,009	\$54,009	\$0	\$0



Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: City of Phoenix, Housing Department		Grant Type and Number Capital Fund Program Grant No: AZ20P00150106 Replacement Housing Factor Grant No:		Federal FY of Grant: 2006	
Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)					
Performance and Evaluation Report for Period Ending:					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	Amount of Annual Grant: (sum of lines 2-19)	\$3,469,509	\$3,469,509	\$0	\$0
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 compliance				
23	Amount of line 20 Related to Security –Soft Costs		\$64,000		
24	Amount of Line 20 related to Security-- Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	\$56,000			



Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150106			Federal FY of Grant: 2006		
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended	
AZ20P001002	Remove Sidewalks	1450	230	\$25,000	\$25,000			
Frank Luke Homes								
Subtotal				\$25,000	\$25,000	\$0	\$0	
AZ20P001006	Install Perimeter Fencing	1450	138	\$64,000	\$64,000			
Frank Luke Addition								
	Upgrade Electrical Service	1450	138	\$350,000	\$350,000			
	Install Heat Pumps	1450	138	\$535,000	\$535,000			
Subtotal				\$949,000	\$949,000	\$0	\$0	
AZ20P001007	Painting of Exterior Areas	1460	145	\$120,000	\$120,000			
Sidney Osborn								
Subtotal				\$120,000	\$120,000	\$0	\$0	
AZ20P001008B	Apply Roof Coating	1460	27	\$230,000	\$230,000			
A. L. Krohn Homes								
Subtotal				\$230,000	\$230,000	\$0	\$0	
AZ20P001016	Playground Improvements	1450	2	\$30,000	\$30,000			
Foothills Village								
Subtotal				\$30,000	\$30,000	\$0	\$0	
AZ20P001018	Exterior painting, interior remodel	1460	5	\$390,500	\$390,500			
Scattered Sites		1460	1	\$0	\$0			
Subtotal				\$390,500	\$390,500	\$0	\$0	



Annual Statement/Performance and Evaluation Report								
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)								
Part II: Supporting Pages								
PHA Name: City of Phoenix, Housing Department			Capital Fund Program Grant No: AZ20P00150106			Federal FY of Grant: 2006		
Development Number				Total Estimated Cost		Total Actual Cost		Status of Proposed Work
Name/HA-Wide Activities	General Description of Major Work Categories	Dev Acct No.	Quantity	Original	Revised	Funds Obligated	Funds Expended	
AZ20P001023	Seal Coat Roofs	1460		\$30,000	\$30,000			
	Pinetowers							
	Subtotal			\$30,000	\$30,000	\$0	\$0	
#REF!	#REF!	#REF!	#REF!					
AZ20P001099	Operations	1406		\$690,000	\$690,000			
Projectwide	Economic Initiatives	1408		\$200,000	\$200,000			
Activities	Administration	1410		\$308,000	\$308,000			
	Training	1410.1		\$0	\$0			
	Audit Fees	1411		\$3,000	\$3,000			
	Fees and Costs	1430.1		\$430,000	\$430,000			
	Relocation Costs	1495.1		\$10,000	\$10,000			
	Contingency	1502		\$54,009	\$54,009			
	Subtotal			\$1,695,009	\$1,695,009	\$0	\$0	
				\$2,934,509	\$2,584,509	\$0	\$0	



Annual Statement/Performance and Evaluation Report							
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)							
Part III: Implementation Schedule							
PHA Name: City of Phoenix, Housing Department				Capital Fund Program Grant No: AZ20P00150106		Federal FY of Grant: 2006	
Development Number							
Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
AZ20P001001	Jun-2008			Jun-2010			
AZ20P001002	Jun-2008			Jun-2010			
AZ20P001005	Jun-2008			Jun-2010			
AZ20P001006	Jun-2008			Jun-2010			
AZ20P001007	Jun-2008			Jun-2010			
AZ20P001008A	Jun-2008			Jun-2010			
AZ20P001008B	Jun-2008			Jun-2010			
AZ20P001009	Jun-2008			Jun-2010			
AZ20P001010	Jun-2008			Jun-2010			
AZ20P001016	Jun-2008			Jun-2010			
AZ20P001018	Jun-2008			Jun-2010			
AZ16P001022	Jun-2008			Jun-2010			
AZ16P001023	Jun-2008			Jun-2010			
AZ16P001024	Jun-2008			Jun-2010			
AZ20P001026	Jun-2008			Jun-2010			
AZ16P001029	Jun-2008			Jun-2010			
AZ20P001033	Jun-2008			Jun-2010			
AZ20P001034	Jun-2008			Jun-2010			
AZ20P001035	Jun-2008			Jun-2010			
AZ20P001036	Jun-2008			Jun-2010			
AZ20P001037	Jun-2008			Jun-2010			
AZ20P001038	Jun-2008			Jun-2010			
AZ20P001039	Jun-2008			Jun-2010			
AZ20P001040	Jun-2008			Jun-2010			
AZ20P001041	Jun-2008			Jun-2010			
AZ20P001042	Jun-2008			Jun-2010			
AZ20P001043	Jun-2008			Jun-2010			
AZ20P001045	Jun-2008			Jun-2010			
AZ20P001046	Jun-2008			Jun-2010			
AZ20P001099	Jun-2008			Jun-2010			